### CSC 374/407: Computer Systems II

Lecture 5
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## Reading

- Bryant & O'Hallaron "Computer Systems, 3<sup>rd</sup> Ed."
  - Chapter 12.3-12.7: Concurrent Programming
- Hoover "System Programming"
  - · (None)

## **Topics**

- What threads are and why use them
- How to create threads
- Critical sections and unsafe thread programming
- Synchronization
- Application: Producer/Consumer

# What threads are and why use them

Purpose: to have multiple "little processes" parallelize action of one program

Threads are like processes, but they share:

- Code segment
- Heap segment
- Data/BSS segments
- Stack segment

### They differ in that:

- Have different registers (including condition codes)
- Start in different regions of stack
- Have unique thread id

### How to compile for threads

At the beginning of your program:

```
#include <thisHeader.h>
#include <pthread.h>
#include <thatHeader.h>
```

When you link:

```
unix> gcc . . . -lpthread . . .
```

Why are they called "p-threads?"

- P = POSIX = Portable Operating Sys Interface for UniX
- Standardizes "Unix" across Linux, BSD, Solaris, etc.

```
#include<stdlib.h>
#include<stdio.h>
                      Don't forget, now!
#include<pthread.h>
struct TwoInts { int int0; int int1; };
void* fnc (void* vPtr);
int main ()
{ pthread t tId;
 struct TwoInts twoInts;
 twoInts.int0 = 2; twoInts.int1 = 3;
 pthread create(&tId,NULL,fnc,(void*)&twoInts);
 int*
            intPtr;
 pthread join(tId, (void**)&intPtr);
 printf("The sum is %d\n",*intPtr);
 return(EXIT SUCCESS);
```

```
#include<stdlib.h>
                       pthread create needs the
#include<stdio.h>
                        addr of a thread object in
#include<pthread.h>
                       which to build the thread
struct TwoInts { int int0;
                              int/int1; };
void* fnc (void* vPtr);
int main ()
                  tId
{ pthread t
  struct TwoInts twoInts;
  twoInts.int0 = 2 \frac{1}{2} twoInts.int1 = 3 \frac{1}{2}
  pthread create(&tid, NULL, fnc, (void*)&twoInts);
             intPtr;
  int*
  pthread join(tId, (void**)&intPtr);
  printf("The sum is %d\n",*intPtr);
  return(EXIT SUCCESS);
```

```
#include<stdlib.h>
                      You may create a variety
#include<stdio.h>
                      of different threads. NULL
#include<pthread.h>
                      means "an ordinary thread"
struct TwoInts { int int0/;
                            int/int1; };
void* fnc (void* vPtr);
int main ()
{ pthread t tId;
  struct TwoInts twoInts;
  twoInts.int0 = 2; twoInts.int1 = 3;
 pthread create(&tId, NULL, fnc, (void*)&twoInts);
             intPtr;
  int*
  pthread join(tId, (void**)&intPtr);
  printf("The sum is %d\n",*intPtr);
  return(EXIT SUCCESS);
```

```
#include<stdlib.h>
                      The thread runs a different
#include<stdio.h>
                       fnc in the same program.
#include<pthread.h>
                      Give fnc name, not fnc call
                            int /int1; };
struct TwoInts { int int0;
void* fnc (void* vPtr);
int main ()
{ pthread t tId;
  struct TwoInts twoInts;
  twoInts.int0 = 2; twoInts.int1 = 3;
 pthread create(&tId,NULL,fnc,(void*)&twoInts);
 int*
             intPtr;
 pthread join(tId, (void**)&intPtr);
  printf("The sum is %d\n",*intPtr);
  return(EXIT SUCCESS);
```

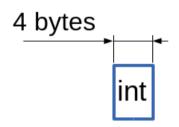
```
#include<stdlib.h>
                     fnc() takes void* arg. void*
#include<stdio.h>
                     can be address of anything,
#include<pthread.h>
                          like Java's Object.
struct TwoInts { int int0; int int1; };
void* fnc (void* vPtr);
int main ()
{ pthread t tId;
  struct TwoInts twoInts;
  twoInts.int0 = 2; twoInts.int1 = 3;
 pthread create(&tId,NULL,fnc,(void*)&twoInts);
 int*
             intPtr;
 pthread join(tId, (void**)&intPtr);
  printf("The sum is %d\n",*intPtr);
  return(EXIT SUCCESS);
```

```
#include<stdlib.h>
                       pthread join() waits for
#include<stdio.h>
                       particular thread, like
#include<pthread.h>
                       wait pid(). No '&'.
struct TwoInts { int int0;
                             int/int1; };
void* fnc (void* vPtr);
int main ()
{ pthread t tId;
  struct TwoInts twoInts;
  twoInts.int0 = 2;/twoInts.int1 = 3;
  pthread create(&t/Id, NULL, fnc, (void*)&twoInts);
  int*
             intPtx:
  pthread join(tId, (void**)&intPtr);
  printf("The sum is %d\n",*intPtr);
  return(EXIT SUCCESS);
```

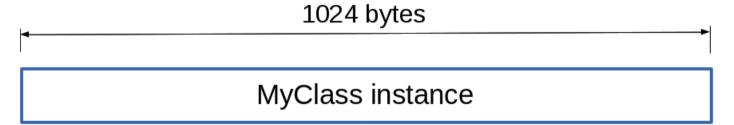
```
#include<stdlib.h>
                       This one is funky,
#include<stdio.h>
                       and needs further
#include<pthread.h>
                       explanation . . .
                            int /int1; };
struct TwoInts { int int0;
void* fnc (void* vPtr);
int main ()
{ pthread t tId;
  struct TwoInts twoInts;
  twoInts.int0 = 2; twoInts.int1 = 3;
 pthread create(&tId, NULL, fnc, (void*)&twoInts);
             intPtr;
  int*
  pthread join(tId, (void**)&intPtr);
  printf("The sum is %d\n",*intPtr);
  return(EXIT SUCCESS);
```

```
/* By The Way, here is the function the thread
will run */
void* fnc (void* vPtr)
  static int sum;
  struct TwoInts* twoIntsPtr;
  twoIntsPtr = (struct TwoInts*)vPtr;
  sum = twoIntsPtr->int0 + twoIntsPtr->int1;
  return( (void*)&sum );
```

Sometimes the thread wants to **return a** tiny **object**:



Sometimes the thread wants to return a huge object:

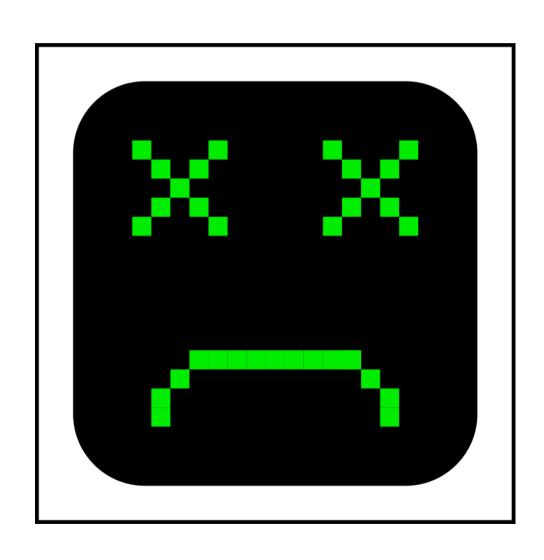


¿¿ How can we handle this difference in size ??

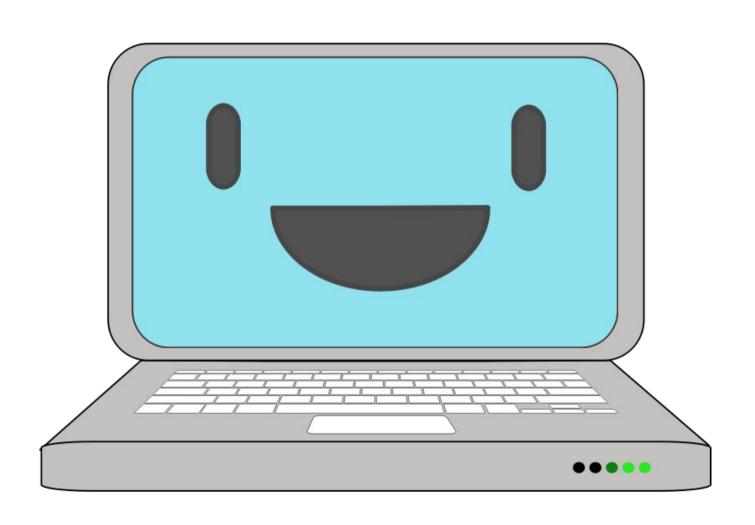
A: By returning a pointer (silly)!

Q: Hmm, how should we do it?

```
Value to
                   Pointer to
                                 Where ptr
      give back receive value
                              happens to point
     (child thread) (parent thread)
                                 (garbage)
         5
                   0x3BFC
                                  ????
                                O<sub>k</sub>(garbage)
                     intPtr
         sum
// Attempt #1:
pthread join(tId,intPtr)
     means
pthread join(tId, 0x3BFC)
     means
"Make garbage at 0x3BFC point to 5"
     means
```



```
Value to
                   Pointer to
                                 Where ptr
       give back receive value
                               happens to point
     (child thread) (parent thread)
                                 (garbage)
         5
                   0x3BFC
                                   ????
                                 O<sub>k</sub>(garbage)
                     intPtr
         sum
// Attempt #2:
pthread join(tId,&intPtr)
     means
pthread join(tId,0x2000)
     means
"Make intPtr point to 5"
     means
```



# (void\*\*)&Ptr?!? WTF?!? Ques 3: Why (void\*\*)&ptr?

Q: So I see why you say &ptr, why (void\*\*)?

A: **(void\*)** means *just an address* (by making it **void** you have forgotten object type) (like **Object** in Java)

(void\*\*) means the address of a *pointer* that can hold *just an address*.

### **Detached threads**

Called "detached" because no need to pthread join() with parent thread.

```
pthread_t tId;
pthread_attr_t tAttr;

pthread_attr_init(&tAttr);
pthread_attr_setdetachstate(&tAttr,PTHREAD_CREATE_DETACHED);
pthread_create(&tId,&tAttr,fncName,&args);
...
pthread_attr_destroy(&tAttr);
```

#### Good for servers:

- Parent thread makes new thread for each client
- Parent does not have to pthread\_join()

# How to create threads (in summary)

```
int pthread create
    (pthread t* restrict
                                  thread,
      /* Pointer to a pthread t object to identify
       the child thread */
     const pthread attr t* restrict attr,
      /* Pointer to optional object for properties
       of child. You can just say NULL. */
     void *(*fncName)(void*),
      /* Name of function to run:
         void* fncName(void* ptr) */
     void *restrict
                                   arg
      /* Ptr to object that is arg to fncName() */
Return value is:
     -0: success
     -anything else: ERROR!
```

# How to wait for threads (in summary)

### Compare threads with fork:

```
/* I use fork() to create a process */
#include <stdlib.h>
#include <stdio.h>
#include <sys/types.h>
#include <sys/wait.h>
int main()
      childsStatus;
int
pid t childld = fork();
char* argsText = /* whatever */
if (childId == 0)
  execlp("doThisFile",..,argText,.. );
waitpid(childId,&childStatus,0);
return(0);
```

```
/* I use pthread create() */
#include <stdlib.h>
#include <stdio.h>
#include <pthread.h>
int main()
pthread t
                 childId;
SendObject
                 arg = /*whatever*/
ReceiveObject*
                 childsStatusPtr;
pthread_create(&childId,NULL,
                doThisFnc,&arg
pthread_join(childId,&childStatusPtr);
return(0);
```

### **Example program:**

```
/* Compile with:
* gcc -lpthread thread ex1.c -o thread ex1
*/
#include <stdlib.h>
#include <stdio.h>
#include <string.h>
#include <pthread.h>
const int N = 2;
/* thread routine */
void *thread routine(void *vargp)
  int id = *(int*)vargp;
  char* ptr;
  printf("Hello from child thread %d\n", id);
  switch (id)
    case 0: ptr = strdup("Hello "); break;
   case 1: ptr = strdup("there!"); break;
  return(ptr);
```

```
int main()
  int
  char*
             msqPtr;
  pthread t tid[N];
  for (i = 0; i < N; i++)
     pthread_create
        (&tid[i], NULL,
        thread routine, (void *)&i);
  for (i = 0; i < N; i++)
     pthread join(tid[i], (void**)&msgPtr);
     puts(msgPtr);
     free(msgPtr);
  return(0);
```

### Time for you!

### Can you write a threaded program that can:

- 1. **Prove** that all threads use the same **stack** (did we just do that?)
- Prove that all threads use the same global var data space (for global vars and static vars inside functions)
- 3. **Prove** that all threads use the same **heap**.

## What's wrong with this?

```
/* Compile with:
* gcc -lpthread badcnt.c -o badcnt
*/
#include <stdlib.h>
#include <stdio.h>
#include <pthread.h>
unsigned int cnt = 0; /* shared */
unsigned int NUM ITERS;
/* thread routine */
void *count(void *arg)
  int i:
  for (i=0; i<NUM_ITERS; i++)
     cnt++;
  return NULL:
```

```
int main(int argc, char* argv[])
  pthread t tid1, tid2;
  if ((argc \ge 2) \&\& isdigit(*argv[1]))
     NUM ITERS = atoi(argv[1]);
   else
     const int LINE SIZE = 16;
     char line[LINE SIZE];
     do {
       printf("How many iterations? ");
       fgets(line,LINE SIZE,stdin);
    while (!isdigit(line[0]));
     NUM ITERS = atoi(line);
  pthread create(&tid1, NULL, count, NULL);
  pthread create(&tid2, NULL, count, NULL);
  pthread_join(tid1, NULL);
  pthread_join(tid2, NULL);
  printf("Should be %d is %d\n",NUM ITERS*2,cnt);
  return(0);
```

### **Output:**

[jphillips@localhost]\$ badcnt 10
Should be 20 is 20
[jphillips@localhost]\$ badcnt 100
Should be 200 is 200
[jphillips@localhost]\$ badcnt 1000
Should be 2000 is 2000
[jphillips@localhost]\$ badcnt 10000
Should be 20000 is 20000
[jphillips@localhost]\$ badcnt 100000
Should be 200000 is 200000
[jphillips@localhost]\$ badcnt 100000
Should be 2000000 is 1830352
[jphillips@localhost]\$ badcnt 1000000
Should be 20000000 is 15214384

Last two values are WRONG!

### **Critical sections**

```
(gdb) disass count
0x400688: push
                %rbp
                %rsp,%rbp
0x400689: mov
0x40068c: mov
                %rdi,0xfffffffffe8(%rbp)
0x400690: movl
                $0x0,0xffffffffffc(%rbp)
0x400697: jmp
               0x4006ac <count+36>
               2098629(%rip),%eax # 0x600c64 <cnt>
0x400699: mov
0x40069f: add
              $0x1.%eax
               %eax,2098620(%rip) # 0x600c64 <cnt
0x4006a2: mov
               $0x1,0xffffffffff(%rbp)
0x4006a8: addl
               Oxfffffffffc(%rbp),%edx
0x4006ac: mov
               2098611(%rip),%eax #0x600c68 < NUM ITERS>
0x4006af: mov
               %eax,%edx
0x4006b5: cmp
0x4006b7: jb
              0x400699 <count+17>
0x4006b9: mov
               $0x0,%eax
0x4006be: leaveg
0x4006bf: retq
```

These three must be done by one thread at a time!

## Critical sections (2)

Imagine some scenarios where they are **not** done atomically

# Other ways threads can step on each other's toes

- 1. Working with the same global variable
  - (Just covered)
- 2. Working with the same static var within a fnc.
  - Can you think of an example?
- 3. A function returning a pointer to a static var.
  - Can you think of an example?
- 4. Calling a thread-unsafe function.
  - Can you think of an example?

### Functions: safe and unsafe

Reentrant functions call no shared variables

Always thread safe

Most standard C Library functions are thread safe

- e.g. printf(), malloc()

#### Unsafe to safe

- asctime() (unsafe!)
- ctime() (unsafe!)
- gethostbyaddr() (unsafe!)
- inet\_ntoa(unsafe!)
- localtime() (unsafe!)
- rand() (unsafe!)

```
asctime_r() (safe!)
ctime_r() (safe!)
gethostbyaddr_r()(safe!)
NO SAFE VERSION!
localtime r() (safe!)
```

rand r() (**safe!**)

### The semaphore solution

Classic solution: Dijkstra's P and V operations on semaphores.

- semaphore: non-negative integer synchronization variable.
  - sem\_wait(s): [while(s==0) wait(); s--; ]
     Originally named P(), Dutch for "Proberen" (test)
  - sem\_post(s): [ s++; ]
    - Originally named V(), Dutch for "Verhogen" (increment)
- OS guarantees that operations between brackets
   [] are executed indivisibly.
  - Only one P or V operation at a time can modify s.
  - When while loop in P terminates, only that P can decrement s.

Semaphore invariant:  $(s \ge 0)$ 

### (1) POSIX Semaphores

#### What to include:

– #include <semaphore.h>

#### Types and functions:

- sem\_t semaphore;
- sem\_init(sem\_t\* semPtr, int flag, int value)
  - Initialize pointed-to semaphore, with value, if flag
     == 1 then semaphore can be forked
- sem\_destroy(sem\_t\* semPtr)
  - Destroy pointed-to semaphore. If it's negative then block.

### **POSIX Semaphores, cont'd**

- sem\_wait(sem\_t\* semPtr)
  - Decrement pointed-to semaphore. If it's negative then block.
- sem\_post(sem\_t\* semPtr)
  - Increment pointed-to semaphore. Wake one blocked process if any.
- sem\_getvalue(sem\_t\* semPtr, int\* valuePtr)
  - Get value of pointed to semaphore.

### **POSIX** semaphore solution

```
sem t
            sem;
/* thread routine */
void *count(void *arg)
  int i:
  for (i=0; i<NUM_ITERS; i++)
    sem_wait(&sem);
    cnt++;
    sem_post(&sem);
  return NULL;
```

```
/* in main */
/* initialize sem to 1 */
if (sem init(\&sem, 0, 1) < 0)
  fputs("sem init error\n",stderr);
  exit(EXIT FAILURE);
if (sem_destroy(&sem) < 0)
  fputs("sem_destroy error\n",stderr);
  exit(EXIT_FAILURE);
```

# (2) pthread\_mutex solution

- pthread\_mutex\_t lock
- pthread\_mutex\_init(addressOfLock,NULL)
  - Makes a lock and initializes it to default values.
- pthread\_mutex\_destroy(addressOfLock)
  - Makes a lock and initializes it to default values.
- pthread\_mutex\_lock(address of lock)
  - Blocks thread until lock obtained, then obtains lock and blocks other threads until lock released.
- pthread\_mutex\_unlock(address of lock)
  - Releases lock allowing other threads to obtain it.

## pthread\_mutex solution

```
pthread mutex t cntLock;
/* thread routine */
void *count(void *arg) {
  for (int i=0; i<NUM ITERS; i++) {
     pthread mutex lock(&cntLock);
     cnt++:
     pthread mutex unlock(&cntLock);
  return NULL;
/* in main() */
pthread mutex init(&cntLock,NULL);
pthread_mutex_destroy(&cntLock);
```

[jphillips@localhost]\$ bettercnt 10 Should be 20 is 20 [jphillips@localhost]\$ bettercnt 100 Should be 200 is 200 [jphillips@localhost]\$ bettercnt 1000 Should be 2000 is 2000 [jphillips@localhost]\$ bettercnt 10000 Should be 20000 is 20000 [jphillips@localhost]\$ bettercnt 100000 Should be 200000 is 200000 [iphillips@localhost]\$ bettercnt 1000000 Should be 2000000 is 2000000 [jphillips@localhost]\$ bettercnt 10000000 Should be 20000000 is 20000000 [jphillips@localhost]\$ bettercnt 100000000 Should be 200000000 is 200000000

#### **Producer-Consumer**

One or more threads produce something

Place in buffer

One or more threads consume something

Retrieve from buffer

#### Critical section

Access of pointers/indices for buffer

#### **Problem!**

- A producer may gain access to a full buffer
- A consumer may gain access to an empty buffer

#### Oh no! An example in <u>C++</u>!

- A buffer is an <u>object</u>.
- Make the <u>object</u> thread-safe.
- Lessons carry over to Java, C#, etc.

#### Unsafe\_Buffer.h

```
class Buffer
  enum \{ SIZE = 16 \};
  int array [SIZE];
  int inIndex ;
  int outIndex ;
  int numItems;
public:
 Buffer
                ()
    inIndex = outIndex
      = num\overline{I}tems = 0;
  ~Buffer
                ()
  {
```

```
int
     getNumItems () const
{ return(numItems ); }
void putIn (int i)
 while (getNumItems() >= SIZE)
   printf("Full! Waiting!\n");
   usleep(10);
 array [inIndex ] = i;
 countArray[array [inIndex ]]++;
 usleep(10 + rand() % 10);
  inIndex ++;
 numItems ++;
  if (inIndex >= SIZE)
    inIndex = 0;
```

#### Unsafe\_Buffer.h

```
int pullOut ()
 while (getNumItems() <= 0)</pre>
   printf("Empty! Waiting!\n");
   usleep(10);
  }
  countArray[array [outIndex ]]--;
  int toReturn = array [outIndex ];
 usleep(10 + rand() % 10);
  outIndex ++;
 numItems --;
  if (outIndex >= SIZE)
   outIndex = 0;
  return(toReturn);
```

```
#include
                <stdlib.h>
#include
                <stdio.h>
#include
              <unistd.h>
                <pthread.h>
#include
int*
                countArray;
                "Unsafe Buffer.h"
#include
const int
                NUM INTEGERS TO BUFFER = 0 \times 1000;
void* stuffIntegersIn (void* vPtr)
  for (int i = 0; i < NUM INTEGERS TO BUFFER;
                                                  i++)
    ((Buffer*)vPtr)->putIn(i);
  return(NULL);
}
```

```
void* pullIntegersOut (void* vPtr)
{
  for (int i = 0; i < NUM_INTEGERS_TO_BUFFER; i++)
  {
    int j = ((Buffer*)vPtr)->pullOut();
    printf("Trial %d got %d.\n",i,j);
    fflush(stdout);
  }
  return(NULL);
}
```

```
int
       main
                ( )
 pthread t
                producer0;
 pthread t
                producer1;
 pthread t
                consumer0;
 pthread t
                consumer1;
 Buffer
                buffer;
 countArray
  (int*)calloc(NUM INTEGERS TO BUFFER, sizeof(int));
 pthread create(&producer0,NULL,stuffIntegersIn,&buffer);
 pthread create(&producer1,NULL,stuffIntegersIn,&buffer);
 pthread create(&consumer0, NULL, pullIntegersOut, &buffer);
 pthread create(&consumer1, NULL, pullIntegersOut, &buffer);
```

```
pthread join(producer1,NULL);
pthread join(producer0,NULL);
pthread join(consumer1, NULL);
pthread join(consumer0, NULL);
for (int i = 0; i < NUM INTEGERS TO BUFFER; <math>i++)
  if (countArray[i] < 0)</pre>
    printf("%d was gotten too many times!\n",i);
  else
  if (countArray[i] > 0)
    printf("%d was put too many times!\n",i);
return(EXIT SUCCESS);
```

}

#### Your turn!

(1) Run it as-is. *Any problems?* 

#### Your turn!

(2) Make it thread-safe.

```
void myMethod ()
{
   pthread_mutex_lock(&lock);
   doCriticalSection();
   pthread_mutex_unlock(&lock);
}
```

#### NOTE:

- 1) Each object gets its *own* lock.
- 2) All threads accessing the same object use **same** lock

Any problems still?

# Solution: pthread\_cond!

- pthread cond t cond
- pthread cond init(addressOfCondition, NULL)
  - Makes a condition and initializes it to default values.
- pthread cond destroy(addressOfCondition)
  - Destroys condition.
- pthread\_cond\_wait(addrOfCondition, addrOfLock)
  - Blocks thread until lock released and condition signaled. Then obtains lock again.
- pthread cond signal(addressOfCondition)
  - Signal one waiting thread that condition is met.
- pthread cond broadcast(addressOfCondition)
  - Signal all waiting threads that condition is met.

## The <u>proper</u> solution

```
void myMethod ()
   pthread mutex lock(&lock);
   while ( !this->isReady() )
     pthread cond wait(&wCond,&lock);
   doCriticalSection();
   pthread cond signal(&sCond);
   pthread mutex unlock(&lock);
 NOTE:
(1) isReady() is a method of the class. It determines if this
object is ready for thread to do myMethod(). (E.g. A
 buffer's putIn() waits for !this->isFull())
(2) Conditions wCond and sCond may or may not be same.
```

# We have mutual exclusion, are guaranteed to be safe?

#### Sorry but No!

- Race conditions
  - When result is dependent on order of processes or threads
- Deadlock
  - Thread/process A has lock 1 and is waiting for lock 2
  - Thread/process B has lock 2 and is waiting for lock 1

# We don't have time now, but also check out

• **shmget(), shmat()**: A way of having multiple processes (*e.g.* parent and child, or children of same parent) share memory, like how threads can.

# Next time: Memory!