# Ensuring (Statistical) Privacy

#### Daniel Alabi

Ph.D. student in the Theory of Computation group

@ Harvard SEAS (School of Engineering and Applied Sciences)

Advised by Salil Vadhan

Code for this talk: <a href="https://github.com/alabid/pre-college-2019">https://github.com/alabid/pre-college-2019</a>

See full course materials here: http://people.seas.harvard.edu/~salil/cs208/

My email: <u>alabid@g.harvard.edu</u>

#### Table of Contents

- Motivations
  - Reidentification via Linkage Attacks
  - Reconstruction and Inference Attacks
- Definitions
  - K-anonymity
  - Differential Privacy
- Mechanisms
  - Laplace Mechanism
- Code Demonstrations
  - Python Code

#### The Problem

We have a dataset with sensitive information, such as:

- 1. Health records (e.g. reveals which disease a patient has)
- 2. Census data (e.g. reveals income range)
- 3. Social network activity (e.g. which pages you like)

#### How can we:

- 1. Allow the use of the data?
- 2. Protect the privacy of the data subjects?
- 3. Achieve both (1) and (2)?

#### Encrypt the Data:

Name	Sex	Blood	 HIV?
James	M	В	 N
Peter	M	O	 Υ
Paul	M	Α	 N
Eve	F	В	 Υ

#### Encrypt the Data:

Name	Sex	Blood	 HIV?	
James	M	В	 N	
Peter	M	0	 Υ	
Paul	M	Α	 N	
Eve	F	В	 Υ	

Name	Sex	Blood	 HIV?
10101	01010	01000	 00001
11010	01101	10111	 10111
10100	10000	11101	 01111
11000	10001	11110	 10001

Encrypt the Data: Are we happy with this solution? Why or why not?

Name	Sex	Blood	 HIV?	Name	Sex	Blood	 HIV?
James	M	В	 N	10101	01010	01000	 00001
Peter	M	0	 Υ	11010	01101	10111	 10111
Paul	M	Α	 N	10100	10000	11101	 01111
Eve	F	В	 Υ	11000	10001	11110	 10001

"Anonymize the Data": Are we happy with this solution? Why or why not?

Name	Sex	Blood		HIV?	Name	Sex	Blood	
ames	M	В		N	XXXXX	M	В	
Peter	M	0	•••	Υ	XXXXX	M	0	•••
								•••
Paul	M	Α		N	XXXXX	M	Α	
Eve	F	В		Υ	XXXXX	F	В	

"Anonymize the Data": Not sufficient because of linkage attacks!

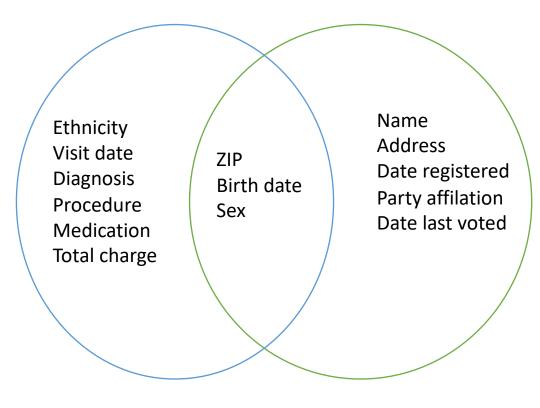
87% of US population have unique date of birth, gender, and postal code!

[Golle and Partridge 09]

#### "Anonymize the Data": Reidentification via Linkage

Can uniquely identify > 60% of the U.S. population [Sweeny '00, Golle '06, Sweeney '97]

Name	Sex	Blood	 HIV?
XXXXX	M	В	 N
XXXXX	M	0	 Υ
XXXXX	M	Α	 N
XXXXX	F	В	 Υ



**Medical Data** 

**Voter List** 

- Motivations
  - Reidentification via Linkage Attacks
  - Reconstruction and Inference Attacks

Reconstruction attack: If we have dataset  $x \in \{0, 1\}^n$  and person i has sensitive bit  $x_i$  and attacker/adversary gets  $q_S(x) = \sum_{i \in S} x_i$  for any  $S \subseteq [n]$ .

- Motivations
  - Reidentification via Linkage Attacks
  - Reconstruction and Inference Attacks

Reconstruction attack: If we have dataset  $x \in \{0, 1\}^n$  and person i has sensitive bit  $x_i$  and attacker/adversary gets  $q_S(x) = \sum_{i \in S} x_i$  for any  $S \subseteq [n]$ .

[Dinur-Nissim '03]: With high probability, adversary can reconstruct 0.99 fraction of the dataset  $x \in \{0,1\}^n$  if noise added to each query is less than  $o(\sqrt{n})$  and #queries is n.

- Motivations
  - Reidentification via Linkage Attacks
  - Reconstruction and Inference Attacks

Reconstruction attack: If we have dataset  $x \in \{0,1\}^n$  and person i has sensitive bit  $x_i$  and attacker/adversary gets  $q_S(x) = \sum_{i \in S} x_i$  for any  $S \subseteq [n]$ . [Dinur-Nissim '03]: With high probability, adversary can reconstruct 0.99 fraction of the dataset  $x \in \{0,1\}^n$  if noise added to each query is less than  $o(\sqrt{n})$  and #queries is n.

Inference attack: Attacker gets  $n^2$  answers and needs to know if someone is in dataset or not.

## Message

Releasing too many statistics with too much accuracy can lead to a reconstruction of the entire dataset or inference attacks

- Encryption doesn't work
- Anonymization doesn't work
- Even adding insufficient noise to an attacker's query is not good enough

- Encryption doesn't work
- Anonymization doesn't work
- Even adding insufficient noise to an attacker's query is not good enough Possible Responses:
- Privacy is an illusion!

- Encryption doesn't work
- Anonymization doesn't work
- Even adding insufficient noise to an attacker's query is not good enough Possible Responses:
- Privacy is an illusion!
- In the long run, it's better to use data for research! Ignore privacy!

- Encryption doesn't work
- Anonymization doesn't work
- Even adding insufficient noise to an attacker's query is not good enough Possible Responses:
- Privacy is an illusion!
- In the long run, it's better to use data for research! Ignore privacy!
- Never release statistics about any dataset!

- Encryption doesn't work
- Anonymization doesn't work
- Even adding insufficient noise to an attacker's query is not good enough Possible Responses:
- Privacy is an illusion!
- In the long run, it's better to use data for research! Ignore privacy!
- Never release statistics about any dataset!
- Is there a way to add enough noise to queries and still allow for usefulness?

### Main Message of this Talk

Yes, there is a way to add enough noise to queries and still allow for usefulness!

[Sweeney '02]: A mechanism satisfies k-anonymity if for every dataset, the output of the mechanism has the property that every distinct row occurs at least k times.

[Sweeney '02]: A mechanism satisfies k-anonymity if for every dataset, the output of the mechanism has the property that every distinct row occurs at least k times.

*Intuition*: Privacy ensured if I can't isolate you!

[Sweeney '02]: A mechanism satisfies k-anonymity if for every dataset, the output of the mechanism has the property that every distinct row occurs at least k times.

#### 3-anonymous dataset:

Zip code	Age	Nationality
021**	< 30	*
021**	< 30	*
021**	< 30	*
021**	> 40	*
021**	> 40	*
021**	> 40	*
021**	3*	*
021**	3*	*
021**	3*	*

It's a nice approach but doesn't:

- Compose well (e.g. if you have two k-anonymous datasets)
- Utility not as quantifiable as other approaches

Zip code	Age	Nationality
021**	< 30	*
021**	< 30	*
021**	< 30	*
021**	> 40	*
021**	> 40	*
021**	> 40	*
021**	3*	*
021**	3*	*
021**	3*	*

#### Table of Contents

- Motivations
  - Reidentification via Linkage Attacks
  - Reconstruction and Inference Attacks
- Definitions
  - K-anonymity
  - Differential Privacy
- Mechanisms
  - Laplace Mechanism
- Code Demonstrations
  - Python Code

- Utility
- Privacy
- Definition

- <u>Utility</u>: enable "statistical analysis" on datasets
  - Can release (noisy) statistics such as means, sums, medians, etc.
  - Predictions from trained machine learning models

- Utility: enable "statistical analysis" on datasets
  - Can release (noisy) statistics such as means, sums, medians, etc.
  - Predictions from trained machine learning models
- Privacy: protect each individual in dataset against all possible attack strategies
  - Now and in the future!
  - Even with use of auxiliary information or datasets!
  - Group privacy also allowed!

- Utility: enable "statistical analysis" on datasets
  - Can release (noisy) statistics such as means, sums, medians, etc.
  - Predictions from trained machine learning models
- Privacy: protect each individual in dataset against all possible attack strategies
  - Now and in the future!
  - Even with use of auxiliary information or datasets!
  - Group privacy also allowed!
- <u>Definition</u>: pure and approximate

<u>Definition</u>: pure and approximate

[Dwork-McSherry-Nissim-Smith '06]

Other references:

Motivated from and based off of work in

[Dinur-Nissim '03, Dwork-Nissim '04, Blum-Dwork-McSherry-Nissim '05]

<u>Definition</u>: pure and approximate

[Dwork-McSherry-Nissim-Smith '06]

*Intuition*: for a statistic, the effect of each individual (whether in the dataset or not) should be close to nothing.

<u>Definition</u>: pure and approximate

[Dwork-McSherry-Nissim-Smith '06]

*Intuition*: for a statistic, the effect of each individual (whether in the dataset or not) should be close to nothing.

Worst-case notion: protects against all possible adversaries and any kind of individual.

<u>Definition</u>: pure and approximate

[Dwork-McSherry-Nissim-Smith '06]

For any algorithm  $\mathcal{A}$ , it satisfies  $\epsilon$  differential privacy if For all datasets D,D' differing in exactly one row all queries q Distribution of  $\mathcal{A}(D,q)$  is at most  $\epsilon$  away from  $\mathcal{A}(D',q)$ 

The smaller  $\epsilon$  is, the more privacy is ensured!

<u>Definition</u>: pure and approximate

[Dwork-McSherry-Nissim-Smith '06]

For any algorithm  $\mathcal{A}$ , it satisfies  $\epsilon$  differential privacy if For all datasets D, D' differing in exactly one row all queries qDistribution of  $\mathcal{A}(D,q)$  is at most  $\epsilon$  away from  $\mathcal{A}(D',q)$ 

For all sets T,  $\Pr[\mathcal{A}(D,q) \in T] \le (1+\epsilon) \Pr[\mathcal{A}(D',q) \in T]$ 

<u>Definition</u>: pure and approximate

[Dwork-McSherry-Nissim-Smith '06]

For any algorithm  $\mathcal{A}$ , it satisfies  $\epsilon$  differential privacy if For all datasets D,D' differing in exactly one row all queries q Distribution of  $\mathcal{A}(D,q)$  is at most  $\epsilon$  away from  $\mathcal{A}(D',q)$ 

The probability is only over the randomness of the algorithm  ${\mathcal A}$ 

#### Table of Contents

- Motivations
  - Reidentification via Linkage Attacks
  - Reconstruction and Inference Attacks
- Definitions
  - K-anonymity
  - Differential Privacy
- Mechanisms
  - Laplace Mechanism
- Code Demonstrations
  - Python Code

#### Mechanisms for Differential Privacy

#### **Examples:**

- Laplace Mechanism [we'll discuss and implement this one!]
- 2. Gaussian Mechanism
- 3. Exponential Mechanism
- 4. Geometric Mechanism

• • • •

### Laplace Mechanism

Lap(s) is the Laplace Distribution with scale s. Some properties:

- Has mean 0
- Has standard deviation  $\sqrt{2} \cdot s$
- It's a "double-exponential" distribution

## Laplace Mechanism for Sum and Average

1. 
$$\mathcal{A}(x) = \sum_{i=1}^{\infty} x_i + \operatorname{Lap}(\frac{1}{\epsilon})$$
  
where  $x_i \in [0, 1]$  for all  $i \in [n]$ .

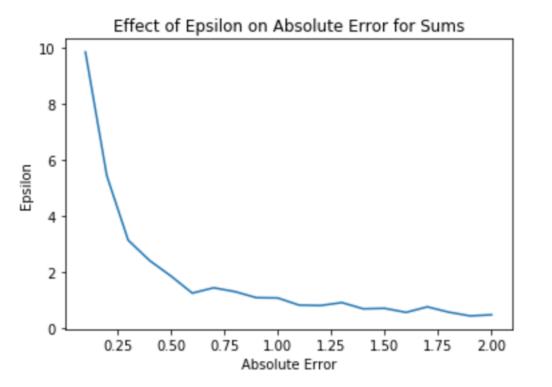
## Laplace Mechanism for Sum and Average

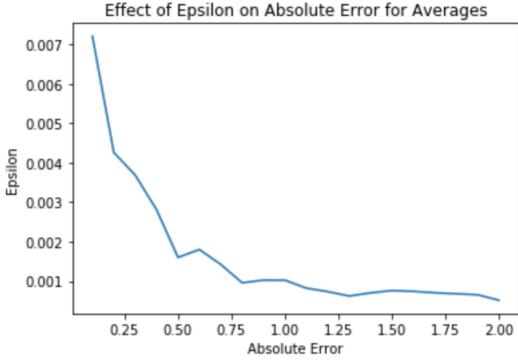
1. 
$$\mathcal{A}(x) = \sum_{i=1}^{\infty} x_i + \operatorname{Lap}(\frac{1}{\epsilon})$$
  
where  $x_i \in [0, 1]$  for all  $i \in [n]$ .

2. 
$$\mathcal{A}(x) = \frac{1}{n} \cdot \sum_{i=1} x_i + \operatorname{Lap}(\frac{1}{n \cdot \epsilon})$$
  
where  $x_i \in [0, 1]$  for all  $i \in [n]$ .

#### Code Demonstration

https://github.com/alabid/pre\_college\_2019





#### Conclusion

- Differential Privacy is a mathematically rigorous definition of individual data privacy.
- YOU can code it up. See GitHub page clone it and play with the code there! <a href="https://github.com/alabid/pre-college-2019">https://github.com/alabid/pre-college-2019</a>
- It's being used by the U.S. Census Bureau (for the 2020 Decennial Census), Google, Apple.