



Master's thesis

Master's Programme in Computer Science

# Public copyright licenses in Software Engineering: A Systematic Multivocal Literature Review

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<p>Public copyright licenses (PCL) play a major part in software engineering. For example in open source there must be an appropriate PCL attached to the source code in order for open-source software to be freely available for possible modification and redistribution. Understanding PCLs can be difficult. This could stem from the legal nature of the license texts and the large number of already-existing PCLs. sub-problem here. thesis' contribution to solution here.</p> <p>Tell about the research method here.</p> <p>Tell about the results here.</p> <p>Tell about the discussion here.</p>			
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# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Research goal, questions and contributions . . . . .	2
1.2	Thesis structure . . . . .	3
1.3	Background and terminology of PCLs . . . . .	3
<b>2</b>	<b>Methods</b>	<b>7</b>
2.1	Research questions . . . . .	9
2.2	Search stragegy . . . . .	10
2.2.1	Search method . . . . .	10
2.2.2	Search scope and terms . . . . .	10
2.3	Search process . . . . .	10
2.4	Inclusion and exclusion criteria . . . . .	10
2.5	Quality and evidence criteria . . . . .	10
2.6	Data collection and data analysis . . . . .	10
<b>3</b>	<b>Results</b>	<b>11</b>
3.1	Placeholder question (RQ1) . . . . .	11
3.2	Placeholder question (RQ2) . . . . .	11
3.3	Placeholder qiestion (RQ3) . . . . .	11
<b>4</b>	<b>Discussion</b>	<b>12</b>
4.1	Implications for research . . . . .	12
4.2	Implications for software engineering professionals . . . . .	12
4.3	Limitations and threats to validity . . . . .	12
4.3.1	Limitations of license selection for review . . . . .	12
4.3.2	Limitations in data extraction . . . . .	12
<b>5</b>	<b>Conclusions</b>	<b>13</b>
5.1	Future research . . . . .	13

- A Primary literature identified in the search process and their inclusion/exclusion criteria
- B Primary literature reviewed in the quality criteria step and manual exclusions reasons
- C Primary literature reviewed, read in full and data extracted

# 1 Introduction

PCLs play a major part in software engineering. For example in open source there must be an appropriate PCL attached to the source code in order for open-source software to be freely available for possible modification and redistribution. Because open source is central to software engineering the licenses enabling open source must also be considered important in the same context.

Public copyright license is defined by Wikipedia with the following words (Category:PCLs, 2012):

”A PCL is a copyright license where the licensees are not limited. Examples include free content, open content, Creative Commons, free software and open source licences.”

Understanding PCLs can be difficult. This could stem from the legal nature of the license texts and the large number of already-existing PCLs. The license texts usually favors correctness over the readability for the developer. This is because the license text has to act as a valid legal instrument otherwise it cannot be endorsed (Ferguson, 2006). The lack of understanding of PCLs leaves too much room for interpretation. In June 21, 2023 International Business Machines’ (IBM) Red Hat seemingly violated a PCL, the GNU General Public License version 2 (GPL-2.0) (Kuhn, 2023) (McGrath, 2023). This was an unpleasant surprise to the public since the project behind GNU General Public License (GPL), GNU Project initially attempted to ensure the users via the GPL have to the following three freedoms (GNU, 1996):

- Freedom 1: The freedom to study how the program works, and change it so it does your computing as you wish. Access to the source code is a precondition for this.
- Freedom2: The freedom to redistribute copies so you can help others
- Freedom 3: The freedom to distribute copies of your modified versions to others. By doing this you can give the whole community a chance to benefit from your changes. Access to the source code is a precondition for this.

Regardless, IBM’s Red Hat essentially rendered the previously public Red Hat Enterprise

Linux (RHEL) into proprietary software. If the licenses would be more easily understood the proprietarization of RHEL would have been less of a surprise to the users.

On top of PCL details, software engineers in general have a tough time understanding the basic goals of PCLs used in software engineering. In the instance of the RHEL incident it would not have been a big surprise to software engineers if they would have known about other licenses and what they try to achieve or how old is GPLv2 and why it has been succeeded by GNU General Public License version 3 (GPL-3.0).

This thesis' goal is to contribute into the solving these problems in a structured manner. First we state definitions and terminology used in the scope of this thesis. We go over the reasons why there does not exist consistent terminology in this area and why the conversely the definitions are the most stabile ones in this area. Second we take a deep dive into the multivocal literature through a systematic literature review. To make more information available, a mapping study connected to the terminology scope defined in the first step is needed. Third includes our own suggestions and basic knowledge for professionals and academics in the industry to enhance the understanding of PCLs in software engineering. This step also includes discussion of the future research and contributes to stablizing the terminology and reinforcing the already-existing definitions in the academic field.

## 1.1 Research goal, questions and contributions

The secondary goal of this research is to conduct a systematic multivocal literature review of the current state of PCLs in software engineering, the evaluation of the them and the evidence level of the research. The research aims to provide a novel perspective on relevant licenses and to extract key findings through a rigorous literature review process. The research questions of the review are:

- RQ1: How many PCLs are there in software engineering?
- RQ2: What is the average length of a PCL in software engineering?
- RQ3: How often do PCLs in software engineering change?
- RQ4: How have PCLs in software engineering changed?
- RQ5: Why do PCLs in software engineering get new versions?



Terms such as open source, source code, software freedom and other vocabulary must be defined in the scope of this thesis. Section 1.3 will examine this plethora of terminology and definitions and will be used to establish a sound basis for discussing this broad subject.

This study has two goals: to provide rigorous research on PCLs to the academic field and to provide insights to the professional field of software engineering on PCLs. The grand goal of this thesis is to raise awareness of the importance of PCLs so that more licensors would make the correct choices based on their situations and needs in a mindful way.

## 1.2 Thesis structure

This thesis follows the IMRaD structure. Chapter 1 introduces the problem, this thesis' possible contributions and some further background. Chapter 2 goes over the process and the methods of the systematic multivocal literature review. Biggest part of the research happens here. Chapter 3 presents results to the research questions. Chapter 4 discusses implications for research. The chapter also discusses software engineering professionals in the thesis' context and the validity of the thesis' research. Chapter 5 concludes this thesis with the help of the research questions and the future of the research.

## 1.3 Background and terminology of PCLs

The current terminology is used with different definitions which leads to inconsistencies in the field of software engineering. For example The Open Source Initiative (OSI) classifies GPL-3.0 under the term "open source" whereas the Free Software Foundation (FSF) classifies GPL-3.0 under the term "free software" (OSI, 2008)(Stallman, 2009). This is because their definitions on open source and free software differ from each other. Some parts of the two definitions are even mutually exclusive. This is rarely mentioned when people talk about Free and Open Source Software (FOSS) or Free / Libre and Open Source Software (FLOSS) which leads to misunderstanding that the two approaches are the same. This is why our focus will be PCLs in software engineering, which distinguishes our investigation from the broader topic of PCLs or the copyright law. This includes also PCLs that are not approved by the FSF nor OSI hence not falling under the group of FLOSS licenses. In this section we aim to increase the accessibility of our discussion by providing a concise overview of the background of the field of PCLs and the terms we employ.

To explain our emphasis on PCLs in software engineering, it is essential to examine the other possible areas of interest in PCLs. Our study classifies such efforts into eight domains as mentioned by the GNU Project (GNU, 2023).

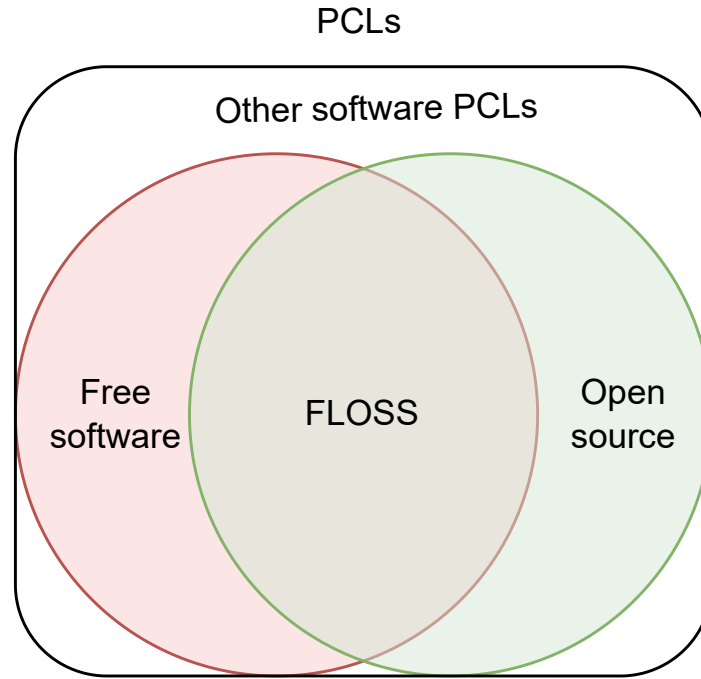
These domains include:

- PCLs in software engineering
- PCLs in documentation for example architecture documentation of a project that may or may not be software or even publicly licensed
- PCLs in artistic works for example digital art, music or videos
- PCLs in educational works
- PCLs in fonts
- PCLs in viewpoints
- PCLs in physical objects
- PCLs in other works

The primary aim of this study is to investigate PCLs in software engineering process. However, it is important to acknowledge that PCLs in software engineering are only aspect of PCLs. These additional dimensions are crucial in adoption and implementation of PCLs in software engineering, but they are not the focus of this thesis.

For example, including artistic works such as music would require us to understand the basics of music theory and what sets apart distinct pieces of music from one another, something that could be outside the skillset of the author. While developing a comprehensive theory, framework, and tooling for PCLs as a whole is a gargantuan task beyond the scope of a single thesis, narrowing our focus to software engineering enables us to examine a more concise and complete aspect of the main topic of this thesis.

As significant point of clarification, it is essential to acknowledge that PCLs are generally meant to be used as valid legal instruments. The question whether or not a PCL can act as a legal instrument is critical to the main function of these licenses. However, this thesis will not focus on the legal doctrine aspects either. The enforceability of PCLs has seen discussion in the academic field of law since the dawn of PCLs and since there's already an academic base for research it is likely the discussion seems to continue on with a healthy amount of activity (Duisburg, 2011).



**Figure 1.1:** PCLS in software engineering

Since the most recognized PCLS in software engineering in public are either open-source licenses or free-software licenses and since both paradigms are driven by different organizations with very different goals and values, it is understandable how non-standardized the terminology in the scope of PCL in SE is. The example given in the first section of this sub-chapter illustrates the challenges involved in maintaining consistency in the use of terminology in this emerging field and further warrants a closer inspection of the terminology to emphasize our own standing in the field.

To provide an understanding of the terminology used in this thesis, a Venn diagram is presented in Figure 1.1, which contextualizes the non-standardized terminology within the PCL scope as a whole. This perspective provides an increased understanding of where different subdomains fall in the larger picture of PCLS. Furthermore it is essential to note that PCLS in software engineering encompasses different aspects that require a closer examination.

Let us explore further the differences and similarities between open source and free software at the software engineering level of PCLS. This is a crucial step since we can see from the approximation in Figure 1.1 that the majority of PLCs are either free software, open source or both. We glanced over the free software definition in the first section of Chapter 1. Open Source Initiative defines open-source licenses in the Open Source Definition briefly

in the following way (OSI, 2024):

”Open source licenses are licenses that comply with the Open Source Definition  
- in brief, they allow software to be freely used, modified, and shared.”

Like the FSF with free software, OSI has the final word on what passes as open source and what does not. For example a new software PCL will not classify as free software nor open source until the corresponding organization has acknowledged the software PCL as either free software, open source or neither. If a PCL is accepted by both FSF and OSI it will fall under the term FLOSS. If a PCL gets accepted by neither of the organization or it gets rejected by both organizations it will fall under other software PCLs in the Figure 1.1. In general free software license requirements are considered more strict than the open source license requirements. For the sake of perspective we could simplify the differences like so: free software requires the redistributions of the licensed software to be open as well but open source does not require this. The terms free software and open source are in general often misunderstood or just thought of as FLOSS collectively because the terms have a hard time conveying their paradigms in the natural language. One would not think free software does not mean software free of charge nor would one think that open source allows closed source redistributions of the licensed software. We will glance over the impacts on the industry of these two terms in Chapter 4.

The quest to categorize every software PCL under some paradigm objectively is a complex one and cannot be comprehensively answered in a single paragraph. Therefore it is essential to continue taking the correct steps towards increasing the scientific understanding and providing the industry with examples, standards and processes to follow. However, as the following chapters reveal, a significant amount of effort is still being spent on solving the same problem multiple times, rather than building on existing knowledge and finding the next problem to solve. This thesis aims to contribute to mitigating this challenge by providing a rigorous analysis of the current state of the field. As the knowledge, conventions, and terminology take shape, we can look forward to reaching a state where less effort is spent on defining concepts and more on practical problem-solving.

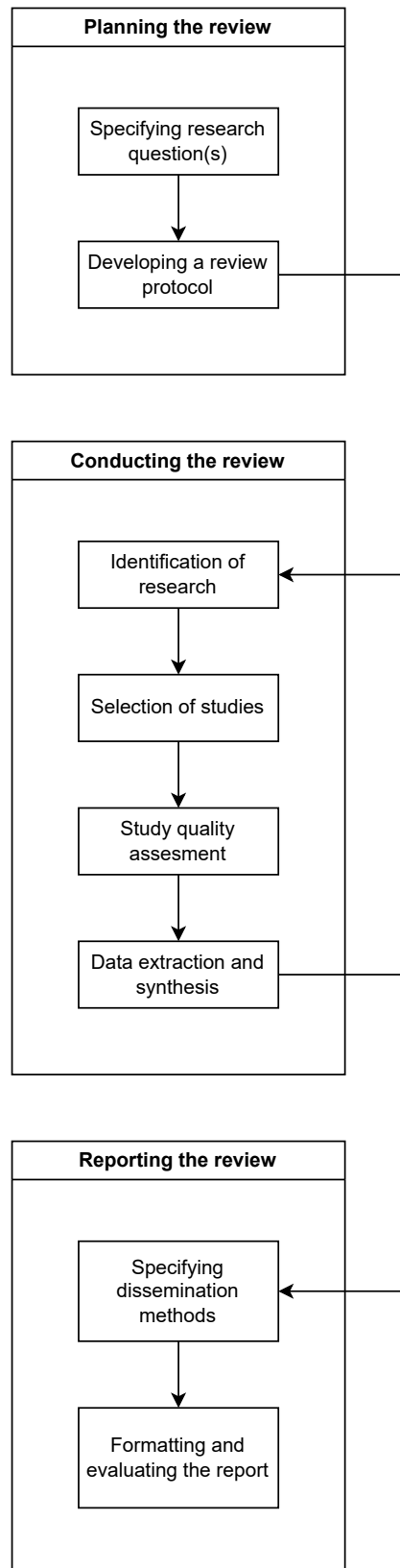
## 2 Methods

This chapter aims to establish a precisely defined and rigorous research approach to enhance transparency and repeatability. We will take the steps required to ensure that every phase and decision is thoroughly documented, enabling the reader to retrace the research process. In a thesis made by a single researcher the lack of cross-examination of results with multiple researchers and the validation of evaluation criteria for opinion bias pose threats to validity, as will be clarified further in Chapter 4. Therefore, special attention will be paid to address these concerns. By following this approach, this research endeavors to contribute to the existing body of knowledge in the field of computer science in a robust and reliable manner.

The systematic literature review method is a well-established approach for conducting a comprehensive and rigorous analysis of the existing research on specific research question or subject (Kitchenham and Charters, 2007). This method was selected for this study to facilitate a thorough and scientifically interdisciplinary examination of PCLs in software engineering. The existing literature consists of PCLs and as such are considered gray literature, making the thesis a multivocal literature review. The method of a systematic literature review is still conducted the same way.

This study follows the guidelines outlined by Kitchenham and Charters, 2007, to ensure its quality. The systematic review method consists of three distinct phases: planning, conducting and reporting the review. This study strictly adhered to this structure. The phases can be further broken down into a research protocol, as illustrated in Figure 2.1. Adhering to the protocol is the first step in ensuring a well-documented and rigorous process, which increases the validity and auditability of the study.

The systematic literature review process began with the formulation of research questions and the establishment of a comprehensive search strategy and scope. The search process was conducted by employing a quasi-gold standard (QGS) approach based on the implementation by Zhang and Ali Babar, 2010. After the completion of the search process, the inclusion and exclusion criteria were defined, and a strategy was developed for assessing the quality of the multivocal literature that met these criteria. To ensure a structured evaluation of the literature, a data extraction form was created. Finally, a strategy for analyzing the extracted data from the literature was designed.



**Figure 2.1:** Three phases of a systematic literature review

To ensure the reliability and validity of the research protocol, it was validated against similar systematic literature reviews in computer science, the aforementioned guidelines by Kitchenham and Charters, 2007, and was further refined through an iterative process. Specifically, a subset of the data was tested on (The QGS) and any identified issues or problems were recorded and addressed. The details of this process are explained and thoroughly documented in the following sections. Similarly, the same approach was followed for the data extraction process, whereby a subset of literature was tested to refine the data extraction form. The revision of the form was undertaken as necessary to guarantee the completeness and accuracy of the extracted data.

## 2.1 Research questions

The research questions in this study served two primary purposes. Firstly, they aimed to provide an analysis of the existing multivocal literature on PCLs in software engineering for the researchers interested about the field. Secondly, the questions were designed to cater a secondary audience of professional software engineering practitioners. As discussed in the Chapter 1, the following research questions were addressed in this thesis:

- RQ1: How many PCLs are there in software engineering?
- RQ2: What is the average length of a PCL in software engineering?
- RQ3: How often do PCLs in software engineering change?
- RQ4: How have PCLs in software engineering changed?
- RQ5: Why do PCLs in software engineering get new versions?

The systematic literature review in this thesis begins with addressing RQ1, which aims to provide the amount of PCLs that exist in software engineering. The review takes into account attributes like versions, supersedences to a different license family, formal or otherwise and recognizability. These attributes give us different amounts to existing PCLs in software engineering. This information could be most valuable for the practitioners out of all the research questions in the thesis since it could give some sense of the scale when picking a PCL that would serve the practitioners' needs the best.

Next RQ2 seeks to find the average length of the text of a PCL in software engineering. This research question has attributes like the number of characters, sentences, distinct

sections and the size of the license on a computer screen. This information could be valuable for the practitioners working directly within the meta plane of PCLs in software engineering.

Finally RQs 3 to 5 review changes related to PCLs in software engineering.

## **2.2 Search stragey**

### **2.2.1 Search method**

### **2.2.2 Search scope and terms**

## **2.3 Search process**

## **2.4 Inclusion and exclusion criteria**

## **2.5 Quality and evidence criteria**

## **2.6 Data collection and data analysis**



## 3 Results

3.1 Placeholder question (RQ1)

3.2 Placeholder question (RQ2)

3.3 Placeholder question (RQ3)

## 4 Discussion

### 4.1 Implications for research

### 4.2 Implications for software engineering professionals

### 4.3 Limitations and threats to validity

#### 4.3.1 Limitations of license selection for review

#### 4.3.2 Limitations in data extraction

# 5 Conclusions

## 5.1 Future research

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## Appendix A Primary literature identified in the search process and their inclusion/exclusion criteria

## **Appendix B Primary literature reviewed in the quality criteria step and manual exclusions reasons**



## Appendix C Primary literature reviewed, read in full and data extracted