NASA CARA

HIE Identification

Air Traffic Control in Spaaaaaaaaee

A.C.

February 3, 2024



HIE Identification

CARA Mission

CARA Mission

Purpose

Complexity

Consequence



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Mission Statement:

To take prudent measures, at reasonable cost, to enhance safety of flight, without placing an undue burden on mission operations

CARA in Practice

Inputs:

- Ephemeris data from cooperating missions.
- Catalog of tracked earth-orbiting objects from Combined Space Operations Center (CSpOC).

Outputs:

- Alerts to protected missions on high interest events (HIEs).
- Advisories for protected missions on risk mitigations for HIEs.

HIE Identification

Hopefully avoid more Kosmos-Iridium incidents.



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Kepler Orbits

$$\ddot{R} = \ddot{R}_{2B} = \frac{Gm_{\text{other}}}{||R||^3}R$$

- Solution known since Kepler and Newton.
 - Must be a conic section.
 - If closed, then ellipse.
- A star holds its course and its aim...returns and returns...and is always the same
 - Mais non



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Perturbation: Third Bodies

$$\ddot{R} = \ddot{R}_{2B} + \ddot{R}_{PM}$$

- Gravity is a universal force.
- Lots of non-earth mass out there
 - Luna
 - Sol
 - Uncounted others (fortunately negligible)
- Particularly relevant for higher-altitude orbits.
- Additional bodies also accelerate Earth.
 - Must subtract out "shaky camera" effect on our reference body.



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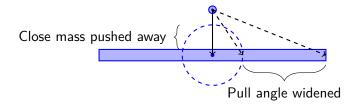
Perturbation: Non-Sphericity

$$\ddot{R} = \ddot{R}_{2B} + \ddot{R}_{PM} + \ddot{R}_{NS}$$

- R_{2B} uses point-mass equations
 - Works for points
 - Works for spheres (shell theorem)
- Farth is neither
 - Tidal forces (order meters)
 - Centrifugal forces (order kilometers)

Non-Sphericity: Oblation

Gross exaggeration: cylindrical Earth



Polar gravity \ \ \ \ \ \ \ \.



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Perturbation: Indirect Oblation

$$\ddot{R} = \ddot{R}_{2B} + \ddot{R}_{PM} + \ddot{R}_{NS} + \ddot{R}_{IO}$$

- Earth is (still) not an inertial reference frame.
- Point-mass effects already smoothed out in R_{PM} term.
- Non-sphericity effects can further perturb our reference frame.
 - Almost always negligible.
 - Earth-Luna interaction is measurable, however.



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Perturbation: Drag

$$\ddot{R} = \ddot{R}_{2B} + \ddot{R}_{PM} + \ddot{R}_{NS} + \ddot{R}_{IO} + \ddot{R}_{D}$$

- ► Drag equation: $F_D = \frac{1}{2}\rho v^2 C_D A$
- Scales by
 - \triangleright Object shape and orientation (C_D, A) .
 - Square of object velocity v^2 .
 - Atmospheric density ρ , drops exponentially with altitude.
- ▶ LEO objects (altitude < 2000 km) are low and fast
 - experience non-negligible drag
- Bonus: non-periodic and non-conservative.



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Perturbation: Solar Radiation Pressure

$$\ddot{R} = \ddot{R}_{2B} + \ddot{R}_{PM} + \ddot{R}_{NS} + \ddot{R}_{IO} + \ddot{R}_{D} + \ddot{R}_{SRP}$$

- \triangleright $p = \gamma m v$
- **h** photons: $m \to 0, \gamma \to \infty$
 - \triangleright $p \rightarrow ?$
 - God's math: $p = \frac{h}{\lambda}$
- Absorbing and emitting light imparts momentum
 - Sunlight never stops: SRP
 - Most impactful on higher altitude orbits
 - Non-periodic and non-conservative



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Perturbation: Thrust

$$\ddot{R} = \ddot{R}_{2B} + \ddot{R}_{PM} + \ddot{R}_{NS} + \ddot{R}_{IO} + \ddot{R}_{D} + \ddot{R}_{SRP} + \ddot{R}_{T}$$

- Orbital payloads commonly come equipped with maneuvering thrusters
 - Chemical burns (fast, short)
 - Electric propulsion (slow, long)
- Good news: allows for doing something about predicted collisions
- Bad news: Non-periodic, non-conservative, AND non-physical(-ish)



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Perturbation Impacts

Low-to-medium fidelity diff-eqs can be solved analytically

- ► E.g. Brouwer models, SGP4/SDP4
- High-fidelity generally resort to numerical integration
 - E.g. NORAD Special Perturbations (SP)
- Low- and high-fidelity models can diverge significantly, rapidly
 - By kilometers
 - Within a few orbital periods (i.e. hours)
- None of the models correspond to beautiful, eternal shapes.



Mission Safety

$$E(\mathsf{Cost}(X)) = P(X) \cdot \mathsf{Cost}(X)$$

- Plausible Cost(X): 100 million USD
- ▶ Plausible P(X): 2e-4
- $E(Cost(X)) = 2 \cdot 10^{-4} \cdot 10^8 = 20000 \text{ USD}$
 - Might be worth mitigating
 - ightharpoonup Although, $\sim 85\%$ of likely-lethal conjunctors aren't even tracked



Domain Safety

- Any collision increases hazard to ecosystem
 - Debris potential strongly linked to object size
 - Largest objects are best tracked
 - Objects follow a power-law distribution: many, many small pieces, comparatively few large

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 - More objects means more conjunctions
 - More conjunctions means more collisions
 - More collisions means more objects

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- lacktriangle Critical density ightarrow runaway, sustained fragmentation
 - Kessler syndrome



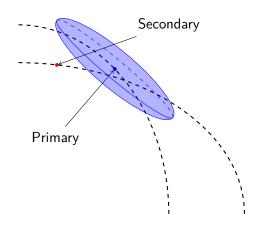
HIE Identification

Conjunction Identification Volumetric Screening

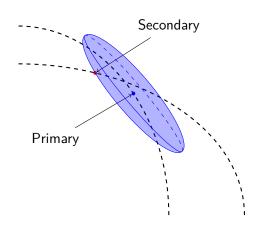
Conjunction Screening

- Before a conjunction can be analyzed it must be identified
 - Want to know the time of closest approach (TCA)
 - and of course the conjunctors' states at TCA
- Technically not a CARA responsibility
 - Screening computed for CARA by CSpOC using COMBO (Computation of Miss Between Orbits).
 - Operates on a "flying-ellipsoid" volumetric screening paradigm.

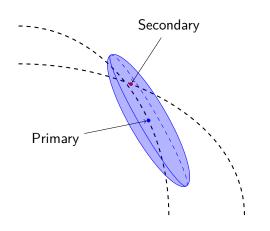
Volumetric Screening



Volumetric Screening



Volumetric Screening



Volumetric Screening

```
procedure ScreenConjunctions
    for p, s, t \in Primaries \times Secondaries \times Time Slices do
         V \leftarrow \text{ellipse around } p(t)
         if s(t).pos \notin V then
              continue
         d(\tau) := ||p(\tau).\mathsf{pos} - s(\tau).\mathsf{pos}||
         if sign(d'(t)) = sign(d'(t + \Delta t)) then
              continue
         t^* \leftarrow \operatorname{argmin}_{[t,t+\Delta t]} d
         Emit (p, s, t^*)
```

HIE Identification

Outline

HIE Identification

Risk Measures

 $2D P_C$

 $3D P_C$

Monte Carlo



Standoff Distance

- Most intuitive measure of safety
 - If we are far apart, of course we aren't touching.
 - Implicitly part of volumetric screening regimes.
- Difficult to map distance onto risk.
 - How far apart is far? Meters? Kilometers?
- Cannot capture uncertainty
 - What if our measurements of a satellite's state are known to be imprecise?
- Tendency toward conservatism
 - sometimes desirable, e.g. around human space flight assets



Probability of Collision

- Most commonly used measure of safety.
- Answers the challenges with standoff distance
 - Maps naturally to risk.
 - Captures and describes uncertainty and imprecision.
 - Allows for mindfully tuned risk postures.
- But! Can suffer from probability dilution.
 - Space is big. Really big. Really, really big.
 - ▶ Rubbish measurements $\Rightarrow P_C \approx 0$.
 - Probability is "diluted" across space.



Naive P_{c}

$$\int \int \rho(S_1, S_2) \mathbb{I}(\text{collision}|S_1, S_2) dS_1 dS_2$$

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- That's a 12-dimensional integral.
- $ightharpoonup \mathbb{I}(\text{collision}|S_1,S_2)$ is a nightmare function.
- Let's make some simplifying assumptions

2D P_C : Assumptions

- 1. State position vectors $R_1 \sim \mathcal{N}(\bar{R_1}, C_1), R_2 \sim \mathcal{N}(\bar{R_2}, C_2)$
- 2. $R_1 \perp R_2$
- 3. Velocity uncertainty is negligible
- 4. Position uncertainty is stable throughout the encounter
- 5. Relative motion is linear throughout the encounter
- 6. Both objects are spheres

$2D P_{C}$

Core idea: Don't think about two objects, think about the distance separating them. More precisely, let

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$$R_{\mathsf{miss}} := R_2 - R_1$$

We wish to integrate

$$\int \rho_{\mathsf{miss}}(R) \mathbb{I}(\mathsf{collision}|R) dR$$

2D Pc

$2D P_{C}$

$$\int \rho_{\mathsf{miss}}(R) \mathbb{I}(\mathsf{collision}|R) dR$$

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Recall: $R_1 \perp R_2$, both Gaussian. So

$$\textit{R}_{miss} \sim \mathcal{N}(\bar{\textit{R}_{2}} - \bar{\textit{R}_{1}}, \textit{C}_{2} - \textit{C}_{1})$$

 ρ_{miss} is just $\phi!$



2D Pc

$2D P_{C}$

$$\int \rho_{\mathsf{miss}}(R) \mathbb{I}(\mathsf{collision}|R) dR$$

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Linear motion, spherical objects. Collision iff $\exists t \in \mathbb{R}$ s.t.

$$||R_{\mathsf{miss}} + v_{\mathsf{miss}} \cdot t|| < \mathsf{HBR}_1 + \mathsf{HBR}_2$$

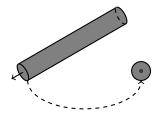
That's a cylinder!

$$(-t \longleftrightarrow +t)$$



$2D P_C$

Rotate coordinates to align cylinder with z-axis.



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Cylinder is infinite: z-axis marginalizes to 1.



$2D P_{C}$

Integral form after massaging:

$$P_C = \frac{1}{\sqrt{\det(2\pi C)}} \int \int_A \exp\left(-\frac{r^T C^{-1} r}{2}\right) dx dy$$

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Not fully analytic, but quite amenable to numerical quadrature.

Hyperkinetic Assumptions

- ▶ 2D P_C treated conjunctors like a pair of bullets
- Pretty good model when relative velocity is high, encounter is short

- Not all close approaches occur at high (relative) velocity, though
- Can we do better?



$3D P_C$

What happens if we try to count collisions throughout the encounter?

$$ar{N}_C = \int_{t_0}^{t_{\mathsf{end}}} E(\dot{N}_C) dt$$

That's not actually P_C , but for single-encounter conjunctions, the distinction is academic.

$3D P_C$

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$$E(\dot{N}_C) = \int \int \rho(S_1, S_2) \dot{N}_C(S_1, S_2, t) dS_1 dS_2$$

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To find $\dot{N}_C(S_1, S_2, t)$, let's start with N_{overlap} . It's a step function on the ball around our miss vector, propagated to time t!

$$N_{\text{overlap}} = \mathbb{I}(||R_{\text{miss}}|| < r) = H(r^2 - R^T R)$$

Chain rule it out to get

$$\dot{N}_{\text{overlap}} = \delta(r^2 - R^T R)(-2R^T \dot{R})$$



3D P_{c}

CARA Mission

$$E(\dot{N}_C) = \int \int \rho(S_1, S_2) \dot{N}_C(S_1, S_2, t) dS_1 dS_2$$

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 $\dot{N}_{\rm overlap}$ is not $\dot{N}_{\rm C}$ – it adds ingress (yay!) and subtracts egress (boo!). Thresholding at zero solves our problem

$$\dot{N}_C = max(0, \dot{N}_{\text{overlap}}) = \delta(r^2 - R^T R)[-2R^T \dot{R}]_+$$

3D P_{c}

CARA Mission

$$E(\dot{N}_C) = \int \int \rho(S_1, S_2) \dot{N}_C(S_1, S_2, t) dS_1 dS_2$$

- Hello darkness 12-D integral, my old friend
- ▶ With an assumption of elliptical movement, this can actually be reduced to a manageable dimension.
 - Requires a heroic amount of massaging algebra and calculus.
 - Interested parties are referred to the full pub.
- Final integral dimensions post-massage:
 - 1-D time integral over the encounter interval
 - 2-D surface integral over the miss ball



Motivation

- All this integration, it's making my head hurt.
- \triangleright Even the fancy 3D P_C variant had to make simplifying assumptions.
- This is a stochastic process, let's try modeling it stochastically.

From-Epoch MC

```
procedure FROMEPOCHMC(primOD, secOD, trials)

hits \leftarrow 0

for i \in [0, ..., trials) do

p \leftarrow \text{draw from } primOD

s \leftarrow \text{draw from } secOD

if p.\text{propagate}() collides with s.\text{propagate}() then

hits \leftarrow hits + 1

return \frac{hits}{trials}
```

From-Epoch MC

- No simplifying assumptions! Estimate is as good as
 - Our orbit propagation algorithm
 - The number of trials we run
- Heinously expensive, though
 - Millions and millions of trials
 - Each trial doing high-fidelity numerical ODE solving from epoch up through TCA

HIE Identification

Most useful to prove out the accuracy of other algorithms.



From-TCA MC

```
procedure FromTCAMC(primTCA, secTCA, trials)
    hits \leftarrow 0
    for i \in [0, ..., trials) do
        p \leftarrow \text{draw from } primTCA
        s \leftarrow \text{draw from } secTCA
        if p.propForward() collides with s.propForward() then
            hits \leftarrow hits + 1
        else if p.propBack() collides with s.propBack() then
            hits \leftarrow hits + 1
    return
```

From-TCA MC

- Faster than From-Epoch
 - Only need to propagate motion around the encounter
 - Shorter motion-prop window means lower fidelity propagation schemes can be used.
- Depends on assumptions about the shape of propagated uncertainty
 - Not really a practical issue if we're careful to sample in curvilinear coordinates.
- Still much slower than analytic methods.
 - Empirically not much better results
 - ► Not frequently used in CARA in practice



HIE Identification

Risk Remediation Maneuver Planning

HIE Response

Two choices for dealing with an HIE.

- 1. Change course
 - Costs fuel/reaction mass.
 - Generally cheaper the earlier an orbit is modified.
- Wait and see
 - Most HIEs come to nothing
 - Can self-resolve "early enough" as conjunction nears and uncertainty drops off.

Common pattern: plan maneuver immediately in response to HIE, then abort if HIE resolves before the commit point.



Maneuver Trade Space

- Several knobs to turn when modifying trajectory
 - Burn intensity
 - Burn timing
 - Satellite orientation (differential drag)
- CARA maintains analysis tools for mapping possible maneuvers to expected P_C post-maneuver.
 - Not very well documented in the public domain...

- Allows us to mitigate the risk to the primary from this particular secondary.
- What about all the other possible conjunctors?



Maneuver Validation

- Space is big.
- The lane we plan to swerve into is *probably* open.
- Approach: Plan the remediation in isolation, then pass the planned orbit back into the next round of conjunction screening.

- If no new HIEs crop up, we're good.
- Else, try planning a different maneuver.







JO Cappellari, CE Vélez, and Arthur J Fuchs. Mathematical theory of the Goddard trajectory determination system, Vol. 71106, Goddard Space Flight Center, 1976.



Doyle T Hall et al. "High fidelity collision probabilities estimated using brute force monte carlo simulations". In: AAS/AIAA Astrodynamics Specialist Conference. GSFC-E-DAA-TN58551. 2018.



Doyle T Hall. "Expected collision rates for tracked satellites". In: Journal of Spacecraft and Rockets 58.3 (2021), pp. 715-728.

HIE Identification



Frederic J Krage, Nasa spacecraft conjunction assessment and collision avoidance best practices handbook. Tech. rep. 2023.



SPACEFLIGHT SAFETY HANDBOOK FOR SATELLITE OPERATORS. Available at

https://www.space-track.org/documents/SFS Handbook For Operators V1.7.pdf, 18th and 19th Space Defense Squadron. 2023.

Self Link

The source for this presentation is hosted at https://github.com/alan-christopher/cara-edu.

