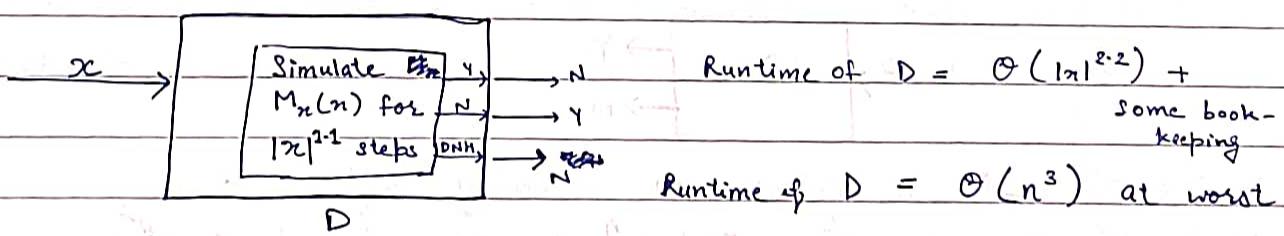


* Deterministic Time Hierarchy

- $\text{DTIME}(n^c) \subsetneq \text{DTIME}(n^d)$; $c < d$
- Does $\exists L$ s.t. $L \in \text{DTIME}(n^d)$ but $L \notin \text{DTIME}(n^c)$
- The proof doesn't work if c and d are very close.
- Proof: $\text{DTIME}(n) \subsetneq \text{DTIME}(n^3)$
 - ↳ infinitely many languages/Turing machines (say M_1, M_2, \dots)
 - We'll construct a Turing machine running in $\Theta(n^3)$ time and is not possible to run in $\Theta(n)$ time by ~~some~~ any modifications.
- Idea: D is going to contradict each machine M_1, M_2, \dots
 i.e., $\exists x_i$ s.t. $M_i(x_i) \neq D(x_i)$
 If we show this, we can say there is no linear time machine M_k that can run D . (Since, $\exists x_k$ s.t. $M_k(x_k) \neq D(x_k)$)
 $\Rightarrow L_D \notin \text{DTIME}(n)$
- How to design such D ? Using idea of diagonalisation.



Say machine M solves $L(D)$ in $\Theta(n)$ time, i.e., $L(M) = L(D)$

Give $x = x_M$ as input.

If $M(x_M) = 1 \Rightarrow$ Simulation gives Yes $\Rightarrow D(x_M) = 0 \Rightarrow L(M) \neq L(D)$

If $M(x_M) = 0 \Rightarrow$ No $\Rightarrow D(x_M) = 1 \Rightarrow L(M) \neq L(D)$

- What if simulation doesn't halt in $|x|^M$ steps?
- It could happen as runtime of M maybe say $100|x|$ and $100|x_M| > |x_M|^M$
- We know there are infinitely many encodings of M.
- We'll choose x_M s.t. $|x_M|$ is large enough to satisfy $100|x_M| < |x_M|^M$.

• But we don't know the constant (100)!

$$M \rightarrow x_1, x_2, x_3, \dots, x_k, \dots$$

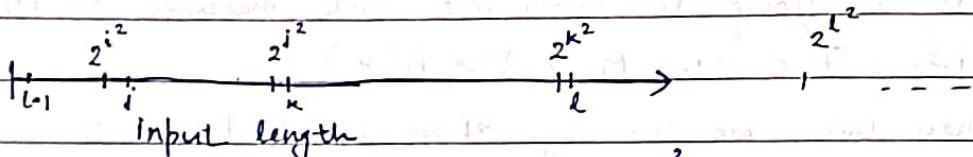
$$\exists x_i \text{ s.t. } M(x_i) \neq D(x_i) \Rightarrow L(M) \neq L(D)$$

$$\hookrightarrow \text{runtime of } M < |x_i|^M \Rightarrow M \text{ can't solve } L(D).$$

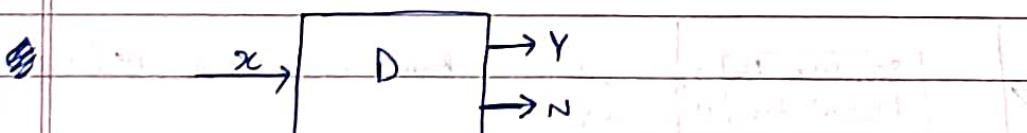
$$\text{Hence, } \exists L \text{ st. } L \in \text{DTIME}(n^3) \text{ but } L \notin \text{DTIME}(n)$$

$$\text{DTIME}(n) \subsetneq \text{DTIME}(n^3)$$

* Non-Deterministic Time Hierarchy



- Partition input length $i \rightarrow 2^{i^2}$ and each partition is indexed
- We have infinitely many NTMs $N_1, N_2, \dots \in \text{NTIME}(n)$
- We'll construct $D \in \text{NTIME}(n^3)$
- D contradicts N_i in i^{th} partition ($i \rightarrow 2^{i^2}$)



$$\Theta(n) \leftarrow 0$$

If x contains 0, simply reject x .

$$\Theta(n^k) \leftarrow 1$$

Now $x = 1^k$. Find l s.t. $l \leq k \leq m = 2^{l^2}$

$$\Theta(n^{2^k}) \leftarrow 2$$

If $l \leq k < 2^{l^2}$ \hookrightarrow say partition i

Sim $N_i(1^{k+1})$ for $(k+1)^{1.1}$ steps and produce same result.

$$\Theta(n) \leftarrow 3$$

If $k = 2^{l^2}$

~~Sim $N_i(1^l)$ find correct ans.~~ using computation tree

~~and contradict it.~~

(It will take exp. time $\Rightarrow 2^{l^{1.1}}$ at max \Rightarrow linear in input 1^k)

$$k = 2^{l^2}$$

- Runtime of D = $\Theta(n^3)$ including some book-keeping activities.

- day N running in $\Theta(n)$ solves $L(D)$, i.e., $L(N) = L(D)$.

$N \rightarrow j^{\text{th}} \text{ NTM} \rightarrow \text{look at } j^{\text{th}} \text{ partition } ([u, 2^{u^2}])$

$$\text{Then } \rightarrow \downarrow^u \in L(N_j) \Leftrightarrow \downarrow^u \in L(D)$$

$$P(1^u) = n_j(1^{u+1})$$

$$\Rightarrow \quad j^u \in L(N_j) \iff j^u \in L(D) \iff j^{u+1} \in L(N_j)$$

$$D(I^{(u+1)}) = N_j(I^{(u+2)}) \quad \text{for all } j \in \{1, 2, \dots, n\} \iff I^{(u+1)} \in L(D)$$

$$\Rightarrow \downarrow^u \in L(N_i) \Leftrightarrow \downarrow^{u_1} \in L(N_j) \Leftrightarrow \dots \Leftrightarrow \downarrow^{u_2} \in L(N_j) \\ \Leftrightarrow \downarrow^{u_2} \in L(D)$$

$$D(1^{2^u}) = \text{Contradict } N_j(1^u)$$

$$\text{i.e., } L^{u^2} \in L(D) \iff u \notin L(N_j)$$

From (i) & (ii)

$$J^u \in L(N_j) \iff J^u \notin L(N_j) \Rightarrow \Leftarrow$$

- Hence, no such N_j exists

$$\left\{ \begin{array}{l} l^u \in L(N_j) \Leftrightarrow l^v \in L(N_i) \\ l^v \in L(D) \Leftrightarrow l^u \notin L(N_i) \end{array} \right. \quad (v = 2^{u^2})$$

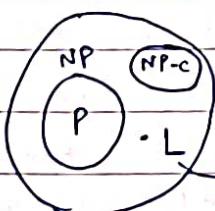
"Lazy Diagonalization"

$$u = \frac{1}{2} \ln V = \frac{1}{2} \ln 2^{u^2}$$

We want to

n Compute answer at start but push it to end so that input is large enough in order to make it linear in input length.

* Ladner's Theorem



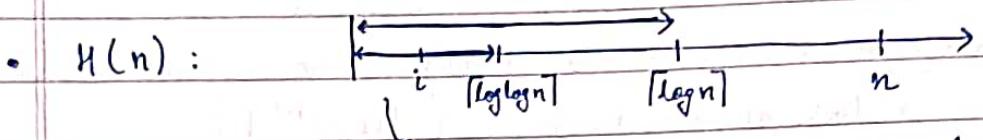
The language $L \in NP$ but $L \notin P$ and $L \notin NP\text{-complete}$.

Such languages — NP-intermediate

- If $P \neq NP$, then there exists \exists an NP-intermediate language L .

H(141)

$$\text{SAT}_H = \{ \varphi \# 1^{141} \mid \varphi \in \text{SAT} \}$$



consider TMs whose representation lies here

M_i solves $\text{SAT}_H \mid \leq \text{length}$ (i.e., for strings up to length $\text{log } n$)
in $i|n|^i$ steps.

If M_i says Yes - $H(n) = \min_i (M_i \text{ solves } \text{SAT}_H)$

If all M_i says No - $H(n) = \text{log } \log n$

- Time to compute $H(n)$?

$$= \log \log n \times n \times \log \log(\log n)^{\log \log n} = \text{polynomial in } n$$

↓ ↓ ↓
 No. of TMs strings up to $i|n|^i$ steps for each string
 we have to length $\text{log } n$ max $i \rightarrow \log \log n$
 check i.e., $2^{\log n} = n$ strings max $|n| \rightarrow \log n$

$$\cdot M_i(x) = 1 / 0$$

How to know if this answer is correct?

We have to check $x \in \text{SAT}_H$? (if $M_i(x) = 1$)

$$x = \varphi \# 1^{141} \stackrel{H(141)}{\longrightarrow}$$

↓ ↓
 at most need to know $H(141)$ again
 $\text{log } n$ size $\text{log } \log n$

Take exp. time $O(2^{\log n}) = O(n)$
to solve

↓ ↓
 need to know $H(\log n)$

For $H(n)$ - need $H(\log n)$ - need $H(\log \log n)$ --- "Recursion"

We can have a look-up table.

H	$\boxed{\quad}$	\downarrow	$\log n$
	1		

• We are providing $H(141)$ and $|141| = n$

↓
i.e., φ has n characters

So, input to H has n characters. \therefore input length $\neq \log n$ but $= n$ only.

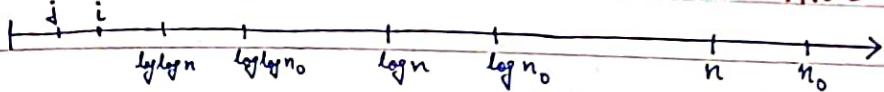
- Claim : $H(n) = \Theta(1) \Leftrightarrow \text{SAT}_H \in P$

~~because \Leftrightarrow if $H(n) = \Theta(1)$ then SAT_H is non-decreasing~~

~~because it's values are~~

~~as per it's value~~ $\rightarrow H(n)$ is non-decreasing.

- * $n \leq n_0 \Rightarrow H(n) \leq H(n_0)$ ~~TRUE~~ TRUE



i & j solves SAT_H for strings upto length $\log n$ \rightarrow ~~non-decreasing~~

$\therefore i > j$, we say $H(n) = j$

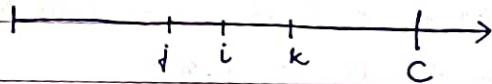
But it may happen that i solves SAT_H for length upto $\log n_0$ but not j

$\therefore H(n_0) = i \nless j = H(n)$ if some $k < j$ solves upto $\log n_0$, it

$\Rightarrow H(n) \nless H(n_0)$ also solves upto $\log n \Rightarrow H(n) = k \Rightarrow$

Hence, $H(n)$ ~~may not be~~ is a non-decreasing function.

- ~~But if say~~ $H(n) = \Theta(1)$, i.e., $H(n)$ is bounded above by some constant (say C).

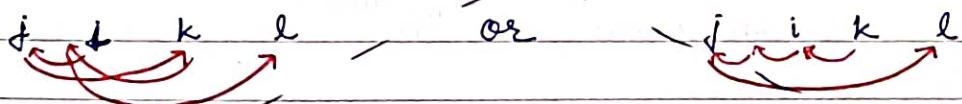


say $n < n_0 < n_1$

if $H(n) = j$, $H(n_0) = i$ and $H(n_1) = l$

Not possible since if i solves upto $\log \log n_1$, it also solves upto $\log \log n_0 \Rightarrow H(n_0) = i \Rightarrow$

But $H(n_1) = k \rightarrow$ can be true.



- $H(n)$ can go down or remain constant but if it increases, it will always increase beyond maximum value taken till now.

- Since $H(n) \leq C$, it will happen that some 'l' occurs infinitely often for value of $H(n)$.

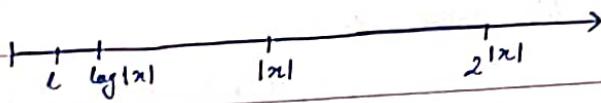
$H(n)$ is non-decreasing, \therefore if $H(n)$ will be bounded (say l)

- 'l' is occurring infinitely often $\Rightarrow M_l$ solves SAT_H

Say SAT_H

Say $L(M_l) \neq SAT_H$

$x \in SAT_H \setminus L(M_l)$



Since l occurs inf. often, for some $n' > 2^{|x|}$; $H(n') = l$

$\therefore l$ solves SAT_H for strings upto length $\log n' > |x|$

$\Rightarrow M_l$ solves SAT_H $\Rightarrow \Leftarrow$

\Leftarrow

Runtime of $M_l = i|x|^i$, i.e., $i|x|^l =$ polynomial in input

$\therefore SAT_H \in P$

Hence, $H(n) = O(1) \Rightarrow SAT_H \in P$

$\rightarrow SAT_H \in P \Rightarrow H(n) = O(1)$

$SAT_H \in P \Rightarrow \exists$ DTM M s.t. $L(M) = SAT_H$ in poly-time
 $\hookrightarrow O(c.n^d)$

$M \rightarrow l \geq c, d$ (as there are inf. many encodings of M)

Runtime of $M_l = l \cdot n^l$

Definition of $H(n)$ implies for $n > 2^{2^l}$, $H(n) \leq l$.

$\Rightarrow H(n) = O(1)$

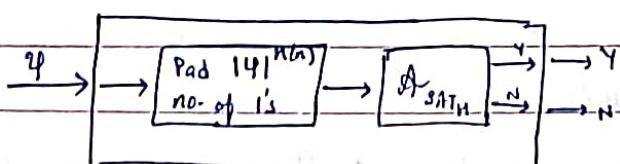
\rightarrow Using this claim, we can show $P \neq NP \Rightarrow SAT_H \notin P$, \notin NP-comp

• Suppose $SAT_H \in P \Rightarrow H(n) = O(1)$

SAT_H is simply SAT padded with $|1|^{H(n)}$ (i.e., polynomial since $H(n) = O(1)$)
no. of 1's.

\Rightarrow The polynomial time algorithm for SAT_H can be used to solve SAT

$\Rightarrow P = NP \Rightarrow \Leftarrow$ Hence, $SAT_H \notin P$



$\Leftrightarrow q \in SAT_H \Leftrightarrow$ ~~q~~ $\in SAT_H$

Hence, it can be used to solve SAT

$SAT < SAT_H$

$\rightarrow P \neq NP \Rightarrow SAT_H$ is not NP-complete.

Assume $SAT_H \in NP\text{-complete}$

$\Rightarrow SAT \leq_P SAT_H$

We have already shown $SAT_H \notin P \Rightarrow H(n) \rightarrow \infty$ (unbounded.)

- Reduction function $f \rightarrow O(Cn^d)$ time
 $\varphi \mapsto \varphi \# 1^{H(\varphi)}$

Since f has only Cn^d time, it can print atmax $|\varphi|^d$ no. of 1's.

But $H(n) \rightarrow \infty$, \therefore for some n large, $H(n) > d$

\therefore reduction can't work in Cn^d time.

- But it may happen reduction works as $\varphi \mapsto \varphi' \# 1^{H(\varphi')}$

$$\varphi \begin{cases} \mapsto \varphi \# 1^{H(\varphi)} \\ \mapsto \varphi' \# 1^{H(\varphi')} \end{cases}$$

s.t. $H(\varphi') \leq H(\varphi)$

(a) if $H(\varphi') < H(\varphi)$
time = $|\varphi|^d$ $\Rightarrow |\varphi'| < |\varphi|$ (since $H(n)$ is non-decreasing)
No. of 1's to print = $|\varphi'|^{H(\varphi')}$

(b) if $H(\varphi') = H(\varphi) > d$

Since we only have $|\varphi|^d$ time $\Rightarrow |\varphi'| < |\varphi|$

- (a) & (b) $\Rightarrow |\varphi'| < |\varphi|$

If $H(\varphi') > d$, then we can print φ'' , s.t. $|\varphi''| < |\varphi'|$

$$|\varphi| > |\varphi'| > |\varphi''| > \dots > |\emptyset|$$

$H(\cdot)$ values decreases or remain same, we'll finally get some \emptyset s.t. $H(\emptyset) \leq d$

$$\varphi \mapsto \emptyset \# 1^{H(\emptyset)}$$

s.t. $|\varphi| > |\emptyset|$

$H > d$ $H \leq d$

Max. no. of times, red" need to be applied = $|\varphi|$

$$\text{Time} = |\varphi| \times C |\varphi|^d = \text{polynomial}$$

- There will be only constant no. of ϕ 's s.t. $H(1\phi 1) \leq d$ $\Rightarrow d$ is constant. we can solve all these ϕ 's \rightarrow constant time ($2^d \rightarrow$ constant)

Thus, we can reduce any formula $\Psi \mapsto \phi$ s.t. $H(1\phi 1) \leq d$ and

then look-up the table for answer of ϕ which takes constant time.

Max. no. of times redⁿ need to be applied = $|Y| \times C |Y|^d = \text{polynomial}$

Hence, we solve SAT in poly. time.

$$\Psi \mapsto \phi \# 1^{1\phi 1} H(1\phi 1)$$

$$Y \in \text{SAT} \Leftrightarrow \phi \# 1^{1\phi 1} H(1\phi 1) \in \text{SAT}_H \Leftrightarrow \phi \in \text{SAT}$$

$$\Rightarrow \text{SAT} \in P \Rightarrow P = NP \Rightarrow \Leftarrow$$

Hence, SAT_H can't be NP-complete.

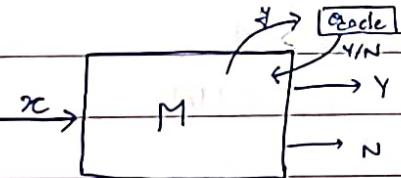
$$\rightarrow \text{P} \neq NP \Rightarrow SAT_H \notin P \text{ and } SAT_H \notin \text{NP-comp.}$$

Clearly, $SAT_H \in NP$

SAT_H is NP-intermediate language.

* Oracle Turing Machines

\rightarrow Uses a oracle to solve



- Oracle can be used at any step within M
- Return Y/N for input y, based on what oracle solves, i.e., $y \in L_{\text{oracle}}$?

\bullet Returns response in just 1 unit of time.

\bullet Oracle can be of any language (e.g.: SAT, HALT, Diagonal, etc.)

\bullet Time required to make a query \propto Length of query.

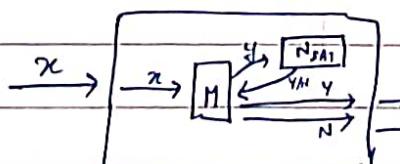
* $P^{\text{SAT}} = NP$

P^{SAT} : Set of all languages that can be solved in polynomial time deterministically using SAT as an oracle.

\bullet Proof: $L \in P^{\text{SAT}}$

\exists DTM M s.t. $L(M) = L$ running in poly. time

M uses SAT-oracle. Replace this oracle in M with NDTM of SAT



$$x \in L \Leftrightarrow x \in L(M')$$

$\Rightarrow L(M') = L$, but M' is NDTM running in poly. time (since both M & N_{SAT} are poly.)

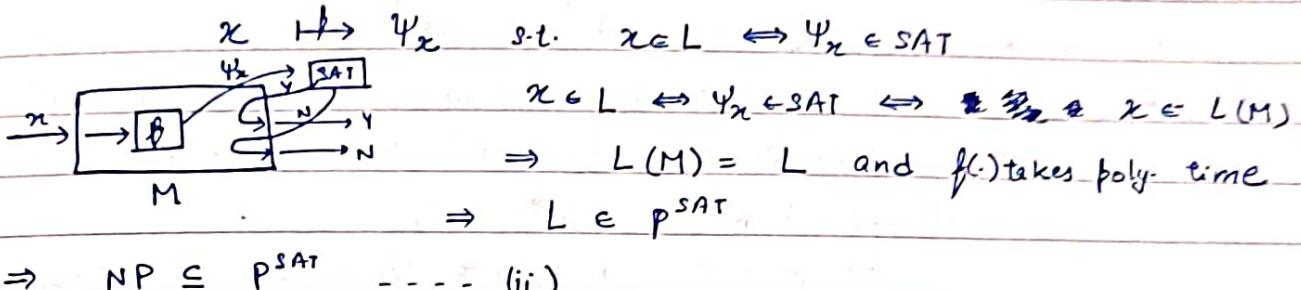
$\therefore M'$

$\therefore M'$ is NDTM that solves L in poly. time

$$\Rightarrow L \in NP \Rightarrow P^{SAT} \subseteq NP \quad \dots \text{(i)}$$

Say, $L \in NP$

We know $L \leq SAT$



$$\text{From (i) \& (ii); } P^{SAT} = NP$$

Hence Proved

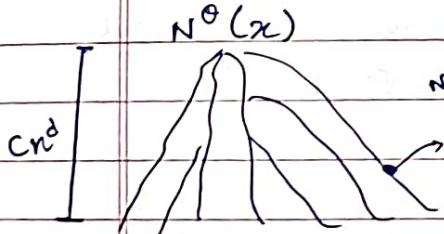
* $D^0 = \{ \langle M, x, 1^m \rangle \mid M \text{ accepts } x \text{ in } 2^n \text{ steps} \}$

$$P^0 = NP^0 = EXP$$

* $P^0 \subseteq NP^0$ is obvious.

* $NP^0 \subseteq EXP$. Let $L \in NP^0$.

\exists ~~N~~ N^0 be NDTM s.t. $L(N^0) = L$ running in $c n^d$ steps



$$\text{No. of Nodes} = O(2^{c n^d})$$

Makes ^{an} oracle query here : $\langle M, x, 1^m \rangle$

To find answer : Simulate M on u for 2^m steps.

$$\text{Worst possible value of } m = c n^d$$

$$\text{Time to find answer for 1 query} = O(2^{c n^d})$$

$$\text{No. of oracle query at max} = O(2^{c n^d})$$

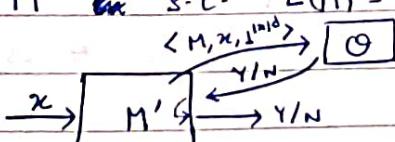
$$\text{Total time required to solve} = O(2^{c n^d}) \times O(2^{c n^d}) = O(2^{2 c n^d})$$

This is deterministic machine without oracle taking exp. time.

$$\therefore L \in EXP \Rightarrow NP^0 \subseteq EXP$$

* $EXP \subseteq P^0$. Let $L \in EXP$

$\exists M$ s.t. $L(M) = L$ running in $O(2^{c n^d})$



M' solves L in $O(n^d)$ time using O .
 $L \in P^0$

- Thus, we have $P^0 \subseteq NP^0 \subseteq EXP \subseteq P^0$

Hence, $P^0 = NP^0 = EXP$

Hence Proved

★ \exists Language Θ s.t. $P^0 \neq NP^0$

- We have to find Θ and a language L s.t. $L \in NP^0$ but $L \notin P^0$.
- We'll take an Θ s.t. $U_\Theta \in NP^0$ but $U_\Theta \notin P^0$.

$$U_\Theta \rightarrow \exists N^0, L(N^0) = U_\Theta$$

$\hookrightarrow \forall M^0, L(M^0) \neq U_\Theta$ --- listed sequentially
(similar to proof of

D-TIME-Hierarchy)

• Assume for now:

- 1) all oracle TM can't be encoded using N .
- 2) There are infinitely many representations.
- 3) Universal TM exists with oracle Θ .

- We have Θ : strings ~~we were going to put in Θ~~ \rightarrow to put in Θ
- Θ^x : strings not to put in Θ ~~all~~
- Two counters i : contradicted all M_j^0 ~~for~~ for $j < i$
- n : decided for $\forall x$, $|x| < n$ whether to put x ~~in~~ in Θ or Θ^x .

→ Proceed iteratively:

$$i \rightarrow M_i^0$$

$$\text{Run } M_i^0(1^n)$$

i) M_i^0 queries to Θ for query length $< n$

\hookrightarrow we have already decided for strings $< n \rightarrow$ reply accordingly

ii) query length $\geq n$ (say y)

\hookrightarrow put y in Θ^x and return no.

- Run this simulation for $\frac{2^n}{10}$ steps (We only need poly-time machines)

Case I : $M_i^0(1^n) = \text{Yes}$, i.e., $1^n \in L(M_i^0)$

\hookrightarrow Put all strings of length n in Θ^x .

There there is no string of length n in $\Theta \Rightarrow 1^n \notin U_\Theta$

$$\therefore U_\Theta \neq L(M_i^0)$$

Case II : $M_i^\Theta(1^n) = \text{No}$, i.e., $1^n \notin L(M_i^\Theta)$

↳ Some string of length n might already be put in Θ^* for case $n' < n$ & query length of n .
It is not possible, since there are total 2^n strings & we run only for $2^n/10$ steps.

Put remaining strings in $\Theta \Rightarrow 1^n \in U_\Theta$
 $\therefore L(M_i^\Theta) \neq U_\Theta$

Case III : $M_i^\Theta(1^n)$ doesn't halt in $2^n/10$ steps.

It doesn't matter whether whether to put remaining strings in Θ or Θ^* .

→ ~~Claim~~ : $U_\Theta \in \text{NP}^\Theta$ but $U_\Theta \notin \text{P}^\Theta$

• For x , if x is not of form $1^m \rightarrow$ reject

Non-deterministically guess y , $|y|=m$ & ask $\Theta(y)$?

$\exists 1^m \in U_\Theta \Leftrightarrow \exists y, |y|=m, y \in \Theta$

Hence, $U_\Theta \in \text{NP}^\Theta$

• Say, M_j^Θ solves U_Θ in Cn^d time.

Check the length m from construction in j^{th} iteration

If $Cm^d \leq \frac{2^m}{10} \rightarrow$ We have contradiction (Case I or Case II)

Else if $Cm^d > \frac{2^m}{10} \rightarrow \exists k, k > j$ representing M_j^Θ (inf. representations)

For that k , take m'

• $C(m')^d \leq \frac{2^{m'}}{10} \rightarrow$ Contradiction

Hence, $U_\Theta \notin \text{P}^\Theta$

→ Thus, \exists language Θ s.t. $\text{P}^\Theta \neq \text{NP}^\Theta$

Hence Proved

* Infinitely many natural number (\mathbb{N}) representations of oracle TM.

- One special state q_0 to make oracle query.

q_{yes} q_{no} depending on reply.

- Similar to two tape TM with this special states.

↳ we can encode this similarly.

- What oracle we use doesn't matter. We only need to encode the code of TM and not the whole model.

$$\Omega = \{q_0, q_f, q_o, q_{\text{yes}}, q_{\text{no}}, \dots\}$$

To make query : $(q, x, x) \mapsto (q_o, \dots)$

q_{yes} q_{no} in 1 step.

$$M \rightarrow \alpha \rightarrow i,$$

$$M^\Theta \rightarrow \alpha' \rightarrow i' \text{ (similarly)}$$

- Inf. many α 's

- Machine doesn't know what oracle is; only designer knows.

$$\text{Code of } M^\Theta = \text{Code of } M^{\Theta'}$$

Oracle is part of model.

* $\exists L_1, L_2$ s.t. $P^{L_1} = NP^{L_1}$ and $P^{L_2} \neq NP^{L_2}$

$$\Rightarrow P = NP ?$$

* Diagonalization alone can't prove/disprove $P = NP$!!

I) TMs can be encoded as infinitely many \mathbb{N} . } "Diagonalization"

II) Simulation is possible.

- Say, using these properties, we proved $P = NP$ (i.e., using diagonalization)

In the proof, we replace $P \rightarrow P^{L_2}$; $NP \rightarrow NP^{L_2}$

$$M \rightarrow M^{L_2}; N \rightarrow N^{L_2}$$

(i.e., these two properties hold under L_2 oracle)

↳ proof remains correct and we'll get $P^{L_2} = NP^{L_2}$

$$\text{But } P^{L_2} \neq NP^{L_2} \Rightarrow \Leftarrow$$

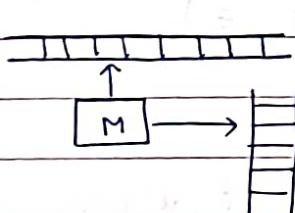
Hence, our assumption that the proof uses only diagonalization is wrong.

- If someone proves $P \neq NP$; replace L_2 with L_1 to get $P^{L_1} \neq NP^{L_1} \Rightarrow \Leftarrow$
- Thus, proving $P = NP$ or $P \neq NP$ is not possible by just using diagonalization. The proof must use some property that doesn't hold in oracle domain.

* Space Hierarchy

- SPACE($f(n)$) — all languages that can be solved by DTM using atmost $\Theta(f(n))$ space.

- Study space using two-tape TM



- Space used on work-tape is considered only when considering space used by the \Rightarrow TM.

- NSPACE($f(n)$) — all languages that can be solved by NDTM using atmost $\Theta(f(n))$ space.

$$\rightarrow \text{PSPACE} = \bigcup_{c \geq 0} \text{SPACE}(n^c)$$

$$\rightarrow \text{NPSPACE} = \bigcup_{c \geq 0} \text{NSPACE}(n^c)$$

* LOGSPACE & NLOGSPACE

Enumerate all machines

- L = logspace complexity class, i.e., SPACE(logⁿ)
- NL = non-det. logspace comp. class, i.e., NSPACE(logⁿ)
- * $L \subseteq NL$

Sub-linear complexity classes

★ $\text{DTIME}(\beta(n)) \subseteq \text{SPACE}(\beta(n)) \subseteq \text{NSPACE}(\beta(n)) \subseteq \text{DTIME}(2^{\beta(n)})$

- $\text{DTIME}(\beta(n)) \subseteq \text{SPACE}(\beta(n))$ is true since a machine running $\beta(n)$ steps can't use more than $\beta(n)$ space.
- $\text{SPACE}(\beta(n)) \subseteq \text{NSPACE}(\beta(n))$ is obvious with definition of NDTMs and DTMs.

→ How to prove things in SPACE ?

$$M \rightarrow |x|=n \rightarrow c\beta(n) \text{ space.}$$

- Define configurations & configurations graph (M, x)
- Configuration :

$\begin{array}{ccccccc} 0 & 1 & 1 & 0 & \dots & \square \\ \text{state} & \text{input, } n & & & & \text{extra space} \end{array}$

$$\text{No. of possible configurations} = |\Sigma| \times n \times |\Gamma|^{c\beta(n)}$$

- Configuration Graph : $G_{M,n} = (\mathcal{V}, E)$

\mathcal{V} = all configurations

$$|\mathcal{V}| = O(2^{c\beta(n)})$$

$E = \{(c, c') \mid \text{directed edge, if a valid transition exists from } c \rightarrow c'\}$

- $\text{NSPACE}(\gamma(n)) \subseteq \text{DTIME}(2^{\gamma(n)})$

Say, $L \in \text{NSPACE}(\gamma(n))$

i.e., \exists NDTM N solving L using $c\beta(n)$ space.



Construct M solving L in $O(2^{c\beta(n)})$ steps.

$x \in L(N) \Leftrightarrow M \text{ accept } x$.

M : construct $G_{N,x}$ --- time req. $\leq O(2^{c\beta(n)})$

Now just check reachability $C_0 \xrightarrow{} C_f$

$C_0 : 0_0 \overset{\text{101}}{\overbrace{\dots}} B$

↳ unique

$C_f : Q_f, \Sigma, \Delta, B$ (we define it such way, else there could be multiple c_f)

Time taken to check reachability = $O(|V| + |E|) = O(2^{cb(n)})$

Thus, $x \in L(N) \Leftrightarrow x \in L(M) = L$
 $\Leftrightarrow L \in \text{DTIME}(2^{cb(n)})$

Hence Proved

* PSPACE = NPSPACE

• Non-determinism doesn't give any advantage w.r.t. space.

* $\text{NSPACE}(f(n)) \subseteq \text{SPACE}(f^2(n))$; $f(n) \rightarrow$ Time constructible

$L \in \text{NSPACE}(f(n)) \Rightarrow \exists N$ using $C_f(n)$ space solving L

$\hookrightarrow (G_{N,n}, C_0, C_f)$ reachability?

\exists path $C_0 \xrightarrow{\leq 2^{f(n)}} C_f \Rightarrow \exists C, C_0 \xrightarrow{\leq 2^{f(n)-1}} C$ & $C \xrightarrow{\leq 2^{f(n)-1}} C_f$

$\text{REACH}(c_1, c_2, l) \{$ if $c_1 \leadsto c_2$ in 2^l steps

for all $C \} \xrightarrow{\text{Base case!!}}$

$a_1 = \text{REACH}(c_1, c, l-1)$

$a_2 = \text{REACH}(c, c_2, l-1)$

IF ($a_1 \& a_2$) return TRUE

}

base case:

IF ($c_1 == c_2$) return T

else return F

} return FALSE

}

reuse space for a_1 & a_2

$$\Delta(l) = \Delta(l-1) + f(n) \rightarrow \text{for storing a configuration}$$

$$= \Delta(l-2) + 2f(n)$$

$$\boxed{\Delta(l) = (f(n))^2}$$

Hence, $\text{NSPACE}(f(n)) \subseteq \text{SPACE}((f(n))^2)$

$\Rightarrow \text{NPSPACE} = \text{PSPACE}$

Hence Proved

* PSPACE-complete

→ Notion of reduction in PSPACE ?

$$L \leq_p L' ; p \rightarrow \text{poly-space}$$

$\uparrow n^c \quad \uparrow n', n^d$

$x \mapsto f(x) ; f(x)$ is poly-space computable

$$x \in L \Leftrightarrow f(x) \in L' \quad f(x) : \Sigma^* \rightarrow \Sigma^*$$

- But issue here is $L \in \text{PSPACE}$ and we are giving poly-space to $f(x)$. So, redⁿ machine can find out correct answer of $x \in L$? and simply output u/v ($u \in L', v \notin L'$) for any input x .

- Resource available to reduction machine is same as that available to L , thus ~~the~~ resource available to machine should be less than that available to L .

- We stick to old defⁿ of reduction using poly-time $f(x)$.
- $f(x)$ if poly-space can take ~~exponential~~ exponential time but we limit it to poly-time.

* $L \leq_p L'$ and $L' \in \text{PSPACE} \Rightarrow L \in \text{PSPACE}$

~~M~~ $L' \rightarrow M$, $c n^d$ space

$x \mapsto f(x)$, M' takes $c n^b$ steps

Time to Max. length of $f(x) = O(c n^b)$

Space req. to solve $x \in L' = c (c n^b)^d = c' n^d$

$\Rightarrow L \in \text{PSPACE}$

* $L = \{ \langle M, x, 1^n \rangle \mid M \text{ accepts } x \text{ in } \overset{\text{at most}}{n} \text{ space} \}$ is PSPACE complete.

* $L' \in \text{PSPACE} \rightarrow M, c n^d$

$x \mapsto \langle M, x, \overset{\text{at most}}{1^{c n^d}} \rangle$

Time req. = $O(1) + O(c n^d)$, i.e., polynomial

$L' \leq_p L$, $\forall L' \in \text{PSPACE}$

* $L \in \text{PSPACE}$ since it takes linear space atmost

- * **Quantified Boolean Formulae :** $\exists z_1 \forall z_2 \dots \psi(z_1, z_2, \dots)$
 → Boolean formulae in which all variables are quantified $\exists(\exists, \forall)$
 and none of the variable is free/un-quantified.
 Eg: $\exists x, \exists y, \forall z (x \vee y \vee z)$
- QBF ∈ PSPACE since we can list all variables (size = n) and check. Time will be exponential but space is re-usable hence, polynomial.
- * **QBF is PSPACE-complete.**
- $L \in \text{PSPACE} \rightarrow M$ s.t. $L(M) = L \rightarrow \text{cn}^d$ space
 $x \mapsto \Psi_x$ s.t. $x \in L \Leftrightarrow \Psi_x \in \text{QBF}$
- $\Psi_i(c_1, c_2)$: There is a path from $c_1 \rightarrow c_2$ in conf. graph of atmost 2^i steps.
 $\Psi_i(c_1, c_2) = \exists C^* (\Psi_{i-1}(c_1, C^*) \wedge \Psi_{i-1}(C^*, c_2))$
- $\Psi_0(c_1, c_2)$ = True iff $c_1 = c_2$ (As done in SAT proof)

Size of formula (s_i) = $2s_{i-1} + \text{cn}^d$
 $= 2^i + i\text{cn}^d$ ---- exponential size

We have to solve $\Psi_{\text{end}}(c_0, c_f)$

Size = $O(2^{\text{cn}^d})$

--- redⁿ machine can't write it.

- Some modifications need to be done to remove the factor of 2.

$$\Psi_i(c_1, c_2) = \exists C \forall c', c'' \{ ((c' = c_1) \wedge (c'' = c_2)) \vee ((c' = c) \wedge (c'' = c_2)) \}$$

(First clause will be true only twice & for that value Ψ_{i-1} should be true)

$s_i = s_{i-1} + k \cdot \text{cn}^d$, k is constant

$s_i = ik\text{cn}^d$

- We start with $i = \text{cn}^d$; size = $k(\text{cn}^d)^2$

i.e., polynomial in size. Hence, redⁿ machine can print it.

$x \mapsto \Psi_{\text{cn}^d}(c_0, c_f)$

$x \in L \Leftrightarrow \exists \text{ path from } c_0 \text{ to } c_f \Rightarrow \Psi_{\text{cn}^d}(c_0, c_f) \text{ is true}$

$L \leq_p \text{QBF}$, $\forall L \in \text{PSPACE} \& \text{QBF} \in \text{PSPACE}$

$\Rightarrow \text{QBF is PSPACE - complete.}$

★ $\text{PATH} = \{ \langle G, s, t \rangle \mid \exists \text{ path in } G \text{ from } s \text{ to } t \} \in \text{NL}$

n -vertices \rightarrow Space req. to store a vertex = $O(\log n)$
or edge

- Take a counter of no. of vertices guessed : $O(\log n)$ space
- Start with s , guess a vertex u_1 and check if there is an edge $s \rightarrow u_1$ in G and increment the counter.
- If such edge exists, take u_1 & guess u_2 --
- Repeat until we reach t or counter reaches $\log n$.
 - ↓ path exists
 - ↑ path doesn't exist
- Non-determinism allows to make mistakes.
 $\Rightarrow \text{PATH} \in \text{NL}$

- Notion of reduction in NL?

• $L_1 \leq_p L_2$, i.e.

~~the~~ $x \xrightarrow{f(n)} b(x) \Rightarrow$ Since L_2 takes NL space.

No. of conf. = $O(\log n)$

Size of conf. graph = $O(2^{\log n}) = O(n^c)$,

we can solve L_1 in polynomial time.

- Thus, we can't have poly-time reductions in NL.

★ $f : \Sigma^* \rightarrow \Sigma^*$ is called DTM = (Turing Machine)

$f(x)$ is implicitly log-space computable if :

- for any x , $|f(x)| \leq c n^d$
- $L_1 = \{ (x, i) \mid i \leq |f(x)| \}$ is log-space computable by DTM.
- $L_2 = \{ (x, i) \mid f(x)_i = 1 \}$ is " " " " " "
 \hookrightarrow i^{th} bit of $f(x)$

★ Then for $L, L' \in \text{NL}$

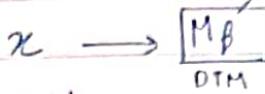
$$L \leq L'$$

iff $\exists f$ s.t. $x \in L \Leftrightarrow f(x) \in L'$

and f is implicitly log-space computable.

and $|f(x)| \leq c n^d$

initial log-space computable



$x \rightarrow [M_f] \rightarrow f(n)$; prints $f(n)$ bit by bit

DTM takes log-space to compute $f(n)$

1) $i = 1$

2) $i \leq |f(n)| \rightarrow$ using $(x, i) \in L_1$

3) print 0/1 \rightarrow using $(x, i) \in L_2 \xrightarrow{i \text{ if Yes}} 1 \quad 0 \text{ if No}$

4) $i++$

$$\text{Space by counter} = \log |f(n)| \leq \log(cn^d) = \log(C1n^d)$$

- Thus, DTM takes log-space for computation of $f(n)$.

* $L_1 \leq L_2 \wedge L_2 \leq L_3 \Rightarrow L_1 \leq L_3$ in NL domain? Yes

$L_1 \leq L_2 : \exists f(n)$, implicitly log space computable; $|f(n)| \leq cn^d$

$$x \in L_1 \Leftrightarrow f(x) \in L_2$$

$L_2 \leq L_3 : \exists g(x), \dots ; |g(n)| \leq cn^d$

$$x \in L_2 \Leftrightarrow g(x) \in L_3$$

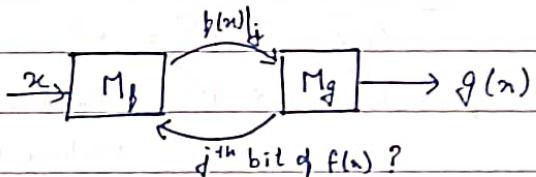
- If we use old proof:

$$x \mapsto f(n) \mapsto g(f(n))$$

$$x \rightarrow [M_f] \xrightarrow{f(n)} [M_g] \xrightarrow{g(n)}$$

M_f prints $f(n)$ bit-by-bit. So, we need to store $f(n)$ which requires poly-space but we only allow log-space.

- With slight modification, we can achieve this.



- We start with $i = 1$

Check $(f(n), i) \in L_{1,g}$

To check this, it will need some j -th bit of $f(n)$ at any

step, which can be provided by M_f any time.

Thus, we need not require to store $f(n)$ anymore.

- M_g can't change $f(n)$ value, since input-tape is read-only. Thus, every time we need original $f(n)$ value only.

Hence, $x \in L_1 \Leftrightarrow g(f(n)) \in L_3$; $g(f(n))$ is implicit log space computable.
 $|g(f(n))| \leq e(cn^d)^b = c'n^d$

$$\Rightarrow L_1 \leq L_3$$

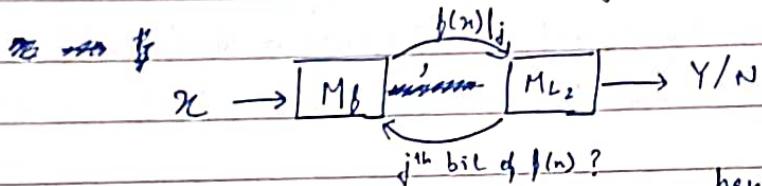
* $L_1 \leq L_2 \text{ & } L_2 \in L \Rightarrow L_1 \in L$

$L_1 \leq L_2 : \exists f(n)$, implicitly log space computable ; $|f(n)| \leq c n^d$

$x \in L_1 \Leftrightarrow f(n) \in L_2$

L_2 take $\text{elog}^d(f(n))$ space

$$\leq c \log(c n^d) = c' + d \log|n| = O(\log|n|)$$



We can use similar argument and hence $L_1 \in L$.

* NL-complete

1) $L \in NL$

2) $\forall L' \in NL, L' \leq L$ (using redⁿ def. in domain of NL)

Then, we say L is NL-complete.

* PATH is NL-complete

We already proved $PATH \in NL$

Take $L \in NL \rightarrow \text{NDTM } N, L(N) = L$, takes $\log n^c$ space.

$$x \in L, x \xrightarrow{\quad} \langle G_{N,x}, C_0, C_f \rangle$$

Size = $2^{\log n^c} = n^c$

$L_{1,f} : (x, i) \in L_{1,f} ? \Rightarrow$ check $i \leq n^c$ can be done in log space by writing them in binary

$L_{2,f} : (x, i) \in L_{2,f} ? \quad f(n)|_i ?$

Enumerate configurations in pair (i, j)

(i, j) represents two config. C_i & C_j and checking if edge exists

btw them can be done by using N.

\hookrightarrow This too can be done in log-space.

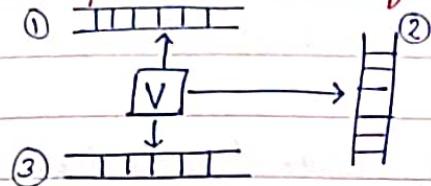
$$\Rightarrow x \in L \Leftrightarrow f(n) = \langle G_{N,x}, C_0, C_f \rangle \in \text{PATH}$$

and $|f(n)| \leq |n|^c$ & $f(n)$ is implicit log-space computable

$$\Rightarrow L \leq \text{PATH}, \forall L \in NL$$

$\Rightarrow \text{PATH is NL-complete.}$

* Certificate - verifier defⁿ in domain of NL



- ① Read-only input tape
 - ② Read-write work tape
 - ③ Read-once Certificate tape
(moving left, not allowed)
- { S/R }

* PATH \in NL using cert-ver defⁿ

Certificate is path from 's' to 't' in G.

From certificate, read first vertex and write it in work tape. Check if it is 's'.

Read second vertex & store. Check for edge b/w first & second vertex.

Read third vertex & overwrite on first. Check for edge b/w 2nd & 3rd vertex.

Continue till last vertex & compare it with 't'.

Hence, PATH \in NL. (Certificate is being read once only).

→ We can't see old non-deterministic choices made if we have read-once certificate and can only store constant length of certificate in work-tape.
Thus, it prevents extra advantage.

→ In NP, it doesn't make sense as everything is polynomial time & space.

* Both defⁿ of NL are equivalent.

i) $L \in \text{NDTM log-space def}^n \rightarrow N, L(N) = L$

\Rightarrow The non-deterministic choices made by N on correct path can be used as certificate.

$\Rightarrow L \in \text{Cert-ver. log space def}^n$

ii) $L \in \text{Cert-ver. log space def}^n \rightarrow \exists V, V(x, y) = 1$

\Rightarrow NDTM N guess the certificate y and give it to verifier.

So, if certificate is correct, verifier will say Yes

If NDTM, so mistakes are allowed.

$\Rightarrow L \in \text{NDTM log-space def}^n$

★ PATH ∈ NL

PATH = { $\langle G, s, t \rangle \mid \text{No path exists from } s \text{ to } t \text{ in } G \}$

→ Cert.-Ver. def" of NL

In worst case, certificate will be all vertices (visited from s)

Size = $O(n \log n)$ but ~~is~~ not $O(c \log n)$ for some constant c

- we want our computations to be $O(c \log n)$ in space.

→ Define $C_i = \{v \mid s \rightarrow v \text{ in } \leq i \text{ steps}\}$

• ~~We don't know full set C_i , but $|C_i|$~~

i) $v \in C_i$? certificate = path $s \rightarrow v$ of $\leq i$ steps

ii) $v \notin C_i$? $s \rightarrow u_1 \# s \rightarrow u_2 \# s \rightarrow u_3 \# \dots$

~~$|C_i|$ no. of paths → need a counter
Check all paths one by one $\xleftarrow{u_j \neq v} \leq i$ steps → need 2nd count~~

But paths could be repeated!

We ensure u_1, u_2, \dots, u_j are in lexicographic order.

Thus, we only need to check $u_j > u_{j-1}$, then only path is valid.

- All this process will take constant log-space & computations are local. To check $u_j > u_{j-1}$, we store u_{j-1} (only need to store 1 vertex)

→ $|C_0| = 1$, If we can ~~compute~~ $|C_i| \rightarrow |C_{i+1}|$;
we can compute $|C_n|$

and just need to check $t \notin |C_n|$.

⇒ No path exists from $s \rightarrow t$ of $\leq n$ steps;

and path length can't be more than n .

- We need some certificate to get $|C_{i+1}|$ from $|C_i|$.

For each u_j $\xrightarrow{\text{vertex}} u_j \in C_{i+1} \rightarrow C_{+, u_j} = s \rightarrow u_j \text{ of } \leq i+1 \text{ steps}$
 $\xrightarrow{} u_j \notin C_{i+1} \rightarrow C_{-, u_j} = s \rightarrow v_1 \# s \rightarrow v_2 \# \dots$,
 i.e., all paths of C_i

For C_{-, u_j} , we check if any of $v_k = u_j$ or any of v_k 's neighbour = u_j .

If none, then $u_j \notin C_{i+1}$

- Final certificate : $c_0 \rightarrow c_1 \parallel c_1 \rightarrow c_2 \parallel \dots \parallel c_{n-1} \rightarrow c_n \parallel \underline{c_n \neq c_n}$

$v_1 \in C_1 \wedge v_2 \in C_2 \wedge \dots \wedge v_n \in C_n$
 $\downarrow c_0/c_n$

$s \rightarrow u_1 s \rightarrow u_2 s \rightarrow \dots \rightarrow u_{n-1} s \rightarrow u_n$

Size of certificate = poly. in terms of input

$\Rightarrow \overline{\text{PATH}} \in \text{NL}$

Hence Proved

* $\text{NL} = \text{Co-NL}$

$\text{Co-NL} = \{ \bar{L} \mid L \in \text{NL} \}$, i.e; compliment of all languages in NL

* PATH is NL-complete.

$\forall L \in \text{NL}, L \leq \text{PATH} \Rightarrow \bar{L} \leq \overline{\text{PATH}}$

$\Rightarrow \forall \bar{L} \in \text{Co-NL}, \bar{L} \leq \overline{\text{PATH}}$

$\Rightarrow \overline{\text{PATH}}$ is Co-NL complete

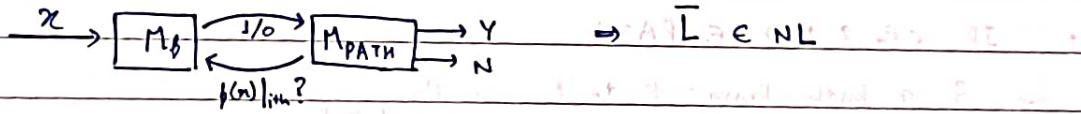
* PATH is Co-NL Complete.

\rightarrow Take $L \in \text{NL} \Rightarrow \bar{L} \in \text{Co-NL}$

$L \leq \text{PATH} \Rightarrow \bar{L} \leq \overline{\text{PATH}}$

But $\overline{\text{PATH}} \leq \text{PATH}$, since PATH is NL-complete

$\Rightarrow \bar{L} \leq \text{PATH}$



$\Rightarrow \forall L \in \text{NL}, \bar{L} \in \text{NL} \Rightarrow \text{NL} \subseteq \text{Co-NL}$

$\bar{L} \in \text{Co-NL}$

$\rightarrow L \in \text{Co-NL} \Rightarrow \bar{L} \in \text{NL}$ but $\text{NL} \subseteq \text{Co-NL}$

$\Rightarrow \bar{L} \in \text{Co-NL}$ ~~but~~ $\Rightarrow \text{Co-NL} \subseteq \text{NL}$

Hence, $\text{NL} = \text{Co-NL}$

Hence Proved

* $L \subseteq \text{NL} = \text{Co-NL} \subseteq \text{P} \subseteq \text{NP} \subseteq \text{PSPACE} = \text{NPSPACE} \subseteq \text{EXP} \subseteq \text{NEXP}$

$\star \text{SCG}_1 = \{ G_1 \mid G_1 \text{ is strongly connected digraph} \}$

$\hookrightarrow \forall u, v \in V, \exists \text{ path } u \rightarrow v$

SCG_1 is NL-complete.

$\rightarrow \text{SCG}_1 \in \text{NL}$

We can give all paths from b/w every pair of vertices in lexicographic order as a certificate.

$$C = v_1 \rightarrow v_2 \# v_1 \rightarrow v_3 \# \dots \# v_1 \rightarrow v_n \parallel v_2 \rightarrow v_1 \# v_2 \rightarrow v_3 \dots \parallel \dots \# v_n \rightarrow v_{n-1}$$

Check all paths; each computation is local with some constant no. of counters. \Rightarrow require $O(\log n)$ space.

Hence, $\text{SCG}_1 \in \text{NL}$

$\rightarrow \text{SOME PATH} \subseteq \text{SCG}_1 : \{ \forall L \in \text{NL}, L \subseteq \text{PATH} \subseteq \text{SCG}_1 \}$

$$\langle G, s, t \rangle \xrightarrow{\quad} G'$$

To construct G' :

i) Convert all edges $(u, v) \in E_G$ to two directed edges

$u \rightarrow v$ and $v \rightarrow u$

ii) Add addition edges \Leftarrow :

$\forall v \in V_{G_1} - \{s, t\}$, add edge $t \rightarrow v$ and $v \rightarrow s$.

• If $\langle G, s, t \rangle \in \text{PATH}$

$\Rightarrow \exists$ a path from s to t in G

and $t \rightarrow s$

$\Rightarrow \exists$ a dis-path from $s \rightarrow t$, in G' due to converted edges.

$\forall v \in V - \{s, t\}$, there is path $v \rightarrow s$ & $t \rightarrow v$ due to additional edges.

$\forall a, b \in V, a \rightarrow s \xrightarrow{E_G} t \rightarrow b \Rightarrow a \rightarrow b$

$\Rightarrow G' \in \text{SCG}_1$

• If $\langle G, s, t \rangle \notin \text{PATH} \Rightarrow$ No path exists from s to t in G .

\Rightarrow No path in G' due to only converted edges.

Also, new edges are from $v \rightarrow s$ & $t \rightarrow v$, no outgoing edge is created from s and no incoming edge is created to t .

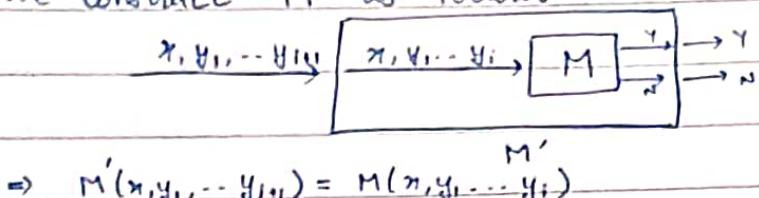
Hence, path is not possible from $s \rightarrow t$.

$\Rightarrow G' \notin \text{SCG}_1 \Rightarrow$ Hence, ~~SOME~~ $\text{PATH} \subseteq \text{SCG}_1 \Rightarrow \text{SCG}_1$ is NL-complete

* Polynomial Hierarchy

- $L \in P$ $L \in NP$
- $x \in L \Leftrightarrow \underbrace{M(x) = 1}_{P(n) = 1} \rightarrow \text{Property}$
- $x \in L \Leftrightarrow \exists y, \underbrace{M(x, y) = 1}_{P(n, y) = 1}$
- . SAT : $\exists u \forall p(x) \rightarrow$ we want optimal solution.
 - . shortest-path = $\{ \langle G, s, t, k \rangle \mid \text{path } s \rightarrow t \text{ of } \leq k \text{ steps in } G \}$
 - $P(n)$: $\exists \text{ path} ; |\text{path}| \leq k, (\text{path})_{\text{first}} = s, (\text{path})_{\text{last}} = k$
We can find length of shortest path in poly-time if shortest path is solvable in poly-time.
 - . largest-Ind-det = $\{ \langle G, k \rangle \mid G \text{ has an ind-set of size } k \}$
 $\exists I, \forall I', I \text{ & } I' \text{ are ind-set of } G \rightarrow |I| \geq |I'|$
Not NP-property, since this thing can't be handled by DTM in poly-time.
 - Such properties are called Σ_2^P properties ~~stuff~~.
 - Remaining quantifiers are $\leftarrow \Sigma$: first quantifier is \exists
alternating z : No. of quantifiers
 p : polynomial
 - $L \in \Sigma_i^P$ iff
 - * Σ_i^P property : $\wedge \exists \text{ DTM poly-time } M \text{ and a polynomial } g(x) \text{ s.t.}$
 $x \in L \Leftrightarrow \exists y_1 \forall y_2 \exists y_3 \dots \&_i y_i, M(x, y_1, y_2, \dots, y_i) = 1$
and $|y_1| \leq g(n), |y_2| \leq g(n), \dots, |y_i| \leq g(n)$
 $\&_i [\exists, \text{ if } i \text{ is odd}] \quad \& [\forall, \text{ otherwise}]$
 - * $\Sigma_i^P \subseteq \Sigma_{i+1}^P$
 - $L \in \Sigma_i^P \Rightarrow \exists M \text{ and } g, x \in L \Leftrightarrow \exists y_1 \dots \&_i y_i, M(x, y_1, \dots, y_i) = 1$
and $|y_k| \leq g(n) \quad \forall k=1 \dots i$

- We construct M' as follows :



- $x \in L \Leftrightarrow \exists y_1 \forall y_2 \dots \varphi_i y_i M(x, y_1, \dots, y_i)$
 $\Leftrightarrow \exists y_1 \forall y_2 \dots \varphi_i y_i \varphi_{i+1} y_{i+1} M'(x, y_1, \dots, y_{i+1})$

For any value of y_{i+1} , this will hold for some values of
 $\Leftrightarrow y_1 \dots y_i \text{ & } |y_k| \leq g(x) \text{ & } k=1, \dots, i+1$

$$\Rightarrow L \in \Sigma_{i+1}^P$$

- same as Σ_i^P , but quantifiers starts from \forall
- $\star \Pi_i^P$ property : \exists DTM poly time M and poly- g s.t.
- $x \in L \Leftrightarrow \forall y_1 \dots \varphi_i y_i M(x, y_1, \dots, y_i) = 1$
- and
- $|y_k| \leq g(x)$
-
- $\varphi_i \begin{cases} \forall, \text{ if } i \text{ is odd} \\ \exists, \text{ otherwise} \end{cases}$

$$\star \Pi_i^P = \text{co-} \Sigma_i^P = \{\overline{L} \mid L \in \Sigma_i^P\}$$

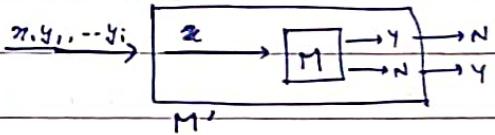
- $L \in \Pi_i^P \Leftrightarrow \exists M, g \text{ s.t. } x \in L \Leftrightarrow \forall y_1 \dots \varphi_i y_i M(x, y_1, \dots, y_i) = 1$

Construct \overline{M} as follows

$$x \notin L \Leftrightarrow \neg (\forall y_1 \dots \varphi_i y_i M(x, y_1, \dots, y_i) = 1)$$

$$x \notin \overline{L} \Leftrightarrow \exists y_1 \dots \varphi_i y_i M(x, y_1, \dots, y_i) = 0$$

$$\Leftrightarrow x \in \overline{L}$$



$$\Rightarrow x \in \overline{L} \Leftrightarrow \exists y_1 \dots \varphi_i y_i M'(x, y_1, \dots, y_i) = 1$$

$$\Rightarrow \overline{L} \in \Sigma_i^P \Rightarrow L \in \text{co-} \varphi_i^P$$

$$\text{Hence, } \Pi_i^P \subseteq \text{co-} \Sigma_i^P$$

Similarly, we can show $\text{co-} \Sigma_i^P \subseteq \Pi_i^P$

$$\text{Hence } \Pi_i^P = \text{co-} \Sigma_i^P$$

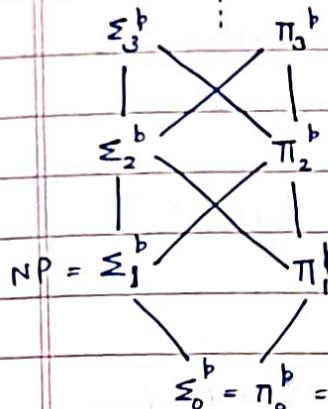
$$\star \Pi_i^P \subseteq \Pi_{i+1}^P$$

$$L \in \Pi_i^P \Rightarrow \overline{L} \in \Sigma_i^P \Rightarrow \overline{L} \in \Sigma_{i+1}^P \Rightarrow L \in \Pi_{i+1}^P$$

* $\Sigma_i^b \subseteq \Pi_{i+1}^b$ and $\Pi_i^P \subseteq \Sigma_{i+1}^b$

• Similar to proof of $\Sigma_i^b \subseteq \Sigma_{i+1}^b$, just ignore first argument instead of last

- • This is called polynomial hierarchy.
 • This is an infinite hierarchy.



$PH = \bigcup_{i \geq 0} \Sigma_i^b = \bigcup_{i \geq 0} \Pi_i^P = \bigcup_{i \geq 0} \Sigma_i^P$, for any $j \geq 0$
 Complexity class

• If any link breaks (i.e., proved equal), complete poly. hierarchy collapses into \emptyset .

→ Poly. hierarchy collapses at level i means $PH = \Sigma_i^b = \Pi_i^P$

- $\Pi_i^P \subseteq \Sigma_{i+1}^b \subseteq PH \subseteq \Sigma_i^b \Rightarrow \Pi_i^P \subseteq \Sigma_i^b \quad \forall j \geq i > 0$
- $L \in \Sigma_i^b \Leftrightarrow L \in \Pi_i^P \Leftrightarrow L \in \Sigma_i^b \Leftrightarrow L \in \Pi_i^P$
 $\Rightarrow \Sigma_i^b \subseteq \Pi_i^P$
 Hence, $\Pi_i^P = \Sigma_i^b = PH$

* $\Sigma_i^b = \Pi_i^P \Rightarrow PH = \Sigma_i^P ; i > 0$

Let $L \in \Sigma_{i+1}^b$

$$x \in L \Leftrightarrow \exists y_1 (\forall y_2 \dots \forall y_i \ \&_{i+1} y_{i+1} M(x, y_1, y_2, \dots, y_{i+1}) = 1) \quad \text{and } |y_j| \leq \varphi(n) \quad \forall j = 1 \dots i+1$$

$$\text{Define } L' = \left\{ (x, u) \mid x \in L, \ \&_{i+1} y_{i+1} M(x, u, y_2, \dots, y_{i+1}) = 1 \right\}$$

$$(x, u) \in L' \Leftrightarrow \varPhi(u) : \underbrace{\&_{i+1} y_{i+1}}_i M(x, u, y_2, \dots, y_{i+1}) = 1$$

$$\Rightarrow L' \in \Pi_i^P$$

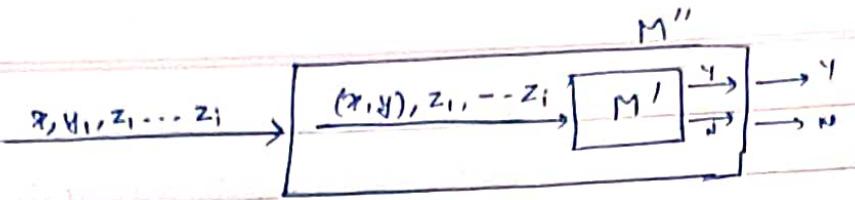
$$\Rightarrow L' \in \Sigma_i^P \quad (\because \Sigma_i^P = \Pi_i^P)$$

$$(x, u) \in L' \Leftrightarrow \exists z_1, \dots, z_i M'(x, u, z_1, \dots, z_i) = 1$$

We can say that:

$$x \in L \Leftrightarrow \exists y_1 (x, y_1) \in L'$$

$$\Leftrightarrow \exists y_1 \exists z_1 \dots \&_i z_i M''(x, y_1, z_1, \dots, z_i) = 1$$



$x \in L \Leftrightarrow \exists (y_1, z_1) \vee z_2 \vee \dots \vee z_i \quad M'''(x, (y_1, z_1), z_2, \dots z_i) = 1$

↳ This will increase size of argument
change $\varrho(n) \rightarrow \varrho'(n) = 2\varrho(n)$

Hence ~~$x \in L$~~ *

$\Rightarrow \exists \text{ DTM and } \varrho'(n) \text{ s.t. } x \in L \Leftrightarrow \exists y_1 \vee z_2 \vee \dots \vee z_i \quad M'''(x, y_1, z_2, \dots z_i) = 1$

$$M''' \Rightarrow L \in \Sigma^b$$

$$\Rightarrow \Sigma_{i+1}^b \subseteq \Sigma_i^b \text{ and we know } \Sigma_i^b \subseteq \Sigma_{i+1}^b$$

$$\Rightarrow \Sigma_i^b = \Sigma_{i+1}^b \Rightarrow \text{Inductively we can show } \forall f \geq i$$

$\Rightarrow i \neq 0$ since we need one argument to merge in Σ_i^b .

★ $\Sigma_i^b = \Sigma_{i+1}^b \Rightarrow PH = \Sigma_i^b, i > 0$

. $\Pi_i^b \subseteq \Sigma_{i+1}^b = \Sigma_i^b$

. $L \in \Sigma_i^b \Rightarrow \bar{L} \in \Pi_i^b \subseteq \Sigma_{i+1}^b = \Sigma_i^b \Rightarrow \bar{L} \in \Sigma_i^b \Rightarrow L \in \Pi_i^b$

$$\Rightarrow \Sigma_i^b \subseteq \Pi_i^b$$

$$\Rightarrow \Pi_i^b = \Sigma_i^b$$

$$\Rightarrow PH = \Sigma_i^b, i > 0 \quad (\text{using prev. thm.})$$

Hence Proved

★ $P = NP \Rightarrow PH = P \quad (\text{i.e., for } i=0)$

. $co-NP = co-P = P = NP \Rightarrow co-NP = P$

$\Rightarrow NP = co-NP \Rightarrow PH = NP \quad (\text{using above thm.})$

$$\Rightarrow PH = P$$

$\therefore \Sigma_i^b = \Sigma_{i+1}^b \Rightarrow PH = \Sigma_i^b \text{ for all } i \geq 0$

Hence Proved

* SAT is co-NP-complete.

$$\forall L \in NP, L \leq_p SAT$$

$$\Rightarrow x \in L \Leftrightarrow \Phi_x \text{ is sat.}$$

$$\Rightarrow x \notin L \Leftrightarrow \Phi_x \text{ is not sat.}$$

$$\Rightarrow x \notin \bar{L} \Leftrightarrow \Phi_x \text{ is not sat.}$$

$$\Rightarrow \bar{L} \leq_p \overline{SAT} \text{ and } \bar{L} \in Co-NP \quad \forall L \in NP$$

$$\Rightarrow \overline{SAT} \text{ is co-NP complete.}$$

* Replace any TM with a SAT formula, thus we can replace it as follows:

$$L \in \Sigma_i^P, \forall x \in L \Leftrightarrow \exists u_1, u_2, \dots, u_i \Phi_x(u_1, u_2, \dots, u_i) = 1$$

$$\emptyset \in \Sigma_i^P SAT \Leftrightarrow \exists u_1, \dots, u_i \emptyset(u_1, \dots, u_i) = 1$$

* $\Sigma_i^P SAT$ is Σ_i^P -complete.

$$\emptyset \in \Sigma_i^P SAT \Leftrightarrow \exists u_1, \dots, u_i \emptyset(u_1, \dots, u_i) = 1$$

$$\Leftrightarrow \exists u_1, \dots, u_i M(\emptyset, u_1, \dots, u_i) = 1$$

where, DTM M checks satisfiability of given \emptyset on the given assignment, i.e., $\emptyset(z) = 0/1$.

$$\Rightarrow \Sigma_i^P SAT \in \Sigma_i^P$$

* $\forall L \in \Sigma_i^P$, we have to show $L \leq_p \Sigma_i^P SAT$

$$L \in \Sigma_i^P, x \in L \Leftrightarrow \exists u_1, \dots, u_i M(x, u_1, \dots, u_i) = 1$$

$$\Leftrightarrow \exists v_1, \dots, v_i \Phi_x(v_1, \dots, v_i) = 1$$

$x \mapsto \Phi_x$ using ~~equation~~ same reduction algo. as SAT

$$\Rightarrow x \in L \Leftrightarrow \Phi_x \in \Sigma_i^P SAT$$

$$\Rightarrow L \leq_p \Sigma_i^P SAT$$

$\Rightarrow \Sigma_i^P SAT$ is Σ_i^P -hard

but we can't say $\Phi_x \in SAT$ because

a machine M ~~can~~ can't check for

$\# u_k$ variables ~~and~~ and also

we can't concatenate $\#$ variables

since $\# \exists \#$ order is not changeable

Hence, $\Phi_x \in \Sigma_i^P SAT$ can also

also can't be ~~shown~~ shown.

* $\Pi_1^P SAT$ is Π_1^P -complete.

$$\emptyset \in \Pi_1^P SAT \Leftrightarrow \forall u_1, \dots, u_i \emptyset(u_1, \dots, u_i) = 1$$

* If PH has a complete problem, then PH collapses.

• Let $L \in \Sigma_i^P$ be PH-complete and $L \in \Sigma_i^P$

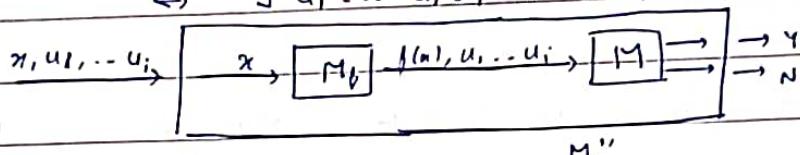
For some $L' \in \Sigma_{i+1}^P$, $L' \leq_P L$ since L is PH-complete

$$x \mapsto f(x)$$

$$x \in L' \Leftrightarrow f(x) \in L$$

$$\Leftrightarrow \exists u_1, \dots, u_i; M(f(x), u_1, \dots, u_i) = 1$$

$$\Leftrightarrow \exists u_1, \dots, u_i; M''(x, u_1, \dots, u_i) = 1$$



$$\Rightarrow L' \in \Sigma_i^P$$

Hence, $\forall L' \in \Sigma_{i+1}^P, L' \in \Sigma_i^P$

$$\Rightarrow \Sigma_{i+1}^P \subseteq \Sigma_i^P$$

$$\Rightarrow \Sigma_i^P = \Sigma_{i+1}^P$$

$$\Rightarrow \text{PH} = \Sigma_i^P$$

* $\text{PH} \subseteq \text{PSPACE}$

• $L \in \Sigma_i^P, x \in L \Leftrightarrow \exists u_1, \dots, u_i; M(x, u_1, \dots, u_i) = 1$

Machine M' assigns values for u_1, \dots, u_i one-by-one & check using M

M' takes poly-SPACE but EXP-time.

Hence, $L \in \text{PSPACE}$.

$$\Rightarrow \text{PH} \subseteq \text{PSPACE}$$

* $\text{PH} = \text{PSPACE} \Rightarrow \text{PH} \text{ collapses}$

• QBF is PSPACE complete

\Rightarrow QBF is PH complete

\Rightarrow PH collapses.

* $\Sigma_2^P = \text{NP}^{\text{SAT}}$ (oracle TM)

$\exists \forall$
 $\overleftarrow{I} \overrightarrow{U}$
 Non-determinism
 takes care of it

by SAT oracle

- $L \in \Sigma_2^P \iff x \in L \iff \exists u \forall v M(x, u, v) = 1$

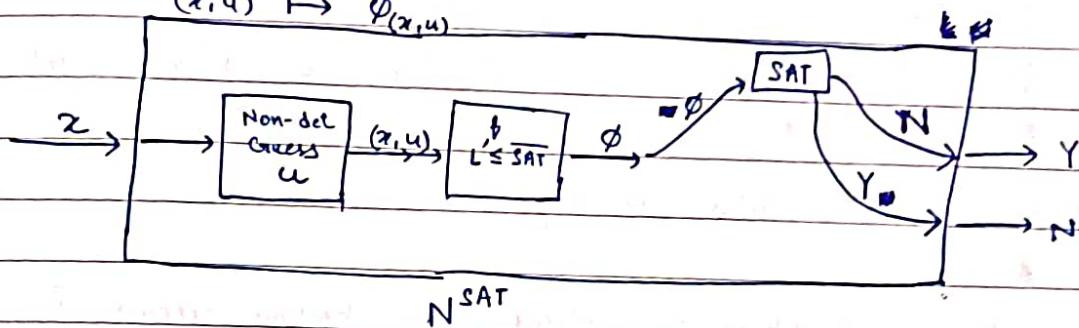
$$\iff \exists u \forall v \phi_x(u, v) = 1$$

$$(x, u) \in L' \iff \forall v \phi'_x(x, u, v) = 1$$

$$L' \in \text{NP} \cap \text{P}^P$$

$$L' \leq \overline{\text{SAT}}$$

$$(x, u) \mapsto \phi_{(x, u)}$$



$$x \in L \iff N^{\text{SAT}}(x) = 1$$

$x \in L \iff$ guess u is correct $\iff (x, u) \in L' \iff \phi_{x, u} \in \overline{\text{SAT}}$

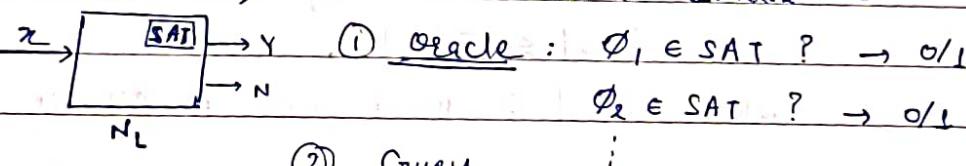
$$\Rightarrow L \in \text{NP}^{\text{SAT}}$$

$$\Rightarrow \Sigma_2^P \subseteq \text{NP}^{\text{SAT}}$$

$$\Leftrightarrow \phi_{x, u} \in \overline{\text{SAT}}$$

$$\Leftrightarrow N^{\text{SAT}}(x) = 1$$

- $L \in \text{NP}^{\text{SAT}} \iff \dots \iff N^{\text{SAT}}(x) = 1$



② Guess

$$x \in L \iff \exists m \exists \underbrace{\phi_1, \phi_2, \dots, \phi_k}_{\substack{\downarrow \text{non-det-} \\ \text{moves of} \\ N_L}} \exists \underbrace{a_1, \dots, a_k}_{\substack{\downarrow \text{oracle} \\ \text{queries}}} \exists \underbrace{u_1, \dots, u_k}_{\substack{\downarrow \text{oracle} \\ \text{answers}}} \exists \underbrace{v_1, \dots, v_k}_{\substack{\downarrow \text{if } a_i=1 \\ \text{satisfying} \\ \text{assignment}}} \underbrace{M(\dots)}_{\substack{\downarrow \text{if } a_i=0, \text{ for all} \\ \text{assignment } \phi_k \text{ is FALSE}}} = 1$$

$$\left\{ \forall i (a_i=1 \wedge \phi_i(u_i)) \vee (a_i=0 \wedge \neg \phi_i(v_i)) \right\} M(\dots) = 1$$

- All non-deterministic choices made by N_L is captured by \exists & \forall for Yes/No respectively.

- Value of k can be guessed by running time of N_L .

$$\Rightarrow L \in \Sigma_2^P$$

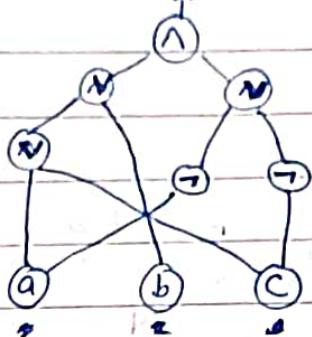
$$\Rightarrow \text{NP}^{\text{SAT}} \subseteq \Sigma_2^P$$

$$\text{Hence, } \Sigma_2^P = \text{NP}^{\text{SAT}}$$

Hence Proved

* Boolean Circuits

$$\rightarrow (a \vee b \vee c) \wedge (\bar{a} \vee \bar{c})$$



- Take fixed no. of inputs (3 here)
- Represented as $C_3(a, b, c)$
- Similar to Algorithm, but input length is fixed.

$$C_n(x) \equiv A(x), |x| = n$$

- Ordering of variables is important in notation.

→ Can decision problems be solved using boolean circuits?

- One circuit won't work, but family of circuits for all possible input lengths.

$$L = \{00, 0000, \dots\} = \{C_n\}_n$$

$$x \in L \Leftrightarrow C_{|x|}(x) = 1 \quad \text{↑ infinite family}$$

* SIZE($T(n)$) : $A, L \in \text{SIZE}(T(n))$ iff $\{C_n\}_n$ solves L .

and $|C_n| \leq T(n)$,

where $|C_n|$ is no. of gates in circuit.

* P/poly : $\bigcup_{c \geq 0} \text{SIZE}(n^c)$; poly. size circuits

* L is a unary language $\Rightarrow L \in P/\text{poly}$

$1^n \in L$, we can construct C_n as all inputs joined by 'and' gates.

$$1^n = a_1 a_2 \dots a_n \equiv a_1 \wedge a_2 \wedge \dots \wedge a_n$$

$$C_n = a_1 \wedge a_2 \wedge \dots \wedge a_n$$

$$x \in L, x = 1 \dots 1 \Rightarrow C_n(x) = 1$$

$$x \notin L, \text{ some } a_i = 0 \Rightarrow C_n(x) = 0$$

$$x \in L \Leftrightarrow C_{|x|}(x) = 1$$

$$\Rightarrow L \in P/\text{poly}$$

Hence Proved

* **HAKKES** • P/poly can solve undecidable languages

• Construct $U_H = \{1^n \mid x \in \text{HALT}, |x|_1 = n\}$

U_H is unary and undecidable

$$\Rightarrow U_H \in P/\text{poly}$$

• We can play such tricks with all languages.

* $P \subsetneq P/\text{poly}$

$L \in P, \exists M, g$ s.t. $x \in L \Leftrightarrow M(x) = 1$ in time $g(x)$

$$M(x) \mapsto \underbrace{\varphi_{M,x}}_{\text{some formula}}$$

But we need to make formula for all x , $|x| = n$.

$$\varphi_{M,n} = \varphi_M(x), \text{ it works for all } x, |x| = n$$

$M(n) \mapsto \varphi_M(x)$ considering x as variable.

Size of φ_M is poly., it can be converted into circuit.

$$\Rightarrow x \in L \Leftrightarrow C_{|x|}(x) = 1$$

$\exists \{C_n\}_n$ to solve L

$\Rightarrow P \subseteq P/\text{poly}$ ~~but~~ $P \neq P/\text{poly}$, since P/poly can solve an

Hence Proved undecidable language.

* P-uniform circuit families

→ If an algorithm A on input 1^n can generate circuit C_n , then
(in poly-time) $\{C_n\}_n$ is called P-uniform circuit family.

$$A_p(1^n) \Rightarrow C_n \Leftrightarrow \{C_n\}_n \text{ is P-uniform}$$

e.g.: For any $L \in P$, we have SAT-redⁿ algo. to generate circuit.

For ~~HALT~~ U_{HALT} , we can't have such algorithm.

* $L \in P \Leftrightarrow L$ has a P-uniform circuit family.

• $L \in P \Rightarrow$ It has SAT-redⁿ algo. to generate φ_M in poly. time

$$\Rightarrow \{C_n\}_n \text{ is P-uniform}$$

• L has P-uniform circuit family, we can have an algo. to solve L .

$$1) |x| = m \quad \Rightarrow \exists A(1^m) \rightarrow C_m$$

$$2) A(1^m) \rightarrow C_m$$

$$3) C_m(x) = 0/1 \text{ output} \Rightarrow L \in P$$

Hence Proved

→ Algorithms are generalization of circuit family.
 The size of an algorithm is fixed and behave similar irrespective of input size, whereas in circuit family we have infinite number of circuits which can completely differ for different input size.

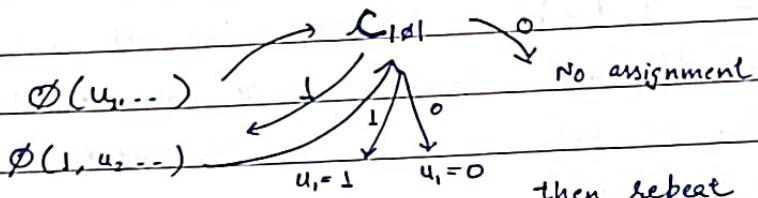
* $NP \subseteq P/\text{poly}$ (Assumption)

$$L \in NP \Rightarrow \exists V, \text{ s.t. } \forall x \in L \Leftrightarrow \exists y \quad v(x, y) = 1 \quad \text{and} \quad |y| = g(x)$$

$$\text{SAT} \in NP \Rightarrow \emptyset \in \text{SAT} \Leftrightarrow C_{1\oplus 1}(\emptyset) = 1$$

$$\Rightarrow \text{SAT} \in P/\text{poly} \quad \emptyset(u_1, \dots, u_n) \quad \hookrightarrow \text{it tell } \exists \text{ an assignment}$$

but doesn't tell what?



then repeat for all variables

We have $A(\emptyset) \rightarrow \exists \phi$, $\text{as satisfying assignment of } \emptyset$

$$C_{1\oplus 1}(\emptyset) \rightarrow \exists \bullet$$

$$\Rightarrow \exists \{L_n\}_n, \text{ given } \emptyset, C_{1\oplus 1}(\emptyset) \rightarrow \exists \phi$$

\Rightarrow SAT can be solved in poly-time.

* $NP \subseteq P/\text{poly} \Rightarrow PH = \Sigma_2^P$

To show: $\Pi_2 \text{SAT} \in \Sigma_2^P$

$$\emptyset \in \Pi_2 \text{SAT} \Leftrightarrow \forall u \exists v \quad \emptyset(u, v) = 1$$

$$\Rightarrow (\emptyset, u) \in L' \Leftrightarrow \exists v \quad \emptyset(u, v) = 1$$

→ To find v, we can have algo (as above)

$$\Rightarrow L' \in NP \Rightarrow L' \in P/\text{poly} \quad \text{works for all } u, \text{ of same length}$$

$$\Rightarrow (\emptyset, u) \in L' \Leftrightarrow \emptyset(u, C_{1\oplus 1}(\emptyset(u, v))) \Leftrightarrow C_{1\oplus 1}(\emptyset)$$

$$\Rightarrow \emptyset \in \Pi_2 \text{SAT} \Leftrightarrow \exists C_{1\oplus 1} \quad \forall u \quad \emptyset(u, C_{1\oplus 1}(\emptyset)) = 1$$

$$\Rightarrow \emptyset \in \Sigma_2^P$$

$$\Rightarrow \Pi_2 \text{SAT} \in \Sigma_2^P \Rightarrow PH = \Sigma_2^P$$