

# Efficient Bases for the Galerkin Solution of Multiple-Scattering Problems

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# Overview

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# Main problem

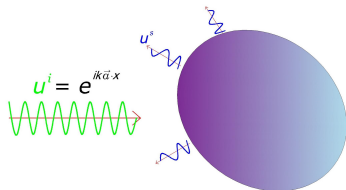
$\alpha$  : Direction of incidence

$k$  : Wave number

Finding the scattered field,  $u$ , for a given incident field

$$u^{inc}(x) = e^{ik\alpha \cdot x}, \quad x \in \mathbb{R}^2,$$

for a smooth compact obstacle  $K$ .



Our aim is to solve this when  $K$  consisting of 2 convex sub obstacles  $K_1$  and  $K_2$ .

# Problem conditions

The scattered field has to satisfy

The Helmholtz equation:  $\Delta u(x) + k^2 u(x) = 0, \quad x \in \mathbb{R}^2 \setminus K,$

The Sommerfeld condition:  $\lim_{|x| \rightarrow \infty} |x|^{1/2} \left[ \left( \frac{x}{|x|}, \nabla u(x) \right) - iku(x) \right] = 0,$

and the Dirichlet condition:  $u(x) = -u^{inc}(x) = -e^{ik\alpha \cdot x}, \quad x \in \partial K.$

# Single Layer Representation and Density Function

$\eta$ : Density function (unknown)

$$u(x) = - \int_{\partial K} \Phi(x, y) \eta(y) ds(y), \quad x \in \mathbb{R}^2 \setminus K$$

$$\Phi(x, y) = \frac{i}{4} H_0^{(1)}(k|x - y|), \quad x \neq y$$

$H_0^{(1)}$ : The Hankel function of the first kind and order zero

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$$\eta(x) = \frac{\partial (u(x) + u^{inc}(x))}{\partial \nu(x)}, \quad x \in \partial K.$$

$\nu$ : The exterior unit normal vector to  $\partial K$

So, instead of  $u$ , we can find  $\eta$ .

# Combined field integral equation

$$\begin{aligned} \eta(x) - \int_{\partial K} \left\{ \frac{\partial G(x, y)}{\partial \nu(x)} - ikG(x, y) \right\} \eta(y) ds(y) \\ = 2 \left\{ \frac{\partial u^{inc}(x)}{\partial \nu(x)} - ik u^{inc}(x) \right\}, \quad x \in \partial K \end{aligned}$$

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Write shortly as

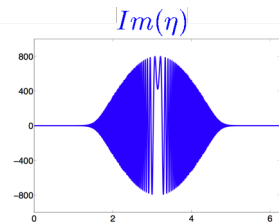
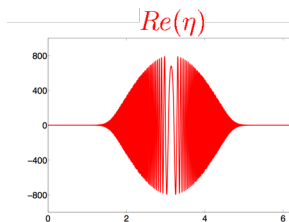
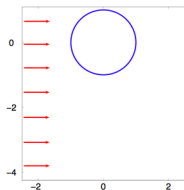
$$\mathcal{R}\eta = (I - R)\eta = f$$

This equation is uniquely solvable.



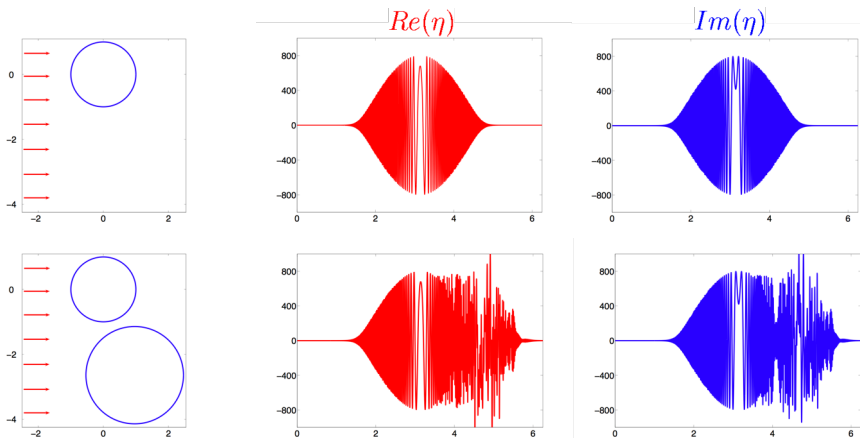
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$$k = 400$$



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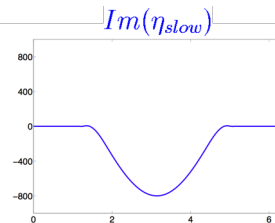
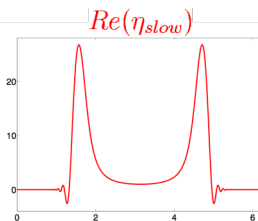
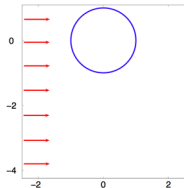
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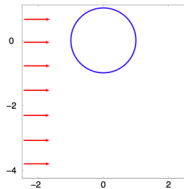
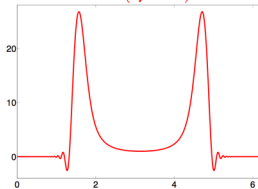
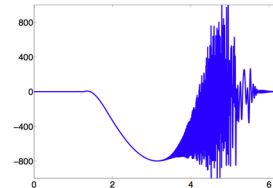
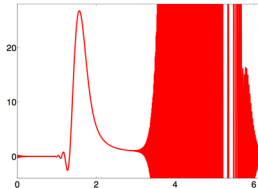
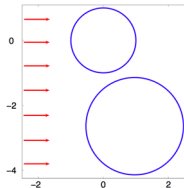
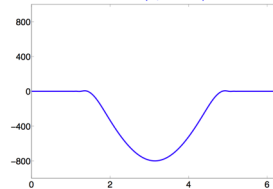
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# Single scattering vs Multiple scattering $\eta^{slow}$ values

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 $Re(\eta_{slow})$ 

 $Im(\eta_{slow})$ 


# Algorithms For Single Scattering Problem

## Non-rigorous

- $\mathcal{O}(k^{1/3})$  - Abboud, Nédélec and Zhou (1994) [Galerkin method and stationary phase.]
- $\mathcal{O}(1)$  - Bruno et al. (2004)[Nystörm and stationary phase].
- $\mathcal{O}(1)$  - Giladi and Keller (2004) [Collocation and geometric theory of diffraction].
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## Rigorous and convergent

- $\mathcal{O}(k^\epsilon)$  - Ecevit and Özen
- $\mathcal{O}(k^\epsilon)$  - Ecevit and Eruslu [Better approximation in shadow region]



# Algorithm For Multiple Scattering Problem

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# The Neumann series solution

Matrix representation of  $(I - R)\eta = f$ :

$$\begin{bmatrix} I_{11} - R_{11} & -R_{12} \\ -R_{21} & I_{22} - R_{21} \end{bmatrix} \begin{bmatrix} \eta_1 \\ \eta_2 \end{bmatrix} = \begin{bmatrix} f_1 \\ f_2 \end{bmatrix}$$

where  $\eta_j$  and  $f_j$  are defined on the  $K_j$

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Multiply this by

$$\begin{bmatrix} I_{11} - R_{11} & 0 \\ 0 & I_{22} - R_{21} \end{bmatrix}^{-1}$$

We get

$$\begin{bmatrix} I_{11} & (I - R_{11})^{-1}R_{12} \\ (I - R_{22})^{-1}R_{21} & I_{22} \end{bmatrix} \begin{bmatrix} \eta_1 \\ \eta_2 \end{bmatrix} = \begin{bmatrix} (I - R_{11})^{-1}f_1 \\ (I - R_{22})^{-1}f_2 \end{bmatrix}$$

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Matrix representation:

$$(I - T)\eta = g$$

$$T = \begin{bmatrix} 0 & (I - R_{11})^{-1}R_{12} \\ (I - R_{22})^{-1}R_{21} & 0 \end{bmatrix} \quad \text{and} \quad g = \begin{bmatrix} (I - R_{11})^{-1}f_1 \\ (I - R_{22})^{-1}f_2 \end{bmatrix}$$

The Neumann expansion of  $(I - T)\eta = g$ :

$$\eta = \sum_{m=0}^{\infty} \eta^m = \sum_{m=0}^{\infty} T^m g \quad \text{and} \quad \eta^{m+1} = T\eta^m$$

or

$$\eta^{m+1} = \begin{bmatrix} \eta_1^{m+1} \\ \eta_2^{m+1} \end{bmatrix} = \begin{bmatrix} (I - R_{11})^{-1} R_{12} \eta_2^m \\ (I - R_{22})^{-1} R_{21} \eta_1^m \end{bmatrix}$$

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We need to solve integral equation of the following form:

$$\begin{cases} (I - R_{jj})\eta_j^0 = f_j & \text{on } \partial K_j, \\ (I - R_{jj})\eta_j^{m+1} = R_{jj'}\eta_{j'}^m & \text{on } \partial K_j. \end{cases}$$

Hence, the problem is reduced to iteratively solving single scattering problems.

# Phase-extraction

Recursive equations

$$\begin{cases} (I - R_{jj})\eta_j^0 = f_j & \text{on } \partial K_j \\ (I - R_{jj})\eta_j^{m+1} = R_{jj'}\eta_{j'}^m & \text{on } \partial K_j \end{cases}$$

suggests using

$$\eta^m(x) = e^{ik\varphi_m(x)}\eta^{m,slow}(x)$$



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Geometrical optics phase

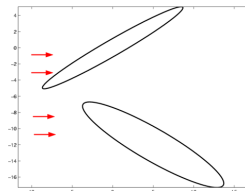
$$\varphi_m(x) = \begin{cases} \alpha \cdot x & \text{if } m = 0 \\ \alpha \cdot \mathcal{X}_0^m(x) + \sum_{j=0}^{m-1} |\mathcal{X}_{j+1}^m(x) - \mathcal{X}_j^m(x)| & \text{if } m \geq 1, \end{cases}$$

$(\mathcal{X}_0^m(x), \dots, \mathcal{X}_m^m(x)) \in \partial K_{\tau_0} \times \dots \times \partial K_{\tau_m}$ : broken rays

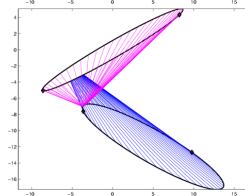
Broken rays are uniquely determined by the relations

$$\left\{ \begin{array}{l} \text{(a) } \mathcal{X}_m^m(x) = x, \\ \text{(b) } \alpha \cdot \nu(\mathcal{X}_0^m(x)) < 0, \\ \text{(c) } (\mathcal{X}_{j+1}^m(x) - \mathcal{X}_j^m(x)) \cdot \nu(\mathcal{X}_j^m(x)) > 0, \\ \text{(d) } \frac{\mathcal{X}_1^m(x) - \mathcal{X}_0^m(x)}{|\mathcal{X}_1^m(x) - \mathcal{X}_0^m(x)|} = \alpha - 2\alpha \cdot \nu(\mathcal{X}_0^m(x)) \nu(\mathcal{X}_0^m(x)), \\ \text{(e) } \frac{\mathcal{X}_{j+1}^m(x) - \mathcal{X}_j^m(x)}{|\mathcal{X}_{j+1}^m(x) - \mathcal{X}_j^m(x)|} = \frac{\mathcal{X}_j^m(x) - \mathcal{X}_{j-1}^m(x)}{|\mathcal{X}_j^m(x) - \mathcal{X}_{j-1}^m(x)|} \\ \quad - 2 \frac{\mathcal{X}_j^m(x) - \mathcal{X}_{j-1}^m(x)}{|\mathcal{X}_j^m(x) - \mathcal{X}_{j-1}^m(x)|} \cdot \nu(\mathcal{X}_j^m(x)) \nu(\mathcal{X}_j^m(x)) \end{array} \right.$$

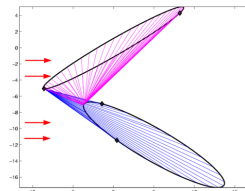
Reflection: 0



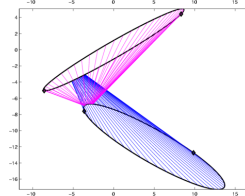
Reflection: 2



Reflection: 1



Reflection: 3



$$\eta^m(x) = \underbrace{e^{ik\varphi_m(x)}}_{\text{KNOWN}} \eta^{m,slow}(x)$$

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### Theorem (Derivative estimates (Ecevit-Reitich))

Let  $m \geq 0$ , and denote by  $y(s) = (y^1(s), y^2(s))$  the arc-length parametrization of  $\partial K_m$ . Then, for all  $n \in \mathbb{N} \cup \{0\}$ , there exist constants  $C_n > 0$  independent of  $k$  and  $s$  such that for all  $k$  sufficiently large,

$$|D_s^n \eta^{m,\text{slow}}(y(s))| \leq k \begin{cases} C_n, & n = 0, 1, \\ C_n \left[ 1 + \sum_{j=2}^n k^{(j-1)/3} (1 + k^{1/3} |w(s)|)^{-(j+2)} \right], & n \geq 2, \end{cases}$$

where  $w(s) = (s - t_1)(b - t_2)$  and  $\partial K_m^{SB} = \{y(t_1), y(t_2)\}$ .

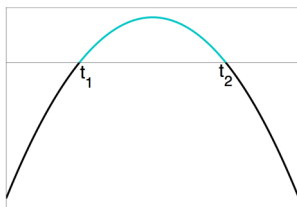


Figure:  $w(s) = (s - t_1)(b - t_2)$

When  $s = t_1$  or  $s = t_2$ :  
 As  $k \rightarrow \infty$ ,  $1 + \sum_{j=2}^n k^{(j-1)/3} (1 + k^{1/3} |w(s)|)^{-(j+2)} = \mathcal{O}(k^{(n-1)/3})$   
 We need to control this

# Galerkin Method

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Every equation has the same form. Fix  $m$ , and denote the related equation as:

$$\mathcal{R}\eta = f, \quad \eta \in L^2(\partial\mathcal{K})$$

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## Galerkin Approximation Spaces

Galerkin approximation space,  $\mathcal{G}$ : A finitely spanned subset of  $L^2(\partial\mathcal{K})$ .



## Best approximation error estimate

By Céa's lemma, there exist unique  $\hat{\eta} \in \mathcal{G}$

$$\langle \hat{\mu}, \mathcal{R}\hat{\eta} \rangle = \langle \hat{\mu}, f \rangle, \quad \forall \hat{\mu} \in \mathcal{G}$$

and for the continuity and coercivity constants,  $C$  and  $c$  we have

$$\|\eta - \hat{\eta}\| \leq \frac{C}{c} \inf_{\hat{\mu} \in \mathcal{G}} \|\eta - \hat{\mu}\|$$

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## Matrix representation

When  $\mathcal{G} = \text{span}\{\hat{\mu}_1, \dots, \hat{\mu}_n\}$  and  $\hat{\eta} = \sum_{i=1}^n c_i \hat{\mu}_i$

$$\begin{bmatrix} \langle \mathcal{R}\hat{\mu}_1, \hat{\mu}_1 \rangle & \dots & \langle \mathcal{R}\hat{\mu}_n, \hat{\mu}_1 \rangle \\ \vdots & & \vdots \\ \langle \mathcal{R}\hat{\mu}_1, \hat{\mu}_n \rangle & \dots & \langle \mathcal{R}\hat{\mu}_n, \hat{\mu}_n \rangle \end{bmatrix} \begin{bmatrix} c_1 \\ \vdots \\ c_n \end{bmatrix} = \begin{bmatrix} \langle f, \hat{\mu}_1 \rangle \\ \vdots \\ \langle f, \hat{\mu}_n \rangle \end{bmatrix}.$$

# Recent Achievements

## $\mathcal{O}(k^\epsilon)$ - Ecevit and Özen (2016)

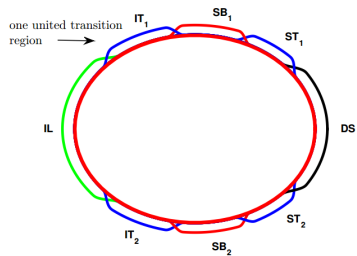
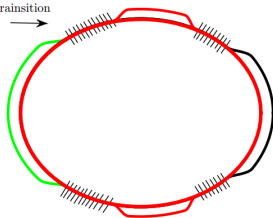
- (i) Number of degrees of freedom:  $\mathcal{O}(k^\epsilon)$ ,  $\epsilon$  can be chosen arbitrarily small.
- (ii) Mimicking the behavior of solution between illuminated region and shadow boundaries:  $4m - 4$  transition regions.  $4m$  in total.

## $\mathcal{O}(k^\epsilon)$ - Ecevit and Eruslu (2016)

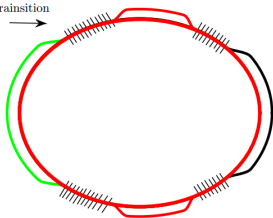
- (i) Number of degrees of freedom:  $\mathcal{O}(k^\epsilon)$ ,  $\epsilon$  can be chosen arbitrarily small.
- (ii) Mimicking the behavior of solution between illuminated region and shadow boundaries: 4 transition regions. 6 in total.

We transfer their ideas in multiple scattering case.

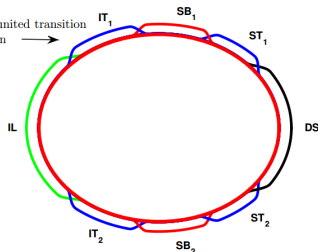
$m - 1$  transition  
regions  $\longrightarrow$



$m - 1$  transition  
regions  $\rightarrow$



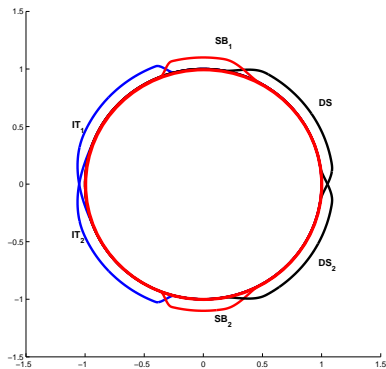
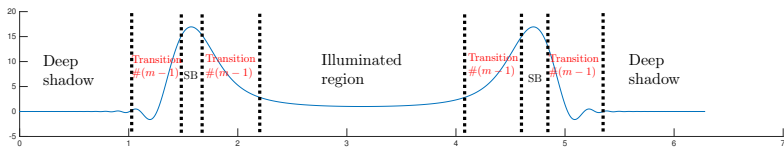
one united transition  
region  $\rightarrow$



Ecevit-Özen:  $\mathcal{G} = \bigoplus_{j=1}^{4m} \chi_j e^{ik\varphi} \mathbb{P}_d$  where  $\mathbb{P}_d$  is polynomials of degree at most  $d$  defined on each region. For all  $n \in \{0, \dots, d+1\}$  and sufficiently large  $k \geq 1$

$$\frac{\|\eta - \hat{\eta}\|_{L^2(\partial K)}}{\|\eta\|_{L^2(\partial K)}} \lesssim_n \frac{C}{c} m \frac{1 + k^{-\frac{1}{2}} \left(k^{\frac{1}{6m+3}}\right)^n}{d^n} = \mathcal{O}(k^\epsilon)$$

by a clever choice of  $d$  and  $m$ .



Ecevit-Eruslu:  $\mathcal{G} = \bigoplus_{j=1}^J \chi_j e^{ik\varphi} \mathcal{C}_d$  where

$$\mathcal{C}_d = \begin{cases} \mathbb{P}_d \circ \phi^{-1}, & \text{transition region,} \\ \mathbb{P}_d, & \text{otherwise,} \end{cases}$$

for  $\phi$  is the change of variable function. For all  $n \in \{0, \dots, d+1\}$  and sufficiently large  $k \geq 1$

$$\frac{\|\eta - \hat{\eta}\|_{L^2(\partial K)}}{\|\eta\|_{L^2(\partial K)}} \lesssim_n \frac{C}{c} \frac{(\log k)^{n+1/2}}{d^n} = \mathcal{O}(k^\epsilon)$$

by a clever choice of  $d$ .

$$\phi(s) = \begin{cases} t_1 + \varphi(s) k^{\psi(s)}, & s \in I_{IT_1}, \\ t_2 - \varphi(s) k^{\psi(s)}, & s \in I_{IT_2}, \\ t_1 - \varphi(s) k^{\psi(s)}, & s \in I_{ST_1}, \\ t_2 + \varphi(s) k^{\psi(s)}, & s \in I_{ST_2}. \end{cases}$$

$$\varphi(s) = \begin{cases} \xi_1 + (\xi'_1 - \xi_1) \frac{s - a_1}{b_1 - a_1}, & s \in I_{IT_1}, \\ \xi'_2 + (\xi_2 - \xi'_2) \frac{s - a_2}{b_2 - a_2}, & s \in I_{IT_2}, \\ \zeta'_1 + (\zeta_1 - \zeta'_1) \frac{s - a_3}{b_3 - a_3}, & s \in I_{ST_1}, \\ \zeta_2 + (\zeta'_2 - \zeta_2) \frac{s - a_4}{b_4 - a_4}, & s \in I_{ST_2}, \end{cases}$$



$$\psi(s) = -\frac{1}{3} \begin{cases} \frac{b_1 - s}{b_1 - a_1}, & s \in I_{IT_1}, \\ \frac{s - a_2}{b_2 - a_2}, & s \in I_{IT_2}, \\ \frac{s - a_3}{b_3 - a_3}, & s \in I_{ST_1}, \\ \frac{b_4 - s}{b_4 - a_4}, & s \in I_{ST_2}. \end{cases}$$

# Multiple Scattering Algorithms Developed In This Thesis

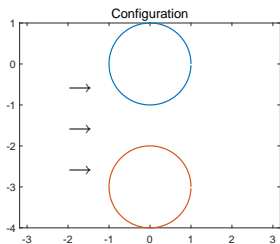
$k = 50, 100, 200, 400, 800$

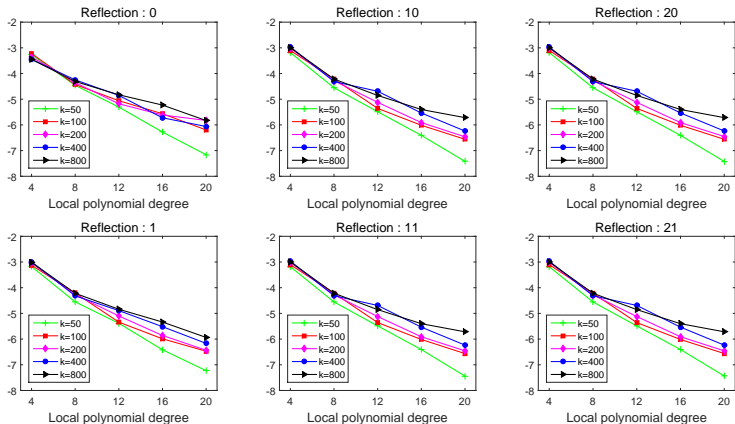
$d = 4, 8, 12, 16, 20$

$$\begin{cases} (I - R_{jj})\eta_j^0 = f_j & \text{on } \partial K_j \\ (I - R_{jj})\eta_j^{m+1} = R_{jj'}\eta_{j'}^m & \text{on } \partial K_j \end{cases}$$

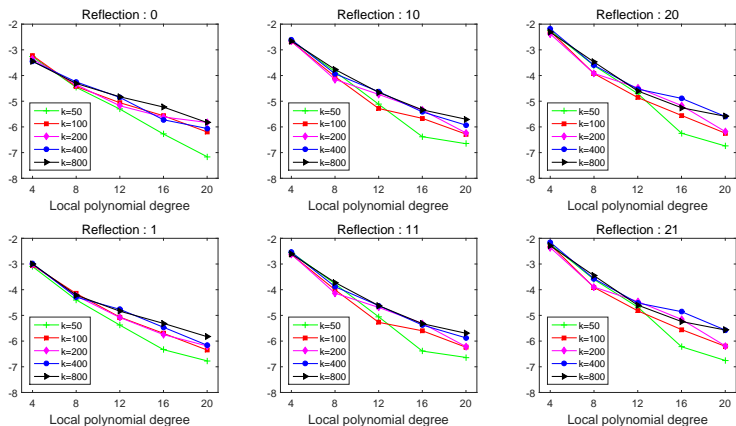
Relative Error:

$$\log_{10} \left( \frac{\|\eta^m - \hat{\eta}^m\|_{L^2(\partial K)}}{\|\eta^m\|_{L^2(\partial K)}} \right)$$

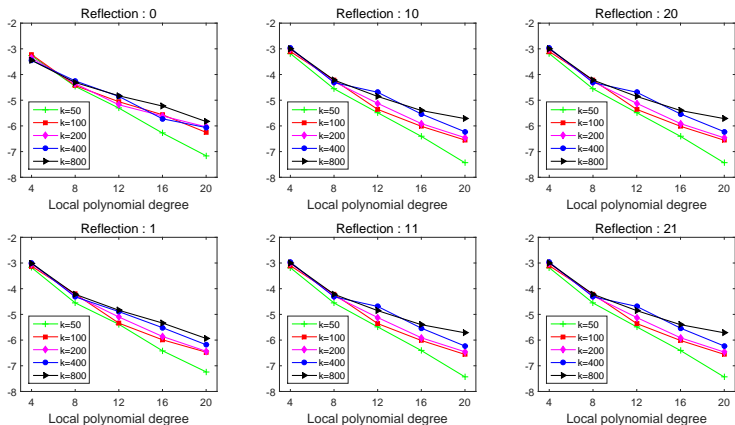




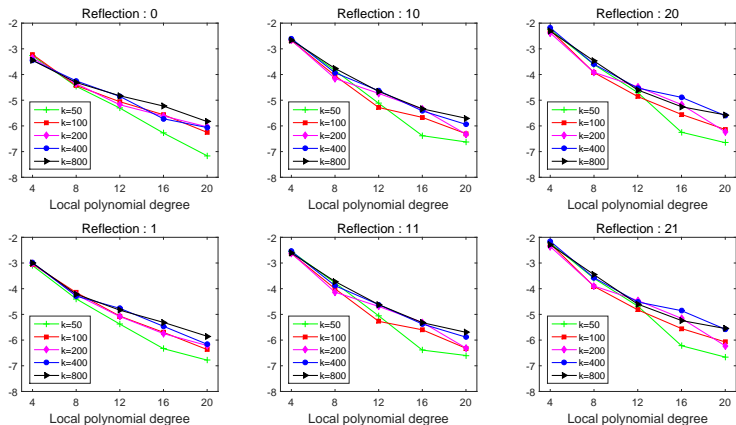
**Figure:** Relative errors using Nyström solutions as a right hand side for two circles: First path.



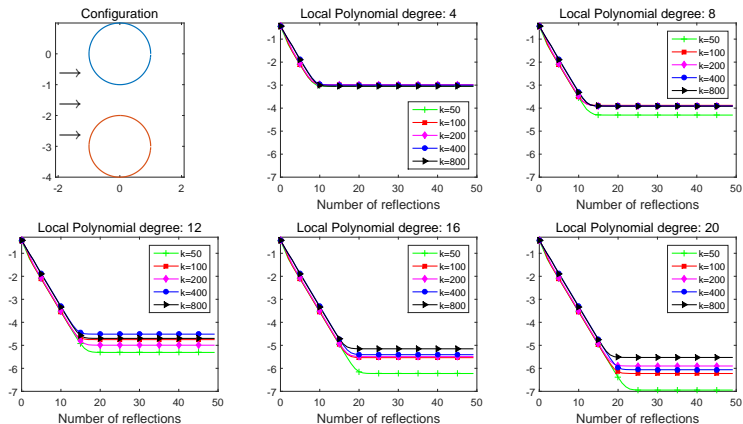
**Figure:** Relative errors using Galerkin solutions as a right hand side for two circles: First path.



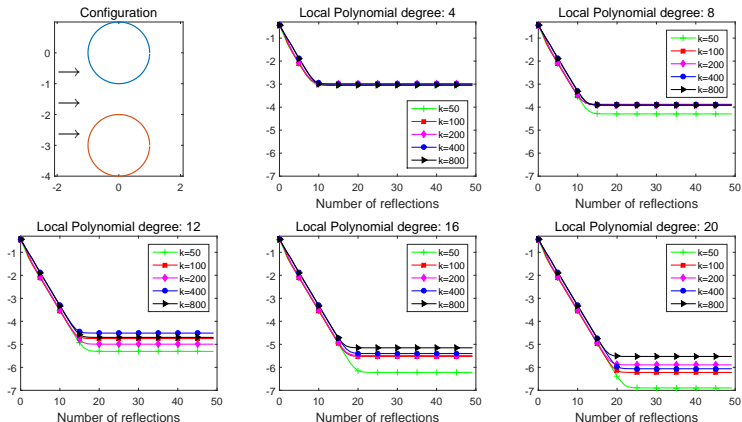
**Figure:** Relative errors using Nyström solutions as a right hand side for two circles: Second path.



**Figure:** Relative errors using Galerkin solutions as a right hand side for two circles: Second path.

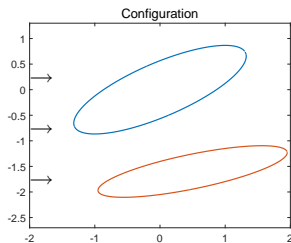


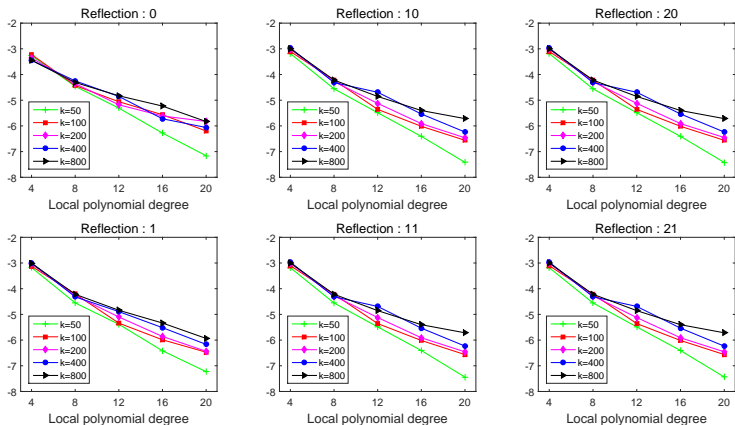
**Figure:** Sum of Galerkin solutions obtained by using Nyström solutions as a right hand side for two circles.



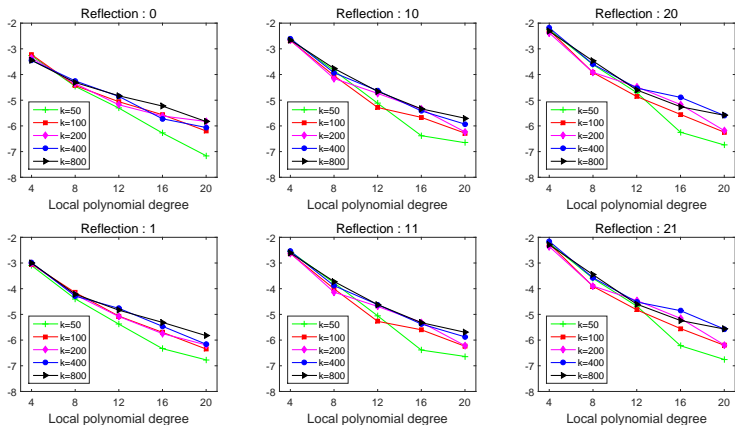
**Figure:** Sum of Galerkin solutions obtained by using Galerkin solutions as a right hand side for two circles.



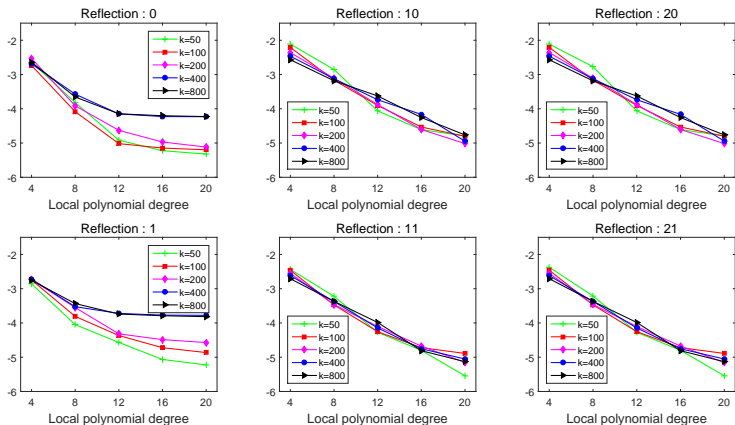
$k = 50, 100, 200, 400, 800$  $d = 4, 8, 12, 16, 20$ 



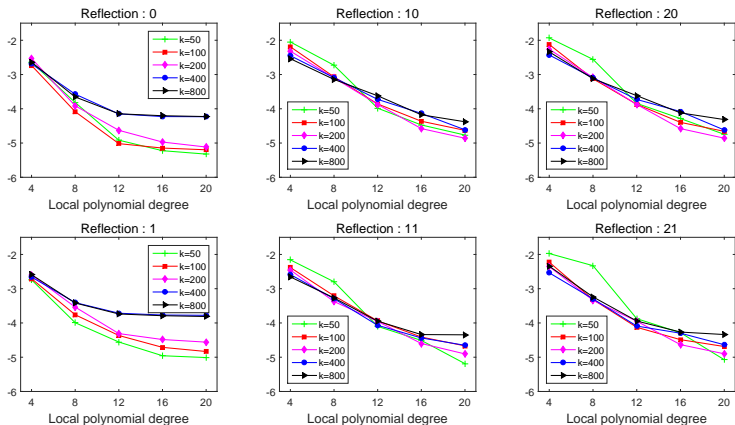
**Figure:** Relative errors using Nyström solutions as a right hand side for two ellipses: First path.



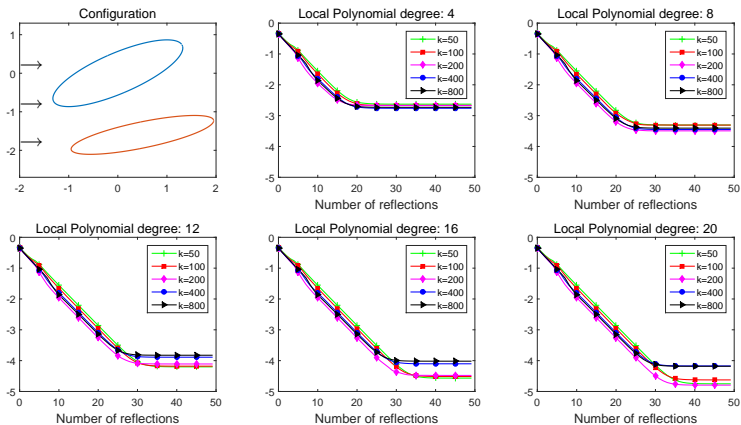
**Figure:** Relative errors using Galerkin solutions as a right hand side for two ellipses: First path.



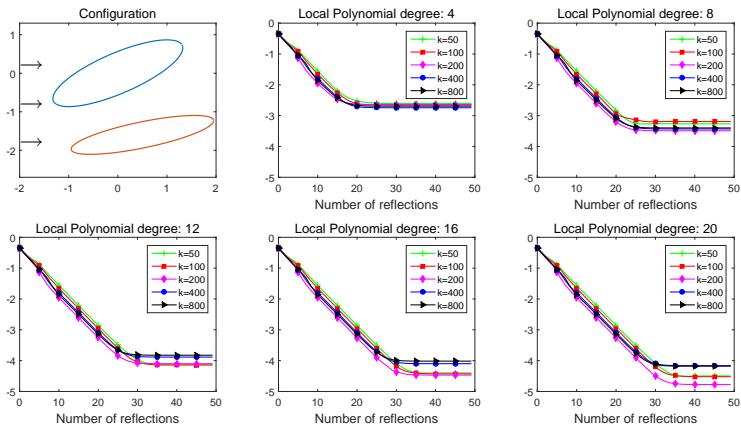
**Figure:** Relative errors using Nyström solutions as a right hand side for two ellipses: Second path.



**Figure:** Relative errors using Galerkin solutions as a right hand side for two ellipses: Second path.



**Figure:** Sum of Galerkin solutions obtained by using Nyström solutions as a right hand side for two ellipses.



**Figure:** Sum of Galerkin solutions obtained by using Galerkin solutions as a right hand side for two ellipses.



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