# GTU Department of Computer Engineering CSE312/CSE504 - Spring 2021 Homework 2 Report

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# 1 Problem Definition

The problem is to implement a kernel that will support multi- threading, interrupt handling and inter-process communication in SPIM simulator.

# 2 Solution

In order to solve this problem first we need to implement required system calls for this problem.

# 2.1 System Calls

### 2.1.1 Create Thread Syscall

In order to create thread correct way we need to this syscall.

Assembly Test File;

```
.data
       .asciiz "thrFunc"
msg:
       .asciiz "All Threads are finished!\n"
msg3:
       .asciiz "Waiting to join all threads...\n"
msg2:
msg1:
       .asciiz "Hello from Thread\n"
       .asciiz "\n\n"
msg4:
       .asciiz "Global Variable: "
msg5:
.globl thrFunc
main:
        li $t0,1 #global variable initial value is 1
        li $v0, 18 #create syscall
        la $a0, msg
        li $v0, 18 #create syscall
        la $a0, msg
```

Figure 1

### Output;

```
akif@ubuntu:~/Desktop/operating-system/HW2/spim/spim$ ./spim -file "test2.s"
Loaded: /usr/share/spim/exceptions.s
Waiting to join all threads...
-----All Threads-----
ThreadID:0
Thread Function Name:main
Program Counter:0x4
Stack Pointer address:0
State:Running
ThreadID:1
Thread Name: thread1
Thread Function Name:thrFunc
Program Counter:0x4000a0
Stack Pointer address:1f9363a0
State:Ready
ThreadID:2
Thread Name: thread2
Thread Function Name:thrFunc
Program Counter:0x4000a0
State:Ready
```

Figure 2

### 2.1.2 Join Thread Syscall

In order to join thread correct way we need to this syscall. My Join syscal is waiting all thread to be finished.

Assembly Test File;

```
join:
        li $v0, 4
        la $a0, msg2
        syscall
        li $v0, 19 # join syscall
        syscall
        bnez $v0, join # if result is not zero wait
       li $v0, 4
        la $a0, msg3 #threads are finished message
        syscall
```

Figure 3

# 2.1.3 Exit Thread Syscall

In order to exit from thread correct way we need to this syscall.

Assembly Test File;

```
li $v0, 20 # exit thread syscall
syscall
```

Figure 4

### 2.1.4 Combine All Syscall

We have implemented required system calls. In following test we will test these by incrementing a global variable which is shared between all threads.

Following two picture contains test file assembly codes;

```
.asciiz "thrFunc'
       li $v0, 18 #create syscall
exitMain:
        li $v0, 4
        la $a0, msg5
```

```
thrFunc:
       li $v0, 4
       la $a0, msg1 # give message
        addi $t0,$t0,1 # increment global variable
       li $v0, 4
       la $a0, msg5
       li $v0, 1 #print gloabal variable
       move $a0,$t0
       li $v0, 4
       la $a0, msq4
exitThr:
       li $v0, 20 # exit thread syscall
```

(a) first half of code

(b) other half

# Test Results

akif@ubuntu:~/Desktop/operating-system/HW2/spim/spim\$ ./spim -file "test2.s"	***Thread Was Switched***
Loaded: /usr/share/spim/exceptions.s	ThreadID:1
	Thread Name:thread1
Waiting to join all threads	Thread Function Name:thrFunc Program Counter:0x4000a0
All Threads	Stack Pointer address:1f9363a0
ThreadIO:0	State:Running
Thread Name:main	
Thread Function Name:main	Hello from Thread
Program Counter:0x4	Global Variable: 2
- Stack Pointer address:0	***Thread Was Switched***
State:Running	ThreadID:2
State-nomining	Thread Name:thread2
	Thread Function Name:thrFunc
ThreadID:1	Program Counter:0x4000a0
Thread Name:thread1	Stack Pointer address:1f9364a0
Thread Function Name:thrFunc	State:Running
Program Counter:0x4000a0	Hello from Thread
Stack Pointer address:1f9363a0	Global Variable: 3
State:Ready	***Thread Was Switched***
	ThreadID:0
	Thread Name:main
ThreadID:2	Thread Function Name:main
Thread Name:thread2	Program Counter:0x400054
Thread Function Name:thrFunc	Stack Pointer address:1f9362a0
Program Counter:0x4000a0	State:Running
Stack Pointer address:1f9364a0	Waiting to join all threads
State:Ready	All Threads are finished!
- Viate Ready	Global Variable: 3
	akif@ubuntu:~/Desktop/operating-system/HW2/spim/spim\$
(c) first half of result	(d) other half

In this test, context switch was made by using  $\mathbf{Round}$   $\mathbf{Robin}$  scheduling  $\mathbf{algorithm}$  as can be seen in result.

Also, since thread function is very simple in this test there was no timer interrupt.

# 3 Problems

### 3.1 Producer Consumer Problem

In this problem we have two different version first is without mutex and second is with mutex. In this test buffer is a variable only not an array

### 3.1.1 Without Mutex

In this version we will not use mutex and we will show that there is a race condition.

### Main Thread assembly Code;

```
li $t0,0 #global buffer between threads
li $t1,10 #global variable
li $v0, 18
```

```
(e) first half of code
```

```
li $v0, 1 #print buffer
  move $a0, $t0
  li $v0, 4
   la $a0, newLine #newLine
  li $v0, 19 #join syscall
   #keep waiting for all threads
   bnez $v0, joinStart
  li $v0, 4
  la $a0, msg5
exit:
   li $v0, 20 # Main exit
```

(f) other half

# Other Threads assembly Code(no mutex yet!);

```
producer1:
   li $t2,0 # int i = 0
producerLoop1:
   bgt $t2,$t1,exitProducerThread1 # if i > 10 then exit
   addi $t0,$t0,1
   la $a0, msg3 #print message
   li $v0, 1 #print buffer
   move $a0, $t0
   li $v0, 4
   la $a0, newLine #newLine
   beg $t2,2,contextSwitch1
   addi $t2,$t2,1 # ++i
contextSwitch1:
```

```
consumer1:
consumerLoop1:
   bgt $t3,$t1,exitConsumerThread1 # if i > 10 then exit
   addi $t0,$t0,-1
   li $v0, 4
   li $v0, 1 #print buffer
   move $a0, $t0
   li $v0, 4
   beg $t3,4,contextSwitch2
contextSwitch2:
 exitConsumerThread1:
```

(g) Producer Thread

(h) Consumer Thread

### What are we doing in this test?

In this test we have a global buffer and producer is incrementing it, also consumer is decrementing it. But, while doing this in both producer and consumer threads we are making 2 times context switch by using a context switch syscall in order to simulate real problem. Lastly, we are printing buffer's situation in all threads(main,producer,consumer). Check the following test results to see race condition.

# Test Results (no mutex yet!);

```
akif@ubuntu:~/Desktop/operating-system/HW2/spim/spim$ ./spim -file "SPIMOS_GTU_2.s"
Loaded: /usr/share/spim/exceptions.s
Waiting to join all threads...
-----All Threads-----
ThreadID:0
Thread Name:main
Thread Function Name:main
Program Counter:0x4
Stack Pointer address:0
ThreadID:1
Thread Name: thread1
Thread Function Name:producer1
Program Counter:0x4000ac
Stack Pointer address:3e2c33a0
ThreadID:2
Thread Name: thread2
Thread Function Name:consumer1
Program Counter:0x400114
Stack Pointer address:3e2c34a0
```

Figure 5: All Threads in program

As you can see buffer is 0 at the beginning of the program. Let see thread switching and race conditions.

```
***Thread Was Switched***
ThreadID:1
Thread Name: thread1
Program Counter:0x4000ac
Stack Pointer address:3e2c33a0
Buffer in Producer: 1
Buffer in Producer: 3
***Thread Was Switched***
ThreadID:2
Thread Name: thread2
Thread Function Name:consumer1
Program Counter: 0x400114
Stack Pointer address:3e2c34a0
Buffer in Consumer: 2
Buffer in Consumer: 1
Buffer in Consumer: 0
Buffer in Consumer: -1
Buffer in Consumer: -2
***Thread Was Switched***
ThreadID:0
Thread Name: main
Program Counter:0x40008c
Stack Pointer address:3e2c32a0
Waiting to join all threads...
```

(a) Half of test result

```
***Thread Was Switched***
Thread Name:thread2
Thread Function Name:consumer1
Program Counter:0x40016c
Stack Pointer address:3e2c34a0
Buffer in Consumer: -46
***Thread Was Switched***
Thread Name:main
Thread Function Name:main
Program Counter:0x40008c
Stack Pointer address:3e2c32a0
***Thread Was Switched***
Thread Name:thread2
Thread Function Name:consumer1
Program Counter:0x40016c
Stack Pointer address:3e2c34a0
Buffer in Consumer: -47
***Thread Was Switched***
Thread Name:main
Thread Function Name:main
Program Counter:0x400090
Stack Pointer address:3e2c32a0
All threads are finished!
```

(b) other half after a few context switch

As you can see from test result buffer start with 0 but finished with -47. Since there is a race condition ,we don't know which thread will run how many times. Now **we have showed the race condition**. Lets look at the with mutex version.

### 3.1.2 With Mutex

In this version we will use mutex and we will show that there is no race condition.

### Main Thread assembly Code

```
msg5: .asciiz "All threads are finished!\n"
    li $v0, 18
    la $a0, msg1
```

(c) first half of code

```
joinStart:
   li $v0, 4
   la $a0, msg4 #print message
   li $v0, 4
   li $v0, 1 #print buffer
   move $a0, $t0
   li $v0, 4
   la $a0, newLine #newLine
   li $v0, 19 #join syscall
   li $v0, 4
   la $a0, msg5
   li $v0, 20 # Main exit
```

(d) other half

### Other Threads assembly Code

```
li $t2,0 # int i = 0
producerLoop:
producerMutex:
   bne $t2,2,cont5
    addi $t2,$t2,1 # ++i
 exitProducerThread:
```

```
consumer:
consumerLoop:
consumerMutex:
#keep waiting for mutex
   bne $t3,9,cont8
exitConsumerThread:
```

(e) Producer Thread

(f) Consumer Thread

As in one before test, as a buffer we are only incrementing and decrementing a global variable in this test.

### Test Results

```
akif@ubuntu:~/Desktop/operating-system/HW2/spim/spim$ ./spim -file "SPIMOS_GTU_2.s"
Loaded: /usr/share/spim/exceptions.s
Waiting to join all threads...
-----All Threads-----
ThreadID:8
Thread Name:main
Thread Function Name:main
Program Counter:0x4
Stack Pointer address:0
ThreadID:1
Thread Name: thread1
Thread Function Name:producer
Program Counter:0x40013c
Stack Pointer address:527c83a0
ThreadID:2
Thread Name: thread2
Thread Function Name:consumer
Program Counter: 8x488198
Stack Pointer address:527c84a8
Mutex Name:m1
Mutex OwnerID:0
Mutex Locked:8
```

Figure 6: All Threads and Mutexes in program

As you can see buffer is 0 at the beginning of the program. Let see thread switching and result.

\*\*\*Thread Was Switched\*\*\* ThreadID:1 Thread Name: thread1 Thread Function Name:producer Program Counter:0x40013c Stack Pointer address:527c83a8 \*\*\*Thread Was Switched\*\*\* ThreadID:2 Thread Name: thread2 Thread Function Name:consumer Program Counter:0x400190 Stack Pointer address:527c84a8 \*\*\*Thread Was Switched\*\*\* ThreadID:0 Thread Name:main Thread Function Name:main Program Counter:0x40009c Stack Pointer address:527c82a8 \*\*\*Thread Was Switched\*\*\* ThreadID:1 Thread Name:thread1 Thread Function Name:producer Program Counter: 0x400164 \*\*\*Thread Was Switched\*\*\* ThreadID:2 Thread Name:thread2 Program Counter:0x4001b8 Stack Pointer address:527c84a8 \*\*\*Thread Was Switched\*\*\* ThreadID:0 Thread Function Name:main Program Counter:0x40009c Waiting to join all threads.

\*\*\*Thread Was Switched\*\*\* ThreadID:1 Thread Name: thread1 Thread Function Name:producer Program Counter: 8x488174 Stack Pointer address:527c83a8 State:Running \*\*\*Thread Was Switched\*\*\* ThreadID:2 Thread Name: thread2 Thread Function Name:consumer Program Counter: 8x4881c8 Stack Pointer address:527c84a0 State:Running \*\*\*Thread Was Switched\*\*\* ThreadID:0 Thread Name:main Thread Function Name:main Program Counter: 8x48889c Stack Pointer address:527c82a0 State:Running Waiting to join all threads... Buffer in Main: 0 All threads are finished! akif@ubuntu:~/Desktop/operating-system/HW2/spim/spim\$

(a) Half of test result

(b) other half after a few context switch

As a result since we have used mutex last result is 0 as expected.

### 3.2 Merge Sort with multi-threaded

In this problem we will implement merge sort with using more than one thread.

### **Solution Steps**

- ▶ First, implement multi-threaded merge sort in C++ and make sure it works correctly.
- ▶ Then, write multi-threaded merge sort in mips assembly by using C++ code.
- ▶ Test assembly file in spim and make sure it works.

### 3.2.1 Multi-threaded merge sort in C++

In homework pdf file given merge sort code is **not work** with different array and thread size. Then I found and corrected a working multi-threaded merge sort in C++ code. Check following test results.

### Simple Test Result

```
akif@ubuntu:~/Desktop$ g++ -o mergeSort last.cpp -lpthread -lrt
akif@ubuntu:~/Desktop$ ./mergeSort
Array Size: 30
Number of Thread: 2
Time taken: 0.001732
Sorting Done Successfully
Sorted array: 0 5 5 11 21 26 27 32 32 36 40 41 41 43 53 56 56 58 61 68 75 78 85 87 88 89 93 98 98 99
akif@ubuntu:~/Desktop$ g++ -o mergeSort last.cpp -lpthread -lrt
akif@ubuntu:~/Desktop$ ./mergeSort
Array Size: 25
Number of Thread: 4
Time taken: 0.001453
Sorting Done Successfully
Sorted array: 2 2 4 24 24 28 31 32 35 35 41 52 57 58 62 63 70 73 74 76 80 81 95 96 97
akif@ubuntu:~/Desktop$ g++ -o mergeSort last.cpp -lpthread -lrt
akif@ubuntu:~/Desktop$ ./mergeSort
Array Size: 28
Number of Thread: 6
Time taken: 0.006433
Sorting Done Successfully
Sorted array: 0 2 6 10 14 15 16 18 21 26 31 37 39 44 49 58 59 63 67 68 79 84 84 84 86 93 94 98
akif@ubuntu:~/Desktop$ g++ -o mergeSort last.cpp -lpthread -lrt
akif@ubuntu:~/Desktop$ ./mergeSort
Array Size: 40
Number of Thread: 10
Time taken: 0.003012
Sorting Done Successfully
Sorted array: 6 8 11 12 13 19 20 23 25 28 31 33 36 37 37 42 43 47 48 51 53 57 58 58 60 62 65 67 73 75 75 76 78 78 80 82 84 87 89 91
akif@ubuntu:~/Desktop$ g++ -o mergeSort last.cpp -lpthread -lrt
akif@ubuntu:~/Desktop$ ./mergeSort
Array Size: 20
Number of Thread: 15
Time taken: 0.010954
Sorting Done Successfully
Sorted array: 9 11 26 28 31 32 46 49 49 54 55 60 73 77 81 82 85 90 93 95
akif@ubuntu:~/Desktop$
```

Figure 7: Multi-threaded merge sort in C++

### 3.2.2 Multi-threaded merge sort in mips assembly

I have implemented Multi-threaded merge sort in SPIMOS\_GTU\_1.s file as mips assembly by using my C++ code. Check **SPIMOS\_GTU\_1.s** file to see code.

### 3.2.3 Testing in SPIM

Since, merge sort is a recursive algorithm it is difficult to implement recursive functions in assembly, you need to very careful, because of this difficulty my assembly code **didn't work** in spim. I am creating threads **correctly** but I am getting some program counter error because of **recursive functions** in merge sort algorithm.

### My threads in merge Sort

```
.
ubuntu:~/Desktop/operating-system/HW2/spim/spim$ ./spim -file "SPIMOS_GTU_1.s"
Enter array elements one by one
           -All Threads----
Thread Name:main
Thread Function Name:main
Program Counter:8x4
Stack Pointer address:0
ThreadID:1
Thread Name: thread1
Thread Function Name: threadFunction
Program Counter: 0x4002e8
Stack Pointer address:1ae6b3a8
State: Ready
Thread Name: thread2
Thread Function Name: threadFunction
Stack Pointer address: lae6b4a8
ThreadID:3
Thread Name: thread3
Thread Function Name: threadFunction
Stack Pointer address:1ae6b5a8
ThreadID:4
Thread Name: thread4
Thread Function Name: threadFunction
Program Counter: 8x4882e8
Stack Pointer address: 1ae6b6a8
State: Ready
Thread Name: thread5
Thread Function Name: threadFunction
Program Counter:0x4882e8
Stack Pointer address: 1ae6b7a8
```

Figure 8: Threads in merge Sort

As you can see, we are creating threads correct way but after that because of recursive algorithms we are getting program counter errors which is not happen in producer consumer problem. Thread function is merge function C++ code.

# 4 Implementation Details in SPIM

```
//my syscalls
#define CREATE_THREAD_SYSCALL 18
#define JOIN_THREAD_SYSCALL 19
#define EXIT_THREAD_SYSCALL 20
#define LOCK_MUTEX_SYSCALL 21
#define UNLOCK_MUTEX_SYSCALL 22
#define CREATE_MUTEX_SYSCALL 23
#define CONTEXT_SWITCH_SYSCALL 24
```

(a) My syscalls in SPIM

(b) My Data in SPIM