

# **ME 620: Fundamentals of Artificial Intelligence**

## **Lecture 20: Answer Extraction**



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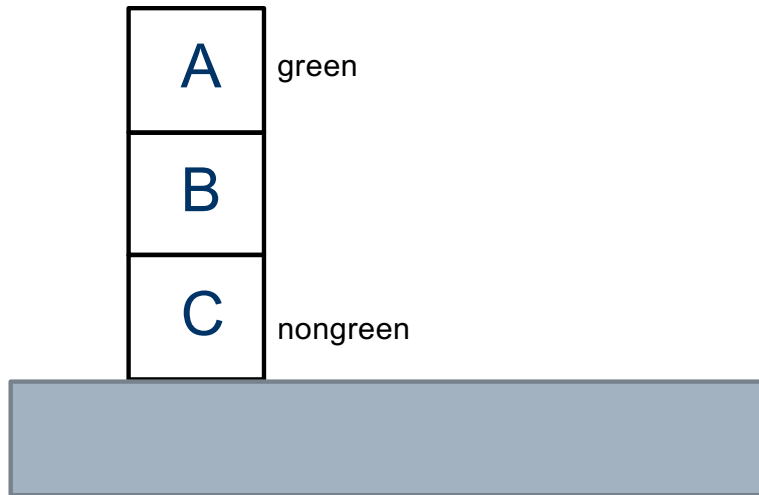
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# Answering a Query in FOL

## Example

Suppose there are three coloured blocks stacked as shown, where the top one is 'green' and the bottom is 'not green'. Is there a 'green' block on top of a 'non-green' block?

on: holds if and only if block is immediately above the other.



## Facts

1.  $\text{on}(\text{A}, \text{B})$
2.  $\text{on}(\text{B}, \text{C})$
3.  $\text{green}(\text{A})$
4.  $\neg \text{green}(\text{C})$

## Query

$\exists x \exists y \text{ on}(x, y) \wedge \text{green}(x) \wedge \neg \text{green}(y)$

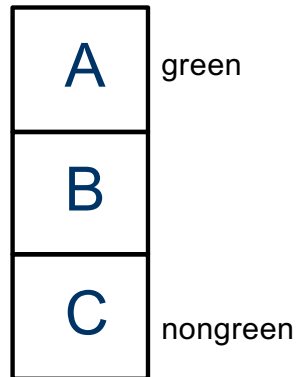
# Answering a Query in FOL

## Example

### Negation of the Query

$\neg \exists x \exists y (on(x, y) \wedge green(x) \wedge \neg green(y))$

$\neg on(x, y) \vee \neg green(x) \vee green(y)$



### Refutation Trace

- |   |      |
|---|------|
| 1. $on(A, B)$                                       | C1   |
| 2. $on(B, C)$                                       | C2   |
| 3. $green(A)$                                       | C3   |
| 4. $\neg green(C)$                                  | C4   |
| 5. $\neg on(x, y) \vee \neg green(x) \vee green(y)$ | C5   |
| 6. $\neg green(A) \vee green(B)$                    | 1, 5 |
| 7. $\neg green(B) \vee green(C)$                    | 2, 5 |
| 8. $green(B)$                                       | 3, 6 |
| 9. $\neg green(B)$                                  | 4, 7 |
| 10. $\square$                                       | 8, 9 |

In this problem the **KB entails that there is some block** which must be **green and on top of a nongreen** block.

However, it **does not make any commitment to any specific one**.

**Answer-extraction** deals with **providing instances for such variables**.

# Extracting Answers from Refutation Proofs

- Many **applications for FOPC theorem-proving** systems involve
  - **proving formulas** that contains **existentially quantified variables**.
  - Finding values or **instances** for these variables.
  - Does  $\exists x W(x)$  **logically follows from  $\Delta$**  ?
    - If it does, we want an instance of the ' $x$ '.
- **Producing the satisfying instance for ' $x$ '** requires that the **proof method be 'constructive'**.
  - A constructive proof is a **proof that directly provides a specific example**, or which gives **an algorithm for producing an example**. Constructive proofs are also called demonstrative proofs.

# Extracting Answers from Refutation Proofs

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- **Prospect of producing satisfying instances** for existentially quantified variables **allows the possibility for posing quite general questions.**
- For Example One needs to remember, though, that complex questions will require complex proofs; possibly so complex that our automatic procedures would not find it! Making these ideas not feasible in practice.
  - Does there exist a solution sequence to a certain 8-puzzle?
    - If a **constructive proof** could be found that a solution does exist, this **could mean that we can produce the desired solution as well!**
  - Whether there exist programs that perform desired computation?
    - From a constructive proof of a program's existence, **one could produce the desired program!**

# A Simple Example

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Mary had a little lamb  
It's fleece was white as snow;  
And everywhere that Mary went,  
The lamb was sure to go.

The Lamb goes wherever Mary goes.  
Mary is at school.

The problem specifies two FACTS and than asks a question;  
whose ANSWER can presumably be deduced from these facts.

Where is the Lamb?

Facts might be translated into the clause set; and prove the  
existence of a place where the Lamb is!

# A Simple Example

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The **Lamb** goes wherever **Mary** goes.

**Mary** is at school.

**Where is the Lamb?**

To answer this question, we **FIRST** prove that there is some place where the lamb is, i.e.,

$\exists x \text{ at}(\text{Lamb}, x)$

# A Simple Example

---

The **Lamb goes wherever Mary goes.**  $\forall x [\text{at}(\text{Mary}, x) \rightarrow \text{at}(\text{Lamb}, x)]$ .

**Mary is at school.**

$\text{at}(\text{Mary}, \text{School})$ .

**Where is the Lamb?**

To answer this question, we FIRST prove that there is some place where the lamb is, i.e.,

$\exists x \text{at}(\text{Lamb}, x)$



# A Simple Example – Key Idea

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- Convert the question to a **goal well-formed formula containing an existential quantifier**.
  - The **existentially quantified variable represents an answer to the question**.
- If the question can be answered from  $\Delta$ , the facts given, the **goal well-formed formula** created in this manner will **logically follow from**  $\Delta$ .
- After obtaining the proof, we try to **extract an instance of the existentially quantified variable** to serve as an answer.

# A Simple Example

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The **Lamb goes wherever Mary goes.**  $\forall x [\text{at}(\text{Mary}, x) \rightarrow \text{at}(\text{Lamb}, x)]$ .

**Mary is at school.**

$\text{at}(\text{Mary}, \text{School})$ .

## Where is the Lamb?

To answer this question, we FIRST prove that there is some place where the lamb is, i.e.,

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**Negation of the goal statement** 3.  $\forall x \neg \text{at}(\text{Lamb}, x)$

# A Simple Example

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The **Lamb goes wherever Mary goes.**  $\forall x [\text{at}(\text{Mary}, x) \rightarrow \text{at}(\text{Lamb}, x)].$

**Mary is at school.**

$\text{at}(\text{Mary}, \text{School}).$

## Where is the Lamb?

To answer this question, we FIRST prove that there is some place where the lamb is, i.e.,

$\exists x \text{ at}(\text{Lamb}, x)$

1.  $\forall x [\neg \text{at}(\text{Mary}, x) \vee \text{at}(\text{Lamb}, x)].$

2.  $\text{at}(\text{Mary}, \text{School})$

**Negation of the goal statement** 3.  $\forall x \neg \text{at}(\text{Lamb}, x)$

# A Simple Example – Key Idea

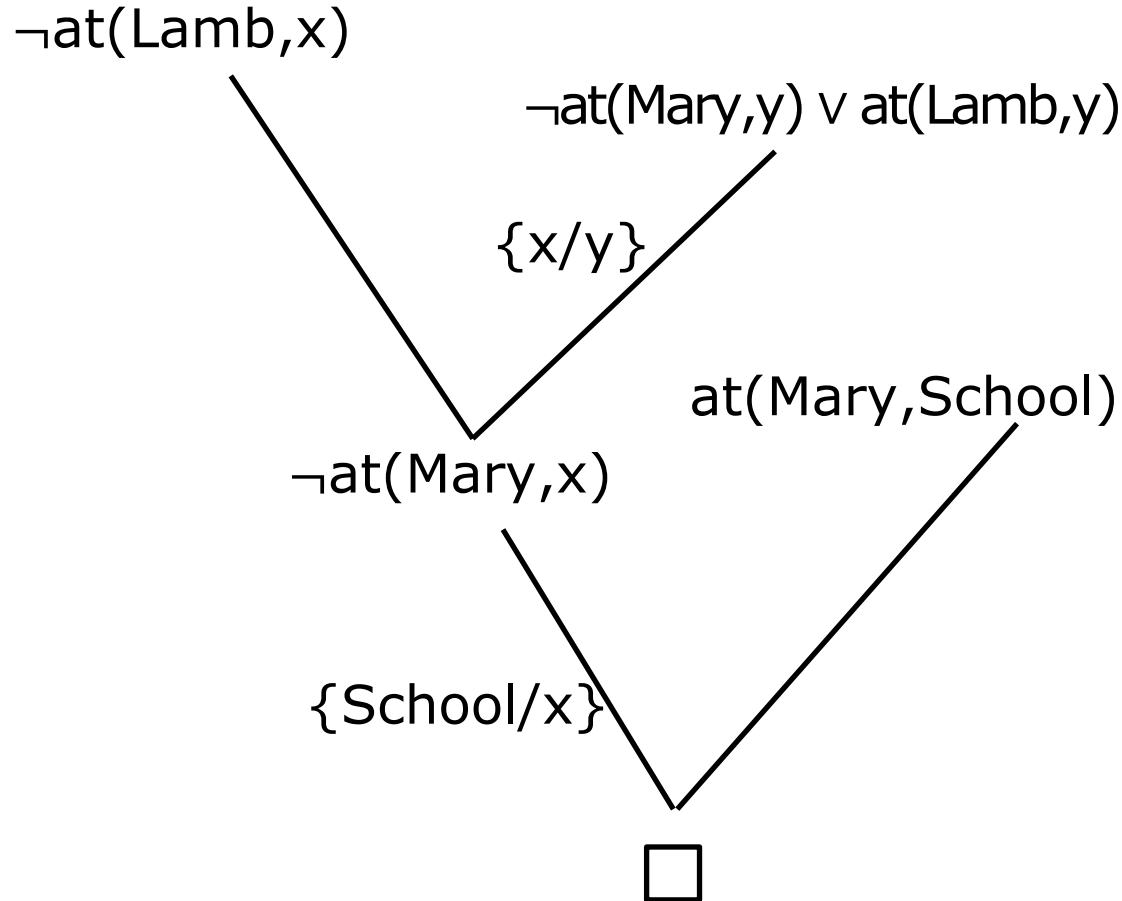
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## Resolution Trace

- |  |     |
|--|-----|
| 1. $[\neg \text{at}(\text{Mary}, y) \vee \text{at}(\text{Lamb}, y)]$ | C1  |
| 2. $\text{at}(\text{Mary}, \text{School})$                           | C2  |
| 3. $\neg \text{at}(\text{Lamb}, x)$                                  | C3  |
| 4. $\neg \text{at}(\text{Mary}, x)$                                  | 1,3 |
| 5. $\square$   |     |

Resolution refutation is obtained in the usual manner.

# A Simple Example – Key Idea



Refutation Tree

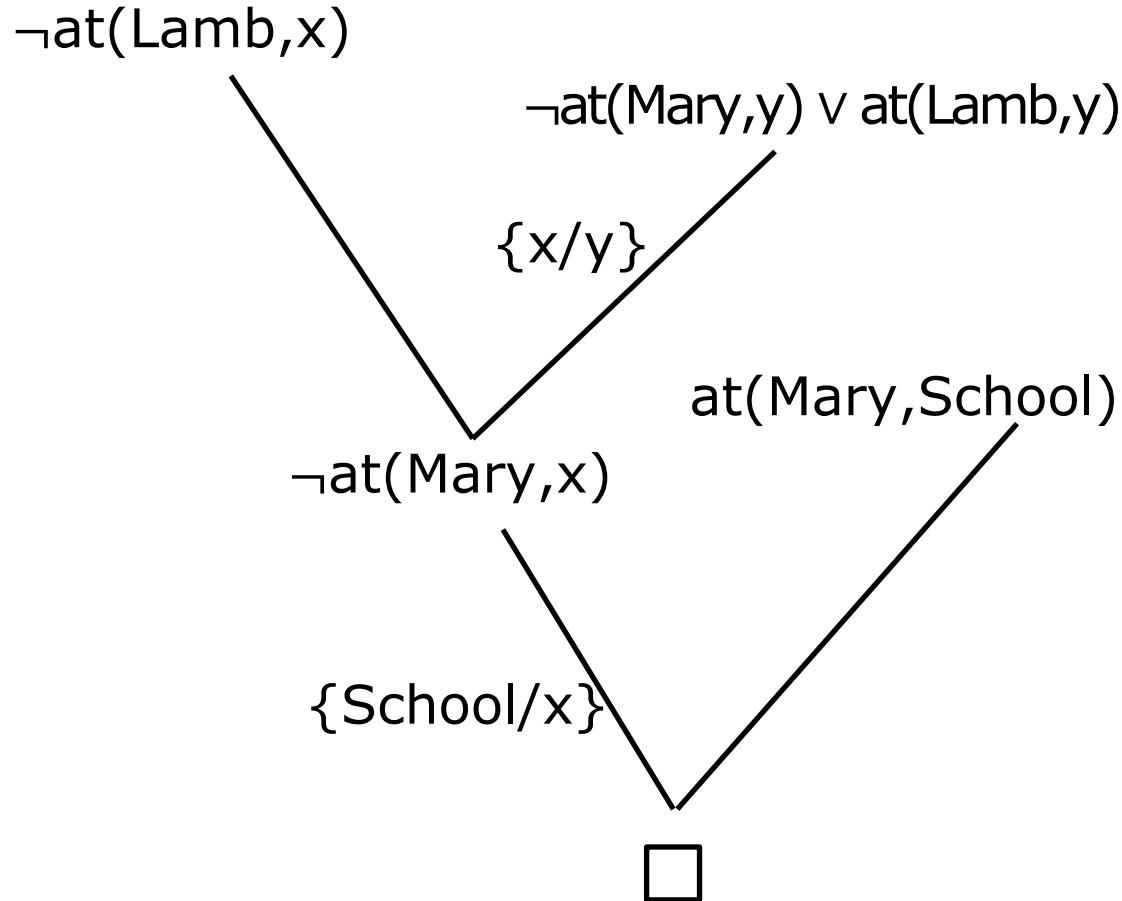
## Resolution Trace

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|--|-----|
| 1. $[\neg \text{at}(\text{Mary}, y) \vee \text{at}(\text{Lamb}, y)]$ | C1  |
| 2. $\text{at}(\text{Mary}, \text{School})$                           | C2  |
| 3. $\neg \text{at}(\text{Lamb}, x)$                                  | C3  |
| 4. $\neg \text{at}(\text{Mary}, x)$                                  | 1,3 |
| 5. $\square$   |     |

Resolution refutation is obtained in the usual manner.

Figure on the left is a Refutation Tree for the example.

# A Simple Example – Key Idea



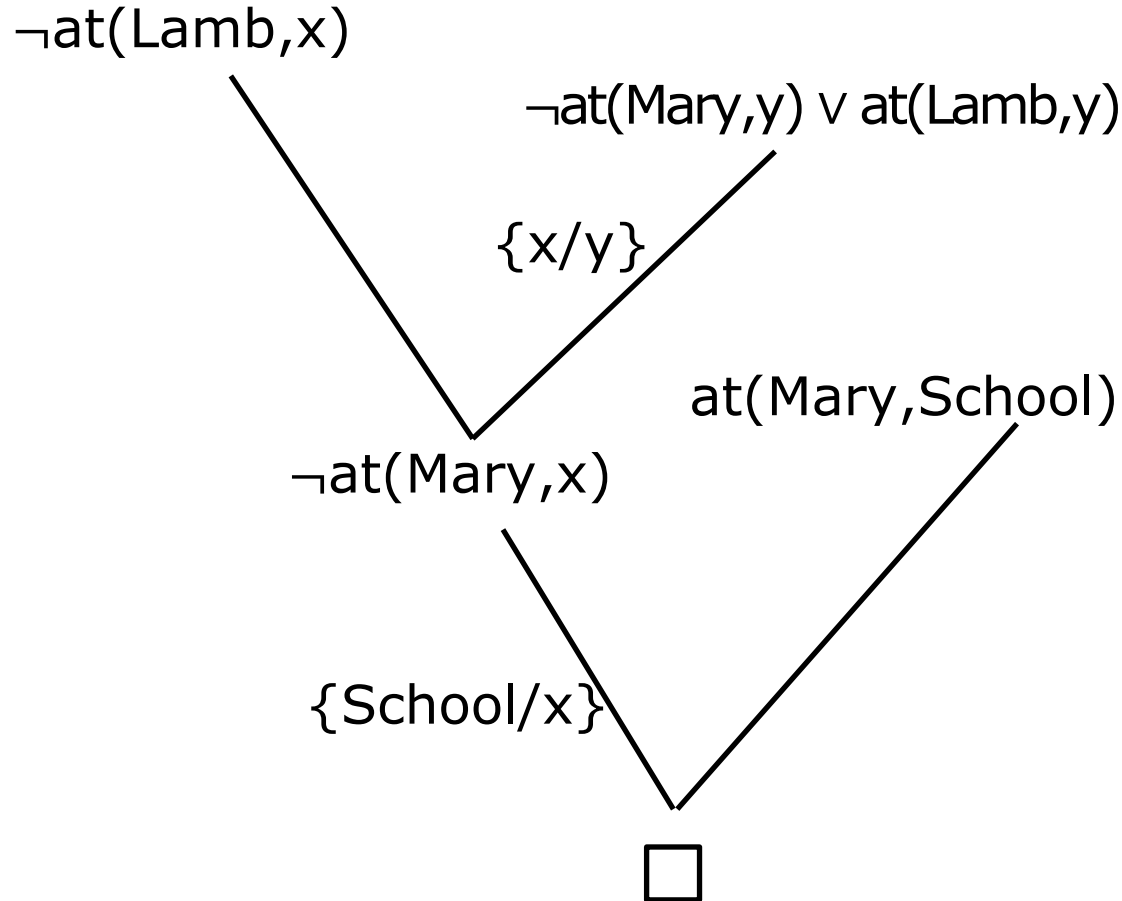
Refutation Tree

Process for extracting the answer for the question

Where is the Lamb?  
is as follow:

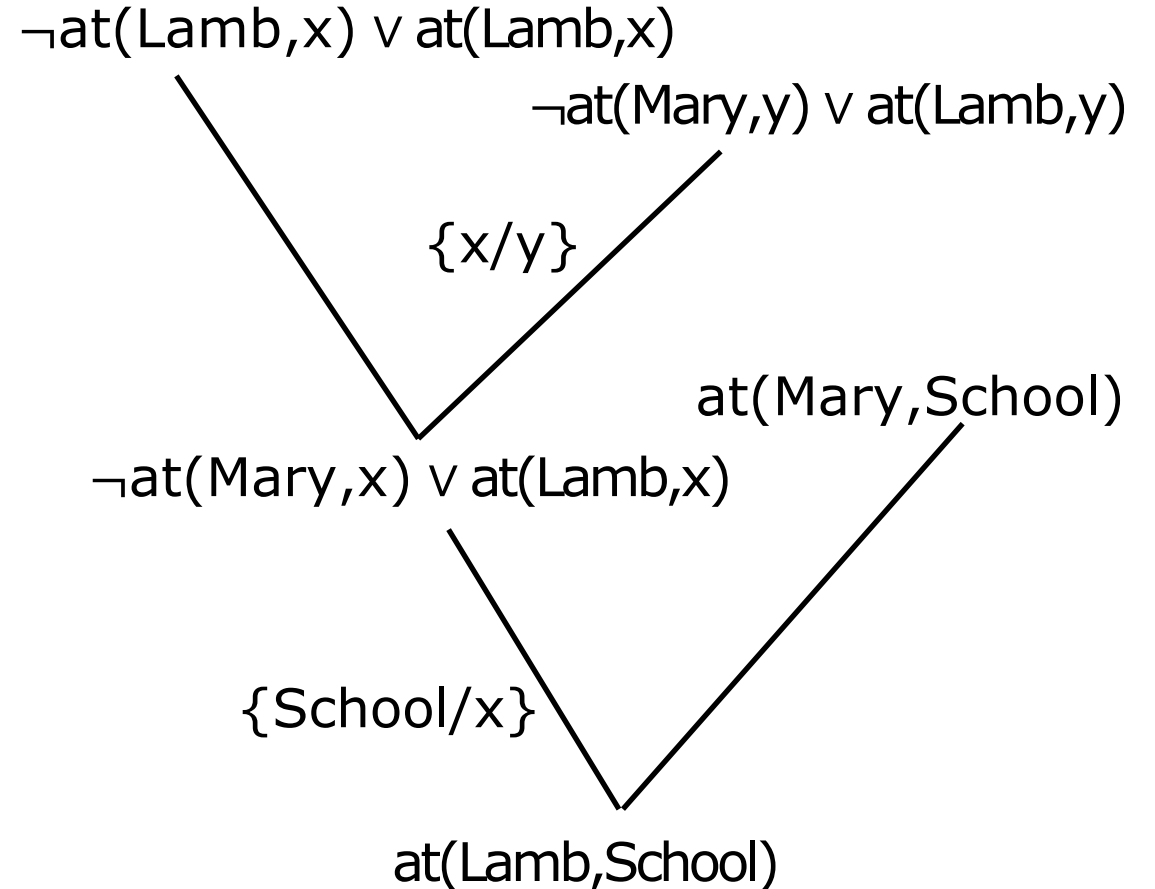
1. **Append** each clause arising from the **negation of the goal to its own negation.**
2. Follow the structure of the refutation tree; performing same resolutions.
3. Use **clause at the root.**

# A Simple Example – Key Idea



## Refutation Tree

The answer statement has a form similar to that of the goal; only difference is a constant (the answer) in place of the existentially quantified variable in the goal.



## Modified Proof Tree

# The Answer Extraction Process

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- Answer extraction **involves converting a refutation tree to a proof tree** with statement at the root that can be used as an answer.
- **Convert** every clause arising from the negation of the goal well-formed formula **into a tautology**.
- The **statement at the root of the Modified Proof Tree logically follows from the axioms and the tautologies**.
  - Hence it follows from the axioms alone!
  - **Modifies Proof tree justifies answer extraction.**



# Fill-in-the-Blank

- Answer extraction : answering a fill-in-the-blank question.
- A fill-in-the-blank question is a **predicate calculus sentence with free variables** specifying the blanks to be filled in.
  - Goal is to find bindings for the free variables such that the database logically implies the sentence obtained by substituting the bindings into the original question.
- **Answer literal** for a fill-in-the-blank question  $\phi$  is a **term of the form  $\text{ans}(v_1, \dots, v_n)$**  where  $v_1, \dots, v_n$  are the free variables in  $\phi$ .
  - To answer  $\phi$ , we form a disjunction  $\Gamma$  of the negation of  $\phi$  and its answer literal and convert to clausal form.

# Another Example

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Consider the following

1. Aryan is the father of Jeevan.
2. Bhuban is the father of Kavya.
3. Fathers are parents.

Who is Jeevan's parent?

$\exists x \text{ parent}(x, \text{Jeevan})$

# Another Example

## Resolution Trace

Rather than the empty clause, the procedure halts as soon as it derives a clause consisting of only answer literals.

1. father(Aryan, Jeevan)	C1
2. father(Bhuban, Kavya)	C2
3. $[\neg \text{father}(x,y) \vee \text{parent}(x,y)]$	C3
4. $[\neg \text{parent}(z, \text{Jeevan}) \vee \text{ans}(z)]$	$\Gamma$
5. parent(Aryan, Jeevan)	1,3
6. parent(Bhuban, Kavya)	2,3
7. $[\neg \text{father}(x, \text{Jeevan}) \vee \text{ans}(x)]$	3,4
8. ans(Aryan)	4,5
9. ans(Aryan)	1,7

If this procedure produces only one answer literal, the terms it contains constitute the only answer to the questions.

# Another Example

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Suppose, the **database had BOTH** the **father and mother** of Jeevan. And we asked the same question.

Who is the parent of Jeevan?

# Another Example

Suppose, the **database had BOTH** the **father and mother** of Jeevan. And we asked the same question.

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The resolution trace shows that we can **derive two answers to this question**.

However, we have no way of knowing **whether or not the answer statement** from the given refutation **exhausts all possibilities**.

## Resolution Trace

1.	father(Aryan, Jeevan)	C1
2.	mother(Annie, Jeevan)	C2
3.	$[\neg \text{father}(x,y) \vee \text{parent}(x,y)]$	C3
4.	$[\neg \text{mother}(x,y) \vee \text{parent}(x,y)]$	C4
5.	$[\neg \text{parent}(z, \text{Jeevan}) \vee \text{ans}(z)]$	$\Gamma$
6.	parent(Aryan, Jeevan)	1,3
7.	parent(Annie, Jeevan)	2,4
8.	$[\neg \text{father}(s, \text{Jeevan}) \vee \text{ans}(s)]$	3,5
9.	$[\neg \text{mother}(t, \text{Jeevan}) \vee \text{ans}(t)]$	4,5
10.	ans(Aryan)	5,6
11.	ans(Annie)	5,7
12.	ans(Aryan)	1,8
13.	ans(Annie)	2,9

# Another Example

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Some cases the procedure can **yield a clause** containing **more than one answer literal**.

Significance of this is that **no one answer is guaranteed to work**; but one of the answers must be correct.

Database in such cases is a disjunction; we get a second clause after arriving at a answer!

The disjunction in the database asserting that either Aryan or Bhabesh is Jeevan's father.

# Another Example

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## Resolution Trace

- |    |  |          |
|----|--|----------|
| 1. | $[\text{father}(\text{Aryan}, \text{Jeevan}) \vee \text{father}(\text{Bhabesh}, \text{Jeevan})]$ | C1       |
| 2. | $[\neg \text{father}(z, \text{Jeevan}) \vee \text{ans}(z)]$                                      | $\Gamma$ |
| 3. | $\text{father}(\text{Bhabesh}, \text{Jeevan}) \vee \text{ans}(\text{Aryan})$                     | 1,2      |
| 4. | $[\text{ans}(\text{Aryan}) \vee \text{ans}(\text{Bhabesh})]$                                     | 2,3      |

The disjunction in the database asserting that either Aryan or Bhabesh is Jeevan's father.

We can continue searching in hope of finding a more specific answer; However, given the **undecidability of logical implication**, we can never know in general whether we can **stop** and say no more specific answer exists.

# Answer Extraction Process

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The **steps of the answer extraction process** can be summarized as follows:

1. **Resolution-refutation tree is found.** Unification subsets of the clauses in this tree are marked.
2. **New variables are substituted for any Skolem functions** in the **clauses from the negation of the goal.**
3. Clauses resulting from **negation of the goal are converted into tautologies.**
4. **Modified Proof Tree is produced** replicating the structure of the original Refutation Tree; **use a unification set determined by the original unification set** used by corresponding resolution.
5. Clause at the **root of the Modified Proof Tree is the answer.**



# Answer Extraction Process

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- The **final statement of the Modified Proof Tree** i.e., the answer statement **depends upon the refutation which is replicated**.
  - Several different refutations may exist for the same problem; **from each an answer statement could be extracted**.
  - Some answer statements may be identical; some more general than the others.
- No way to know **whether the extracted answer is the most general** than the others.
  - One could, of course, continue to search for proofs until one found one producing a sufficiently general answer
  - **Undecidability of predicate calculus**, though would mean, we would not always know whether we had found all possible proofs.