

Metric Learning Approaches for Face Identification

Computer Vision (CSE578) Course Project

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Face Identification as Metric Learning

- Metric learning : Constructing task-specific distance metrics from supervised data. The learned distance metric can then be used to perform task of clustering and classification.
- Mahalanobis distance : This can be thought as euclidean distance after a linear transformation of the feature space defined by L,

$$D_{\mathbf{L}}(\vec{x}_i, \vec{x}_j) = \|\mathbf{L}(\vec{x}_i - \vec{x}_j)\|_2^2.$$

- Mahalanobis metrics : Any matrix M formed from a real-valued matrix L such that,

$$\mathbf{M} = \mathbf{L}^T \mathbf{L}.$$

Such a matrix formed is positive semidefinite.

- The squared distances then is denoted by,

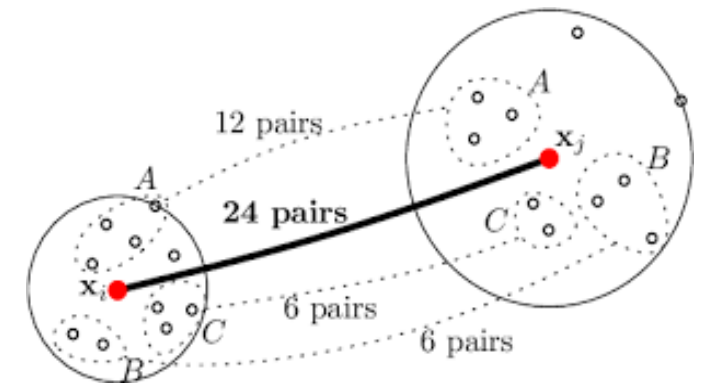
$$\mathcal{D}_{\mathbf{M}}(\vec{x}_i, \vec{x}_j) = (\vec{x}_i - \vec{x}_j)^T \mathbf{M} (\vec{x}_i - \vec{x}_j).$$

Marginalized K-Nearest Neighbors (M-kNN) :

- To predict whether a pair of images (x_i, x_j) belongs to the same class or not marginal probability is computed that assigns x_i and x_j to the same class using a kNN classifier, which is given by

$$\begin{aligned} p(y_i = y_j | x_i, x_j) &= \sum_c p(y_i = c | x_i) p(y_j = c | x_j) \\ &= k^{-2} \sum_c n_c^i n_c^j. \end{aligned}$$

- The probability for the positive class given by this classifier for a pair is determined by the number of positive and negative neighbour pairs.
- Hence the score of MkNN binary classifier for a pair of images (x_i, x_j) is based on how many positive neighbour pairs can be formed from neighbours of x_i and x_j .

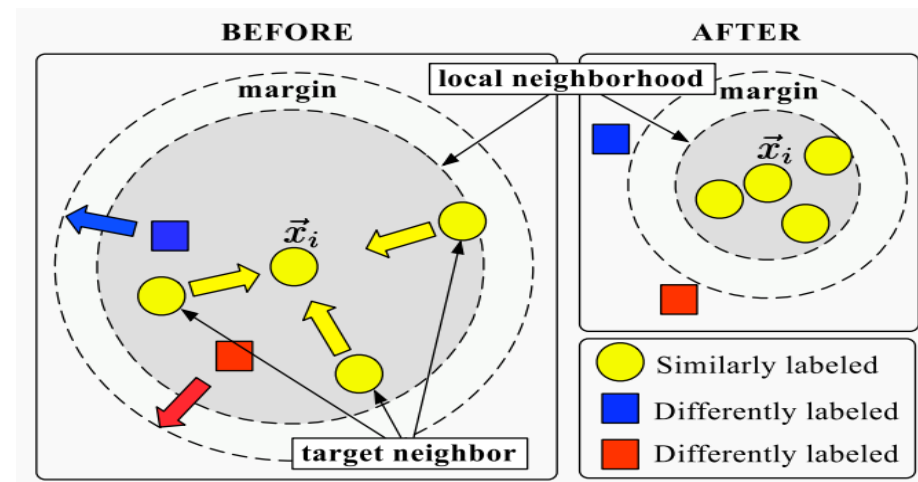


The author uses following methods to compare his methods :

1. Large Margin Nearest Neighbors (LMNN) :

- A distance metric learning algorithm for nearest neighbors' classification.
- It learns a metric that pulls the neighbor candidates (target_neighbors) near, while pushes near data from different classes (impostors) out of the target neighbors' margin.
- Target neighbors: Each input x_i has k nearest neighbors that share its same label y_i . These establish a perimeter based on Mahalanobis distance.
- Imposters : These are differently labeled inputs in the training set that invade perimeter set by the target neighbors.

$$\|\mathbf{L}(\vec{x}_i - \vec{x}_l)\|^2 \leq \|\mathbf{L}(\vec{x}_i - \vec{x}_j)\|^2 + 1.$$



Formulation :

Minimize $(1 - \mu) \sum_{i,j \rightsquigarrow i} (\vec{x}_i - \vec{x}_j)^\top \mathbf{M} (\vec{x}_i - \vec{x}_j) + \mu \sum_{i,j \rightsquigarrow i,l} (1 - y_{il}) \xi_{ijl}$ **subject to:**

(1) $(\vec{x}_i - \vec{x}_l)^\top \mathbf{M} (\vec{x}_i - \vec{x}_l) - (\vec{x}_i - \vec{x}_j)^\top \mathbf{M} (\vec{x}_i - \vec{x}_j) \geq 1 - \xi_{ijl}$

(2) $\xi_{ijl} \geq 0$

(3) $\mathbf{M} \succeq 0$.

Implementation:



2. Information Theoretic Metric Learning :

- Given an initial metric, it learns the nearest metric that satisfies some similarity and dissimilarity constraints.
- The closeness between the metrics is measured using the Kullback-Leibler divergence between the corresponding gaussians.
- Given pairs of similar points S and pairs of dissimilar points D , it formulates distance metric learning problem as,

$$\begin{aligned} \min_A \quad & \text{KL}(p(\mathbf{x}; A_0) \| p(\mathbf{x}; A)) \\ \text{subject to} \quad & d_A(\mathbf{x}_i, \mathbf{x}_j) \leq u \quad (i, j) \in S, \\ & d_A(\mathbf{x}_i, \mathbf{x}_j) \geq \ell \quad (i, j) \in D. \end{aligned}$$

$$\text{KL}(p(\mathbf{x}; A_0) \| p(\mathbf{x}; A)) = \int p(\mathbf{x}; A_0) \log \frac{p(\mathbf{x}; A_0)}{p(\mathbf{x}; A)} d\mathbf{x}.$$

Formulation :

- LogDet divergence : The LogDet divergence is a Bregman matrix divergence generated by the convex function $F(X) = \log \det X$ defined over the cone of positive-definite matrices.

$$D_{\ell d}(A, A_0) = \text{tr}(AA_0^{-1}) - \log \det(AA_0^{-1}) - n.$$

- The differential relative entropy between two multivariate Gaussians can be expressed as the convex combination of a Mahalanobis distance between mean vectors and the LogDet divergence between the covariance matrices

$$\text{KL}(p(\mathbf{x}; A_0) \| p(\mathbf{x}; A)) = \frac{1}{2} D_{\ell d}(A, A_0).$$

- Hence the optimization problem is re-posed as

$$\begin{aligned} \min_{A \succeq 0, \xi} \quad & D_{\ell d}(A, A_0) + \gamma \cdot D_{\ell d}(\text{diag}(\xi), \text{diag}(\xi_0)) \\ \text{s. t.} \quad & \text{tr}(A(\mathbf{x}_i - \mathbf{x}_j)(\mathbf{x}_i - \mathbf{x}_j)^T) \leq \xi_{c(i,j)} \quad (i, j) \in S, \\ & \text{tr}(A(\mathbf{x}_i - \mathbf{x}_j)(\mathbf{x}_i - \mathbf{x}_j)^T) \geq \xi_{c(i,j)} \quad (i, j) \in D, \end{aligned}$$

Logistic Discriminant based Metric Learning (LDML) :

- A distance metric learning algorithm that maximizes the likelihood of a logistic based probability distribution.
- The distance between images in positive pairs to be smaller than the distances corresponding to negative pairs and obtain a probabilistic estimation of whether the two images depict the same object.
- The method models the probability that pair $n = (i, j)$ is positive,

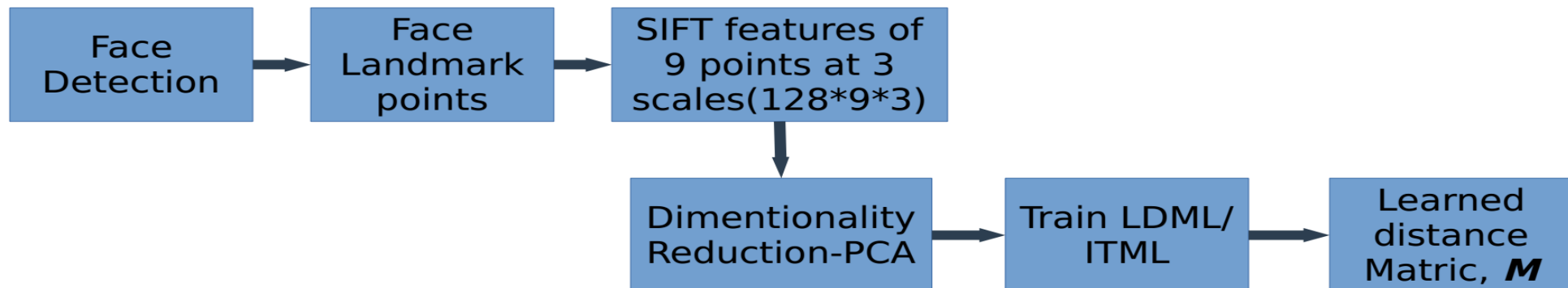
$$p_n = p(y_i = y_j | \mathbf{x}_i, \mathbf{x}_j; \mathbf{M}, b) = \sigma(b - d_{\mathbf{M}}(\mathbf{x}_i, \mathbf{x}_j))$$

- where $\sigma(z) = (1 + \exp(-z))^{-1}$

- To optimize the parameters, it uses maximum log-likelihood of L which can be written as :

$$\mathcal{L} = \sum_n t_n \ln p_n + (1 - t_n) \ln(1 - p_n)$$
$$\nabla \mathcal{L} = \sum_n (t_n - p_n) X_n,$$

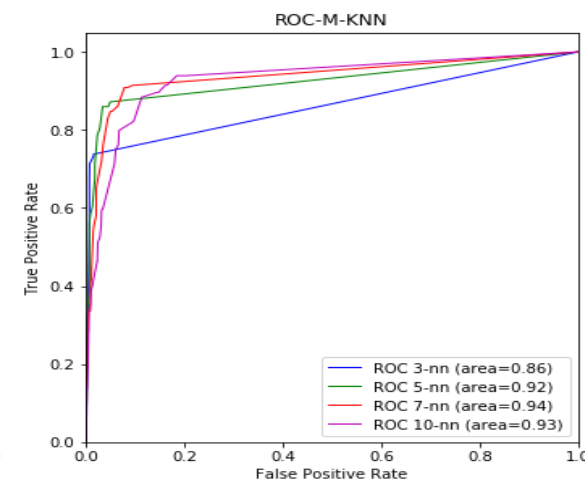
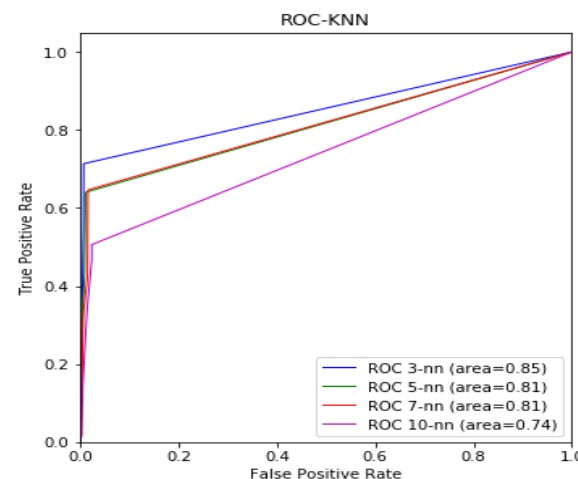
- This log-likelihood is smooth and concave. Thus, can be solved by gradient ascent.



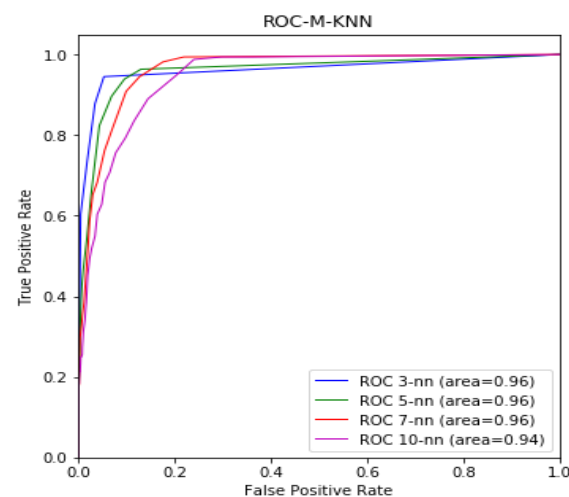
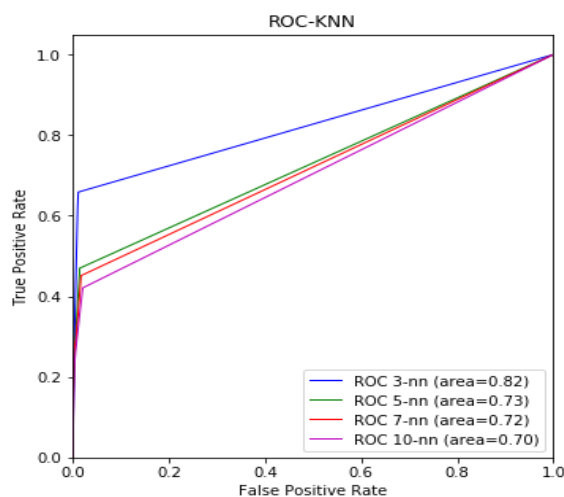
Results and Observations:

- Olivetti faces dataset :

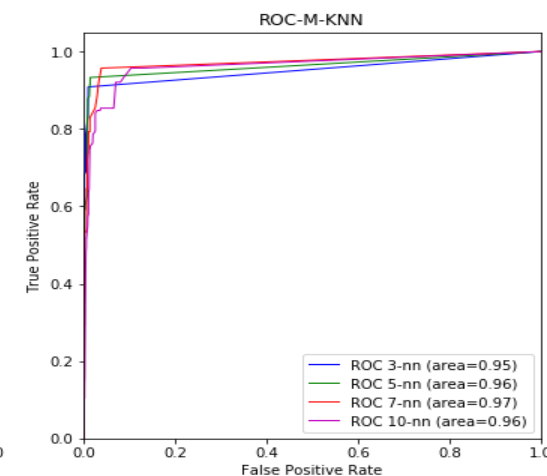
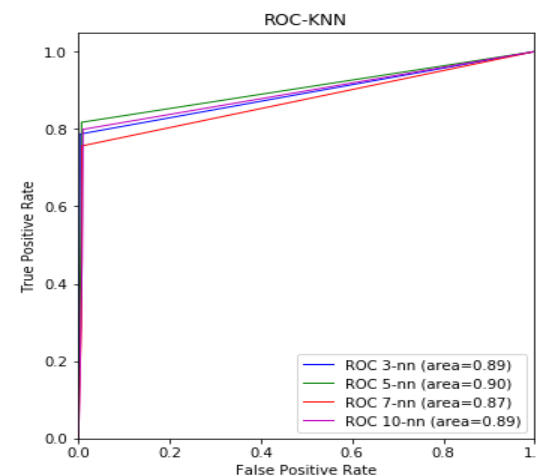
Method	NN-method	ROC classification results			
		3-NN	5-NN	7-NN	10-NN
LMNN	K-NN	85.28	81.45	81.47	74.14
	M-KNN	86.38	92.47	94.69	92.94
ITML	K-NN	89.11	90.41	87.23	89.28
	M-KNN	95.20	96.31	97.14	96.20
LDML	K-NN	82.39	72.85	71.83	70.15
	M-KNN	96.20	95.85	96.21	94.43



LMNN



LDML



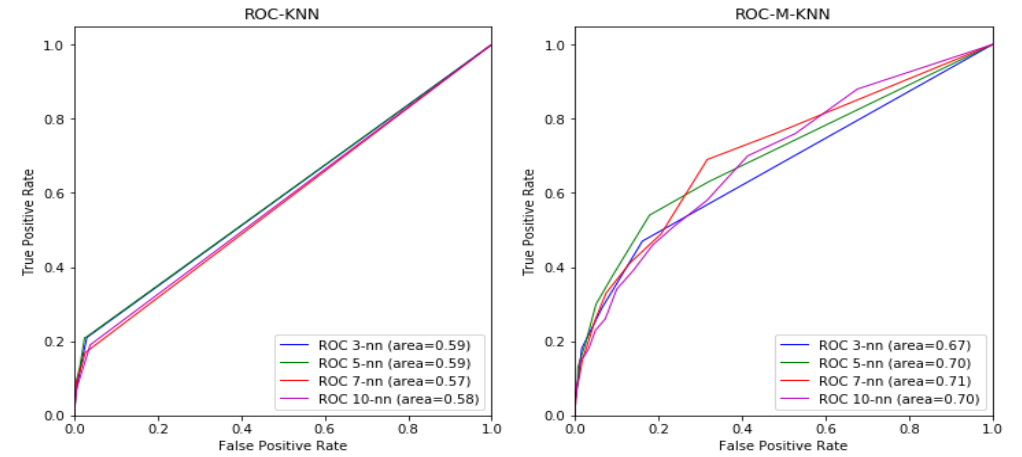
ITML

Observations :

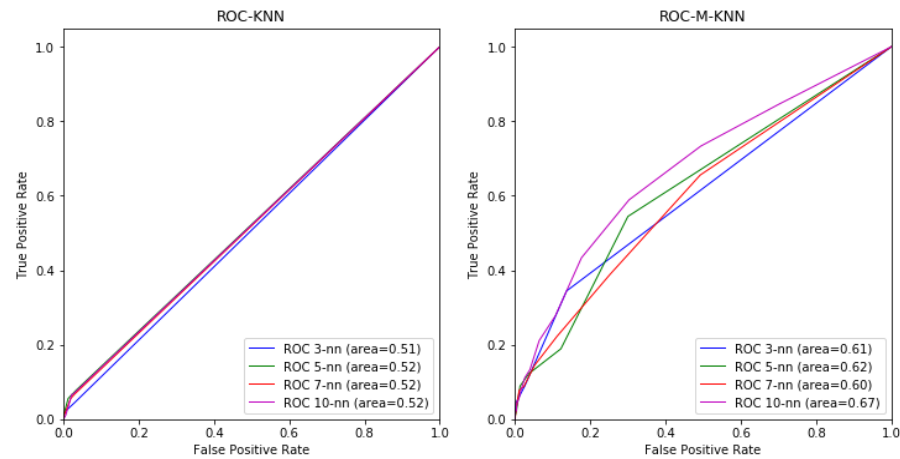
- The results show that the proposed method of LDML out-performs the LMNN and ITML methods used for comparison.
- The classification using proposed M-KNN improves results of all the methods as compared to when used with KNN.
- The improvement in result can be described by the fact that the dataset is less challenging in terms of variations in various imaging aspects.
- But the proposed method gives comparatively sub-optimal results on more challenging LFW dataset.

- Labelled Faces in Wild dataset :

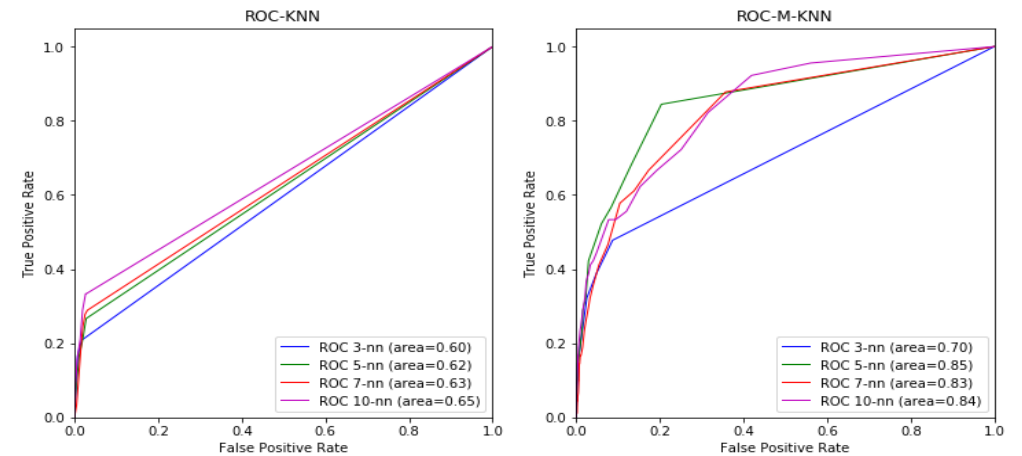
Method	NN-method	ROC classification results			
		3-NN	5-NN	7-NN	10-NN
LMNN	K-NN	59.01	59.23	57.23	57.70
	M-KNN	66.63	70.07	71.10	69.55
ITML	K-NN	59.62	62.03	62.98	65.41
	M-KNN	70.48	85.38	82.65	84.10
LDML	K-NN	50.76	52.25	51.90	52.09
	M-KNN	60.05	62.21	60.43	67.29



LMNN



LDML



ITML

Observations :

- The performance of LDML method on this dataset are not good w.r.t other two methods.
- The dataset, in general, is challenging, having a big variety in pose, expression, lighting as compared to Olivetti faces dataset.
- The M-KNN method shows consistent improvement in all methods' results when compared with K-NN as classification technique.
- This can be regarded to the fact that the score of Marginalized kNN (M-KNN) binary classifier for a pair of images (x_i, x_j) is based on how many positive neighbor pairs can be formed from neighbors of x_i and x_j . It is not "local" in the sense of usual K-NN classifiers as M-KNN measures the correspondence between two distinct local neighborhoods.

THANK YOU