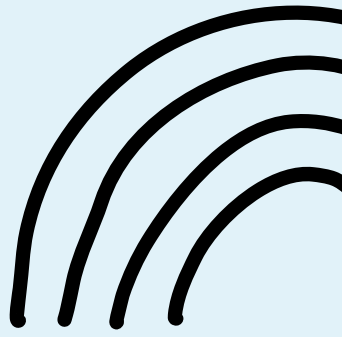


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# Improving RAG with Contextual Retrieval



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# Challenges with RAG

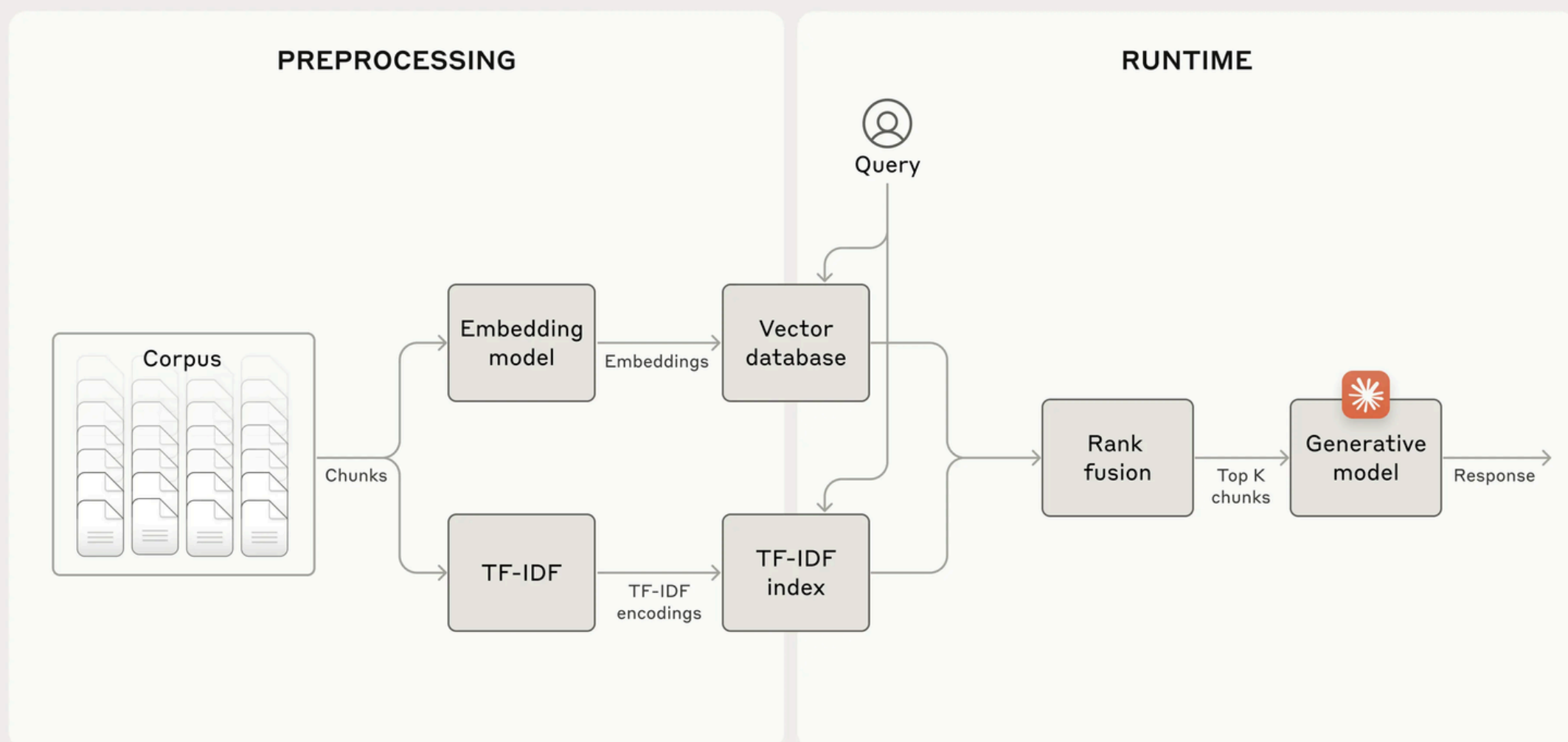
## 1 Loss of contextual integrity due to chunking.

A financial document might contain the statement, "**The company's revenue grew by 3% over the previous quarter,**" but without knowing which **company or quarter,** the context is lost.

## 2 Precision issues, especially with exact matches

A user querying "**Error code TS-999**" may not retrieve the specific information related to that error code if conventional embeddings are used, as they might **return generalized content about error codes.**

### Standard RAG

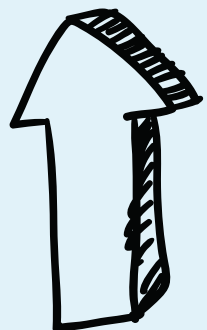


# Improved RAG with Contextual Retrieval

- 1 **Contextual Embeddings** - Prepending chunk-specific explanatory context to each chunk before embedding
- 2 **Contextual BM25** - Uses lexical matching to identify precise terms

```
original_chunk = "The company's revenue grew by 3% over  
the previous quarter."
```

```
contextualized_chunk = "This chunk is from an SEC filing  
on ACME corp's performance in Q2 2023; the previous  
quarter's revenue was $314 million. The company's revenue  
grew by 3% over the previous quarter."
```

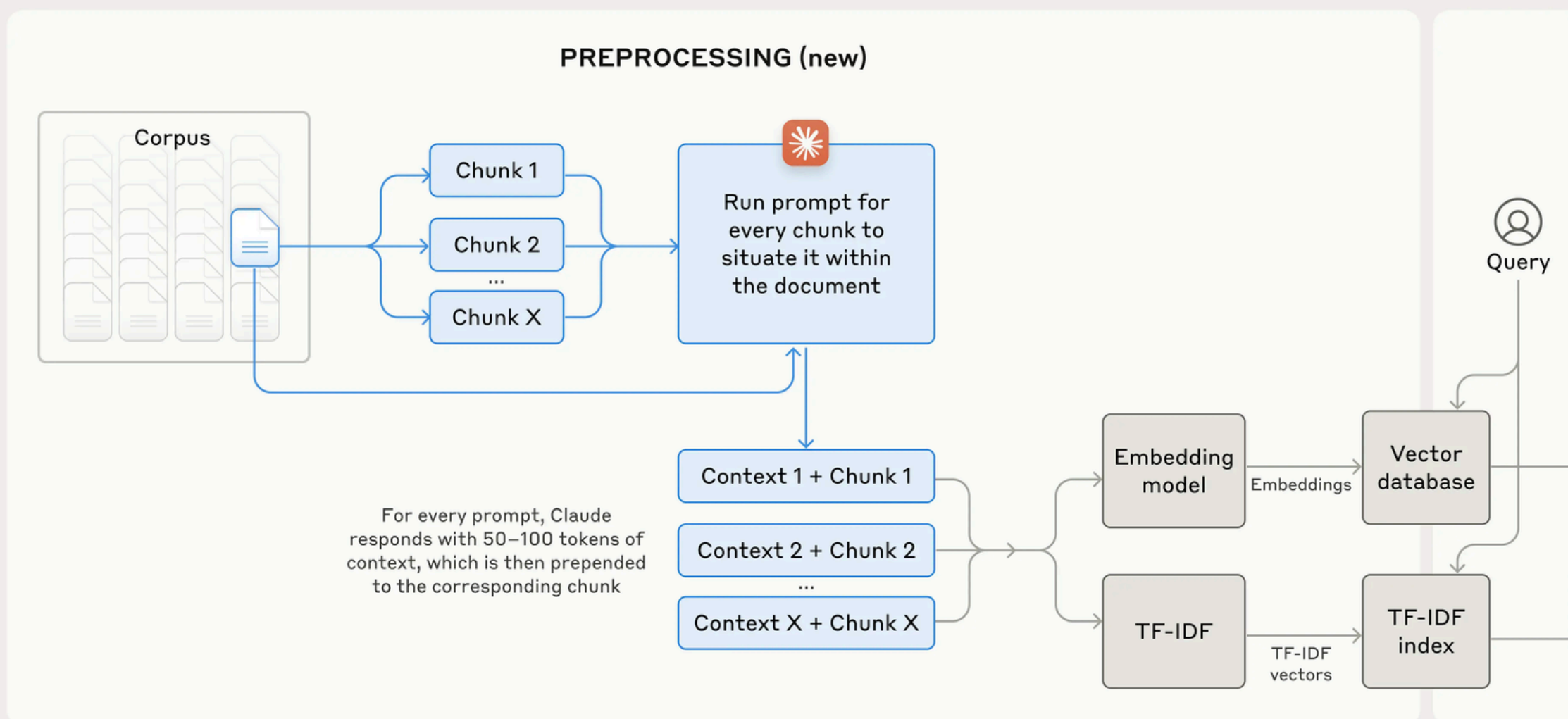


Improved contextual integrity  
Improved Precision

# Implementing Contextual Retrieval

- 1 Decompose documents into smaller, manageable chunks.
- 2 Prepend relevant contextual data to each chunk.
- 3 Generate both embeddings and BM25 indexes for advanced retrieval.

## Contextual Retrieval Preprocessing



# But how do i create contextual relevant chunks?

- 1 Ask LLM to provide concise, chunk-specific context.
- 2 The contextual text should be 50-100 tokens long.

```
<document>
{{WHOLE_DOCUMENT}}
</document>

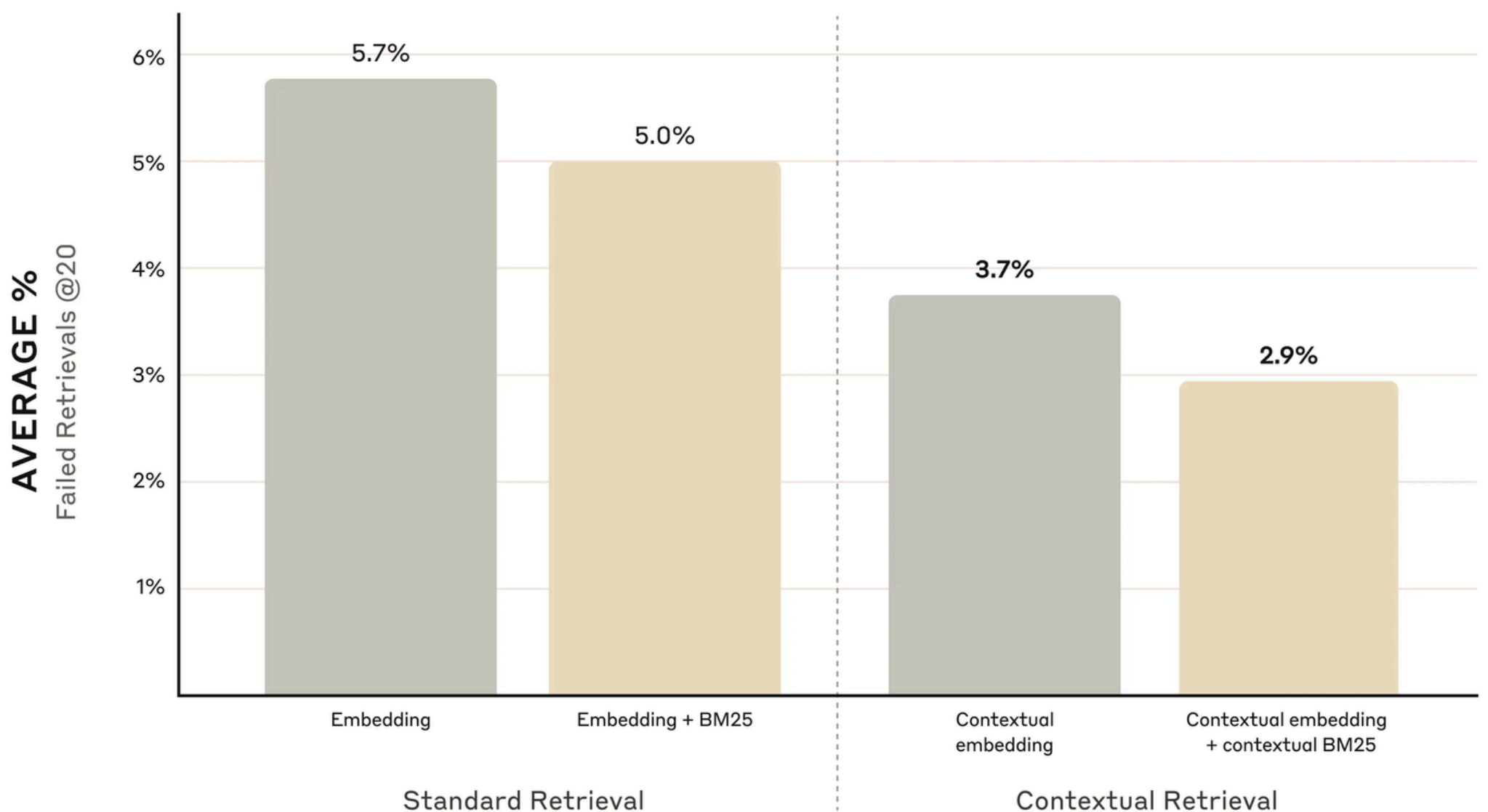
Here is the chunk we want to situate within the whole
document
<chunk>
{{CHUNK_CONTENT}}
</chunk>

Please give a short succinct context to situate this chunk
within the overall document for the purposes of improving
search retrieval of the chunk. Answer only with the
succinct context and nothing else.
```

**Prompt to create contextual retrieval**

# Performance improvements

- 1 Contextual Embeddings: **35% reduction** in retrieval failures.
- 2 Contextual Embeddings + Contextual BM25: **49% reduction** in retrieval failures.

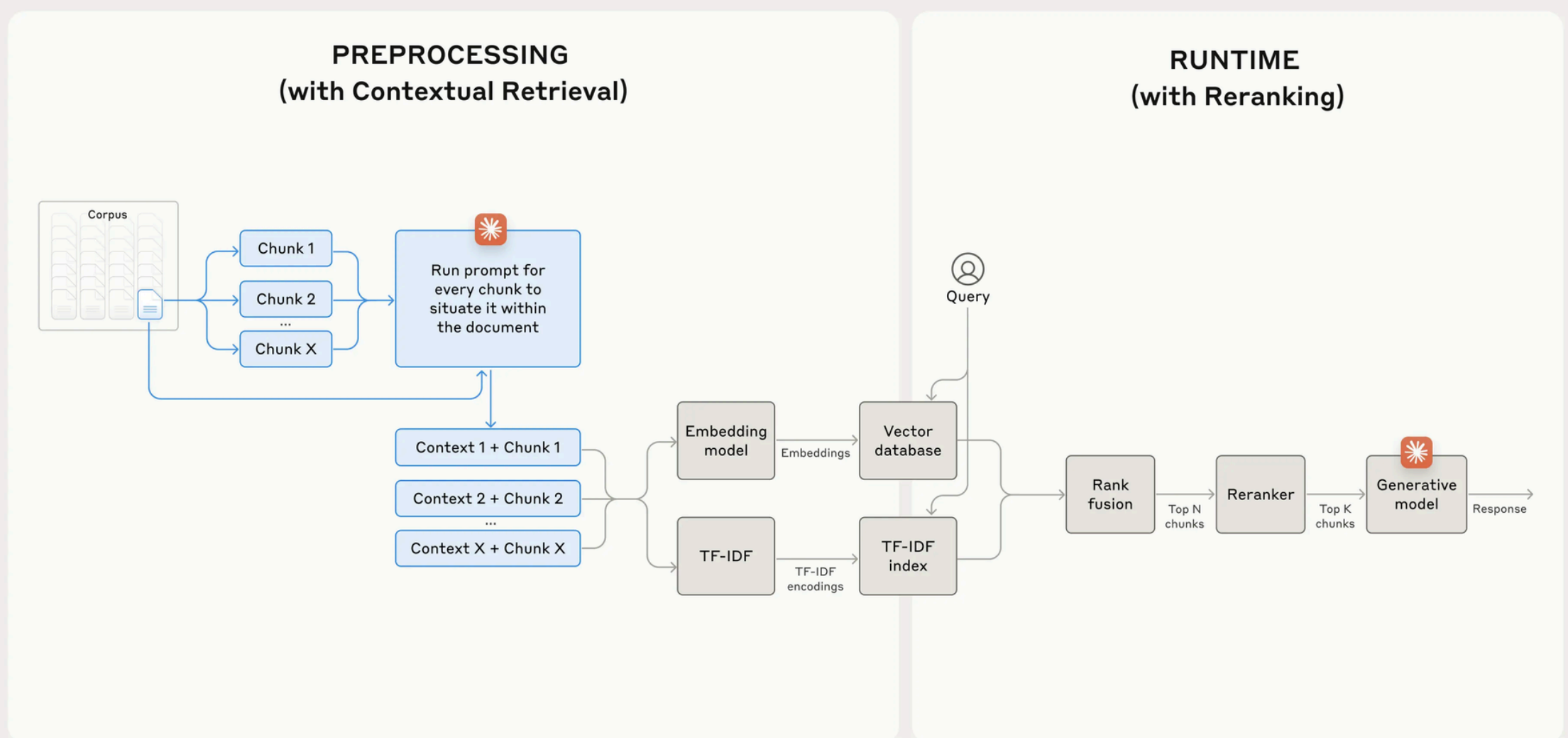




# Further boosting performance with Reranking

① Incorporating reranking:  
**67% reduction** in retrieval failures.

## Combined



# Implementation Considerations

1

**Chunk Boundaries:** Chunk size, boundary, and overlap affect retrieval performance.

2

**Embedding Model:** Some models perform better; Gemini and Voyage were effective.

3

**Custom Prompts:** Tailored prompts may yield better results, e.g., including glossary terms.

4

**Number of Chunks:** More chunks improve context but can distract models; 20 chunks was effective.

5

**Always Evaluate:** Improve response generation by distinguishing context from the chunk.



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