### Coq and Rewriting

Adam Koprowski (http://adam-koprowski.net)

MLstate, Paris, France (http://mlstate.com)

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#### This course

#### What this course is about:

- practical introduction to Coq,
- overview of the world of proof assistants (PAs),
- overview of use of PAs in term rewriting.

#### What this course is not about:

- Type theory.
- the <u>Calculus of Inductive Constructions</u> (the core language of Coq).

#### Outline

#### Theory + Coq tutorial + Coq practice

#### Lecture I

- Proof assistants
- Coq tutorial I (overview, basics, proofs, inductive types)
- Exercises I (introduction)

#### Lecture II

- Famous formalizations
- Certified termination competition
- Coq tutorial II (binary relations)
- Exercises II (well-foundedness of abstract relations)

#### Lecture III

- CoLoR project: Certification of termination tools
- Coq tutorial III (tacticals)
- Exercises III (correctness of string reversal)

### Part I

### Lecture I

#### Outline of Part I

- Proof assistants (PAs)
- 2 Coq tutorial I
- 3 Exercises I

#### What is a PA?

Proof assistant: an interactive proof editor, or other interface, with which a human can guide the search for proofs, the details of which are stored in, and some steps provided by, a computer.

Wikipedia

#### Proof assistants:

- are <u>computer systems</u> that allow <u>users</u> to <u>interactively</u> <u>define</u> notions and, subsequently, provide <u>formal proofs</u> of their properties.
- such proofs can be checked automatically by a computer.

# What are PAs good for?

#### PAs can assist with:

- formalization of mathematical theories,
- software/hardware verification.
  - theorem proving VS other formal methods: testing, model checking, ...

#### Typically, they will:

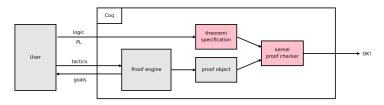
- assist the user in presenting the proof (<u>book-keeping</u> etc.),
- check validity of the proof,

#### but they will not:

- perform non-trivial steps in the proof
  - <u>automated theorem provers</u> can do that, but they have <u>limited</u> expressivity.

# Why should you trust your PA?

PA is a software — why should we believe it is not buggy?



<u>deBruijn criterion</u>: PA constructs a proof object, which can be checked by an independent (small) checker.

## Dependent types

#### Polymorphism: lists

 $\mathsf{list}_{\alpha}$  is a type of a list with elements of type  $\alpha.$ 

$$\mathsf{nil}: \forall_{\alpha:\star} \; \mathsf{list}_{\alpha}$$

$$\mathsf{cons} : \forall_{\alpha:\star} \ \alpha \to \mathsf{list}_\alpha \to \mathsf{list}_\alpha$$

### Dependent types: vectors (type-safe arrays)

 $\operatorname{vector}_{\alpha}^{n}$  is a type of an "array" of length n with elements of type  $\alpha$ .

$$\mathsf{Vnil}: \forall_{\alpha:\star} \; \mathsf{vector}_{\alpha}^{\mathsf{0}}$$

Vcons : 
$$\forall_{\alpha:\star,n:\mathbb{N}} \ \alpha \to \mathsf{vector}_{\alpha}^n \to \mathsf{vector}_{\alpha}^{n+1}$$

- Dependent types allow types to depend on values.
- Use of vectors allows to verify absence of <u>out-of-bounds</u> errors statically.

# Dependent types (ctd.)

#### Dependent types: subset type

 $\operatorname{sig}_P^\alpha$  is a subset of values of type  $\alpha$  for which predicate P holds.

exist : 
$$\forall_{\alpha:\star, P:\alpha\to\star, x:\alpha} P(x) \to \operatorname{sig}_P^{\alpha}$$

- This is the only form of dependent types available in PVS.
- This is a very powerful concept, essentially allowing to capture any correctness property in a type (allowing it to be verified statically by type-checking).

#### Example: Sorting in Coq

**Definition** sort  $(I : list \mathbb{N}) : \{I' : list \mathbb{N} \mid permutation I I' \land sorted I'\} := ...$ 

Extraction to Ocaml gives (well, almost):

val sort : int list  $\rightarrow$  int list

# Modern proof assistants (PAs)

#### **Proof assistants**

Main PAs in software verification: ACL2, Coq, Isabelle/HOL, PVS, Twelf Other PAs: Mizar, HOL, Lego, Nuprl, B method, Otter/Ivy, Alfa/Agda, PhoX, IMPS, Metamath, Theorema, Ωmega, Minlog Dependently-typed languages: Agda, Epigram

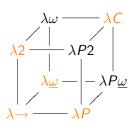
#### Important features of a PA:

- Based on higher-order functional programming language.
- Dependent types.
- Follows "de Bruijn criterion".
- Programmable proof automation.
- Proof by reflection.
- Extraction.

# The logic of Coq

Extensions to simply typed lambda calculus,  $\underline{\lambda} \rightarrow :$ 

- (A) terms depending on types → <u>\(\lambda\)2</u> polymorphism (Polymorphic (second order) Typed Lambda Calculus; System F)
- (B) types depending on types  $\leadsto \underline{\lambda}\underline{\omega}$  type operators (Weak Lambda Omega)
- (C) types depending on terms  $\rightsquigarrow \underline{\lambda P}$  dependent types (LF)
  - $(A) + (B) + (C) \rightsquigarrow \underline{\lambda C}$ Calculus of Constructions
  - Coq is based on CiC: Calculus of <u>Inductive</u> Constructions
  - Logic+Programming in one system thanks to Curry-Howard isomorphism ("proof-as-program", "formulae-as-types").



Why "Coq"?

CoC: Calculus of Constructions

Thierry Coquand

# Coq materials

### http://coq.inria.fr http://coq.inria.fr/documentation

A. Chlipala

Certified Programming with Dependent Types

Practical engineering with Coq. Recommended!, but prior Coq knowledge a plus.

Y. Bertot, P. Castèran

Interactive Theorem Proving and Program Development Coq'Art: The Calculus of Inductive Constructions EATCS Series. 2004

In-depth text-book about Coq.

P. C. Diores, C. C.

B. C. Pierce, C. Casinghino and M. Greenberg Software Foundations

http://www.cs.princeton.edu/courses/ar

A course on software foundations in Coq.

http://www.cs.princeton.edu/courses/archive/fall09/cos441/sf/

Y. Bertot

Coq in a Hurry

A short tutorial with Coq basics.

す F. Wiedijk

The Seventeen Provers of the World.

LNCS 3600, Springer

Overview of different PAs.

### Coq notations

#### Common notations in Coq:

Maths	Coq
p ∧ q	p /\ q
$p \lor q$	p \/ q
$p \implies q$	p -> q
$p \iff q$	p <-> q
$\neg p$	~p
$\lambda_{x:A} M$	fun x : A => M
$\forall_{x:A} M$	forall x : A, M
$\exists_{x:A} M$	exists x : A, M

#### Universes in Coq:

Set Universe of data-types.

Prop Universe of propositions.

Type A higher universe (Set : Type, Prop : Type, Type\_0 : Type\_1 : Type\_2 : ...).

## Inductive types: booleans

```
Inductive bool : Set :=
  | true
  | false.
```

```
> Check bool\_ind.

bool\_ind : \forall P : bool \rightarrow Prop,

P \ true \rightarrow

P \ false \rightarrow

\forall b : bool, P \ b
```

$$\frac{P(\textit{true}) \quad P(\textit{false})}{\forall_{x:\textit{bool}} \, P(x)}$$

```
Definition negate (p : bool) := match p with | true ⇒ false | false ⇒ true end.
```

```
> Eval simpl in (negate true).
= false: bool
```

# Inductive types: natural numbers

```
Inductive \mathbb{N} : Set := \mid O : \mathbb{N} \mid S : \mathbb{N} \to \mathbb{N}.
```

```
> Check nat\_ind.

nat\_ind: \forall P: \mathbb{N} \rightarrow Prop,

P \cap O \rightarrow (\forall n: \mathbb{N}, P \cap n \rightarrow P (S \cap n)) \rightarrow \forall n: \mathbb{N}, P \cap n \rightarrow P (S \cap n)) \rightarrow \forall n: \mathbb{N}, P \cap n
```

```
Fixpoint plus (m \ n : \mathbb{N}) {struct m} : \mathbb{N} := match m with |\ 0 \Rightarrow n |\ S \ m' \Rightarrow S \ (plus \ m' \ n) end.
```

## Inductive types: lists

```
Inductive nat\_list : Set := |nil| | cons (x : \mathbb{N}) (xs : nat\_list).

> Check nat\_list\_ind.

nat\_list\_ind : \forall P : nat\_list \rightarrow Prop,

P \ nil \rightarrow (\forall (n : \mathbb{N}) (l : nat\_list), P \ l \rightarrow P (cons \ n \ l)) \rightarrow \forall n : nat\_list, P \ n
```

```
Fixpoint length (l : nat\_list) :=  match l with | nil \Rightarrow 0  | cons \times xs \Rightarrow length \times s + 1 end.
```

## Inductive types: polymorphic lists

```
 \begin{array}{lll} \textbf{Inductive} \ \textit{list} \ (A:Set):Set := & & & & & & & & \\ \mid \textit{nil} & & & & \mid \textit{nil} \\ \mid \textit{cons} \ (x:A) \ (\textit{xs}:\textit{list} \ A). & & & \mid \textit{cons} \ (x:\mathbb{N}) \ (\textit{xs}:\textit{nat\_list}). \end{array}
```

```
> Check list_ind.

list_ind : \forall (A : Set) (P : list A \rightarrow Prop),

P (nil A) \rightarrow

(\forall (x : A) (I : list A), P I \rightarrow P (cons A x I)) \rightarrow

\forall I : list A, P I
```

```
Fixpoint length (A : Set) (I : list A) := match I with | nil \Rightarrow 0 | cons x xs \Rightarrow length A xs + 1 end.
```

Implicit arguments

## Inductive types : length-indexed lists → vectors

```
| Vnil : vector\ A\ 0
| Vcons : \forall\ n : \mathbb{N}, A \rightarrow vector\ A\ n \rightarrow vector\ A\ (S\ n).

Section vectors.

Variable A : Set.

Inductive vector : \mathbb{N} \rightarrow Set :=

| Vnil : vector\ 0
| Vcons : \forall\ n : \mathbb{N}, A \rightarrow vector\ n \rightarrow vector\ (S\ n).
```

**Inductive** *vector* (A : Set) :  $\mathbb{N} \to Set :=$ 

```
vector\_ind: \forall P: \forall n: \mathbb{N}, vector n \rightarrow Prop,

P \mid Vnil \rightarrow (\forall (n: \mathbb{N}) (a: A) (v: vector n), P \mid n \mid v \rightarrow P (S \mid n) (Vcons \mid n \mid v)) \rightarrow (n: \mathbb{N}) (v: vector \mid n), P \mid n \mid v
```

End vectors.

### Commands: recap

Getting information about the context:

**Check** displays the type of a term.

**Print** displays information about a defined object. (also: **About**).

**Search** looks for specific theorems (also: **SearchAbout**, **SearchPattern**).

Extending the context:

**Inductive** inductive definitions.

**Definition** "regular" definitions.

**Fixpoint** recursive definitions.

Variable local declaration.

Structuring bigger developments:

Require loads a library (Require Arith).

**Import** imports names from a module/library to the global namespace (**Require Import** Arith).

**Section** mechanism allowing to organize theories in structured sections (*NB*. Coq has an advanced module system)

# Coq primitives

#### Cog has no built-in data-types:

- we saw definitions of: bool, N, list.
- standard library also defines: pair, option, ascii, string, ...
- but also many logical connectives are defined:  $\exists$ ,  $\neg$ ,  $\land$ ,  $\lor$ ,  $\leftrightarrow$

### Coq proofs

#### Proofs in Coq:

- have a tree structure,
- are manipulated using tactics,
- more complex tactics are obtained by composing tactics with <u>tacticals</u>,
- proof automation is possible with the tactic language <u>Ltac</u>.

```
Lemma mult_is_O: \forall n \ m, n*m = 0 \rightarrow n = 0 \lor m = 0.

Proof.

[tactics]
```

**Qed**.(or : **Admitted** to postpone the proof)

## 1 subgoal

$$H : n * m = 0$$

$$n = 0 \ / m = 0$$

Goal

## $\rightarrow$ / $\forall$ -introduction

$$\frac{\dots}{A \to B} \qquad (intro \ H) \qquad \frac{H : A}{B}$$

$$\frac{\dots}{\forall x : T, A \to B} \qquad (intros \ x \ a) \qquad \frac{x : T}{a : A}$$

## assumption/reflexivity

$$\frac{H:T}{T'} \qquad (assumption) \qquad \begin{array}{l} \text{subgoal solved} \\ \text{(if } T \text{ and } T' \text{ convertible)} \end{array}$$

$$\frac{\dots}{T=T'} \qquad (reflexivity) \qquad \begin{array}{l} \text{subgoal solved} \\ \text{(if } T \text{ and } T' \text{ convertible)} \end{array}$$

# Convertibility in Coq

```
Definition pred (x : \mathbb{N}) :=
    match x with
     \mid O \Rightarrow O
     \mid S \mid n' \Rightarrow \text{let } y := n' \text{ in } y
    end.
pred 1 = 0
 > cbv delta
(\lambda x \Rightarrow \text{match } x \text{ with } O \Rightarrow O \mid S \mid n' \Rightarrow \text{let } y := n' \text{ in } y \text{ end}) \ 1 = 0
 > cbv_beta.
(match 1 with O \Rightarrow O \mid S \mid n' \Rightarrow let y := n' in y end) = 0
 > cby_iota.
(\lambda n' \Rightarrow \text{let } y := n' \text{ in } y \text{ end}) \ 0 = 0
 > cbv_beta.
(let \ v := 0 \ in \ v) = 0
 > cbv zeta.
0 = 0
```

# Convertibility in Coq ctd.

#### Available reductions:

- $\beta$  (beta): function evaluation.
- $\delta$  (delta) : unfolding constants.
  - $\iota$  (iota) : simplifying pattern matching.
- $\zeta$  (zeta) : simplifying let-in expressions.

#### Available commands:

- simpl : goal simplification,  $\beta\iota$ -reductions, followed by  $\delta$ -reductions,
  - only if they allow further  $eta\iota$ -reductions.
  - *cbv* : reduces using call-by-value evaluation (ex:
    - cbv beta iota term).
- $compute : compute \equiv cbv (ex: compute term)$ 
  - lazy: reduces using call-by-need evaluation.
- vm\_compute: complete evaluation using a bytecode-based VM.

## Coq and termination

Why is it crucial that all functions in Coq are terminating?

To ensure decidability of type-checking:

```
Vappend: \forall A \ m \ n, vector A \ m \rightarrow vector \ A \ n \rightarrow vector \ A \ (m+n)
Definition test (v \ w : vector \ \mathbb{N} \ 2) : vector \ \mathbb{N} \ 4 := Vappend \ v \ w.
vector \mathbb{N} \ (2+2) \equiv_{\beta\delta\iota\zeta} vector \ \mathbb{N} \ 4
```

• What is the type of:

**Fixpoint** *uhoh* (x : bool) := uhoh x.

- There are proposals to extend convertibility relation of PAs ( $\equiv_{\beta\delta\iota\zeta}$  for Coq) with user-defined rewrite rules.
  - for PAs to be <u>consistent</u> such rewrite systems would have to be provably terminating.

### apply

$$\frac{H:A \to B \to C}{C} \qquad (apply H) \qquad \frac{\dots}{A} \quad \frac{\dots}{B}$$

$$t:A \qquad \qquad t:A \qquad \qquad Ht:Pt \qquad \qquad Ht:Pt \qquad \qquad Ht:Pt \qquad \qquad H:\dots$$

$$x: A$$

$$y: A$$

$$H: x = y$$

$$Py$$

$$(rewrite \leftarrow H)$$

(apply (H t Ht))

 $\frac{\dots}{Px}$ 

Q x

Rt

### destruct/induction

$$\frac{x : \mathbb{N}}{P \, x} \qquad (destruct \, x) \qquad \frac{x' : \mathbb{N}}{P \, 0} \qquad \frac{x' : \mathbb{N}}{P \, (S \, x')}$$

$$\frac{x : \mathbb{N}}{P \, x} \qquad (induction \, x) \qquad \frac{x' : \mathbb{N}}{P \, 0} \qquad \frac{H : P \, x'}{P \, (S \, x')}$$

$$\frac{H : \exists \, x : \mathbb{N}, P \, x}{P \, x} \qquad (destruct \, H) \qquad \frac{Px : P \, x}{P \, x'}$$

. . .

...

# split/left/right

$$egin{array}{ccccc} \hline P \wedge Q & & (split) & & \overline{P} & & \overline{Q} \\ \hline \hline P \vee Q & & (left) & & \overline{P} & \\ \hline \hline P \vee Q & & (right) & & \overline{Q} & \\ \hline \end{array}$$

### Tactics: recap

```
intro \rightarrow /\forall-introduction.
 assumption solves the goal if convertible with one of the hypotheses.
  reflexivity solves a goal of the form T=T.
       simpl goal simplification.
       apply applying lemmas/hypotheses (think modus ponens)
destruct / induction case-analysis/induction on an inductive type.
fold / unfold folding/unfolding definitions.
     rewrite equality rewriting
 constructor applies a given constructor of an inductive constant.
       exists instantiation of existentials (\exists x : A, P).
 left / right simplification of disjunctions (P \lor Q).
         cbv more refined evaluation (also: compute, lazy, vm_compute).
        auto Prolog-like resolution (other automation tactics: trivial,
              intuition, tauto, firstorder).
```

#### Exercises I

### Example (Exercise I)

Open file "CoqIntro.v" and follow instructions that you will find there.

Questions are welcome!

http://adam-koprowski.net/teaching-isr-2010.html

### Part II

### Lecture II

### Outline of Part II

- 4 Famous formalizations
- 5 Certified Termination Competition
- 6 Coq tutorial II
- Exercises II

#### Prime Number Theorem

$$\lim_{n \to \infty} \frac{\pi(x)}{x/\ln x} = 1 \qquad \left(\pi(x) \sim \frac{x}{\ln x}\right)$$
where  $\pi(x) = \{i \le x \mid \mathsf{prime}(i)\}$ 

by: Jeremy Avigad et al., 2005

in: Isabelle

size:  $\approx 1$  MB,  $\approx 30K$  LOC

- Later by John Harrison (2009) in HOL Light

# Four Colour Theorem (1976, Kenneth Appel and Wolfgang Haken)

by: Georges Gonthier and Benjamin Werner, 2005.

in: Coq

size:  $\approx$  2.5 MB,  $\approx$  60K LOC ( $\approx$  1/3 generated automatically).

- First major theorem proven with a help of computers.
- Comment at that time:

A good mathematical proof is like a poem — this is a telephone directory!

Case analysis of 1,936 map fragments.



# Kepler conjecture (1998, Thomas Hales)

Jordan Curve Theorem:

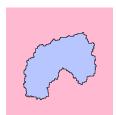
by: Thomas Hales, 2005

in: HOL Light

size:  $\approx$  2 MB,  $\approx$  75K LOC

 proof by exhaustion (250 pages, 3GB of data & programs)

publishing: 12 referees, 4 years ⇒ "99% certain"



Kepler conjecture (Flyspeck project):

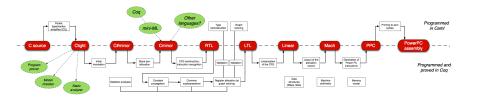
by: Thomas Hales, 2002-....

in: HOL Light, Coq, Isabelle

Estimated for 20 man-year to complete.http://code.google.com/p/flyspeck/



### CompCert



Optimizing compiler for a large subset of C (extraction).

by: Xavier Leroy, 2008 (ongoing)

in: Coq

size:  $\approx$  3 MB,  $\approx$  90K LOC

http://compcert.inria.fr/

### L4: OS microkernel



by: NICTA (National ICT Australia), 2009

in: Isabelle

size:  $\approx 200K$  LOC (verifying 7.5K LOC of C)

http://ertos.nicta.com.au/research/14.verified/

### Other formalizations

- Hardware verification (processors, chips, ...).
- SQL DB formalization in Coq by the Ynot team (extraction).

### Certification: motivation

- Termination competition organized since 2003.
- Tools become more and more complex.
- They unevitably contain bugs.
- Not only an academical problem: every year some tools are disqualified because of mistakes found in their proofs.
- We need more trust in their results.
- In 2007 certified category introduced in the competition.
- In this category the output of the termination tool must be <u>verified</u> by some established theorem prover/checker.

### Certificates: CPF

#### Before certified competition:

- tools output would be <u>unregulated</u>.
- every tool would print a <u>textual description</u> of the termination proof it found in the "format" of its choice.

#### For the certified competition:

- CPF: Common Proof Format was introduced,
- (emerged from various formats used by different certification platforms)
- ... with clear syntax & semantics.
- It allows certification but also:
  - makes it possible to write all kinds of <u>common tools</u> for this format,
  - for instance: consistent presentation;
  - is the first step towards tools cooperation.

# CPF: termination proof example

### Example (TRS $\mathcal{R}$ )

$$\mathsf{plus}(x,0) \to x, \qquad \mathsf{plus}(x,\mathsf{S}(y)) \to \mathsf{S}(\mathsf{plus}(x,y))$$

### Example (termination proof for $\mathcal{R}$ )

**1** Apply DP transformation. There is one DP:

$$\mathsf{plus}^\sharp(x,\mathsf{S}(y))\to\mathsf{plus}^\sharp(x,y)$$

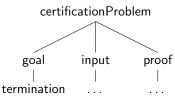
2 Apply subterm criterion with projection:

$$\pi(\mathsf{plus}^\sharp) = 2$$

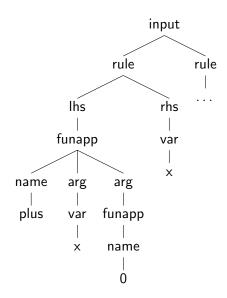
3 No DPs anymore – termination proved.

# CPF proof

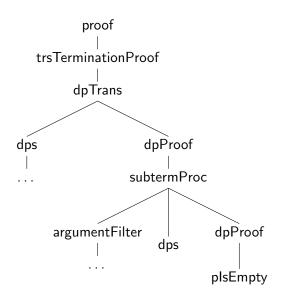
- CPF is a tree format, following a natural tree structure of a termination proof.
- It is implemented in XML.
- Top-level view:



# CPF proof (ctd.)



# CPF proof (ctd.)



# CPF proof (ctd.)

#### Proof visualization via XSLT:

#### **Termination Proof**

#### **Input TRS**

Termination of the rewrite relation of the following TRS is considered.

$$\begin{array}{l} \text{plus}(\mathbf{x},0) \to \mathbf{x} \\ \text{plus}(\mathbf{x},\mathbf{s}(\mathbf{y})) \to \text{S}(\text{plus}(\mathbf{x},\mathbf{y})) \end{array}$$

#### **Proof**

#### 1 Dependency Pair Transformation

The following set of initial dependency pairs has been identified.

$$plus^{\#}(x,s(y)) \rightarrow plus^{\#}(x,y)$$

#### 1.1 Subterm Criterion Processor

We use the projection  $\pi(\text{plus}^{\#}) = 2$  to remove all pairs.

#### 1.1.1 P is empty

There are no pairs anymore.

### Certification: approach

#### This requires:

- Formalizing termination techniques.
- Building machinery to use those formalized theorems to prove termination for concrete examples.
- Using that to prove correctness of <u>proof traces</u> generated by termination tools.

#### Approaches:

- shallow/deep embeddings
- script generation/extraction

# Shallow VS deep embedding

### Example

```
plus(x, 0) \rightarrow x,
                          plus(x, S(y)) \rightarrow S(plus(x, y))
```

### Example (Deep embedding)

```
Definition rule := term * term.
Definition trs := list rule.
Definition red(t:trs): relation term := ...
Definition t: trs :=
[Fun plus [Fun x; Fun zero []], Var x
```

; Fun plus [Var x; Fun succ [Var y]], Fun succ (Fun plus [Var x; Var y])]

### Example (Shallow embedding)

```
Inductive Peano (relation term) :=
  Plus\_zero : \forall t, Peano (Fun plus [t; Fun zero []]) t
  Plus\_succ: \forall t t', Peano
  (Fun plus [t; Fun succ [t']]) (Fun succ [Fun plus [t; t']]).
```

Can leverage PAs features but extraction not possible.

# Custom script VS extraction

### Example (Custom script)

```
termination-prover < problem.xml > proof.xml
certifier < proof.xml > proof.v
coqc proof.v
```

### Example (Extraction)

```
termination-prover < problem.xml > proof.xml
extracted-checker < proof.xml</pre>
```

### Advantages of extraction

The extraction-based approach has the following advantages?

- faster,
  - modern PLs are significantly faster for computation than theorem provers.
- safer
  - problem is read not generated.
- cleaner:
  - extracting a total function;
  - no use of prover's scripting;
  - no need to compile generated program.

# CoLoR/Rainbow

CoLoR

library: CoLoR: a Coq Library on Rewriting and

Termination

checker: Rainbow

by: Frédéric Blanqui, Adam Koprowski et. al.

in: Coq

size: 1.97 MB, 67K LOC

1st release: July 2006

http://color.inria.fr

aproach: deep embedding + script generation

(extraction WIP)

# Coccinelle/CiME

A3PAT

library: Coccinelle

checker: CiME

by: Evelyne Contejean, Andrey Paskevich, Xavier Urbain, Pierre Courtieu, Olivier Pons, Julien

Forest

in: Coq

size: 2.17 MB, 57K LOC

1st release: ? (similarly to CoLoR)

http://a3pat.ensiie.fr/

aproach: shallow embedding + script generation

# IsaFoR/CeTA



library: IsaFoR:  $\underline{\mathsf{Isa}}\mathsf{belle}\ \underline{\mathsf{Formalization}}\ \underline{\mathsf{of}}\ \underline{\mathsf{Rewriting}}$ 

checker: CeTA: Certified Termination Analysis

by: C. Sternagel, René Thiemann et. al.

in: Isabelle

size: 1.88 MB, 38K LOC

1st release: March 2009

http://cl-informatik.uibk.ac.at/

software/ceta/

aproach: deep embedding + extraction

### Certified competition: 2007

A total of 975 problems.

AProVE
 (non-certified)

 TPA + CoLoR
 CiME + A3PAT
 T<sub>T</sub>T<sub>2</sub> + CoLoR

 289

### Certified competition: 2008

A total of 1391 problems.

<ul><li>AProVE</li></ul>	
(non-certified)	995
• AProVE + CoLoR + A3PAT	594
<ul><li>AProVE + CoLoR</li></ul>	580
<ul><li>AProVE + A3PAT</li></ul>	532
• CiME3 + A3PAT	531
<ul> <li>Matchbox + Col oR</li> </ul>	458

### Certified competition: 2009

#### A total of 403 problems

<ul><li>AProVE (non-certified)</li></ul>	304
• T <sub>T</sub> T <sub>2</sub> cert + CeTA	264
• AProVE + CeTA	259
• AProVE + CoLoR	220
• AProVE + A3PAT	165
• CiME + A3PAT	56

### Binary relations

#### relation

Definitition relation (A : Type) :=  $A \rightarrow A \rightarrow Prop$ .

So relation is just a binary predicate over the domain.

### Inclusion

```
"⊆"
```

**Variables** (A : Type) (R S : relation A).

**Definition** *inclusion* : *Prop* :=

 $\forall x y : A, R x y \rightarrow S x y.$ 

We will write " $R \ll S$ " for "inclusion R S".

### Reflexive-transitive closure

```
"→*"
```

```
Variables (A: Type) (R: relation A).

Inductive rtc(x:A): A \rightarrow Prop :=

| rt\_refl: rtc \times x

| rt\_step(y:A): R \times y \rightarrow rtc \times y

| rt\_trans(y z:A): rtc \times y \rightarrow rtc \times z.
```

In fact "rtc" is defined in Coq with name " $clos\_refl\_trans$ ". We will write "R#" for " $clos\_refl\_trans$  R".

#### Transitive closure

```
"__+"
```

```
Variables (A : Type) (R : relation A).
Inductive tc(x:A):A \rightarrow Prop:=
 |t\_step(y:A):R\times y\to tc\times y
 |t_{tans}(y z : A) : tc x y \rightarrow tc y z \rightarrow tc x z.
```

In fact "tc" is defined in Coq with name "clos\_trans".

We will write "R!" for "clos trans R"

# Composition

"
$$\rightarrow_1 \cdot \rightarrow_2$$
"

**Variables** (A: Type) (R S: relation A).

**Definition** *compose* : *relation A* :=

 $\lambda x z \Rightarrow \exists y, R x y \land S y z.$ 

We will write "R@S" for "compose R S".

### Termination (SN, WF)

#### Definition of SN

**Variables** (A: Type) (R: relation A).

**Inductive**  $SN : A \rightarrow Prop :=$ 

 $SN_{-}intro: \forall x, (\forall y, R \times y \rightarrow SN y) \rightarrow SN x.$ 

#### Induction principle on SN

$$\frac{\forall_{x:A} (\forall_{y:A} R x y \implies SN(y)) \implies (\forall_{y:A} R x y \implies P(y)) \implies P(x)}{\forall_{x:A} SN(x) \implies P(x)}$$

#### Well-foundedness

**Definition**  $WF := \forall x, SN x.$ 

### Exercises 2

### Example (Exercise 2)

$$(1a) \rightarrow_R \subseteq \rightarrow_S \land x \rightarrow_R y \implies x \rightarrow_S y$$

$$(1b) \to_R \subseteq \to_S \implies \to_R^* \subseteq \to_S^*$$

$$(1c) \rightarrow_R \subseteq \rightarrow_R^+$$

$$(1d) \rightarrow_R^+ \subseteq \rightarrow_R^*$$

$$(1e) \rightarrow_R^+ \subseteq \rightarrow_R \cdot \rightarrow_R^*$$

$$(2) \rightarrow_R \subseteq \rightarrow_S \land WF(\rightarrow_S) \implies WF(\rightarrow_R)$$

(3) 
$$SN(R,x) \implies (\forall_{x'} \quad x \to_R^* x' \implies SN(R,x'))$$

$$(4) WF(\rightarrow_R) \implies WF(\rightarrow_R^+)$$

$$(5^*) WF(\rightarrow_R \cdot \rightarrow_S) \implies WF(\rightarrow_S \cdot \rightarrow_R)$$

### Part III

### Lecture III

### Outline of Part III

- 8 CoLoR project: Certification of termination proofs
- Oq tutorial III (tacticals)
- Exercises III

### CoLoR's overview

#### CoLoR in numbers:

- 1.5K definitions,
- 3.5K lemmas.
- CoLoR: 67K LOC
  - 25% data structures,
  - 39% term structures,
  - 12% maths.
  - 24% termination techniques.
- Rainbow: 3 LOC (Ocaml)

### CoLoR's overview

#### Supported term structures:

- strings,
- first-order terms with symbols of fixed arity,
- first-order terms with symbols of varyading arity,
- simply-typed  $\lambda$ -terms.

#### General libraries:

- integer polynomials,
- vectors and matrices,
- (ordered) semi-rings,
- multisets.

#### CoLoR's overview

#### Supported termination techniques:

- polynomial interpretations
- matrix interpretations over N, arctic and tropical semi-rings.
- first and higher order recursive path ordering (RPO/HORPO)
- semantic labelling
- dependancy pairs with argument filterings and graph decomposition

### Signature: CoLoR.Term.WithArity.ASignature

```
Record Signature : Type := mkSignature { symbol :> Type; arity : symbol \rightarrow \mathbb{N}; beq_symb : symbol \rightarrow symbol \rightarrow bool; beq_symb_ok : \forall \ x \ y, \ beq\_symb \ x \ y = true \leftrightarrow x = y }.
```

### Terms: CoLoR.Term.WithArity.ATerm

```
Notation variable := \mathbb{N}.

Variable Sig : Signature.

Inductive term : Type := 

| Var : variable \rightarrow term 

| Fun : \forall f : Sig, vector term (arity f) \rightarrow term.
```

• Such terms are well-formed by definition.

#### Contexts:

```
Variable Sig : Signature.
Inductive context : Type :=
  Hole : context
  Cont: \forall f: Sig, \forall i j: \mathbb{N}, i+S j = arity f \rightarrow
   terms i \rightarrow context \rightarrow terms j \rightarrow context.
Fixpoint fill (c:context) (t:term) {struct c}: term:=
   match c with
     Hole \Rightarrow t
    | Cont f i j H v1 c' v2 \Rightarrow Fun f (Vcast (v1 +++ (fill c' t ::: v2)) H)
  end.
```

# TRS, rewrite relation, ...: CoLoR.Term.WithArity.ATrs

```
Record rule : Type := mkRule \{lhs : term; rhs : term\}.

Definition rules := list rule.

Variable R : rules.

Definition red u \ v := \exists \ l \ r \ c \ s,

ln \ (mkRule \ l \ r) \ R \ \land

u = fill \ c \ (sub \ s \ l) \ \land

v = fill \ c \ (sub \ s \ r).
```

- sub is an application of a substitution of type
   sub: subtitution → term → term.
- red is a rewrite relation over R of type relation term.

# **Polynomials**

```
Notation monom := (vector \mathbb{N}).
Definition poly n := (list (Z * monom n)).
```

• For instance  $f(x,y) = 3x^2y + y + 4$  is represented by: [(3,[[2;1]]);(1,[[0;1]]);(4,[[0;0]])].

# Polynomial interpretations over $\mathbb N$

```
Definition PolyInterpretation := \forall f : Sig, poly (arity f).

Definition coef\_pos\ n\ (p : poly\ n) := Iforall\ (\lambda x \Rightarrow 0 \leqslant fst\ x)\ p.
```

• Iforall checks whether a predicate holds for every element of a list.

**Lemma** polyInterpretationTermination : 
$$\forall R : rules$$
, If or all  $(\lambda r \Rightarrow coef\_pos(rulePoly\_gt r)) R \rightarrow WF(red R)$ .

•  $rulePoly\_gt\ l\ r \simeq [l] - [r] - 1$ 

#### CoLoR

You can browse CoLoR's definitions online at: http://color.inria.fr/doc/main.html

You can also get the latest SVN sources at: https://gforge.inria.fr/projects/color/

#### **Tacticals**

Tacticals are combinators on tactics. Most important ones:

t1; t2 sequence, apply t1 and then t2 to every goal generated by t1.

t;  $[t1 \mid ... \mid tn]$  general sequence, ti is applied to the i'th generated goal.

repeat t applies t until it fails (careful: may be looping)

try t tries to apply t, if it fails does nothing.

solve  $[t1 \mid ... \mid tn]$  tries to solve the goal with any of the ti tactics; if none succeeds, fails.

idtac does nothing.

Less frequent combinators:  $t1 \mid t2$ , **do** n t, progress t, first  $[t1 \mid ... \mid tn]$  ... and on top of that there is the Ltac language: a "proof language" of Coq.

### There is more...

Things that I could not cover in this short tutorial:

### Uncovered topics:

- module system
- coercions
- tacticals
- notations
- extraction
- setoids
- Ynot

- implicit arguments
- coinductive types & coinduction
- omega: Presburger Arithmetic solver
- Ltac: programming language for tactics
- program: programming with dependent types & rich specifications
- type classes (a la Haskell)

.. and probably much more that I forgot to mention above.

# String rewriting

## Definition (String rewriting)

Let us define some basic string rewriting notions:

- Let  $\Sigma$  be a fixed signature.
- ullet A string is a list (possibly empty) of elements of  $\Sigma$
- A rule is a pair of strings:  $\ell \to r$ .
- A string rewriting system (SRS) is a set of rules.
- A context is a pair of strings:  $c = (c_l, c_r)$ .
- String s put in context c, c[s], denotes the string:  $c_l s c_r$ .
- Given SRS S its rewrite relation  $\to_S$  is defined as:  $t \to_S u$  iff:

$$\exists_{l,r,c} \ \ell \to r \in \mathcal{S} \land t = c[\ell] \land r = c[r]$$

# String rewriting (ctd.)

#### Example

Consider the following SRS:

$$a a \rightarrow c b$$
  $b b \rightarrow c a$   $c c \rightarrow b a$ 

and a possible reduction sequence:

$$\underline{a}\,\underline{a}\,b \to c\,\underline{b}\,\underline{b} \to \underline{c}\,\underline{c}\,a \to b\,\underline{a}\,\underline{a} \to b\,c\,b$$

# String reversal

### Definition (String reversal)

Given TRS S, define rev(S) as a version of S with all its rules reversed.

### Example

Given:

$$\mathcal{S} = \{ a a \rightarrow c b, \qquad b b \rightarrow c a, \qquad c c \rightarrow b a \}$$

its reversed version is:

$$rev(S) = \{aa \rightarrow bc, bb \rightarrow ac, cc \rightarrow ab\}$$

#### **Theorem**

Let S be a SRS. If  $WF(\rightarrow_S)$  then  $WF(\rightarrow_{rev(S)})$ .

### Exercises 3

### Example (Exercise 3)

Can you prove the string-reversal theorem in Coq?

If you want more practice http://projecteuler.net/ is a great source of inspiration.

If you want to get some real work done - contribute to CoLoR:)

#### Exercises 3: resources

Some more tactics that may be useful:

subst Tries to use equalities in the context x = t and t = x to simplify the goal and then removes them.

change t Changes the goal to t (it must be convertible with t). replace t with t' Replaces term t with t' (and asks to prove t = t').

You may also want to take a look at the results from the standard library (*List* module may be of particular interest)

http://coq.inria.fr/stdlib/