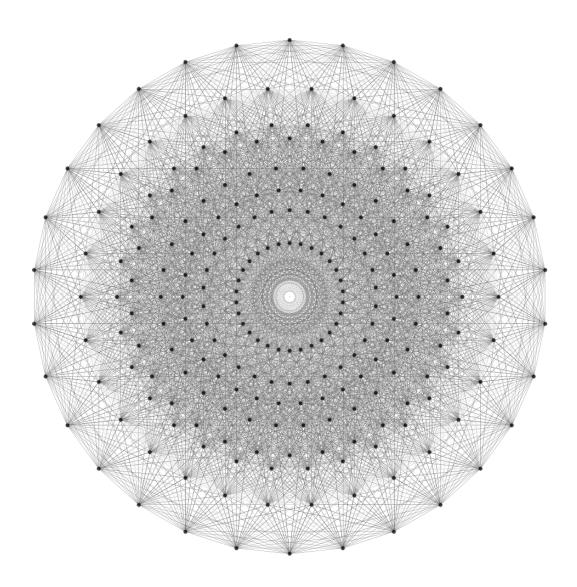


 $\underset{Typed\ by\ Elad\ Tzorani}{Lectures\ by\ Amos\ Nevo}$



Contents

P	reface	ii
	Technicalities	ii
	Course Literature	ii
Ι	Lie Algebras	1
1	Preliminaries	2
	1.1 Basic definitions	2
	1.2 Structure constants	4
	1.3 Linear representations	ŗ
	1.4 Sub-algebras and ideals	(
2	Structure of Lie algebras	ç
	2.1 Nilpotent Lie algebras	ç

Preface

Technicalities

These aren't formal notes related to the course and henceforward there is absolutely no guarantee that the recorded material is in correspondence with the course expectations, or that these notes lack any mistakes. In fact, there probably are mistakes in the notes! I would highly appreciate if any comments or corrections were sent to me via email at tzorani.elad@gmail.com. Elad Tzorani.

Course Literature

The recommended course literature is as follows.

Humphreys, James E.: Introduction to Lie algebras and representation theory.

Jacobson, Nathan: Lie algebras. New York, 1962.

Part I Lie Algebras

Chapter 1

Preliminaries

Lecture 1 October 22 2018 The course will be entirely algebraic, with possibly few examples from analysis. This will allow us to discuss issues regarding the algebraic properties of Lie algebras. We might be interested in infinite-dimensional Lie algebras, but in this course we discuss only finite-dimensional algebras. In this course one of our main goals is a classification theorem for simple Lie algebras. We assume knowledge in linear algebras and specifically bilinear forms.

1.1 Basic definitions

Let \mathbb{F} be a field, and V a finite-dimensional vector-space over \mathbb{F} .

Definition 1.1.1. V is a *generalised* \mathbb{F} -algebra if it comes with a map $m: V \times V \to V$ which is bilinear.

$$m(v_1 + v_2, w) = m(v_1, w) + m(v_2, w)$$

$$m(v, w_1 + w_2) = m(v, w_1) + m(v, w_2)$$

$$m(av, bw) = abm(v, w)$$

Example. Let V be an associative algebra. Here m is an associative operation which is left and right distributive on addition in v. Equivalently: If we denote $m(v, w) = v \odot w$ then

$$(v \odot w) \odot u = v \odot (w \odot u)$$
$$v \odot (u + w) = v \odot u + v \odot w$$
$$(u + w) \odot u = u \odot v + w \odot v$$

Remark 1.1.2. Here associativity means the following.

$$m\left(v,m\left(w,u\right)\right)=m\left(m\left(v,w\right),u\right)$$

Examples. 1. Every field k is an \mathbb{F} -algebra over any subfield \mathbb{F} .

- 2. $M_n(k)$ is an \mathbb{F} -algebra.
- 3. P_n , polynomials over k of degree smaller or equal to n, is an \mathbb{F} -algebra.

Definition 1.1.3. A Lie algebra L over \mathbb{F} is an \mathbb{F} -algebra, so $\exists m \colon L \times L \to L$, which generally need not be associative, but instead satisfies the following $Jacobi\ identity$,

$$m(X, m(Y, Z)) + m(Z, m(X, Y)) + m(Y, m(Z, X)) = 0$$

and additionally, antisymmetry of the multiplication

$$m(X,Y) = -m(Y,X).$$

If char $\mathbb{F} = 2$ we require m(X, X) = 0.

Notation 1.1.4. The "multiplication" in L is called **bracket**, and denoted m(X,Y) = [X,Y] (X bracket Y).

Remark 1.1.5. In these terms we write the Jacobi identity as follows.

$$[X, [Y, Z]] + [Z, [X, Y]] + [Y, [Z, X]] = 0$$

Definition 1.1.6. A *Lie algebra* L is a vector space over \mathbb{F} with a bilinear map $[,]: L \times L \to L$, which is anti-symmetric and satisfies the Jacobi identity.

Definition 1.1.7. Given a Lie algebra L, a vector subspace $L_0 \subseteq L$ is called a sub-Lie-algebra if it is closed under brackets. I.e.

$$X, Y \in L_0 \implies [X, Y] \in L_0.$$

Examples. 1. Abelian Lie algebras: The bracket is the zero form.

$$\forall X, Y \in L \colon [X, Y] = 0$$

Example. \mathbb{F} is itself a Lie algebra as well as any \mathbb{F} -vector space V under the bracket

$$\forall u, v \in V \colon [u, v] = 0.$$

Example. Let A be any associative \mathbb{F} -algebra, and define on A another bilinear operation, namely

$$[a, b] = ab - ba.$$

This is called *the commutator of* a *and* b. Then $[,]: A \times A \rightarrow A$.

Exercise. This bracket satisfies the Jacobi identity, and is anti-symmetric.

Given a solution to this exercise, (A, [,]) is a Lie algebra.

In particular, $M_n(k)$ is a Lie algebra under the bracket [A, B] = AB - BA. This algebra is *very important* and is denoted $\mathcal{GL}_n(k)$.

Exercise. Consider the subspace

$$\{A \in \mathcal{GL}_n(k) \mid \text{tr} A = 0\} \subseteq \mathcal{GL}_n(k).$$

Is the subspace a Lie algebra? Yes! Since for any $A, B \in \mathcal{GL}_n(k)$ we have that $\operatorname{tr}(AB) = \operatorname{tr}(BA)$, we get that $\operatorname{tr}[A, B] = 0$. The sub-Lie-algebra of zero-trace matrices is denoted $\mathcal{SL}_n(k)$.

Exercise (Lie algebras associated with bilinear forms). Let V be a vector space over \mathbb{F} , and $B: V \times V \to \mathbb{F}$ be a bilinear form. Assume B is anti-symmetric. Define

$$L_B = \{X \in \text{End}(V) \mid B(Xv, w) = -B(v, Xw)\}.$$

Check that L_B is a vector subspace of End (V). Consider the bracket operation on End (V), defined by [T, S] = TS - ST. Is L_B closed under brackets?

Solution. We compute as follows.

$$B([X,Y] v, w) = B((XY - YX) v, w)$$

$$= B(XYv, w) - B(YXv, w)$$

$$= -B(Yv, Xw) + B(Xv, Yw)$$

$$= B(v, YXw) - B(v, XYw)$$

$$= B(v, (YX - XY) w)$$

$$= -B(v, [X, Y] w)$$

In conclusion, L_B is a sub-Lie-algebra of End (V), the Lie algebra associated with the form B.

Exercise. Let S be a symmetric bilinear form, and let

$$L_S = \{X \in \text{End}(V) \mid S(Xv, w) = -S(v, Xw)\}.$$

Then again, L_S is a Lie sub-algebra.

Examples (Sub-algebras of $\mathcal{GL}_n(\mathbb{F})$). 1

$$\mathfrak{T}(n,\mathbb{F}) = \left\{ \begin{pmatrix} a_{11} & & a_{i,j} \\ & \ddots & \\ 0 & & a_{nn} \end{pmatrix} \middle| a_{ij} \in \mathbb{F} \right\}$$

is closed under the bracket operation, for if $A, B \in \mathfrak{T}(n, \mathbb{F})$ then $AB \in \mathfrak{T}(n, \mathbb{F})$ and so $AB - BA \in \mathfrak{T}(n, \mathbb{F})$.

2.

$$\mathfrak{N}\left(n,\mathbb{F}\right) = \left\{ \begin{pmatrix} 0 & & a_{i,j} \\ & \ddots & \\ 0 & & 0 \end{pmatrix} \middle| a_{ij} \in \mathbb{F} \right\}$$

is a Lie sub-algebra of $\mathfrak{T}(n,\mathbb{F})$.

3.

$$\mathfrak{D}(n,\mathbb{F}) = \left\{ \begin{pmatrix} a_1 & & 0 \\ & \ddots & \\ 0 & & a_n \end{pmatrix} \middle| a_i \in \mathbb{F} \right\}$$

an abelian sub-algebra.

1.2 Structure constants

Let L be a Lie algebra and let X_1, \ldots, X_n be a basis of L, Then the bracket operation is completely determined by the structure constants with respect to the basis.

$$[X_i, X_j] = \sum_{k=1}^n c_k^{i,j} X_k$$

The structure constants $c_k^{i,j}$ contain full information on the bracket operation of course. These satisfy two properties associated with anti-symmetry and the Jacobi identity of the brackets. The property associated to anti-symmetry is $c_k^{i,j} = -c_k^{j,i}$. The other property (associated to the Jacobi identity) is left as an **Exercise**.

Example.

$$\mathcal{GL}_n(\mathbb{F}) = \operatorname{span} \{ E_{i,j} \mid 1 \le i, j \le n \}$$

In the basis E_{ij} the structure constants are very simple. We have the following.

$$[E_{i,j}, E_{k,l}] = \delta_{j,k} E_{i,l} - \delta_{l,i} E_{k,j}$$

Hence all the structures constants are 1 or -1.

Definition 1.2.1. Let L_1, L_2 be Lie algebras. A **Lie algebra homomorphism** between L_1 and L_2 is a linear map $T: L_1 \to L_2$ satisfying

$$T[X,Y] = [TX,TY]$$
.

Definition 1.2.2. Let L be a Lie algebra. A sub-space $I \subseteq L$ is called a **Lie-ideal** of L if for all $X \in L$ and $Y \in I$, we have that $[X, Y] \in I$. This is written also by

$$[L,I] = \operatorname{span} \{ [X,Y] \mid X \in L, Y \in I \} \subseteq I.$$

Definition 1.2.3. Let L be a Lie algebra and $L_0 \subseteq L$ be a sub-space. The **Lie normaliser** of L_0 is

$$N(L_0) = \{X \in L \mid [X, L_0] \subseteq L_0\}.$$

The $Lie\ centraliser$ of L_0 is

$$Z(L_0) = \{X \in L \mid [X, L_0] = 0\}.$$

Definition 1.2.4. Let L be a Lie algebra. If [X,Y]=0 one says that X and Y commute. We sometimes refer to the bracket as the commutator.

Example. Two sub-spaces $L_1, L_2 \subseteq L$ of a Lie algebra commute if their commutators are zero. I.e.

$$[L_1, L_2] = 0.$$

Remark 1.2.5. Although we have linearity of the bracket, we do need to take the span in the above example. If we take $X, X' \in L_1$ and $Y, Y' \in L_2$ we can't always express [X, Y] + [X', Y'] as a bracket of two elements, although it certainly is in the span.

1.3 Linear representations

Definition 1.3.1. A *linear representation* of a Lie algebra L over \mathbb{F} is a Lie-algebra homomorphism $T: L \to \operatorname{End}(V) \cong \mathcal{GL}_n(\mathbb{F})$ where V is an n-dimensional vector space over \mathbb{F} .

Remark 1.3.2. The bracket operation on End(V) is the usual one, namely [A, B] = AB - BA.

Let us define another large collection of Lie algebras. First, let A be a generalised \mathbb{F} -algebra, and denote $m(a,b)=a\odot b$.

Definition 1.3.3. A *derivation* of the generalised algebra A is a linear map $\delta: A \to A$ satisfying the following property.

$$\delta(a \odot b) = \delta(\alpha) \odot b + a \odot \delta(b)$$

Definition 1.3.4.

$$Der(A) := \{ \delta \in End(A) \mid \delta \text{ is a derivation.} \}$$

Remark 1.3.5. Der(A) is clearly a linear sub-space of End(A). Now, if δ_1 and δ_2 are derivations, $\delta_1 \circ \delta_2$ is not a derivation, usually. But, $[\delta_1, \delta_2] = \delta_1 \circ \delta_2 - \delta_2 \circ \delta_1$ is in fact a derivation.

Conclusion. Der(A), with the bracket inherited from End(A) is a Lie algebra.

Proof. We compute the following.

$$\begin{split} [\delta_{1}, \delta_{2}] \, (a \odot b) &= (\delta_{1} \circ \delta_{2} - \delta_{2} \circ \delta_{1}) \, (a \odot b) \\ &= \delta_{1} \circ \delta_{2} \, (a \odot b) - \delta_{2} \circ \delta_{1} \, (a \odot b) \\ &= \delta_{1} \, (\delta_{2} \, (a) \odot b + a \odot \delta_{2} \, (b)) - \delta_{2} \, (\delta_{1} \, (a) \odot b + a \odot \delta_{1} \, (b)) \\ &= \delta_{1} \, \delta_{2} \, (a) \odot b + \delta_{2} \, (a) \odot \delta_{1} \, (b) + \delta_{1} \, (a) \odot \delta_{2} \, (b) + a \odot \delta_{1} \delta_{2} \, (b) \\ &- (\delta_{2} \delta_{1} \, (a) \odot b + \delta_{1} \, (a) \odot \delta_{2} \, (b) + \delta_{2} \, (a) \odot \delta_{1} \, (b) + a \odot \delta_{2} \delta_{1} \, (b)) \\ &= (\delta_{1} \delta_{2} - \delta_{2} \delta_{1}) \, (a) \odot b + a \odot (\delta_{1} \delta_{2} - \delta_{2} \delta_{1}) \, (b) \end{split}$$

Example. 1. If A is an associative algebra, then Der(A) is a Lie algebra, $Der(A) \subseteq End(A)$. Der(A) is a sub-Lie-algebra of End(A) under bracket of linear transformations.

2. A Lie algebra is a generalised algebra and so Der(L) is another Lie algebra.

Fact 1.3.6 (important). There is a very natural collection of derivations of any Lie algebras. For each $x \in L$, let us define a linear transformation denoted $ad(x): L \to L$ (this stands for "adjoint") via ad(x)(y) = [x, y]. (This is linear from the bi-linearity of the bracket) In fact, ad(x) is a derivation of L. Namely,

$$ad(x)([y, z]) = [ad(x)y, z] + [y, ad(x)(z)].$$

Indeed,

$$\begin{split} \mathrm{ad}(x) \, ([y,z]) &= [x,[y,z]] \\ &= [\mathrm{ad}(x)y,z] + [y,\mathrm{ad}(x)z] \\ &= [[x,y]\,,z] + [y,[x,z]] \end{split}$$

which is an identity as a consequence of the Jacobi identity.

Conclusion. The set $\{ad(x) \mid x \in L\} \subseteq Der(L)$ is a sub-algebra. We have the map $x \mapsto ad(x)$ which is obviously linear (from bi-linearity of the bracket). So, $ad(L) := \{ad(x) \mid x \in L\}$ is a linear sub-space. In fact it is a Lie sub-algebra of Der(L).

Proof. We have to show that [ad(x), ad(y)] = ad(x)ad(y) - ad(y)ad(x) is in the space ad(L). But, actually [ad(x), ad(y)] = ad[x, y], as the following proposition states.

Proposition 1.3.7. ad: $L \to Der(L)$ is a Lie algebra homomorphism.

Proof. Let us compute.

$$[\operatorname{ad}(x), \operatorname{ad}(y)](z) = \operatorname{ad}(x)\operatorname{ad}(y)(z) - \operatorname{ad}(y)\operatorname{ad}(x)(z)$$
$$= [x, [y, z]] - [y, [x, z]]$$
$$\stackrel{\star}{=} [[x, y], z]$$
$$= \operatorname{ad}[x, y](z)$$

where the \star is given from the Jacobi identity.

In conclusion, $\operatorname{Der}(L)$ is a Lie sub-algebra of $\operatorname{End}(L)$ under bracket, and $\operatorname{ad}: L \to \operatorname{Der}(L) \subseteq \operatorname{End}(L)$ is a linear representation of the Lie algebra L with the image being $\operatorname{ad}(L) = \{\operatorname{ad}(x) \mid x \in L\}$.

Example. Given $L_0 \subseteq L$ a sub-space. Then $N(L_0) = \{x \mid [x, L_0] \subseteq L_0\}$ is the set of elements x such that the linear transformation ad (x) leaves the subspace L_0 invariant. $N_L(L_0)$ is a Lie sub-algebra, and if L_0 is a Lie sub-algebra, then L_0 is an ideal of $N_L(L_0)$.

Example. The condition [X,Y]=0 means $Y \in \ker(\operatorname{ad}(x))$ or equivalently $x \in \ker(\operatorname{ad}(y))$. Therefore

$$Z(L_0) = \{x \in L \mid [x, L_0] = 0\}$$

= $\{x \in L \mid L_0 \subseteq \ker(\operatorname{ad}(x))\}.$

 $Z(L_0)$ is a Lie sub-algebra of L, the Lie sub-algebra of elements commuting with every $x \in L_0$.

Remark 1.3.8. If $L_0 \subseteq L$ is a Lie sub-algebra, then $N(L_0)$ is the largest sub-algebra such that L_0 is is an ideal in it.

Lecture 2 October 29 2018 **Remark 1.3.9.** $Z_L(L)$ is the center of L, and an ideal. Indeed, if $z \in Z(L)$, and $x \in L$, then ad $[x, z] = \operatorname{ad} x \operatorname{ad} z - \operatorname{ad} x$ and $L \subseteq \ker \operatorname{ad} z$, so $L \subseteq \ker \operatorname{ad} [x, z]$, so $[x, z] \in Z(L)$ and Z(L) is an ideal.

1.4 Sub-algebras and ideals

Remark 1.4.1. 1. If L_1 and L_2 are Lie sub-algebras, then $L_1 + L_2$ generally is not!

- 2. Suppose $I=L_1$ is an ideal and L_2 a sub-algebra. Then $I+L_2$ is a sub-algebra.
- 3. If $L_1 = I$ and $L_2 = J$ are ideals, then the Lie sub-algebra I + J is an ideal. Indeed $[x, i] \in I$ and $[x, j] \in J$ for all j, so $[x, I + J] \subseteq I + J$.

Definition 1.4.2. The *commutator* of two sub-algebras L_1, L_2 is defined to be

Span
$$\{[X, Y] \mid X \in L_1, Y \in L_2\}$$
.

Remark 1.4.3. The commutator of two sub-algebras is not in general a sub-algebra. Generally [[X, Y], [X', Y']] isn't in $[L_1, L_2]$ if $X, X' \in L_1$ and $Y, Y' \in L_2$. Let

$$\sum_{i=1}^{n} [X_i, Y_i] \in [L_1, L_2]$$

and

$$\sum_{j=1}^{m} [X'_j, Y'_j] \in [L_1, L_2].$$

Then

$$\left[\sum_{i=1}^{n} [X_i, Y_i] \sum_{j=1}^{m} [X'_j, Y'_j]\right] = \sum_{\substack{i=1\\j=1}}^{n} [[X_i, Y_i], [X'_j, Y'_j]].$$
(1.1)

- 1. If $L_1 = I$ is an ideal, then $[I, L_2] \subseteq I$, is a sub-space of I.
- 2. If $L_1 = I$ and $L_2 = J$ are ideals, then $[I, J] \subseteq I \cap J$, and it is an ideal of L. Equation 1.1 shows that [I, J] is indeed a sub-algebra. Now, let $[i, j] \in [I, J]$, and let $x \in L$. We should show that $[x, [i, j]] \in [I, J]$ which is sufficient for the span. Now

$$[x,[i,j]] \overset{\text{Jacobin identity}}{=} [[x,i]\,,j] + [i,[x,j]] = [i',j] + [i,j'] \in [I,J]$$

as required.

Conclusion. I + J and [I, J] are ideals if I and J are.

Remark 1.4.4. In general $[I,J] \subseteq I \cap J$, but the inclusion may be strict. **Examples.** 1. Take L an abelian Lie algebra and I,J any two sub-spaces which are both sub-algebras, and ideals. Then [I,J]=0, but $I\cap J$ may be large.

2. Take L a Lie algebra of upper-triangular matrices, and I=J the ideal of strict upper-triangular matrices. Then [I,I] contains matrices that have zero entries in the diagonal above the main diagonal, hence $[I,I] \subseteq I \cap J = I$.

Definition 1.4.5. If [I, J] = 0, we say that I and J commute.

Remark 1.4.6. L is an ideal of itself, so $[L, L] = \text{Span}\{[X, Y] \mid X, Y \in L\}$ is also an ideal, **the commutator** ideal of L.

Definition 1.4.7. L is **abelian** if [L, L] = 0.

Definition 1.4.8. L is **perfect** if [L, L] = L.

Definition 1.4.9. L is called a *simple Lie-algebra* if dim L > 1 and L has no non-trivial ideals.

Exercise. A simple Lie algebra is in particular perfect.

Proposition 1.4.10. If $\varphi \colon L \to L'$ is a Lie-algebra homomorphism, then $\ker \varphi$ is an ideal.

Definition 1.4.11. For any ideal $I \triangleleft L$, the factor vector space $L/I = \{\ell + I \mid \ell \in L\}$ has a structure of a Lie algebra, given by the following.

$$[x+I,y+I]_{L/I}\coloneqq [x,y]_L+I$$

Remark 1.4.12. The above is well defined since

$$[x+i, y+i'] = [x, y] + [i, y] + [x, i'] + [i, i'] \equiv [x, y] \pmod{I}$$
.

The identities for Lie algebras follow immediately from those on L.

Theorem 1.4.13 (1st homomorphism theorem).

$$\pi\colon L\to L/I \\ x\mapsto x+I$$

is a surjective Lie-algebra homomorphism, and $\ker \pi = I$.

Theorem 1.4.14 (2^{nd} homomorphism theorem). If I and J are ideals of L, and $I \subset J$, then the map

$$\varphi \colon L/I \to L/J$$
$$x + I \mapsto x + J$$

is a well-defined Lie-algebra epimorphism. We have from the first homomorphism theorem that

$$L/I/\ker\varphi\cong L/J$$

and

$$\ker \varphi = J/I$$

therefore

$$L/I/J/I \cong L/J$$
.

Theorem 1.4.15 (3rd homomorphism theorem). Given any two ideals I, J, their intersection $I \cap J$ is an ideal of L and we have a map

$$\psi \colon I \to I + J/J$$
$$i \mapsto i + J \quad .$$

This is a Lie-algebra homomorphism which is obviously surjective, with kernel $I \cap J$, hence

$$I/I \cap J \cong I + J/J$$

with Lie-algebra homomorphism induced by ψ .

Remark 1.4.16. If L_0 is an arbitrary Lie sub-algebra of L, and $J \triangleleft L$, then $J \cap L_0 \triangleleft L_0$ and $J \triangleleft L_0 + J$, and the Lie algebras $L_0 + J/J$ and $L_0/L_0 \cap J$ are isomorphic under the canonical map ψ .

Chapter 2

Structure of Lie algebras

2.1 Nilpotent Lie algebras

Definition 2.1.1. The commutator ideal [L, L] is denoted $L^{(1)}$. Similarly we denote $L^{(n)} = [L^{(n-1)}, L]$, which is an ideal of L.

Remark 2.1.2. The above gives a descending chain

$$L = L^{(0)} \supseteq \supseteq L^{(1)} \supseteq L^{(2)} \supseteq \dots$$

and since dim $L < \infty$, this sequence has to stabilise. It is however possible that [L, L] = 0, if L is abelian, or that [L, L] = L, if L is perfect.

Definition 2.1.3. If $L^{(n)} = 0$ for some n, L is called a **nilpotent Lie algebra** .If $L^{(n)} = 0$ and $L^{(n-1)} \neq 0$, we call n-1 the **index of nilpotency**.

Note 2.1.4. In some books n itself is called the index of nilpotency.

Definition 2.1.5. The sequence of ideals $L^{(n)}$ is called **the descending central series** of L.

Remark 2.1.6. $L^{(k)} \triangleleft L$ and hence $L^{(l)} \triangleleft L^{(k-1)}$. Also $L^{(k-1)} / L^{(k)}$ is an abelian algebra since $L^{(k)} = [L^{(k-1)}, L] \supseteq [L^{(k-1)}, L^{(k-1)}]$ and in general an ideal $I \triangleleft M$ is such that M / I is abelian if and only if $I \supseteq [M, M]$.