

# deadlock

## IN PROCESS (net & sof)

- mutex
- hold & wait
- no preemption
- circular wait

## Solutions

- lock ordering
  - grab locks in predefined order
  - breaks circular wait
  - difficult to manage on global scale
  - client could grab lock earlier than it would like
- Conservative 2-phase lock
  - breaks hold & wait
  - if locks can't be acquired release all locks
  - provide serializability
- Monitors
  - encapsulate data
  - 1 lock ONLY
  - breaks hold & wait

## IN OS (necessary)

- holdable resources \*

- hold & wait
- no preemption
- circular wait

## Solutions

- Prevention = break 1 condition
  - Resource ordering (see lock ordering)
- Avoidance = check resource request
  - 3 states
    - safe ✓
    - unsafe !
    - deadlock X
  - banker's algorithm
    - has  $N$  units but loans out more
    - OK as long as  $N+1$  is not needed
    - denies request @ unsafe st

```

if (maxReq[i] <= available) {
    available += allocated;
    p[i] += available;
}
else
    exit(UNSAFE);
  
```

Available

1 0 0 0 0

Max Poss Req

1 0 0 0 0

R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>
1	5	2	0

P<sub>1</sub>: 1 5 3 2  
 P<sub>2</sub>:  
 P<sub>3</sub>:  
 P<sub>4</sub>: 1 11 6 4  
 P<sub>5</sub>:

	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>
P <sub>1</sub>	0	0	0	0
P <sub>2</sub>	0	7	5	0
P <sub>3</sub>	1	0	0	3
P <sub>4</sub>	0	0	2	0
P <sub>5</sub>	0	6	2	4

P<sub>2</sub>: 2 11 6 4  
 P<sub>3</sub>: 3 14 11 7  
 P<sub>5</sub>: 3 14 15 11

SAFE

Allocated

	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>
P <sub>1</sub>	0	0	1	2
P <sub>2</sub>	1	0	0	0
P <sub>3</sub>	1	3	5	3
P <sub>4</sub>	0	6	3	2
P <sub>5</sub>	0	1	4	4

Available

R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>
0	0	0	0

Max Poss Req

	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>
P <sub>1</sub>	0	0	0	0
P <sub>2</sub>	0	7	5	0
P <sub>3</sub>	1	0	0	3

	0	0	2	0
$P_4$	0	0	2	0
$P_5$	0	6	2	4

Allocated

	$R_1$	$R_2$	$R_3$	$R_4$
$P_1$	0	0	1	2
$P_2$	1	0	0	0
$P_3$	1	3	5	3
$P_4$	0	6	3	2
$P_5$	0	1	4	4

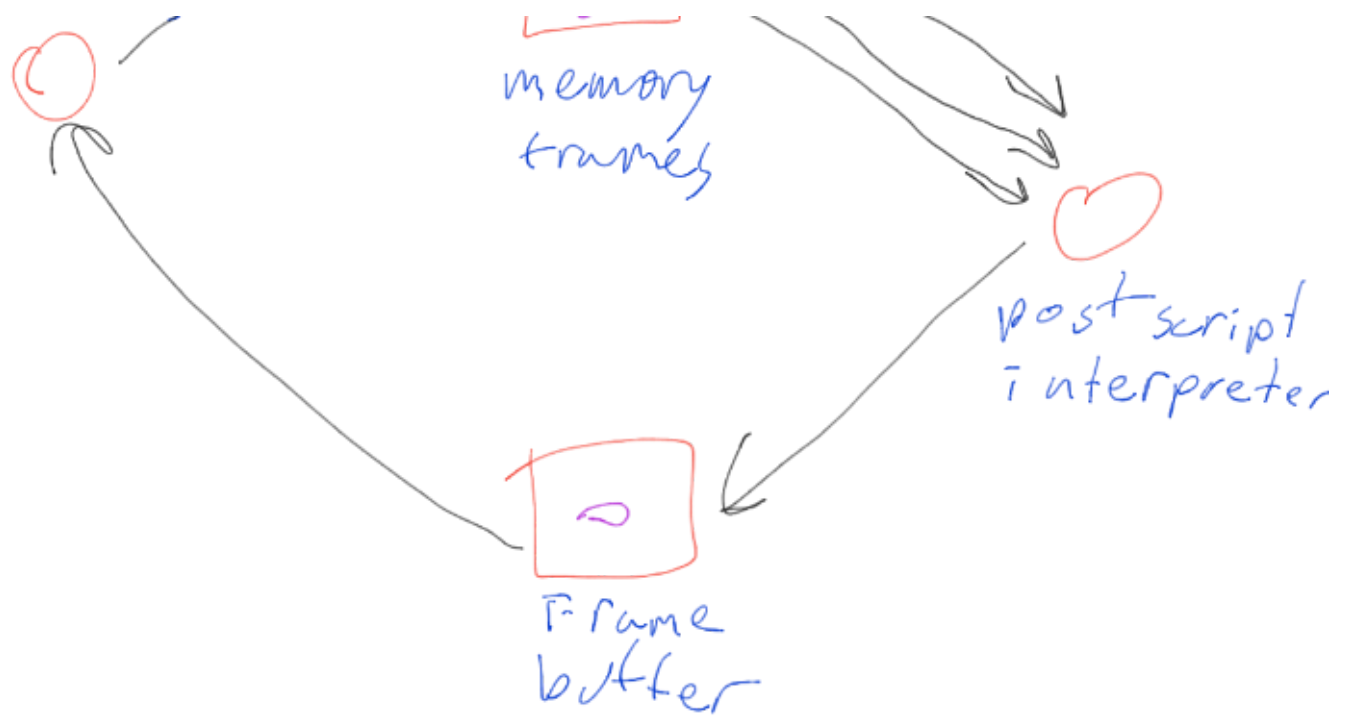
DEADLOCK

- processes may enter system
- must know resource request upfront
- resources are assumed to be working
- detection = let system go into deadlock and recover

RAC resource alloc graph

Virtualization  
process





- might have deadlock
  - preempt resources 1/time
  - kill threads in cycle
  - kill threads 1/time

- We don't know when to execute algorithm

- OSTRITCH ALGORITHM

- Ignore the problem & pretend it doesn't exist