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# Deep Learning for Video Analysis – Guest Lecture

LVA Video Analysis (188.329)  
Winter Semester 2022  
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# Modalities

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- Slides will be available in the TUWEL course
- Machine learning (ML) for Computer Vision builds on decades of mathematical frameworks – here it is packed into ~15 mins
- Some of you will not have acquired the prerequisite knowledge yet, so you may struggle to understand everything as we go – that's okay ☺

# Literature

- ML & DL use applied statistics, linear algebra & calculus:
  - Mathematical foundations and many common algorithms of machine learning – the ML “bible”: [1]
  - The application of deep learning in neural networks: [2], [3]
  - Artificial intelligence in general and multi-agent theory: [4]
- Lectures:
  - The problems of high dimensional learning – lecture 2 of the AMMI 2021 GDL100 course: [5]
  - TU Wien - 194.100 Theoretical Foundations and Research Topics in Machine Learning [6]
  - Stanford - CS229 Machine Learning [7]
  - Stanford - CS231n Convolutional Neural Networks for Visual Recognition [8]
  - MIT - Deep Learning and Artificial Intelligence Lectures [9]
- Videos:
  - Deep Learning Series, 3Blue1Brown [10]
  - Mathematics for Machine Learning, Ulrike von Luxburg [11]

# Outline

- I. Introduction to ML & DL (~15 mins.)
- II. Video lecture (~40 mins.)
- III. Discussion (~15 mins.)
- IV. Current state-of-the-art (~20 mins.)

# Machine learning basics

- In classical programming we define rules for input → output relations
- In machine learning (ML) we use data to
  - 1. Generate our model (**learning**)
  - 2. Apply it to new observations (**inference/prediction**)

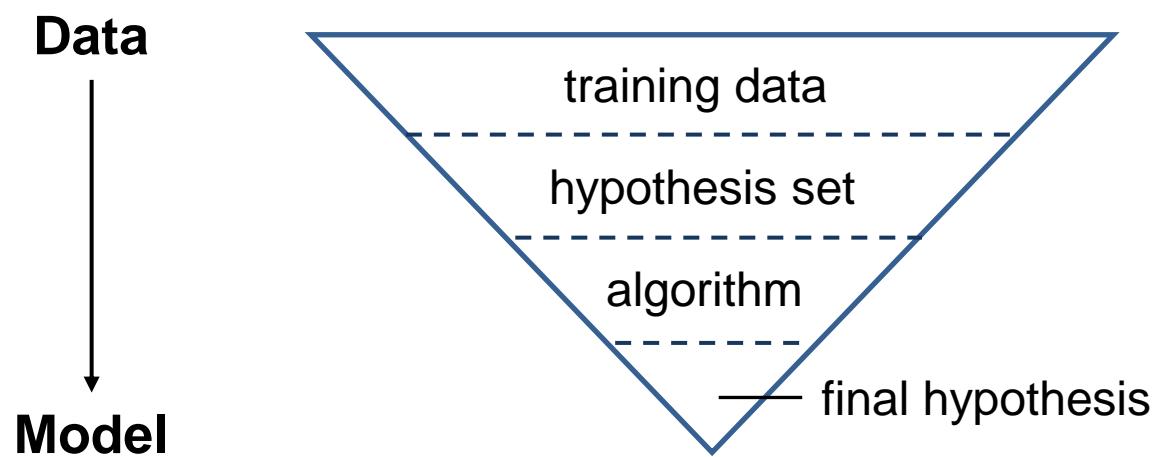


Figure recreated from [12]

# ML basics – supervised classification

## ML example transcribed from [1]

- We have: a **training set** of  $N$  observations of  $x$ ,  $\mathbf{x} := (x_1, \dots, x_N)^\top$  with corresponding **target** observations  $t$ ,  $\mathbf{t} := (t_1, \dots, t_N)^\top$
- We want: predict  $\hat{t}$  for new  $\hat{x}$ , using parameters  $\boldsymbol{\theta}$
- **Regression** if  $t \subset \mathbb{R}$  or  $n$ -way **classification** if  $t$  is categorical  $t \in \{0, \dots, n - 1\}$
- We can, for example, try to fit a polynomial curve

$$f = y(x, \boldsymbol{\theta}) = \theta_0 + \theta_1 x + \theta_2 x^2 + \dots + \theta_M x^M = \sum_{j=0}^M \theta_j x^j \quad (1)$$

- We can calculate any loss/error function, often L1 or L2

$$L1(\boldsymbol{\theta}) = \frac{1}{2} \sum_{n=1}^N \{|y(x_n, \boldsymbol{\theta}) - t_n|\} = \|\boldsymbol{\theta}\|_1 \quad (2) \quad L2(\boldsymbol{\theta}) = \frac{1}{2} \sum_{n=1}^N \{y(x_n, \boldsymbol{\theta}) - t_n\}^2 = \|\boldsymbol{\theta}\|_2 \quad (3)$$

# ML basics – supervised classification

ML example transcribed from [1]

$$L(\boldsymbol{\theta}) = \frac{1}{2} \sum_{n=1}^N \{y(x_n, \boldsymbol{\theta}) - t_n\}^2 \quad (4)$$

- This will **overfit**, i.e. perform well on training but poorly on test samples
- To prevent this we add a term ( $\lambda$ ) for **regularization**

$$\tilde{L}(\boldsymbol{\theta}) = \frac{1}{2} \sum_{n=1}^N \{y(x_n, \boldsymbol{\theta}) - t_n\}^2 + \frac{\lambda}{2} \|\boldsymbol{\theta}\|^2 \quad (5)$$

- Now we can update – **optimize** – our parameters  $\boldsymbol{\theta}$  with e.g. curve fitting methods

This was a simple example to introduce common terminology - in typical ML problems we have a (non-)convex loss function to use **gradient descent** techniques [13]

In ML the hat  $\hat{x}$  is typically used to denote targets and the snake  $\tilde{x}$  for estimates of some variable  $x$

# Deep learning – artificial neural networks

- Deep learning with multilayer perceptrons (MLP) since 1965 [14]
- Dealing with vanishing gradients since 1991 [15, 16], deep since 2012 [17]
- Now models with up to  $10^{12}$  parameters trained on cloud-based tensor or graphical processing unit (TPU/GPU) clusters [18]

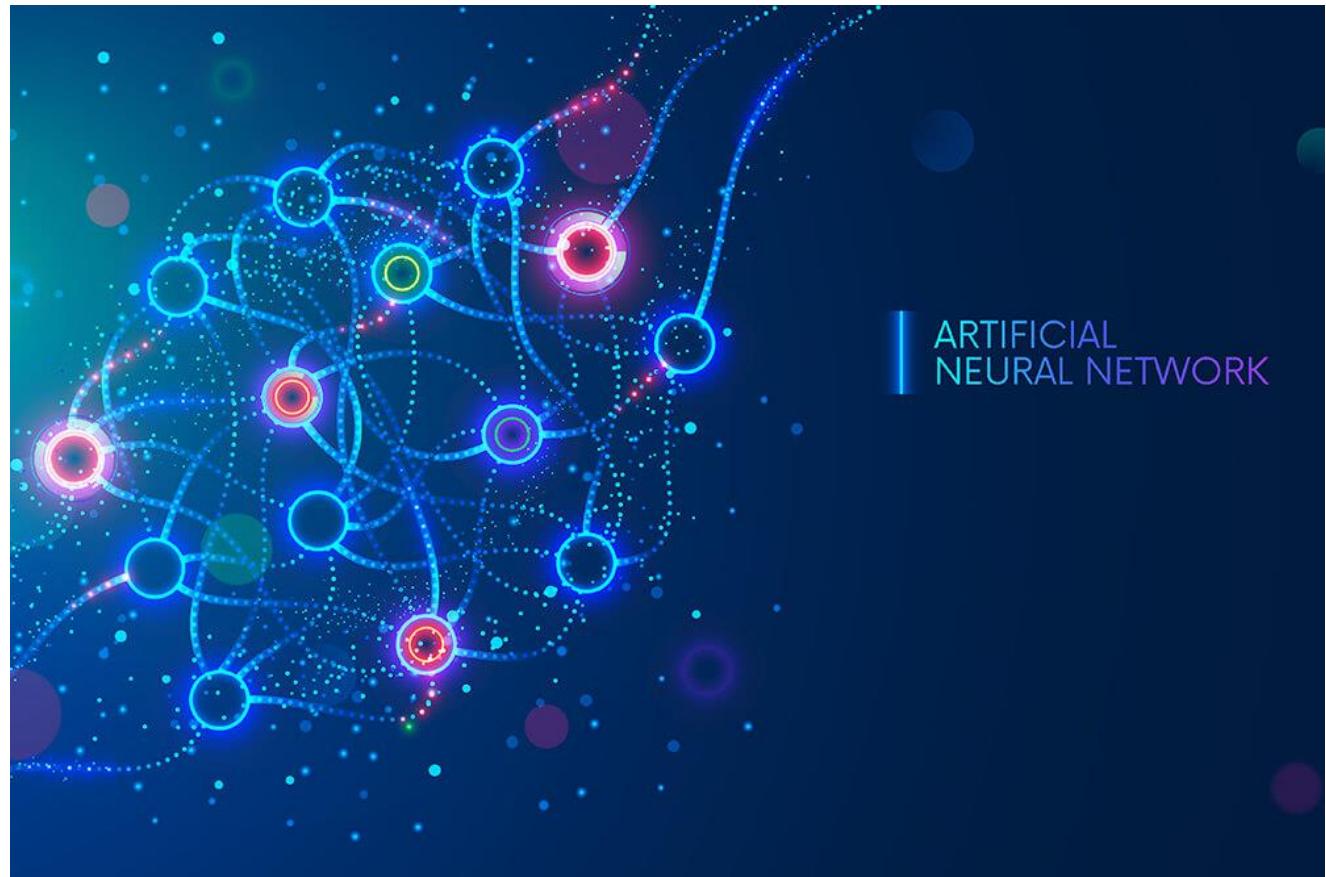


Figure taken from [19]

# Deep learning – 1-hidden layer neural network

- **Activations  $f$**  in hidden neurons

- Sigmoid (old):  $\phi(z) = \frac{1}{1+e^{-z}}$  (8)

- **ReLU** (rectified linear unit):  
 $\text{ReLU}(z) = \max(0, z)$

- **Output  $g$  often softmax  $\sigma$**

$$\sigma(\vec{z})_i = \frac{e^{z_i}}{\sum_{j=1}^K e^{z_j}}$$

- **Weights  $w$**  scale the function and **bias  $b$**  shifts it

- $N, M$ : number of input neurons – here 5 and 4

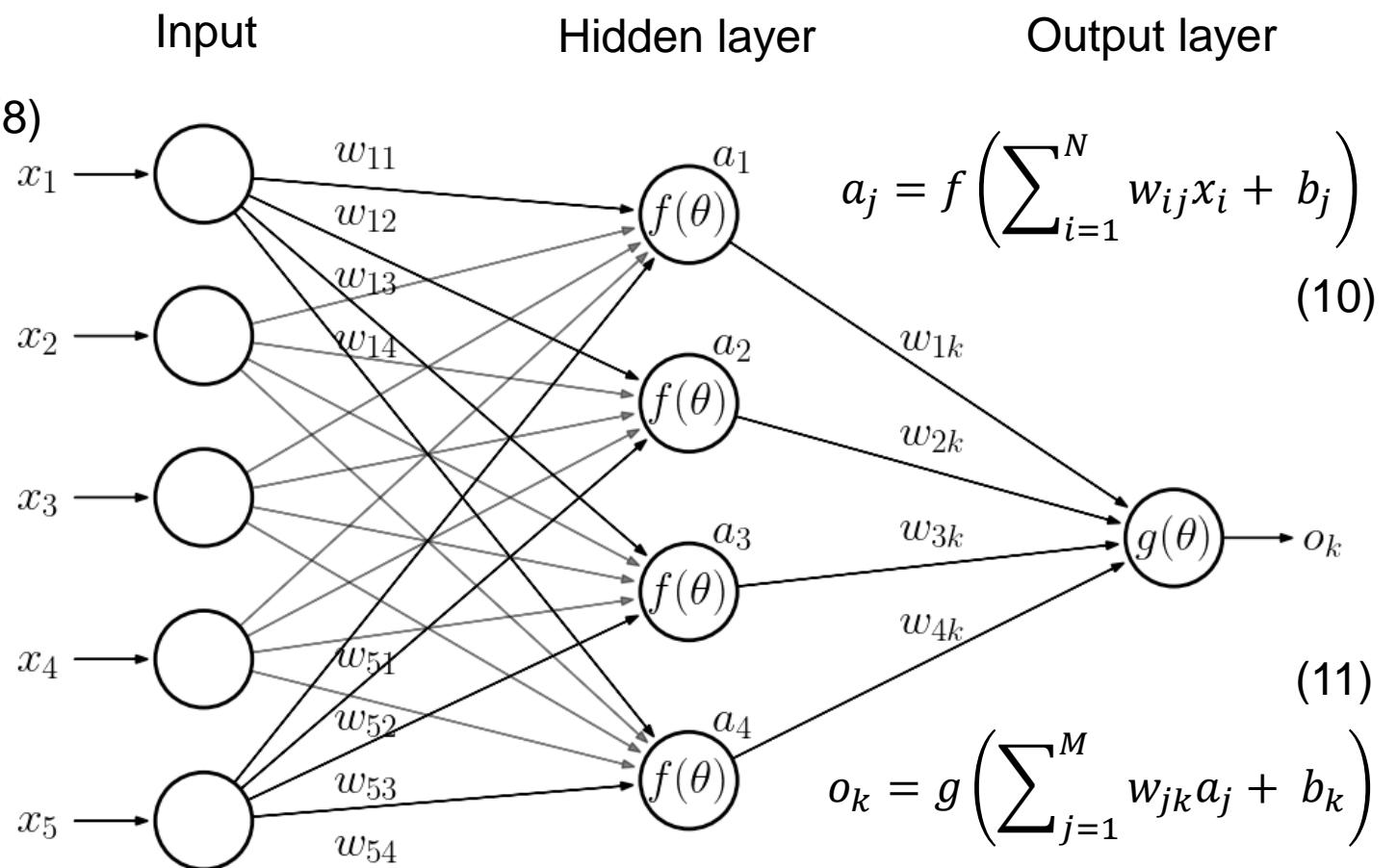
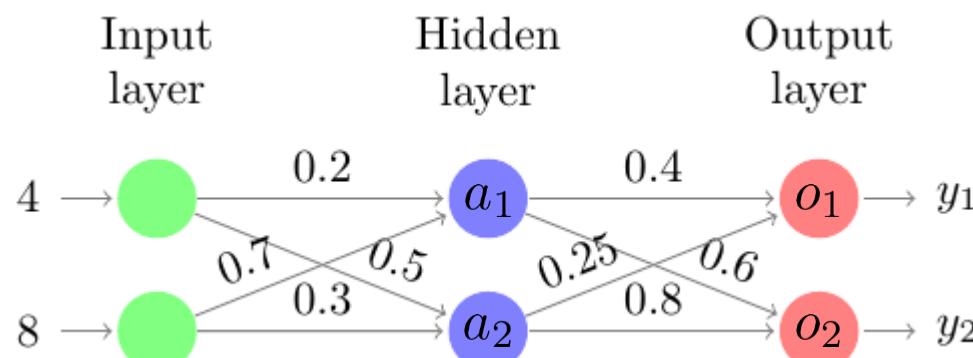


Figure taken from [20]

# NN forward-pass example

- Given the input, weights, active bias neurons in the hidden and output layer and standard sigmoid( $x$ ) activation function and softmax( $x$ ) squashing, what is the output  $y_1$  and  $y_2$



- Prediction would be the label associated to  $y_2$

$$a_1 = \text{sig}\left(\sum_{i=1}^2 (w_{i1}x_i) + 1\right) \approx 0.838$$

$$a_2 = \text{sig}\left(\sum_{i=1}^2 (w_{i2}x_i) + 1\right) \approx 0.808$$

$$o_1 = \sum_{j=1}^2 (w_{j1}a_j) + 1 \approx 1.537$$

$$o_2 = \sum_{j=1}^2 (w_{j2}a_j) + 1 = 2.15$$

$$y_1 = \text{softmax}(o_1) = \frac{e^{o_1}}{\sum_{k=1}^2 e^{o_k}} = 0.351$$

$$y_2 = \text{softmax}(o_2) = \frac{e^{o_2}}{\sum_{k=1}^2 e^{o_k}} = 0.649$$

# Training NNs – obtaining the loss

- The output vector of the NN is squashed to predictions  $\hat{y} \in (0, 1]$  using **softmax**

$$\hat{y} = \sigma(\mathbf{z})_j = \frac{e^{z_j}}{\sum_{k=1}^K e^{z_k}} \quad (6)$$

- This „distribution over categorials“ allows probabilistic reasoning [74]
- We apply **cross-entropy loss** (simplified version) with  $\hat{y}$  and ground truth (gt)  $y$

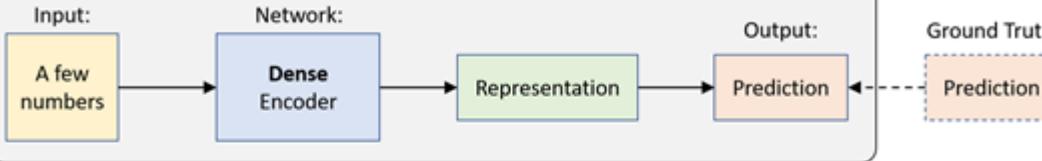
$$L = -y \cdot \log(\hat{y}) \quad (7)$$

- NNs are typically updated using a variation of gradient descent: stochastic gradient descent (**SGD**)

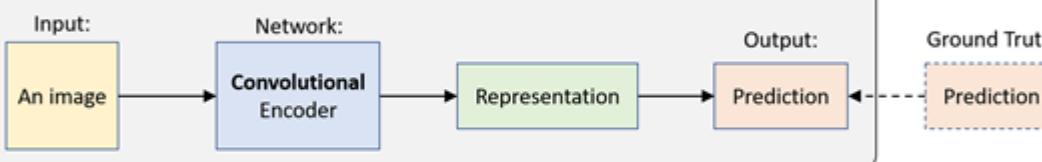
# Learning families and neural networks

## Supervised Learning

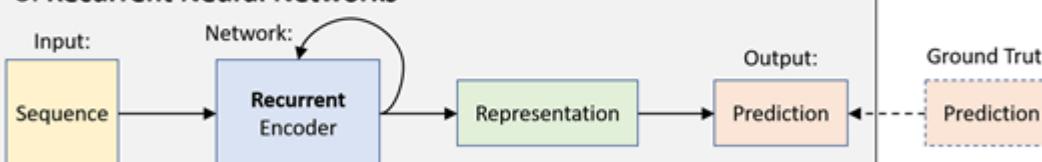
### 1. Feed Forward Neural Networks



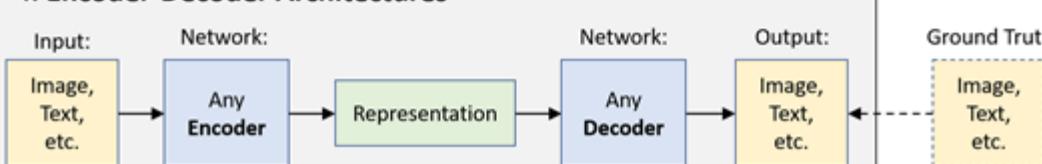
### 2. Convolutional Neural Networks



### 3. Recurrent Neural Networks

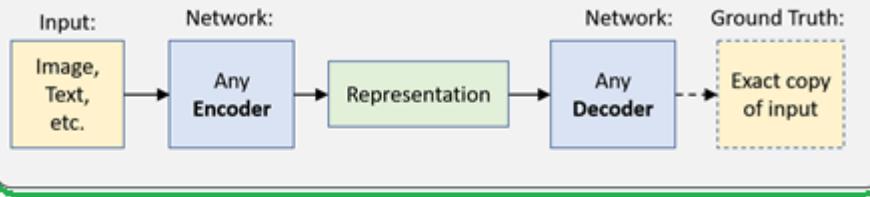


### 4. Encoder-Decoder Architectures

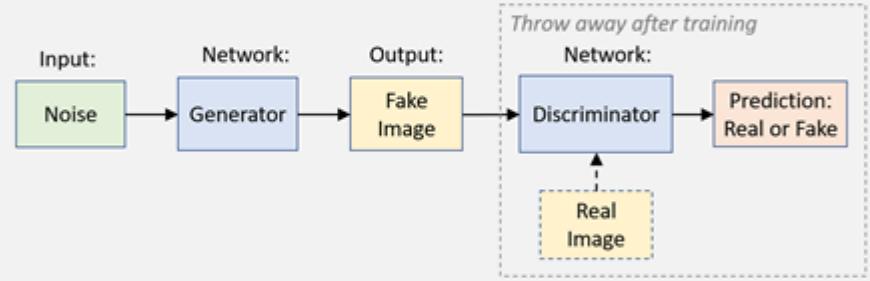


## Unsupervised Learning

### 5. Autoencoder



### 6. Generative Adversarial Networks



## Reinforcement Learning

### 7. Networks for Actions, Values, Policies, and Models

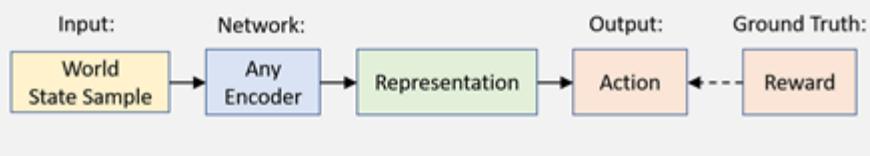
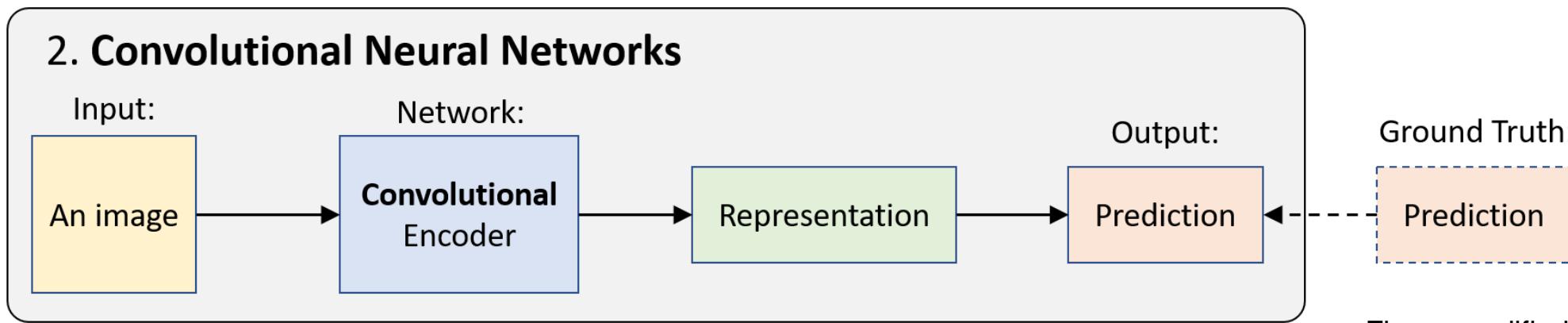


Figure  
slightly  
modified  
and taken  
from [9]

# Supervised Convolutional Neural Networks (CNNs)



1. We operate on images, particularly the **RGB** channels
2. The **convolutional layers** act as **encoders** for feature representations
3. From those representations we obtain predictions
4. We compare predictions with the **ground truth (gt)** via a loss function
5. Backpropagate the loss using gradient descent
6. Update our weights, i.e. **kernel entries**

Figure modified  
and taken from [9]

# Convolution operation in CNNs

- Invented in 1979 [21] – see [8] for the Stanford course
- We learn kernel entries using backpropagation

0	0	0	0	0	0	0	...
0	156	155	156	158	158	158	...
0	153	154	157	159	159	159	...
0	149	151	155	158	159	159	...
0	146	146	149	153	158	158	...
0	145	143	143	148	158	158	...
...	...	...	...	...	...	...	...

Input Channel #1 (Red)

0	0	0	0	0	0	0	...
0	167	166	167	169	169	169	...
0	164	165	168	170	170	170	...
0	160	162	166	169	170	170	...
0	156	156	159	163	168	168	...
0	155	153	153	158	168	168	...
...	...	...	...	...	...	...	...

Input Channel #2 (Green)

0	0	0	0	0	0	0	...
0	163	162	163	165	165	165	...
0	160	161	164	166	166	166	...
0	156	158	162	165	166	166	...
0	155	155	158	162	167	167	...
0	154	152	152	157	167	167	...
...	...	...	...	...	...	...	...

Input Channel #3 (Blue)

-1	-1	1
0	1	-1
0	1	1

Kernel Channel #1

1	0	0
1	-1	-1
1	0	-1

Kernel Channel #2

0	1	1
0	1	0
1	-1	1

Kernel Channel #3

308

+

-498

+

164 + 1 = -25

Bias = 1

-25				...
				...
				...
				...
...	...	...	...	...

Animation graciously taken from [22]

Discrete convolution (cross-correlation)

$$(f \star h)[n] = \sum_{m=-M}^M f[n-m]h[m] \quad (12)$$

Easily differentiable?

$$\frac{\partial}{\partial x} (h \star f) = (\frac{\partial}{\partial x} h) f \quad (13)$$

★ is typically used for the convolution (sum-of-products) operation

# Discrete convolution example

- Given below input patch and the kernel, what is the result of a discrete convolution?
- What would this filter do to an entire image?

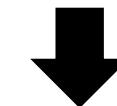
128	190	125
170	130	140
187	220	150

Input

1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9

Kernel

- $1/9 * (128 + 190 + 125 + 170 + 130 + 140 + 187 + 220 + 150) = 160$
- It would **blur** an image, since it takes the average



Images taken from [65]

# Typical CNN architecture

- Convolutional layers, activations, pooling etc. → feature-maps
- Fully connected (FC) layer followed by softmax
- Obtain a score (0, 1] at every neuron → maximum-a-posteriori rule for classification
- Differentiable cross-entropy loss → we can use gradient descent

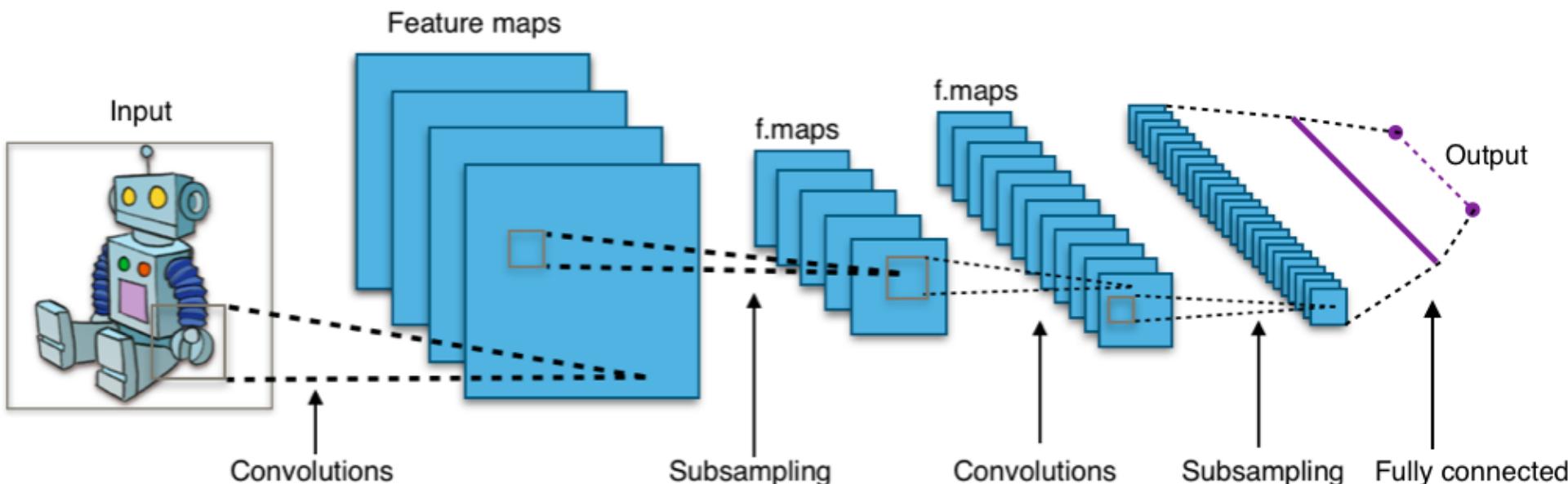


Figure taken from [23]

# CNNs as powerful feature extractors

- Augmented RGB images
- The features become increasingly complex
- Visualizations on the right [24] are „projected activations of selected feature maps“
- No heatmaps but highlights of contributing features

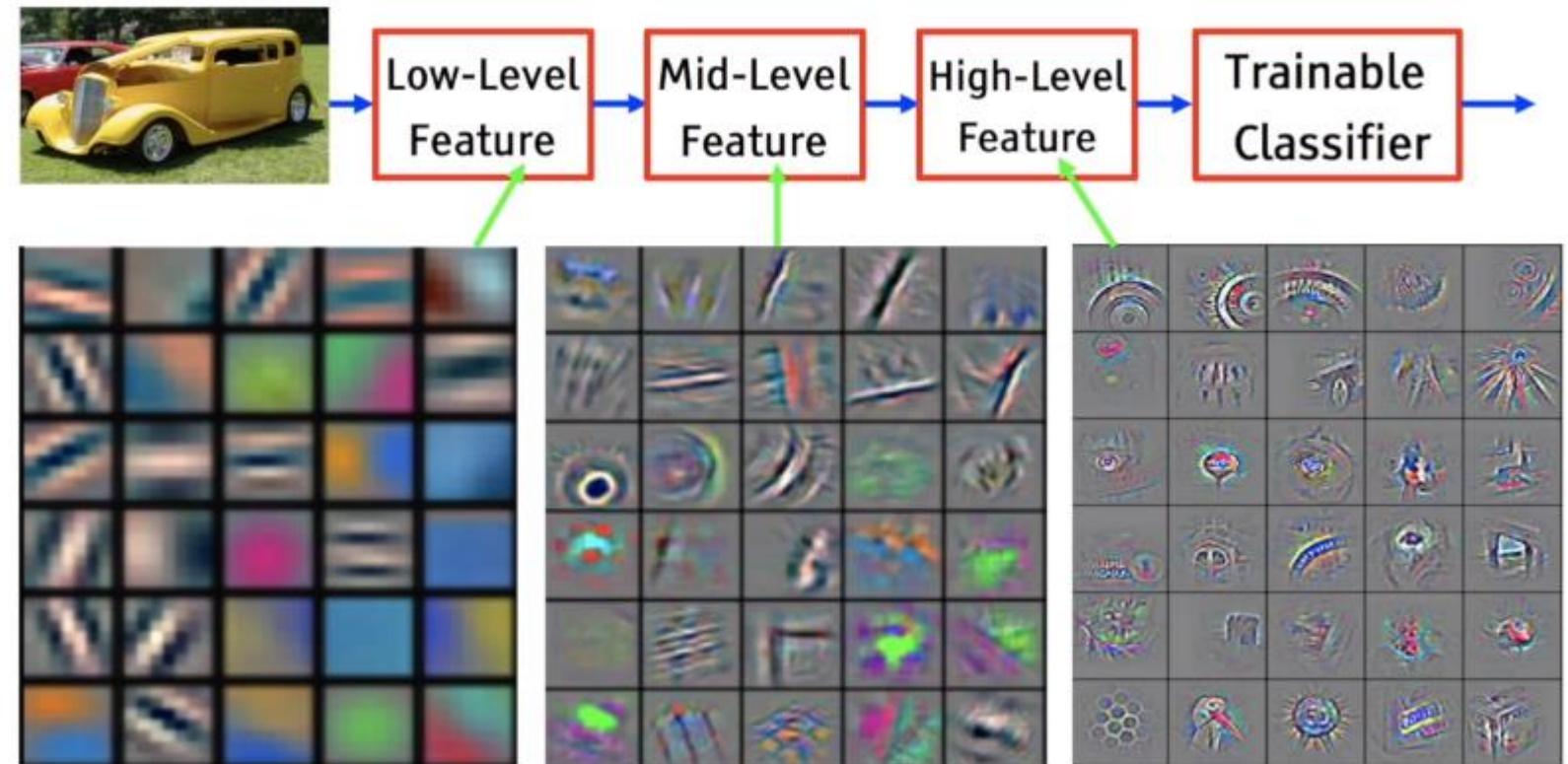
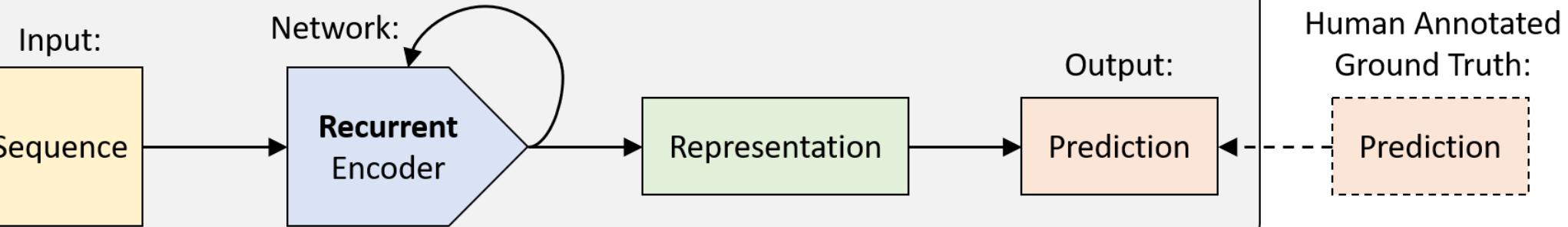


Figure taken from [24]

# Recurrent neural networks (RNNs)

## 3. Recurrent Neural Networks



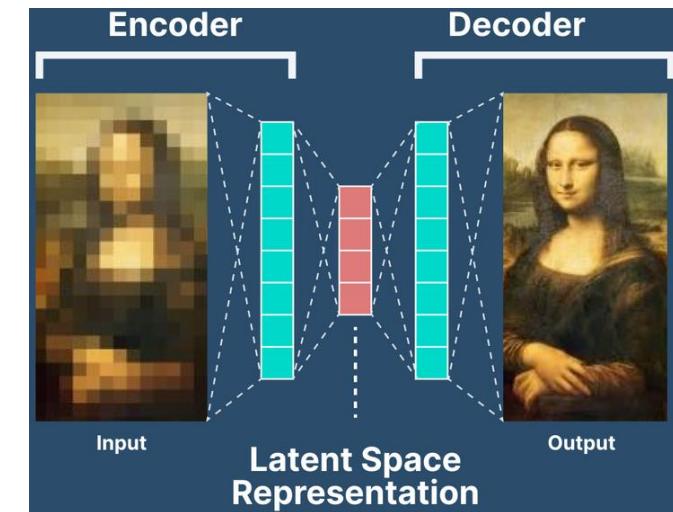
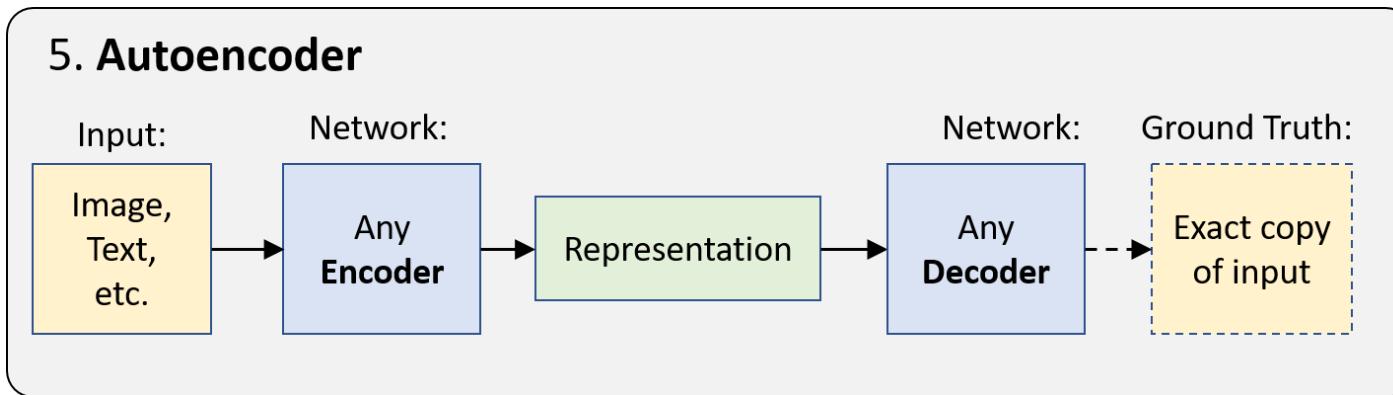
1. Work on temporal **sequences** of data (images, pointclouds, text etc.)
2. Train in cycles with a **state memory** – share weights across time
3. Obtain weights at any time-step (unroll) for a regular feed forward network
4. **Long short-term memory (LSTM)** [16] and Gated Recurrent Units [25]<sup>1</sup>
5. Applications largely in **Natural Language Processing** and Modeling
6. LSTMs used by the „Big Five“ in billions of mobile devices [26]

Figure modified  
and taken from [9]

<sup>1</sup> For a comparison between the two see [27] or an explanation in simple terms see [30]

# Autoencoder

→ Figure modified and taken from [31]  
↓ Figure modified and taken from [9]



1. **Encoder-Decoder** architectures use encoded, learned representations to decode to a high-dimensional output (not prediction) that can be of different modality
2. Autoencoders are **unsupervised** models trying to **recreate the input exactly**
3. A highly meaningful yet **low-dimensional representation** is learned
4. Its ground truth is the input, so **no human annotation** is required
5. Applications use these unsupervised embeddings in **representation learning**

# Deep Learning Video Analysis

- Summer School Deep Learning for Computer Vision, UPC Barcelona 2018

<https://www.youtube.com/watch?v=dY7j5dBqS5g> [32]

# Video lecture discussion questions

1. What are some general benefits/drawbacks of using videos vs. images?
2. How can self-supervision from videos deliver ground-truth estimates?
3. Explain the four presented architectures for sequence processing (strengths/drawbacks)
4. Explain differences in shallow vs. deep feature map activations under redundancy
5. How is redundancy used to speed up single-frame models?
6. What fundamental proposition was made that vastly limits the scope for applications?

# Discussion

## 1. What are general benefits/drawbacks of using videos vs. images?

Temporal coherence enables learning entirely new things as well as an understanding closer to human perception [32] while the redundancy in the signals of the image channels is a necessary liability significantly increasing computational demands.

## 2. How can self-supervision from videos deliver ground-truth estimates?

The order of frames in sequences can be seen as a proxy ground-truth label for obtaining models in an unsupervised setting with feature representations following temporal constraints [33]. Motion in videos generate flow fields and assuming a stationary observer this can serve as a proxy for foreground-background segmentation [34]. Finally in videos with audio channels the representations of visual and auditory content should correspond enabling prediction of one using the other [35].

# Discussion

3. Explain the four presented architectures for sequence processing in own words

- **Singe frame models** work on individual frames and use a combination (pooling) for video classification (e.g. [36]) but temporal order is ignored
- **CNN + RNN** combination uses RNN's to build awareness of the temporal order of the CNN features (e.g. [37]) but the RNN steps must be taken sequentially
- **3DCNNs** to process chunks/clips of ~16 frames (e.g. [38]), using pretrained 2D CNNs filters that can be replicated (and scaled) for „low-pass filtering“ (e.g. [39, 40]) but arbitrary assumptions are made and many „wheels“ to tune exist
- **Two-stream CNNs** a single-frame model for RGB and a stream for optical flow on sequenced images (e.g. [41]) but fails with ego-motion and scales poorly

# Discussion

## 4. Explain differences in shallow vs. deep feature map activations under redundancy

Shallow layers, i.e. layers closer to the input, hold low-level features more related to patterns of colour changes that are explainably via short-distance relations such as object boundaries, corners, occlusion etc. Deep layers, with a much larger receptive field and more pooling/averaging operations resemble a representation that more closely mirrors semantic concepts that do not change as quickly (see [42]). This effect is easily observed when CNNs are applied to camera-static video inputs.

## 5. How is redundancy used to speed up single-frame models?

Process multiple images consecutively in the CNN at different depths, related by time steps (e.g. [43]). This again builds on the assumption that signals in videos do not change drastically from one frame to the next (i.e. unedited/uncut videos). Or use an RNN to learn what frames can be skipped (e.g. [44]).

# Discussion

6. What fundamental proposition was made that vastly limits the scope for applications?

It is constrained to be a simple image classification or even video classification task!

This is like exclusively describing the Lord of the Rings trilogy as „fantasy“ :-(

- We will move on to the SotA – for video classification and more comprehensive learning tasks

# MoViNets [45] – mobile & fast video recognition

1. Employ NAS (neural architecture search) to generate efficient architecture reducing 3D CNN computation cost
2. Use a stream buffer to decouple memory from video clip length
3. Ensembling technique to improve accuracy further

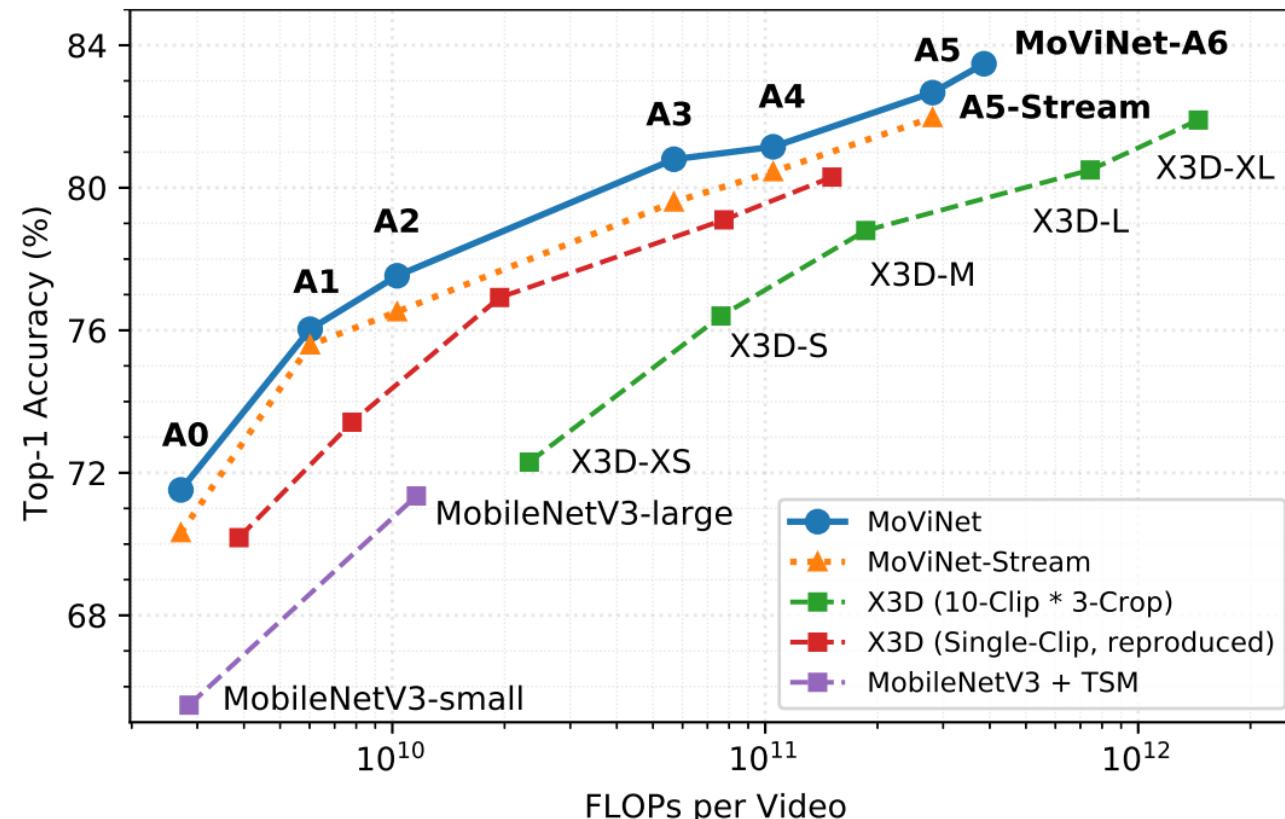


Figure modified and taken from [45] showing the accuracy vs. FLOPs tradeoff on Kinetics 600 [46]

# MoViNets [45] – mobile & fast video recognition

1. NAS: search space of model configurations (number of layers, kernel size, configuration of blocks etc.) searched with an objective function – MobileNetV3 [47] popularized it for ARM devices (i.e. mobile phone CPUs), MoViNets builds on MobileNetV3 search space expanded with 3D convs.
2. Multi-clip evaluation reduces memory to  $O(T^{clip})$  but temporal receptive field only for a subclip and overlap computations are redundant – stream buffer  $B$  instead stores content of  $b$  frames:  $B_{i+1} = (B_i \oplus x_i^{clip})_{[-b:]} \rightarrow F_i = f(B_i \oplus x_i^{clip}) \quad (14, 15)$
3. Stream buffer leads to minor accuracy drop – ensembling regains this: two MoViNets are trained at half frame rate, for inference one input is offset by one frame and arithmetic mean (before softmax) used

# STCN [49] – space-timed object segmentation

- STM [48] (space-time memory) semi-supervised networks with target-specific memory banks
- **STCN [49]: correspondences are target-agnostic** and learn affinity with one RGB key frame

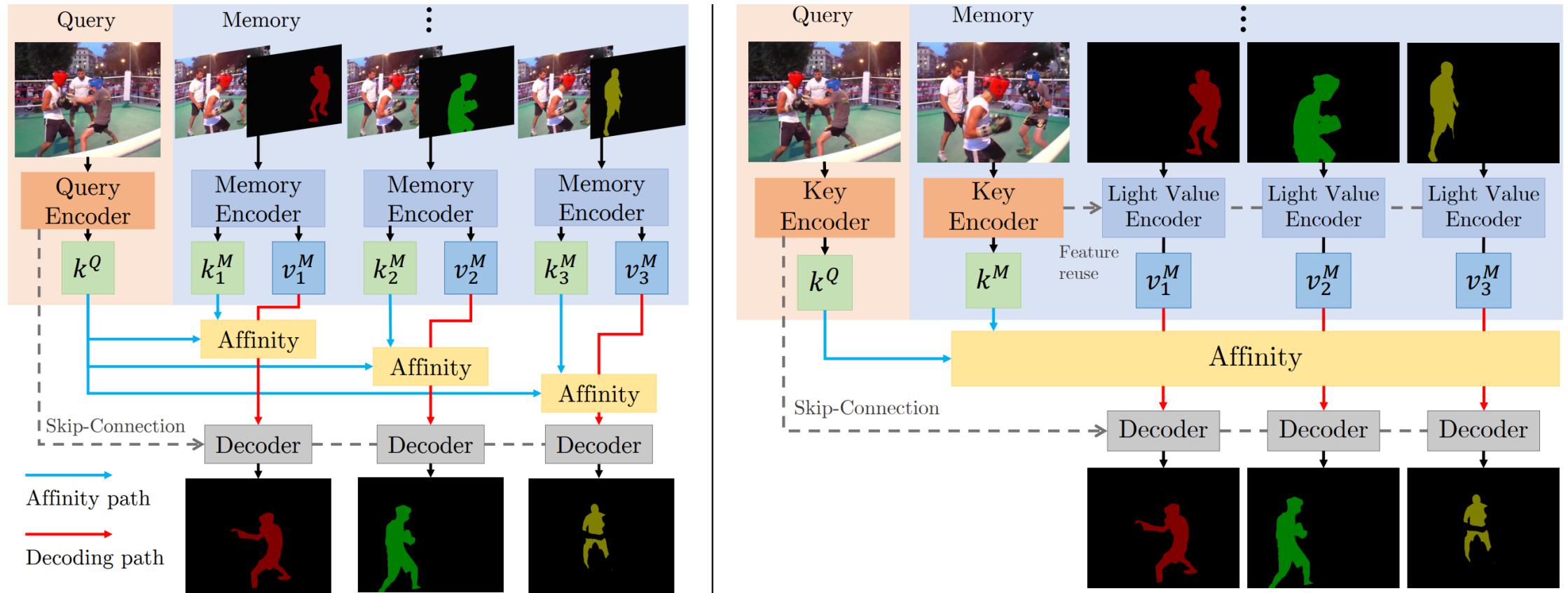


Figure taken from & showing the framework of [49]

# STCN [49] – space-timed object segmentation

- Dot-product can be implemented very efficiently for affinity matrix computation

$$S_{ij}^{dot} = \mathbf{k}_i^M \cdot \mathbf{k}_j^Q \Rightarrow S^{dot} = (\mathbf{k}^M)^T \mathbf{k}^Q \quad (16)$$

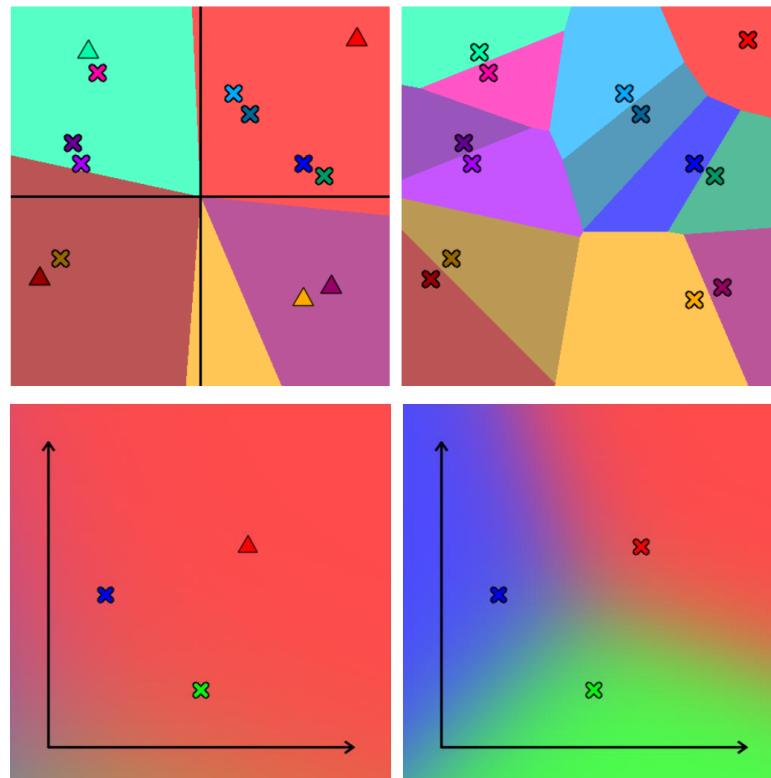
but can lead to undesirable effects since high-magnitude „confident“ points dominate affinities [49] supressing other memory nodes

- L2 similarity (negative squared Euclidean)

$$S_{ij}^{L2} = - \left\| \mathbf{k}_i^M - \mathbf{k}_j^Q \right\|_2^2 \quad (17)$$

creates Voronoi diagrams and can be decomposed for easier implementation [64]

$$S_{ij}^{L2} = 2\mathbf{k}_i^M \cdot \mathbf{k}_j^Q - \left\| \mathbf{k}_i^M \right\|_2^2 - \left\| \mathbf{k}_j^Q \right\|_2^2 \quad (18)$$



Upper figure showing regions colored as „most similar point“ under Dot-product (l) and L2 (r) – lower figure showing softmax similarities. Both figures taken from [49]

# Transformers

- Convolutions in CNNs go back to the age-old bias-variance dilemma [50]:
  - Local connectivity: size of convolutional kernel determines receptive field (3x3 or 7x7) - lack of global understanding, i.e. dependencies between features
  - [52] argue that these limitations are beneficial in small-data regimes but in big-data settings where „all“ can be learned from samples its a drawback
- **Transformers (1900+ preprints since 2021/08: [git-repo](#))**
  - Taken from natural language processing (NLP) – embeds words in codebook
  - Two main ideas [53]:
    - **Self-attention** to capture long-range relations between embeddings, factorize data distribution with attention – optimize with maximum likelihood
    - **Pre-training** on large (un)labelled corpus in (un)supervised manner

# Transformers in computer vision

An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale [54]:

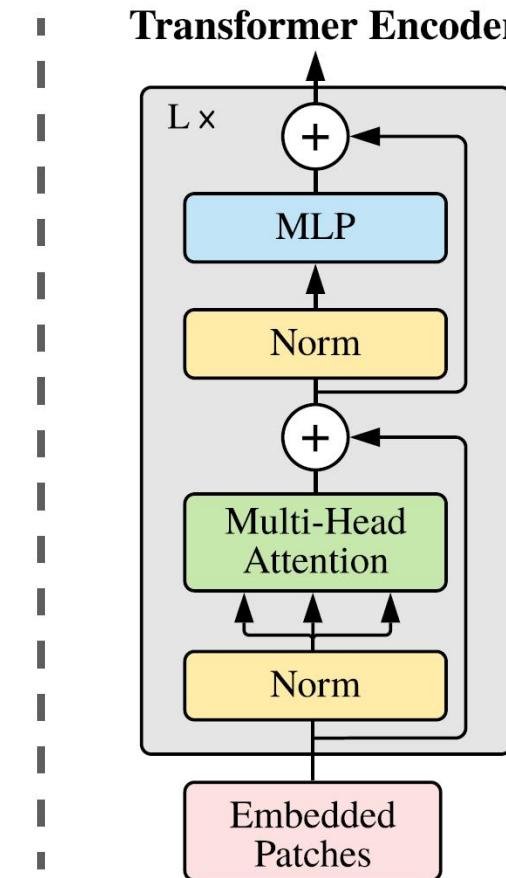
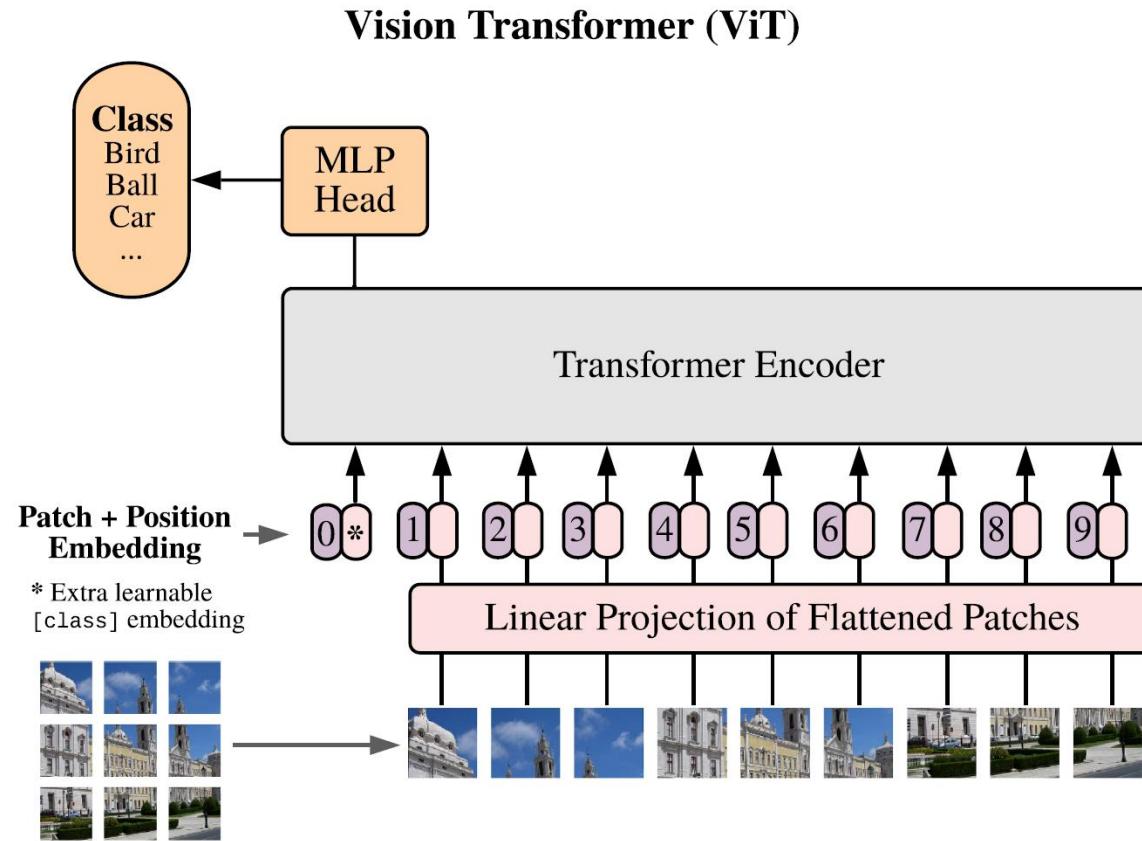


Image taken from [54] where the illustration of the transformer encoder was inspired by [55]

1. Image is split into patches
2. Embedded with position
3. Fed into transformer
4. Attention & dependencies
5. Classification with learnable “classification token”

# TimeSformer [52] – attention for video classification

- Convolution free architecture based on [52] extended to space-time 3D volume
- Video clips are viewed as a sequence of  $16 \times 16$  patches
- Analyze different attention schemes – divided space-time (middle column) performed best

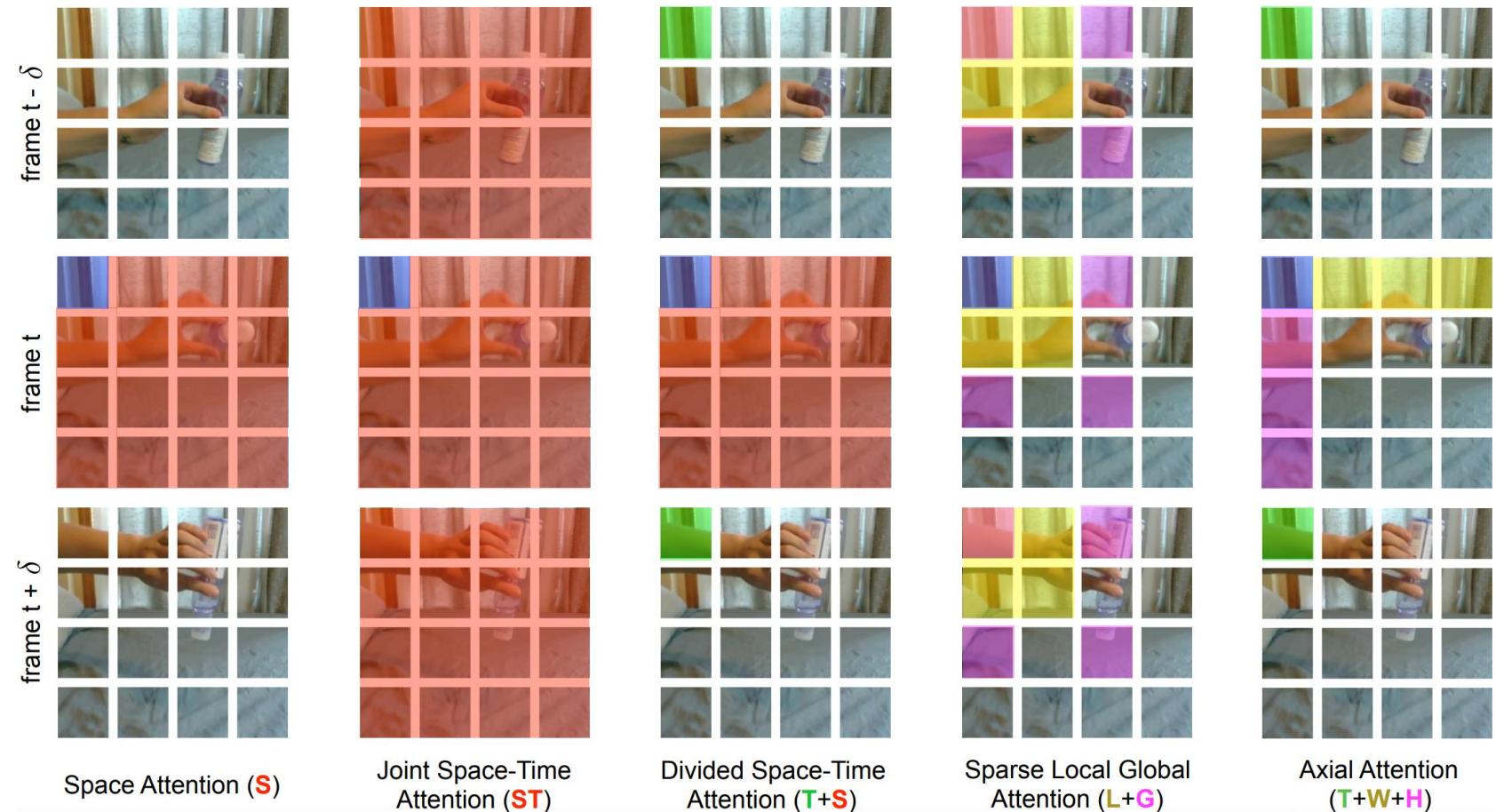
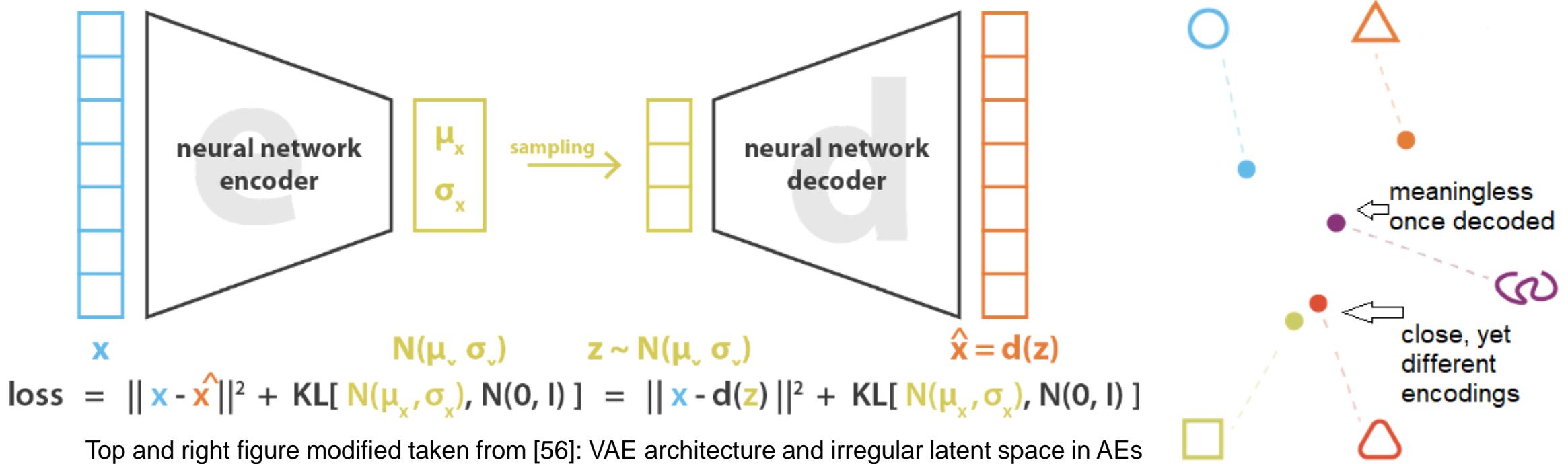


Figure taken from and showing the various attention schemes of [52]

# VAEs – Variational Autoencoders

- The latent space in AE is irregular – we want continuity and completeness
- VAEs output mean and std.-dev. vector – draw samples – a probabilistic problem
- From a VI (variational inference) perspective we want to maximize the *evidence lower bound* - by minimizing KL (Kullback-Leibler) divergence:  $KL(q(z|x)|| p(z))$



Top and right figure modified taken from [56]: VAE architecture and irregular latent space in AEs

# VQ-VAEs (Vector Quantization-Variational AEs)

- VQ-VAEs: derivative with discrete latent space – for every decoded feature vector the closest, discrete learnable vector from a **codebook** is used instead
- The idea comes from [57] who argue that language is inherently discrete, represented as a sequence of symbols and images can be concisely described by language [58] – VQ-VAEs further avoid posterior collapse

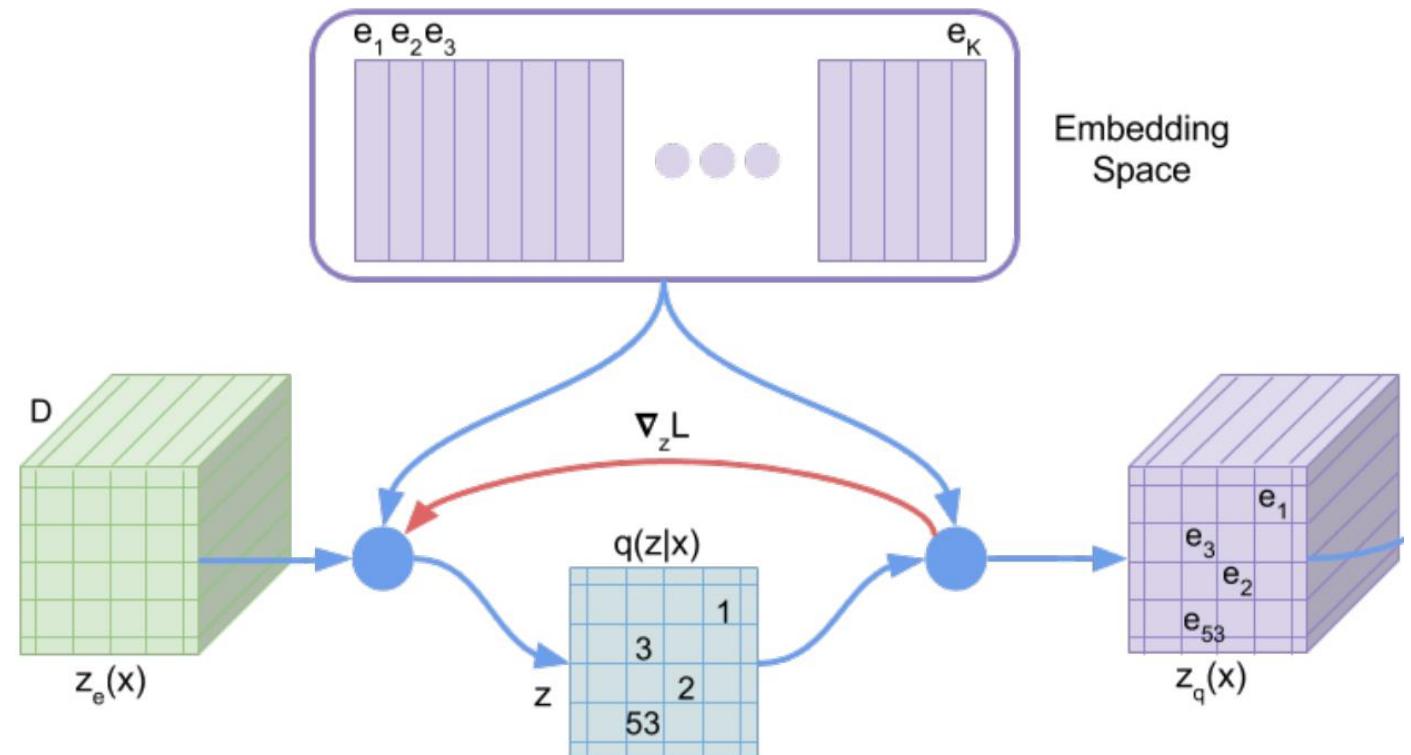


Figure modified and taken from [57] showing encoded feature vectors and codebook embedding

# VideoGPT [60] – VQ-VAE & GPT for video generation

- VQ-VAE autoencoder uses 3D and transposed convolutions
- Encoder: learned downsampled discrete latents from raw pixels of video frames
- Transformer: Model autoregressive prior (dependent on past values) with latents
- Decoder: Sample from the prior and decode for video output

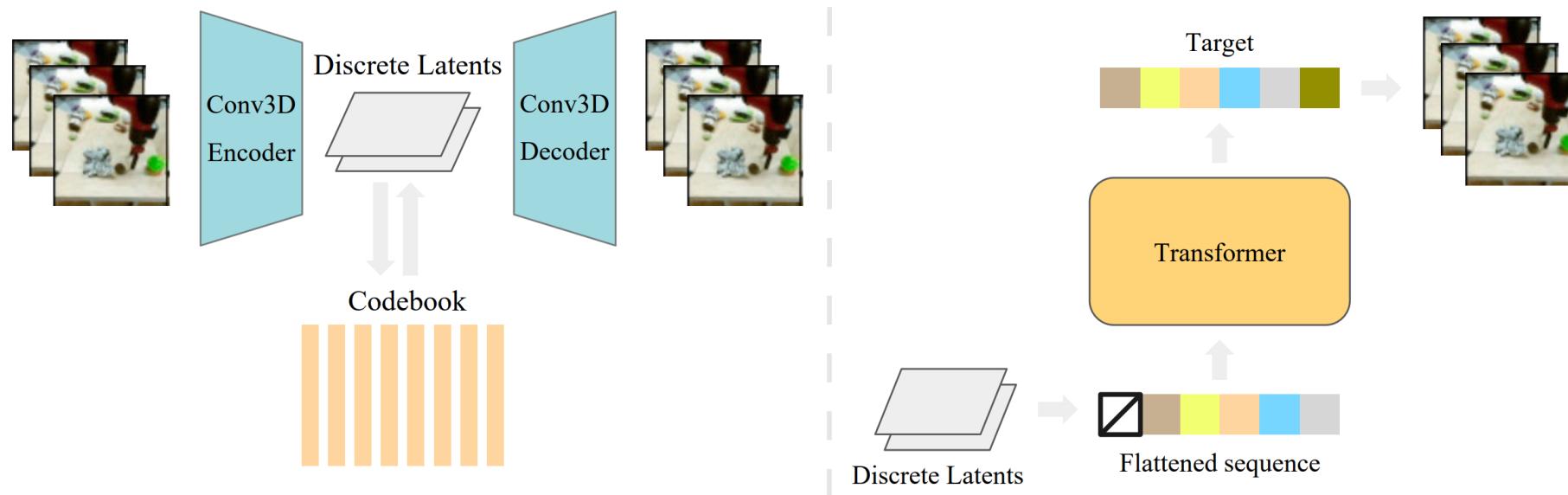


Figure taken from [60] showing the VQ-VAE on the left and the GPT (transformer) on the right

# VideoGPT [60] – VQ-VAE & GPT for video generation

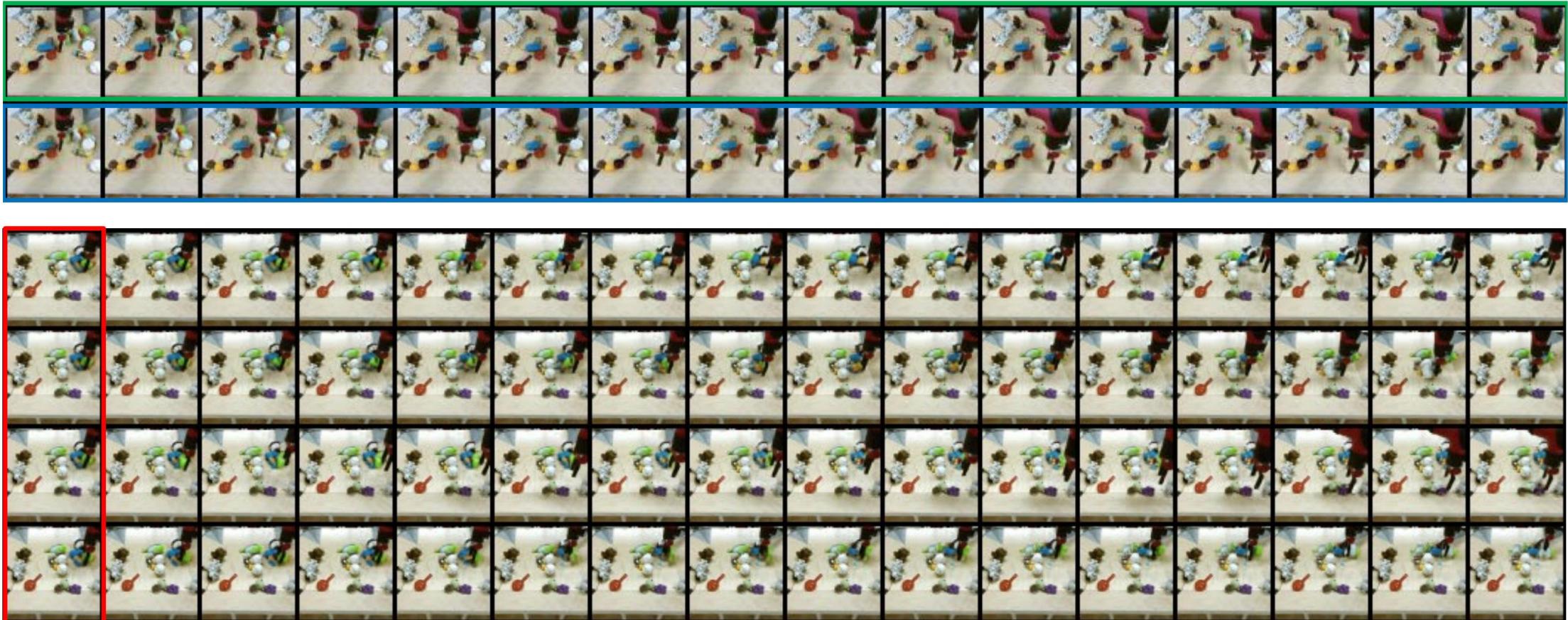
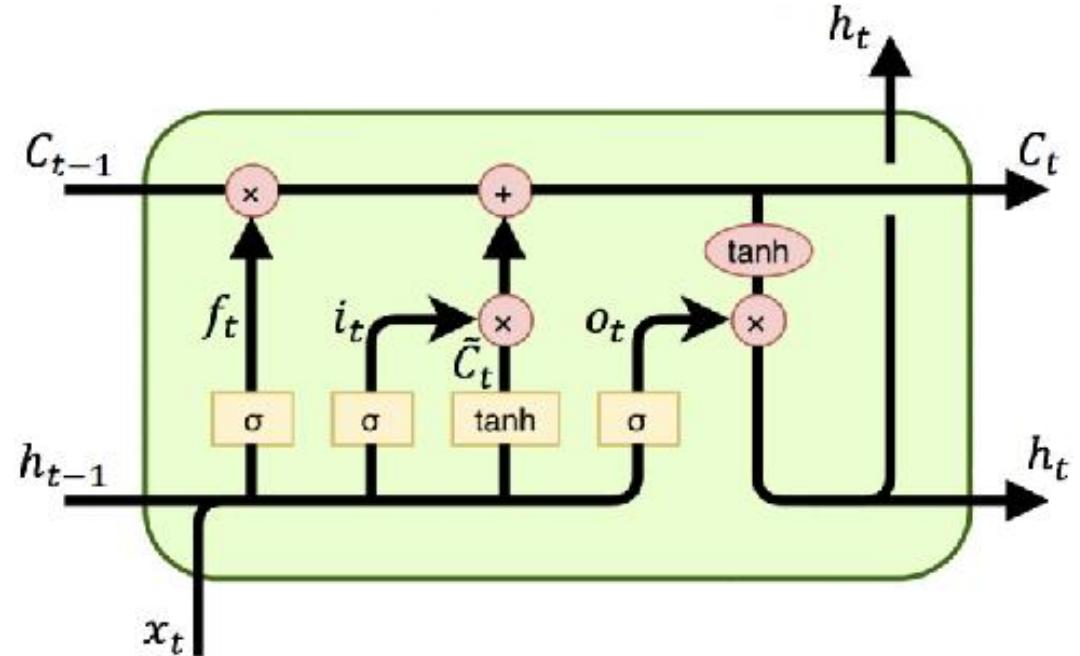


Figure taken from [60] showing VQ-VAE reconstructions on the top and generations on the bottom

# LSTMs

- LSTMs proposed in [16] and [28]
- $x_t$  ... input at t
- $h_{t-1}$ ...hidden state at t-1
- $C_{t-1}$ ... Cell state at t-1
- $\tilde{C}$  ... Cell state candidate
- $U$  ... weight matrix for the input
- $W$  ... internal weight matrix for state
- $i, f, o$  ... input, forget and output gate controlled by  $\sigma$



$$\begin{aligned} i_t &= \sigma(x_t U^i + h_{t-1} W^i) \\ f_t &= \sigma(x_t U^f + h_{t-1} W^f) \\ o_t &= \sigma(x_t U^o + h_{t-1} W^o) \\ \tilde{C}_t &= \tanh(x_t U^g + h_{t-1} W^g) \\ C_t &= \sigma(f_t * C_{t-1} + i_t * \tilde{C}_t) \\ h_t &= \tanh(C_t) * o_t \end{aligned}$$

Figure modified and taken from [29]

# PredRNNv2 [61] – RNN for video prediction

- LSTM [16] or ConvLSTM [62] do not model short-term spatial appearance changes and long-term multi-frame dynamics – propose spatiotemporal LSTM (ST-LSTM)
- 1. Propose zigzag memory flow across layers vertically and states horizontally
- 2. Decouple memory states for different aspects of spatiotemporal variations
- 3. Novel reverse scheduled sampling for non-Markovian (long-term) dependencies
- Trained end-to-end fully unsupervised with objective:

$$L = \sum_{t=2}^{T+K} \|\hat{X}_t - X_t\|_2^2 + L_{decouple}$$

where  $X$  is the input tensor of observations over  $T$  timesteps –  $K$  is the length for the future sequence that is to be predicted

# PredRNNv2 [61] – ST-LSTM blocks

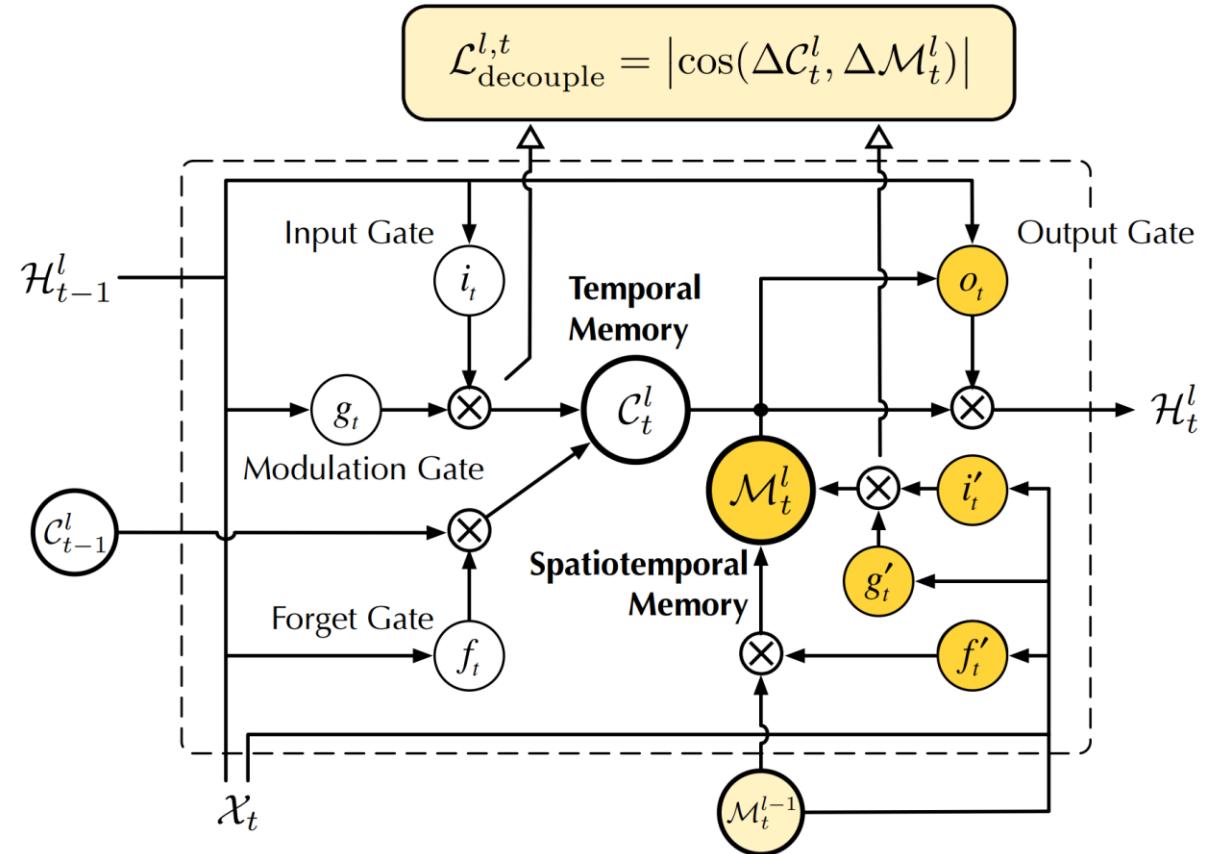
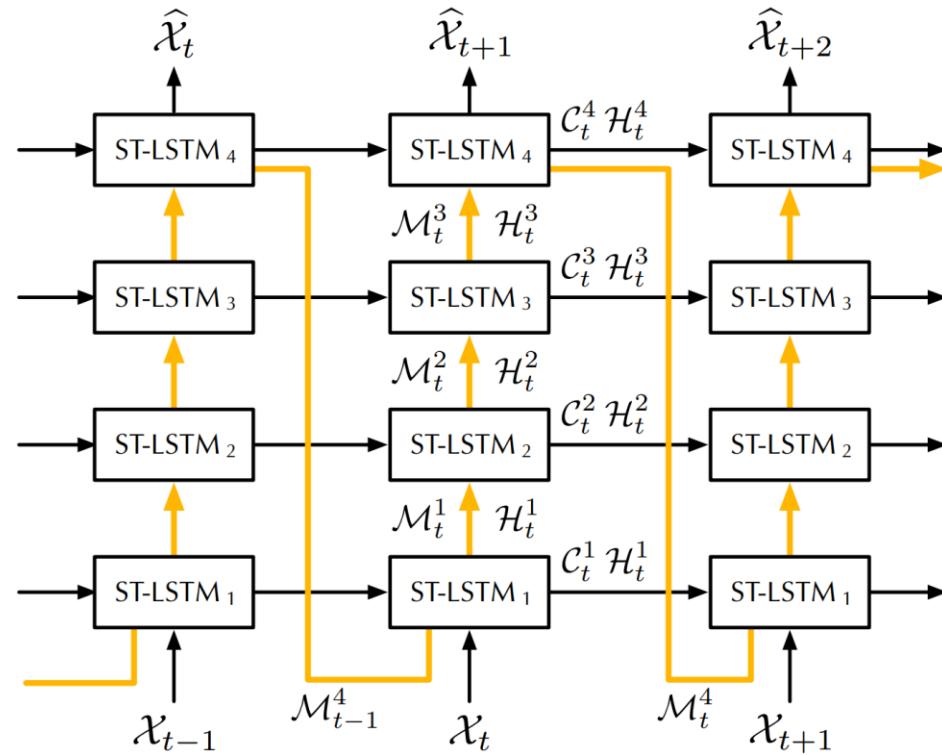
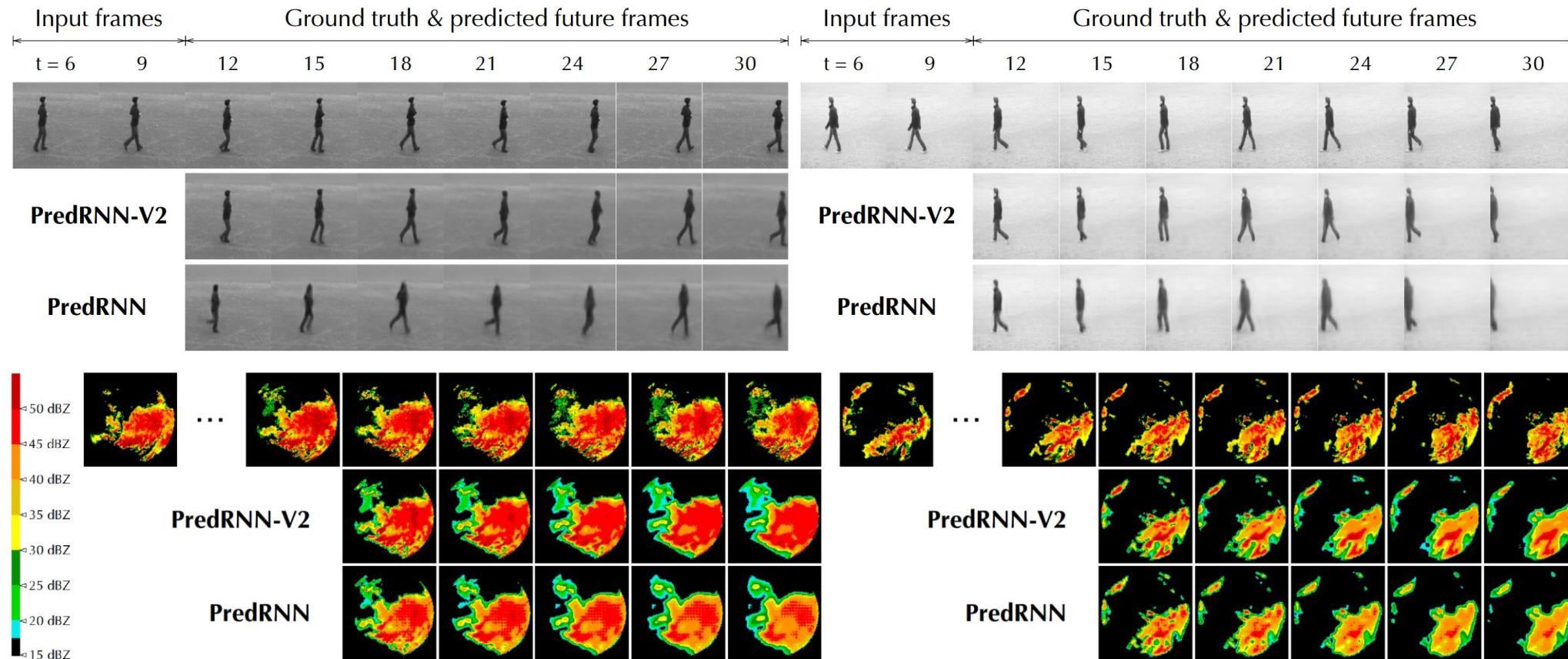


Figure taken from [61] showing a single ST-LSTM cell on the right (differences to ConvLSTM [62] in yellow circles) and the PredRNN main architecture on the left where orange arrows show the spatiotemporal memory flow

# PredRNNv2 [61] – KTH and Radar echo results



Figures taken from [61] showing results on the KTH [63] and Radar Echo [61] test sets. See paper for detailed results.

# Lessons learned

- Videos enable temporal perception beyond RGB images
- The redundancy is a blessing and curse – it enables many predictive tasks and self-supervision but also requires more efficient models
- 2D CNNs can be simply extended with a temporal dimension for classification using 3D CNNs
- For more complicated tasks, concepts like key-frames, memory banks, vision transformers, VAEs and RNNs have proven successful

Questions?

Suggestions?

Complaints?

*Thank you for your attention*

# References

References marked with \* have not been read by the lecturer (A. Kriegler) but are instead included for the sake of providing a historically accurate representation of the scientific progress in the field of deep learning. The correctness of this representation is largely based on works by J. Schmidhuber [2, 66].

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