

# Microscale models outperform mesoscale models when forecasting climate change impacts on plant populations

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## Abstract

The ability of population models to skillfully forecast future states under climate change is constrained by the limited spatial and temporal extent of demographic data. This data is often limited because it is difficult and costly to collect. An alternative is to rely on aggregate, population-level data that is easier and less costly to collect. Doing so requires assuming that population-level data accurately represents the aggregate response of the individuals that actually respond to weather. We tested this assumption using population models of four Montana grassland species fit using individual and aggregated forms of the same data. We fit population models with interannual variation in vital rates explained, in part, by climate covariates and then perturbed observed climate to compare model forecasts. Population models based on individual-level demographic data outperformed the models based on aggregate-level data in terms of accuracy and precision. The two model types produced inconsistent forecasts when we perturbed climate. Thus, it seems that, at least for the species in this location, demographic data is necessary to pick up key climate drivers of vital rates like survival that are not well resolved in aggregated data. On a pessimistic note, our work shows that even with detailed demographic data, model forecasts are extremely

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uncertain. It is becoming clear the long time series (over two decades) are necessary to produce meaningful forecasts.

*Keywords:* forecasting, climate change, grassland, integral projection model, population model

## Introduction

Population models are important tools for predicting the impacts of environmental change on species. But reconciling the scales at which population models are parameterized and the scales at which environmental changes play out remains a challenge (Clark et al. 2010, 2012, Freckleton et al. 2011, Queenborough et al. 2011). The major hurdle is that most population models, at least for plant species, are built using data from small, localized plots because parameterizing traditional population models requires tracking the fates of individuals. These models are difficult to scale up from the micro to meso-scales because the fitted parameters do not fully represent the spatial variation present at scales beyond that at which the data are collected (Sæther et al. 2007). At the same time, most demographic data is collected over short time spans. For example, the most common study duration in the COMPADRE matrix population model database is 4 years and only a few exceed 10 years (Salguero-Gómez et al. 2015). The constrained spatio-temporal extent of most demographic datasets reflects the difficulty of collecting such data, but those constraints limit our ability to extrapolate population models. Thus, our ability to use population models to predict the consequences of climate change is limited when we rely on individual-level data.

Aggregate measures of individual plant performance, such as those typically collected as part of large-scale census efforts, offer an alternative to detailed demographic data for modeling populations (Clark and Bjørnstad 2004, Freckleton et al. 2011). Such population-level data will never match the precision of individual-level data, but it is more feasible to attain a broad coverage sample when collecting coarse-scale data. This presents a difficult trade-off: on the one hand, individual-level data leads to more reliable models; on the other hand,

population-level data leads to models that will produce less precise predictions but can be applied over greater spatial and temporal extents. An open question is how well models based on population-level data compare to models based on individual-level data.

To date, relatively few studies have tried to model populations based on data other than detailed individual-level data. An important exception is an effort by Taylor and Hastings (2004) to model the population growth rate of an invasive species to investigate the best strategies for invasion control. They used a “density-structured” model where the state variable is a discrete density state rather than a continuous density measure. Building on this work, Freckleton et al. (2011) showed that density-structured models compare well to continuous models in theory, and Queenborough et al. (2011) showed the application of such methods in a study on arable weeds. In particular, Queenborough et al. (2011) provide empirical evidence that density-structured models are capable of reproducing population dynamics, even if some precision is lost when compared to fully continuous models. Thus, population models based on coarse, population-level data show promise for producing ecological forecasts at landscape and regional scales (Queenborough et al. 2011). However, none of these models included environmental covariates.

Basing population models on aggregated individual-level data in a climate change context is hampered by the fact that it is individuals that respond to climate, not populations (Clark et al. 2012). This fact puts us in uneasy proximity to an “ecological fallacy” where one deduces inference on the individual from statistical inference on the group (Piantadosi et al. 1988). For example, individual plants may respond positively to precipitation but a negative trend is observed at the population level due to increased competition among plants as they grow larger and consume more resources. Thus, it is important to ask the question: Can aggregated data be used to detect climate signals of the same sign and magnitude as individual-level data? If not, then building population models with climate covariates on aggregated data will lead to incorrect forecasts.

Here, we test the assumption that statistical and population models based on aggregated data can detect climate signals as well as models based on individual-level data. We use a unique demographic dataset that tracks the fates of individual plants from four species over 14 years to build single-species population models, since those are often used tools for ecological forecasts and climate vulnerability assessments. We first fit population models with interannual variation in vital rates explained, in part, by climate covariates. We then perturb the climate covariates to test the sensitivities of species to climate change. By doing these analyses using both individual and aggregated forms of the same data we can directly compare the two types of models.

In general, we find that population models based on detailed demographic data are more accurate and precise than models based on aggregated data. Both types of models are able to detect climate signals, as evidenced by the sensitivity of simulated equilibrium plant cover under a perturbed climate scenario. But the two types of models produce inconsistent forecasts, in some cases producing completely opposing predictions. This leads us to conclude that, at least for these species at this location, detailed demographic data is necessary to detect the “right” climate signal. A worrying caveat to our work is that forecasts from both models were very uncertain. It seems that even 14 years worth of demographic data is not enough to produce meaningful forecasts when model uncertainty is explicitly considered.

## Materials and Methods

### Study site and data

Our demographic data comes from the Fort Keogh Livestock and Range Research Laboratory in eastern Montana’s northern mixed prairie near Miles City, Montana, USA (46° 19’ N, 105° 48’ W). The dataset is freely available on Ecological Archives<sup>2</sup> (Anderson et al. 2011), and interested readers should refer to the metadata therein for a complete description. The site is

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<sup>2</sup><http://esapubs.org/archive/ecol/E092/143/>

about 800 m above sea level and mean annual precipitation (1878-2009) is 334 mm, with most annual precipitation falling from April through September. The site is grass dominated and, for the purposes of our study, we focus on the four most abundant graminoid species: *Bouteloua gracilis* (BOGR), *Hesperostipa comata* (HECO), *Pascopyrum smithii* (PASM), and *Poa secunda* (POSE).

From 1932 to 1945 individual plants were identified and mapped annually in 44 1-m<sup>2</sup> quadrats using a pantograph. The quadrats were distributed in six pastures, each assigned a grazing treatment of light (1.24 ha/animal unit month), moderate (0.92 ha/aum), and heavy (0.76 ha/aum) stocking rates (two pastures per treatment). In this analysis we account for potential differences among the grazing treatments, but do not focus on grazing×climate interactions. The annual maps of the quadrats were digitized and the fates of individual plants tracked and extracted using a computer program. Daily climate data, which we aggregated into climate variables of interest, are available for the duration of the data collection period (1932 - 1945) from the Miles City airport, Wiley Field, 9 km from the study site.

In this paper, we model populations based on two levels of data: individual and quadrat (Figure 1). The individual data is the “raw” data. For the quadrat level we data we simply sum individual areal cover for each quadrat by species. This is equivalent to a perfect census of quadrat percent cover, so we do not need to consider measurement error. Based on these two datasets we can compare population models built using individual level data and aggregated quadrat level data.

All R code and data necessary to reproduce our analysis is archived on GitHub as release 1.0 (<http://github.com/atredennick/MicroMesoForecast/releases>). That stable release will remain static as a record of this analysis, but subsequent versions may appear if we update this work.

## Stastical models of vital rates

At both levels of inference (individual and quadrat), the building blocks of our population models are vital rate regressions. For individual level data we fit models for survival, growth, and recruitment of new individuals for each species. At the quadrat level we fit a single regression model for population growth. We describe the statistical models separately since fitting the models required different approaches. All models contain five climate covariate that we chose *a priori*: “water year” precipitation at  $t-1$  (lagppt); fall through spring precipitation at  $t-1$  and  $t-2$  (ppt1 and ppt2, respectively) and mean spring temperature at  $t-1$  and  $t-2$  (TmeanSpr1 and TmeanSpr2, respectively), where  $t$  is the observation year. We also include interactions among same-year climate covariates (e.g., ppt1  $\times$  TmeansSpr1) and climate  $\times$  size interactions.

We fit all models using a hierarchical Bayesian approach, which we describe in more detail below. However, for each vital rate statistical model we also define the likelihood model we use. For the likelihood models,  $\mathbf{Y}$  is always the relevant vector of observations (e.g., whether a genet survived [1] or not [0] from year  $t$  to  $t + 1$ ).

**Vital rate models at the individual level** We used logistic regression to model survival probability ( $S$ ) of genet  $i$  from species  $j$  in quadrat group  $Q$  from time  $t$  to  $t + 1$ :

$$\text{logit}(S_{ijQ,t}) = \gamma_{j,t}^S + \phi_{jQ}^S + \beta_{j,t}^S x_{ij,t} + \omega_j^S w_{ij,t} + \theta_{jk}^S C_{k,t} + \varepsilon_t^S \quad (1)$$

$$Y_{ijQ,t}^S \sim \text{Bernoulli}(S_{ijQ,t}) \quad (2)$$

where  $x_{ij,t}$  is the log of genet size,  $\gamma_{j,t}^S$  is a year-specific intercept,  $\beta_{j,t}^S$  is the year-specific slope parameter for size,  $\phi_{jQ}^S$  is the random effect of quadrat group location, and  $\theta_k^S$  is the fixed parameter for the effect of the  $k$ th climate covariate at time  $t$  ( $C_{k,t}$ ). We include density-

dependence by estimating the effect of crowding on the focal individual by other individuals of the same species.  $\omega$  is the effect of crowding and  $w_{t,Q}$  is the crowding experienced by the focal individual at time  $t$  in quadrat group  $Q$ .

We modeled growth as Gaussian process describing genet size at time  $t + 1$  as a function of size at  $t$  and climate covariates:

$$x_{ijQ,t+1} = \gamma_{j,t}^G + \phi_{jQ}^G + \beta_{j,t}^G x_{ij,t} + \omega_j^G w_{ij,t} + \theta_{jk}^G C_{k,t} \quad (3)$$

$$Y_{ijQ,t}^G \sim \text{Normal}(x_{ijQ,t+1}, \sigma_j) \quad (4)$$

where  $x$  is log genet size and all other parameters are as described for the survival regression.

Our data allows us to track new recruits, but we cannot assign a specific parent to new genets.

So, for recruitment, we work at the quadrat level and model the number of new individuals of species  $j$  in quadrat  $q$  recruiting at time  $t + 1$  as a function of quadrat “effective cover” ( $A'$ ) in the previous year ( $t$ ). Effective cover is a mixture of observed cover ( $A$ ) in the focal quadrat ( $q$ ) and the mean cover across the entire group ( $\bar{A}$ ) of  $Q$  quadrats in which  $q$  is located:

$$A'_{jq,t} = p_j A_{jq,t} + (1 - p_j) \bar{A}_{jQ,t} \quad (5)$$

where  $p$  is a mixing fraction between 0 and 1 that is estimated within the model.

We assume the number of individuals,  $Y^R$ , recruiting at time  $t + 1$  follows a negative binomial distribution:

$$Y_{jq,t+1}^R \sim \text{NegBin}(\lambda_{jq,t+1}, \zeta) \quad (6)$$

where  $\lambda$  is the mean intensity and  $\zeta$  is the size parameter. We define  $\lambda$  as:

$$\lambda_{jq,t+1} = A'_{jq,t} e^{(\gamma_{j,t}^R + \phi_{jQ}^R + \theta_{jk}^R C_{k,t} + \omega^R \sqrt{A'_{q,t}})} \quad (7)$$

where  $A'$  is effective cover ( $\text{cm}^2$ ) of species  $j$  in quadrat  $q$  and all other terms are as in the survival and growth regressions.

**Population model at the quadrat level** The statistical approach used to model vital rates using aggregated data depends on the type of data collected. In our case, and as is often the case with census data, we have percent cover data (which can easily be transformed to proportion data, of course). We first considered fitting three vital rate models analagous to those we fit at the individual level: one for probability of extirpation within a quadrat (analagous to survival), one for cover change within a quadrat (analagous to growth), and one for probability of colonization within a quadrat (analagous to recruitment). However, within-quadrat extirpation and colonization events were rare in our time series ( $N = 9$  and  $N = 10$ , respectively across all species). Given the broad spatial distribution of the quadrats we are studying, it is safe to assume that these events are in fact rare enough to be ignored for our purposes. So we constrained our statistical modeling of vital rates at the population level to change in percent cover within quadrats. For the remaining discussion of statistical modeling we refer to proportion data, which is simply percent data divided by 100.

An obvious choice for fitting a linear model to proportion data is beta regression because the support of the beta distribution is  $[0,1]$ , not including true zeros or ones. However, when we used fitted model parameters from a beta regression in a quadrat-based population model the simulated population tended toward 100% cover for all species. We therefore chose a more constrained modeling approach based on a truncated log-normal likelihood. The model for quadrat cover change ( $G$ ) from time  $t$  to  $t + 1$  is



$$x_{jq,t+1} = \gamma_{j,t}^G + \phi_{jQ}^G + \beta_{j,t}^G x_{jq,t} + \theta_{jk}^S C_{k,t} \quad (8)$$

$$Y_{jq,t+1}^G \sim \text{LogNormal}(x_{jq,t+1}, \tau j) \text{T}[0, 1] \quad (9)$$

where  $x_{jq,t}$  is the log of species'  $j$  proportional cover in quadrat  $q$  at time  $t$  and all other parameters are as in the individual-level growth model (Eq. #). The log normal likelihood includes a truncation ( $\text{T}[0,1]$ ) to ensure that predicted values do not exceed 100% cover.

## Model fitting

Our Bayesian approach to fitting the vital rate models required choosing appropriate priors for unknown parameters and deciding which, if any, of those priors should be hierarchical. We decided to fit models where all terms were fit by species. Within a species, we fit yearly size effects and yearly intercepts hierarchically where year-specific coefficients were drawn from global distributions representing the mean size effect and intercept. We used flat, uninformative priors for all unknown parameters (Appendix X).

All of our analyses (model fitting and simulating) were conducted in R (R Core Development Team 2013). We used the 'No-U-Turn' MCMC sampler in Stan (Stan Development Team 2014a) to estimate the posterior distributions of model parameters using the package 'rstan' (Stan Development Team 2014b). We obtained posterior distributions for all model parameters from three parallel MCMC chains run for 1,000 iterations after discarding an initial 1,000 iterations. We recognize such short MCMC chains may surprise those more familiar with other MCMC samplers (i.e. JAGS or WinBUGS), but the Stan sampler is exceptionally efficient, which reduces the number of iterations needed to achieve convergence. We assessed convergence visually and made sure scale reduction factors for all parameters were less than 1.01. For the purposes of including parameter uncertainty in our population models, we saved the final 1,000 iterations from each of the three MCMC chains for all parameters to be used

as randomly drawn values during population simulation. This step alleviates the need to reduce model parameters by model selection since sampling from the full parameter space in the MCMC ensures that if a parameter broadly overlaps zero, on average the effect in the population models will also be near zero.

## Population models

With the posterior distribution of the vital rate statistical models in hand, it is straightforward to simulate the population models. We used an Integral Projection Model (IPM) to model populations based on individual level data and an quadrat based version of an individually-based model (Quadrat-Based Model, QBM) to model populations based on quadrat level data. We describe each in turn.

**Integral projection model** We use an environmentally stochastic IPM (Rees and Ellner 2009) that includes the random year effects and the climate covariates from the vital rate statistical models. But note that we can, and do for some simulations, ignore the random year effects so that only the climate effects can drive interannual variation. Our IPM follows the specification of Chu and Adler (2015) where the population of species  $j$  is a density function  $n(u_j, t)$  giving the density of sized- $u$  genets at time  $t$ . Genet size is on the natural log scale, so that  $n(u_j, t)du$  is the number of genets whose area (on the arithmetic scale) is between  $e^{u_j}$  and  $e^{u_j+du}$ . So, the density function for any size  $v$  at time  $t + 1$  is

$$n(v_j, t + 1) = \int_{L_j}^{U_j} k_j(v_j, u_j, \bar{\mathbf{w}}_j(u_j))n(u_j, t) \quad (10)$$

where  $k_j(v_j, u_j, \bar{\mathbf{w}}_j)$  is the population kernel that describes all possible transitions from size  $u$  to  $v$  and  $\bar{\mathbf{w}}_j$  is a vector of estimates of average crowding experienced from all other species by a genet of size  $u_j$  and species  $j$ . The integral is evaluated over all possible sizes between predefined lower ( $L$ ) and upper ( $U$ ) size limits that extend beyond the range of observed

222 genet sizes.

223 The population kernal is defined as the joint contributions of survival ( $S$ ), growth ( $G$ ), and  
224 recruitment ( $R$ ):

$$k_j(v_j, u_j, \bar{\mathbf{w}}_j) = S_j(u_j, \bar{\mathbf{w}}_j(u_j))G_j(v_j, u_j, \bar{\mathbf{w}}_j(u_j)) + R_j(v_j, u_j, \bar{\mathbf{w}}_j), \quad (11)$$

225 which, said plainly, means we are calculating growth ( $G$ ) for individuals that survive ( $S$ )  
226 from time  $t$  to  $t+1$  and adding in newly recruited ( $R$ ) individuals of an average sized  
227 one-year-old genet for the focal species. Our stastical model for recruitment ( $R$ , described  
228 above) returns the number of new recruit produced per quadrat. Following previous work  
229 (Adler et al. 2012, Chu and Adler 2015), we assume that fecundity increases linearly with  
230 size ( $R_j(v_j, u_j, \bar{\mathbf{w}}_j) = e^{u_j} R_j(v_j, \bar{\mathbf{w}}_j)$ ) to incorporate the recruitment function in the spatially-  
231 implicit IPM.

232 We used random draws from the final 1,000 iterations from each of three MCMC chains to  
233 introduce stochasticity into our population models. At each time step, we randomly selected  
234 climate covariates from one of the 14 observed years. Then, we drew the full parameter  
235 set (climate effects and density-dependence fixed effects) from a randomly selected MCMC  
236 iteration. Using this approach, rather than simply using coefficient point estimates, ensures  
237 that relatively unimportant climate covariates (those that broadly overlap 0) have little effect  
238 on the simulation results. Since our focus was on the contribution of climate covariates to  
239 population states, we set the random year effects and the random group effects to zero.

240 **Quad-based model** Our quad-based model (QBM) perfectly mirrors its statistical de-  
241 scription (Eq. #). We use the same approach for drawing parameter values as described for  
242 the IPM.

## Model validation

To test each model’s ability to forecast the population state we made out of sample predictions using leave-one-year-out cross validation. For both levels of modeling, we fit the vital rate models using observations from all years except one, and then used those fitted parameters in the population models to perform a one-step-ahead forecast for the year whose observations were withheld from model fitting. Within each observation year, several quadrats are sampled. So we made predictions for each observed quadrat in the focal year initialized with cover the previous year. Since we were making quadrat specific predictions we incorporated the group effect on the intercept for both models. We repeated this procedure for all 13 observation years, making 100 one-step-ahead forecasts for each quadrat-year combination with parameter uncertainty included via randomdrawd from the MCMC chain as described above. Random year effects were set to zero since year effects cannot be assigned to unobserved years.

This model validation allowed us to compare accuracy and precision of the two modeling approaches (individual-level versus population-level). We first calculated the median predicted cover across the 100 simulations for each quadrat-year and then calculated the absolute error as the difference between the observed cover for a given quadrat-year and the median prediction. To arrive at mean absolute error (MAE), we then averaged the absolute error within each species across the quadrat-year specific errors. We use MAE as our measure of accuracy. To measure precision we calculated the distance between the upper and lower 90th quantiles of the 100 predictions and averaged this value over quadrat-years for each species.

## Testing sensitivity to climate covariates

Our main goal in this paper is to see if models based on aggregate level data are as sensitive to climate as models based on individual level data. So, with our fitted and validated models in hand, we ran simulations for each model type (IPM and QBM) under four climate perturbation scenarios: (1) observed climate, (2) precipitation increased by 1%, (3) temperature increased

by 1%, and (4) precipitation and temperature increased by 1%. We ran this simulations for 2,500 time steps, enough to estimate equilibrium cover after discarding an initial 500 time steps as burn-in. Each simulation was run under two parameter scenarios: (1) using mean parameter estimates and (2) using randomly drawn parameters from the MCMC chain. We use (1) to detect the overall sensitivity of equilibrium cover to climate, and we use (2) to show the impact of model uncertainty on forecast precision.

As an effort to identify potential discrepancies between IPM and QBM forecasts, we also ran simulations designed to quantify the sensitivities of individual and combined vital rates to climate for the IPM. Specifically, we ran simulations for the above climate scenarios, but applied the perturbed climate covariates to survival, growth, and recruitment vital rates individually and in pairwise combinations. This allows us to isolate the vital rate(s) most sensitive to climate. For this analysis, we used mean parameter estimates to reduce the sources of uncertainty in the sensitivity estimates.

## Results

### Comparison of forecast models

The IPM had significantly lower overall error (MAE, mean absolute error) for three species (*B. gracilis*, *H. comata*, *P. smithii*; Table 1). In no case did the QBM significantly outperform the IPM (Table 1). The IPM was consistently more precise than the QBM, with lower distances between the 90% quantiles across all species (Table 1). In general the IPM outperformed the QBM because it had (1) lower MAE for three of the four species, (2) statistically similar MAE for the one other species, and (3) considerably more precise forecasts for all species.

## Sensitivity of models to climate

## Discussion

We sought to test the assumption that the sensitivities of plant populations to climate variables can be detected equally well using either individual level data or population level data. This is an important question to answer because population models are key tools for predicting the consequences of global climate change. However, they can be of limited use when built on data from a small subset of a population in space or time. If population level data (i.e., some aggregated form of individual level data) can be used to detect climate effects on population dynamics, then we would have a cheaper and easier option for data collection over relatively large temporal and spatial extents (*e.g.* Freckleton et al. 2011).

Our analysis offers good news and bad news. The good news is that for two out of our four focal species, the QBM fared no worse than the demographically detailed IPM in terms of mean absolute (Table 1). Thus, it seems that aggregate level data can provide forecasts of similar skill as individual level data.

## Forecasting the future, and the future of forecasting

Our goal was not make any explicit forecast for the future state of these populations based on predicted climate change. But our results highlight the state of affairs in ecology when it comes to forecasting the impacts of climate change. The analysis we conducted here could be considered, with some exceptions of course, at the forefront of ecological forecasting in terms of the statistical approach employed (hierarchical Bayesian), the type of population model we used (stochastic IPM with parameter uncertainty), and the amount of data we had at our disposal (14 years of individual-level data). Yet, model predictions proved so uncertain that any forecast, when bounded with uncertainty, would be at best not useful and at worst meaningless.

<sup>313</sup> Something about fitting the models... then cite Britta's paper: 20-25 years needed!

Table 1: Accuracy (mean absolute error, MAE) and precision (90% Distance) of out of sample predictions. Forecasts were made without random year effects; only climate covariates could explain year-to-year variation. 90% Distance refers to the average distance between the upper and lower 90th percentiles of the 100 predicted values for each quadrat-year combination.

Species	Model	MAE	90% Distance	Mean Obs. Cover
BOGR	IPM	12.18	38.52	9.43
BOGR	QBM	19.66	56.50	9.26
HECO	IPM	1.22	6.47	1.15
HECO	QBM	12.35	41.11	1.18
PASM	IPM	0.19	1.65	0.42
PASM	QBM	0.55	7.78	0.42
POSE	IPM	1.37	7.64	1.25
POSE	QBM	1.79	40.59	1.27

315 *NOTES:* The IPM MAE is significantly lower at  $\alpha = 0.05$  for *B. gracilis* ( $P = 0.0012$ ), *H.*  
316 *comata* ( $P = 4.0586 \times 10^{-8}$ ), and *P. smithii* ( $P = 3.183 \times 10^{-5}$ ). MAEs are statistically  
317 similar between models for *P. secunda* ( $P = 0.0922$ ).



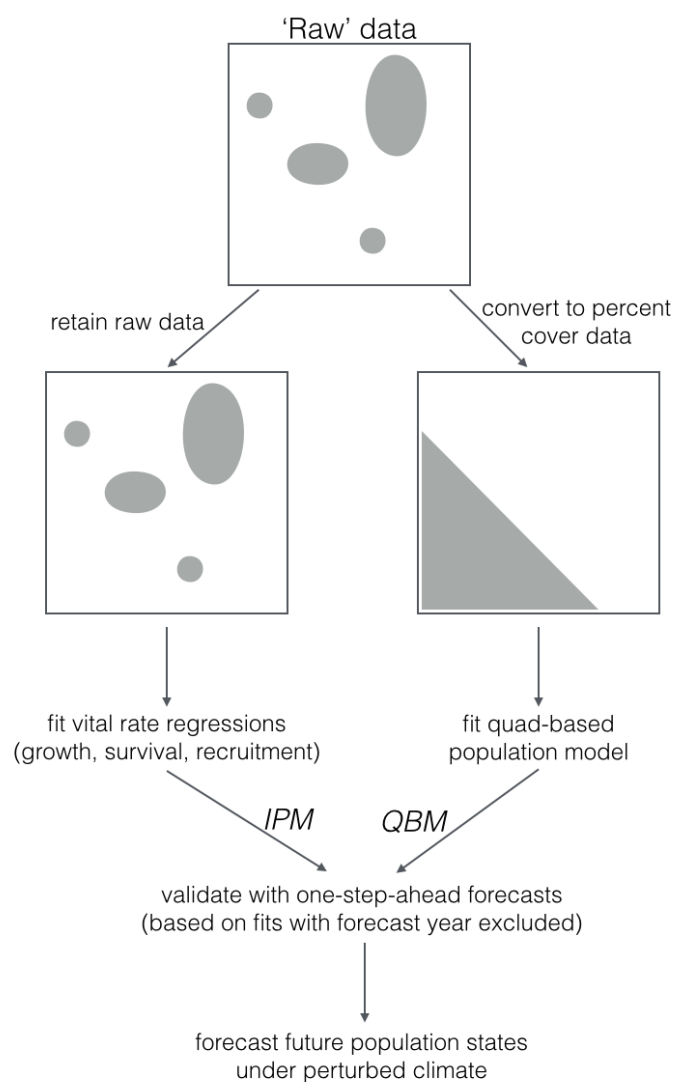


Figure 1: Work flow of the data aggregation, model fitting, and population simulating.

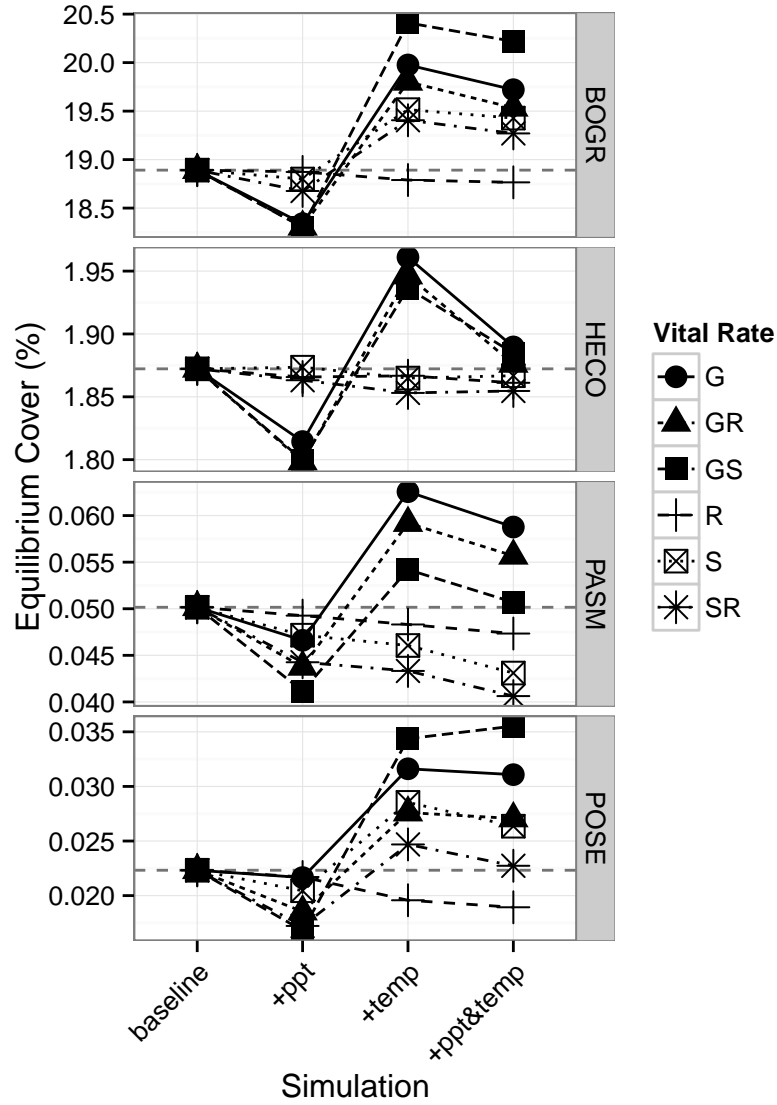


Figure 2: Sensitivity of equilibrium cover to a 1% increase in precipitation (+ppt), temperature (+temp), or both (+ppt&temp) applied to individual and combined vital rates. For example, the points associated with G show the median cover from IPM simulations where a climate perturbation is applied only to the growth regression climate covariates.

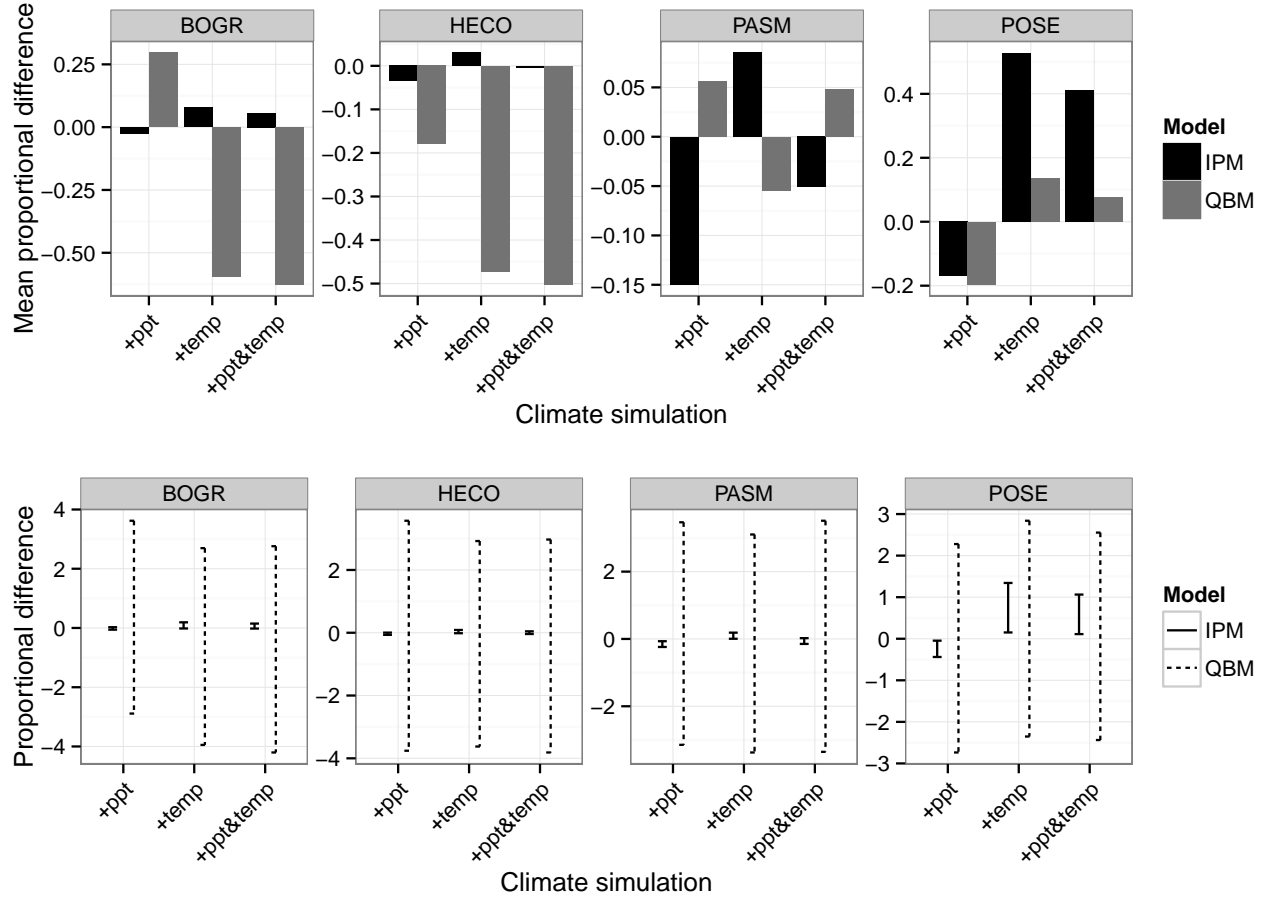


Figure 3: Proportional change in species' mean cover caused by a 1% increase in observed precipitation (+ppt), temperature (+temp), or both (+ppt&temp) as predicted by the individual-based IPM and the aggregate-based QBM. Top panels show the mean predicted proportional change in cover; lower panels show the 90% quantiles of predicted proportional cover change. Mean predictions and their associated uncertainties are shown in separate panels for visual clarity.

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