

**The
Alan Turing
Institute**

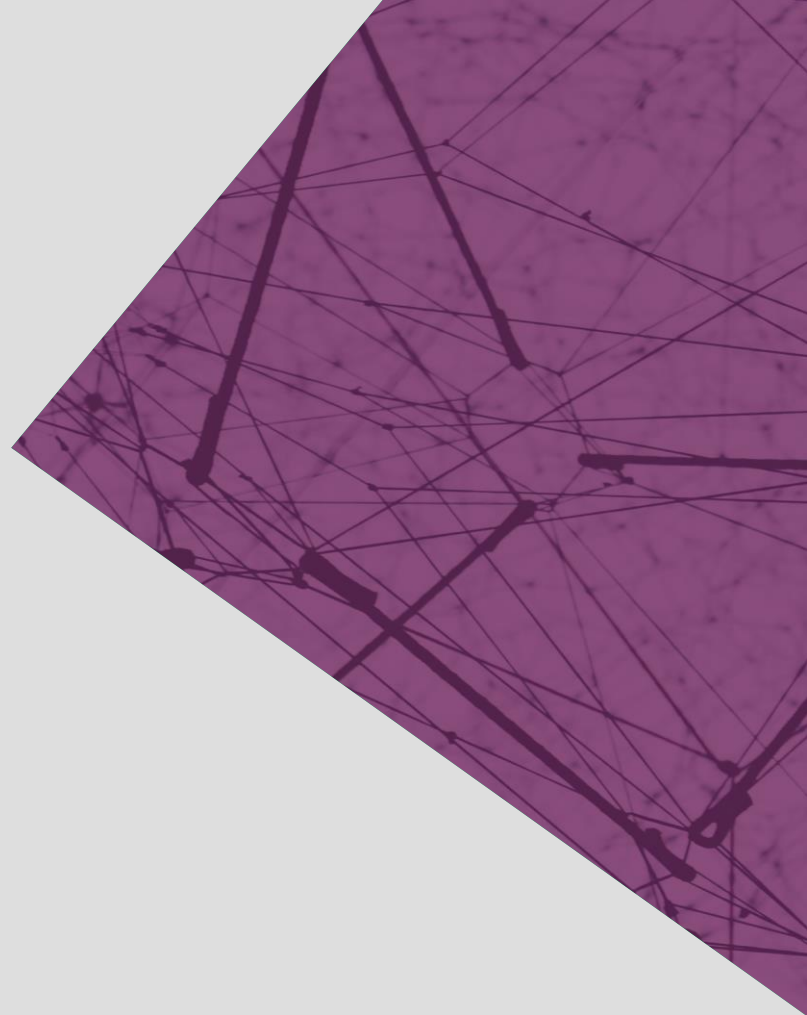
Privacy

**Milestone 5: Trade-offs and Interactions
with other verticals in Trustworthy AI**

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1. Privacy in Machine Learning

Protect the data

Algorithms must guarantee data protection throughout a system's entire lifecycle, whether this is user information provided by the user or generated by the system.

European Commission, *Ethics guidelines for trustworthy AI*

Type of ML attacks

- Integrity: Misclassifications that do not compromise normal system operation (evasion, poisoning,...)
- Availability: Misclassifications that compromise normal system operation (poisoning)
- Privacy/Confidentiality: infer information about user data and models.

System's lifecycle

ADVERSARIAL KNOWLEDGE

PRIVACY THREATS

DEFENSES

White box

Training data

Leaks
Re-identification

Access control
Minimisation (GDPR)
Anonymisation
Cryptography
Synthetic data

Model &
parameters

Reconstruction attacks
(attribute inference,
model inversion)

Loss gradients

Black box

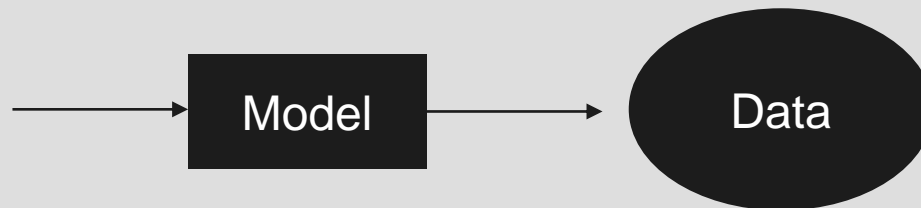
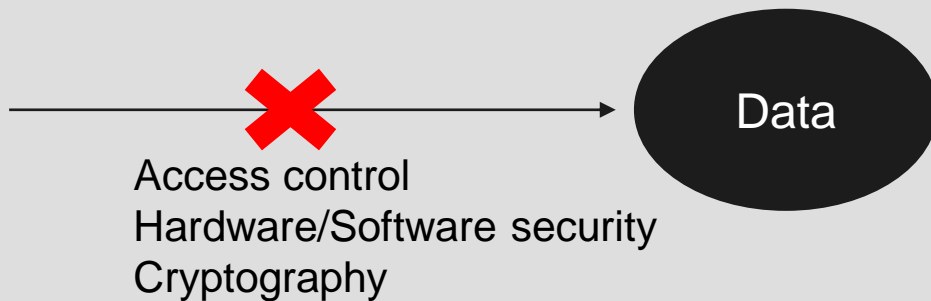
Model input/output

Property Inference
Membership Inference
Model extraction

Differential Privacy
Detect suspect queries



Attacker access



Anonymisation of data

Type of data points

- Personally Identifiable Information (PII): name, social security number,...
- Quasi-identifiers (QI): age, gender,...
- Sensitive attributes: disease, salary,...

k-anonymity, l-diversity and t-closeness

- At least k-record with the same identifiable Q is
- If all the same sensitive attribute, still insufficient
→ l-diversity
- t-closeness: same but difference of sensitive attribute in equivalence class is similar as in the whole data

Illustration

k-anonymity



l-diversity



t-closeness



Name	Zip	Salary	Salary	Salary
Aaron	56***	20k	20K	20K
Bette	56***	20K	25K	50K
Charlie	56***	20K	15K	30K
Dwayne	78***	50K	55K	40K
Elaine	78***	50K	50K	60K
Farah	78***	50K	60K	15K

Equivalence
class 1



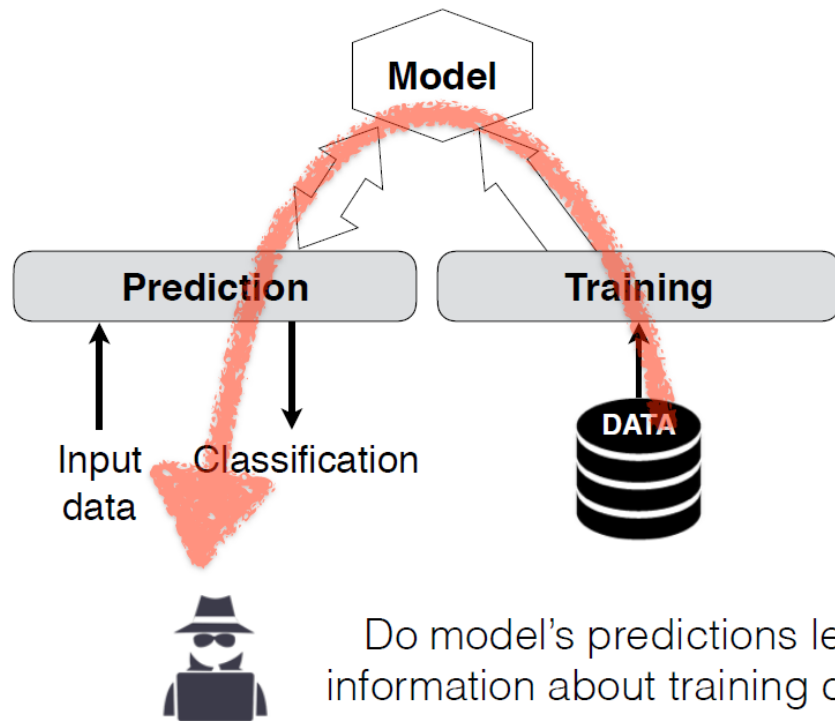
Equivalence
class 2



Anonymisation is not enough!

Even when the data is not shared, the trained model and user interaction with it can reveal sensitive information

Typical black-box setting



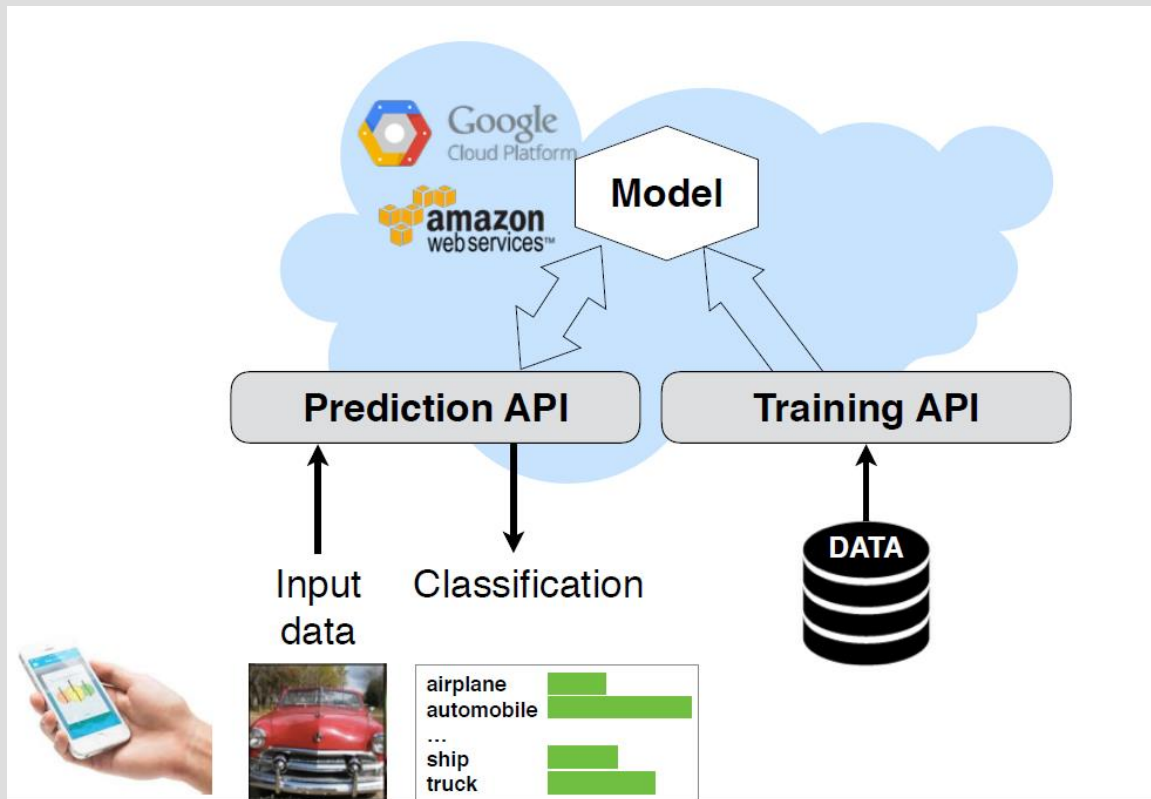
Shokri et al., presentation at 2017 IEEE Symposium on Security and Privacy

Privacy attacks on ML models

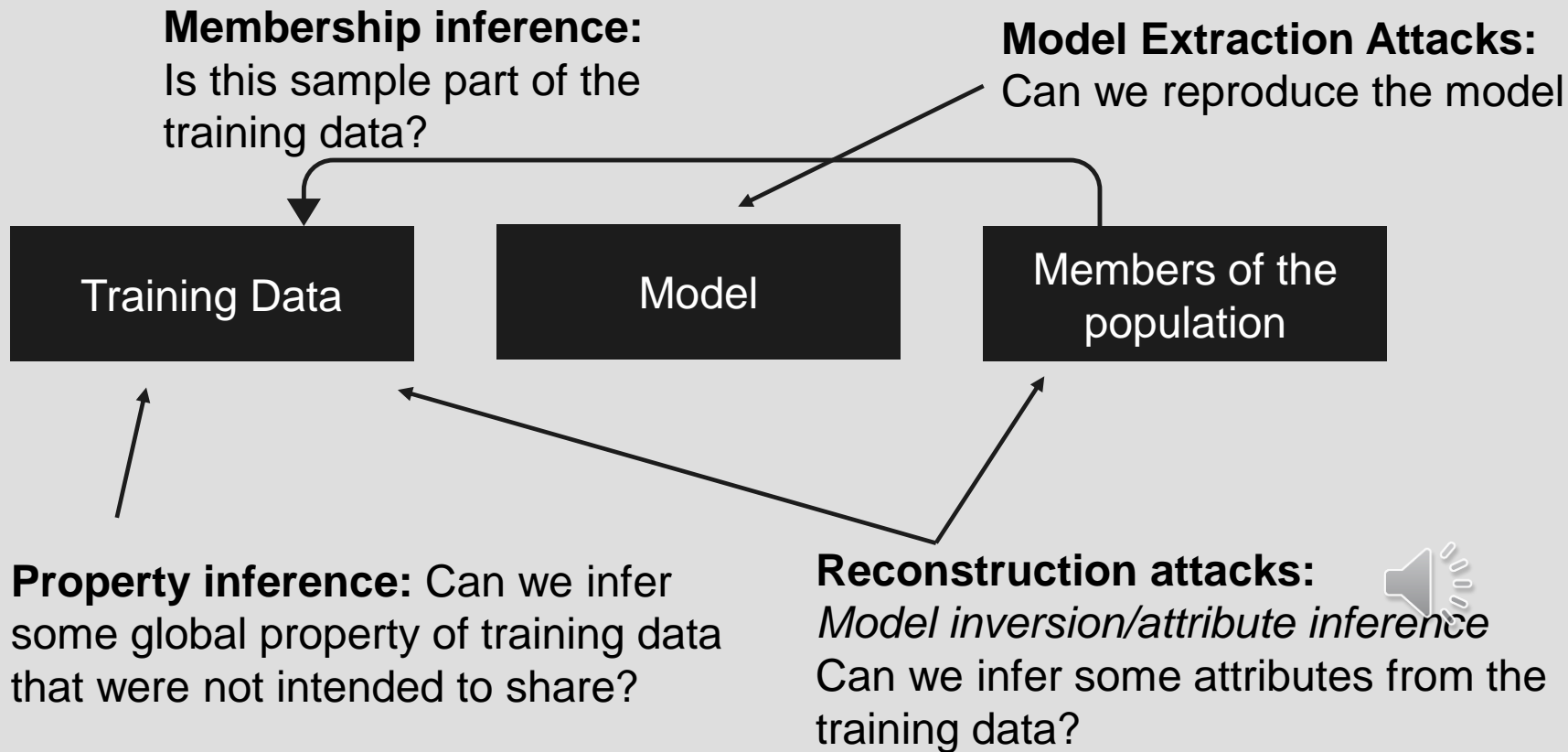
ML-as-a-service (MLaaS)

Shokri et al.,
presentation at 2017
IEEE Symposium on
Security and Privacy

Black box



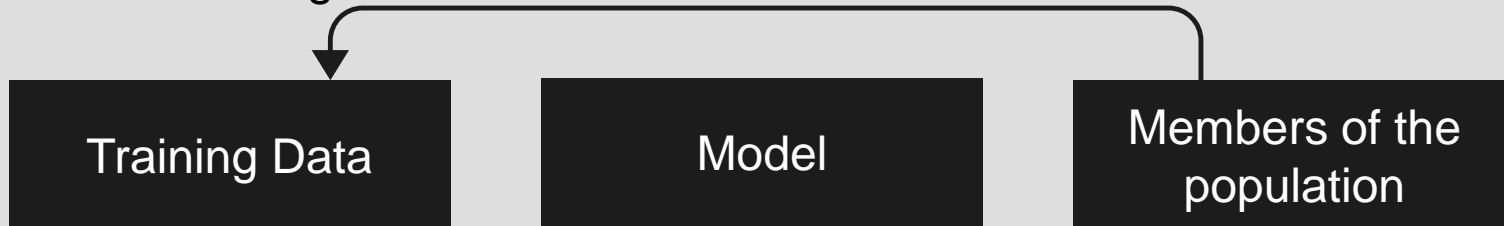
Attacks [Rigaki & Garcia, 2021]



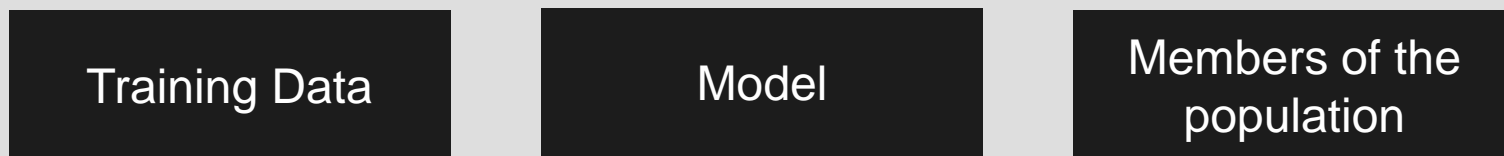
Attacks [Rigaki & Garcia, 2021]

Membership inference:

Is this sample part of the training data?



Attacks [Rigaki & Garcia, 2021]



Reconstruction attacks:

Model inversion/attribute inference

Can we infer some attributes from the training data?



Attacks [Rigaki & Garcia, 2021]

Training Data

Model

Members of the
population



Property inference: Can we infer some global property of training data that were not intended to share?



Attacks [Rigaki & Garcia, 2021]

Model Extraction Attacks:
Can we reproduce the model



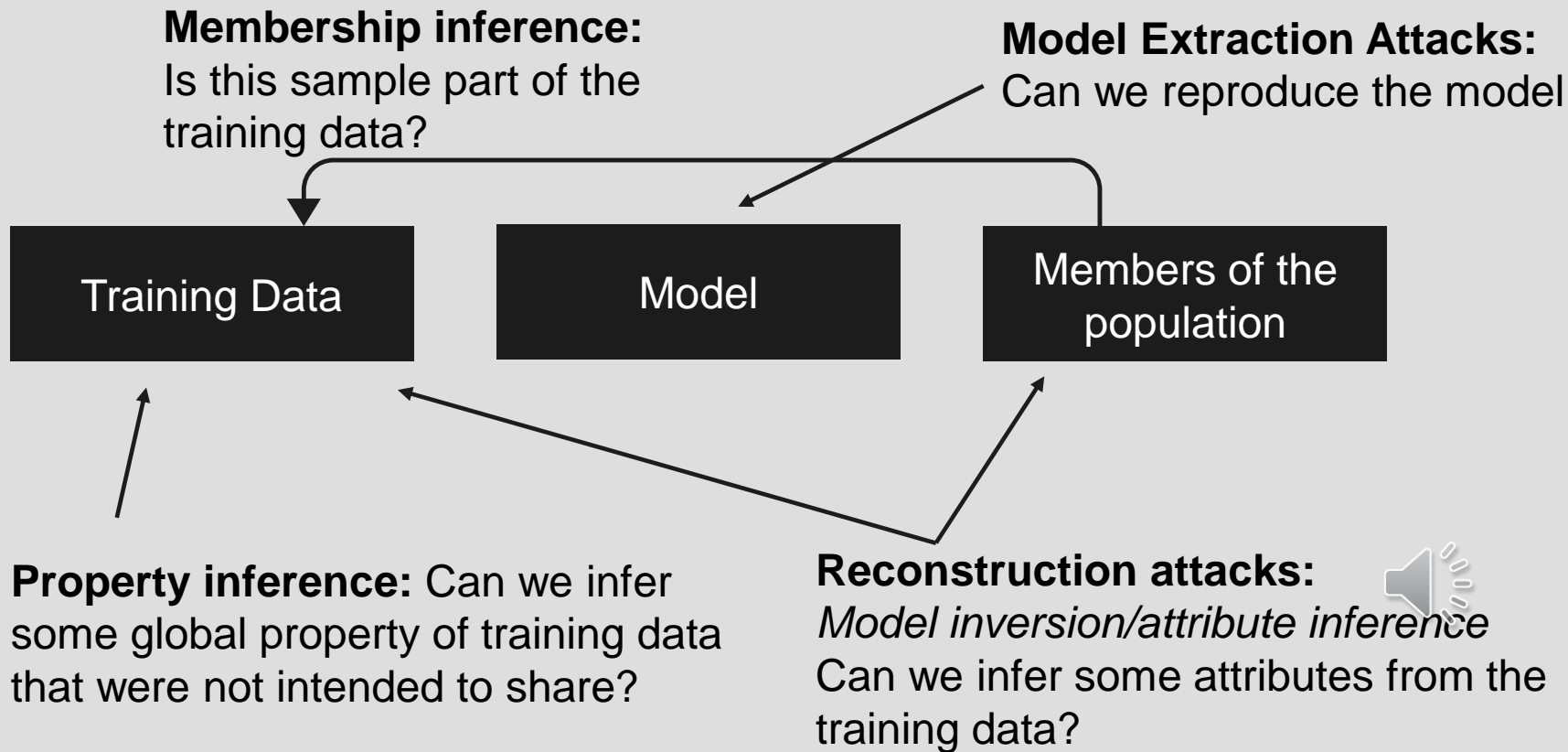
Training Data

Model

Members of the
population



Attacks [Rigaki & Garcia, 2021]



System's lifecycle [Rigaki & Garcia, 2021]

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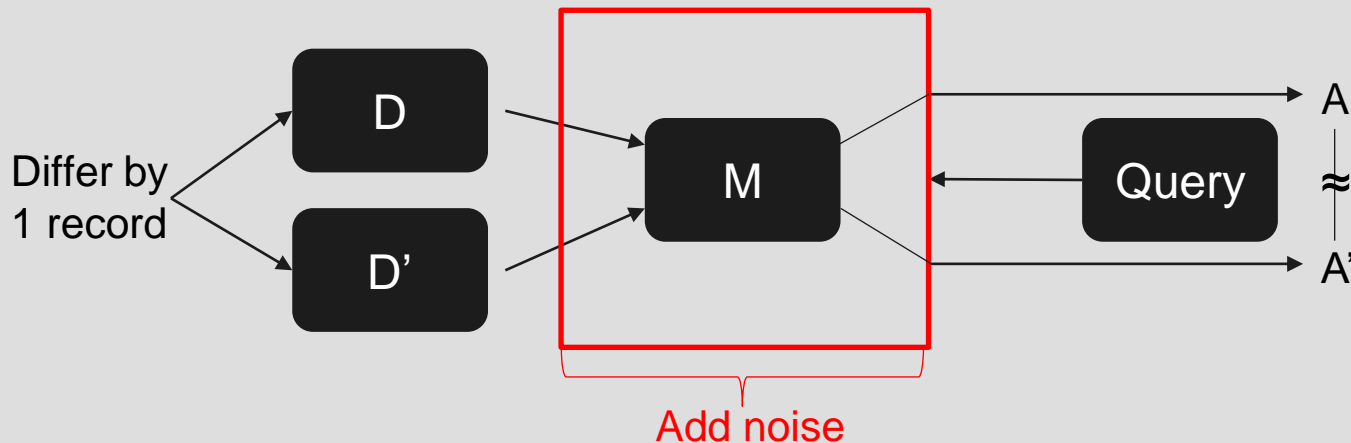
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Differential Privacy
Detect suspect queries

Differential Privacy

DP if cannot determine whether a particular individual has been used in training.



2. Privacy and Fairness

Intuition

- Sensitive information: sex, gender, religion, ethnicity, etc.
- Highly overlaps with information required to measure/mitigate group fairness
- Quasi-Identifiers that could help re-identification attacks

Fairness and Privacy

- Adding noise for DP may impact some groups more than others [Pujol et al., 2020]
- “fair algorithms tend to memorize data from the under-represented subgroups, while trying to equalize the model’s error across groups” [Chang & Shokri, 2021]
- Incompatibility theorem btw DP and fairness
→ trade-offs needed [Agarwal, 2021]

Model transparency

- Model can leak information about training data
- But model transparency helps with explainability & interpretability, which itself helps with fairness

Further readings

- Rigaki and Garcia: A Survey of Privacy Attacks in Machine Learning
- <https://luminovo.ai/blog-posts/data-privacy-in-machine-learning>