



## Pattern Recognition (PR)

Prof. Dr.-Ing. Andreas Maier Pattern Recognition Lab (CS 5), Friedrich-Alexander-Universität Erlangen-Nürnberg Winter Term 2020/21







This is a printable version of the slides of the lecture

#### Pattern Recognition (PR)

Winter term 2020/21 Friedrich-Alexander University of Erlangen-Nuremberg.

These slides are are release under Creative Commons License Attribution CC BY 4.0.

Please feel free to reuse any of the figures and slides, as long as you keep a reference to the source of these slides at https://lme.tf.fau.de/teaching/acknowledging the authors Niemann, Hornegger, Hahn, Steidl, Nöth, Seitz, Rodriguez, Das and Maier.

Erlangen, January 8, 2021 Prof. Dr.-Ing. Andreas Maier





# Adaptive Segmentation of MRI Data







#### Introduction

Magnetic Resonance Imaging (MRI) is an important acquisition technique.

#### It features:

- high spatial resolution
- good soft tissue contrast
- does not incorporate ionizing radiation (as computed tomography)

Several applications require the segmentation (classification) of the acquired images into tissue types.

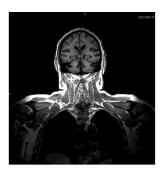




## Introduction (cont.)

#### Difficulties arise from:

- missing intensity normalization (like Hounsfield units in CT)
- · intensity inhomogeneities, also known as bias field (RF coils, acquisition sequences)





(a) with bias field

Fig.: MRI intensity inhomogeneity (Courtesy of F. Jäger)

© 2005–2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





## Introduction (cont.)

Effect of the bias field on ML segmentation:

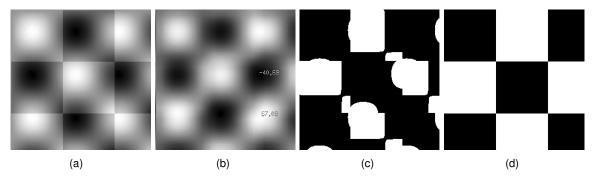


Fig.: Synthetic image (a) overlaid with artificial bias field (b), result of ML segmentation (c), result after modeling bias field within segmentation (d) (Courtesy of W. Wells).





#### Introduction (cont.)

W. M. Wells et al. presented an approach to improve MR brain segmentation (1996):

- statistical approach to intensity-based segmentation of MRI
- statistical modeling of bias field (smoothness constraint)
- usage of EM algorithm for simultaneous computation of tissue classification and intensity inhomogeneity correction

#### Typical EM problem:

- The missing data is the tissue class assignment for each pixel.
- If the tissue was classified, the bias field could easily be computed.
- If the bias field was known, the tissue classification would be much easier.

© 2005-2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





#### **Bias Field Model**

- Let  $\tilde{X}_i$  be the (unknown) intensity of the *i*-th voxel of the MRI data and  $B_i$  the corresponding bias field.
- The bias field is assumed to be multiplicative:

$$X_i = \tilde{X}_i \cdot B_i$$

Using a log-transform on the intensities yields an additive bias field model:

$$Y_i = \log X_i = \log \tilde{X}_i + \beta_i$$
, with  $\beta_i = \log B_i$ 

The bias field is then:

$$\beta = (\beta_0, \beta_1, \dots, \beta_{n-1})^T$$

with *n* being the number of voxels.





#### Bias Field Model (cont.)

- The bias field is assumed to change smoothly over the entire image domain.
- It is modeled by an *n*-dimensional zero mean Gaussian prior:

$$p(\boldsymbol{eta}) = \mathscr{N}(\boldsymbol{eta}; \mathtt{0}, \boldsymbol{\Psi}_{\boldsymbol{eta}})$$

#### Notes:

- $\Psi_{\beta}$  is a  $n \times n$ -dimensional covariance matrix
- ullet  $\Psi_{eta}$  is too large to compute directly in practice
- Instead of the full covariance matrix, a banded estimate is chosen in practice.

© 2005-2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





## **Bayesian Approach**

#### Variables:

 $Y_i$ log-transformed observed intensity at *i*-th voxel

 $\Gamma_i$ tissue class of the i-th voxel

mean intensity for tissue class  $\Gamma$  $\mu_{\Gamma}$ 

variance of tissue class Γ  $\psi_{\Gamma}$ 

The intensities are assumed to be scalar values, therefore:  $\mu_{\Gamma}, \psi_{\Gamma} \in \mathbb{R}$ 

Winter Term 2020/21





## Bayesian Approach (cont.)

Assuming statistical independence of the intensities, the probability density for the entire image  $\mathbf{Y} = (Y_0, Y_1, ..., Y_{n-1})^T$  is:

$$p(\mathbf{Y}|\mathbf{\beta}) = \prod_{i} p(Y_i|\mathbf{\beta}_i)$$

The probability of the observations is modeled as a Gaussian mixture over the tissue classes:

$$\rho(Y_i|\beta_i) = \sum_{\Gamma} \rho(Y_i, \Gamma|\beta_i) = \sum_{\Gamma} \rho(\Gamma) \rho(Y_i|\Gamma, \beta_i)$$

with

$$p(Y_i|\Gamma,\beta_i) = \mathscr{N}(Y_i;\mu_{\Gamma} + \beta_i,\psi_{\Gamma})$$

© 2005-2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





## Bayesian Approach (cont.)

#### Observations so far:

- Each tissue class is modeled with a normal distribution.
- The modeling of the observed intensity distribution yields a Gaussian mixture model.
- $p(\Gamma)$  is a stationary prior probability for the tissue class.
- The estimators for the GMM are non-linear!





## Bayesian Approach (cont.)

Using Bayes rule to derive an objective function for the bias field:

$$p(oldsymbol{eta}|oldsymbol{Y}) = rac{p(oldsymbol{Y}|oldsymbol{eta})p(oldsymbol{eta})}{p(oldsymbol{Y})}$$

Applying the MAP principle yields an estimator for the bias field:

$$\hat{\boldsymbol{\beta}}$$
 =  $\underset{\boldsymbol{\beta}}{\operatorname{argmax}} p(\boldsymbol{\beta}|\boldsymbol{Y})$   
=  $\underset{\boldsymbol{\beta}}{\operatorname{argmax}} \log p(\boldsymbol{\beta}|\boldsymbol{Y})$   
=  $\underset{\boldsymbol{\beta}}{\operatorname{argmax}} \left(\log p(\boldsymbol{Y}|\boldsymbol{\beta}) + \log p(\boldsymbol{\beta})\right)$ 

© 2005-2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





## **Gradient Computation**

At the optimum, the gradient w.r.t.  $\beta$  has to be zero:

$$\frac{\partial}{\partial \beta_{i}} \log p(\beta|\mathbf{Y}) = \frac{\partial}{\partial \beta_{i}} (\log p(\mathbf{Y}|\beta) + \log p(\beta))$$

$$= \frac{\partial}{\partial \beta_{i}} \left( \sum_{j} \log p(Y_{j}|\beta_{j}) + \log p(\beta) \right)$$

$$= \frac{\frac{\partial}{\partial \beta_{i}} p(Y_{i}|\beta_{i})}{p(Y_{i}|\beta_{i})} + \frac{\frac{\partial}{\partial \beta_{i}} p(\beta)}{p(\beta)}$$

$$\stackrel{!}{=} 0.$$

© 2005–2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





## **Gradient Computation (cont.)**

$$\frac{\frac{\partial}{\partial \beta_{i}} p(Y_{i} | \beta_{i})}{p(Y_{i} | \beta_{i})} = \underbrace{\frac{\sum_{\Gamma} p(\Gamma) \frac{\partial}{\partial \beta_{i}} \mathcal{N}(Y_{i}; \mu_{\Gamma} + \beta_{i}, \psi_{\Gamma})}{\sum_{\Gamma} p(\Gamma) \mathcal{N}(Y_{i}; \mu_{\Gamma} + \beta_{i}, \psi_{\Gamma})}}_{\text{substitute GMM}}$$

$$= \underbrace{\frac{\sum_{\Gamma} p(\Gamma) \mathcal{N}(Y_{i}; \mu_{\Gamma} + \beta_{i}, \psi_{\Gamma})}{\sum_{\Gamma} p(\Gamma) \mathcal{N}(Y_{i}; \mu_{\Gamma} + \beta_{i}, \psi_{\Gamma})}}_{\sum_{\Gamma} p(\Gamma) \mathcal{N}(Y_{i}; \mu_{\Gamma} + \beta_{i}, \psi_{\Gamma})}$$

$$= \underbrace{\sum_{\Gamma} W_{i\Gamma} (\psi_{\Gamma}^{-1}(Y_{i} - \mu_{\Gamma} - \beta_{i}))}_{\Gamma}$$

Weight for the *i*-th voxel and tissue class  $\Gamma$ :

$$W_{i\Gamma} := \frac{\rho(\Gamma) \cdot \mathcal{N}(Y_i; \mu_{\Gamma} + \beta_i, \psi_{\Gamma})}{\sum_{\Gamma} \rho(\Gamma) \cdot \mathcal{N}(Y_i; \mu_{\Gamma} + \beta_i, \psi_{\Gamma})}$$

© 2005-2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





## **Gradient Computation (cont.)**

Rewriting the equation:

$$\frac{\frac{\partial}{\partial \beta_{i}} p(Y_{i} | \beta_{i})}{p(Y_{i} | \beta_{i})} = \sum_{\Gamma} W_{i\Gamma} \left( \psi_{\Gamma}^{-1} (Y_{i} - \mu_{\Gamma} - \beta_{i}) \right) 
= \sum_{\Gamma} W_{i\Gamma} \psi_{j}^{-1} (Y_{i} - \mu_{\Gamma}) - \sum_{\Gamma} W_{i\Gamma} \psi_{\Gamma}^{-1} \beta_{i} 
= \overline{R_{i}} - \overline{\psi^{-1}}_{i} \beta_{i}$$

Mean residual:

$$\overline{R_i} := \sum_{\Gamma} W_{i\Gamma} \ \psi_{\Gamma}^{-1} \left( Y_i - \mu_{\Gamma} \right)$$

Mean inverse variance:

$$\overline{\psi^{-1}}_i := \sum_{\Gamma} W_{i\Gamma} \, \psi_{\Gamma}^{-1}$$





## **Gradient Computation (cont.)**

Finishing gradient computation:

$$abla_{oldsymbol{eta}} \log p(oldsymbol{eta} | oldsymbol{Y}) = \overline{oldsymbol{R}} - \overline{oldsymbol{\Psi}^{-1}} oldsymbol{eta} + \frac{
abla_{oldsymbol{eta}} p(oldsymbol{eta})}{p(oldsymbol{eta})}$$

$$= \overline{oldsymbol{R}} - \overline{oldsymbol{\Psi}^{-1}} oldsymbol{eta} - oldsymbol{\Psi}_{oldsymbol{eta}}^{-1} oldsymbol{eta}$$

$$\stackrel{!}{=} 0$$

It follows that:

$$\hat{m{eta}} = m{H} \overline{m{R}} \quad ext{with } m{H} \equiv \left[ \overline{m{\Psi}^{-1}} + m{\Psi}_{m{eta}}^{-1} 
ight]^{-1}$$

**H** is a linear operator that is applied to the mean residual field. In fact,  $\hat{\beta}$  can be obtained by low pass filtering of  $\overline{R}$  and  $\overline{\Psi^{-1}}$ .

© 2005-2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21

16





## **EM-Algorithm**

EM-Algorithm for the adaptive segmentation problem:

$$W_{i\Gamma} \leftarrow \frac{\rho(\Gamma) \cdot \mathcal{N}(Y_i | \mu_{\Gamma} + \beta_i, \psi_{\Gamma})}{\sum_{\Gamma} \rho(\Gamma) \cdot \mathcal{N}(Y_i | \mu_{\Gamma} + \beta_i, \psi_{\Gamma})}$$
(1)

$$\hat{\boldsymbol{\beta}} \leftarrow \boldsymbol{H}\overline{\boldsymbol{R}}$$
 (2)

- E-step: equation (1) yields the posterior tissue class probabilities for a known bias
- M-step: equation (2) yields the new bias field for the current estimates for the tissue probabilities
- Result: iterating 5-10 times between the E- and the M-step is usually sufficient





## **Results**

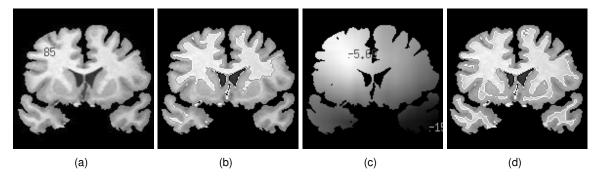


Fig.: Results of conventional segmentation (b) compared to adaptive segmentation (d) with computed bias field (c) on brain image (a) (Courtesy of W. Wells).

© 2005–2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21

18





## Results (cont.)

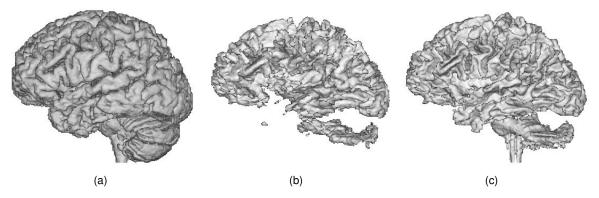


Fig.: Gray matter surface (a) for the previous image example, white matter surface of the conventional algorithm (b) and for the adaptive segmentation (c) (Courtesy of W. Wells).





## Results (cont.)

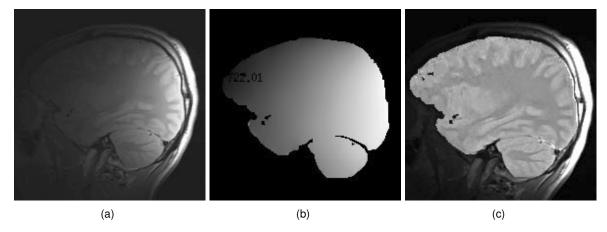


Fig.: MRI image with bias field (a), computed bias field (b) and image corrected at the brain region (c) (Courtesy of

© 2005–2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21

20





#### **Model Extensions**

Drawbacks of initial adaptive segmentation algorithm:

- · brains should be extracted from entire data
- algorithm does not incorporate neighborhood of pixels
- purely intensity-based model

#### Extensions of the algorithm:

- incorporation of atlases for spatial probability maps of tissue classes
- definition of vector space for probabilistic atlases to get shape models
- voxel neighborhood relations modeled by Markov random fields
- incorporation into Bayesian model that is solved by EM approach





## Model Extensions (cont.)

Result using an extended model:

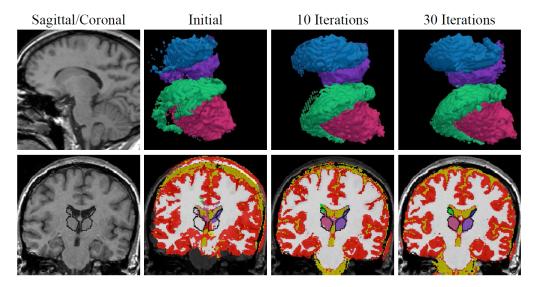


Fig.: MRI segmentation of the thalamus and caudate using an atlas-based EM segmentation algorithm (Courtesy of K. Pohl).

© 2005–2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21

22





#### **Lessons Learned**

- Bayesian approach for MRI data segmentation
- incorporation of bias field estimation
- nonlinear problem is solved iteratively using EM algorithm
- improvement of results by incorporating atlases





# Next Time in Pattern Recognition











## **Further Readings**

Original paper on adaptive MRI segmentation:

W. M. Wells, R. Kikinis, W. E. L. Grimson, F. Jolesz: Adaptive segmentation of MRI data, IEEE Transactions on Medical Imaging, 15:429-442, 1996.

• F. Jäger, J. Hornegger:

Nonrigid registration of joint histograms for intensity standardization in magnetic resonance imaging,

IEEE Transactions on Medical Imaging, 28(1):137-150, 2009.





## Further Readings (cont.)

Extensions of the model with shape models, atlas registration and MRFs:

• K. M. Pohl, J. Fisher, J. J. Levitt, M. E. Shenton, R. Kikinis, W. E. L. Grimson, W. M. Wells:

A Unifying Approach to Registration, Segmentation, and Intensity Correction,

Proc. MICCAI, pp. 310-318, 2005.

• K. M. Pohl, J. Fisher, S. Bouix, M. E. Shenton, R. W. McCarley, W. E. L. Grimson, R. Kikinis, W. M. Wells:

Using the logarithm of odds to define a vector space on probabilistic atlases,

Medical Image Analysis, 11(6), pp. 465-477, 2007.

© 2005-2020 Hornegger, Hahn, Steidl, Nöth, Maier | Pattern Recognition Lab | Lecture Pattern Recognition

Winter Term 2020/21





#### **Comprehensive Questions**

- What is the idea of combined MR segmentation and bias field correction?
- What is the E-step in this context?
- What is the M-step?
- How can the update formulas be derived?