# Fundamentals of Artificial Intelligence Procedural Control of Reasoning



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## An Infinite Resolution Branch



## **Example**

Suppose our KB consists of a single formula; showing R as a transitive relation. Could think of R(x,y): as x is the relative of y.

Rule: 
$$\forall xyz [(R(x,y) \land R(y,z)) \rightarrow R(x,z)]$$

C1. 
$$(\neg R(x,y) \lor \neg R(y,z) \lor R(x,z))$$

Goal:  $\exists x \forall y \neg R(x,y)$ 

Negation:  $\neg [\exists x \forall y \neg R(x,y)]$ 

 $\forall x \exists y R(x,y)$ 

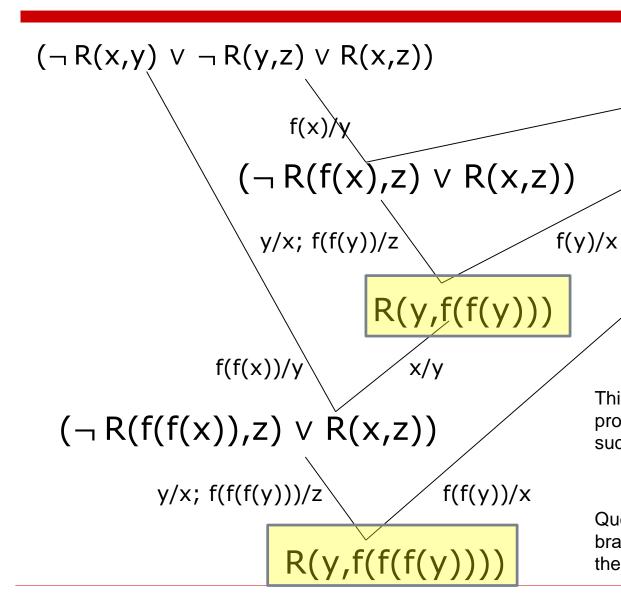
Given the query about existence of someone for everyone who is not a relative; the KB does not entail the query NOR its negation.

This should fail! Problem is if we pose it as a resolution, we end up generating an infinite sequence; we never get to the empty clause!

C2. R(x,f(x))

## An Infinite Resolution Branch





This suggests that we cannot use a depth-first search procedure to look for the empty clause. We may get stuck on such an infinite branch.

R(x,f(x))

Question is `Is there a way to detect when we are on such a branch?' so that we can give up and look for alternate paths to the empty clause. Unfortunately, the answer is NO,

## **Computational Intractability**



- □ For FOL there is no way to detect if a branch will continue indefinitely
  - FOL Language is very powerful and can be used as a full programming language.
    - □ Just as there is no way to detect when a program is looping; there is no way to detect if a branch will continue indefinitely.
- Quite problematic from a KR perspective.
  - No procedure that, given a set of clauses, returns satisfiable when the clauses are satisfiable.
    - □ **Resolution is refutation complete**: returns an empty clause, if the set of clauses is unsatisfiable.
    - When clauses are satisfiable, the search may or may not terminate.

## Resolution - not a panacea



- □ Resolution does not provide a general effective solution to the reasoning problem.
  - Decision about which two clauses to resolve and which resolution to perform are made by the control strategy.
    - □ Determining the satisfiability of clauses may simply be too difficult computationally!
- □ Need to consider refinements to resolution to help improve search.
  - One option is to explore a way to search for derivations that eliminates unnecessary steps as much as possible.
    - □ We shall focus on strategies that can be used to improve the search in this sense.

## Most General Unifiers



- Most important way of avoiding unnecessary search in first-order derivation is to keep search general.
  - We are looking for substitutions that are NOT overly specific. The substitution need to unify without making an arbitrary choice that may preclude a path to the empty clause.
  - A substitution with above characteristics is a most general unifier.
  - We can limit resolution to MGUs without loss of completeness.

## Most General Unifier



**<u>Definition</u>** When there exist multiple possible unifiers for an expression E, there is at least one, called the **most general unifier, MGU,** g of E, that has the property that if s is any unifier for E yielding Es, then there exist a substitution s' such that Es = Egs'

```
Example: P(A, x,) and P(y,z);

g = \{A/y,x/z\} is an mgu

For s' = \{B/x\}, we get

s = \{A/y,B/x,B/z\}
```

If we apply mgu, g and then apply the second substitution s', we get s. Note that the reverse would not be possible.

## Most General Unifier



□ The MGU preserves as much generality as possible for a pair of formulas; by using the MGU we leave maximum flexibility for the resolvent to resolve with other clauses.

□ The most general unifier is not necessarily unique.

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Example P(A, x,) and P(y,z);
\{A/y, z/x\} is also an mgu.
```

## Most General Unifier



- MGUs helps immensely in search as it dramatically reduces the number of resolvents that can be inferred from two input clauses.
- □ There exists procedures including linear time algorithms for efficient computation of MGU for a pair of literals.
  - MGUs greatly reduce the search and can be calculated efficiently; Consequently, all Resolution-based systems implemented to date use them.

# **Control Strategies**



- Breadth-First Strategy
  - Breadth-first strategy is complete, but is grossly inefficient.
- □ Set-of-support Strategy
  - Have the flavour of a backward reasoning step.
- ☐ Unit Preference Strategy
  - Select a single literal clause (a unit) to be a parent; ordering strategy.
- □ Linear-input Form Strategy
  - At least one parent belong to the base set.
- Ancestry-filtered Form Strategy
  - Parent is either in the base set or is an ancestor of the other parent.
- Combination Strategy

## An Illustrative Example



## **Example**

- 1. Whoever can read is literate.
- 2. Dolphins are not literate.
- 3. Some dolphins are intelligent.

Prove: Some who are intelligent cannot read.

```
Predicates - R(x): x can read.
```

L(x): x is literate.

D(x): x is a dolphin.

I(x): x is intelligent.

Nils J. Nilsson, Principles of AI; Chapter 5, Pages 162-163.

## An Illustrative Example



1. Whoever can read is literate.

$$\forall x[ R(x) \rightarrow L(x)]$$

C1. 
$$\neg R(x) \lor L(x)$$

2. Dolphins are not literate.

$$\forall x[D(x) \rightarrow \neg L(x)]$$

C2. 
$$\neg D(y) \lor \neg L(y)$$

3. Some dolphins are intelligent.

$$\exists x[D(x) \land I(x)]$$

Prove Some who are intelligent cannot read.

$$\exists x[I(x) \land \neg R(x)]$$

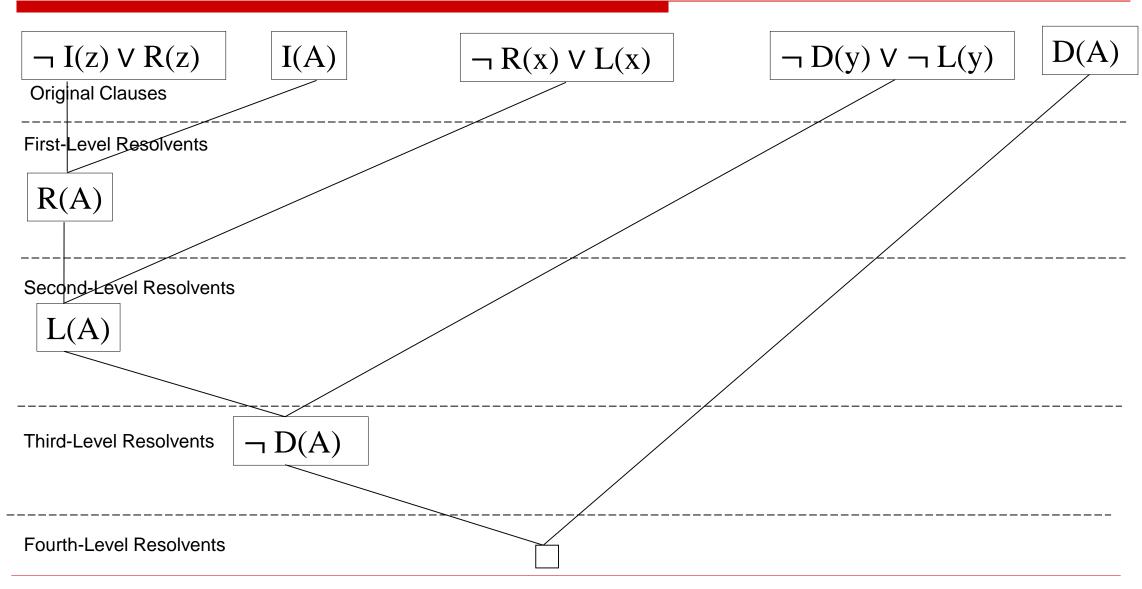
Negation 
$$\neg \exists x[I(x) \land \neg R(x)]$$

$$\forall x[\neg I(x) \lor R(x)]$$
 C5.  $\neg I(z) \lor R(z)$ 

C5. 
$$\neg$$
 I(z)  $\lor$  R(z)

## An Illustrative Example





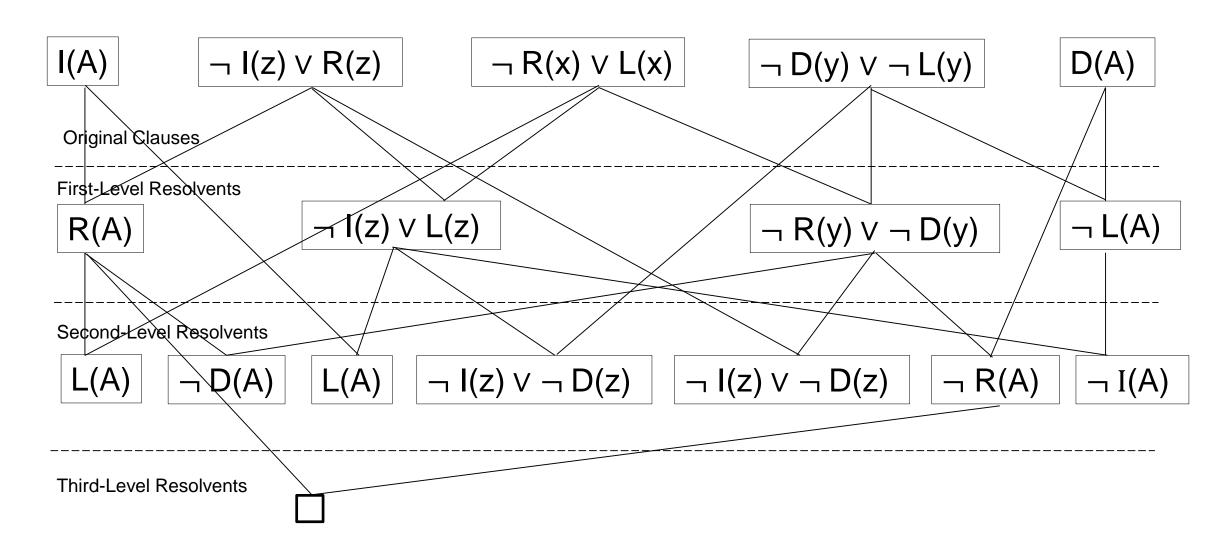
## Breadth-First Strategy



- ☐ In breadth-first strategy, all of the first-level resolvents are computed first, then the second-level resolvents, and so on.
  - A first-level resolvent is one between two clauses in the base set;
  - i-th level resolvent is one whose *deepest* parent is the an (i-1)-th level resolvent.
- ☐ The breadth-first strategy is complete, but is grossly inefficient.
  - A control strategy for a refutation system is said to be complete if its use results in a procedure that will find a contradiction whenever one exists.

## Breadth-first Strategy





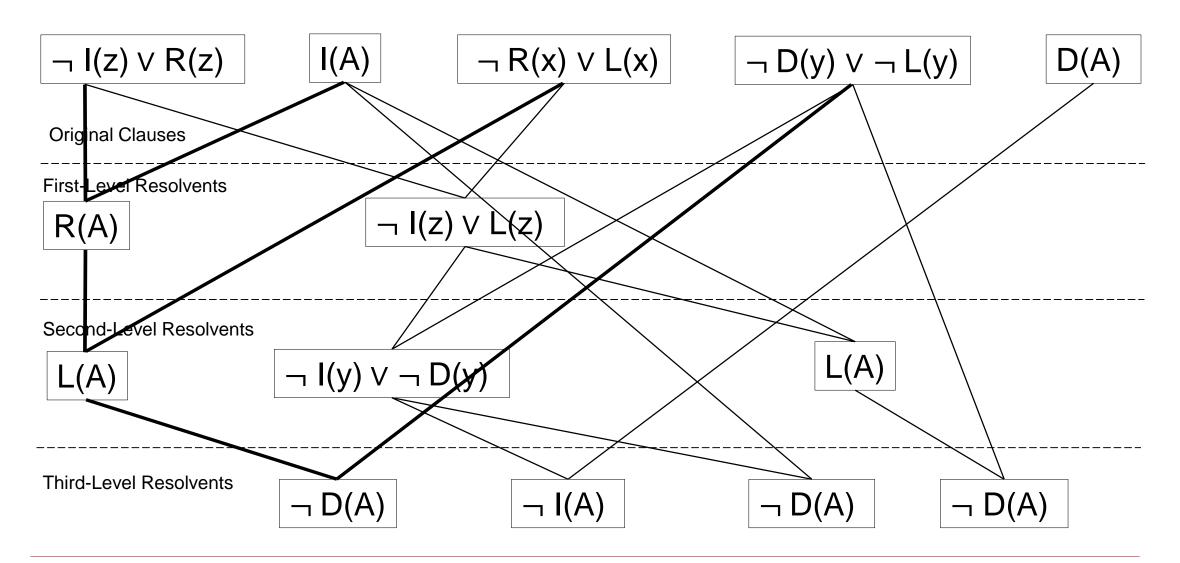
## Set-of-support Strategy



- □ Set-of-support refutation is one in which at least one parent of each resolvent is selected from among the clauses resulting from the negation of the goal wff or from their descendants.
- □ In a set-of-support refutation, each resolution has the flavour of a backward reasoning step.
  - ☐ It uses a clause originating from the goal wff, or one of its descendants.
  - □ Each of the resolvents might correspond to a subgoal!

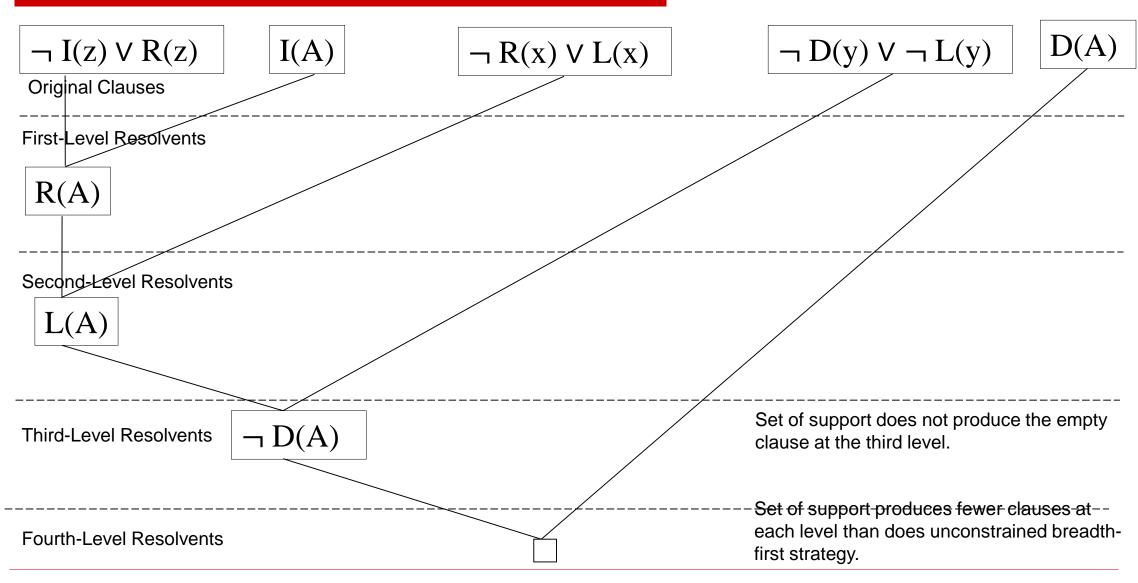
## Set-of-Support Strategy





## Set-of-support Strategy





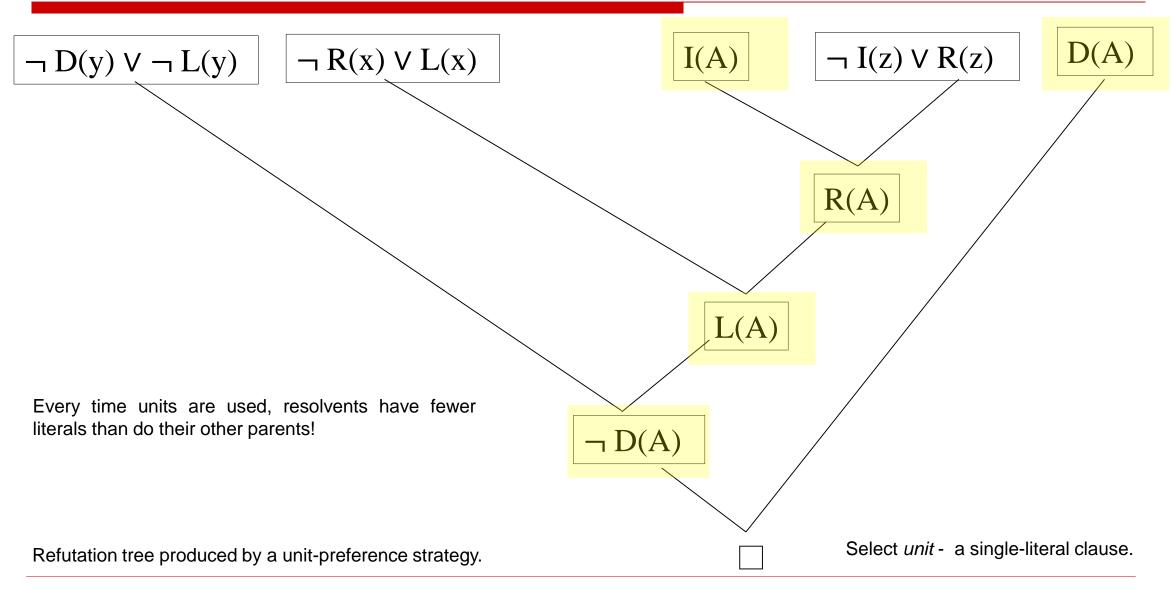
## Unit-preference Strategy



- Modification of the set-of-support strategy in which instead of filling out each level in breadth-first fashion, try and select a single-literal clause (a unit) to be parent in a resolution.
- □ Every time units are used, resolvents have fewer literals than do their other parents!
  - Using a unit clause together with a clause of length k always produce a clause of length (k-1).
  - Focus the search towards producing the empty clause.

## **Unit-preference Strategy**

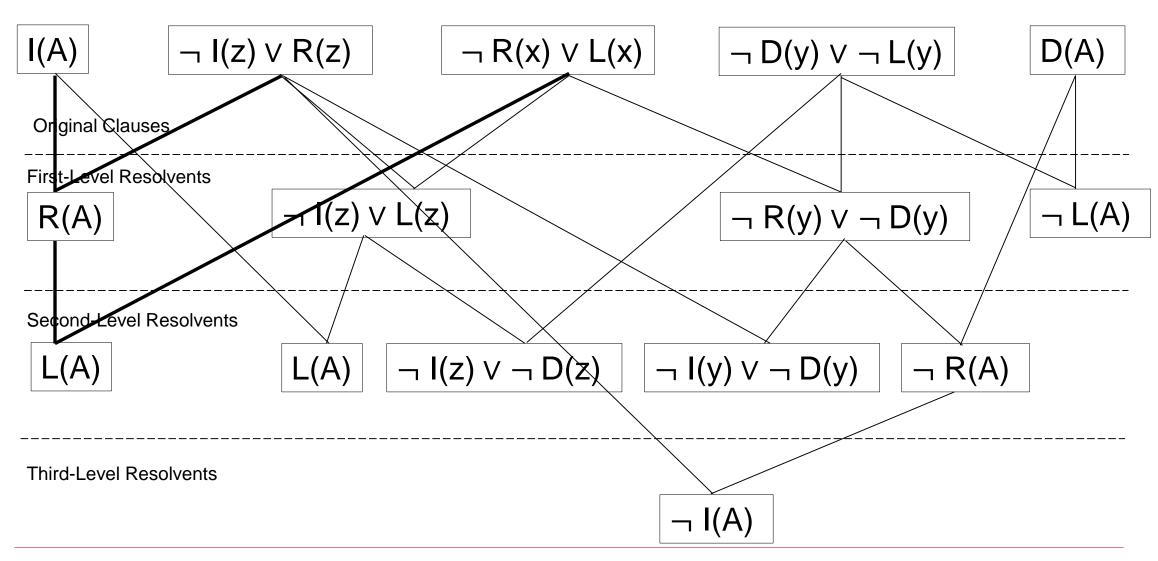






- □ A linear-input form strategy is one in which each resolvent has at least one parent belonging to the base set.
  - First level resolvents are same as a breadthfirst search.
  - At subsequent levels, a linear-input form strategy does reduce the number of clauses produced.
  - Linear-input form strategies are not complete.







□ There are cases in which a refutation exists but a linear-input form refutation does not; making linear-input form strategy not complete.

## **Example**

C1.  $Q(u) \vee P(A)$ 

C2.  $\neg Q(w) \lor P(w)$ 

C3.  $\neg Q(x) \lor \neg P(x)$ 

C4.  $Q(y) \vee \neg P(y)$ 

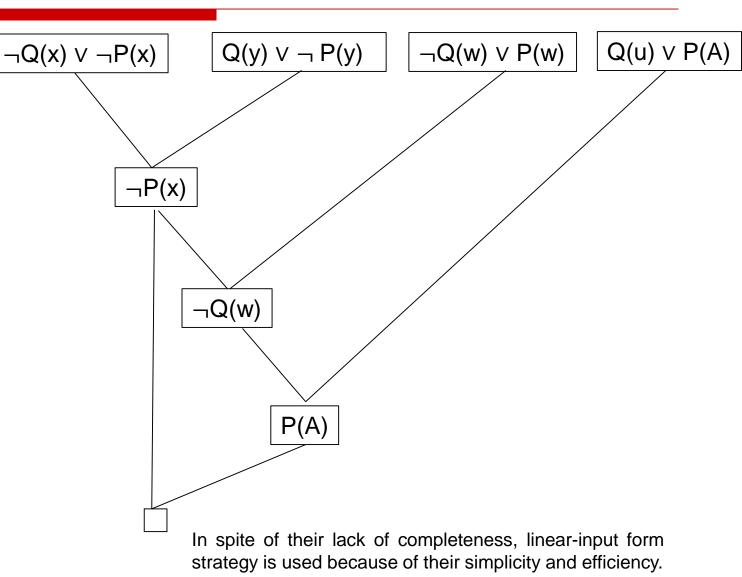


The set of clauses is clearly unsatisfiable; but no linear-input form resolution exist.

For a linear-input form refutation, one of the parents of the empty clause must be a member of the base set.

To produce the empty clause in this case, one must either resolve two single literal clauses or two clauses that collapse to a single-literal.

None of the base case members meet these criteria.



## Ancestry-filtered Form Strategy



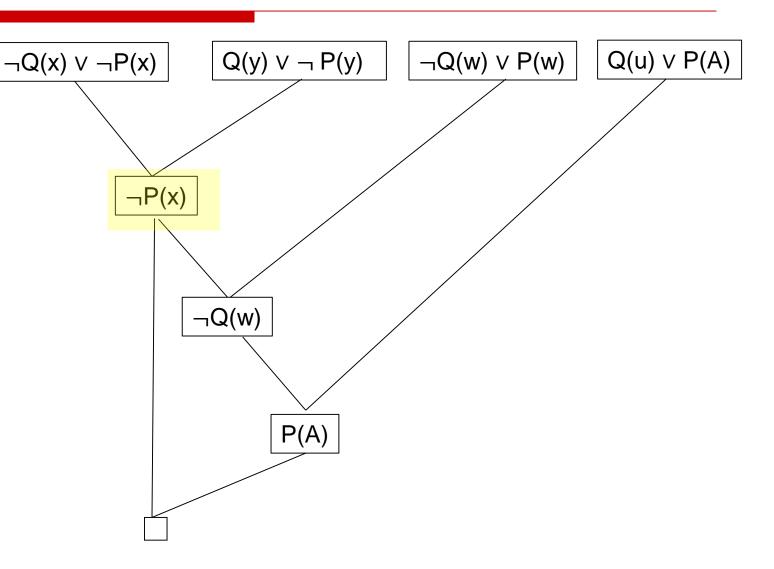
- □ In this form of refutation, each resolvent has a parent that is either in the base set or that is an ancestor of the other parent.
- ☐ Much like the linear-form strategy.
- Control strategy guaranteed to produce all ancestry-filtered form proofs is complete.
  - Completeness is preserved if the ancestors that are used are limited to merges.
    - ☐ *Merge* is a resolvent that inherits a literal each from the parent such that this literal is collapsed to a singleton by the MGU.

## Ancestry-filtered Form Strategy



The refutation tree on the right could have been produced by an ancestry-filtered form startegy.

Here the clause  $\neg P(x)$  is used as an ancestor.



# **Combination Strategy**



- □ Set-of-support with either linear-form or ancestry-filtered form is a common option.
  - Can be viewed as a backward reasoning from goal to sub-goal, to sub-subgoal and so on.
  - Occasionally, combinations can be lead to slower growth of the clause set than would either strategy alone.
- □ Ordering strategies such as unit-preference strategies can prevent the generation of large number of unneeded clauses.
  - Order in which resolution is performed is crucial to the efficiency of the resolution system.

## Simplification Strategies



## **□** Clause Elimination

Idea is to keep the number of clauses generated as small as possible, without giving up completeness. Exploit the fact that if there is a derivation to the empty clause, there is one that does not use certain types of clauses.

- □ Pure Clause
- Tautologies
- Subsumed Clauses

## **□** Procedural Attachment

Evaluate - interpret a literal by attached procedures.

## **Clause Elimination**



#### **□** Elimination of Tautologies

- Any clause containing a literal and its negation (i.e., a tautology) may be eliminated.
  - Any unsatisfiable set containing a tautology is still unsatisfiable after removing it, and conversely.

#### □ Elimination by Subsumption

- A clause  $\{L_i\}$  subsumes a clause  $\{M_i\}$ , if there exists a substitution `s' such that  $\{L_i\}$ s is a subset of  $\{M_i\}$ .
- Examples:
  - $\square$  P(x) subsumes P(y)  $\vee$  Q(z)
  - $\square$  P(x) subsumes P(A)
  - $\square$  P(x) subsumes P(A)  $\vee$  Q(z)
  - $\square$  P(x)  $\vee$  Q(A) subsumes P(f(A))  $\vee$  Q(A)  $\vee$  R(y)

## Clause Elimination



#### **□** Elimination of Tautologies

- Any clause containing a literal and its negation (i.e., a tautology) may be eliminated.
  - Any unsatisfiable set containing a tautology is still unsatisfiable after removing it, and conversely.

#### □ Elimination by Subsumption

- A clause  $\{L_i\}$  subsumes a clause  $\{M_i\}$ , if there exists a substitution `s' such that  $\{L_i\}$ s is a subset of  $\{M_{i.}\}$ .
- A clause in an unsatisfiable set that is subsumed by another clause in the set can be eliminated without affecting the unsatisfiability of the rest of the state.
  - □ Leads to substantial reduction in the number of resolutions to find refutation.

## **Procedural Attachment**



- □ It is possible and more convenient to evaluate the truth value of literals; than to include these literals, or their negations in the base set.
- Evaluation' refers to interpretation of the expressions with reference to a model.

#### For example

Equals(7,3)

can be evaluated by *attaching a procedure* that computes / checks the equality of two numbers. Given such a program for the above predicate, Equals(7,3) evaluates to False.

## **Procedural Attachment**



- □ It is also possible to attach procedures to function symbols.
- □ Establish connection or procedural attachment between executable computer code and predicate calculus expressions.
- □ Clause set can be simplified by such evaluations.
  - If a literal in a clause evaluates to True; entire clause can be removed.
  - If a literal evaluates to False; then the occurrence of just that literal in the clause can be eliminated.

#### For example

 $[P(x) \lor Q(A) \lor Equals(7,3)]$  Can be replaced by  $[P(x) \lor Q(A)]$  as Equals(7,3) evaluates to False.

## Sorted Logic



Sorted Logic involves associating sorts with all terms.

#### **Example**

Variable x might be a sort **Female.** 

Function mother may be of sort **Person** → **Female.** 

□ Keeping a taxonomy of sorts can help.

#### <u>Example</u>

Woman is a subsort of Person

- □ Refuse unification between P(t) and P(s) if s and t are from different sorts!
  - Only meaningful (with respect to sorts) unifications can lead to the empty clause.

# **Connection Graph**



- ☐ Given a set of clauses, precompute a graph with edges between any two unifiable literals of opposite polarity and labelled with the MGU.
- Resolution procedure than involves selecting a link, computing a resolvent clause and inheriting links for the new clause from its input clauses.
  - No unification is done at run-time!
- □ Here, resolution can be seen as a state-space search problem – find a sequence of links that ultimately produce the empty clause.
  - Techniques for improving state-space search can be applied.

## Knowledge Representation and Reasoning



- □ We have discussed Knowledge Representation and Reasoning in this Module of the course.
  - □ Argued why LOGIC is the first choice for knowledge representation and reasoning.
- Examined FOL as a knowledge representation formalism.
  - ☐ FOL is not the only choice.
  - □ FOL is simple and convenient one to begin with!
- Looked at Resolution and Resolution Refutation Proofs.
  - □ Resolution Derivations symbol level operation leading to knowledge level logical interpretations.
  - Answer extraction.
  - □ Strategies and Simplifications leading to refinements in Resolution to help improve search.