# **UNIT 2:**

# Process Management and CPU scheduling

# What is a Process?

**Process** is the execution of a program that performs the actions specified in that program. It can be defined as an execution unit where a program runs. The OS helps you to create, schedule, and terminates the processes which is used by CPU. A process created by the main process is called a child process.

Process operations can be easily controlled with the help of PCB(Process Control Block). You can consider it as the brain of the process, which contains all the crucial information related to processing like process id, priority, state, CPU registers, etc.

# What is Process Management?

Process management involves various tasks like creation, scheduling, termination of processes, and a dead lock. Process is a program that is under execution, which is an important part of modern-day operating systems. The OS must allocate resources that enable processes to share and exchange information. It also protects the resources of each process from other methods and allows synchronization among processes.

It is the job of OS to manage all the running processes of the system. It handles operations by performing tasks like process scheduling and such as resource allocation.

# **Process Architecture**



Here, is an Architecture diagram of the Process

- **Stack:** The Stack stores temporary data like function parameters, returns addresses, and local variables.
- Heap Allocates memory, which may be processed during its run time.
- Data: It contains the variable.
- Text:

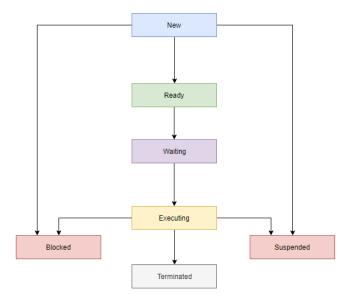
Text Section includes the current activity, which is represented by the value of the Program Counter.

# **Process Control Blocks**

PCB stands for Process Control Block. It is a data structure that is maintained by the Operating System for every process. The PCB should be identified by an integer Process ID (PID). It helps you to store all the information required to keep track of all the running processes.

It is also accountable for storing the contents of processor registers. These are saved when the process moves from the running state and then returns back to it. The information is quickly updated in the PCB by the OS as soon as the process makes the state transition.

# **Process States**



**Process States Diagram** 

A process state is a condition of the process at a specific instant of time. It also defines the current position of the process.

# There are mainly seven stages of a process which are:

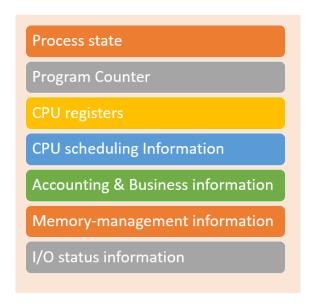
- New: The new process is created when a specific program calls from secondary memory/ hard disk to primary memory/ RAM a
- Ready: In a ready state, the process should be loaded into the primary memory, which is ready for execution.
- Waiting: The process is waiting for the allocation of CPU time and other resources for execution.
- Executing: The process is an execution state.
- Blocked: It is a time interval when a process is waiting for an event like I/O operations to complete.
- Suspended: Suspended state defines the time when a process is ready for execution but has not been placed in the ready queue by OS.
- Terminated: Terminated state specifies the time when a process is terminated

After completing every step, all the resources are used by a process, and memory becomes free.

# **Process Control Block (PCB)**

Every process is represented in the operating system by a process control block, which is also called a task control block.

Here, are important components of PCB



Process Control Block (PCB)

- **Process state:** A process can be new, ready, running, waiting, etc.
- **Program counter:** The program counter lets you know the address of the next instruction, which should be executed for that process.
- **CPU registers:** This component includes accumulators, index and general-purpose registers, and information of condition code.
- **CPU scheduling information:** This component includes a process priority, pointers for scheduling queues, and various other scheduling parameters.
- Accounting and business information: It includes the amount of CPU and time utilities like real time used, job or process numbers, etc.
- **Memory-management information:** This information includes the value of the base and limit registers, the page, or segment tables. This

- depends on the memory system, which is used by the operating system.
- I/O status information: This block includes a list of open files, the list of I/O devices that are allocated to the process, etc.

# **Process Scheduling**

# **Definition**

The process scheduling is the activity of the process manager that handles the removal of the running process from the CPU and the selection of another process on the basis of a particular strategy.

Process scheduling is an essential part of a Multiprogramming operating systems. Such operating systems allow more than one process to be loaded into the executable memory at a time and the loaded process shares the CPU using time multiplexing.

# Categories of Scheduling

There are two categories of scheduling:

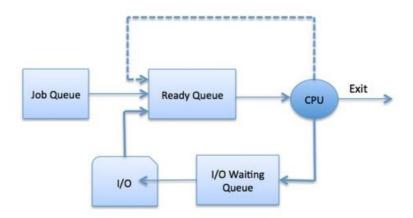
- 1. **Non-preemptive:** Here the resource can't be taken from a process until the process completes execution. The switching of resources occurs when the running process terminates and moves to a waiting state.
- 2. **Preemptive:** Here the OS allocates the resources to a process for a fixed amount of time. During resource allocation, the process switches from running state to ready state or from waiting state to ready state. This switching occurs as the CPU may give priority to other processes and replace the process with higher priority with the running process.

# **Process Scheduling Queues**

The OS maintains all Process Control Blocks (PCBs) in Process Scheduling Queues. The OS maintains a separate queue for each of the process states and PCBs of all processes in the same execution state are placed in the same queue. When the state of a process is changed, its PCB is unlinked from its current queue and moved to its new state queue.

The Operating System maintains the following important process scheduling queues -

- **Job queue** This queue keeps all the processes in the system.
- **Ready queue** This queue keeps a set of all processes residing in main memory, ready and waiting to execute. A new process is always put in this queue.
- Device queues The processes which are blocked due to unavailability of an I/O device constitute this queue.



The OS can use different policies to manage each queue (FIFO, Round Robin, Priority, etc.). The OS scheduler determines how to move processes between the ready and run queues which can only have one entry per processor core on the system; in the above diagram, it has been merged with the CPU.

# Two-State Process Model

Two-state process model refers to running and non-running states which are described below –

S.N.	State & Description
1	Running When a new process is created, it enters into the system as in the running state.
2	Not Running  Processes that are not running are kept in queue, waiting for their turn to execute. Each entry in the queue is a pointer to a particular process. Queue is implemented by using linked list. Use of dispatcher is as follows. When a process is interrupted, that process is transferred in the waiting queue. If the process has completed or aborted, the process is discarded. In either case, the dispatcher then selects a process from the queue to execute.

# **Schedulers**

Schedulers are special system software which handle process scheduling in various ways. Their main task is to select the jobs to be submitted into the system and to decide which process to run. Schedulers are of three types –

- Long-Term Scheduler
- Short-Term Scheduler

Medium-Term Scheduler

# Long Term Scheduler

It is also called a **job scheduler**. A long-term scheduler determines which programs are admitted to the system for processing. It selects processes from the queue and loads them into memory for execution. Process loads into the memory for CPU scheduling.

The primary objective of the job scheduler is to provide a balanced mix of jobs, such as I/O bound and processor bound. It also controls the degree of multiprogramming. If the degree of multiprogramming is stable, then the average rate of process creation must be equal to the average departure rate of processes leaving the system.

On some systems, the long-term scheduler may not be available or minimal. Time-sharing operating systems have no long term scheduler. When a process changes the state from new to ready, then there is use of long-term scheduler.

# Short Term Scheduler

It is also called as **CPU scheduler**. Its main objective is to increase system performance in accordance with the chosen set of criteria. It is the change of ready state to running state of the process. CPU scheduler selects a process among the processes that are ready to execute and allocates CPU to one of them.

Short-term schedulers, also known as dispatchers, make the decision of which process to execute next. Short-term schedulers are faster than long-term schedulers.

# Medium Term Scheduler

Medium-term scheduling is a part of **swapping**. It removes the processes from the memory. It reduces the degree of multiprogramming. The medium-term scheduler is incharge of handling the swapped out-processes.

A running process may become suspended if it makes an I/O request. A suspended processes cannot make any progress towards completion. In this condition, to remove the process from memory and make space for other processes, the suspended process is moved to the secondary storage. This process is called **swapping**, and the process is said to be swapped out or rolled out. Swapping may be necessary to improve the process mix.

Comparison among Scheduler

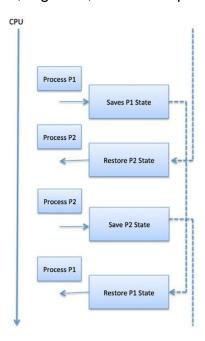
S.N.	Long-Term Scheduler	Short-Term Scheduler	Medium-Term Scheduler
1	It is a job scheduler	It is a CPU scheduler	It is a process swapping scheduler.

2	Speed is lesser than short term scheduler	Speed is fastest among other two	Speed is in between both short and long term scheduler.
3	It controls the degree of multiprogramming	It provides lesser control over degree of multiprogramming	It reduces the degree of multiprogramming.
4	It is almost absent or minimal in time sharing system	It is also minimal in time sharing system	It is a part of Time sharing systems.
5	It selects processes from pool and loads them into memory for execution	It selects those processes which are ready to execute	It can re-introduce the process into memory and execution can be continued.

# **Context Switching**

A context switching is the mechanism to store and restore the state or context of a CPU in Process Control block so that a process execution can be resumed from the same point at a later time. Using this technique, a context switcher enables multiple processes to share a single CPU. Context switching is an essential part of a multitasking operating system features.

When the scheduler switches the CPU from executing one process to execute another, the state from the current running process is stored into the process control block. After this, the state for the process to run next is loaded from its own PCB and used to set the PC, registers, etc. At that point, the second process can start executing.

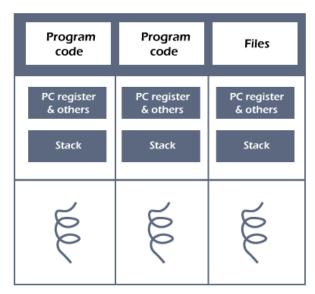


Context switches are computationally intensive since register and memory state must be saved and restored. To avoid the amount of context switching time, some hardware systems employ two or more sets of processor registers. When the process is switched, the following information is stored for later use.

- Program Counter
- Scheduling information
- Base and limit register value
- Currently used register
- Changed State
- I/O State information
- Accounting information

# Threads in Operating System (OS)

A thread is a single sequential flow of execution of tasks of a process so it is also known as thread of execution or thread of control. There is a way of thread execution inside the process of any operating system. Apart from this, there can be more than one thread inside a process. Each thread of the same process makes use of a separate program counter and a stack of activation records and control blocks. Thread is often referred to as a lightweight process.



Three threads of same process

The process can be split down into so many threads. **For example**, in a browser, many tabs can be viewed as threads. MS Word uses many threads - formatting text from one thread, processing input from another thread, etc.

# Need of Thread:

- It takes far less time to create a new thread in an existing process than to create a new process.
- Threads can share the common data, they do not need to use Inter- Process communication.
- Context switching is faster when working with threads.
- o It takes less time to terminate a thread than a process.

# Types of Threads

In the operating system, there are two types of threads.

- 1. Kernel level thread.
- 2. User-level thread.

# **User-level thread**

The <u>operating system</u> does not recognize the user-level thread. User threads can be easily implemented and it is implemented by the user. If a user performs a user-level thread blocking operation, the whole process is blocked. The kernel level thread does not know nothing about the user level thread. The kernel-level thread manages user-level threads as if they are single-threaded processes?examples: <u>Java</u> thread, POSIX threads, etc.

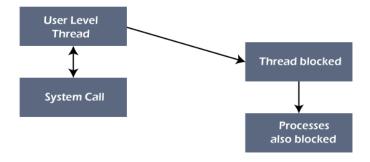
# Advantages of User-level threads

- 1. The user threads can be easily implemented than the kernel thread.
- 2. User-level threads can be applied to such types of operating systems that do not support threads at the kernel-level.
- 3. It is faster and efficient.
- 4. Context switch time is shorter than the kernel-level threads.
- 5. It does not require modifications of the operating system.
- 6. User-level threads representation is very simple. The register, PC, stack, and mini thread control blocks are stored in the address space of the user-level process.

7. It is simple to create, switch, and synchronize threads without the intervention of the process.

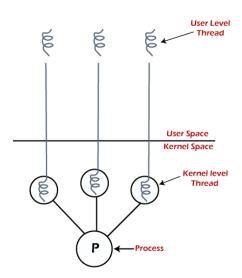
# **Disadvantages of User-level threads**

- 1. User-level threads lack coordination between the thread and the kernel.
- 2. If a thread causes a page fault, the entire process is blocked.



# Kernel level thread

The kernel thread recognizes the operating system. There is a thread control block and process control block in the system for each thread and process in the kernel-level thread. The kernel-level thread is implemented by the operating system. The kernel knows about all the threads and manages them. The kernel-level thread offers a system call to create and manage the threads from user-space. The implementation of kernel threads is more difficult than the user thread. Context switch time is longer in the kernel thread. If a kernel thread performs a blocking operation, the Banky thread execution can continue. Example: Window Solaris.



# **Advantages of Kernel-level threads**

- 1. The kernel-level thread is fully aware of all threads.
- 2. The scheduler may decide to spend more CPU time in the process of threads being large numerical.
- 3. The kernel-level thread is good for those applications that block the frequency.

# **Disadvantages of Kernel-level threads**

- 1. The kernel thread manages and schedules all threads.
- 2. The implementation of kernel threads is difficult than the user thread.
- 3. The kernel-level thread is slower than user-level threads.

# Components of Threads

Any thread has the following components.

- 1. Program counter
- 2. Register set
- 3. Stack space

# Benefits of Threads

- Enhanced throughput of the system: When the process is split into many threads, and each thread is treated as a job, the number of jobs done in the unit time increases. That is why the throughput of the system also increases.
- Effective Utilization of Multiprocessor system: When you have more than one thread
  in one process, you can schedule more than one thread in more than one processor.
- Faster context switch: The context switching period between threads is less than the process context switching. The process context switch means more overhead for the CPU.
- Responsiveness: When the process is split into several threads, and when a thread completes its execution, that process can be responded to as soon as possible.
- Communication: Multiple-thread communication is simple because the threads share the same address space, while in process, we adopt just a few exclusive communication strategies for communication between two processes.

 Resource sharing: Resources can be shared between all threads within a process, such as code, data, and files. Note: The stack and register cannot be shared between threads. There is a stack and register for each thread.

# What is Inter Process Communication?

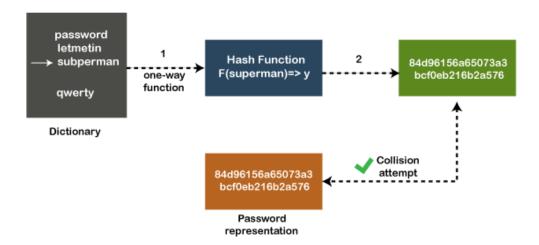
In general, Inter Process Communication is a type of mechanism usually provided by the operating system (or OS). The main aim or goal of this mechanism is to provide communications in between several processes. In short, the intercommunication allows a process letting another process know that some event has occurred.

Let us now look at the general definition of inter-process communication, which will explain the same thing that we have discussed above.

# **Definition**

"Inter-process communication is used for exchanging useful information between numerous threads in one or more processes (or programs)."

To understand inter process communication, you can consider the following given diagram that illustrates the importance of inter-process communication:



# **Role of Synchronization in Inter Process Communication**

It is one of the essential parts of inter process communication. Typically, this is provided by interprocess communication control mechanisms, but sometimes it can also be controlled by communication processes.

These are the following methods that used to provide the synchronization:

- 1. Mutual Exclusion
- 2. Semaphore
- 3. Barrier
- 4. Spinlock

### **Mutual Exclusion:-**

It is generally required that only one process thread can enter the critical section at a time. This also helps in synchronization and creates a stable state to avoid the race condition.

### Semaphore:-

Semaphore is a type of variable that usually controls the access to the shared resources by several processes. Semaphore is further divided into two types which are as follows:

- 1. Binary Semaphore
- 2. Counting Semaphore

### Barrier:-

A barrier typically not allows an individual process to proceed unless all the processes does not reach it. It is used by many parallel languages, and collective routines impose barriers.

### Spinlock:-

Spinlock is a type of lock as its name implies. The processes are trying to acquire the spinlock waits or stays in a loop while checking that the lock is available or not. It is known as busy waiting because even though the process active, the process does not perform any functional operation (or task).

# **Approaches to Interprocess Communication**

We will now discuss some different approaches to inter-process communication which are as follows:



These are a few different approaches for Inter- Process Communication:

- 1. Pipes
- 2. Shared Memory
- 3. Message Queue
- 4. Direct Communication
- 5. Indirect communication
- 6. Message Passing
- 7. **FIFO**

To understand them in more detail, we will discuss each of them individually.

### Pipe:-

The pipe is a type of data channel that is unidirectional in nature. It means that the data in this type of data channel can be moved in only a single direction at a time. Still, one can use two-channel of this type, so that he can able to send and receive data in two processes. Typically, it uses the standard methods for input and output. These pipes are used in all types of POSIX systems and in different versions of window operating systems as well.

# **Shared Memory:-**

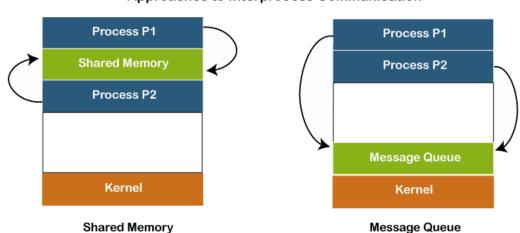
It can be referred to as a type of memory that can be used or accessed by multiple processes simultaneously. It is primarily used so that the processes can communicate with

each other. Therefore the shared memory is used by almost all POSIX and Windows operating systems as well.

# Message Queue:-

In general, several different messages are allowed to read and write the data to the message queue. In the message queue, the messages are stored or stay in the queue unless their recipients retrieve them. In short, we can also say that the message queue is very helpful in inter-process communication and used by all operating systems.

To understand the concept of Message queue and Shared memory in more detail, let's take a look at its diagram given below:



# **Approaches to Interprocess Communication**

# **Message Passing:-**

It is a type of mechanism that allows processes to synchronize and communicate with each other. However, by using the message passing, the processes can communicate with each other without restoring the hared variables.

Usually, the inter-process communication mechanism provides two operations that are as follows:

- send (message)
- received (message)

Note: The size of the message can be fixed or variable.

### **Direct Communication:-**

In this type of communication process, usually, a link is created or established between two communicating processes. However, in every pair of communicating processes, only one link can exist.

### **Indirect Communication**

Indirect communication can only exist or be established when processes share a common mailbox, and each pair of these processes shares multiple communication links. These shared links can be unidirectional or bi-directional.

### FIFO:-

It is a type of general communication between two unrelated processes. It can also be considered as full-duplex, which means that one process can communicate with another process and vice versa.

# Some other different approaches

### Socket:-

It acts as a type of endpoint for receiving or sending the data in a network. It is correct for data sent between processes on the same computer or data sent between different computers on the same network. Hence, it used by several types of operating systems.

### o File:-

A file is a type of data record or a document stored on the disk and can be acquired on demand by the file server. Another most important thing is that several processes can access that file as required or needed.

### Signal:-

As its name implies, they are a type of signal used in inter process communication in a minimal way. Typically, they are the massages of systems that are sent by one process to another. Therefore, they are not used for sending data but for remote commands between multiple processes.

Usually, they are not used to send the data but to remote commands in between several processes.

# Why we need interprocess communication?

There are numerous reasons to use inter-process communication for sharing the data. Here are some of the most important reasons that are given below:

- o It helps to speedup modularity
- Computational
- Privilege separation
- Convenience
- Helps operating system to communicate with each other and synchronize their actions as well.

# **CPU Scheduling**

Scheduling of processes/work is done to finish the work on time. **CPU Scheduling** is a process that allows one process to use the CPU while another process is delayed (in standby) due to unavailability of any resources such as I / O etc, thus making full use of the CPU. The purpose of CPU Scheduling is to make the system more efficient, faster, and fairer.

Whenever the CPU becomes idle, the operating system must select one of the processes in the line ready for launch. The selection process is done by a temporary (CPU) scheduler. The Scheduler selects between memory processes ready to launch and assigns the CPU to one of them.

# What is the need for CPU scheduling algorithm?

**CPU scheduling** is the process of deciding which process will own the CPU to use while another process is suspended. The main function of the CPU scheduling is to ensure that whenever the CPU remains idle, the OS has at least selected one of the processes available in the ready-to-use line.

In <u>Multiprogramming</u>, if the long-term scheduler selects multiple I / O binding processes then most of the time, the CPU remains an idle. The function of an effective program is to improve resource utilization.

If most operating systems change their status from performance to waiting then there may always be a chance of failure in the system. So in order to minimize this excess, the OS needs to schedule tasks in order to make full use of the CPU and avoid the possibility of deadlock.

# **Objectives of Process Scheduling Algorithm:**

• Utilization of CPU at maximum level. Keep CPU as busy as possible.

- Allocation of CPU should be fair.
- Throughput should be Maximum. i.e. Number of processes that complete their execution per time unit should be maximized.
- **Minimum turnaround time**, i.e. time taken by a process to finish execution should be the least.
- There should be a **minimum waiting time** and the process should not starve in the ready queue.
- **Minimum response time.** It means that the time when a process produces the first response should be as less as possible.

# What are the different terminologies to take care of in any CPU Scheduling algorithm?

- Arrival Time: Time at which the process arrives in the ready queue.
- Completion Time: Time at which process completes its execution.
- **Burst Time:** Time required by a process for CPU execution.
- **Turn Around Time:** Time Difference between completion time and arrival time. *Turn Around Time = Completion Time — Arrival Time*
- Waiting Time(W.T): Time Difference between turn around time and burst time.
   Waiting Time = Turn Around Time Burst Time

# Things to take care while designing a CPU Scheduling algorithm?

Different **CPU Scheduling algorithms** have different structures and the choice of a particular algorithm depends on a variety of factors. Many conditions have been raised to compare CPU scheduling algorithms.

The criteria include the following:

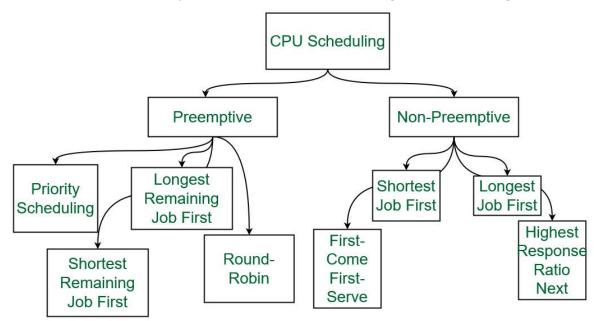
- **CPU utilization:** The main purpose of any CPU algorithm is to keep the CPU as busy as possible. Theoretically, CPU usage can range from 0 to 100 but in a real-time system, it varies from 40 to 90 percent depending on the system load.
- **Throughput:** The average CPU performance is the number of processes performed and completed during each unit. This is called throughput. The output may vary depending on the length or duration of the processes.
- Turn round Time: For a particular process, the important conditions are how long it takes to perform that process. The time elapsed from the time of process delivery to the time of completion is known as the conversion time. Conversion time is the amount of time spent waiting for memory access, waiting in line, using CPU, and waiting for I / O.

- Waiting Time: The Scheduling algorithm does not affect the time required to complete the process once it has started performing. It only affects the waiting time of the process i.e. the time spent in the waiting process in the ready queue.
- **Response Time:** In a collaborative system, turn around time is not the best option. The process may produce something early and continue to computing the new results while the previous results are released to the user. Therefore another method is the time taken in the submission of the application process until the first response is issued. This measure is called response time.

# What are the different types of CPU Scheduling Algorithms?

There are mainly two types of scheduling methods:

- <u>Preemptive Scheduling</u>: Preemptive scheduling is used when a process switches from running state to ready state or from the waiting state to the ready state.
- Non-Preemptive Scheduling: Non-Preemptive scheduling is used when a process terminates, or when a process switches from running state to waiting state.



Different types of CPU Scheduling Algorithms

Let us now learn about these CPU scheduling algorithms in operating systems one by one:

### 1. First Come First Serve:

**FCFS** considered to be the simplest of all operating system scheduling algorithms. First come first serve scheduling algorithm states that the process that requests the CPU first is allocated the CPU first and is implemented by using <u>FIFO queue</u>.

### **Characteristics of FCFS:**

- FCFS supports non-preemptive and preemptive CPU scheduling algorithms.
- Tasks are always executed on a First-come, First-serve concept.
- FCFS is easy to implement and use.
- This algorithm is not much efficient in performance, and the wait time is quite high.

# **Advantages of FCFS:**

- Easy to implement
- First come, first serve method

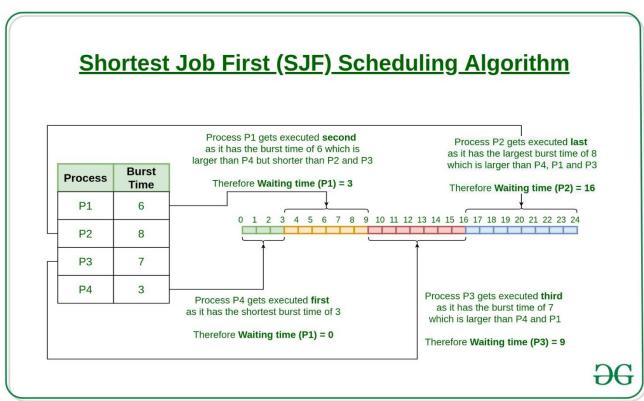
### **Disadvantages of FCFS:**

- FCFS suffers from **Convoy effect**.
- The average waiting time is much higher than the other algorithms.
- FCFS is very simple and easy to implement and hence not much efficient.

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on <u>First come</u>, <u>First serve Scheduling</u>.

2. Shortest Job First(SJF):

**Shortest job first (SJF)** is a scheduling process that selects the waiting process with the smallest execution time to execute next. This scheduling method may or may not be preemptive. Significantly reduces the average waiting time for other processes waiting to be executed. The full form of SJF is Shortest Job First.



### Characteristics of SJF:

 Shortest Job first has the advantage of having a minimum average waiting time among all <u>operating system scheduling algorithms.</u>

- It is associated with each task as a unit of time to complete.
- It may cause starvation if shorter processes keep coming. This problem can be solved using the concept of ageing.

### **Advantages of Shortest Job first:**

- As SJF reduces the average waiting time thus, it is better than the first come first serve scheduling algorithm.
- SJF is generally used for long term scheduling

### **Disadvantages of SJF:**

- One of the demerit SJF has is starvation.
- Many times it becomes complicated to predict the length of the upcoming CPU request

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on **Shortest Job First**.

3. Longest Job First(LJF):

**Longest Job First(LJF)** scheduling process is just opposite of shortest job first (SJF), as the name suggests this algorithm is based upon the fact that the process with the largest burst time is processed first. Longest Job First is non-preemptive in nature.

### **Characteristics of LJF:**

- Among all the processes waiting in a waiting queue, CPU is always assigned to the process having largest burst time.
- If two processes have the same burst time then the tie is broken using <u>FCFS</u> i.e. the process that arrived first is processed first.
- LJF CPU Scheduling can be of both preemptive and non-preemptive types.

### **Advantages of LJF:**

- No other task can schedule until the longest job or process executes completely.
- All the jobs or processes finish at the same time approximately.

### **Disadvantages of LJF:**

- Generally, the LJF algorithm gives a very high <u>average waiting time</u> and <u>average turn-around time</u> for a given set of processes.
- This may lead to convoy effect.

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on the <u>Longest job first scheduling</u>.

4. Priority Scheduling:

Preemptive Priority CPU Scheduling Algorithm is a pre-emptive method of <u>CPU</u> scheduling algorithm that works based on the priority of a process. In this algorithm, the editor sets the functions to be as important, meaning that the most important process must be done first. In the case of any conflict, that is, where there are more than one processor with equal value, then the most important CPU planning algorithm works on the basis of the FCFS (First Come First Serve) algorithm.

# **Characteristics of Priority Scheduling:**

- Schedules tasks based on priority.
- When the higher priority work arrives while a task with less priority is executed,
   the higher priority work takes the place of the less priority one and
- The latter is suspended until the execution is complete.
- Lower is the number assigned, higher is the priority level of a process.

# **Advantages of Priority Scheduling:**

- The average waiting time is less than FCFS
- Less complex

### **Disadvantages of Priority Scheduling:**

 One of the most common demerits of the Preemptive priority CPU scheduling algorithm is the <u>Starvation Problem</u>. This is the problem in which a process has to wait for a longer amount of time to get scheduled into the CPU. This condition is called the starvation problem.

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on <a href="Priority Preemptive Scheduling algorithm">Priority Preemptive Scheduling algorithm</a>.

### 5. Round robin:

**Round Robin** is a <u>CPU scheduling algorithm</u> where each process is cyclically assigned a fixed time slot. It is the <u>preemptive</u> version of <u>First come First Serve CPU Scheduling</u> <u>algorithm</u>. Round Robin CPU Algorithm generally focuses on Time Sharing technique.

### **Characteristics of Round robin:**

- It's simple, easy to use, and starvation-free as all processes get the balanced CPU allocation.
- One of the most widely used methods in CPU scheduling as a core.
- It is considered preemptive as the processes are given to the CPU for a very limited time.

# **Advantages of Round robin:**

- Round robin seems to be fair as every process gets an equal share of CPU.
- The newly created process is added to the end of the ready queue.

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on the Round robin Scheduling algorithm.

# 6. Shortest Remaining Time First:

**Shortest remaining time first** is the preemptive version of the Shortest job first which we have discussed earlier where the processor is allocated to the job closest to completion. In SRTF the process with the smallest amount of time remaining until completion is selected to execute.

### **Characteristics of Shortest remaining time first:**

- SRTF algorithm makes the processing of the jobs faster than SJF algorithm, given it's overhead charges are not counted.
- The context switch is done a lot more times in SRTF than in SJF and consumes the CPU's valuable time for processing. This adds up to its processing time and diminishes its advantage of fast processing.

# **Advantages of SRTF:**

- In SRTF the short processes are handled very fast.
- The system also requires very little overhead since it only makes a decision when a process completes or a new process is added.

# **Disadvantages of SRTF:**

- Like the shortest job first, it also has the potential for process starvation.
- Long processes may be held off indefinitely if short processes are continually added.

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on the <u>shortest remaining time first</u>.

# 7. Longest Remaining Time First:

The longest remaining time first is a preemptive version of the longest job first scheduling algorithm. This scheduling algorithm is used by the operating system to program incoming processes for use in a systematic way. This algorithm schedules those processes first which have the longest processing time remaining for completion.

# **Characteristics of longest remaining time first:**

- Among all the processes waiting in a waiting queue, the CPU is always assigned to the process having the largest burst time.
- If two processes have the same burst time then the tie is broken using <u>FCFS</u> i.e. the process that arrived first is processed first.
- LJF CPU Scheduling can be of both preemptive and non-preemptive types.

### **Advantages of LRTF:**

- No other process can execute until the longest task executes completely.
- All the jobs or processes finish at the same time approximately.

### **Disadvantages of LRTF:**

- This algorithm gives a very high <u>average waiting time</u> and <u>average turn-around</u> <u>time</u> for a given set of processes.
- This may lead to a convoy effect.

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on the <u>longest remaining time first</u>.

# 8. Highest Response Ratio Next:

**Highest Response Ratio Next** is a non-preemptive CPU Scheduling algorithm and it is considered as one of the most optimal scheduling algorithms. The name itself states

that we need to find the response ratio of all available processes and select the one with the highest Response Ratio. A process once selected will run till completion.

# **Characteristics of Highest Response Ratio Next:**

- The **criteria** for HRRN is **Response Ratio**, and the **mode** is **Non-Preemptive**.
- HRRN is considered as the modification of <u>Shortest Job First</u> to reduce the problem of <u>starvation</u>.
- In comparison with SJF, during the HRRN scheduling algorithm, the CPU is allotted to the next process which has the **highest response ratio** and not to the process having less burst time.

### Response Ratio = (W + S)/S

Here,  $\boldsymbol{W}$  is the waiting time of the process so far and  $\boldsymbol{S}$  is the Burst time of the process. Advantages of HRRN:

- HRRN Scheduling algorithm generally gives better performance than the <u>shortest</u> job first Scheduling.
- There is a reduction in waiting time for longer jobs and also it encourages shorter jobs.

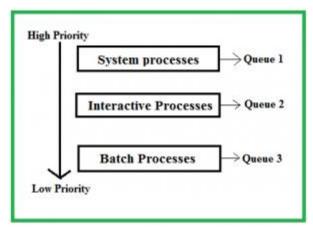
# **Disadvantages of HRRN:**

- The implementation of HRRN scheduling is not possible as it is not possible to know the burst time of every job in advance.
- In this scheduling, there may occur an overload on the CPU.

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on Highest Response Ratio Next.

# 9. Multiple Queue Scheduling:

Processes in the ready queue can be divided into different classes where each class has its own scheduling needs. For example, a common division is a **foreground** (interactive) process and a **background** (batch) process. These two classes have different scheduling needs. For this kind of situation **Multilevel Queue Scheduling** is used.



The description of the processes in the above diagram is as follows:

- **System Processes:** The CPU itself has its process to run, generally termed as System Process.
- **Interactive Processes:** An Interactive Process is a type of process in which there should be the same type of interaction.
- **Batch Processes:** Batch processing is generally a technique in the Operating system that collects the programs and data together in the form of a **batch** before the **processing** starts.

# Advantages of multilevel queue scheduling:

• The main merit of the multilevel queue is that it has a low scheduling overhead.

# Disadvantages of multilevel queue scheduling:

- Starvation problem
- It is inflexible in nature

To learn about how to implement this CPU scheduling algorithm, please refer to our detailed article on Multilevel Queue Scheduling.

# 10. Multilevel Feedback Queue Scheduling::

Multilevel Feedback Queue Scheduling (MLFQ) CPU Scheduling is like Multilevel Queue Scheduling but in this process can move between the queues. And thus, much more efficient than multilevel queue scheduling.

### **Characteristics of Multilevel Feedback Queue Scheduling:**

- In a <u>multilevel queue-scheduling</u> algorithm, processes are permanently assigned to a queue on entry to the system, and processes are not allowed to move between queues.
- As the processes are permanently assigned to the queue, this setup has the advantage of low scheduling overhead,
- But on the other hand disadvantage of being inflexible.

### Advantages of Multilevel feedback queue scheduling:

- It is more flexible
- It allows different processes to move between different queues

# Disadvantages of Multilevel feedback queue scheduling:

- It also produces CPU overheads
- It is the most complex algorithm.