

Jingyuan Sha

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## Abstract

# Chapter 1

## Introduction

## Chapter 2

# Related Work

In order to estimate normals of an object surface.

In 2012, Holzer et al. [6] presented a real-time method, which is able to run algorithm in a high frame speed. They smooth the depth data in order to handle the noise of depth image. The speed is accelerated via integral image. The drawbacks are, as mentioned in the paper, the normals error go up when point depths change severely.

In 2018, Yu et al. [11] presented a CNN based method with guided

In 2019, Ben-Shabat et al. [1] presented a CNN based method.

In 2021, Zhou et al. [12]

### 2.0.1 Sparse Input processing

The depth-map captured by active sensors are usually full with missing pixels and holes. Thus a preprocessing for the depth map is necessary before it is fed into a neural network.

Generally, it can be solved as image inpainting problems.[10],[9]. Recently, some deep learning based method for image inpainting achieved quite good performance for the hole mending task. Notably, in 2016, Oord et al. [8] proposed a gated activation unit for a CNN model,

$$\mathbf{y} = \tanh(W_{k,f} * \mathbf{x}) \odot \sigma(W_{k,g} * \mathbf{x})$$

to substitute the standard activation layer, where  $\sigma$  is the sigmoid function, which constricts the output value of second part between  $[0, 1]$ . The function is inspired by Long Short-Term Memory (LSTM) [5] and Gated Recurrent Unit (GRU).[2] It is originally used for learning complex interactions as LSTM gates does. In 2018, Yu et al. [11] employed same function for free-form image inpainting, which can be used to learn mask automatically from image it self.

Different to aforementioned approaches, Knutsson et al. in 2005 introduced normalized convolution [7] dealing with missing sample case for convolution operation. In 2018, Eldesokey et al. [4] applied normalized convolution in CNN as normalized convolution layer that takes both sparse depth map and continuous confidence map as input to perform scene depth completion. In 2020, Eldesokey et al. [3] focus on modeling the uncertainty of depth data instead of assuming binary input confidence.

## Chapter 3

# Approach

## 3.1 Dataset

### 3.1.1 Noise Adding

## 3.2 Gated Convolution

Gated Convolution layer[11], the output of the layer with input size  $(N, C_{in}, H, W)$  and output  $(N, C_{out}, H_{out}, W_{out})$  can be described as:

$$o(N_i, C_{o_j}) = \sigma \left( \sum_{k=0}^{C_{in}-1} w_g(C_{o_j}, k) \star i(N_i, k) + b_g(C_{o_j}) \right) * \phi \left( \sum_{k=0}^{C_{in}-1} w_f(C_{o_j}, k) \star i(N_i, k) + b_f(C_{o_j}) \right) \quad (3.1)$$

where  $\phi$  is LeakyReLU function,  $\sigma$  is sigmoid function, thus the output values are in range  $[0, 1]$ ,  $\star$  is the valid 2D cross-correlation operator,  $N$  is batch size,  $C$  denotes a number of channels,  $H$  is a height of input planes in pixels, and  $W$  is width in pixels,  $w(C_{o_j}, k)$  denotes the weight of  $j$ -th output channel corresponding  $k$ -th input channel,  $i(N_i, k)$  denotes the input of  $i$ -th batch corresponding  $k$ -th input channel,  $b(C_{o_j})$  denotes the bias of  $j$ -th output channel.

## 3.3 Architecture

## Chapter 4

# Experiments



The model is trained with PyTorch 1.10.2, CUDA 10.2.89, GPU with single NVIDIA GEFORCE GTX 1080Ti.

## Chapter 5

# Formular

RGB image will be stored as gray value Scene using following equation:

$$gray : \frac{r + 2g + b}{4}$$

### 5.0.1 Normal from k neighbors

Given a point  $p$  locating on plane  $\Pi$ , calculate the normal  $n$  of plane  $\Pi$ .

First, find the nearest  $k$  neighbors  $p_1, p_2, \dots, p_k$  of point  $p$  using KNN-algorithms. The plane  $\Pi$  containing point  $p$  can be fitted using the neighbors of point  $p$ . Then the normal is available immediately.

Assume all the neighbors of point  $p$  are in plane  $\Pi = ax + by + cz + d = 0$ . Since we only need calculate the normal, thus with out loss of generation, we can set displacement  $d = 0$ . Then the normal  $\mathbf{n} = (a, b, c)^T$ .

Since all the neighbors of point  $p$  are located on plane  $\Pi$ , thus we have

$$P_{k \times 3} \cdot \mathbf{n}_{3 \times 1} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

In order to avoid trivial solution, one more constraint should be added

$$\|\mathbf{n}_{3 \times 1}\|_2^2 = 1$$

, which also let the normal to be a unit vector. In order to calculate a valid normal, 3 points are required at least. For the sake of robust, more points can be used to reduce the measuring error. In this case, the equation system is over-determined, which can be modeled as following optimization problem

$$\begin{aligned} \min \quad & \|P\mathbf{n}\|^2 \\ \text{s.t.} \quad & \|\mathbf{n}\|^2 = 1 \end{aligned} \tag{5.1}$$

Let the decomposition of  $P = U\Sigma V^T$ , The solution i.e. normal is the last column of  $V$ .

### 5.0.2 Normalized Convolution

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