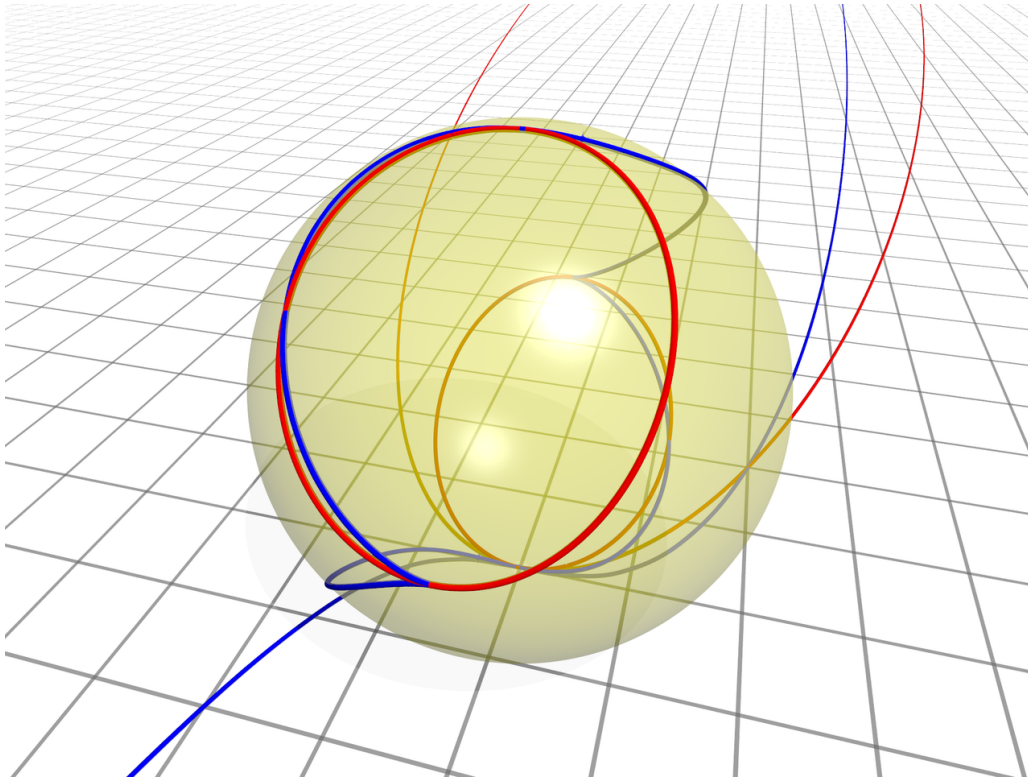


# Lecture Notes to Fundamental Concepts in Algebraic Geometry

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# Contents

|   |            |
|---|------------|
| <b>Preface</b>                            | <b>iii</b> |
| Technicalities . . . . .                  | iii        |
| <b>1 Sheaves</b>                          | <b>2</b>   |
| <b>2 Schemes</b>                          | <b>8</b>   |
| 2.1 Motivation . . . . .                  | 8          |
| 2.2 Schemes - Basic Definitions . . . . . | 8          |

# Preface

## Technicalities

These aren't formal notes related to the course and henceforward there is *absolutely no guarantee* that the recorded material is in correspondence with the course expectations, or that these notes lack any mistakes.

In fact, there probably are mistakes in the notes! I would highly appreciate if any comments or corrections were sent to me via email at [tzorani.elad@gmail.com](mailto:tzorani.elad@gmail.com).

Elad Tzorani.



# Goals of Algebraic Geometry

The main goals of algebraic geometry are the following.

- To classify algebraic varieties up to birational isomorphism. Either by enumeration of the varieties or classification by properties.
- Be able to tell whether two algebraic varieties are (birationally) isomorphic. This is done through different tools:
  - Cohomology (related to the Hodge Conjecture).
  - Vector bundles (related to K-theory).
  - Algebraic Cycles (subvarieties).
  - Coherent sheaves (derived category).
  - Topology.

# Chapter 1

## Sheaves

Let  $X \in \mathbf{Top}$ .

**Definition 1.0.1.** Let  $\mathbf{Top}_X$  be the category of open sets on  $X$  with inclusions as morphisms.

**Notation 1.0.2.** Denote by  $\mathbf{Ab}$  the category of abelian groups.

**Definition 1.0.3 (Presheaf).** A *presheaf (of groups)* on  $X$  is a contravariant functor

$$F: \mathbf{Top}_X \rightarrow \mathbf{Ab}.$$

Concretely, to give a presheaf we must give the following:

1. For each  $U \subseteq X$  open, an abelian group  $F(U)$ .
2. For inclusion  $V \hookrightarrow U$  of open sets, a group homomorphism  $F_{U,V}: F(U) \rightarrow F(V)$ .

Under the conditions:

1.  $F_{U,U} = \text{id}_{F(U)}$ .
2. If  $W \hookrightarrow V \hookrightarrow U$  then  $W \hookrightarrow U$  so the induced maps

$$\begin{array}{ccccc} F(U) & \longrightarrow & F(V) & \longrightarrow & F(W) \\ & \searrow & & \nearrow & \\ & & & & \end{array}$$

commute.

**Examples.** 1. Let

$$F(U) := \text{Hom}(U, \mathbb{R}) = \{f: U \rightarrow \mathbb{R}\}.$$

If  $V \subseteq U$  are open,

$$\begin{array}{ccc} F(U) & \xrightarrow{F_{U,V}} & F(V) \\ f & \mapsto & f|_V \end{array}.$$

This is actually also a presheaf of rings on  $X$ .

2. **The constant presheaf:** Let  $A \in \mathbf{Ab}$  and define

$$F(U) := A, \quad F_{U,V} = \text{id}_A.$$

3. Let  $k$  be an algebraically closed field and  $X$  an algebraic variety over  $k$ . We can think  $X \subseteq \mathbb{P}_k^n$ .

Define  $\mathcal{O}_X(U) := \{f: U \rightarrow k \mid f \text{ is regular}\}$ . By “regular” we mean that for all  $P \in U$  there’s a neighbourhood  $V \subseteq U$  of  $P$  such that

$$f|_V = \frac{g}{h}$$

with  $g, h \in k[x_0, \dots, x_n]$ .

4. Let  $X = \mathbb{P}^1$ . Then  $\mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1) = k$ . Also  $\mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1 \setminus \{0\}) = \left\{ \frac{g(x,y)}{h(x,y)} \mid \forall (x,y) \neq (0,1): h(x,y) \neq 0 \right\} = \left\{ \frac{g(x,y)}{x^{\deg(g)}} \right\}$ .

**Definition 1.0.4 (Section, Restriction).** For any  $F$  as above,  $s \in F(U)$  is called a **section**.  $F_{U,V}(s)$  is written as  $s|_V$  and called **restriction of  $s$  to  $V$** .

**Definition 1.0.5 (Global Section).**  $F(X) := \Gamma(X, F)$  is the **global section of  $F$** .

**Remark 1.0.6.** Why “section”? Think about  $\mathcal{O}_X(U)$  and view the diagram

$$\begin{array}{ccc} U \times k & \hookrightarrow & X \times k \\ \tilde{s} \uparrow \downarrow \pi_U & & \downarrow \pi \\ U & \hookrightarrow & X \end{array}$$

and call  $X \times k$  the **trivial line bundle**.

Take  $s \in \mathcal{O}_X(U)$  which induces a map

$$\begin{aligned} \tilde{s}: U &\rightarrow U \times k \\ x &\mapsto (X, s(x)). \end{aligned}$$

Then  $\tilde{s}$  is a section of  $\pi$  over  $U$  in the sense that  $\pi_U \circ \tilde{s} = \text{id}_U$ .

The idea of sheaves is that global sections are difficult to study topologically, but  $F(U)$  is easier to study for  $U$  small, and computation on smaller  $U$  can imply properties of  $F(U)$ .

**Definition 1.0.7 (Sheaf).** A **sheaf (over an abelian group)**  $F$  is a presheaf over an abelian group such that the following holds.

1. If  $U \subseteq X$  is open and  $\{V_i\}_{i \in I}$  is an open cover of  $U$ , and if  $s \in F(U)$  such that  $\forall i \in I: s|_{V_i} = 0$  then  $s = 0$ .
2. If  $U \subseteq X$  is open and  $\{V_i\}_{i \in I}$  is an open cover, and if

$$\forall i \in I \exists s_i \in F(V_i) \forall i, j \in I: s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$$

then

$$\exists! s \in F(U) \forall i \in I: s|_{V_i} = s_i.$$

**Remark 1.0.8.** 1. We could equivalently remove the uniqueness requirement in the second condition as it's implied by the first condition.

2. With the uniqueness condition, the first condition is implied by the second.

**Remark 1.0.9.** There is a categorical definition of a sheaf over any (not necessarily abelian) category, which might be defined later in these notes.

**Examples.** 1.  $\mathcal{O}_x$  is a sheaf on  $X$ .

2.  $F(U) = A \in \mathbf{Ab}$  is **not** in general a sheaf unless  $A = 0$ .

If  $X$  has two connected components one can choose different elements of  $A$  on two disjoint open sets, which agree on the (empty) intersection, but which we can't lift to the union.

3. Give  $A \in \mathbf{Ab}$  the discrete topology. Define  $F_A(U) := \text{Hom}_{\text{cont}}(U, A)$  the set of continuous functions  $U \rightarrow A$ . This is a sheaf.

This is sometimes called the **constant sheaf**, although it isn't actually constant. If  $U \subseteq A$  is connected then  $F_A(U) \cong A$ .

**Definition 1.0.10 (Stalk of a Sheaf).** Let  $p \in X$  and  $F$  a presheaf on  $X$ . The **stalk of  $F$  at  $p$**  is

$$F_p := \varinjlim_{p \in U \subseteq X} F(U) = \coprod_{p \in U \subseteq X} F(U) / \sim \text{ if } s|_{U \cap V} = t|_{U \cap V}.$$

**Definition 1.0.11 (Morphism of Presheaves).** Let  $F, G$  be presheaves on  $X$ , a morphism  $f: F \rightarrow G$  is a natural transformation.

**Remark 1.0.12.** Concretely, a morphism  $f: F \rightarrow G$  of presheaves is a group homomorphism

$$f_U: F(U) \rightarrow G(U)$$

for every  $U \subseteq X$  open such that the diagram

$$\begin{array}{ccc} F(U) & \xrightarrow{f_U} & G(U) \\ \text{res} \downarrow & & \downarrow \text{res} \\ F(V) & \xrightarrow{f_V} & G(V) \end{array}$$

commutes for every opens  $V \subseteq U$ .

**Definition 1.0.13 (Isomorphism of Presheaves).** A morphism of presheaves is an isomorphism if it has a two-sided inverse.

**Notation 1.0.14.** If  $\varphi: F \rightarrow G$  is a morphism there's an induced map on stalks

$$\varphi_p: F_p \rightarrow G_p$$

for every  $p \in X$  where we remind

$$\begin{aligned} F_p &= \varinjlim_{p \in U} F(U) \\ G_p &= \varinjlim_{p \in U} G(U). \end{aligned}$$

**Definition 1.0.15 (Morphism of Sheaves).** A morphism of sheaves is a morphism between the respective presheaves.

**Remark 1.0.16.** The above implies that presheaves over  $X$  are a full subcategory of sheaves over  $X$ .

**Proposition 1.0.17.** Let  $\varphi: F \rightarrow G$  be a morphism of sheaves. Then  $\varphi$  is an isomorphism iff  $\varphi_p$  is an isomorphism for every  $p \in X$ .

**Remark 1.0.18.** The above does **not** say that  $F \cong G$  iff  $F_p \cong G_p$  for every  $p \in X$ .

This isn't true.

*Proof.*  $\Rightarrow$ : This is straightforward.

$\Leftarrow$ : **Injectivity:** Let  $U \subseteq X$  open and  $s \in F(U)$  such that  $\varphi(s) = 0$ . WTS (want to show)  $s = 0$ .

Observe the following commutative diagram.

$$\begin{array}{ccc} F(U) & \xrightarrow{\varphi} & G(U) \\ \downarrow & & \downarrow \\ F_p & \xrightarrow{\varphi_p} & G_p \end{array}$$

$\varphi_p(s_p) = 0$  implies by injectivity  $s_p = 0$ . Then

$$\exists p \in W_p \subseteq U: s|_{W_p} = 0.$$

We can cover  $U$  by these  $\{W_p\}_{p \in U}$  is a cover over  $U$  and by the first sheaf condition we get  $s = 0$ .

**Surjectivity:** We want to show that  $\varphi_U: F(U) \rightarrow G(U)$  is surjective for every  $U \subseteq X$  open.

Let  $s \in G(U)$ , we consider the following commutative diagram for every  $p \in X$ .

$$\begin{array}{ccc} F(U) & \xrightarrow{\varphi} & G(U) \\ \downarrow & & \downarrow \\ F_p & \xrightarrow{\varphi_p} & G_p \end{array}$$



Take  $s \in G(U)$  from which we get  $s_p \in G_p$ . Then by surjectivity of  $\varphi_p$  there's  $\tilde{t}_p \in F_p$  such that  $\varphi_p(\tilde{t}_p) = s_p$ . Then there's  $p \in W_p \subseteq U$  and  $t_p \in F(W_p)$  such that  $\varphi_{W_p}(t_p) = s|_{W_p}$ . We want to glue the  $t_p$  to get  $t \in F(U)$ .

Check that the overlaps agree by showing that for  $P, Q \in U$ ,  $t_P, t_Q$  agree. Indeed,

$$\begin{aligned} \varphi(t_P|_{W_P \cap W_Q}) &= \varphi(t_P)|_{W_P \cap W_Q} \\ &= (s|_{W_P})|_{W_P \cap W_Q} \\ &= [\text{same computation}] \\ &= \varphi(t_Q|_{W_P \cap W_Q}) \end{aligned}$$

and by injectivity we get

$$\forall P, Q \in U: t_P|_{W_P \cap W_Q} = t_Q|_{W_P \cap W_Q}.$$

Since  $F$  is a sheaf, there's  $t \in F(U)$  such that  $t|_{W_p} = t_p$ .

We want to check that  $\varphi(t) = s \in G(U)$ . Because  $G$  is a sheaf we can check this locally.

$$\begin{aligned} \varphi(t)|_{W_p} &= \varphi(t|_{W_p}) \\ &= \varphi(t_p) \\ &= s|_{W_p} \end{aligned}$$

Then by uniqueness of the restrictions  $\varphi(t) = s$ , so  $\varphi$  is indeed surjective. ■

**Definition 1.0.19.** Let  $\varphi: F \rightarrow G$  a morphism of presheaves of abelian groups. Define the following presheaves.

$$\begin{aligned} (\ker \varphi)U &:= \ker(\varphi_U) \subseteq F(U) \\ (\widetilde{\text{coker}} \varphi)(U) &:= \text{coker}(\varphi_U) = G(U) / \varphi(F(U)) \\ (\widetilde{\text{Im}} \varphi)(U) &= \text{Im}(\varphi_U) \subseteq G(U) \end{aligned}$$

$\ker \varphi$  is a sheaf if  $F, G$  are. The other two aren't necessarily sheaves in this case.

In order to define cokernel and image which are sheaves, we produce a functor from presheaves to sheaves, which is adjoint to the forgetful functor.

**Proposition 1.0.20 (Sheafification).** Let  $F$  be a presheaf on  $X$ , there's a sheaf  $F^+$  and a morphism  $\theta: F \rightarrow F^+$  such that the following holds.

If  $G$  is a sheaf and  $F \rightarrow G$  is a morphism of presheaves, there's a unique morphism  $\psi: F^+ \rightarrow G$  such that the following diagram commutes.

$$\begin{array}{ccc} F & \xrightarrow{\quad} & G \\ \theta \searrow & & \nearrow \psi \\ & F^+ & \end{array}$$

Moreover,  $(F^+, \theta)$  is unique up to unique isomorphism. I.e if  $F \xrightarrow{\theta'} F'^+$  is another such object, there's a unique isomorphism such that the following commutes.

$$\begin{array}{ccc} F & \xrightarrow{\theta'} & (F')^+ \\ \theta \searrow & & \downarrow \wr \\ & F^+ & \end{array}$$

*Proof.* Define  $F^+$  as follows. For  $U \subseteq X$  open let

$$F^+(U) = \left\{ s: U \rightarrow \prod_{p \in U} F_p \mid \begin{array}{l} \forall p \in U: s(p) \in F_p \\ \forall p \in U \exists p' \in V \subseteq U \exists t \in F(V) \forall Q \in V: t_Q = s(Q) \in F_Q \end{array} \right\}.$$

Check that this is a sheaf.

Define

$$\begin{aligned}\theta: F &\rightarrow F^+ \\ F(U) &\rightarrow F^+(U) \\ s \in F(U) &\mapsto \left[ \begin{smallmatrix} U \rightarrow \coprod_{p \rightarrow s_p} F_p \end{smallmatrix} \right].\end{aligned}$$

Check that  $(F^+, \theta)$  satisfies the universal property. ■

We have the following properties.

**Proposition 1.0.21.** 1. If  $F$  is a sheaf,  $\theta: F \rightarrow F^+$  is an isomorphism.

This follows from the universal property.

2. If  $p \in X$  then  $F_p \cong (F^+)_p$  via  $\theta$ .

**Definition 1.0.22 (Subsheaf).** Let  $F$  a sheaf, a **subsheaf**  $F'$  is a sheaf such that the diagram

$$\begin{array}{ccc} F'(U) & \hookrightarrow & F(U) \\ \downarrow & & \downarrow \\ F'(V) & \hookrightarrow & F(V) \end{array}$$

commutes for all  $V \subseteq U$ .

**Definition 1.0.23 (coker, Im).** Let  $\varphi: F \rightarrow G$  a morphism of sheaves then  $\ker \varphi$  is already a sheaf.

Define

$$\begin{aligned}\operatorname{Im} \varphi &:= \left( \widetilde{\operatorname{Im} \varphi} \right)^+ \\ \operatorname{coker} \varphi &:= \left( \widetilde{\operatorname{coker} \varphi} \right)^+.\end{aligned}$$

**Remark 1.0.24.** By the universal property  $\operatorname{Im} \varphi \hookrightarrow G$  is an injection.

**Definition 1.0.25 (Injective Morphism).** We say  $\varphi$  is **injective** if  $\ker \varphi = 0$ , i.e. if  $\varphi_U$  is injective for every  $U$ .

**Definition 1.0.26 (Surjective Morphism).** We say  $\varphi$  is **surjective** if  $\operatorname{Im} \varphi = G$ .

**Remark 1.0.27.** Surjectivity is **not** equivalent to  $\varphi_U$  being surjective for all  $U$ .

**Definition 1.0.28 (Exact Sequence).** Say a sequence of sheaves

$$\cdots \rightarrow F_{-2} \xrightarrow{\varphi_{-2}} F_{-1} \xrightarrow{\varphi_{-1}} F_0 \xrightarrow{\varphi_0} F_1 \xrightarrow{\varphi_1} \cdots$$

is **exact** if  $\ker \varphi_i = \operatorname{Im} \varphi_{i-1}$ .

If  $F' \subseteq F$ , define  $F' / F$  to be the sheafification of the presheaf

$$U \mapsto F'(U) / F(U).$$

**Definition 1.0.29 (Pushforward and Inverse Image Sheaves).** Let  $f: X \rightarrow Y$  be a continuous map of topological spaces.

Let  $F$  be a sheaf on  $X$ , we define for  $V \subseteq Y$  open

$$(f_* F)(V) := F(f^{-1}(V)).$$

Then  $f_*F$  is a sheaf on  $Y$  and is called the *pushforward of  $F$* .

If  $f: X \rightarrow Y$  and  $G$  a sheaf on  $Y$ . We define for  $U \subseteq X$  open

$$(f^{-1}G)(U) = \left( \varinjlim_{V \supseteq f(U)} G(V) \right)^+.$$

Then  $f^{-1}G$  is a sheaf and called the *inverse image sheaf*.

**Remark 1.0.30.**  $f_*$  and  $f^{-1}$  are functors between sheaves on  $X$  and  $Y$ .

**Exercise 1.**  $f_*$  and  $f^{-1}$  are adjoint functors. I.e.

$$\mathrm{Hom}_X(f^{-1}G, F) \cong \mathrm{Hom}_Y(G, f_*F)$$

naturally.

**Notation 1.0.31.** Let  $Z \subseteq X$  and  $i: Z \hookrightarrow X$  the inclusion map. For a sheaf  $F$  on  $X$  we write  $F|_Z$  instead of  $i^{-1}F$ .

**Exercise 2.** Assume  $F(A) = A \in \mathbf{Ab}$  and that  $\theta U \subseteq X$ . Let

$$F_A(U) := \mathrm{Hom}_{\mathrm{cont}}(U, A),$$

we get  $F_A \cong F^+$ .

# Chapter 2

## Schemes

### 2.1 Motivation

We notice that in classical algebraic geometry the interesting object of an algebraic variety is its ring of functions  $R$ . It induces a map on the points which are  $\text{mSpec}(R)$ .

There is however the problem that this lacks functoriality. Images and preimages of maximal ideals aren't necessarily maximal. For this one looks at the space of prime ideals  $\text{Spec}(R)$ . If we look at  $R = \mathbb{C}[x]$  we get  $\text{Spec } R = \mathbb{A}^1 \cup \{(0)\}$ . We don't have a natural way to add  $(0)$  to the topology of  $\mathbb{A}^1$ . In higher dimension this is even more problematic. However, these points have important meaning which we want to understand through the notion of schemes.

### 2.2 Schemes - Basic Definitions

Let  $A \in \mathbf{Ring}$ , we define  $\text{Spec } A$  as the space of prime ideals of  $A$ .

Given  $\mathfrak{a} \trianglelefteq A$  we define

$$V(\mathfrak{a}) = \{\mathfrak{p} \in \text{Spec}(A) \mid \mathfrak{p} \supseteq \mathfrak{a}\}.$$

This is in bijection with  $\text{Spec}(A/\mathfrak{a})$ .

**Lemma 2.2.1.** 1. Let  $\mathfrak{a}_1, \mathfrak{a}_2 \trianglelefteq A$ . Then

$$V(\mathfrak{a}_1 \mathfrak{a}_2) = V(\mathfrak{a}_1) \cup V(\mathfrak{a}_2).$$

2. If  $\{\mathfrak{a}_i\}_{i \in I}$  are ideals of  $A$  then

$$V\left(\sum_{i \in I} \mathfrak{a}_i\right) = \bigcap_{i \in I} V(\mathfrak{a}_i).$$

3. If  $\mathfrak{a}_1, \mathfrak{a}_2 \trianglelefteq A$  then

$$V(\mathfrak{a}_1) \subseteq V(\mathfrak{a}_2) \iff \sqrt{\mathfrak{a}_1} \supseteq \sqrt{\mathfrak{a}_2}.$$

*Proof.* See Hartshorne. ■

**Remark 2.2.2.**  $V(A) = \emptyset$  and  $V((0)) = \text{Spec } A$ .

**Definition 2.2.3 (Zariski Topology).** We give  $\text{Spec } A$  the topology defined by the closed sets  $V(\mathfrak{a})$  for  $\mathfrak{a} \trianglelefteq A$ . This is called the *Zariski topology*.

**Definition 2.2.4.** Define a sheaf of rings  $\mathcal{O} = \mathcal{O}_A$  on  $\text{Spec } A$  as follows. For  $U \subseteq \text{Spec } A$  open we define

$$\mathcal{O}(U) := \left\{ s: U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}} \mid \begin{array}{l} \forall \mathfrak{p} \in U: s(\mathfrak{p}) \in A_{\mathfrak{p}} \\ \forall \mathfrak{p} \in U \exists \mathfrak{p}' \in V \subseteq U \exists a, f \in A (f \notin \mathfrak{p}' \wedge \forall \mathfrak{q} \in V: s(\mathfrak{q}) = \frac{a}{f} \in A_{\mathfrak{q}}) \end{array} \right\}.$$

**Proposition 2.2.5.** •  $\mathcal{O}(U)$  is a commutative unital ring.

- If  $V \subseteq U$ , the map

$$\begin{aligned} \mathcal{O}(U) &\rightarrow \mathcal{O}(V) \\ s &\mapsto s|_V \end{aligned}$$

is a homomorphism of rings.

- $\mathcal{O}$  is a sheaf on  $\text{Spec } A$ .

**Definition 2.2.6.** If  $f \in A$ , set

$$D(f) := \text{Spec } A \setminus V(f) = \{\mathfrak{p} \in \text{Spec } A \mid f \notin \mathfrak{p}\}.$$

**Lemma 2.2.7.** The sets  $D(f)$  are a basis for the Zariski topology on  $\text{Spec } A$ .

*Proof.* In Hartshorne. ■

**Proposition 2.2.8.** 1. If  $\mathfrak{p} \in \text{Spec } A$  then the stalk  $\mathcal{O}_{\mathfrak{p}}$  of  $\mathcal{O}$  at  $\mathfrak{p}$  is isomorphic to  $A_{\mathfrak{p}}$ .

2.  $\mathcal{O}(D(f)) \cong A_f$ .

3.

$$\Gamma(\text{Spec } A, \mathcal{O}) = \mathcal{O}_A(\text{Spec } A) = \mathcal{O}(D(1)) \cong A_1 \cong A.$$

We want to give intuition for the sheaf  $\mathcal{O}_A$  on  $\text{Spec } A$ . Let  $U \subseteq X$  open and  $s \in \mathcal{O}_A(U)$ . Then

$$s: U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}}.$$

$A_{\mathfrak{p}}$  is a local ring with maximal ideal  $\mathfrak{p}A_{\mathfrak{p}}$ . Then

$$k_{\mathfrak{p}} := A_{\mathfrak{p}} / \mathfrak{p}A_{\mathfrak{p}}$$

is a field called *the residue field of  $A$  at  $\mathfrak{p}$* .

From  $s \in \mathcal{O}(U)$  we get a map

$$\tilde{s}: U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}} \rightarrow \prod_{\mathfrak{p} \in U} k_{\mathfrak{p}}.$$

**Example 1.** Let  $A = \mathbb{Z}$ , we have

$$\text{Spec } A = \{(0), (2), (3), (5), (7), \dots\}.$$

Let

$$U = D(15) = \text{Spec } \mathbb{Z} \setminus V(15) = \text{Spec } (\mathbb{Z}) \setminus \{(3), (5)\}.$$

The above proposition says

$$\mathcal{O}_{\text{Spec } \mathbb{Z}}(U) = \mathbb{Z} \left[ \frac{1}{15} \right].$$

Take  $\frac{11}{5} \in \mathbb{Z} \left[ \frac{1}{5} \right]$ . Thinking of maps of the form  $\tilde{s}$  we have maps

$$(p) \mapsto \frac{11}{5} \in \mathbb{Z}_{\mathfrak{p}} \mapsto \frac{11}{5} \in \mathbb{F}_{\mathfrak{p}}$$

and

$$(0) \mapsto \frac{11}{5} \in \mathbb{Q} \mapsto \frac{11}{5} \in \mathbb{Q}.$$

*Proof (2.2.8).* 1. Define

$$\begin{aligned} \mathcal{O}_{\mathfrak{p}} &\xrightarrow{\varphi} A_{\mathfrak{p}} \\ (\mathfrak{p} \in U, s) &\mapsto s(\mathfrak{p}). \end{aligned}$$

**Surjectivity:** Let  $\frac{a}{f} \in A_{\mathfrak{p}}$ , so  $a \in A$  and  $f \notin \mathfrak{p}$ . Take  $U = D(f)$ . Then

$$s: D(f) \rightarrow \coprod_{\mathfrak{q} \in D(f)} A_{\mathfrak{q}}$$

$$\mathfrak{q} \mapsto \frac{a}{f} \in A_{\mathfrak{q}}.$$

Then  $\varphi(s) = \frac{a}{f}$ .

**Injectivity:** Suppose  $\mathfrak{p} \in U \subseteq \text{Spec } A$  and  $s, t \in \mathcal{O}(U)$  such that  $\varphi(s) = \varphi(t)$ . So  $s(\mathfrak{p}) = t(\mathfrak{p})$ .

By definition of  $\mathcal{O}$  we can shrink  $U$  so that

$$s = \frac{a}{p}, \quad t = \frac{b}{g}$$

where  $f, g \notin \mathfrak{p}$ .

Then  $\frac{a}{f} = \frac{b}{g} \in A_{\mathfrak{p}}$  so

$$\exists h \notin \mathfrak{p}: h(ga - fb) = 0 \in A.$$

Let

$$V = D(f) \cap D(g) \cap D(h)$$

then  $\forall \mathfrak{q} \in V \subseteq U: S_{\mathfrak{q}} = t_{\mathfrak{q}}$ . So

$$s|_V = t|_V$$

so  $s = t \in \mathcal{O}_{\mathfrak{p}}$ .

2. Let

$$\psi: A_f \rightarrow \mathcal{O}(D(f))$$

$$\frac{a}{f} \mapsto \left\{ D(f) \rightarrow \prod_{\mathfrak{q} \in D(f)} A_{\mathfrak{q}} \right. \\ \left. \mathfrak{q} \mapsto \frac{a}{f^n} \in A_{\mathfrak{q}} \right.$$

Then  $\psi$  is

**Injective:**  $\psi\left(\frac{a}{f^n}\right) = \psi\left(\frac{b}{f^m}\right)$  hence  $\frac{a}{f^n} = \frac{b}{f^m}$  in  $A_{\mathfrak{q}}$ . Hence

$$\exists h_{\mathfrak{p}} \notin \mathfrak{p}: h_{\mathfrak{p}}(f^m a - f^n b) = 0.$$

Set  $\mathfrak{a} = \text{Ann}(f^m a - f^n b)$ . So  $h_{\mathfrak{p}} \in \mathfrak{a} \setminus \mathfrak{p}$  hence  $\mathfrak{a} \not\subseteq \mathfrak{p}$ . Hence  $V(\mathfrak{a}) \cap D(f) = \emptyset$ . Hence  $V(\mathfrak{a}) \subseteq V(f)$  hence  $f \in \sqrt{\mathfrak{a}}$  so  $f^+ \in \mathfrak{a}$  so  $f^+(f^m a - f^n b) = 0$  so  $\frac{a}{f^n} = \frac{b}{f^m}$  in  $A_f$  so  $\psi$  is injective.

**Surjective:** We have

$$\psi: A_f \rightarrow \mathcal{O}(D(f))$$

$$\frac{a}{f^n} \mapsto \left[ \mathfrak{p} \mapsto \frac{a}{f^n} \right].$$

We notice two big facts.

**Fact 2.2.9.**

$$D(f) \subseteq D(g) \iff \exists n \in \mathbb{N}_+: f^n \in (g)$$

(Exercise / See Hartshorne or Atiyah McDonald).

**Fact 2.2.10.** If  $D(f) \subseteq \bigcup_{i \in I} D(f_i)$  then  $D(f) \subseteq \bigcup_{j \in J} D(f_j)$  for some  $J \subseteq I$  finite.

Let  $s \in \mathcal{O}(D(f))$ , we cover  $D(f)$  by  $\{V_i\}_{i \in I}$  such that  $s|_{V_i} = \frac{a_i}{g_i}$  and  $\forall \mathfrak{p} \in V_i: g_i \notin \mathfrak{p}$ . So  $V_i \subseteq D(g_i)$ . Check, using the first fact above, that we may assume that  $V_i = D(g_i)$ . Moreover, by the second fact we may assume  $I$  is finite.

Note that

$$\frac{a_i}{g_i} = \frac{a_j}{g_j}$$

on  $D(g_i) \cap D(g_j) = D(g_i g_j)$ , i.e. the equality holds in  $A_{g_i g_j}$ . There's  $n_{i,j}$  such that

$$(g_i g_j)^{n_{i,j}} (g_j a_i - g_i a_j) = 0$$

and because the index set is finite we may assume  $n_{i,j} = n$  for all  $i, j$ .

Then

$$g_j^{n+1} (g_i^n A_i) - g_i^{n+1} (g_j^n A_j) = 0.$$

Replace  $g_i$  by  $g_i^{n+1}$  and  $a_i$  by  $g_i^n a_i$ . We use  $D(g_i) = D(g_i^{n+1})$ . Then

$$\forall i, j: g_j a_i = g_i a_j.$$

Since  $D(g_i)$  cover  $D(f)$ . So  $f \in \sqrt{\sum_i (g_i)}$  so there's  $m \in \mathbb{N}$  such that  $f^m = \sum_i b_i g_i$  for some  $b_i \in A$ . Let  $a = \sum_i b_i a_i$ . Then

$$g_j a = \sum_i b_i a_i g_j = \sum_i b_i g_i a_j = f^n a_j.$$

Hence

$$\frac{a}{f^m} = \frac{a_j}{g_j}$$

on  $D(g_j)$  hence

$$\psi\left(\frac{a}{f^m}\right) = s$$

so  $\psi$  is surjective. ■

**Remark 2.2.11.** There's some kind of analogy between  $\text{Spec } \mathbb{Z}$  and  $\text{Spec } \mathbb{C}[x] = \mathbb{A}^1$ .

**Definition 2.2.12 (Ringed Space).** A *ringed space* is a pair  $(X, \mathcal{O}_X)$  where  $X \in \mathbf{Top}$  and  $\mathcal{O}_X \in \mathbf{Sh}(X, \mathbf{Ring})$  is a sheaf of rings on  $X$ .

**Definition 2.2.13 (Morphism of Ringed Spaces).** A morphism  $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  of ringed spaces is a pair  $(f, f^\#)$  where  $f: X \rightarrow Y$  is a continuous map and  $f^\#: \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$  is a morphism of sheaves on  $Y$ .

**Definition 2.2.14 (Locally Ringed Space).** A ringed space  $(X, \mathcal{O}_X)$  is a *locally ringed space* if for all  $o \in X$  the stalk  $\mathcal{O}_{X,p}$  of  $p$  is a local ring.

**Definition 2.2.15 (Local Homomorphism).** Let  $R, S \in \mathbf{Ring}$  both local with respective maximal ideals  $\mathfrak{m}_R, \mathfrak{m}_S$ .

A ring homomorphism

$$\varphi: R \rightarrow S$$

is *local* if  $\varphi^{-1}(\mathfrak{m}_S) = \mathfrak{m}_R$ .

**Definition 2.2.16 (Morphism of Locally Ringed Spaces).** A *morphism of locally ringed spaces* is a morphism

$$(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$$

is a pair  $(f, f^\#)$  such that for all  $p \in X$ ,

$$f_p^\#: \mathcal{O}_{Y,f(p)} = \varinjlim_{V \ni f(p)} \mathcal{O}_Y(V) \rightarrow \varinjlim_{V \ni f(p)} \mathcal{O}_X(f^{-1}V) \rightarrow \varinjlim_{p \in U} \mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,p}$$

is a local homomorphism.

**Remark 2.2.17.**  $(f, f^\#)$  is an isomorphism iff  $f$  is a homeomorphism and  $f^\#$  is an isomorphism of sheaves.

**Example 2.** To understand what  $(f_*\mathcal{O}_X)_{f(p)}$  is, consider  $f(x) = x^2$  where

$$f: \operatorname{Spec} \mathbb{C}[t] = \mathbb{A}^1 \xrightarrow{f} \mathbb{A}^1.$$

Look at the stalk of  $f_*\mathcal{O}_{\mathbb{A}^1}$  at the points  $x = 0$  and  $x = 1$ . At  $x = 1$  the map  $f$  isn't a local homeomorphism, and indeed the stalk isn't a local ring. It has two maximal ideals. At  $x = 0$  the stalk is in fact a local ring.

**Proposition 2.2.18.** 1. Let  $A \in \mathbf{Ring}$ . Then  $(\operatorname{Spec} A, \mathcal{O}_A)$  is a locally ringed space.

2. If  $\varphi: A \rightarrow B$  is a homeomorphism of rings, then  $\varphi$  induces a morphism

$$(f, f^\#): (\operatorname{Spec} B, \mathcal{O}_B) \rightarrow (\operatorname{Spec} A, \mathcal{O}_A)$$

of locally ringed spaces.

3. Any morphism

$$(\operatorname{Spec} B, \mathcal{O}_B) \rightarrow (\operatorname{Spec} A, \mathcal{O}_A)$$

of locally ringed spaces is induced from such a ring homomorphism.

*Proof.* 1. This is true since  $\mathcal{O}_{A, \mathfrak{p}} \cong A_{\mathfrak{p}}$  which is a local ring.

2. Given  $\varphi: A \rightarrow B$  we define

$$\begin{aligned} f: \operatorname{Spec} B &\rightarrow \operatorname{Spec} A \\ \mathfrak{p} &\mapsto \varphi^{-1}(\mathfrak{p}). \end{aligned}$$

For every  $\mathfrak{a} \subseteq A$  we have

$$f^{-1}(V(\mathfrak{a})) = V(\varphi(\mathfrak{a})).$$

Hence  $f$  is continuous.

For  $V \subseteq \operatorname{Spec}(A)$  open define

$$\begin{aligned} f_V^\#: \mathcal{O}_A(V) &\rightarrow \mathcal{O}_B(f^{-1}(V)) \\ \left\{ s: V \rightarrow \prod_{\mathfrak{q} \in V} A_{\mathfrak{q}} \right\} &\mapsto [\mathfrak{p} \mapsto \varphi_{\mathfrak{p}}(s(f(\mathfrak{p})))] \end{aligned}$$

where  $\varphi_{\mathfrak{p}}: A_{\varphi^{-1}(\mathfrak{p})} \rightarrow B_{\mathfrak{p}}$  and by construction  $\varphi_{\mathfrak{p}}$  is a local homeomorphism. The induced map  $f_p^\#$  is  $\varphi_p$ , so  $(f, f^\#)$  is a morphism of locally ringed spaces.

3. Given  $(f, f^\#): \operatorname{Spec} B \rightarrow \operatorname{Spec} A$  where  $f^\#: \mathcal{O}_a \rightarrow f_*\mathcal{O}_B$  we get

$$\varphi: \mathcal{O}_A(\operatorname{Spec} A) \rightarrow (f_*\mathcal{O}_B)(\operatorname{Spec} A).$$

We get a diagram

$$\begin{array}{ccc} \varphi: \mathcal{O}_A(\operatorname{Spec} A) & \xrightarrow{\varphi} & (f_*\mathcal{O}_B)(\operatorname{Spec} A) \\ \downarrow & & \downarrow \\ A_{f(\mathfrak{p})} & \xrightarrow{f_{\mathfrak{p}}^\#} & B_{\mathfrak{p}} \end{array}$$

where  $f_{\mathfrak{p}}^\#$  is local. So  $\varphi^{-1}(\mathfrak{p}) = f(\mathfrak{p})$ .

Now the end of the proof follows from the following exercise.

**Exercise 3.** If  $\psi_1, \psi_2: F \rightarrow G$  are morphisms of sheaves on  $X$  and  $\psi_{1,p} = \psi_{2,p}$  for all  $p \in X$  then  $\psi_1 = \psi_2$ .

**Solution.** Consider  $\psi = \psi_1 - \psi_2$ . Then the induced morphisms  $\psi_P$  on stalks are zero. Consider

$$0 \rightarrow \ker \psi \rightarrow F \xrightarrow{\psi} G.$$

We get for every  $P$  the diagram

$$0 \rightarrow (\ker \psi)_P \rightarrow F_P \xrightarrow{\psi_P=0} G_P.$$

Then the middle map is an isomorphism for every  $P$ , so the inclusion  $\ker \psi \rightarrow F$  is an isomorphism, so  $F = \ker \psi$  from which  $\psi = 0$ .



**Warning:** Let  $F, G$  be sheaves on  $X$  and consider

$$\underline{\mathrm{Hom}}(F, G)(U) = \mathrm{Hom}(F|_U, G|_U).$$

We have that  $\psi$  is a global section of this hom sheaf which is zero on every stalk. There's a map

$$\underline{\mathrm{Hom}}(F, G)_P \rightarrow \mathrm{Hom}(F_P, G_P).$$

This however isn't generally an isomorphism. ■

**Definition 2.2.19 (Affine Scheme).** An *affine scheme* is a locally ringed space  $(X, \mathcal{O}_X)$  which is isomorphic to  $(\mathrm{Spec}_A, \mathcal{O}_A)$  for some  $A \in \mathbf{Ring}$ .

**Definition 2.2.20 (Scheme).** A *scheme* is a locally ringed space  $(X, \mathcal{O}_X)$  with an open cover  $(U_i)_{i \in I}$  such that  $(U_i, \mathcal{O}_X|_{U_i})$  is an affine scheme for all  $i \in I$ .

**Definition 2.2.21 (Structure Sheaf).**  $\mathcal{O}_X$  is the *structure sheaf* of the scheme  $X$ .

**Remark 2.2.22.** We usually write  $X$  instead of  $(X, \mathcal{O}_X)$  and remember there is an associated structure sheaf  $\mathcal{O}_X$ .

**Examples.** 1. If  $k \in \mathbf{Field}$  then  $\mathrm{Spec} k = \{(0)\}$  is a scheme with  $\mathcal{O}_{\mathrm{Spec} k}(\mathrm{Spec} k) = k$ .

2. If  $k \in \mathbf{Field}$  then  $R := k[\varepsilon]/\varepsilon^2 = k + k\varepsilon$  is a local ring with maximal ideal  $\mathfrak{m} := (\varepsilon) = k\varepsilon$ . Then

$$X := \mathrm{Spec} \left( k[\varepsilon]/\varepsilon^2 \right) = \{\mathfrak{m}\}$$

is a scheme  $\mathcal{O}_X(X) = R$ .

The map

$$\begin{aligned} R &\rightarrow k \\ \varepsilon &\mapsto 0 \end{aligned}$$

induces a morphism of schemes

$$\mathrm{Spec} k \hookrightarrow \mathrm{Spec} k[\varepsilon]/\varepsilon^2.$$

This is an homeomorphism but not an isomorphism of schemes.

The intuition is that  $\mathrm{Spec} k$  is a point and  $X$  is a fattened point, as it's in some sense given by looking at both the point and its tangent space.

3. Let  $p$  a prime number and let

$$X := \mathrm{Spec} \mathbb{Z}_{(p)} = \left\{ \frac{a}{b} \mid \begin{array}{l} a, b \in \mathbb{Z} \\ b \notin p\mathbb{Z} \end{array} \right\}.$$

Then  $X = \{p\mathbb{Z}_{(p)}, (0)\}$ . The point  $p\mathbb{Z}_{(p)}$  is closed point (in the case of affine schemes this is equivalent to maximality). The point  $(0)$  is open and dense (it's not closed, and  $|X| = 2$ ).

- We have

$$\mathcal{O}_X((0)) \cong \mathcal{O}_{X, p\mathbb{Z}_{(p)}} \cong \mathcal{O}_X(D(p)) \cong (\mathbb{Z}_{(p)})_p \cong \mathbb{Q}.$$

- The residue field at  $p\mathbb{Z}_{(p)}$  is  $\mathbb{F}_p$ .  
The residue field at  $(0)$  is  $\mathbb{Q}$ .

4. Define  $\mathbb{A}_k^1 := \mathrm{Spec} k[x]$ . Then

$$\mathbb{A}_k^1 = \{(0)\} \cup \{(f(x)) \mid f \text{ is irreducible}\}.$$

The ideals coming from irreducible polynomials are maximal ideals, hence closed points. The point  $(0)$  is dense in  $\mathbb{A}_k^1$  and is called *the generic point*.

If  $k = \bar{k}$  then the closed points are in bijection with the field  $k$  as they come from polynomials of the form  $x - \alpha$ . Otherwise, the closed points are in bijection with monic irreducible polynomials, which we can think of as the  $\mathrm{Gal}(\bar{k}/k)$  orbits of elements in  $k$ .

5. Let  $\mathbb{A}_k^n = \text{Spec}(k[x_1, \dots, x_n])$ . If  $k = \bar{k}$  we have

$$\mathbb{A}_k^2 = \{(0)\} \cup \{(x_1 - t_1, x_2 - t_2) \mid t_1, t_2 \in k\} \cup \{(f(x, y)) \mid f \text{ is irreducible}\} \cup \dots$$

The point  $(0)$  is the generic point which is here not dense or open. The points in the second set are closed and the points in the third set are with closure containing all closed points  $(t_1, t_2)$  such that  $f(t_1, t_2) = 0$ .

**Remark 2.2.23.** If  $F$  is a sheaf on  $X$  then  $F(ns) = 0$ .

It follows from covering the empty set by the empty open cover and using the sheaf axioms.

**Definition 2.2.24 (Disjoint Union of Schemes).** Let  $X, Y$  be schemes, and let  $i_X: X \hookrightarrow X \amalg Y$  and  $i_Y: Y \hookrightarrow X \amalg Y$  we define  $X \amalg Y$  to be  $(X \amalg Y, (i_X)_* \mathcal{O}_X \oplus (i_Y)_* \mathcal{O}_Y)$ .

**Definition 2.2.25 (Gluing of Schemes).** Let  $X, Y$  be schemes and let  $U \subseteq X, V \subseteq Y$  be open sets. Suppose

$$\varphi: (U, \mathcal{O}_X|_U) \xrightarrow{\sim} (V, \mathcal{O}_Y|_V)$$

is an isomorphism of locally ringed spaces. Suppose  $i: X \hookrightarrow Z$  and  $j: Y \hookrightarrow Z$  be topological inclusions.

We define the **gluing**  $Z = X \amalg Y / \varphi$  to be

$$(X \amalg Y / \varphi, \mathcal{O}_Z)$$

where for  $W \subseteq Z$ ,

$$\mathcal{O}_Z(W) = \left\{ (s, s') \mid \begin{array}{l} s \in \mathcal{O}_X(i^{-1}W) \\ s' \in \mathcal{O}_Y(j^{-1}W) \end{array} \text{ such that } \varphi(s|_{U \cap i^{-1}W}) = s'|_{V \cap j^{-1}W} \right\}.$$

This is a scheme with scheme maps  $X \hookrightarrow Z$  and  $Y \hookrightarrow Z$ .

**Remark 2.2.26.** One can interpret the gluing as a special case of a pushout in the category of schemes.

**Example 3.** We can glue  $\mathbb{A}^1$  to itself along  $\mathbb{A}^1 \setminus \{0\}$  using the identity on  $\mathbb{A}^1 \setminus \{0\}$  as the gluing map  $\varphi$ . We get a line with a double point at 0.

**Example 4.** We can glue  $\mathbb{A}_k^1$  to  $\mathbb{A}_k^1$  by identifying  $\mathbb{A}^1 \setminus \{0\}$  with

$$\mathbb{A}^1 \setminus \{0\} = \text{Spec } k \left[ x, \frac{1}{x} \right] \subseteq \mathbb{A}_k^1$$

under  $x \mapsto \frac{1}{x}$ .

We get  $\mathbb{P}_k^1$ .

Let  $S$  be an  $\mathbb{N}$ -graded ring, so  $S = \bigoplus_{d \geq 0} S_d$  with each  $S_d$  an abelian group such that  $S_d \cdot S_e \subseteq S_{d+e}$ .

**Definition 2.2.27 (Homogeneous Element).** An element  $s \in S$  is **homogeneous** if  $s \in S_d$  for some  $d \in \mathbb{N}$ .

**Example 5.** Let  $k \in \mathbf{Field}$ . Then  $S = k[X]$  is graded with  $S_d = kx^d$ .

$S' = k[x, y, z]$  is graded with  $S_d$  the set of monomials of total degree  $d$ .

**Definition 2.2.28 (Homogeneous Ideal).** An ideal  $\mathfrak{a} \subseteq S$  is **homogeneous** if

$$\mathfrak{a} = \bigoplus_{d \geq 0} (\mathfrak{a} \cap S_d).$$

**Fact 2.2.29.** An homogeneous ideal is equivalently an ideal generated by homogeneous elements.

**Notation 2.2.30.** Denote

$$S_+ := \bigoplus_{d \geq 1} S_d.$$

**Definition 2.2.31.** Let  $S$  be an  $\mathbb{N}$ -graded ring, we define

$$\text{Proj} S := \{\mathfrak{p} \in S \mid \mathfrak{p} \text{ is a homogeneous prime ideal not containing } S_+\}.$$

**Definition 2.2.32.** For any  $\mathfrak{a} \trianglelefteq S$  homogeneous let

$$V(\mathfrak{a}) = \{\mathfrak{p} \in \text{Proj} S \mid \mathfrak{p} \supseteq \mathfrak{a}\}.$$

**Lemma 2.2.33.**

$$\begin{aligned} V(\mathfrak{a}_1 \mathfrak{a}_2) &= V(\mathfrak{a}_1) \cup V(\mathfrak{a}_2) \\ V\left(\sum_{i \in I} \mathfrak{a}_i\right) &= \bigcap_{i \in I} V(\mathfrak{a}_i) \end{aligned}$$

**Definition 2.2.34.** We put the Zariski topology on  $\text{Proj} S$  such that  $Z \subseteq \text{Proj} S$  is closed iff  $Z = V(\mathfrak{a})$  for some homogeneous ideal  $\mathfrak{a} \subseteq S$ .

**Definition 2.2.35** ( $\mathcal{O}_{\text{Proj} S}$ ). For  $\mathfrak{p} \in \text{Proj} S$  let

$$T_{\mathfrak{p}} := \left\{ s \in S \mid \begin{array}{l} s \text{ is homogeneous} \\ s \notin \mathfrak{p} \end{array} \right\}.$$

Let  $T_{\mathfrak{p}}^{-1} S$  the localisation of  $S$  at  $T_{\mathfrak{p}}$ .

We define

$$S_{(p)} = \{s \in T_{\mathfrak{p}}^{-1} S \mid s \text{ has degree } p\}.$$