

Certified Higher-Order Recursive Path Ordering

... that is a short story of a never-ending formalization

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OAS Group Meeting

Outline

1 Introduction

- Crash course in simply typed lambda calculus
- What is RPO?
- What is higher-order rewriting?
- What is HORPO?

2 Overview of the formalization

- Why: motivation & goals
- What: content of the formalization
- How big: size of the development
- When: history & timeline

3 Zooming-in: equivalence on terms extending α -convertibility

- Introduction to problem
- α -convertibility
- Equivalence on terms

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Simply typed lambda calculus

Simply typed lambda calculus ($\lambda \rightarrow$) is a formalism to describe computable functions introduced by Church in the 1930s.

Definition (Simple types)

Given set of sorts \mathcal{S} we define simple types as:

$$\mathcal{T} := \mathcal{S} \mid \mathcal{T} \rightarrow \mathcal{T}$$

Definition (Preterms)

We define preterms as:

$$\mathcal{P}t := x \mid f \mid @(\mathcal{P}t, \mathcal{P}t) \mid \lambda x : \mathcal{T}. \mathcal{P}t$$

Definition (Environments)

We define environment as a set of variable declarations:

$$\Gamma = \{x_1 : \alpha_1, \dots, x_n : \alpha_n\}$$

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$\lambda \rightarrow$ typing discipline

Definition (Typing judgements)

We will write **typing judgements** of the form $\Gamma \vdash t : \alpha$ to denote that in environment Γ preterm t has type α . They respect the following inference system rules:

$$\frac{x : \alpha \in \Gamma}{\Gamma \vdash x : \alpha}$$

$$\frac{f : \alpha \in \Sigma}{\Gamma \vdash f : \alpha}$$

$$\frac{\Gamma \vdash t : \alpha \rightarrow \beta \quad \Gamma \vdash u : \alpha}{\Gamma \vdash @ (t, u) : \beta}$$

$$\frac{\Gamma \cup \{x : \alpha\} \vdash t : \beta}{\Gamma \vdash \lambda x : \alpha. t : \alpha \rightarrow \beta}$$

α -conversion and β -reduction

Definition (α -conversion)

α -conversion is defined as:

$$\lambda x:\alpha.t = \lambda y:\alpha.t[x := y] \text{ if } y \text{ does not appear freely in } t \text{ and } y \text{ is not bound in } t$$

α -conversions expresses the **irrelevance of bound variable names**.

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β -reduction models computation in λ^{\rightarrow} .

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Recursive path order

- Termination is an important concept in term rewriting.
- RPO is an ordering for proving termination.
- It goes back to Dershowitz 1982.

Definition (RPO)

Given order on function symbols \triangleright called precedence and a status we define the RPO ordering \succ_{rpo} as follows:

$$s = f(s_1, \dots, s_n) \succ_{rpo} g(t_1, \dots, t_m) = t \iff$$

- 1 $s_i \succeq_{rpo} t$ for some $1 \leq i \leq n$.
- 2 $f \triangleright g$ and $s \succ_{rpo} t_i$ for all $1 \leq i \leq m$
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Theorem

RPO is a **reduction ordering** meaning that given TRS R and a **well-founded** precedence \triangleright if for every rule $\ell \rightarrow r$ of R , $\ell \succ_{rpo} r$ then **R is terminating**.

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Higher-order rewriting

There are three variants of higher-order rewriting:

HRS Higher-order rewriting systems (Nipkow)

- Can use λ -terms
- Rules restricted to patterns
- No floating redexes

AFS Algebraic functional systems (Jouannaud and Okada)

- Algebraic terms with λ -terms
- Plain pattern matching

CRS Combinatory reduction systems (Klop)

- Can be encoded via the other two

In this talk we concentrate on AFS.

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Examples of higher-order rewriting

Example (AFS for map)

$$\begin{aligned}\text{map}(\text{nil}, F) &\rightarrow \text{nil} \\ \text{map}(\text{cons}(x, l), F) &\rightarrow \text{cons}(@ (F, x), \text{map}(l, F))\end{aligned}$$

Example (AFS for summation)

Function $\Sigma(n, F)$ computes $\sum_{0 \leq i \leq n} F(i)$.

$$\begin{aligned}\Sigma(0, F) &\rightarrow @(F, 0) \\ \Sigma(s(n), F) &\rightarrow +(\Sigma(n, F), @(F, s(n)))\end{aligned}$$

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Higher-order recursive path ordering

Definition (HORPO)

$\Gamma \vdash t : \delta \succ \Gamma \vdash u : \delta$ iff one of the following holds:

- ① $t = f(t_1, \dots, t_n), \exists i \in \{1, \dots, n\} . t_i \succeq u$
- ② $t = f(t_1, \dots, t_n), u = g(u_1, \dots, u_k), f \triangleright g, t \succ \{u_1, \dots, u_k\}$
- ③ $t = f(t_1, \dots, t_n), u = f(u_1, \dots, u_k),$
 $\{\{t_1, \dots, t_n\}\} \succ_{mul} \{\{u_1, \dots, u_k\}\}$
- ④ $@(u_1, \dots, u_k)$ is a partial flattening of $u, t \succ \{u_1, \dots, u_k\}$
- ⑤ $t = @(t_l, u_r), u = @(t_l, u_r), \{\{t_l, t_r\}\} \succ_{mul} \{\{u_l, u_r\}\}$
- ⑥ $t = \lambda x : \alpha . t', u = \lambda x : \alpha . u', t' \succ u'$

where \succ is defined as:

$t = f(t_1, \dots, t_k) \succ \{u_1, \dots, u_n\}$ iff
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Motivation & Goals

Motivation: Why making such formalization?

- Verification of the theory (especially for complicated, not very well-known proofs).
- CoLoR: Coq library on rewriting and termination, <http://color.loria.fr>.
- Because it is fun.

Goal: formalization that is:

- complete (axiom-free),
- fully constructive,
- HORPO proof as close as possible to the original one,
- pure $\lambda \rightarrow$ terms.

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- Verification of the theory (especially for complicated, not very well-known proofs).
- **CoLoR**: Coq library on rewriting and termination, <http://color.loria.fr>.
- Because it is fun.

Goal: formalization that is:

- complete (axiom-free),
- fully constructive,
- HORPO proof as close as possible to the original one,
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- 1 Introduction
- 2 Overview of the formalization
 - Why: motivation & goals
 - **What: content of the formalization**
 - How big: size of the development
 - When: history & timeline
- 3 Zooming-in: equivalence on terms extending α -convertibility

Development overview

Jean-Pierre Jouannaud and Albert Rubio proved that the higher-order recursive path ordering is a higher-order reduction ordering. This works is a formal verification of this proof in the theorem prover Coq.

The core of that property is the well-foundedness of the union of HORPO relation and the β -reduction of $\lambda \rightarrow$. Hence as a corollary we get termination of $\lambda \rightarrow$.

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J.-P. Jouannaud and A. Rubio.

The higher-order recursive path ordering.

In Proceedings of the 14th annual IEEE Symposium on Logic in Computer Science (LICS '99), pages 402–411, Trento, Italy, July 1999.



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Higher-order recursive path orderings 'à la carte'

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- Definition of HORPO.
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Relative sizes of different parts of the development

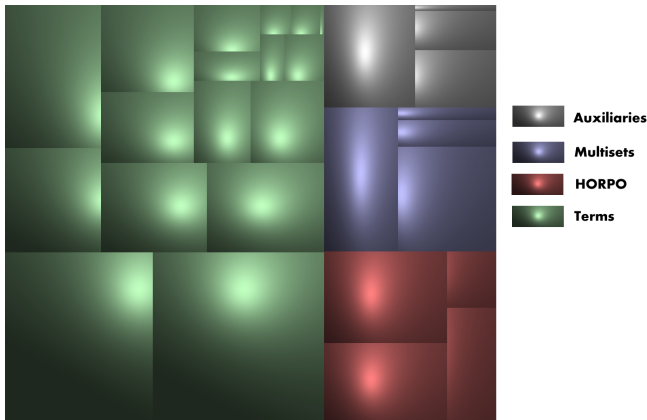


Image obtained using program SequoiaView developed at TU/e.

Development size

The development consists of:

- **29** files.
- >1000 lemmas
- >300 definitions (21 fixpoint def., 24 inductive def., 33 def. by proof)
- >22,000 script lines
- total size: >600 KB.

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Timeline of the project

Two stages of the project:

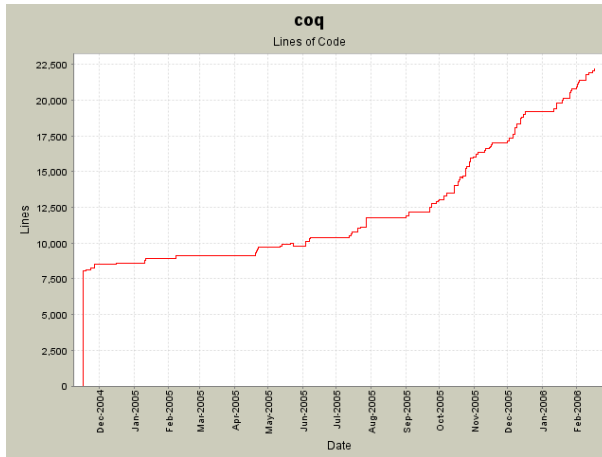
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 - Introduction to problem
 - α -convertibility
 - Equivalence on terms

Problem

We want to consider certain terms as equal (without changing calculus in any way). For instance:

- $\lambda x:\alpha. x = \lambda y:\alpha. y$
- $x:\alpha \vdash x:\alpha = x:\alpha, y:\beta \vdash x:\alpha$
- $x:\alpha \vdash x:\alpha = y:\alpha \vdash y:\alpha$

Solution: define appropriate equivalence relation on terms \sim that enjoys nice properties and covers the above equalities.

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Tackling α -convertibility

Standard solution: **de Bruijn indices**:

- **natural numbers instead of names for variables**,
- number of the variable indicates where it is bound,
- lambda binders come with no name,
- variable number indicates how many lambdas in the term tree we have to skip on the way to the root to find the binder for variable,
- in this way we get unique representation for α -convertible terms.

Example

- Identity: $\lambda x:\alpha. x = \lambda \alpha. 0 = \lambda y:\alpha. y$
- First projection: $\lambda x:\alpha. \lambda y:\alpha. x = \lambda \alpha. \lambda \alpha. 1$
- $x:\beta \vdash \lambda y:\alpha \rightarrow \beta. @ (y, x) = \beta \vdash \lambda \alpha \rightarrow \beta. @ (0, 1)$

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Standard solution: **de Bruijn indices**:

- natural numbers instead of names for variables,
- number of the variable indicates where it is bound,
- lambda binders come with no name,
- **variable number indicates how many lambdas in the term tree we have to skip on the way to the root to find the binder for variable,**
- in this way we get unique representation for α -convertible terms.

Example

- Identity: $\lambda x:\alpha. x = \lambda \alpha. 0 = \lambda y:\alpha. y$
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α -convertibility in Coq

- Environment simply becomes a list of types:

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Env: list SimpleType
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- However we need dummy variables so:

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Env: list (option SimpleType)
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- But this leads to problems...

- So we need to define custom equality for environments:

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Definition envSubset E1 E2 := forall x A, E1 |= x := A -> E2 |= x := A.  
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Outline

- 1 Introduction
- 2 Overview of the formalization
- 3 Zooming-in: equivalence on terms extending α -convertibility
 - Introduction to problem
 - α -convertibility
 - Equivalence on terms

1st naive attempt

Definition (Environment compatibility)

We say that environments Γ and Δ are compatible ($\Gamma \rightsquigarrow \Delta$) iff:

$$\left. \begin{array}{l} x:\alpha \in \Gamma \\ x:\beta \in \Delta \end{array} \right\} \implies \alpha = \beta$$

Definition (Equivalence)

Let $\Gamma \vdash t : \alpha \sim \Delta \vdash u : \beta$ iff: $t = u \wedge \Gamma \rightsquigarrow \Delta$.

- Does not address third equality: $x:\alpha \vdash x:\alpha = y:\alpha \vdash y:\alpha$,
- Even worse: no transitivity.

$$\begin{aligned} x:\beta \vdash c:\alpha &\sim \emptyset \vdash c:\alpha \sim x:\gamma \vdash c:\alpha \\ x:\beta \vdash c:\alpha &\not\sim x:\gamma \vdash c:\alpha \end{aligned}$$

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and $t \approx_{\Phi} u$ where \approx_{Φ} :

$$\begin{array}{ll} x \approx_{\Phi} y & \text{if } x \Phi y \\ f \approx_{\Phi} f & \\ @ (t_l, t_r) \approx_{\Phi} @ (u_l, u_r) & \text{if } t_l \approx_{\Phi} u_l \wedge t_r \approx_{\Phi} u_r \\ \lambda \alpha . t \approx_{\Phi} \lambda \alpha . u & \text{if } t \approx_{\Phi \uparrow 1} u \end{array}$$

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Definition (Active environment)

For $\Gamma \vdash t : \alpha$ we define **active environment** of t as $\Omega(t)$:

$$\begin{aligned}\Omega(x:\alpha) &= \{x:\alpha\} \\ \Omega(f) &= \emptyset \\ \Omega(@ (t_l, t_r)) &= \Omega(t_l) \cup \Omega(t_r) \\ \Omega(\lambda \alpha. t) &= \Omega(t) \uparrow^1\end{aligned}$$

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This works fine and enjoys a number of nice properties:

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However it is more complicated than the previous variant as it is really a property of typed terms and not of preterms and environments.

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Encoding in Coq

We need to encode Φ in Coq, that is:

- a **partial**, injective function,
- for which we must be able to compute **inversion**.
Think of proving symmetry: $t \sim_{\Phi} u \implies u \sim_{\Phi^{-1}} t$.
- In general this cannot be done in a **constructive** way...
- ...but in our case domain of Φ is **finite**.

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Record EnvSubst : Type := build_envSub {
  sub:  relation nat;
  size: nat;
  dec:  forall i j, {sub i j} + (~sub i j);
  lok:  forall i j j', sub i j -> sub i j' -> j = j';
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- **Big developments in Coq are possible...**
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