



# Project 4: Image Segmentation Using K-means and EM

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# Project Objective

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and Results

Analysis

Real World

## Objectives

- 1 Implement K-means and Expectation Maximization(EM) algorithms
- 2 Apply them for image segmentation
- 3 Analyze the result with different initialization
- 4 Apply them on Gray and Colored image



## K-means clustering algorithm

- 0 Start with initial guesses for cluster centers (centroids)
- 1 For each data point, find closest cluster center (partitioning step)
- 2 Replace each centroid by average of data points in its partition
- 3 Iterate 1+2 until convergence



Given a set of observations  $y$  into a  $K$  clusters.

$$x_i^{(t)} = \operatorname{argmin}_i \{y_i : \|y_i - \mu_k^{(t)}\|^2 \forall_{k,i}, 1 \leq j \leq K, 1 \leq i \leq N\}$$

Afterwards, the center-points are repositioned by calculating the mean of the assigned observations to the respective center-points

$$\mu_k^{(t+1)} = \frac{1}{N_k} \sum_{x_i \in x_k^{(t)}} x_i$$



# K-means

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$y_i = (y_{i1}, \dots, y_{id})$ :

If centroids are  $m_1, m_2, \dots, m_k$ , and partitions are

$c_1, c_2, \dots, c_k$ , then one can show that K-means converges to a *local* minimum of

$$\sum_{k=1}^K \sum_{i \in c_k} ||x_i - m_k||^2$$



# EM algorithm for Gaussian mixtures

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- EM can be thought of a probabilistic version of K-means
- Instead of hard assigning a data point to a cluster it assigns a probability
- The mean and covariance for Gaussian often initialized using fast K-means clustering
- EM is a two step algorithm



# EM algorithm

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E-Step:

$$\begin{aligned}\gamma(z_k) &= p(z_k = 1|y) = \frac{p(y|z_k = 1)p(z_k = 1)}{p(y)} \\ &= \frac{\alpha_k \mathcal{N}(y|\mu_k, \Sigma_k)}{\sum_{j=1}^K \pi_j \mathcal{N}(y|\mu_j, \Sigma_j)}\end{aligned}$$

M-step:

$$\begin{aligned}\mu_k &= \frac{\sum_{n=1}^N \gamma(z_{nk}) y_n}{\sum_{n=1}^N \gamma(z_{nk})} \\ \Sigma_k &= \frac{1}{N_k} \gamma_k(z_{nk}) (y_n - \mu_k)(y_n - \mu_k)^T\end{aligned}$$



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The objective function for EM is

$$\ln p(X|\alpha, \mu, \Sigma) = \sum_{n=1}^N \ln \left\{ \sum_{k=1}^K \alpha_k \mathcal{N}(x_n | \mu_k, \Sigma_k) \right\}$$





# Test Image Mosaic A

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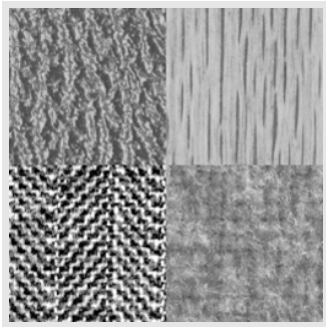


Figure: Test Image

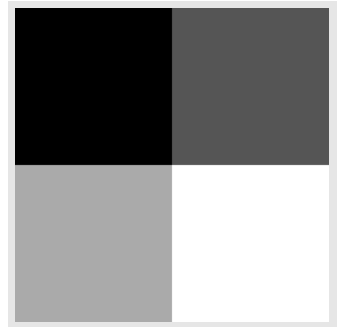


Figure: Ground Truth



# K-means Initializations for A

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kmeans:t=0 mapA using good initialization acc=79.20%

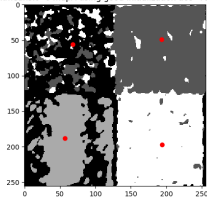


Figure: Good

kmeans:t=0 mapA using bad initialization acc=54.68%

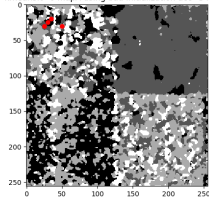


Figure: Bad

kmeans:t=0 mapA using random initialization acc=49.28%

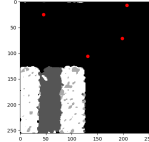


Figure: Random



# K-means Output for A

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kmeans:t=20 mapA using good initialization acc=91.29%

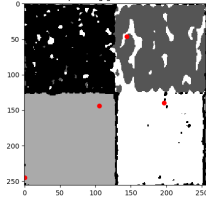


Figure: Good

kmeans:t=20 mapA using bad initialization acc=72.87%

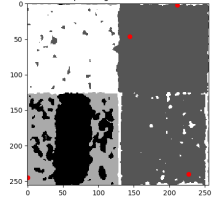


Figure: Bad

kmeans:t=20 mapA using random initialization acc=91.30%

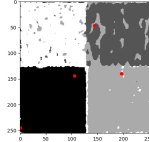


Figure: Random



# K-means Performance for A

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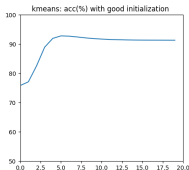
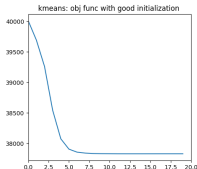


Figure: Good

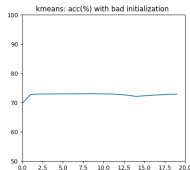
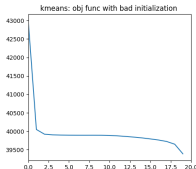


Figure: Bad

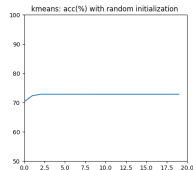
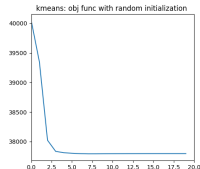


Figure: Random



# Test Image Mosaic B

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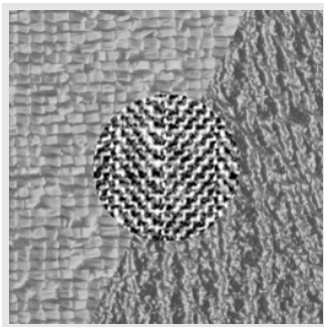


Figure: Test Image

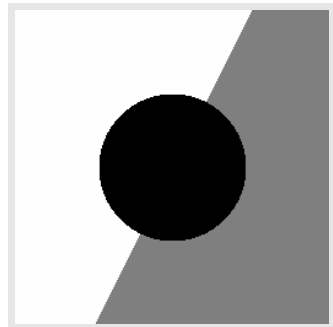


Figure: Ground Truth

kmeans:t=0 map8 using good initialization acc=72.11%

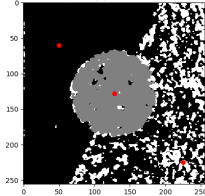


Figure: Good

kmeans:t=0 map8 using bad initialization acc=51.07%

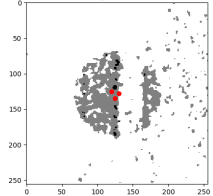


Figure: Bad

kmeans:t=0 map8 using random initialization acc=68.78%

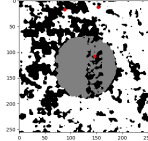


Figure: Random

kmeans:t=8 map8 using good initialization acc=95.84%

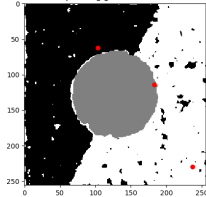


Figure: Good

kmeans:t=14 map8 using bad initialization acc=58.68%

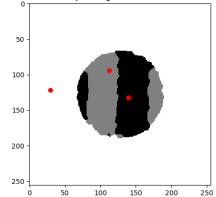


Figure: Bad

kmeans:t=7 map8 using random initialization acc=95.84%

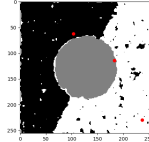


Figure: Random



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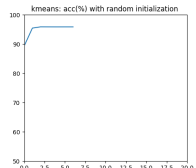
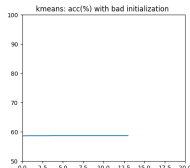
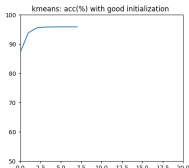
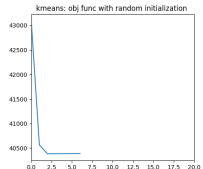
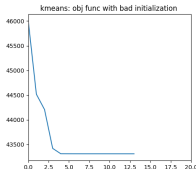
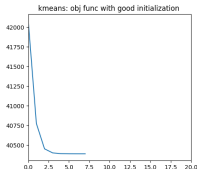


Figure: Good

Figure: Bad

Figure: Random





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**N.B. EM initialized using the optimized output of the K-means. In this context, bad initialization for EM means the K-means was started with bad initialization and EM initialized with the output of that K-means**



# EM Initializations by K-means for A

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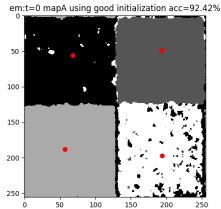


Figure: Good

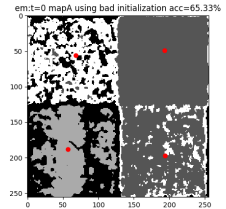


Figure: Bad

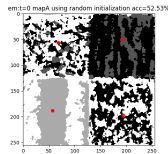


Figure: Random

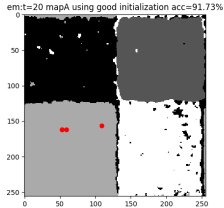


Figure: Good

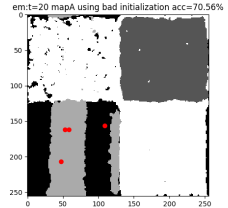


Figure: Bad

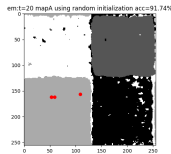


Figure: Random



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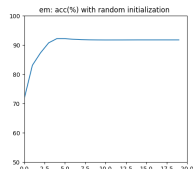
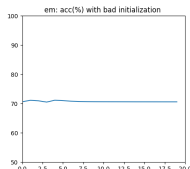
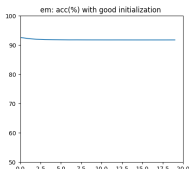
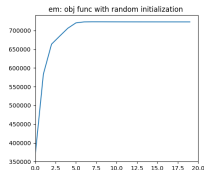
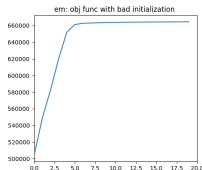
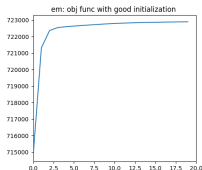


Figure: Good

Figure: Bad

Figure: Random

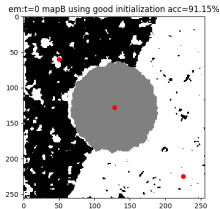


Figure: Good

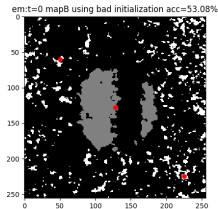


Figure: Bad

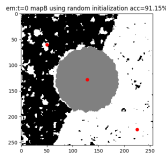


Figure: Random

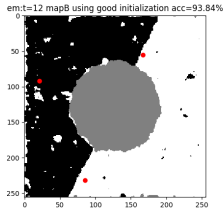


Figure: Good

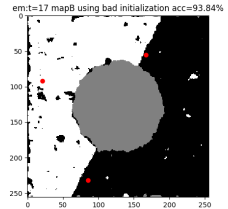


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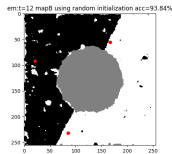


Figure: Random



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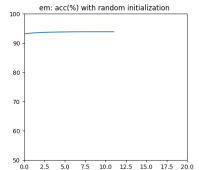
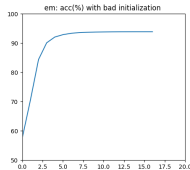
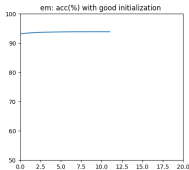
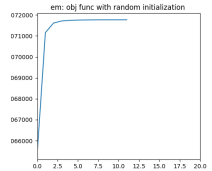
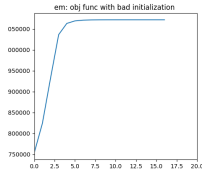
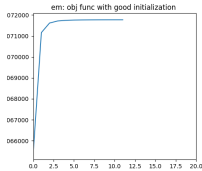


Figure: Good

Figure: Bad

Figure: Random



# K-means Vs EM on A

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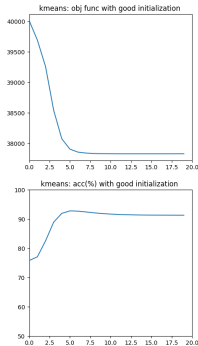


Figure: K-means  
Good

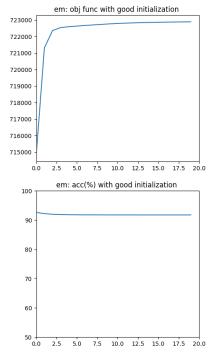


Figure: EM Good





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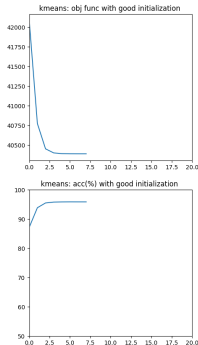


Figure: K-means  
Good

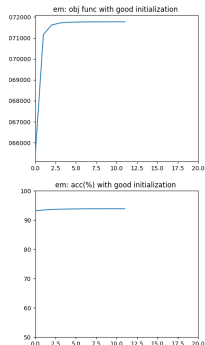


Figure: EM Good



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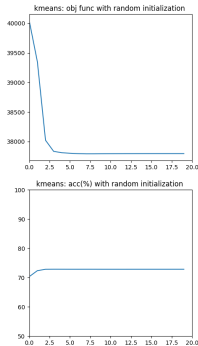


Figure: K-means  
Random

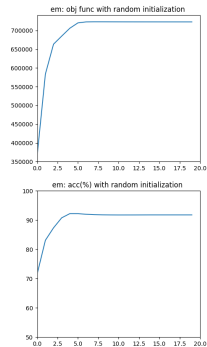


Figure: EM random



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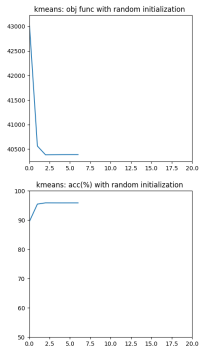


Figure: K-means  
Random

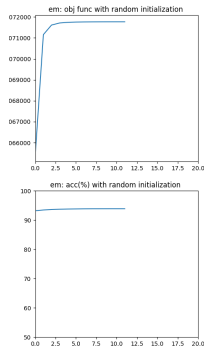


Figure: EM Random



# Analysis

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- Experiments reaffirms the fact that both K-means and EM are sensitive to initialization; good initializations have better accuracy
- EM is more robust than K-means because in all cases it performs almost as good as K-means or much better than it.
- For example in random case K-means suffer 70% accuracy whereas EM has 90% accuracy
- The robustness is more visible in more complex segmentation for example in more complex real world image
- However K-means converges faster than EM



# K-means on Real Image K=6

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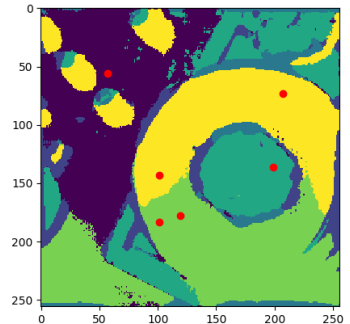
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# EM on Real Image

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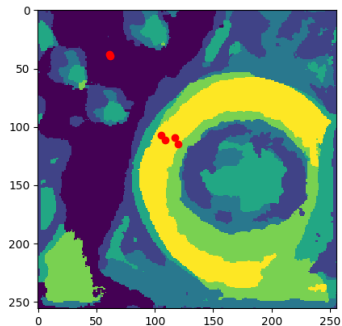
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# K-means on Real Image K=4

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Computer  
Vision

S M Al Mahi

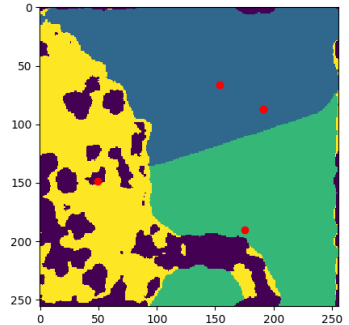
Project  
Objective

Technical  
Background

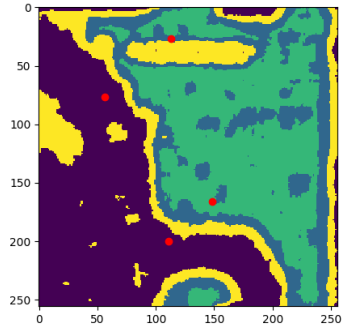
Experiment  
and Results

Analysis

Real World



view of Boomer Lake. K means is confused by Google's watermark. It also did not segmented the bank.



segmented the watermark in a different cluster. Also segmented the lake, land, bank and trees.