

# Neutrality tests: Detecting selection based on patterns of polymorphism

Hancock

March 26, 2024

*Hitch-hiking effect of a gene*

397

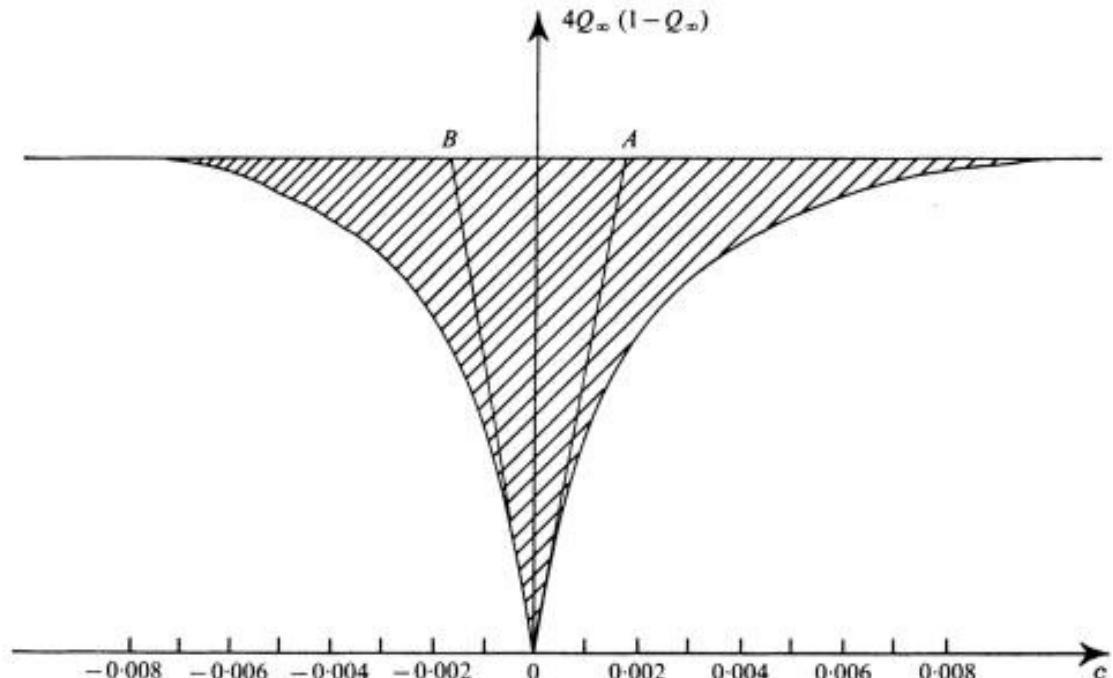


Fig. 2.  $4Q_\infty(1 - Q_\infty)$  is the final amount of heterozygosity at a locus, when initial frequencies of  $a$ ,  $A$  are 0.5. The graph here, with  $N = 10^6$  and  $s = 0.01$ , is calculated from (8).

# Evolutionary processes

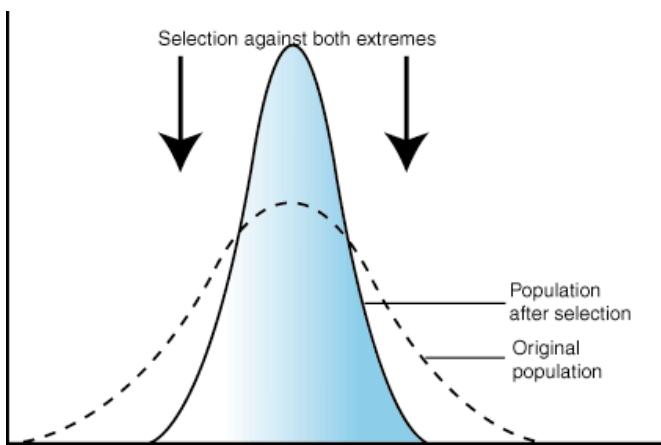
The factors that alter allele frequencies and affect patterns of polymorphism from one generation to the next

- Genetic drift
  - Gene flow
  - Selection
    - Positive selection
    - Negative selection
- ←---- Neutral processes
- ← Adaptive evolution
- ← Stabilizing selection



# Selection can affect traits in different ways

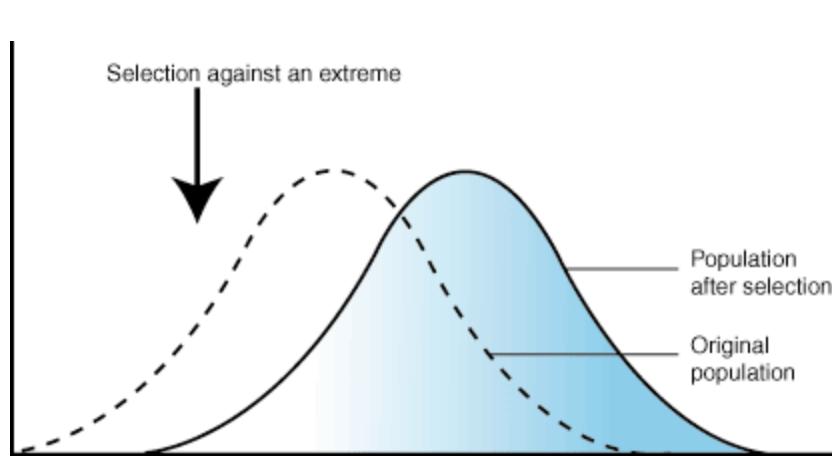
## Stabilizing selection



Selection that removes variation from the population

The most common form of selection

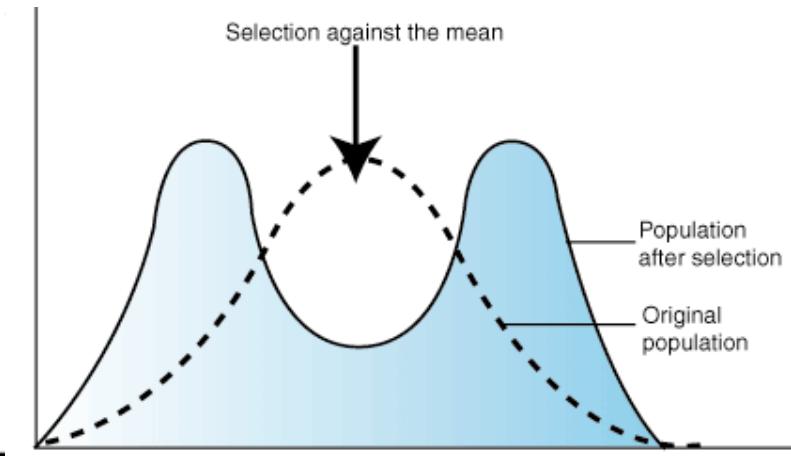
## Directional selection



Selection that changes the mean trait value in the population

Type of selection responsible for adaptation to a novel environment

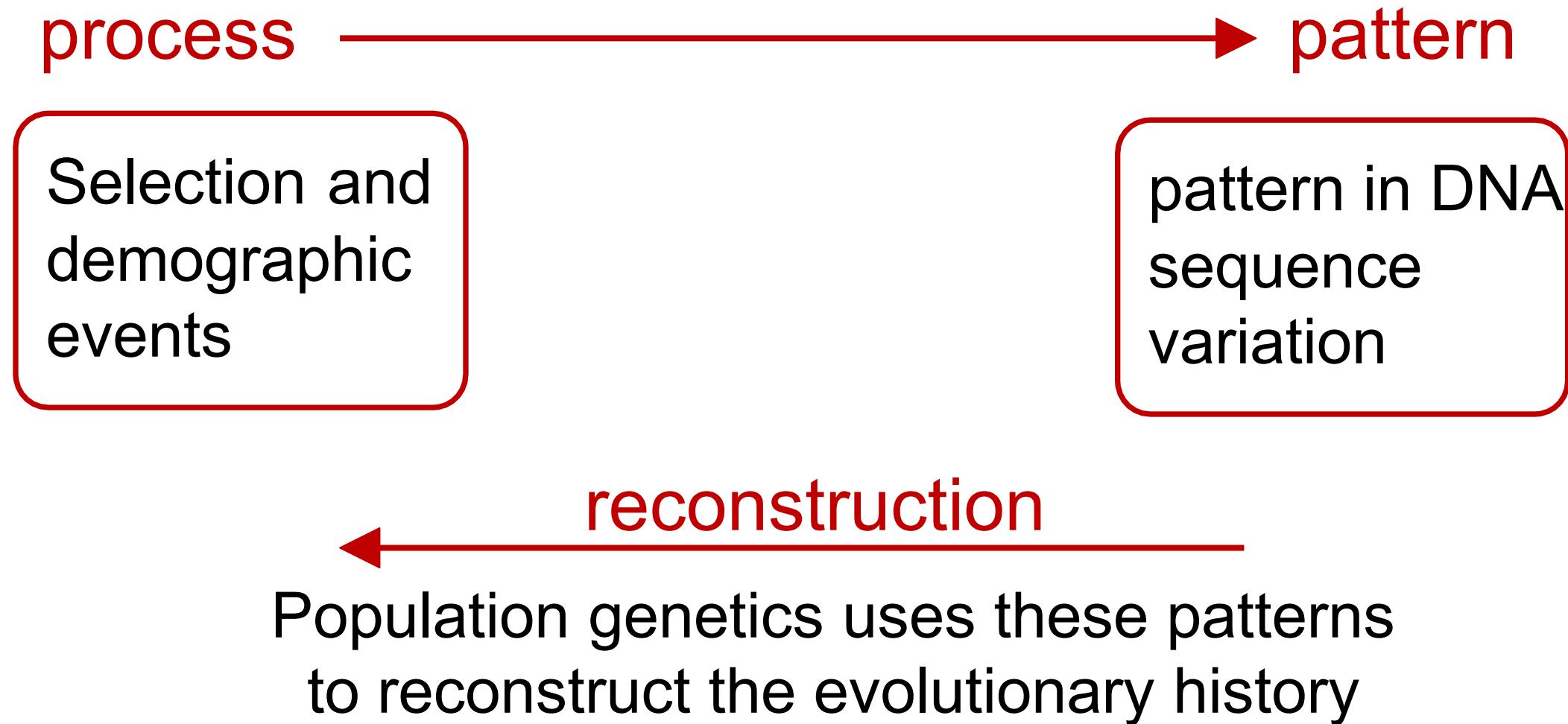
## Disruptive selection/ Balancing selection



Selection that maintains variation in the population

Selection that occurs when there are differences in pressures across time or space

# Reconstructing evolutionary history from DNA sequence data



# What processes are involved in adaptation?

- Adaptive divergence on the lineage leading to a species
- Adaptation through subtle allele frequency changes
- Selective sweeps

What patterns do these processes leave in data?

How do we assay the genome for signatures of selection?

# Summary statistics can be used to quantify the pattern at a locus

- Functional genetic **divergence** from relatives
- Allele **frequencies** differ among populations (local adaptation with population-specific sweep)
- Changes in the **frequency spectrum**
- Variation is reduced across a **haplotype**

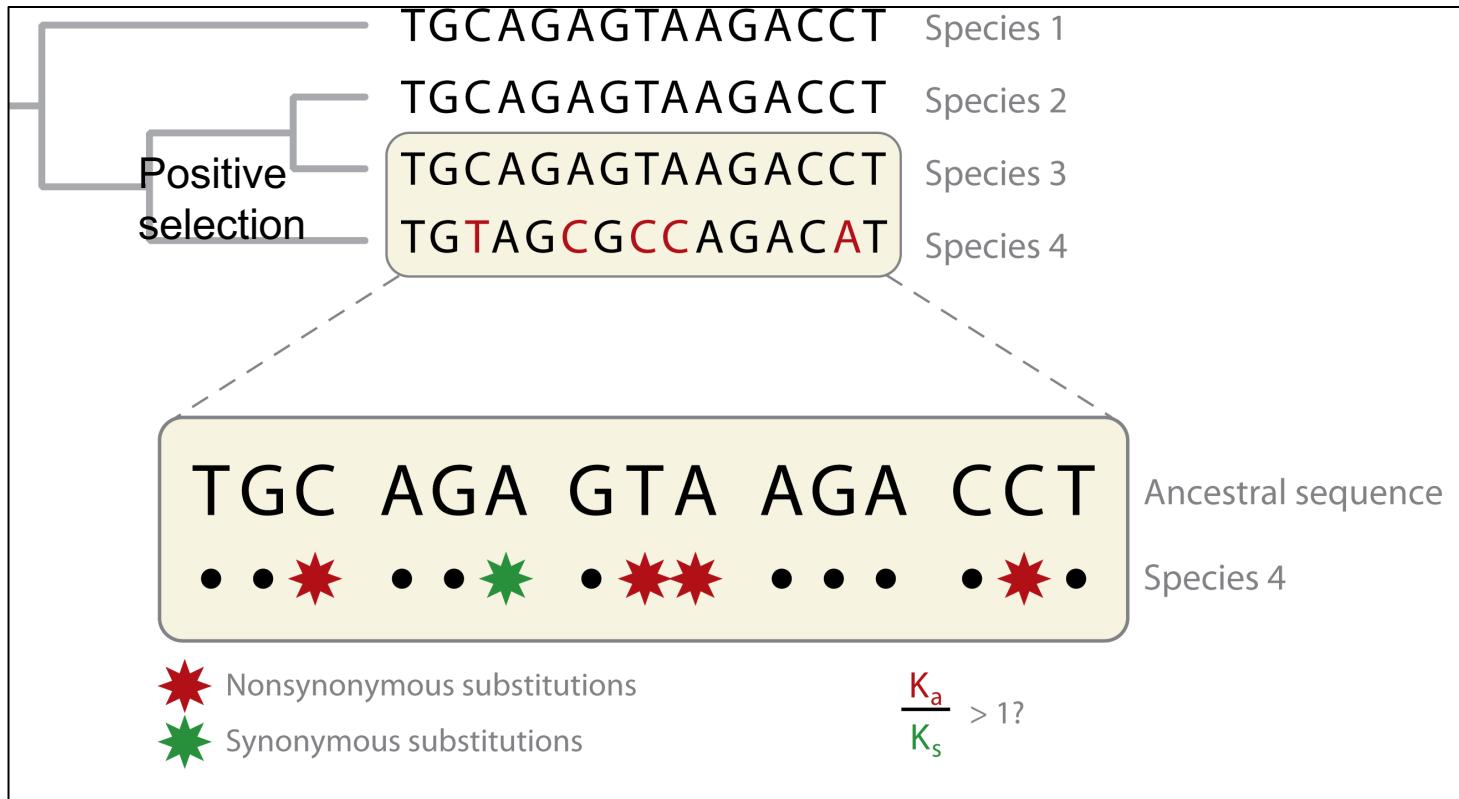
# Adaptive footprints

## Tests based on divergence



Tests to identify evidence for positive selection on the lineage leading to a species

# Identify loci with a high rate of functional evolution in a species



Use the ratio of NS to S variants to detect rapidly evolving loci across species

# dN/dS ratio

Differences in fixation probabilities of selected and neutral alleles:

$$\frac{d(\text{non-neutral class})}{d(\text{neutral class})} \rightarrow \frac{d(\text{non-synonymous})}{d(\text{synonymous})} = \frac{dN}{dS}$$

- Positive selection leads to more non-neutral substitutions:  $\frac{dN}{dS} > 1$
- Negative selection leads to fewer non-neutral substitutions:  $\frac{dN}{dS} < 1$

# dN/dS ratio

Ask whether:

$$\frac{dN}{dS} > 1$$

- Robust test, but tends to be conservative:
  - Requires multiple adaptive fixations in a gene
  - Adaptive change must outweigh purifying selection
- Method detects rapidly evolving genes. Mostly genes involved in arms races, e.g., immunity genes, reproductive competition
- Caveat: over long time scales, repeat mutations can occur at some synonymous sites (saturation)

# McDonald-Kreitman test

## Divergence vs polymorphism

Improvement over dN/dS:

Normalize divergence by polymorphism to control for different rates of evolution among sites

Logic:

If all segregating or fixed mutation are neutral, then the proportion of fixed differences that are nonsynonymous should be the same as the proportion of segregating mutations that are nonsynonymous

# McDonald-Kreitman test

## Divergence vs polymorphism

Accounts for purifying selection using polymorphism relative to divergence

	Between species	Within species
NS	$dN$	$pN$
S	$dS$	$pS$

$$\frac{\# \text{ non-synonymous polymorphisms}}{\# \text{ synonymous polymorphisms}} = \frac{pN}{pS}$$

Weak negative selection:

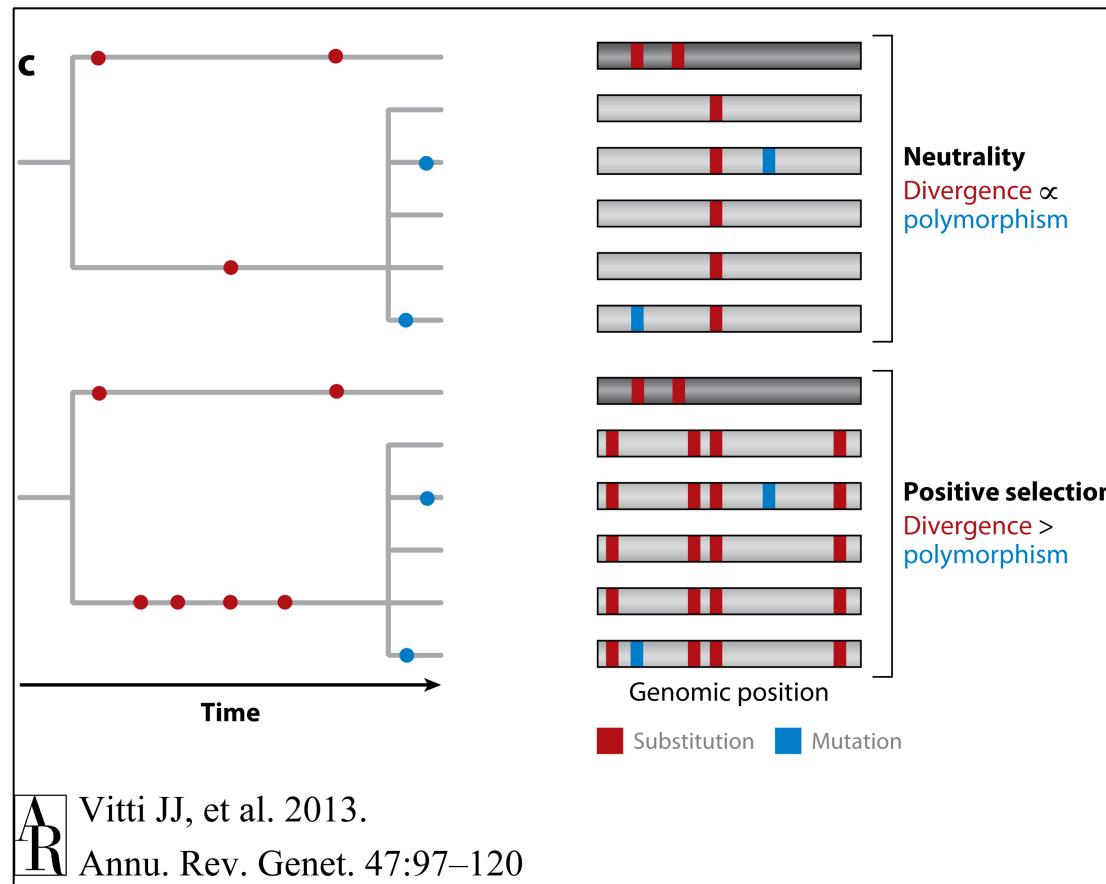
$$\frac{dN}{dS} < \frac{pN}{pS}$$

Positive selection:

$$\frac{dN}{dS} > \frac{pN}{pS}$$

Test for significance using  $\chi^2$  test

# MK uses information about diversity and divergence within and between species



# McDonald-Kreitman test

## Divergence + Polymorphism

$$\frac{dN}{dS} > \frac{pN}{pS}$$

- powerful test framework
- $\alpha = 1 - \frac{dS}{dN} \frac{pN}{pS}$  *proportion of adaptive AA substitutions*  
(estimates: 10-20% in humans, 50% in fruitflies)
- Still requires multiple adaptive fixations
- Can also use this family of to look for signals for other putative functional sites
- False positives possible for growing populations

# McDonald-Kreitman test: genome-wide example in *Drosophila*

Assess adaptive significance of non-coding DNA changes in *D. melanogaster*

**Table 2 | Functionally relevant nucleotides in non-coding DNA**

Class	C (%)*	$\alpha$ (%)†	$p$ ( $\alpha \leq 0$ )‡	FRN (%)§
UTRs	60.4	57.5	$<10^{-3}$	83.2
5' UTRs	52.9	60.8	$<10^{-3}$	80.9
3' UTRs	70.7	52.9	$<10^{-3}$	86.2
Introns	39.5	19.3	0.007	51.2
IGRs	49.3	15.3	0.036	57.1
pIGRs	40.6	11.4	0.165	47.4
dIGRs	54.6	18.5	0.019	63.0
Introns + IGR	44.2	17.6	0.013	54.0

\* Constraint (C) is estimated relative to fourfold degenerate synonymous sites.

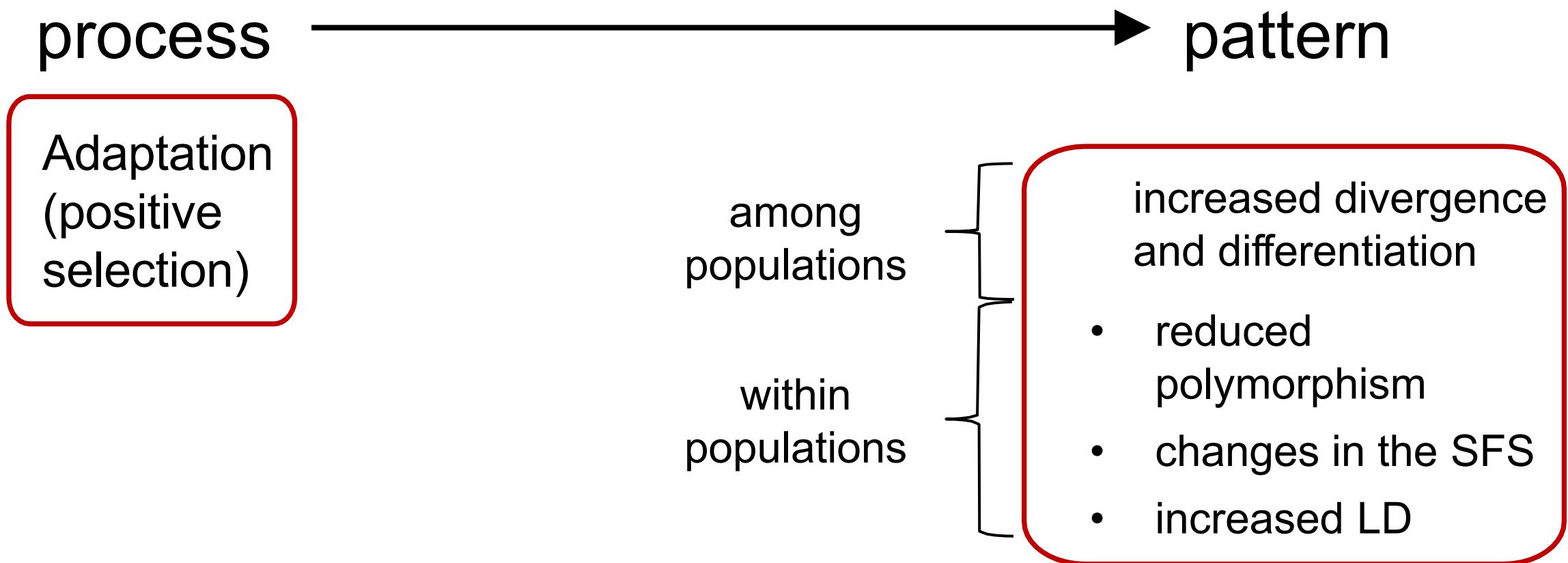
†  $\alpha$  is the estimated fraction of divergence driven by positive selection.

‡ Probabilities ( $\alpha \leq 0$ ) have been adjusted for effects of linkage within loci (see Supplementary Materials 2.5).

§ FRN is the inferred fraction of functionally relevant nucleotides given levels of constraint and  $\alpha$  (that is,  $FRN \approx C + (1 - C)\alpha$ ).

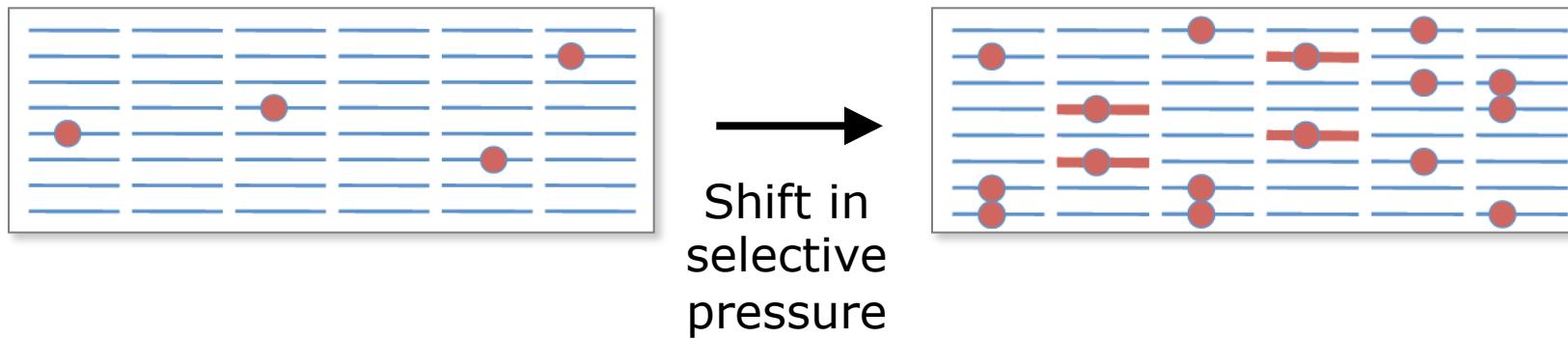
Takehome:  
Non-coding DNA  
is not junk!

# Reconstructing adaptive history *within species*



# Models of adaptation: polygenic selection model

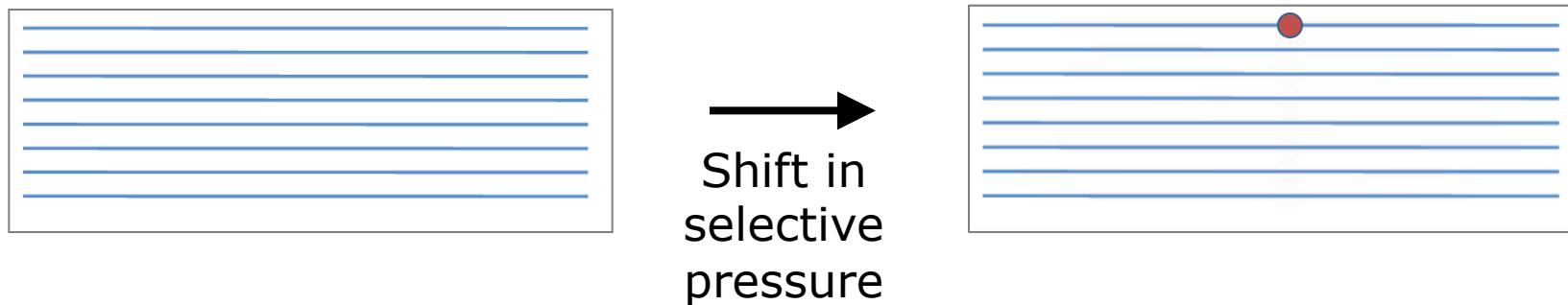
A polygenic model of selection:



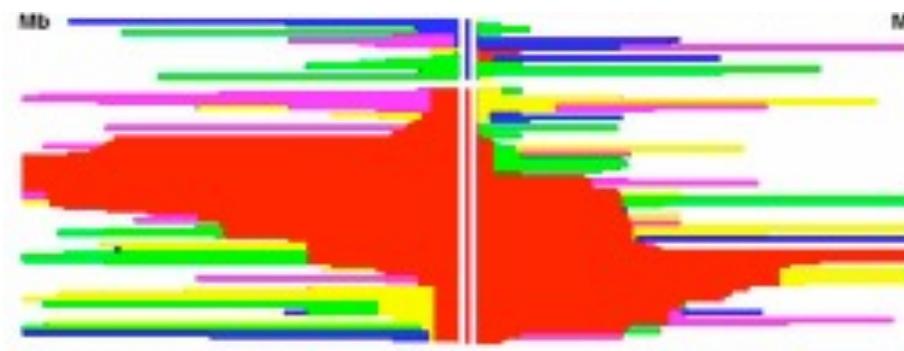
*Polygenic selection may result in subtle shifts in frequencies at many loci, most of which were present in the population when the selection pressure arose*

# Models of adaptation: Hard sweep model (hitch-hiking)

*Hard sweep* model of adaptation:

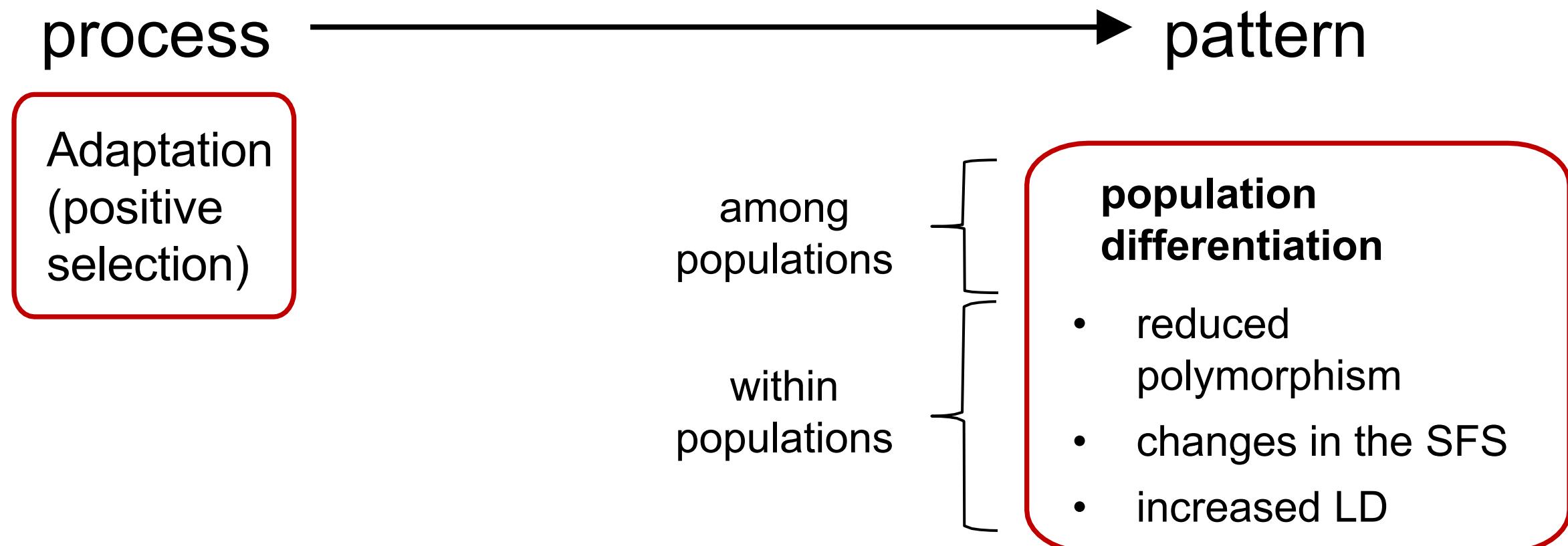


Haplotype structure can be used to identify regions implicated in *hard sweeps*

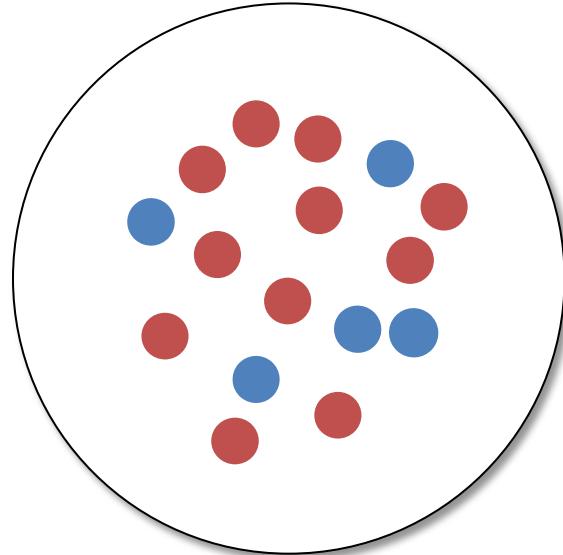


**When selection acts differentially across populations, identifying regions of increased differentiation can be a powerful approach**

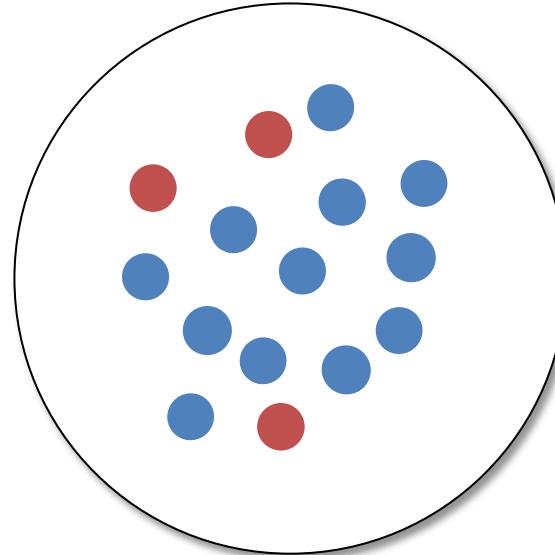
# Reconstructing adaptive history *among populations within species*



# Population differentiation



Population 1



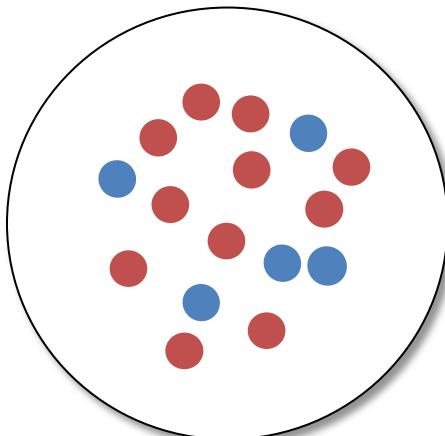
Population 2

At the simplest level, population-differentiation based approaches rely on the simple assumption that the populations differ with respect to some (not necessarily defined) selection pressure

# $F_{ST}$ : Wright's fixation index

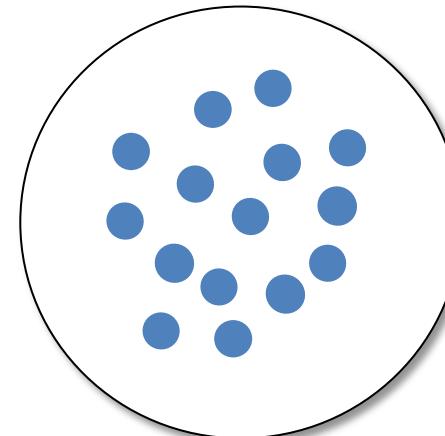
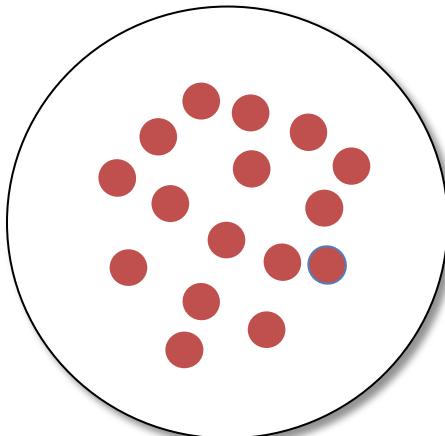
$F_{ST}$  measures the amount of genetic variance that can be explained by population structure

$$F_{ST} = 0$$



No  
differentiation

$$F_{ST} = 1$$



Complete  
differentiation

# $F_{ST}$ : Wright's fixation index

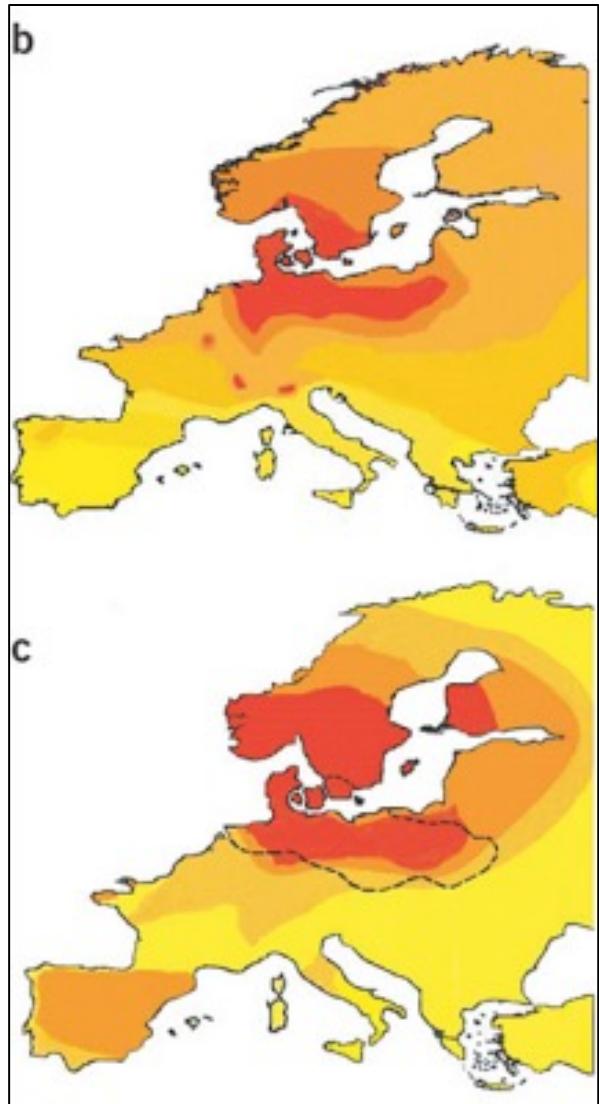
Recall:

- $F_{ST}$  measures the amount of genetic variance that can be explained by population structure
- This is the fraction of diversity that is not due to the mean of the within population diversity

$$F_{ST} = \frac{\sigma_S^2}{\sigma_T^2} = \frac{\sigma_S^2}{\bar{p}(1 - \bar{p})}$$

Where  $\bar{p}$  is the average frequency of an allele in the total population,  $\sigma_S^2$  is the variance in the frequency between subpopulations, weighted by the sizes of the populations and  $\sigma_T^2$  is the variance of the allelic state in the total population

# An example: lactase persistence in humans

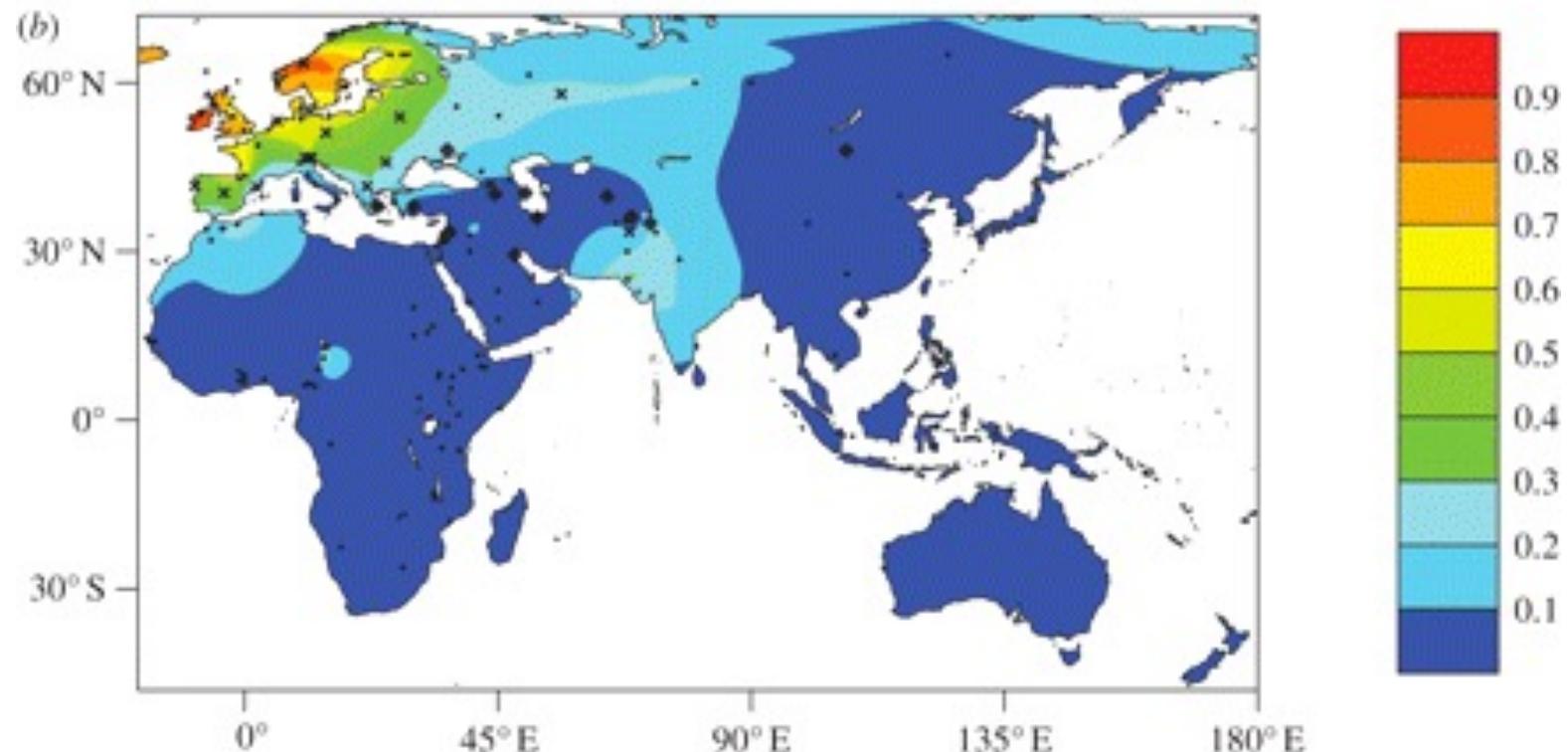


Cow milk protein diversity  
(proxy of length of time  
milk has been an  
important part of the diet)

Frequency of lactase  
persistence in humans

# Geographic distribution of allele responsible for lactase persistence in Europe

Distribution of LCT -13910\*T



# Adaptation to dietary shift: lactase persistence in Europeans

Simoons hypothesized that the distribution of pastoralism could explain the striking differences in lactase persistence among populations

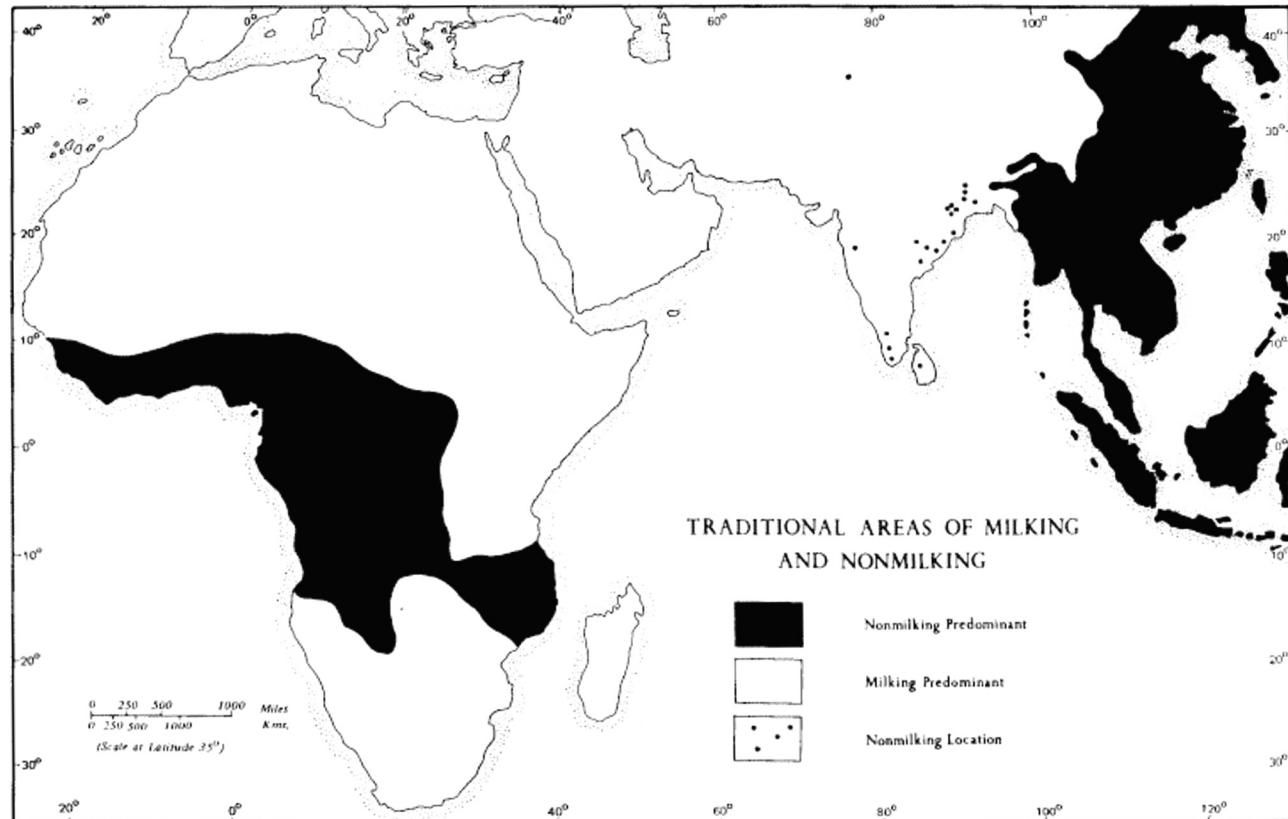
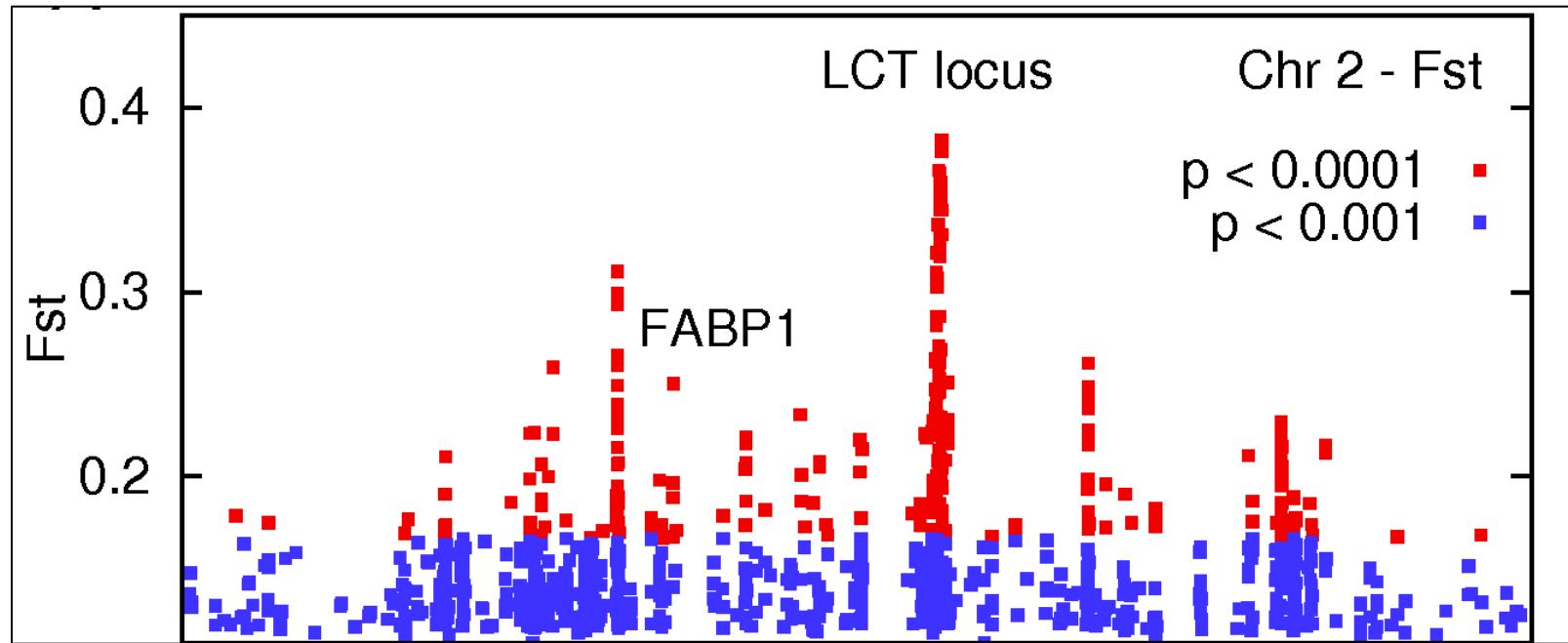


Fig 1. Traditional areas of milking and nonmilking.

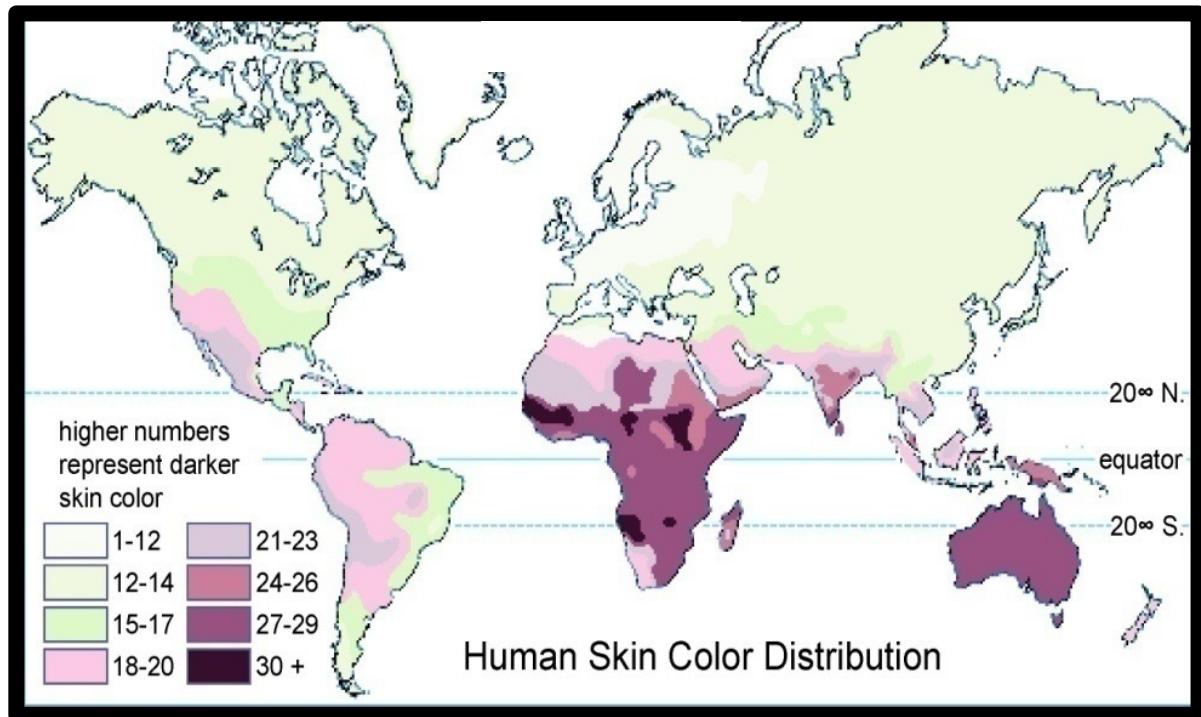
Simoons, 1970

# The LCT locus is differentiated between European and African populations

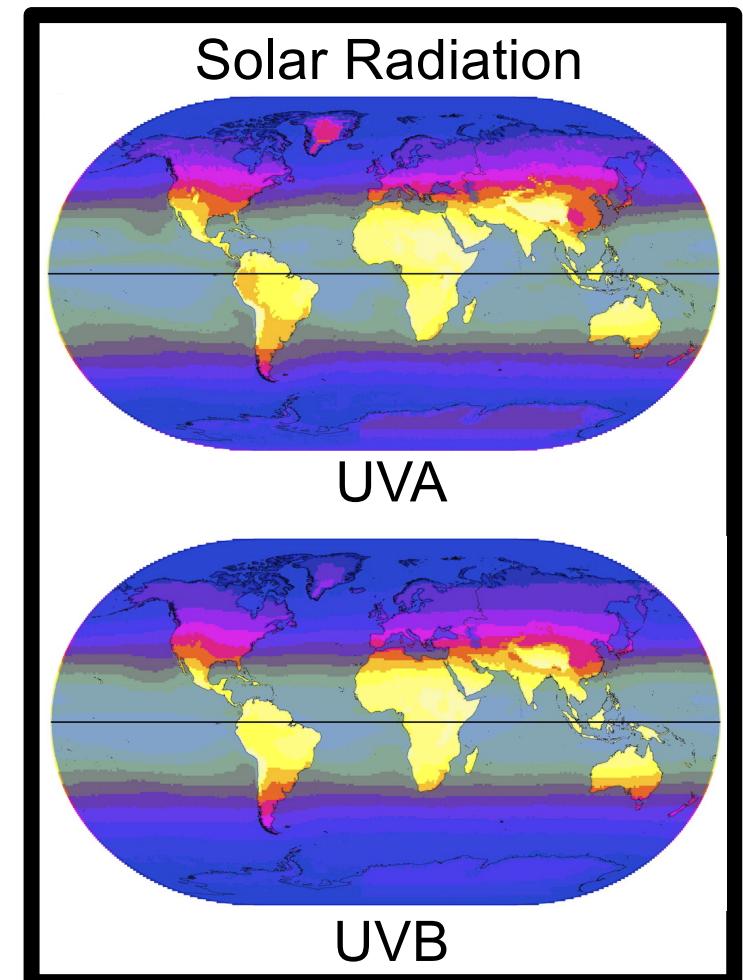


# In humans pigmentation is correlated with solar radiation

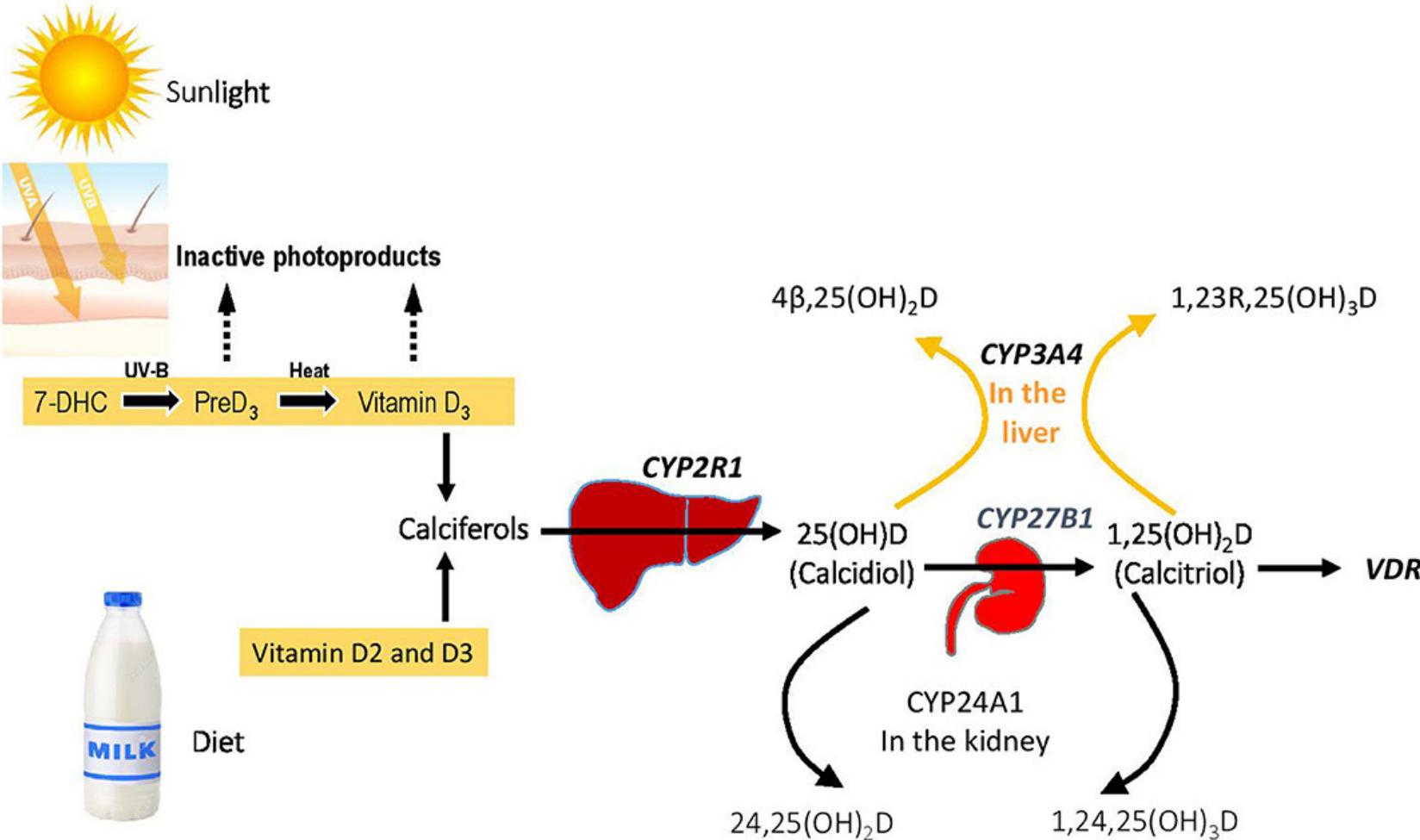
Worldwide variation in pigmentation



from Barsh PLOS Biology 2003, adapted from Biasutti 1953



# Sunlight is needed for vitamin D production, so high levels of pigmentation can be detrimental at high latitude



# Inadequate vitamin D levels can result in many physiological ailments

Possible symptoms include:

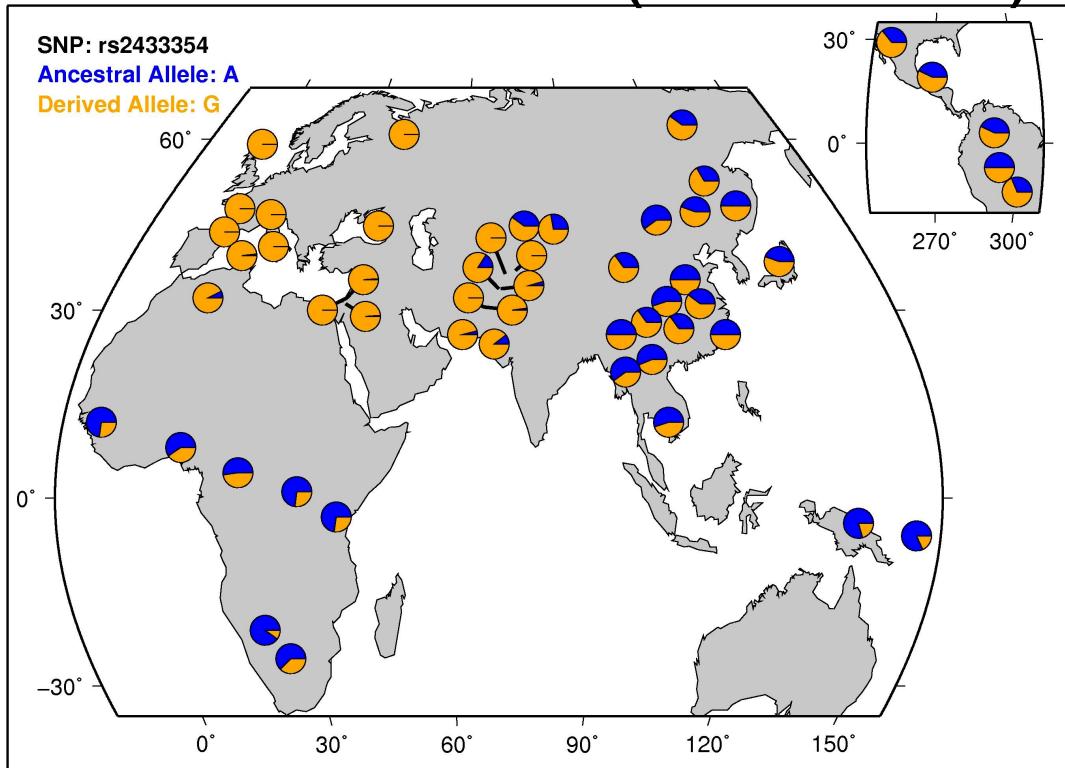
- Muscle and bone pain and increased sensitivity to pain
- Muscle weakness in body parts near the trunk of the body, such as the upper arms or thighs
- Increased risk of broken bones
- Muscle spasms, twitches or tremors
- Bowed legs (when the deficiency is severe)
- Increased risk of chronic heart failure

Tradeoff between protective effect of pigmentation (against UV damage and cancer) and deleterious effect at high latitude

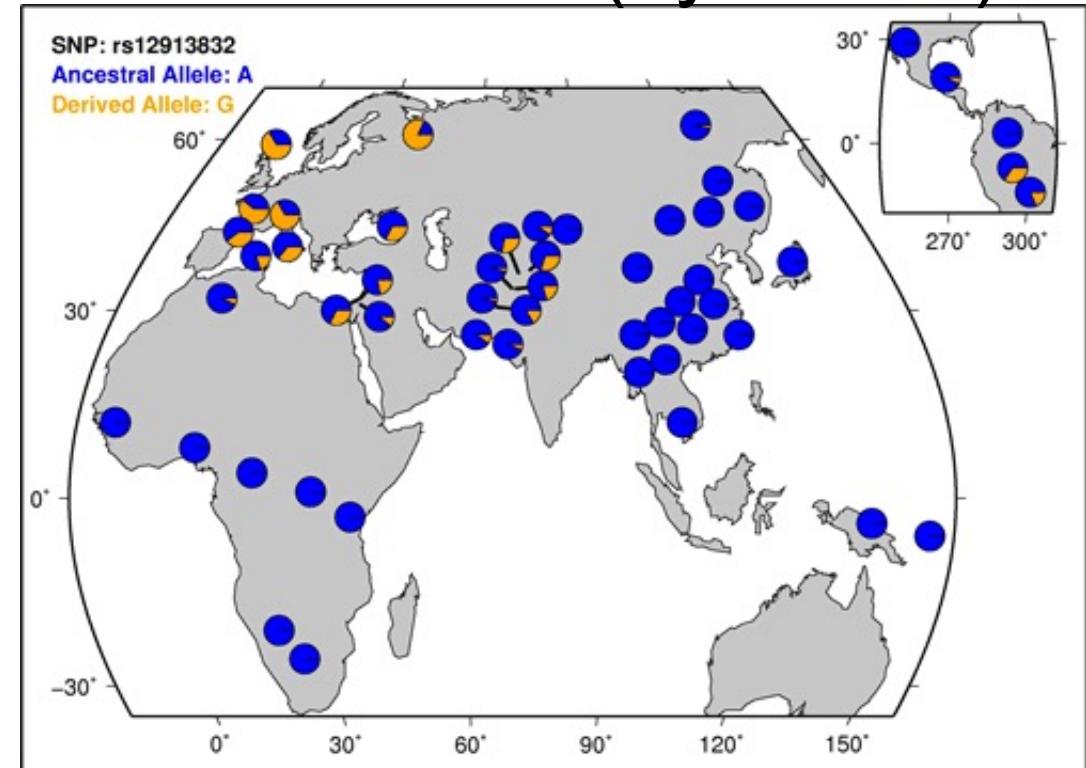


# Multiple variants involved in loss of pigmentation are differentiated among human populations

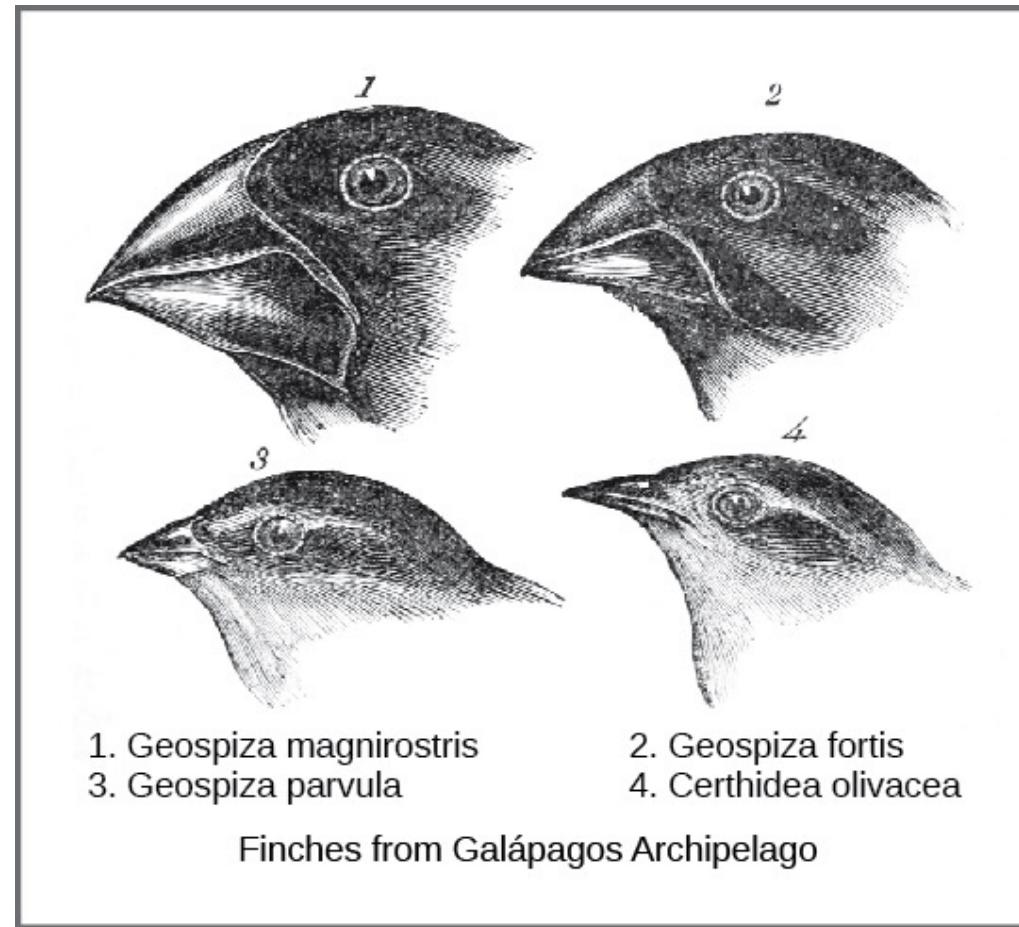
## SLC24A5 variant (skin color)



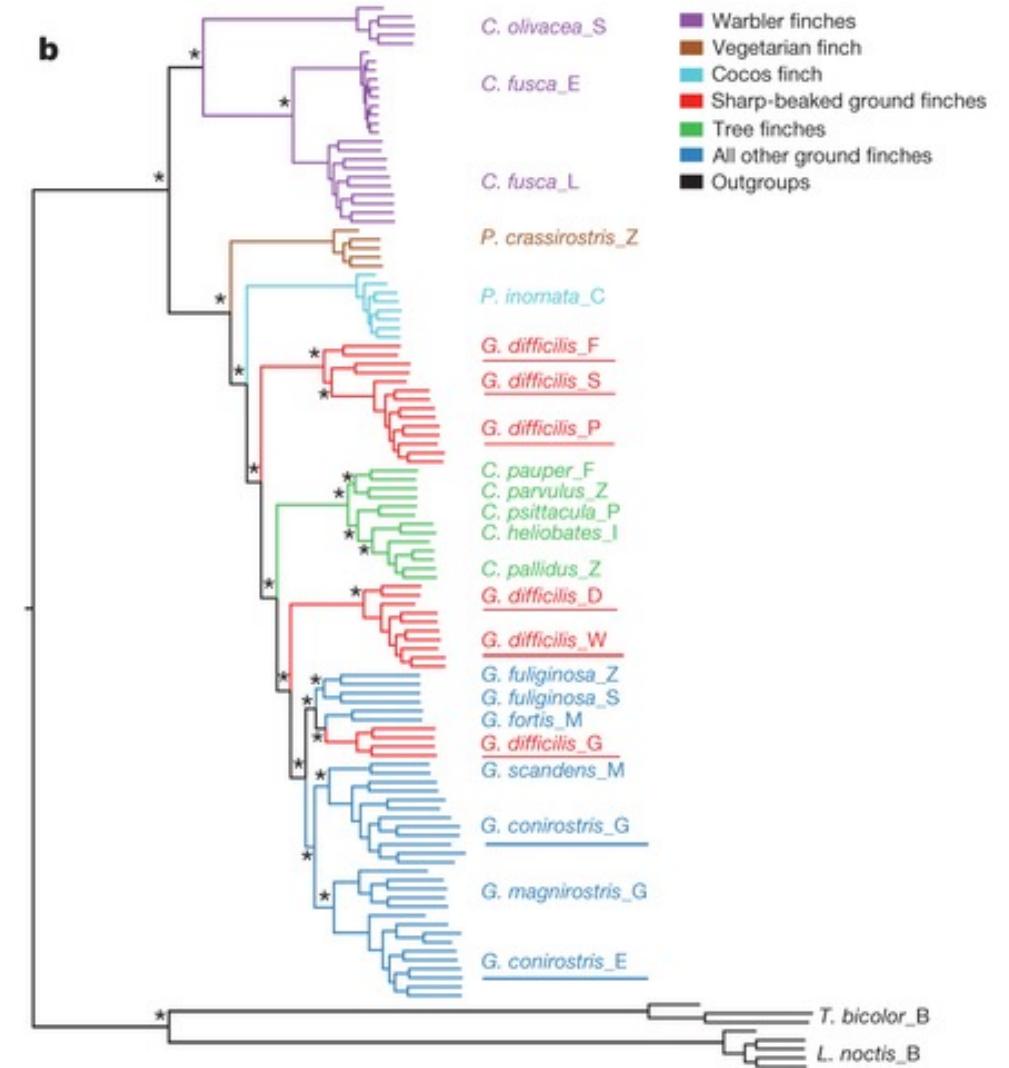
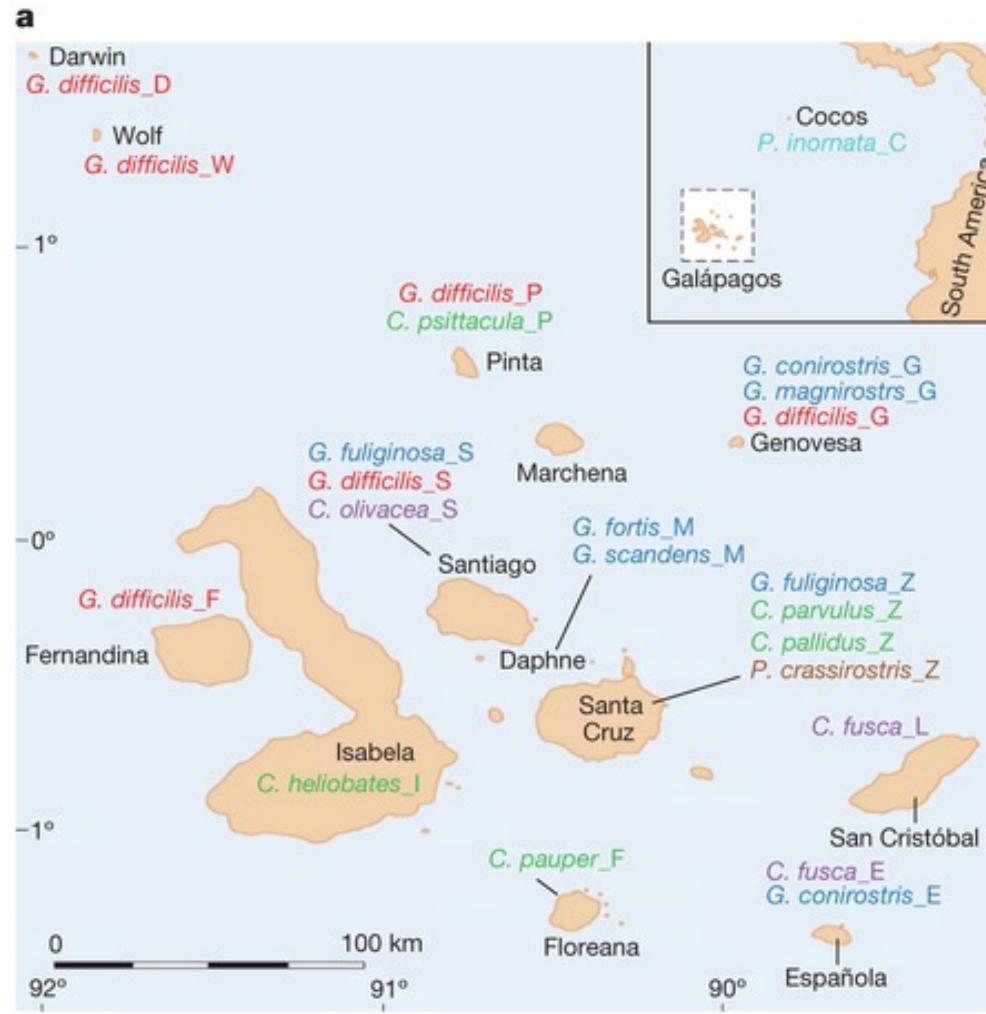
## OCA2 variant (eye color)



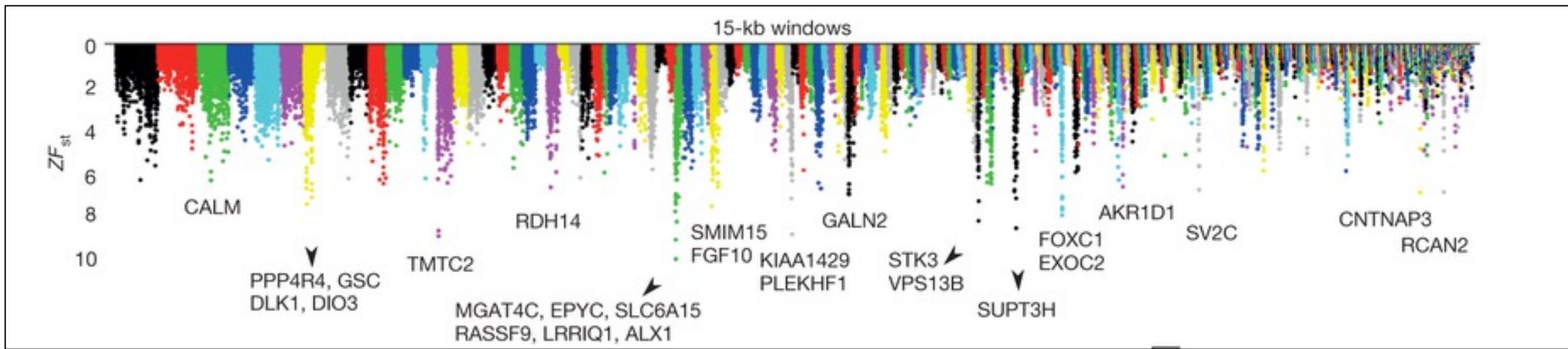
# Beak morphology in Darwin's finches: a classic example of adaptive radiation



# Sequencing the genomes of Darwin's finches

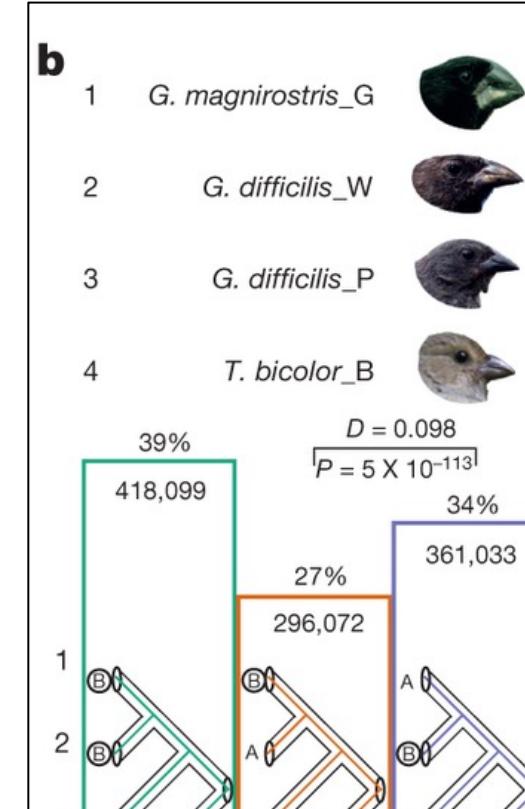
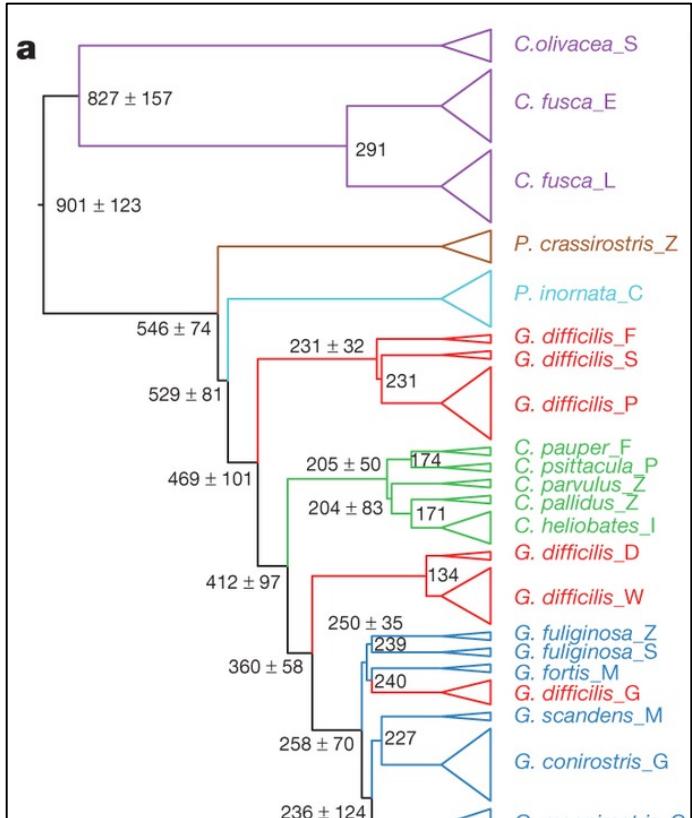


# Population differentiation across the genome of Darwin's finches



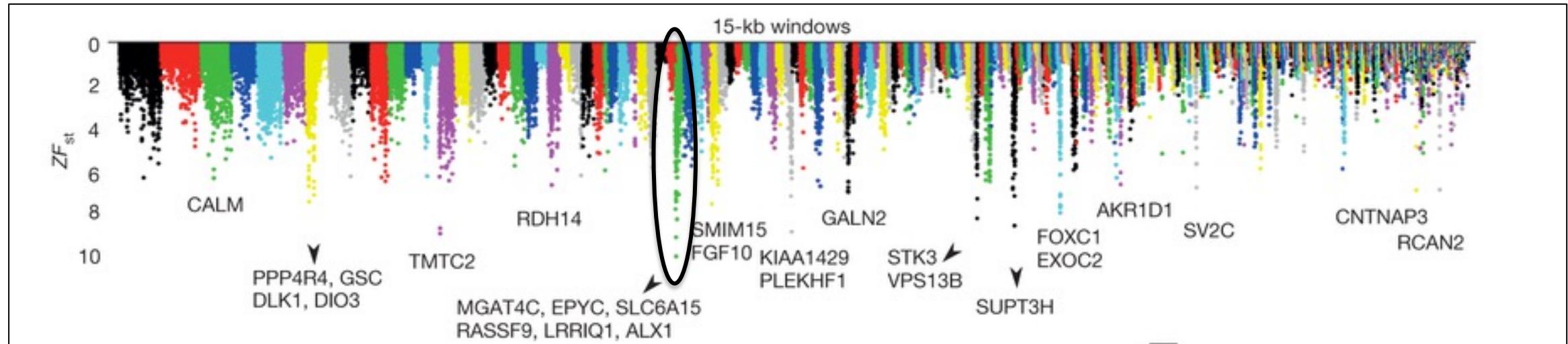
Differentiation at many loci across the genome

# Haplotype sharing due to incomplete lineage sorting and introgression across the genome

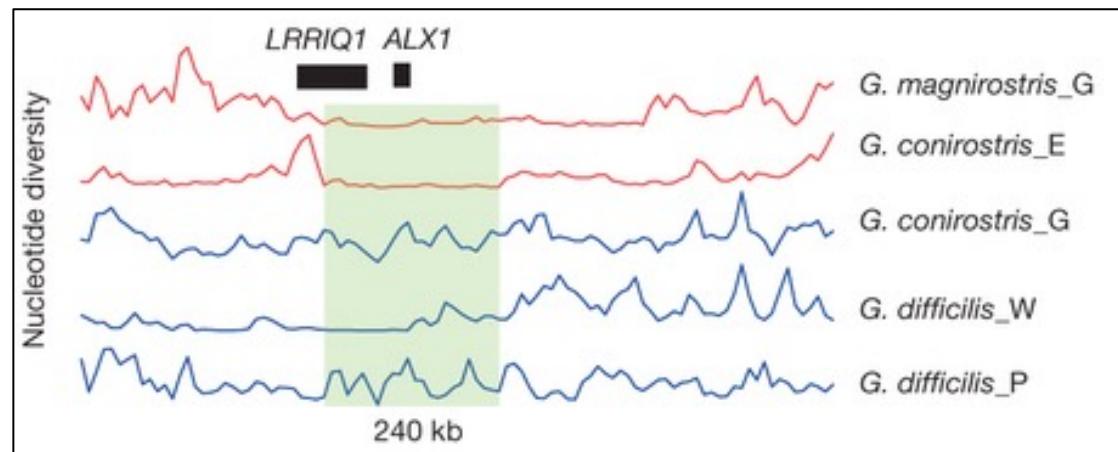


This means that there is shared variation across species, so that segregation patterns across species can be used to identify adaptive loci

# Population differentiation across the genomes of Darwin's finches (across a combination of species)

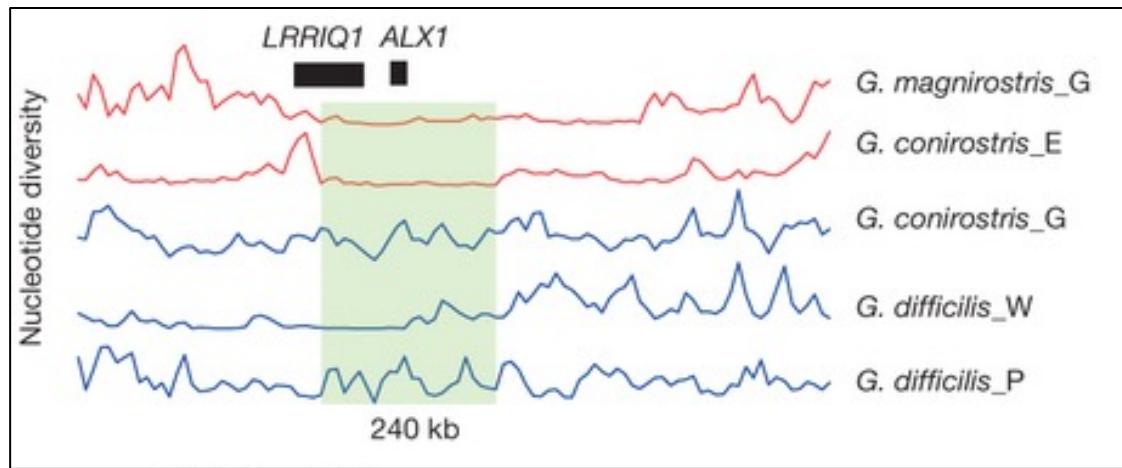


ALX1 is involved  
in beak  
development

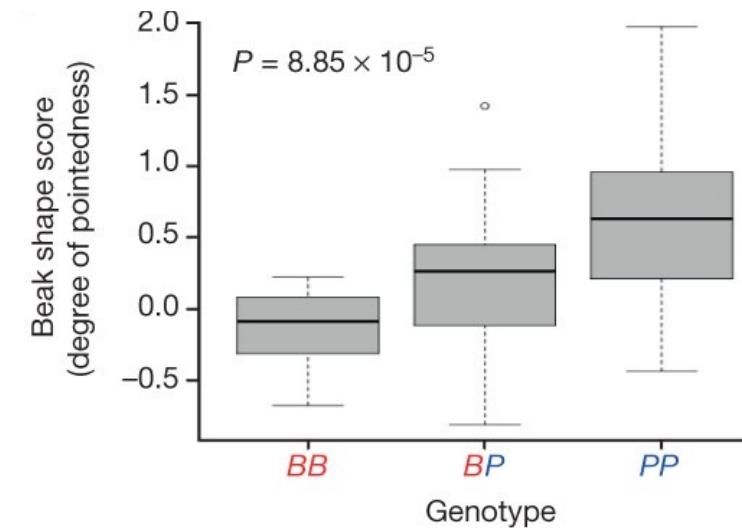


# **ALX1 haplotype is strongly differentiated among populations and associated with beak shape**

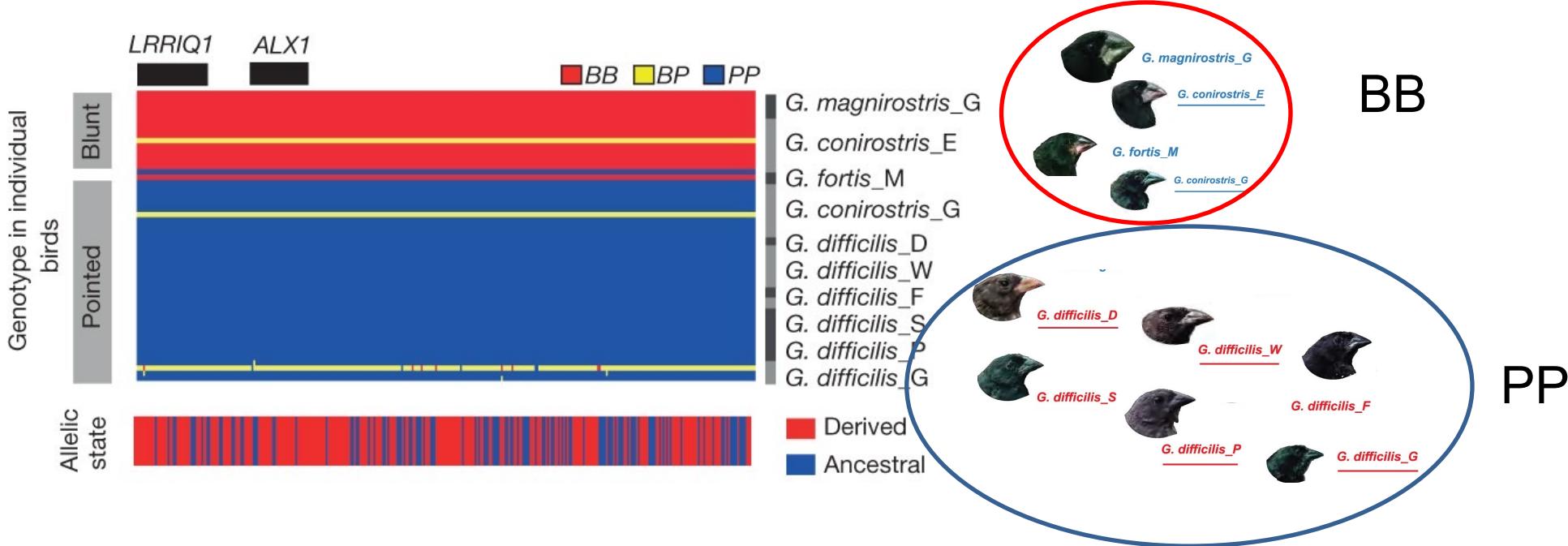
Reduced diversity at ALX1, a gene involved in beak development



B haplotype is associated with blunt (versus pointed) beaks



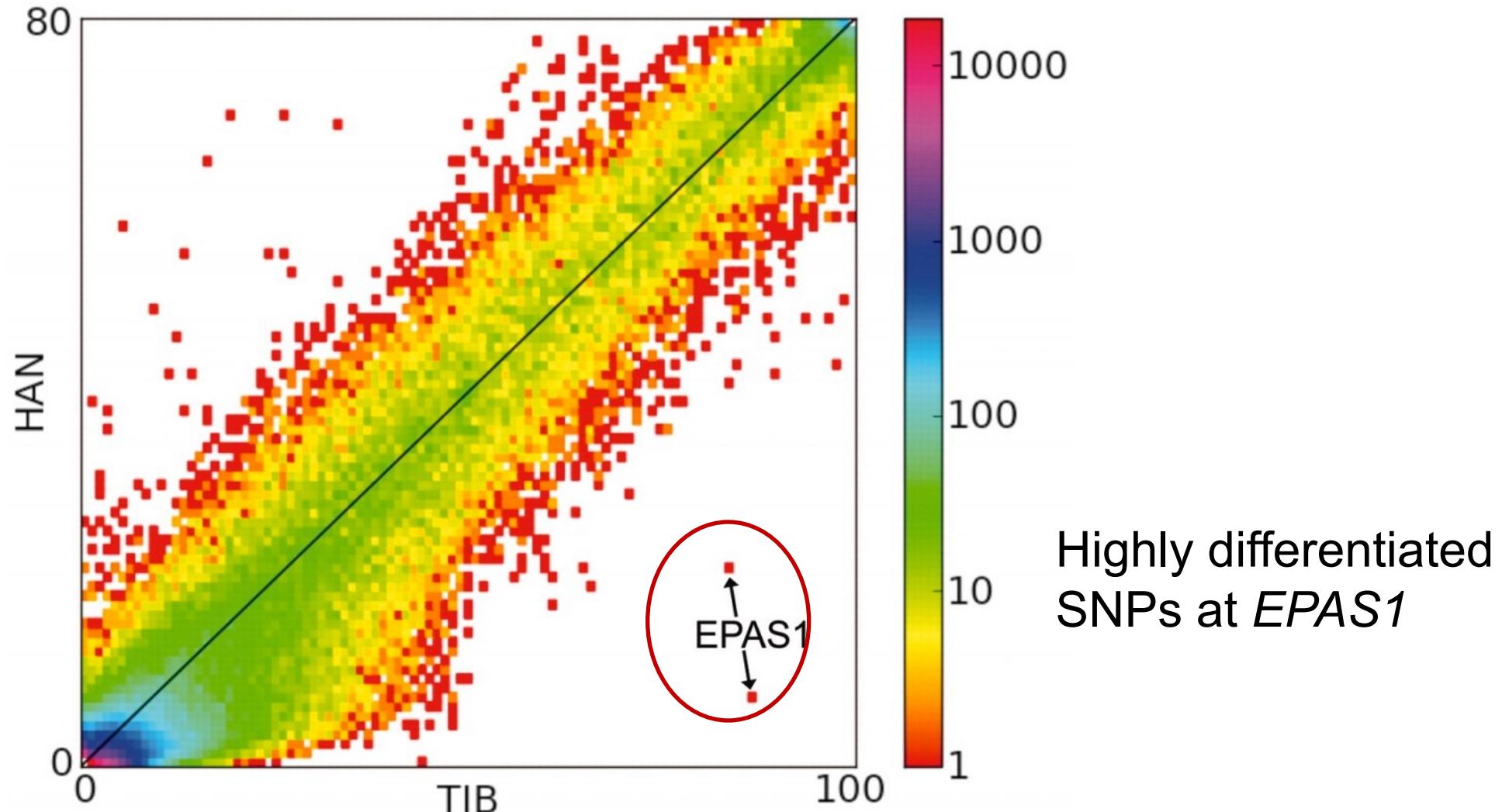
# *ALX1* haplotype is strongly differentiated among populations and associated with beak shape



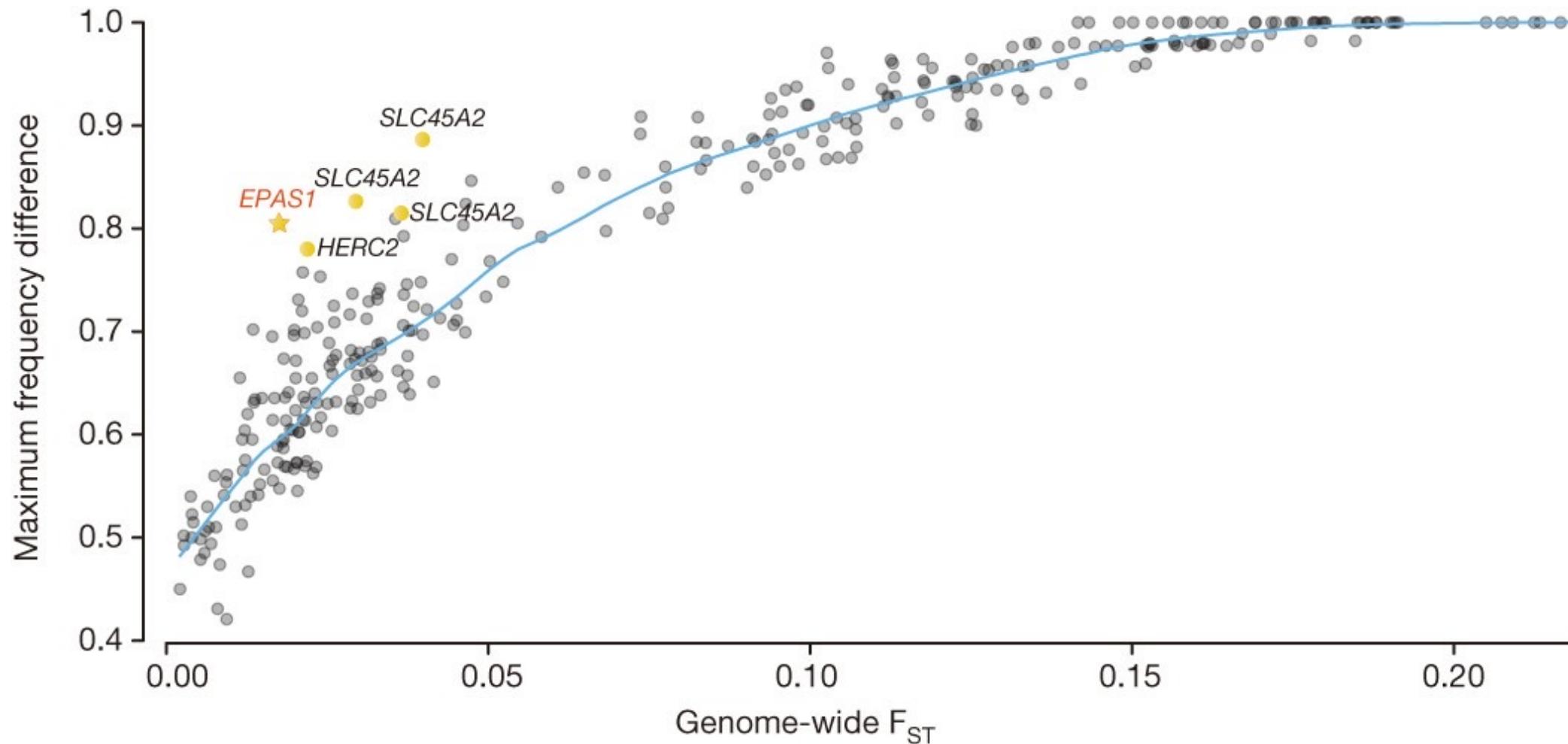
# High altitude adaptation in humans



# High altitude adaptation in humans identified based on frequency differences

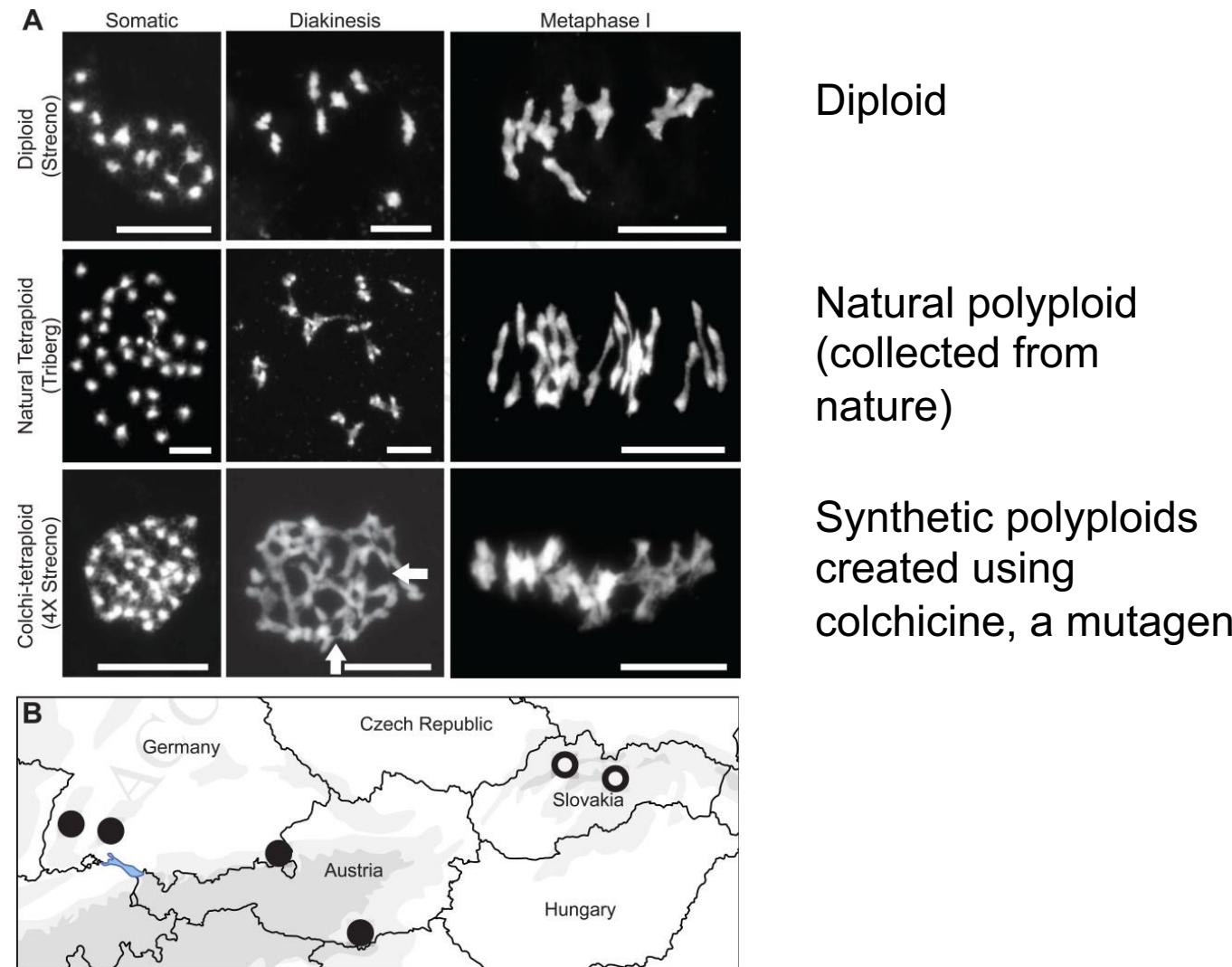


# Maximal frequency differences relative to pairwise $F_{ST}$



# Polyploids can be stable in nature, but their formation in the lab is associated with meiotic dysfunction

DAPI-stained  
meiotic  
chromosome  
spreads

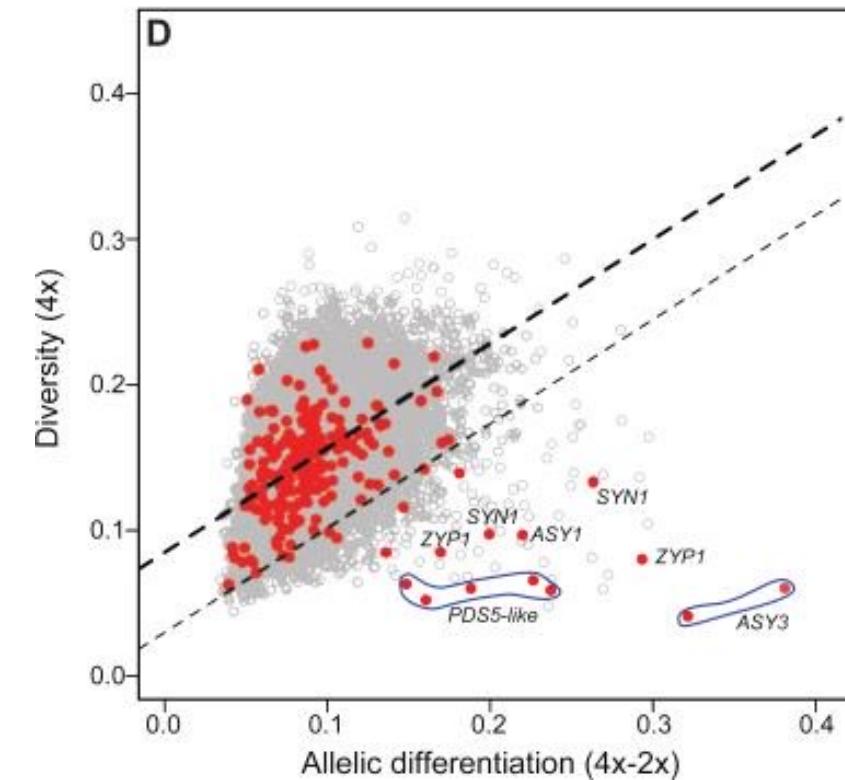
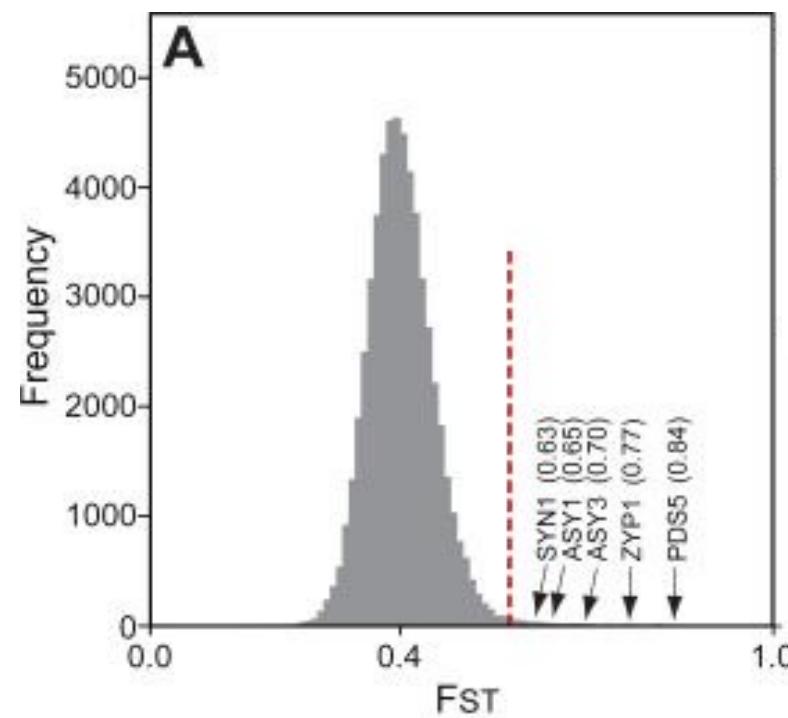


Map of populations sequenced. Tetraploids are indicated with closed circles; diploids with open circles

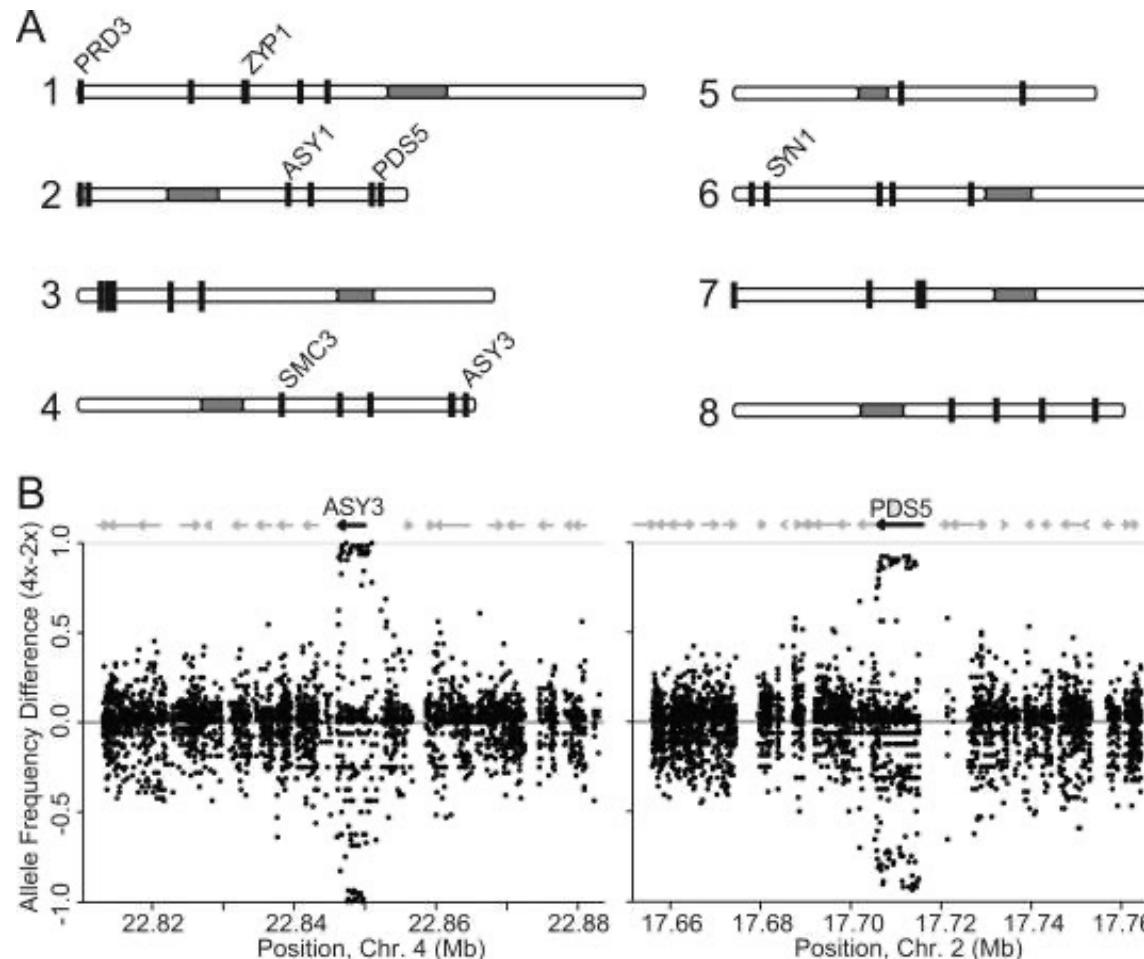
# Polypliods can be stable in nature, but their formation in the lab is associated with meiotic dysfunction

*What makes them viable in nature?*

Adaptation through changes in core meiosis genes!



# Examples of meiosis genes, *ASY3* and *POS5*, with differentiation signals



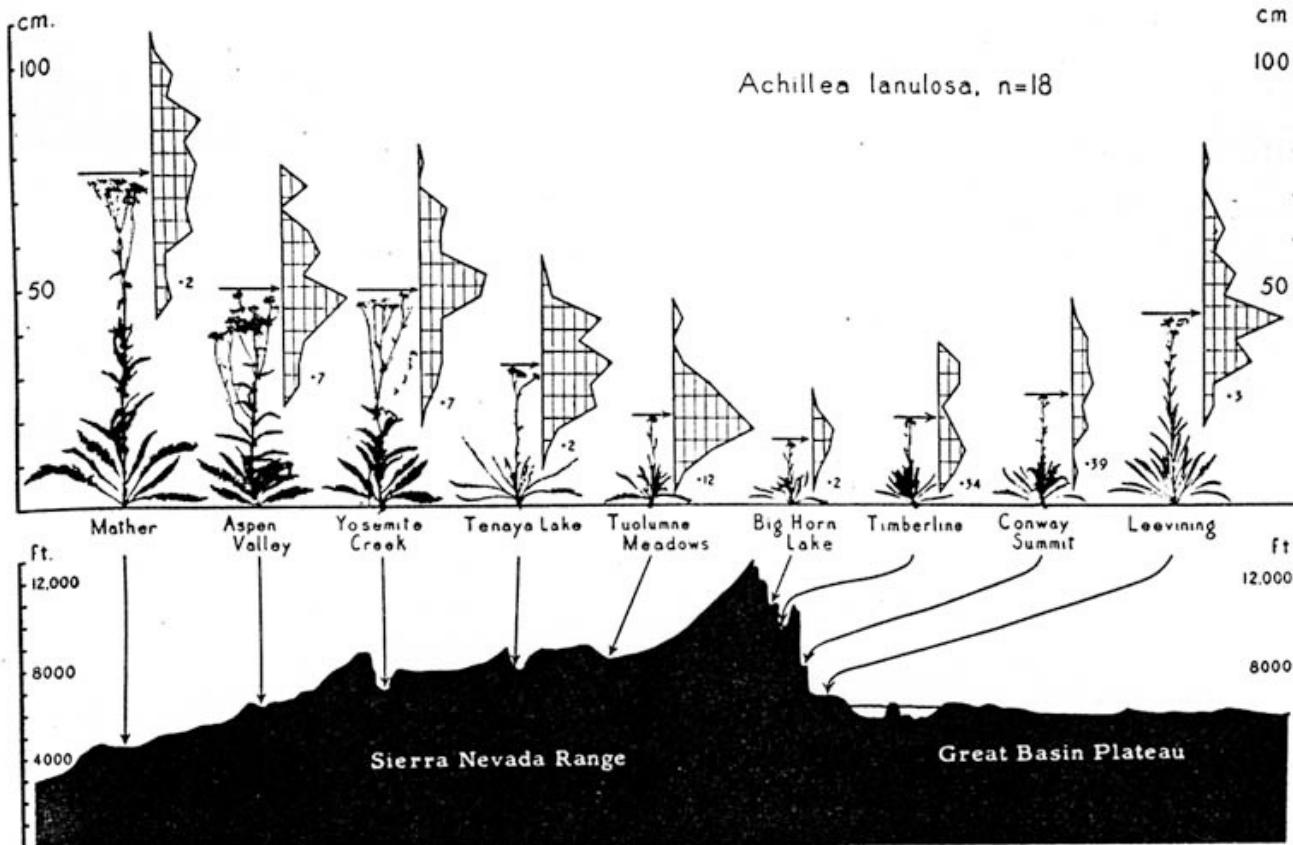
Strong  
differentiation  
between SNPs in  
the ASY3 and  
POS5 regions

**Identifying regions that are simply differentiated  
between two populations can be powerful in  
simple cases**

*... but can we do better?*



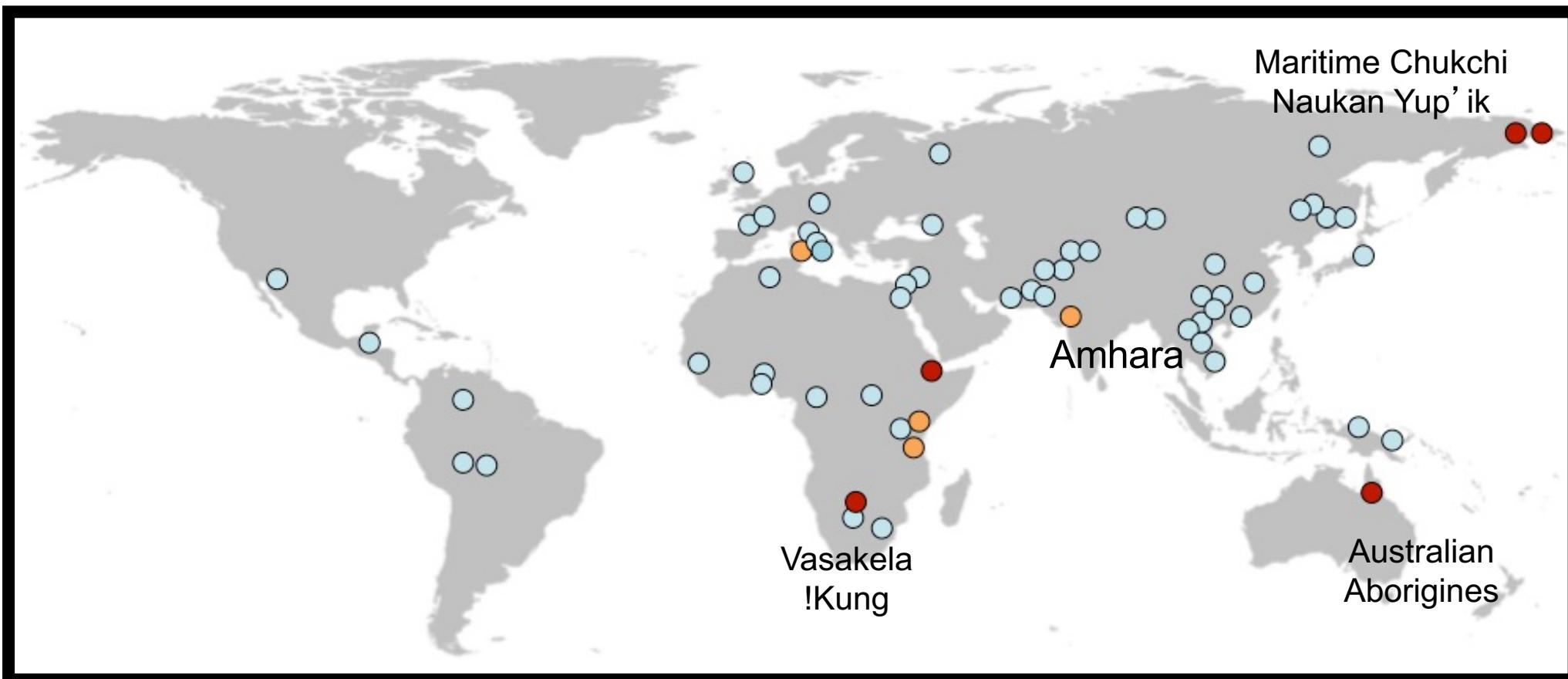
# Clinal patterns represent a classic signature of adaptation



Heights of yarrow plants vary with altitude

from Clausen, Keck and Heisey, 1948

# Can we use clinal patterns to identify adaptive *loci*?



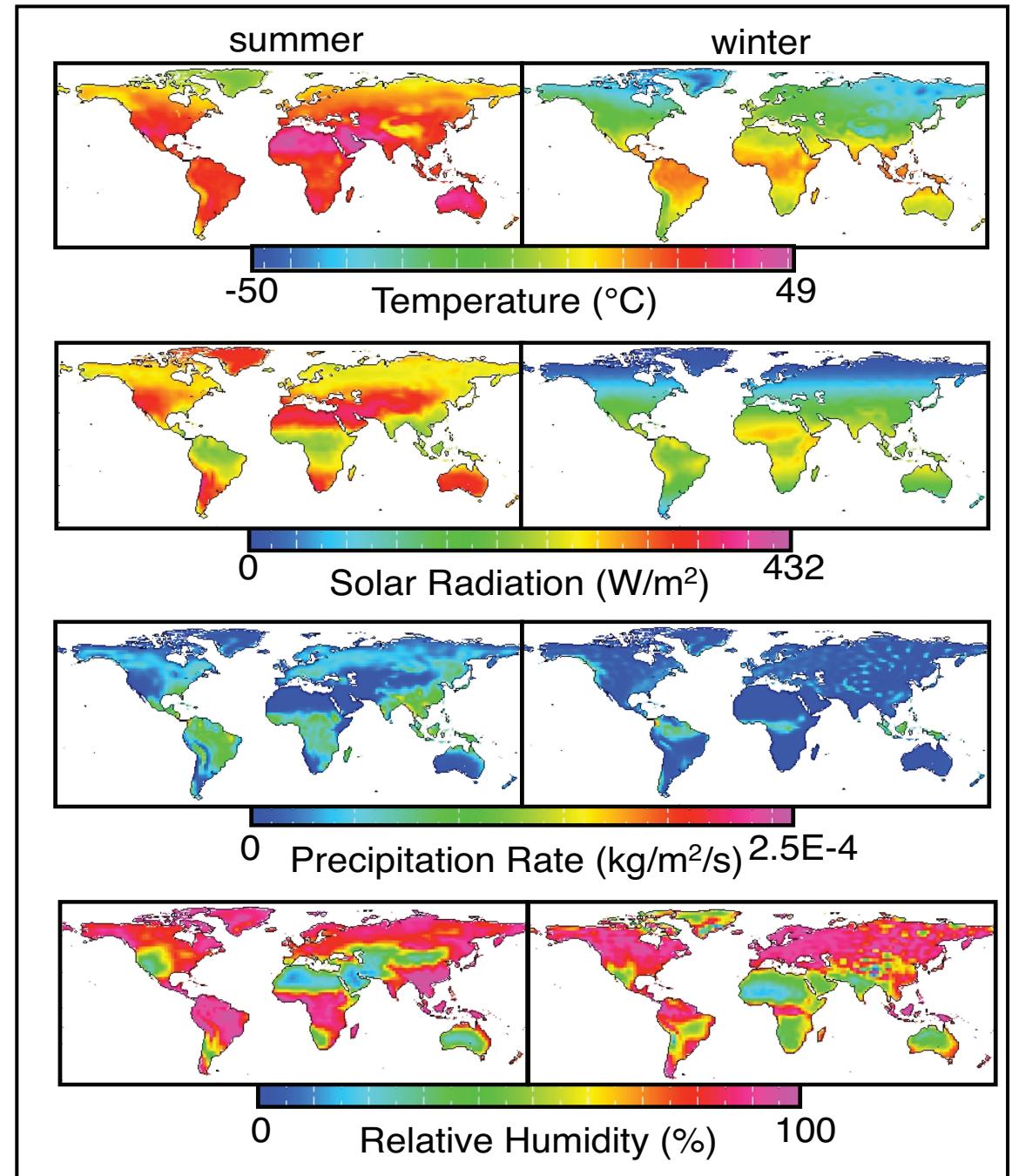
Genome-wide data from 1344 individuals from 61 populations:

- 938 individuals from the Human Genome Diversity Panel
- 288 individuals from HapMap Phase 3
- 118 individuals genotyped for these projects

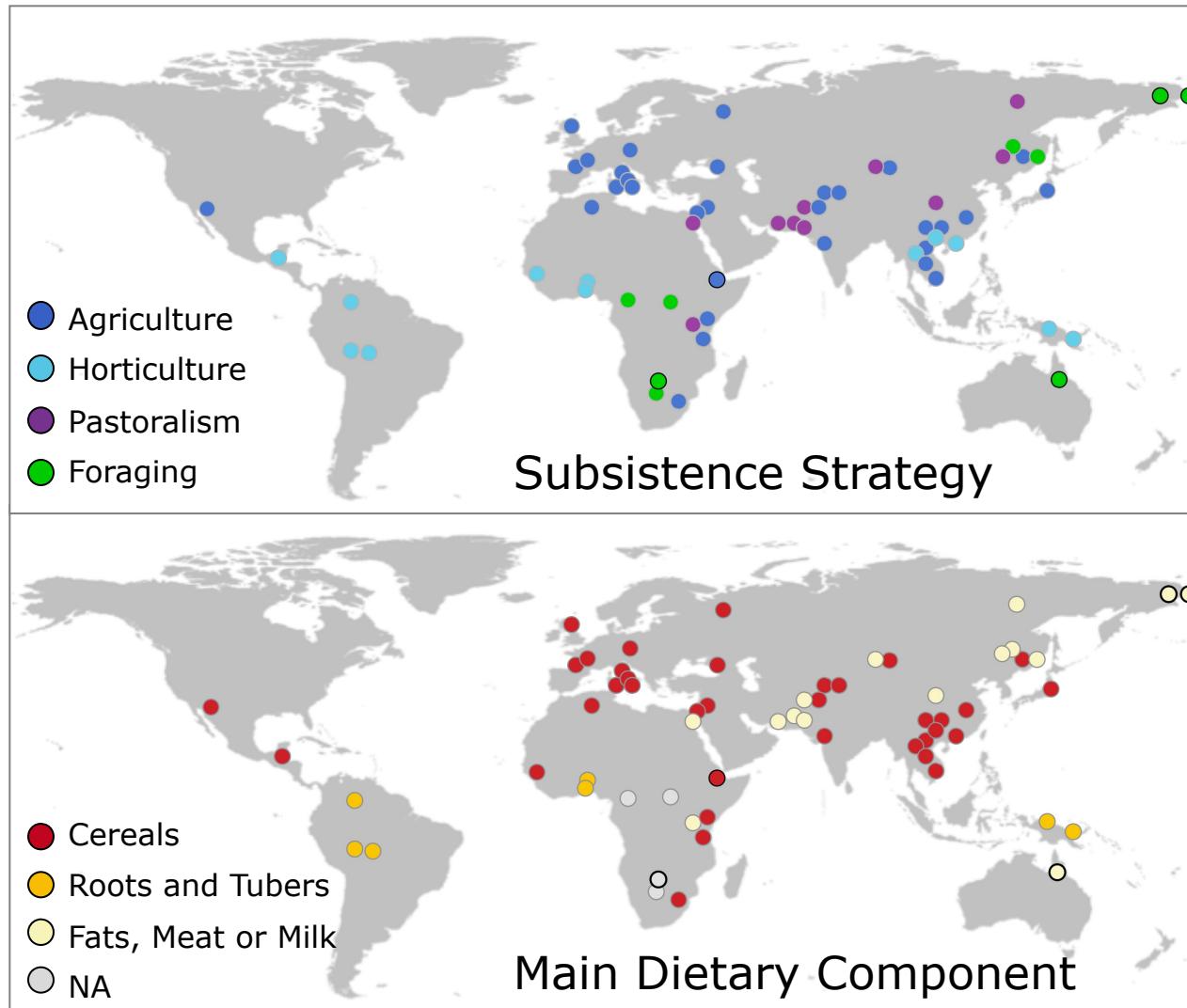
Hancock et al., 2011

# Climate Variables

Climate data source:  
NCEP/NCAR Reanalysis  
Project (Kistler et al., 2001)



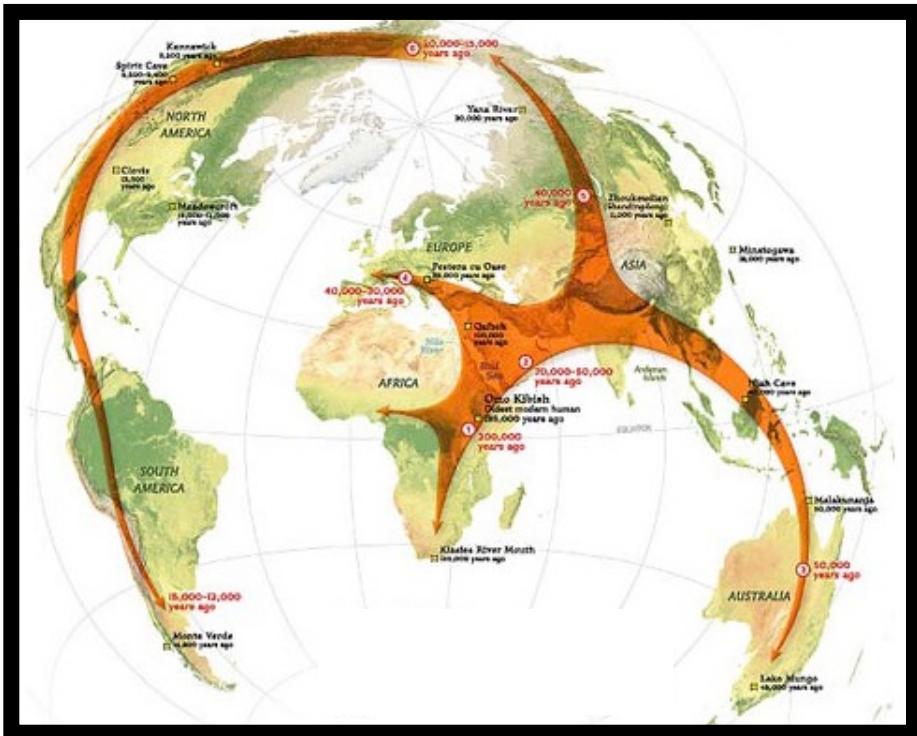
# Diet and Subsistence Variables



## Sources:

- *Ethnographic Atlas*  
(Murdock 1967)
- *Encyclopedia of World Cultures*  
(Levinson 1991-97)

# Population history confounds efforts to identify adaptive loci



*Significance of correlations may be over-estimated if:*

- Population history is correlated with the environment

*... And under-estimated if:*

- The effects of selection are subtle relative to the effects of population structure on allele frequencies

**Solution:** Model population structure when assessing evidence for correlation with the environment

# Many of the strongest correlations are with amino acid-changing variants

## Climate

- *TLR6* P249S & solar radiation  
*associated with malaria resistance and prostate cancer*
- *TRIP6* V858I & minimum temperature  
*implicated in energy metabolism and basal metabolic rate*

## Diet/Subsistence

- *MTRR* K350R & roots and tubers  
*involved in folic acid metabolism; associated with spina bifida*
- *CCL22* D2A & pastoral subsistence  
*associated with multiple sclerosis and *H. pylori*-related carcinoma*

# The strongest correlation with cereal use is a truncating amino acid change



*PLRP2* hydrolyzes ***galactolipids***, the main triglyceride component in plants



This protein is found in pancreases of ***herbivores and omnivores*** but not ***carnivores or ruminants***



# Structure of *PLRP2*



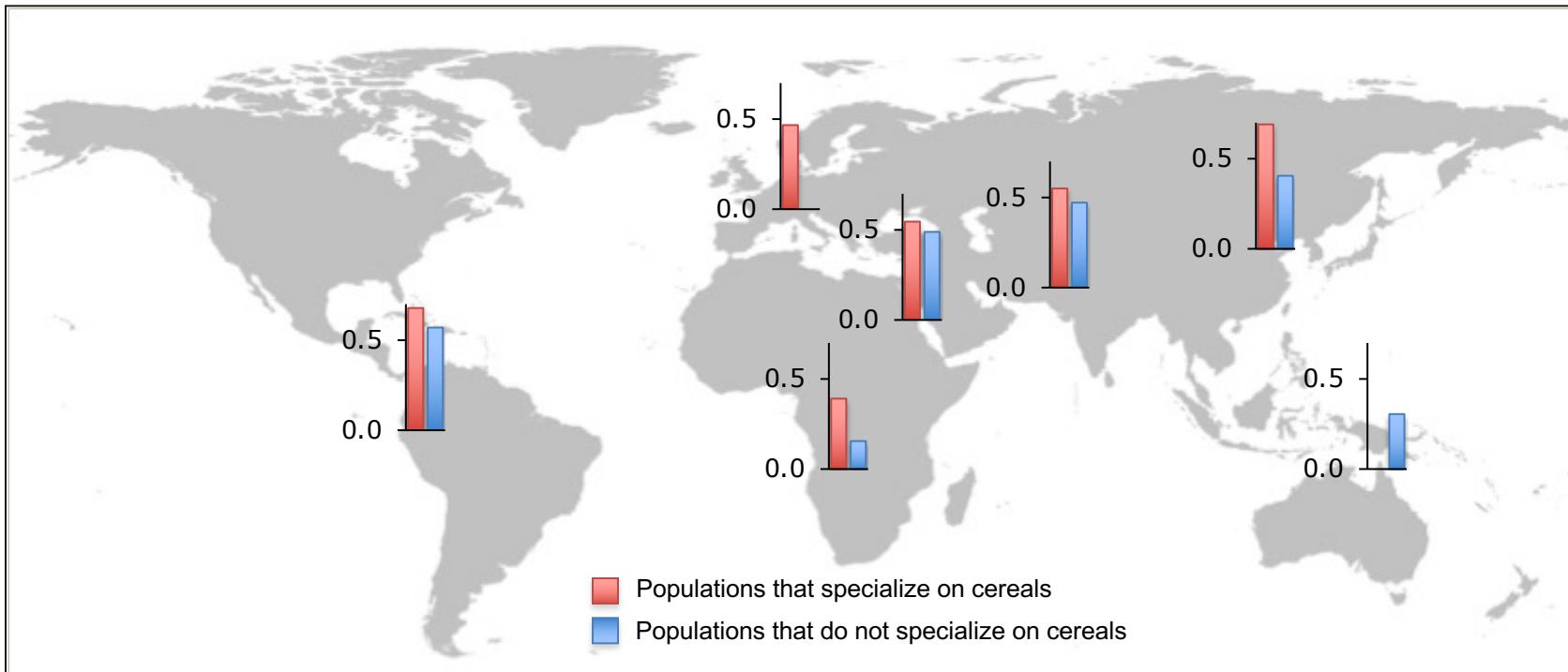
The full length protein in humans has low activity relative to other herbivores

This low activity may be due to binding to glycan chains, which interfere with binding to colipase

In the truncated protein, the residues that allow binding to the glycan chains are missing, likely increasing binding efficiency with colipase

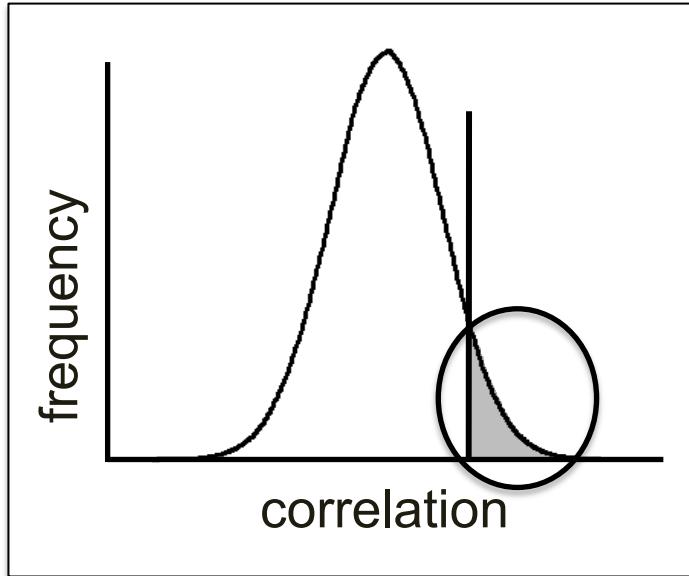
Biochemical evidence suggests that the truncated protein that increases in frequency with cereal use should have higher activity

# The frequency of the truncated protein is higher in populations that use cereals



*Subtle, but concordant, shifts in allele frequencies  
across regions suggest polygenic adaptation*

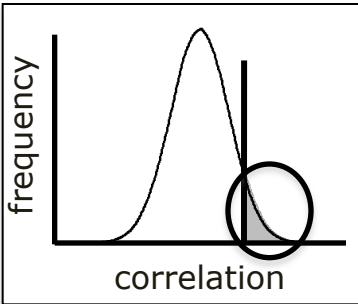
# Is there evidence for adaptation to climate and subsistence *overall*?



Is the proportion of **genic SNPs** > the proportion **nongenic SNPs**?

Is the proportion of **NS SNPs** > the proportion **nongenic SNPs**?

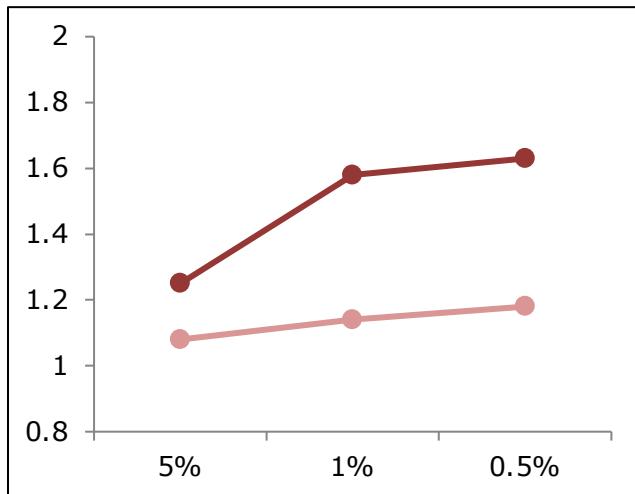
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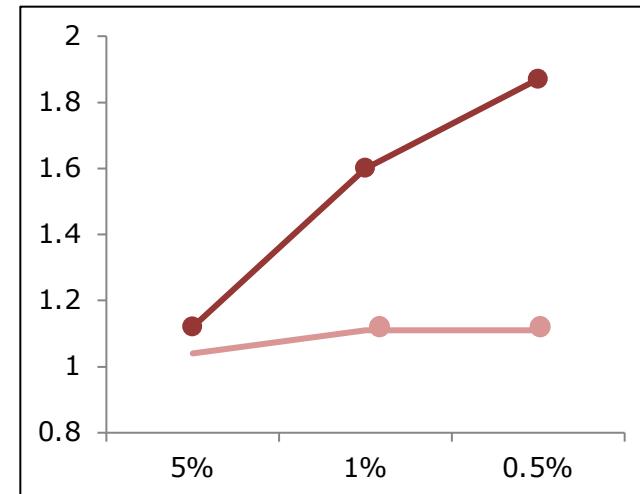
Is the proportion of **genic SNPs** > the proportion **nongenic SNPs**?

Is the proportion of **NS SNPs** > the proportion **nongenic SNPs**?

Climate



Subsistence



●  $p < 0.05$

Hancock et al. 2010; Hancock et al. 2011

# Reconstructing adaptive history *within populations*

process → pattern

Adaptation  
(positive  
selection)

among  
populations

within  
populations

- increased divergence and differentiation
- **reduced polymorphism**
- **changed SFS**
- **increased LD**

# Selective sweep model (Hard sweep)

- Introduced by Maynard Smith and Haigh (1974)
- Selection acts on a **single copy** of the beneficial allele that enters the population as **new mutation** after the onset of the selection pressure
- The **variant rises in frequency very quickly** so that there is not time for other adaptive alleles to arise in the region
- **This produces a long tightly-linked haplotype**, with an age that is young relative to the genomic background

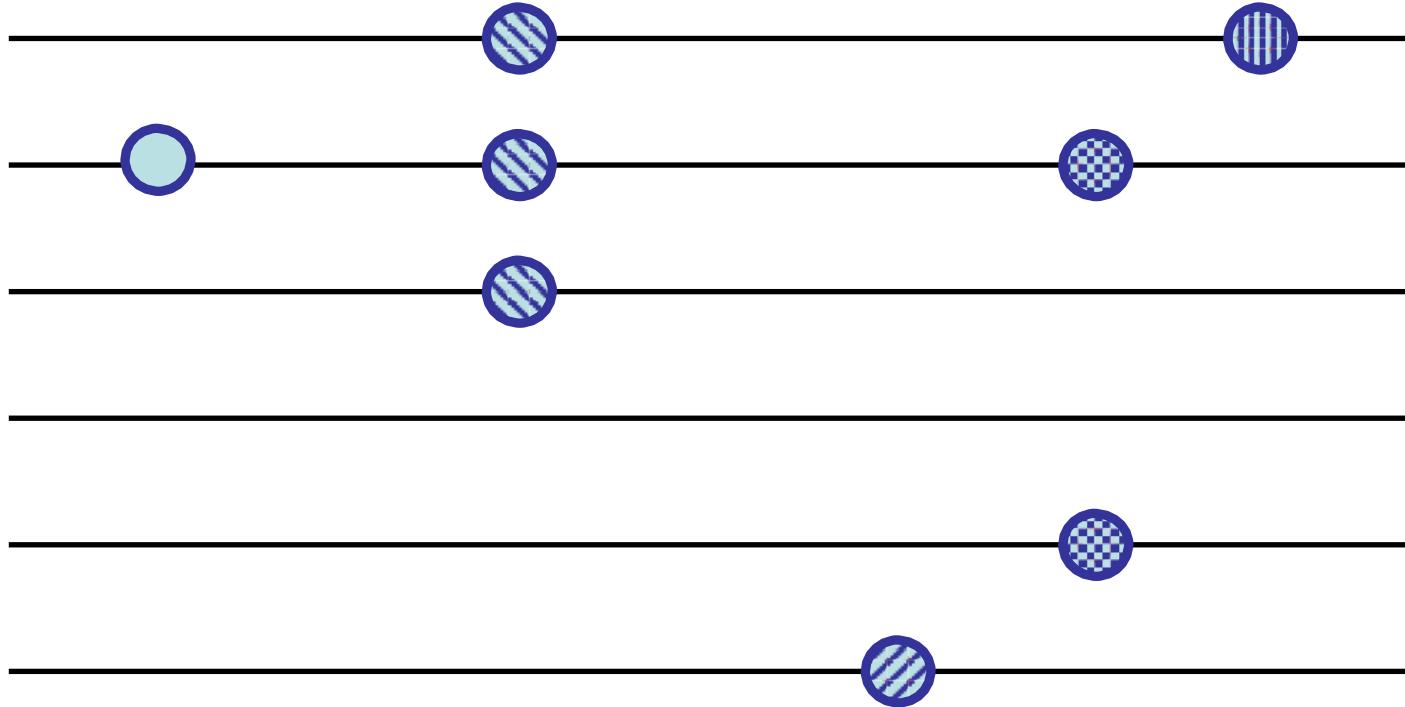
# Sweep pattern

A completed sweep leaves a pattern of a haplotype driven quickly to high frequency so that the swept haplotype is younger than average relative to other loci

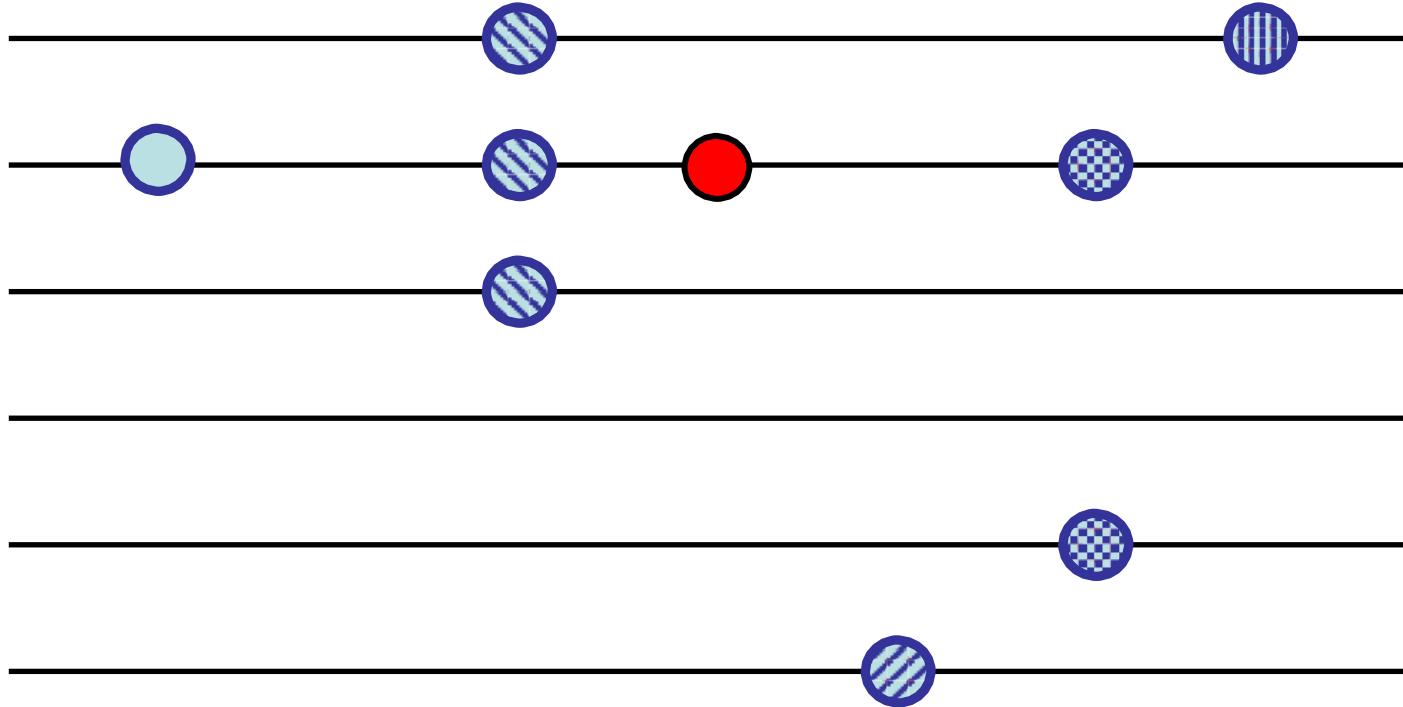
Polymorphism patterns immediately after the sweep:

- Region of reduced heterozygosity
- Excess of high frequency derived variants at the border of the region some time later
- Low frequency variants begin to accumulate

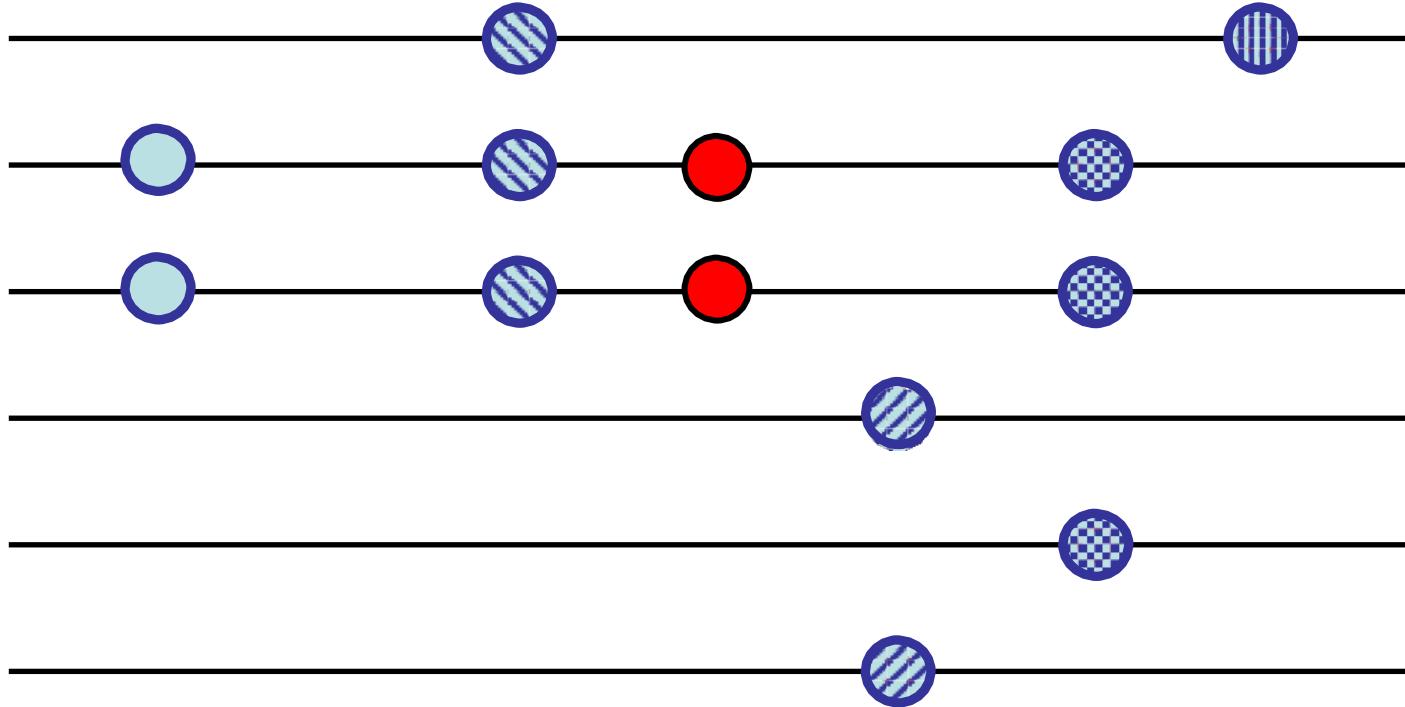
# Selective sweep with recombination



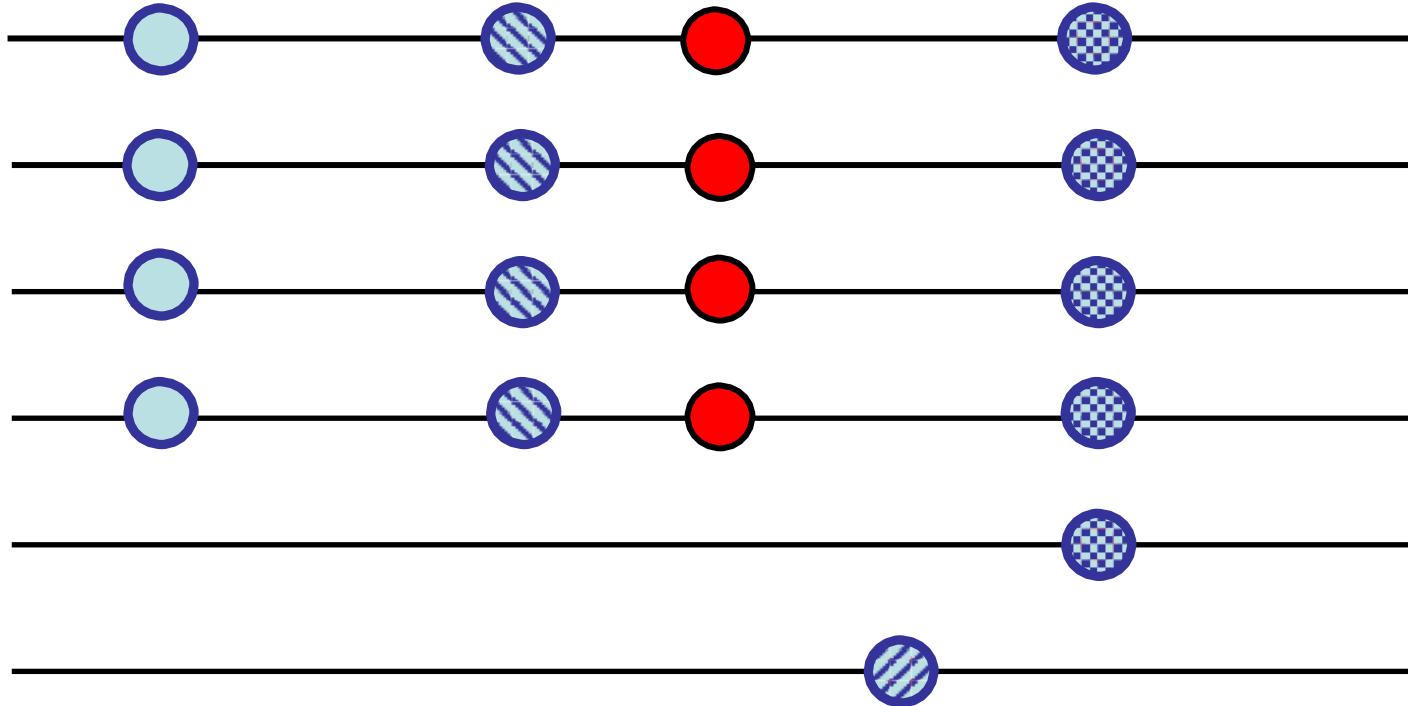
# Selective sweep with recombination



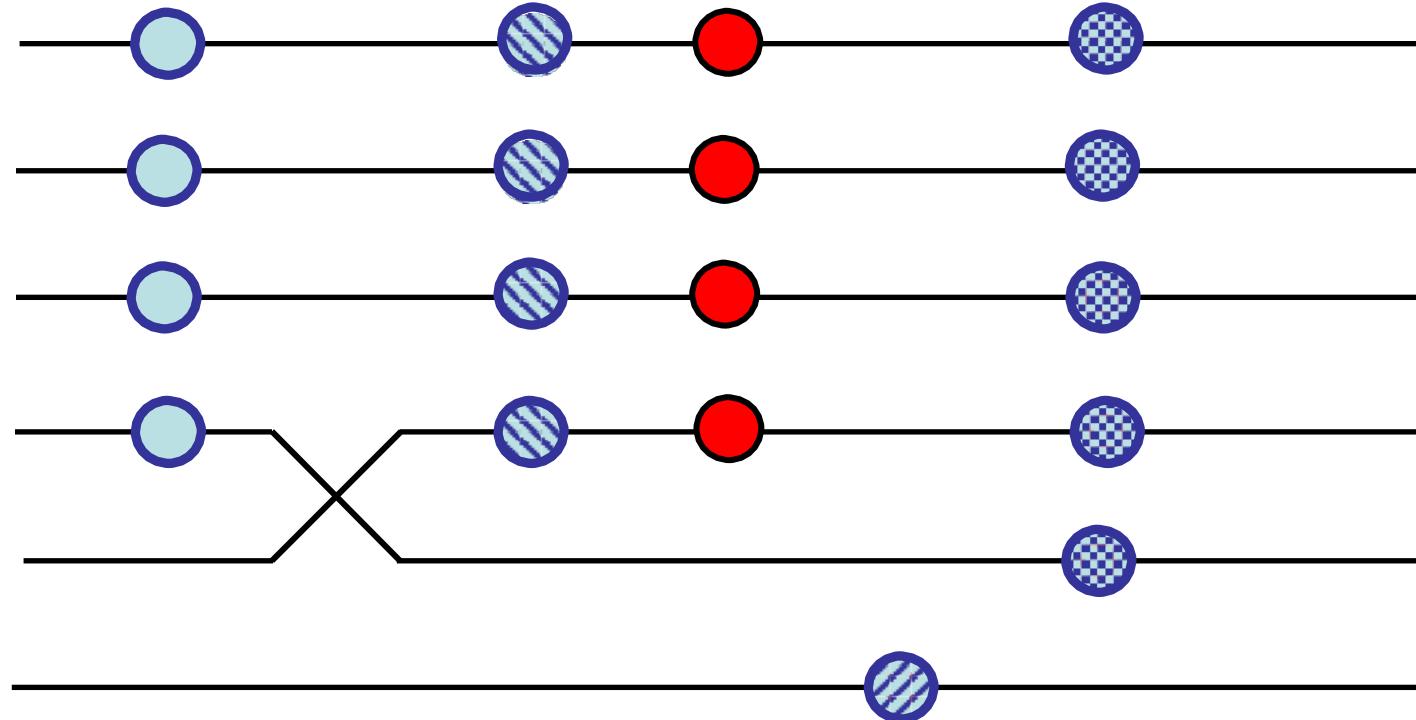
# Selective sweep with recombination



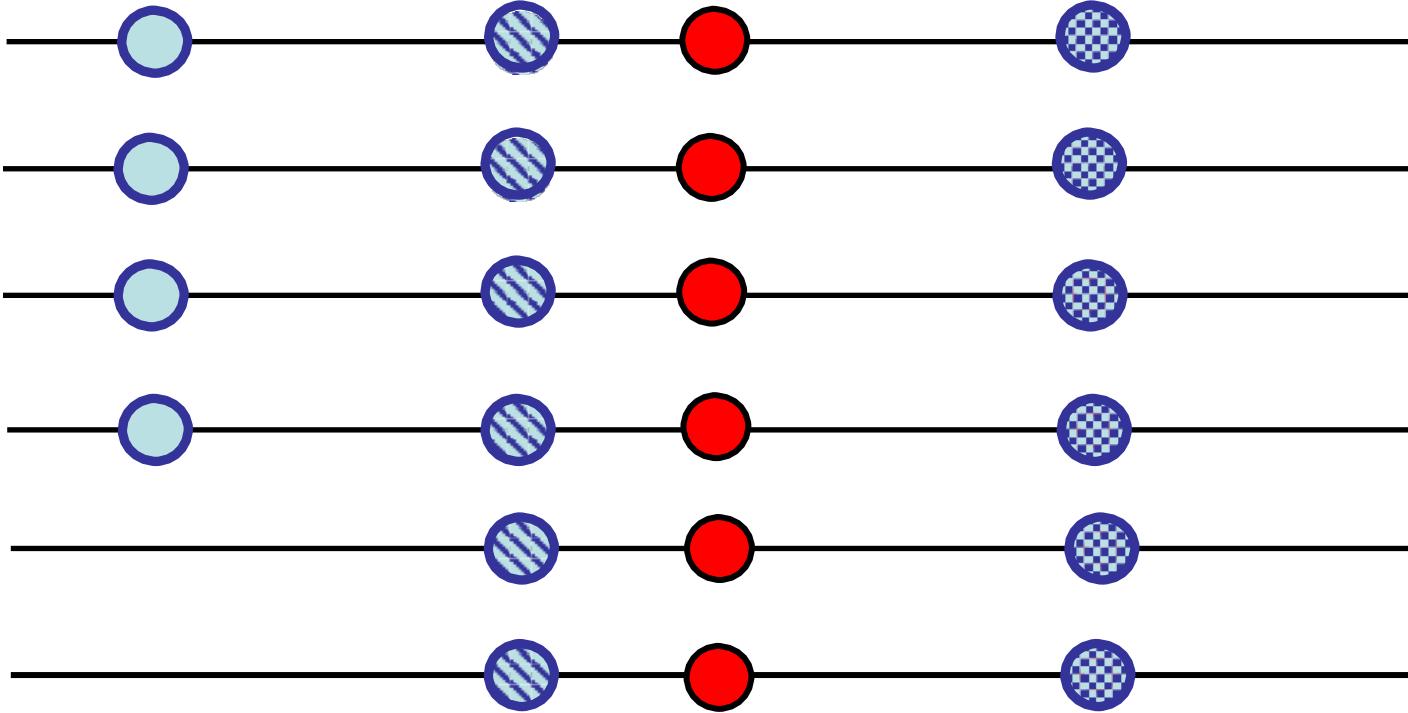
# Selective sweep with recombination



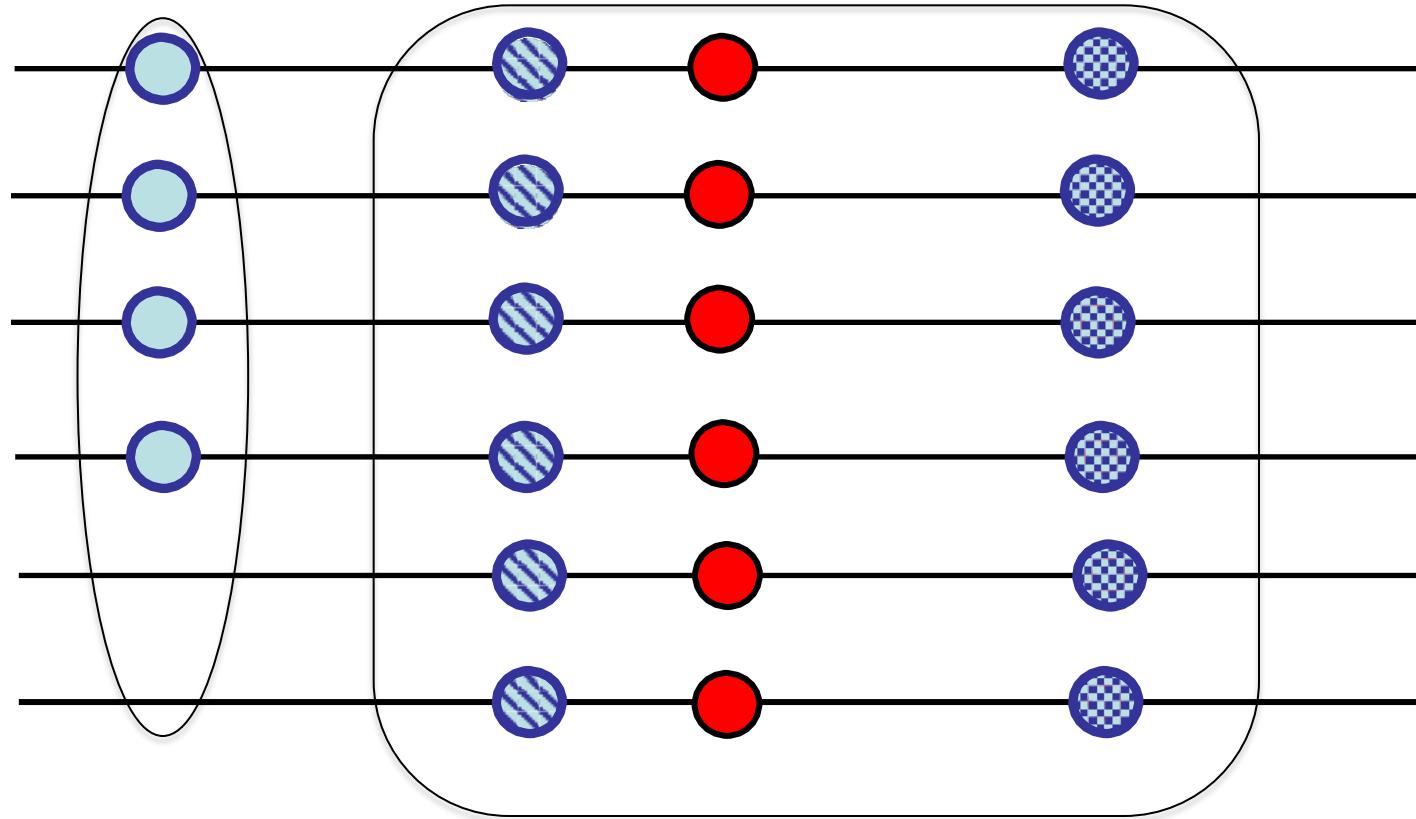
# Selective sweep with recombination



# Selective sweep with recombination



# Selective sweep with recombination



High frequency  
derived variants  
at the edge

Region of reduced  
variation

# How can we identify the loci responsible for adaptation?

Use summary statistics based on patterns of polymorphism to identify loci that show departures from neutrality

$H_0$ : neutral evolution

$H_1$ : adaptation

Seems simple enough...

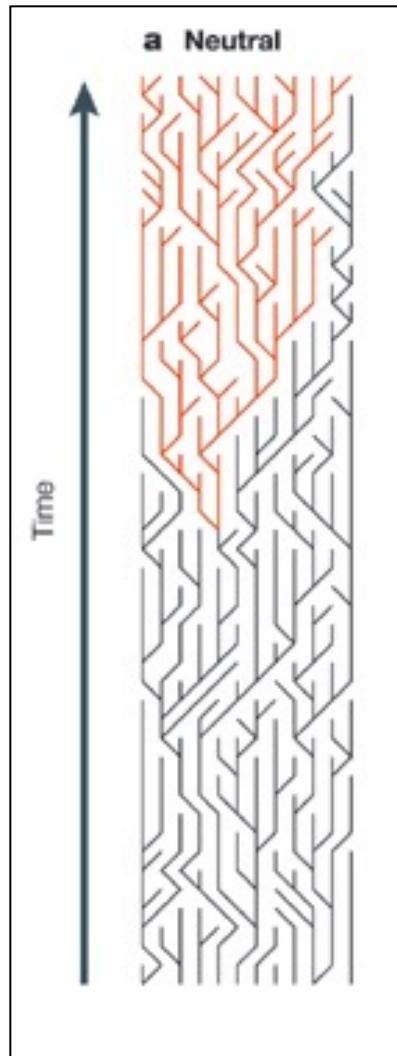
# But it can get complicated in many (*most?*) realistic scenarios

- Population structure due to historical demographic events can confound our ability to detect adaptive loci
- Some specific demographic factors that affect results are:
  - population growth
  - bottlenecks
  - hierarchical structure

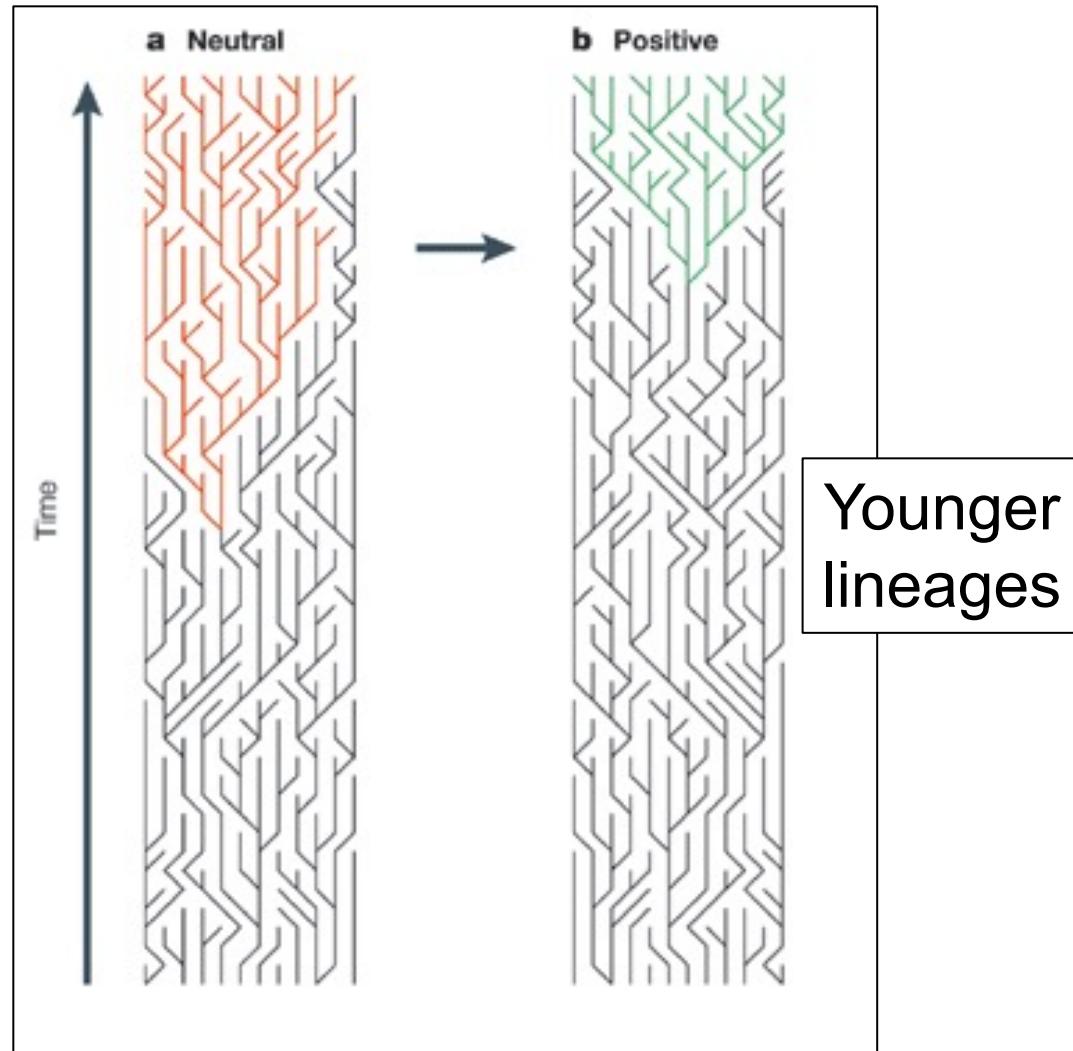
Solution:

Compare patterns at individual loci to entire genome

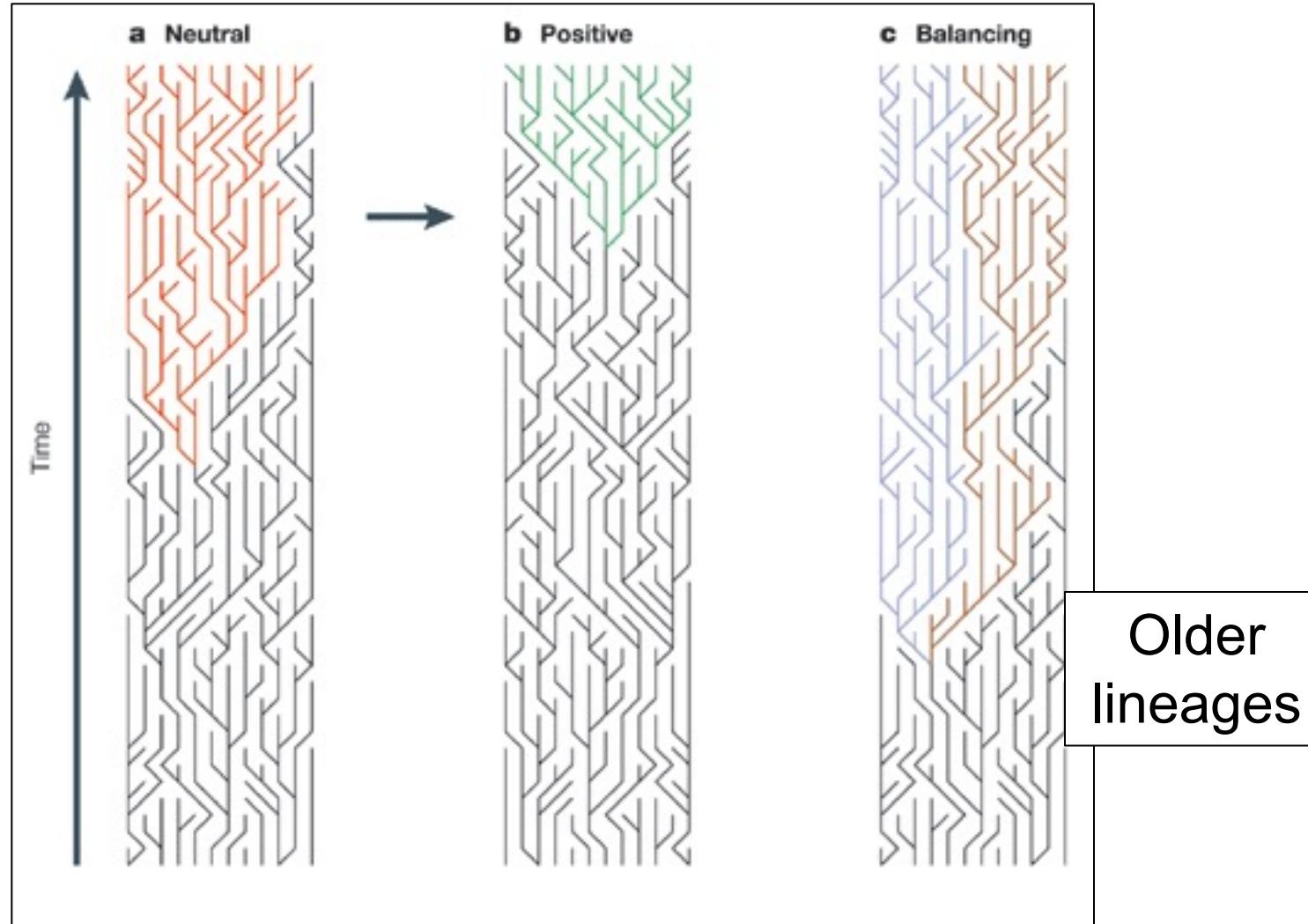
# Effects of natural selection on gene genealogies and allele frequencies



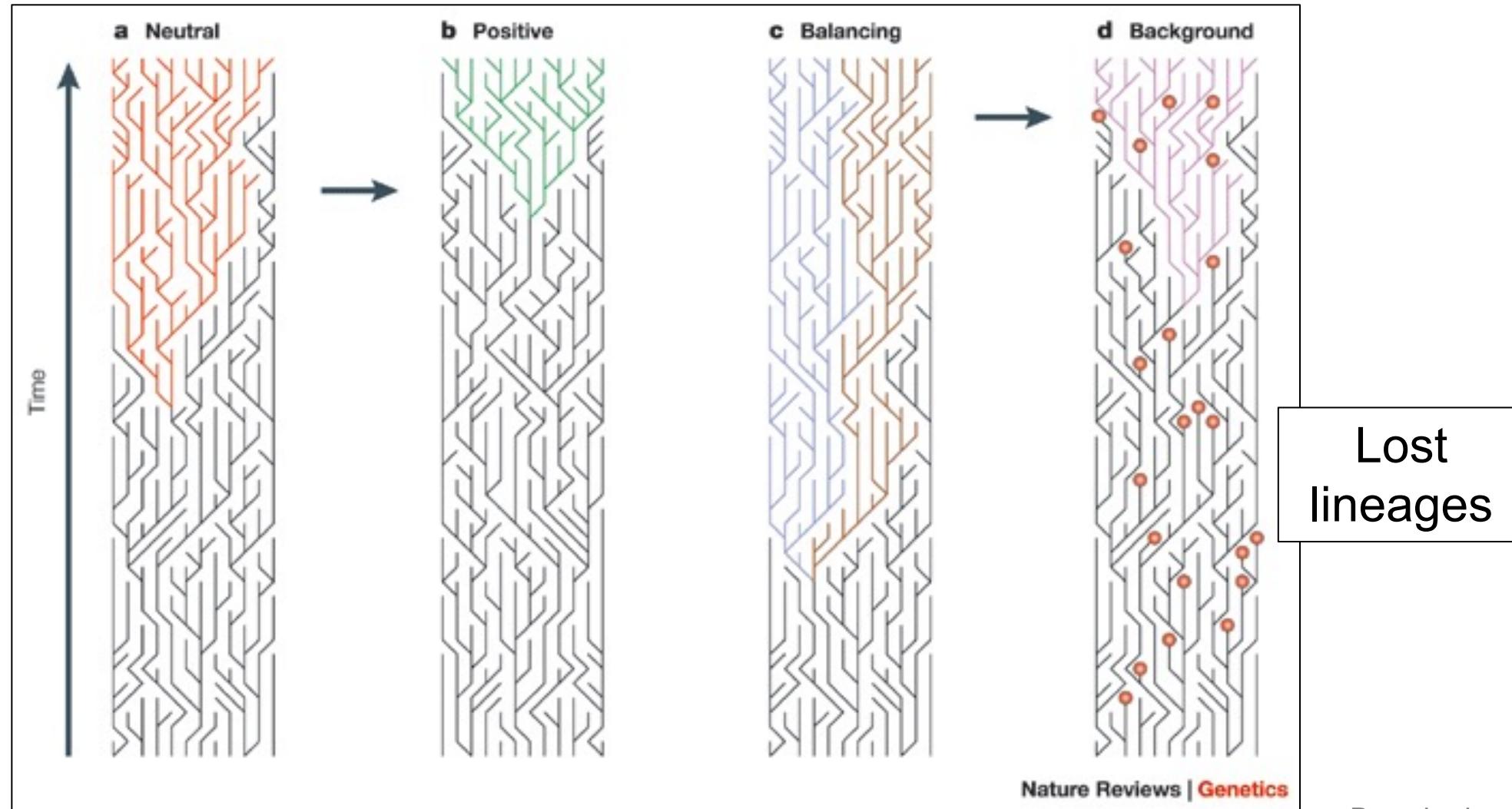
# Effects of natural selection on gene genealogies and allele frequencies



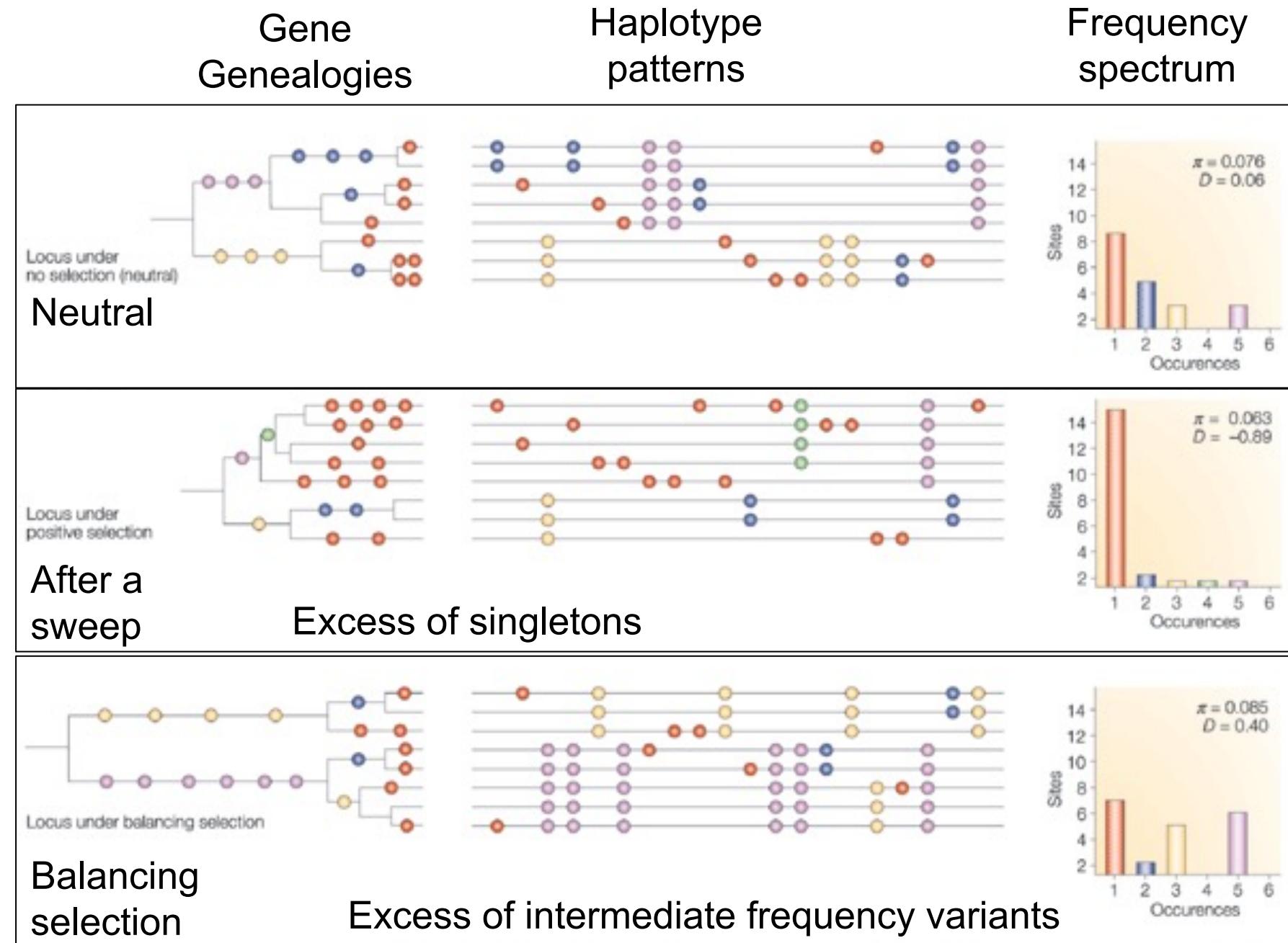
# Effects of natural selection on gene genealogies and allele frequencies



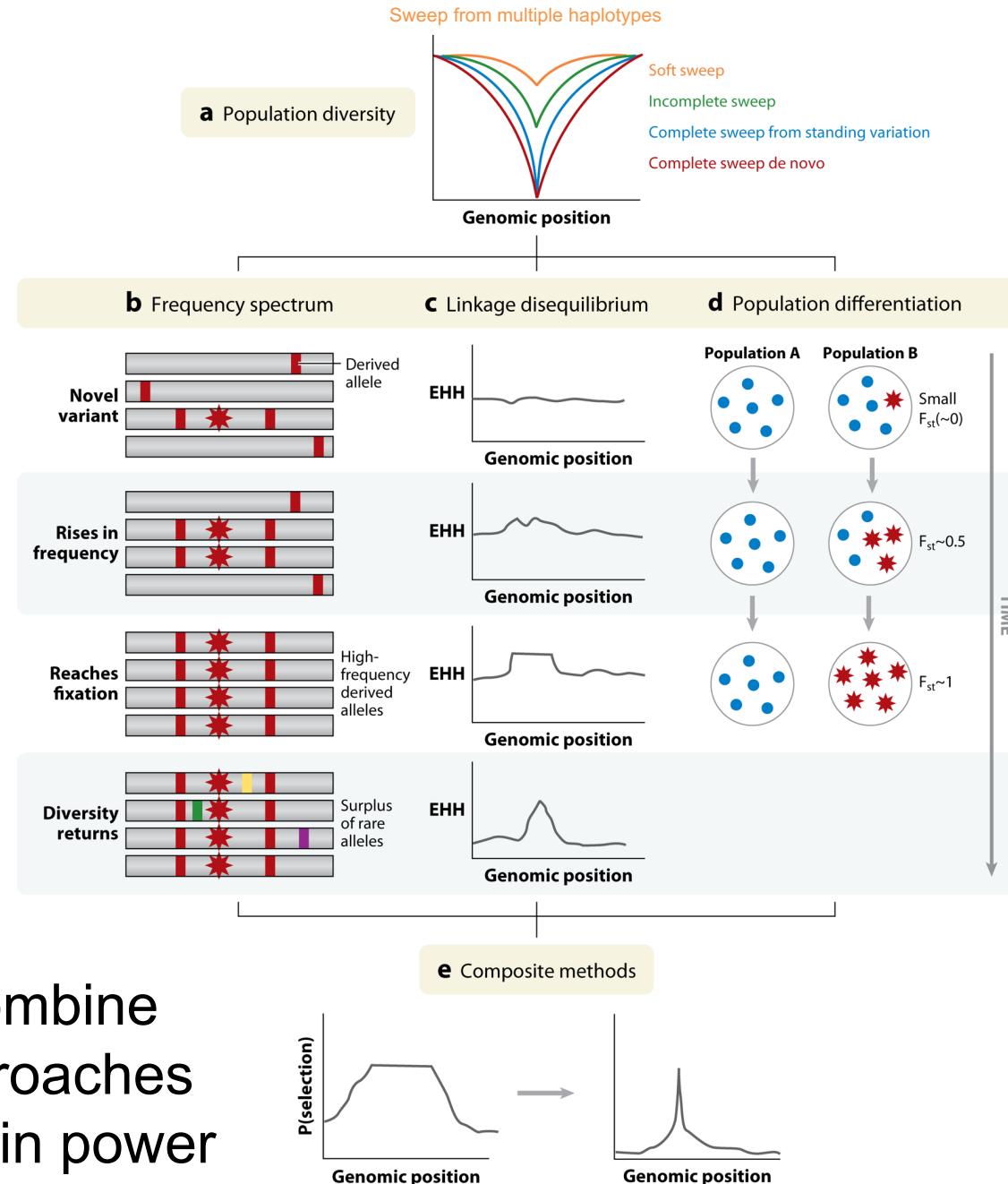
# Effects of natural selection on gene genealogies and allele frequencies



# Signatures of selection



# Signatures of positive selection



Combine  
approaches  
to gain power

Vitti et al., 2013  
Ann. Rev Genet

# Sweep signatures: Tests based on polymorphism

process → pattern

Adaptation  
(positive  
selection)

reduced  
polymorphism,  
changes in the  
SFS, increased LD

# Reduction of polymorphism

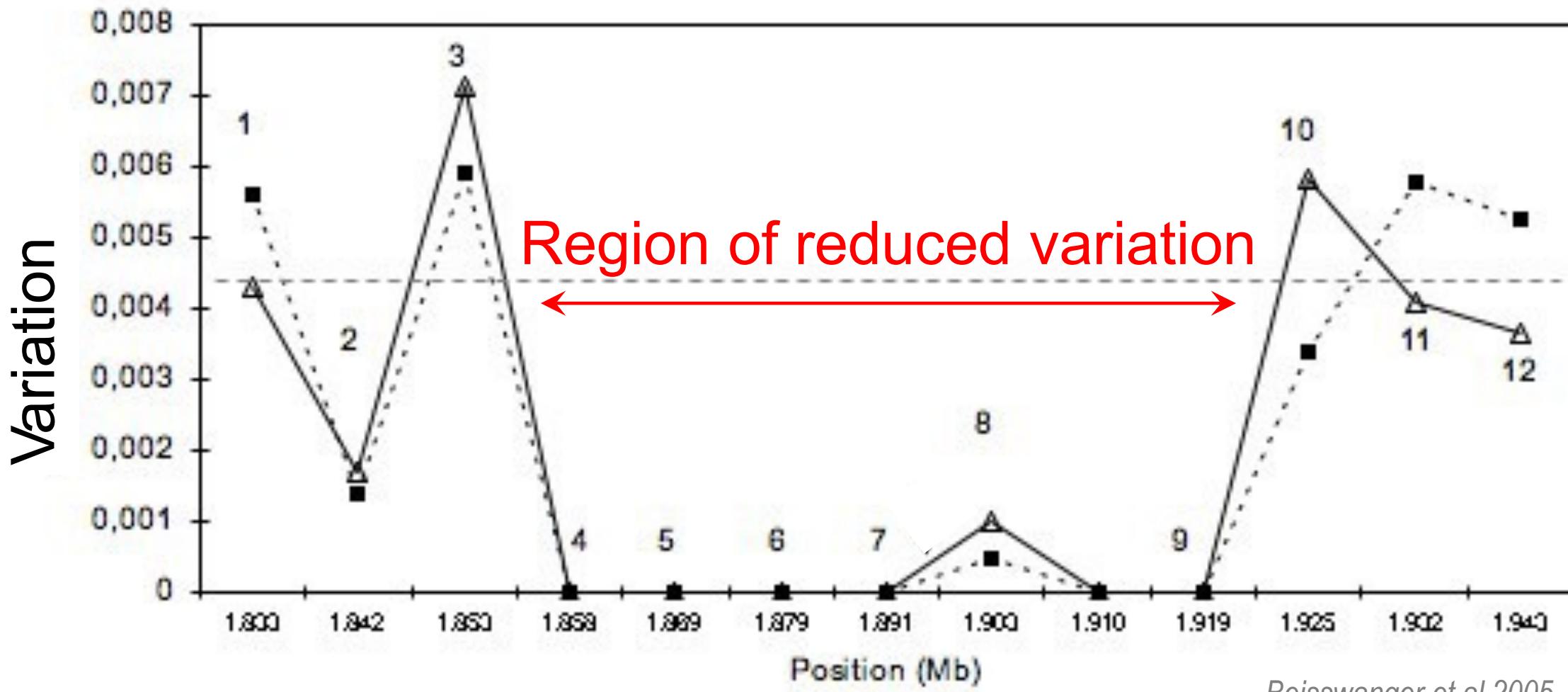
Compare local and global patterns of variation

## Caveats:

- Neutral coalescent is very variable
    - Even more so in the presence of population bottlenecks
  - There are alternative causes for valleys of low variation
    - Selective constraints (purifying selection in coding regions)
    - Locally reduced mutation rate
- There is no test exclusively based on reduced variation

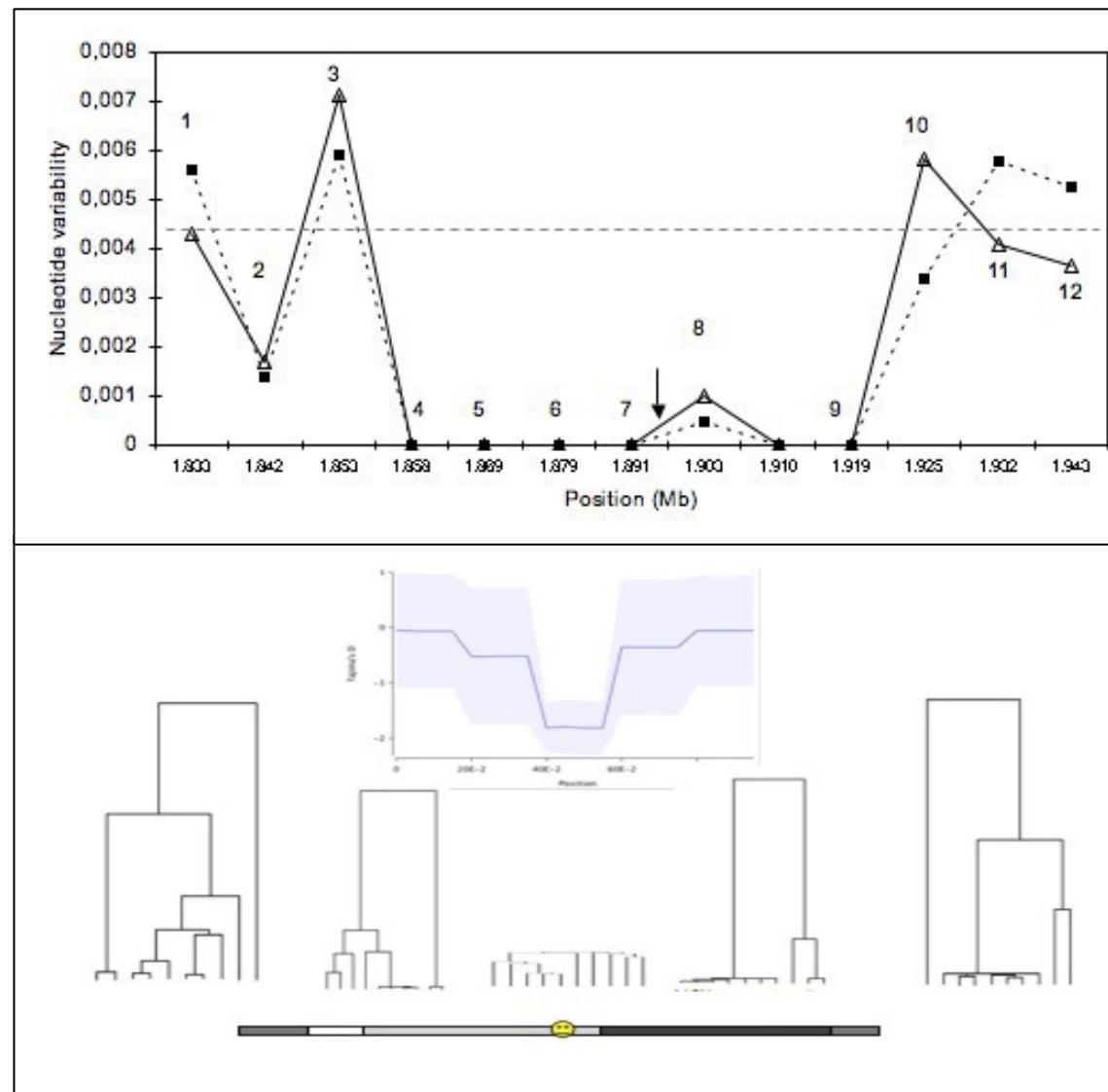
# Selective sweep

(in European *Drosophila melanogaster* )



Beisswanger et al 2005

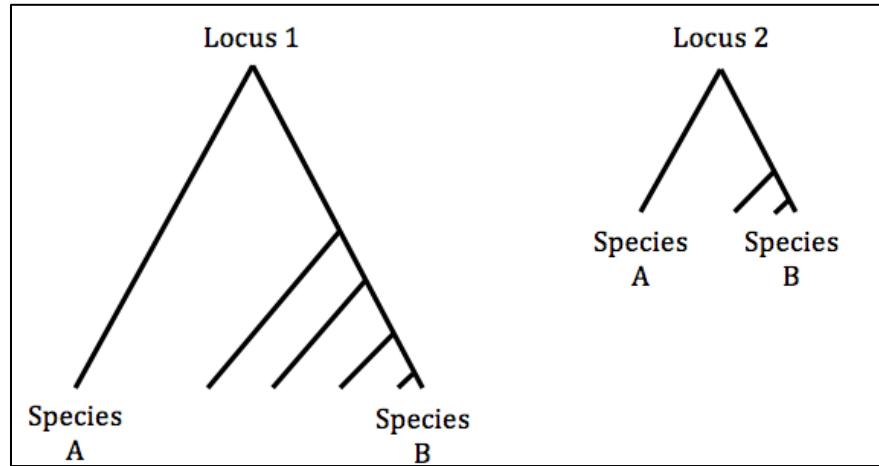
# Sweeps locally skew the frequency spectrum



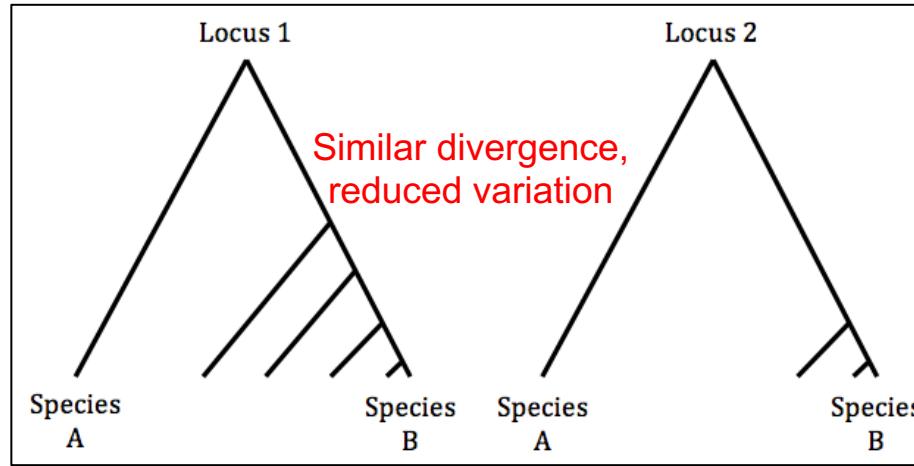
# HKA Test (Hudson, Kreitman, Aguadé)

Compares *polymorphism within species* to  
*divergence between populations*

Neutral Locus



Positively selected locus



Uses comparison between divergence and diversity to normalize for rate differences (i.e., variation in purifying selection) across loci

# HKA test

Compares divergence relative to polymorphism

**Inter-locus test of reduced **polymorphism** relative to **divergence****

Focal locus:  $\theta_1 = 4N_e\mu_1$   
 $d_1 = 2t\mu_1$

locus 2 (3,4,...):

$$\theta_2 = 4N_e\mu_2$$
$$d_2 = 2t\mu_2$$

- Positive selection for:  
$$\frac{\theta_1}{d_1} < \frac{\theta_2}{d_2}$$
- Similar to McDonald-Kreitman but looking for the opposite signal

# Reconstructing adaptive history *within populations*

process → pattern

Adaptation  
(positive  
selection)

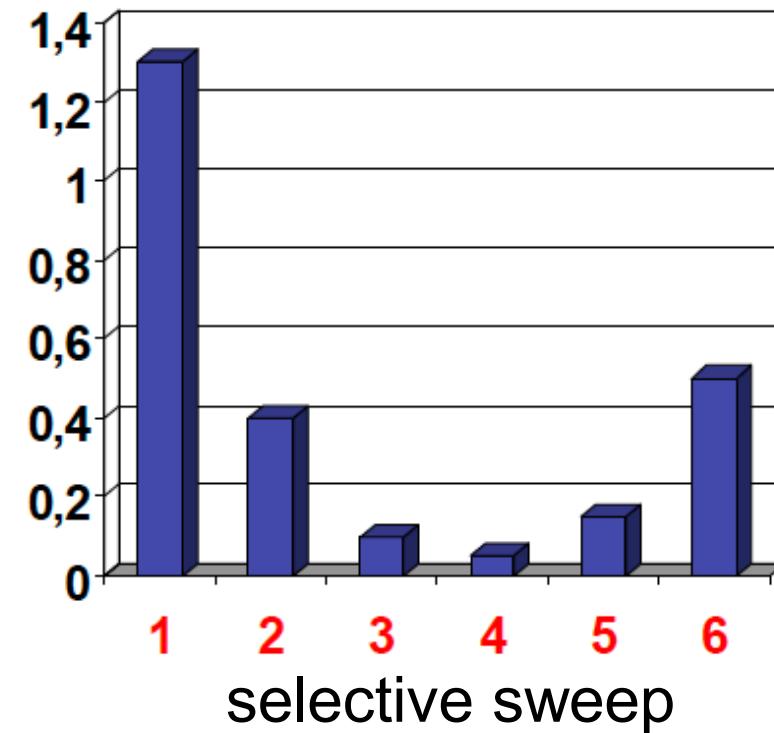
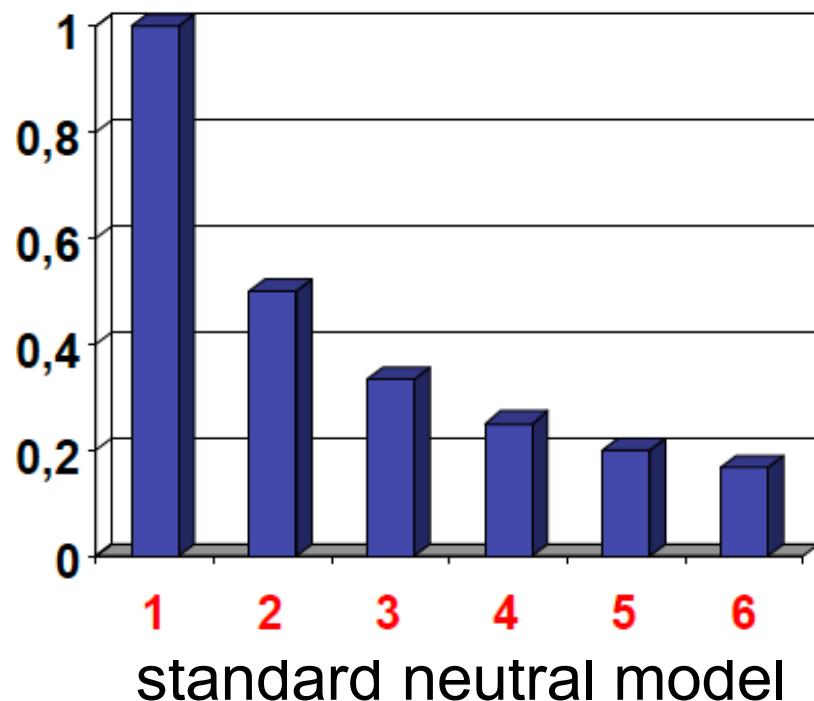
among  
populations  
  
within  
populations

- increased divergence and differentiation
- reduced polymorphism
- **changes in the SFS**
- **increased LD**

# Selective sweeps: effects on the frequency spectrum

How is polymorphism distributed across frequency bins?

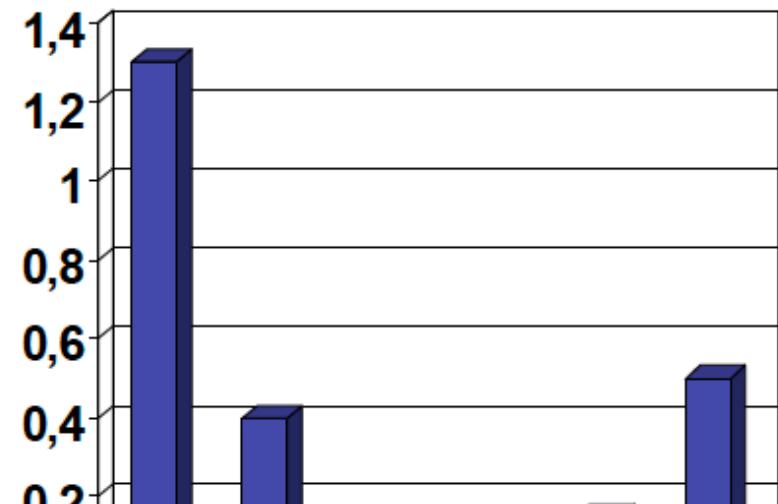
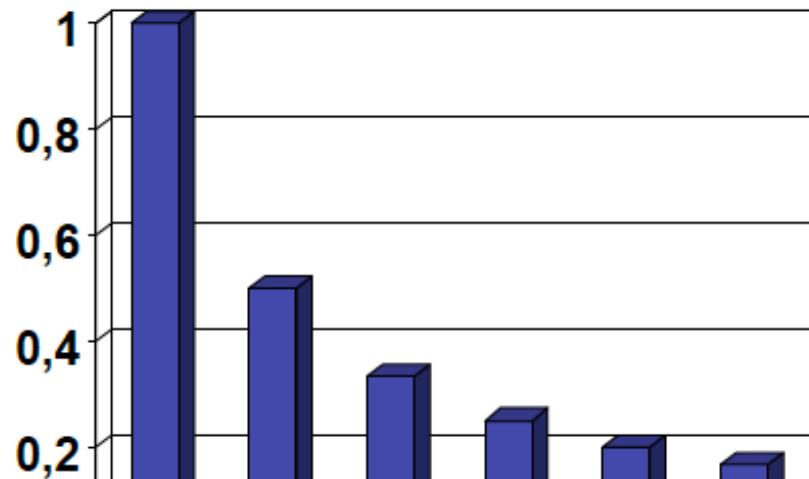
Selection footprint on the site frequency spectrum:



# Selective sweeps: effects on the frequency spectrum

How is polymorphism distributed across frequency bins?

Selection footprint on the site frequency spectrum:



Increase in low frequency variants and high frequency derived variants after a selective sweep

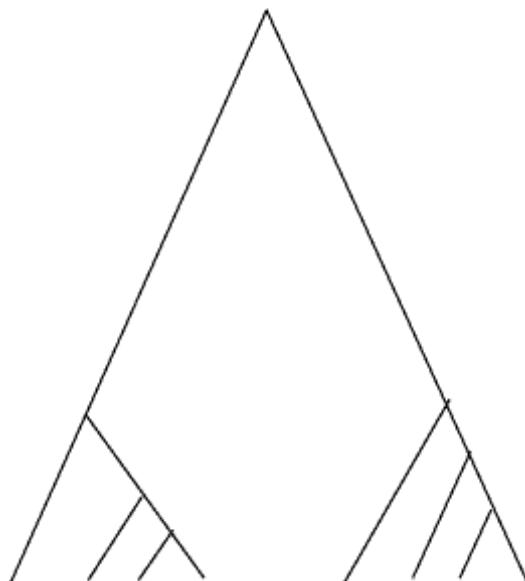
# Site frequency spectrum based tests

- Define different estimators of  $\theta$  ( $4Nu$ ) from the site frequency spectrum.
- Compare these estimators to detect deviations from neutrality.

# Tajima's D

Compares estimates of  $\theta$  based on  
the number of segregating sites ( $S \rightarrow \theta_S$ ) and  $\pi$  (the  
number of pairwise differences) in the sample

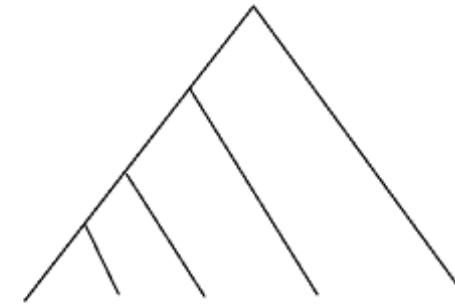
$$D = \frac{\widehat{\theta}_\pi - \widehat{\theta}_S}{\sqrt{\widehat{\text{Var}}[\widehat{\theta}_\pi - \widehat{\theta}_S]}}$$



$\theta_\pi > \theta_S$   
D positive



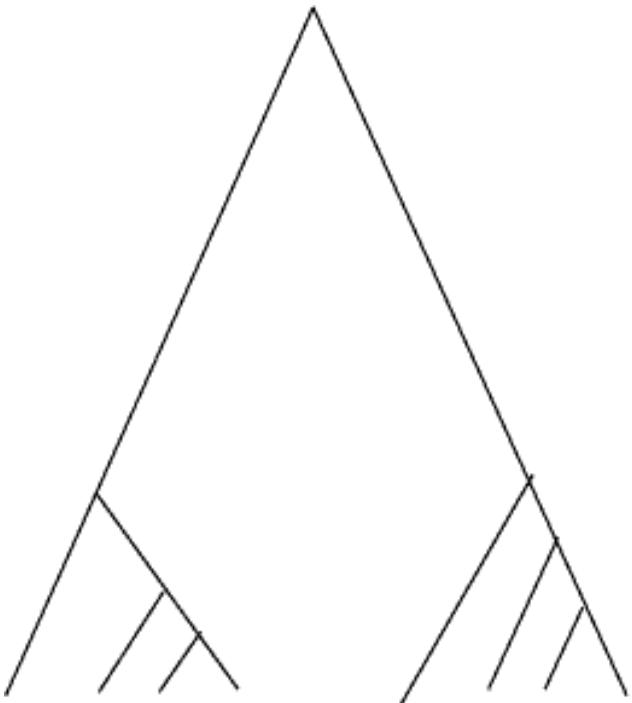
$\theta_\pi < \theta_S$   
D negative



$\theta_\pi = \theta_S$   
neutral

# Tajima's D

Can get this from population bottleneck  
Or balancing selection



$\theta_\pi > \theta_S$   
D positive

Can get this from population growth  
Or a sweep



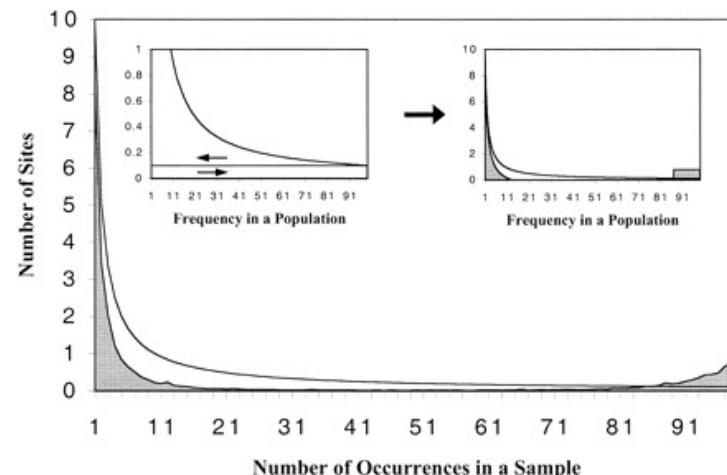
$\theta_\pi < \theta_S$   
D negative

# Fay and Wu's H Test

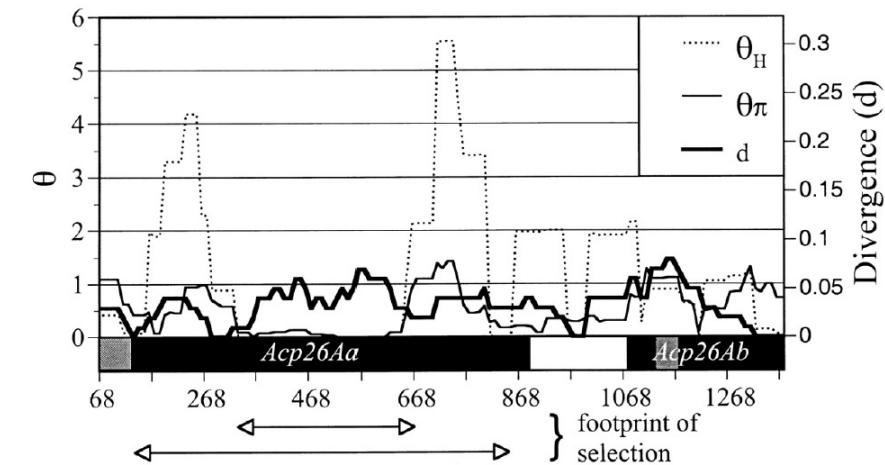
Compares estimates of  $\theta$  based on  $k$  (which captures information about high frequency derived variants) and  $\pi$  (the number of pairwise differences)

$$\hat{\theta}_H = \sum_{i=1}^{n-1} \frac{2S_i i^2}{n(n-1)}$$
$$H = \theta_\pi - \hat{\theta}_H$$

## Pattern in simulations

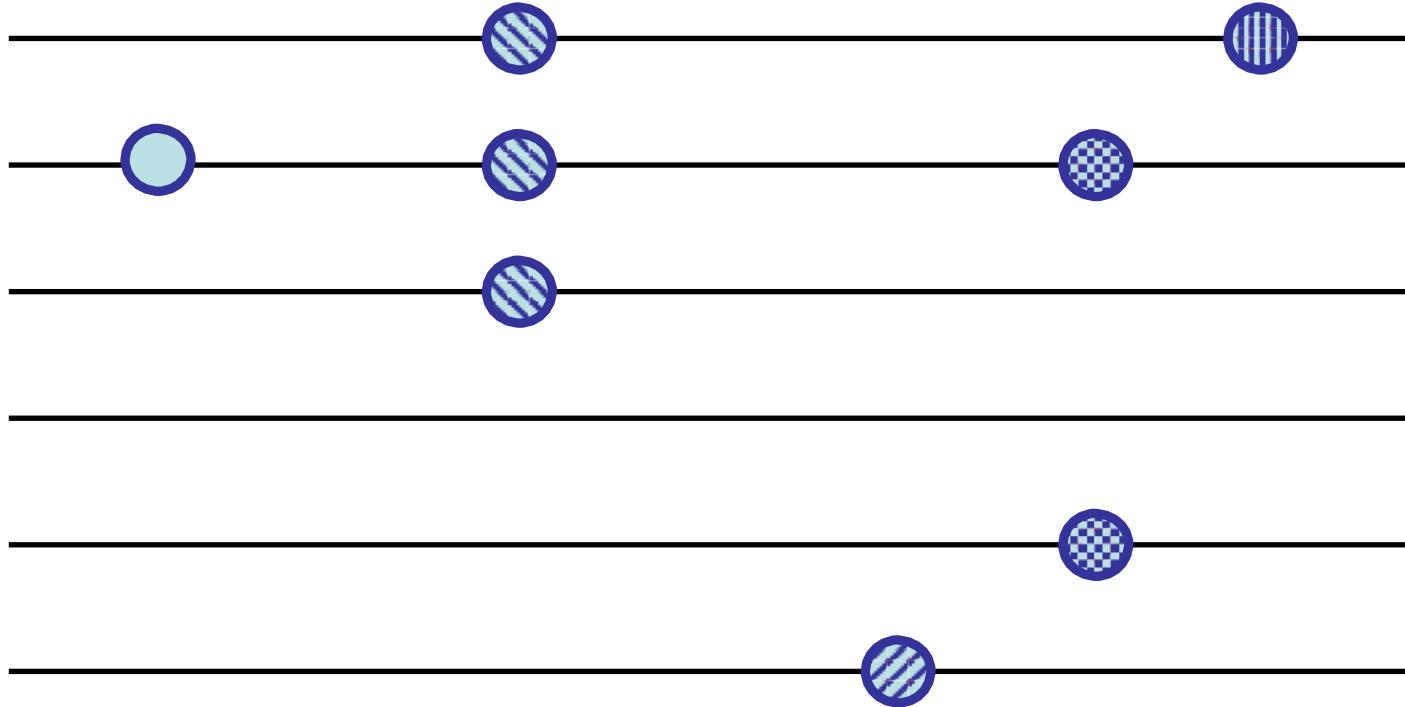


## *Acp26Aa*

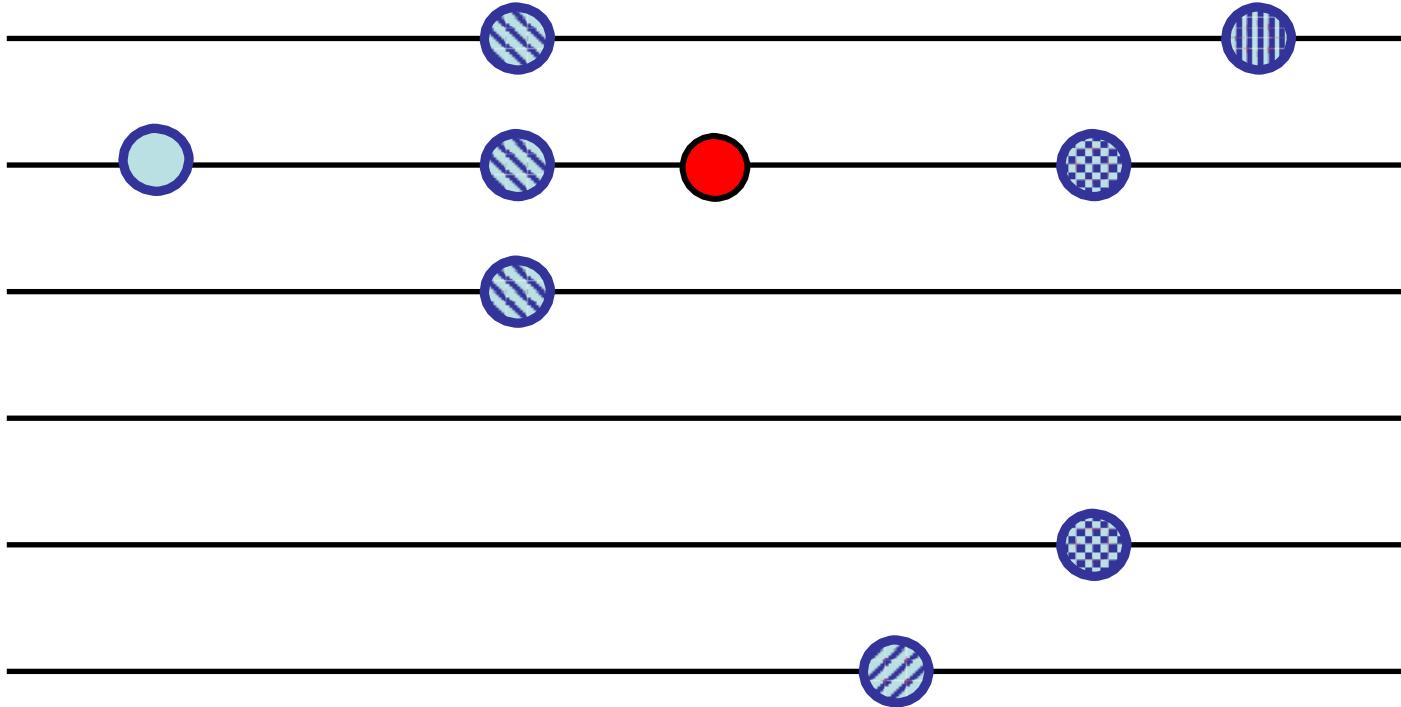


*D. melanogaster* accessory gland gene

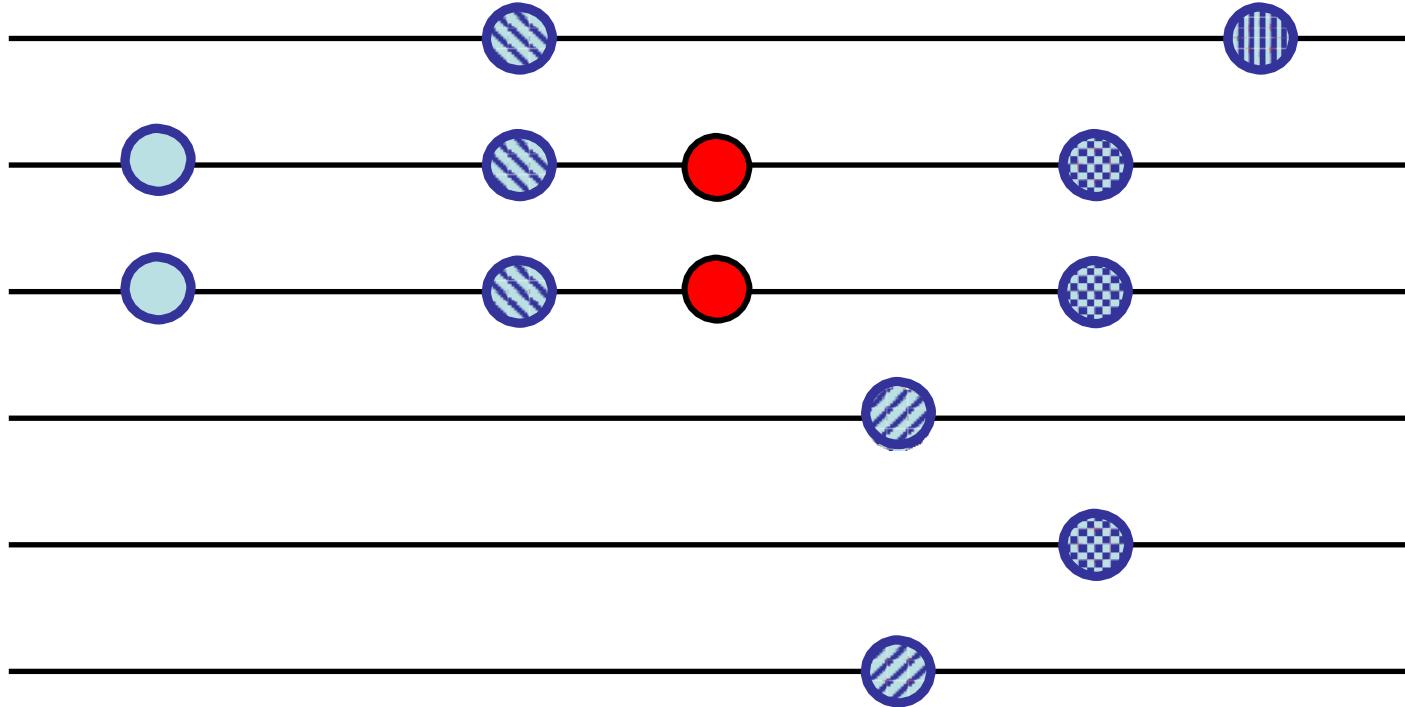
# Selective sweep with recombination



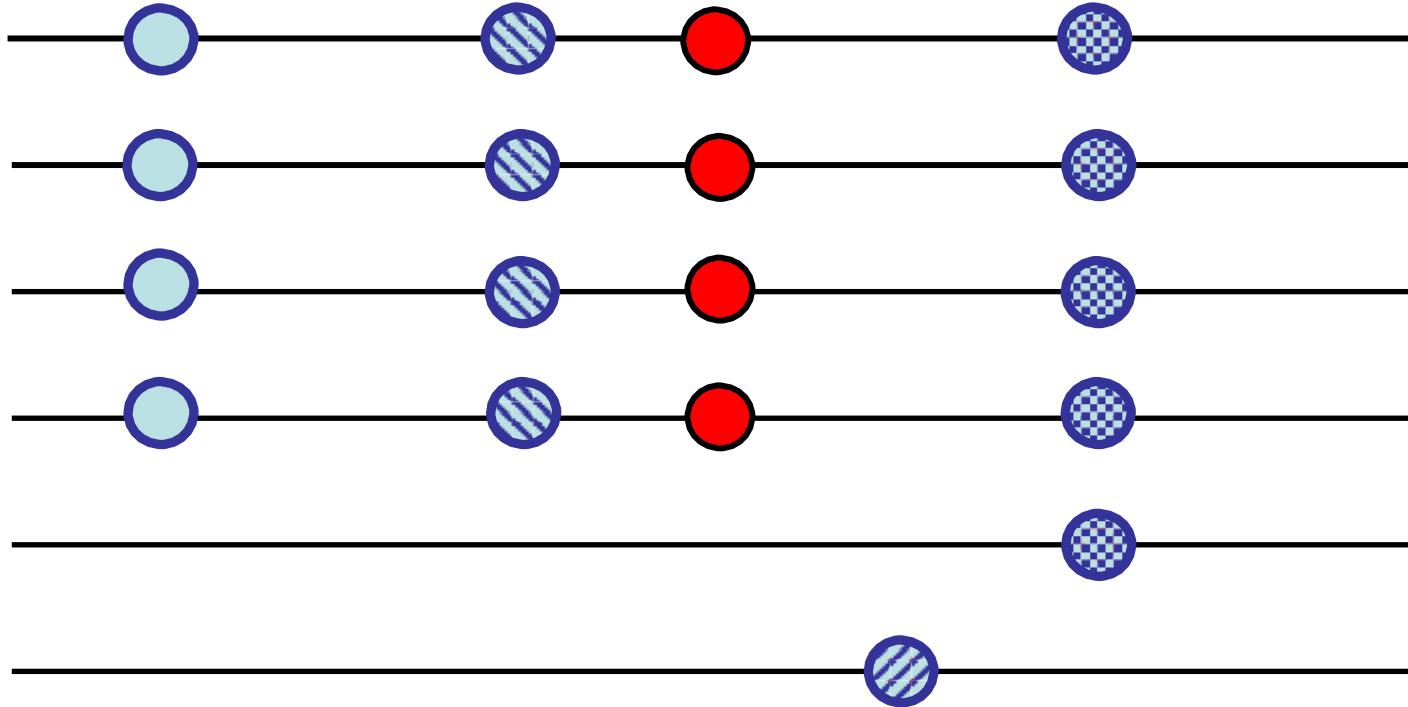
# Selective sweep with recombination



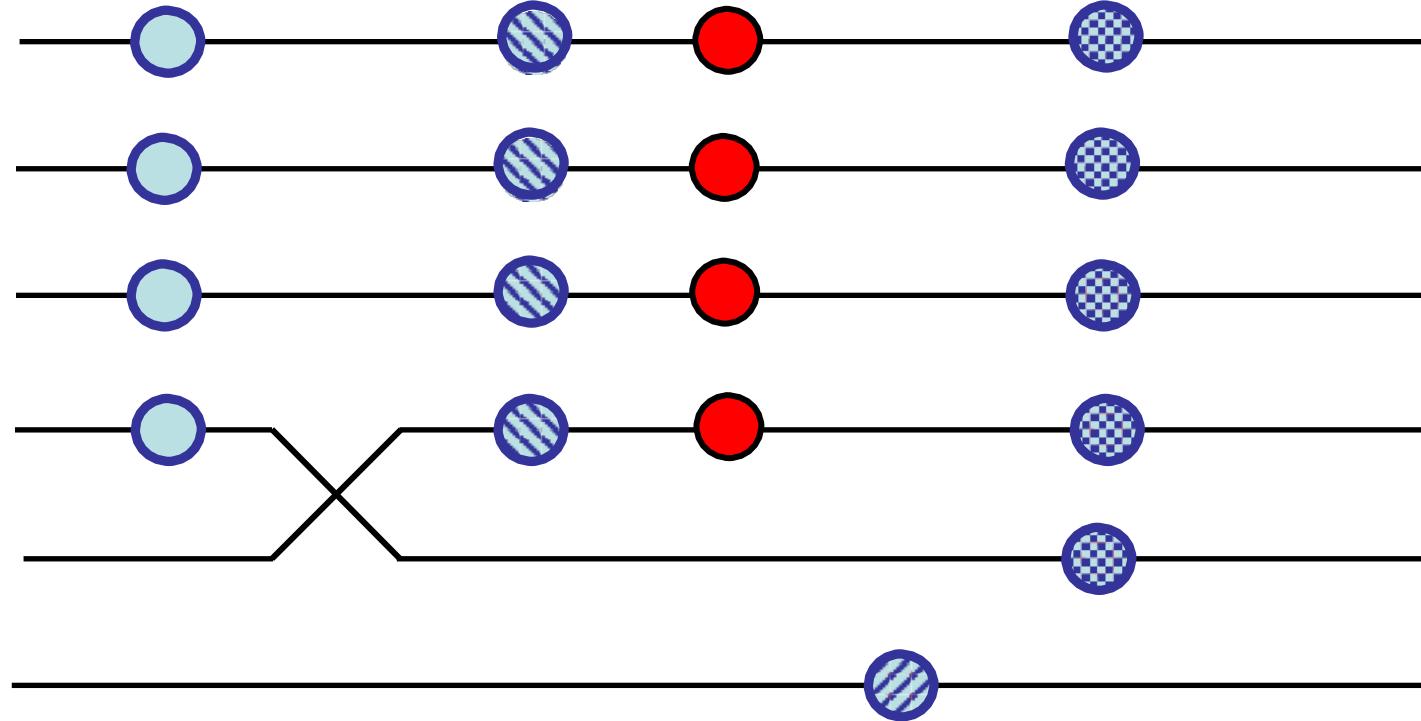
# Selective sweep with recombination



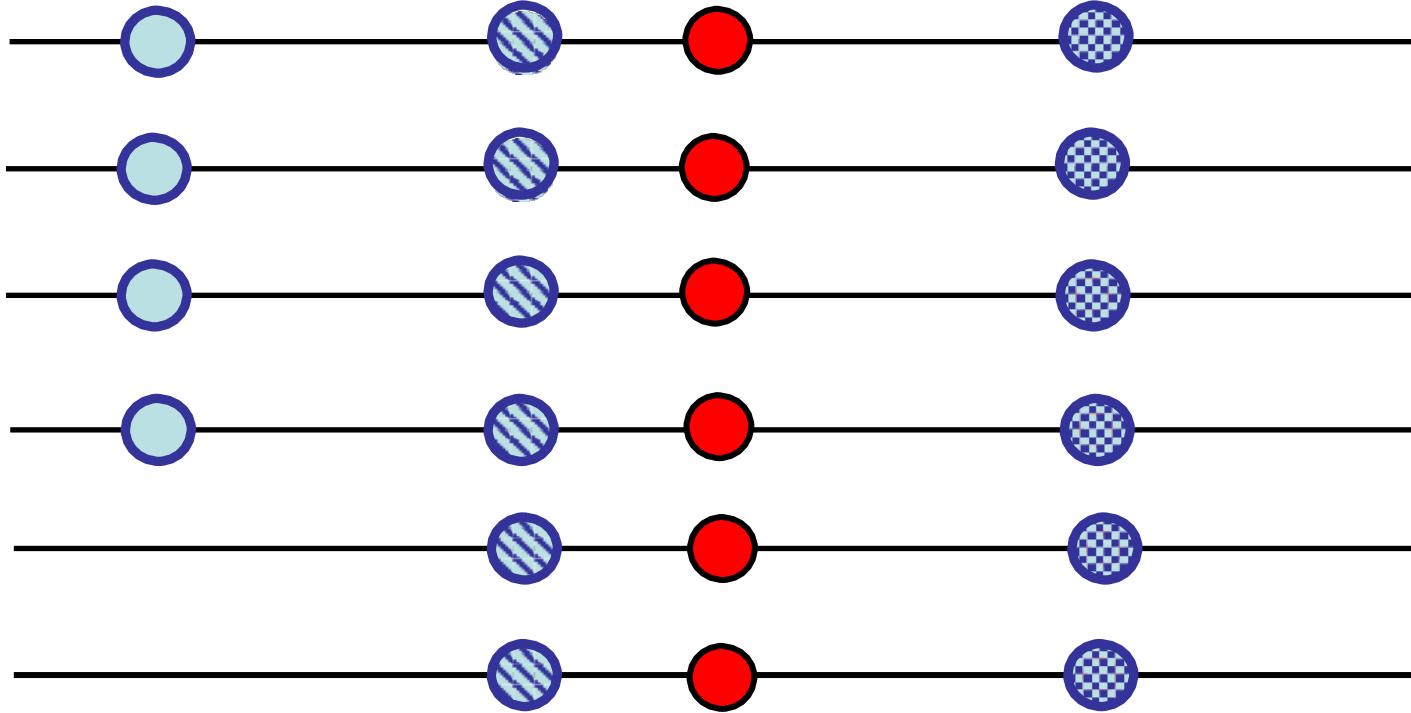
# Selective sweep with recombination



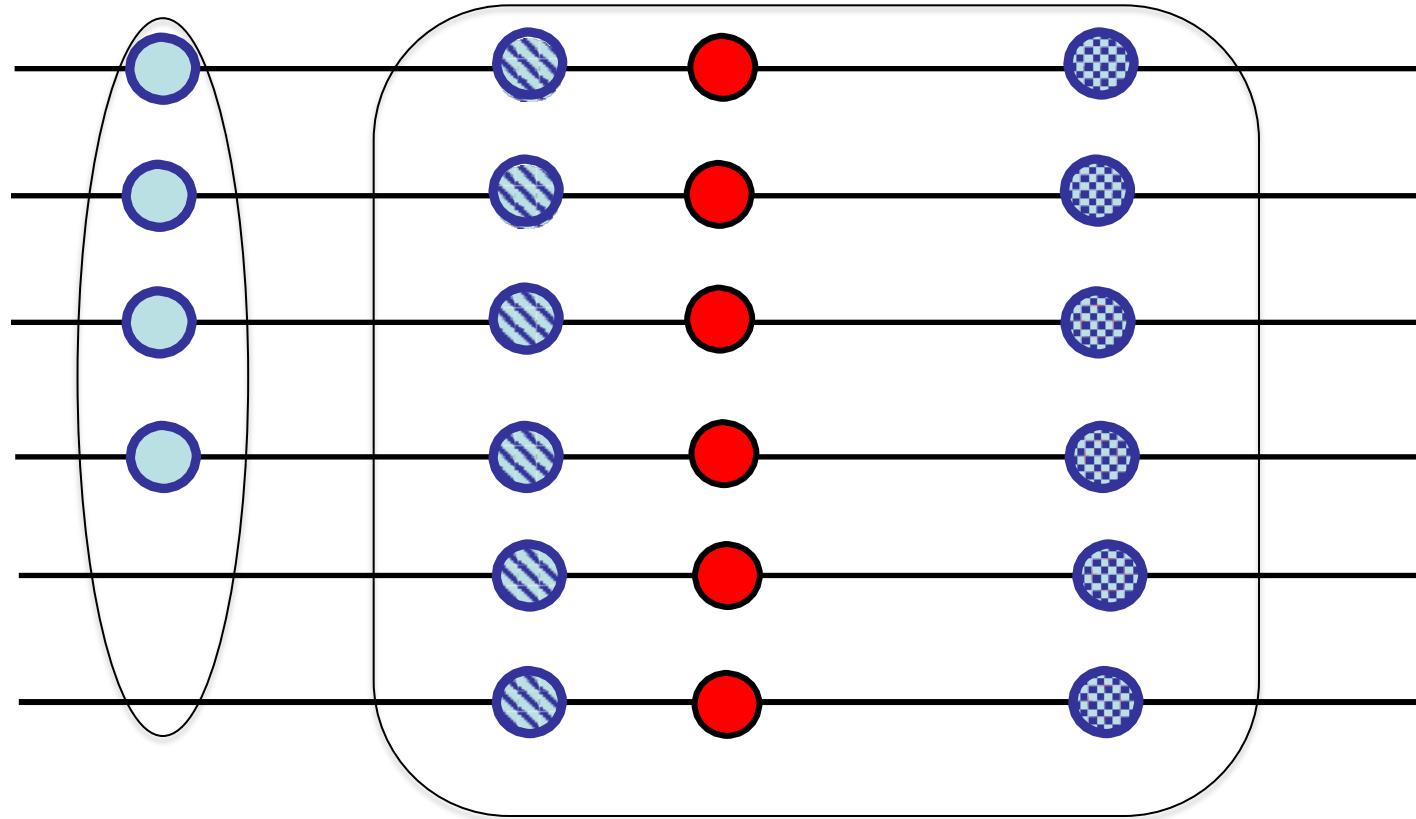
# Selective sweep with recombination



# Selective sweep with recombination



# Selective sweep with recombination



High frequency  
derived variants  
at the edge

Region of reduced  
variation

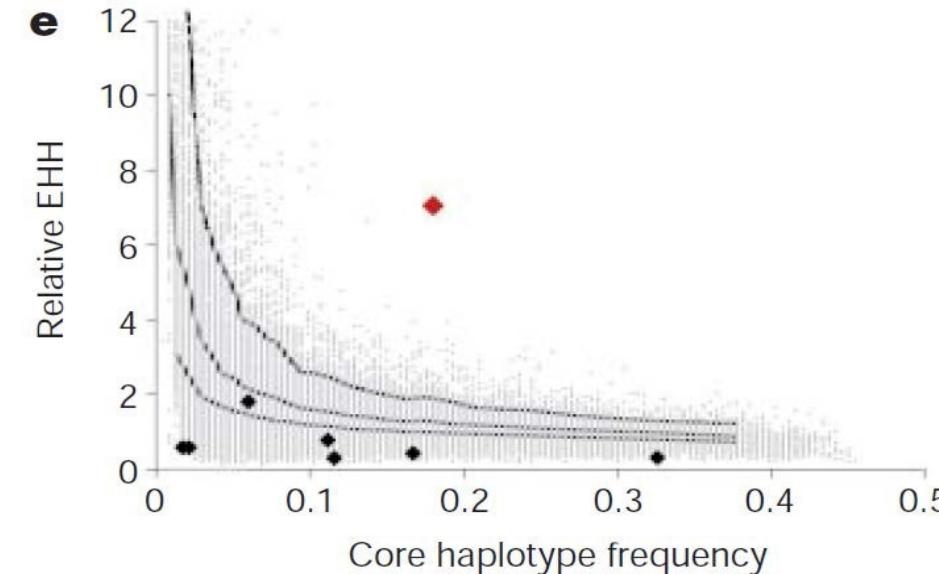
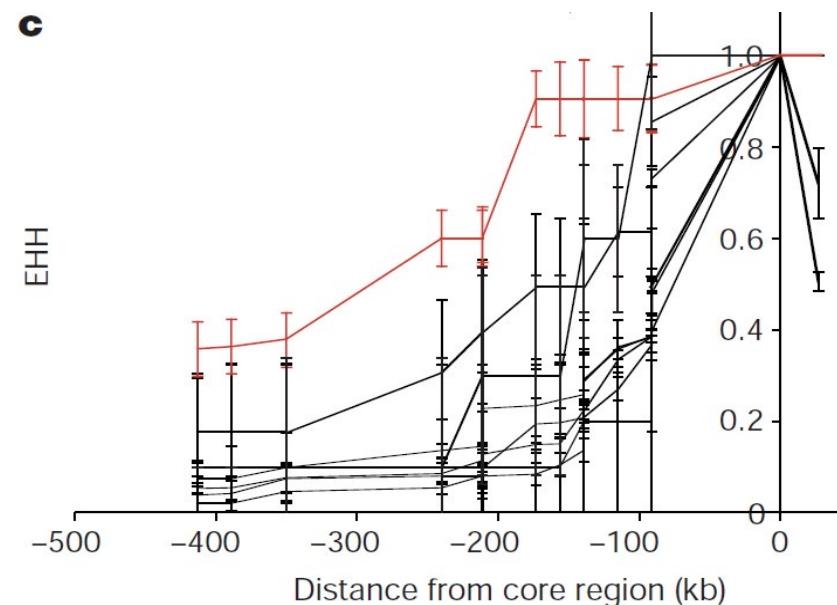
# Linkage disequilibrium: haplotype tests

Many different approaches:

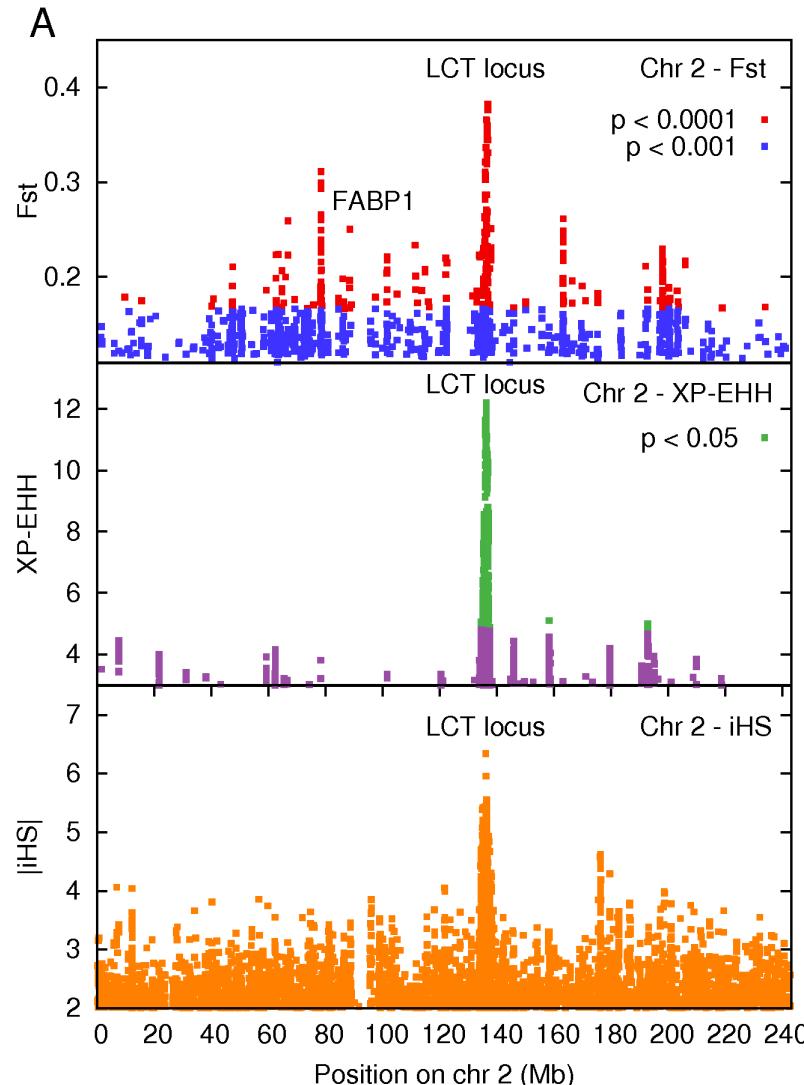
- Number of different haplotypes
  - Low number for given polymorphism indicates selection
- Frequency of the major haplotype
  - Unusually high frequency indicates selection
- Length and frequency of core haplotype
  - EHH: extended haplotype homozygosity measures the reduction in frequency of a core haplotype. Slow reduction indicates selection

# Linkage disequilibrium: EHH test

- **Logic:** High frequency haplotypes typically do not extend over a long region. With positive selection, one long major haplotype is created.
- **EHH score:** homozygosity of core haplotype up to a given distance relative to other haplotypes (identifies incomplete sweeps!)



# Lactase persistence region in Europeans has reduced haplotype heterozygosity

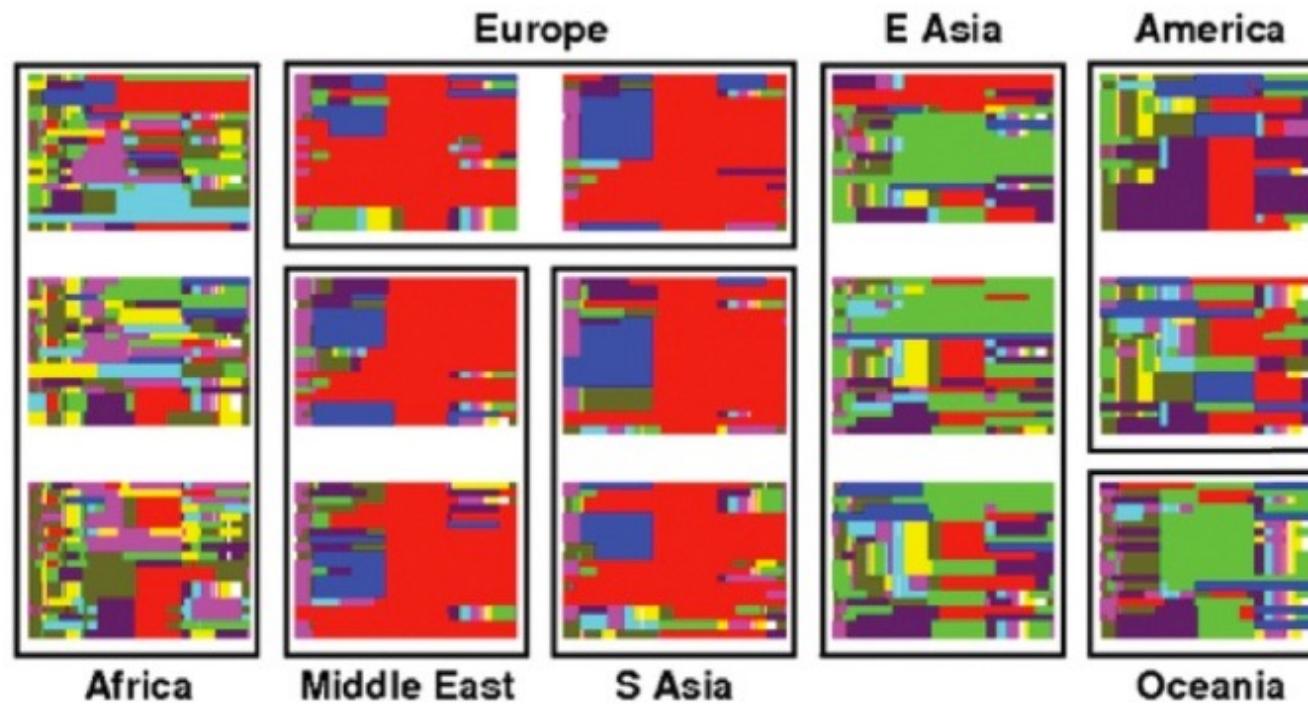


Differentiation ( $F_{ST}$ )

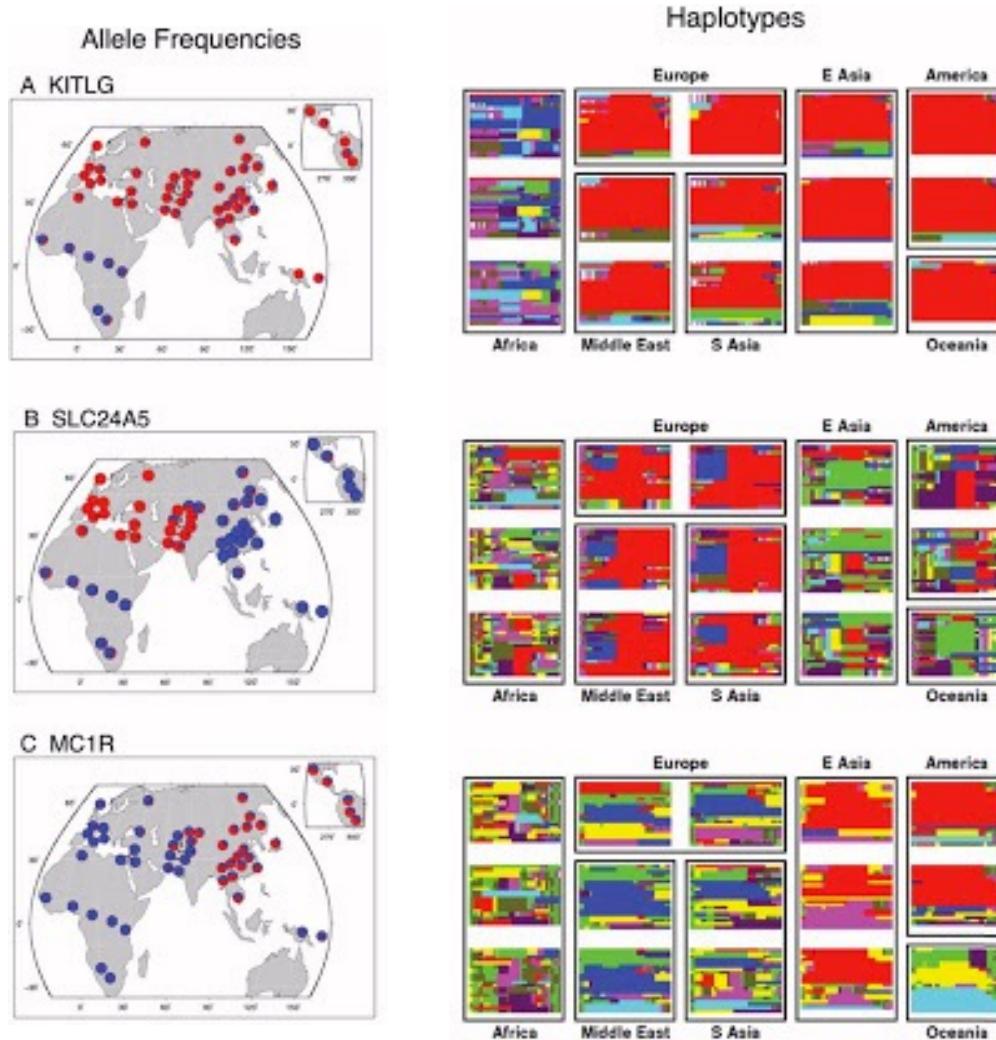
Differentiation +  
haplotype-based score

Integrated haplotype score  
(iHS) in the lactase region

# Haplotype-based signature of positive selection in Europeans at *SLC24A5*



# Haplotype patterns across pigmentation loci differ across populations



# Simple hard sweep model is appealing

Guiding assumption under a simple model of hard sweeps versus neutrality:

Most of the genome is assumed to be evolving neutrally, while only a subset of loci/variants are subject to positive selection

But reality is often more complex. Detecting sweeps can be difficult because:

- Confounding effects of background selection (negative selection)
- Selection from standing genetic variation
- Selection from multiple variants

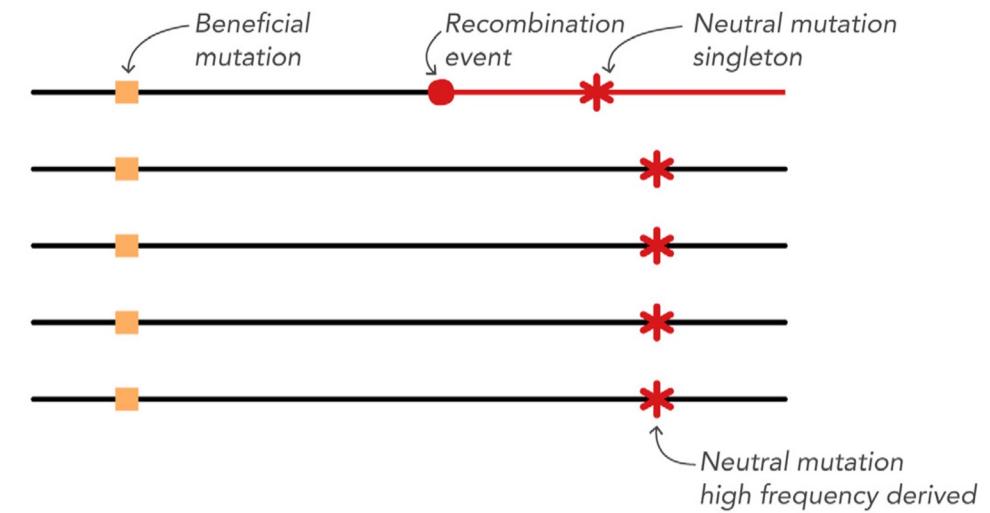
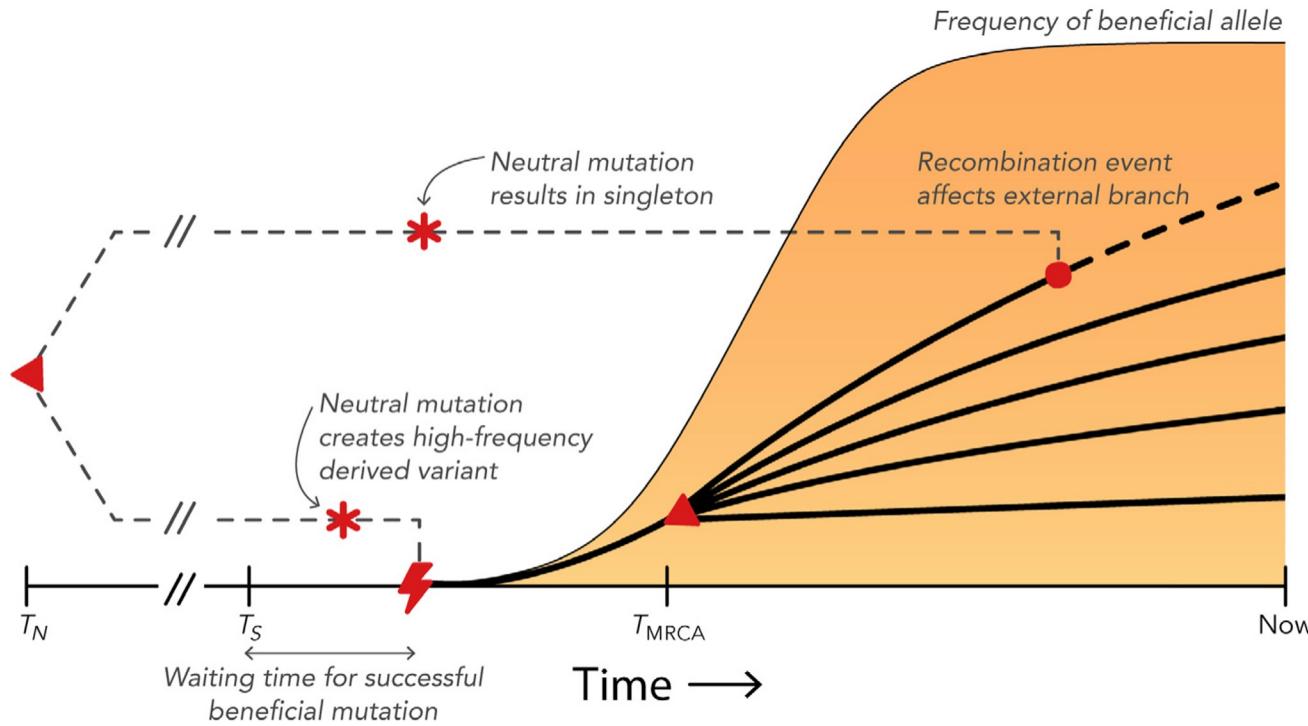
## **Recall: Classical theory of hard selective sweeps assumes:**

Selection acts on a **single copy** of the beneficial allele, which enters the population as **new mutation** after the onset of the selection pressure

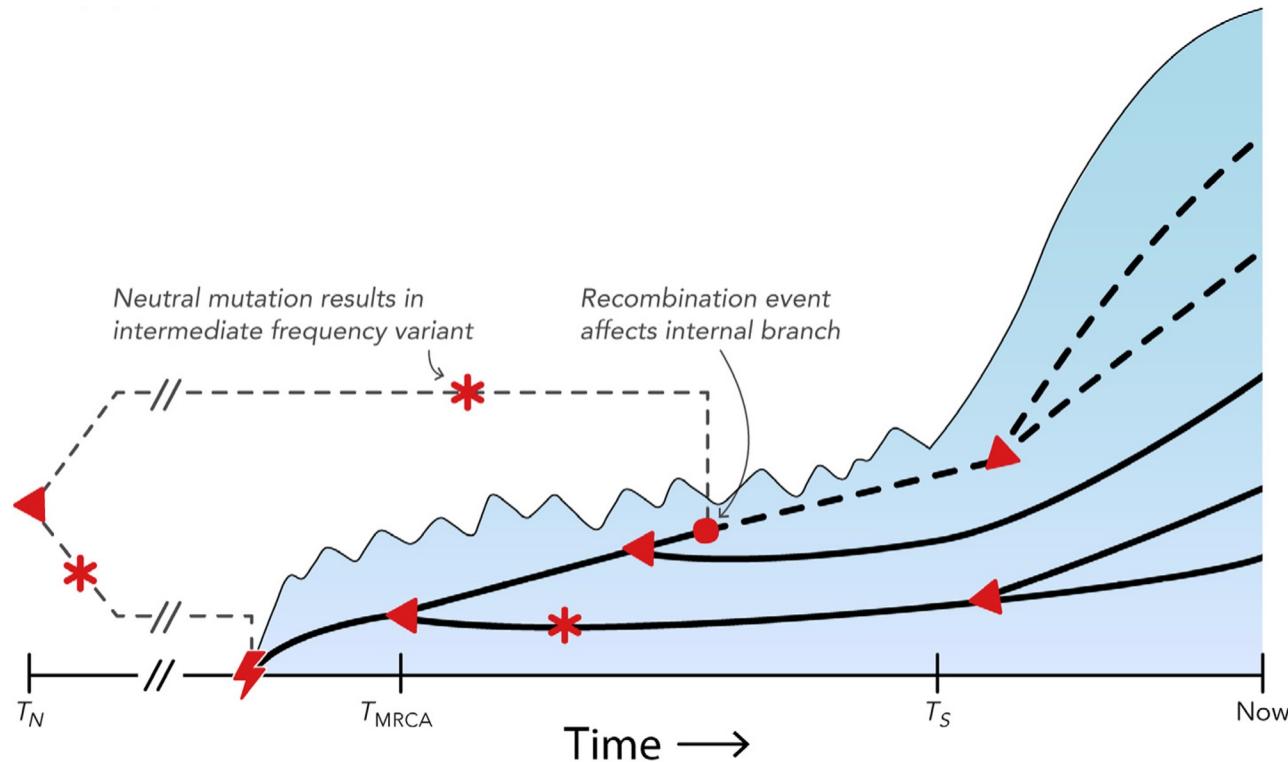
**But:** in some cases, the situation is more complex. Selection may act on an allele that was already present in the population (i.e., standing genetic variation) or on multiple alleles at a locus that have similar phenotypic effects.

These situations are called '**soft sweeps**'

# A hard selective sweep

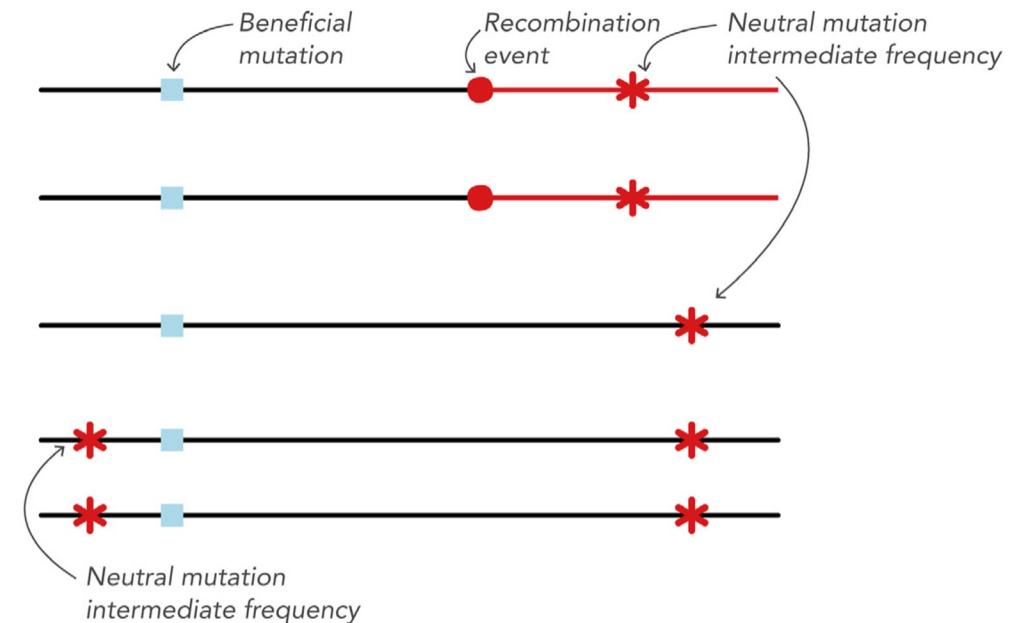


# A single-origin soft selective sweep

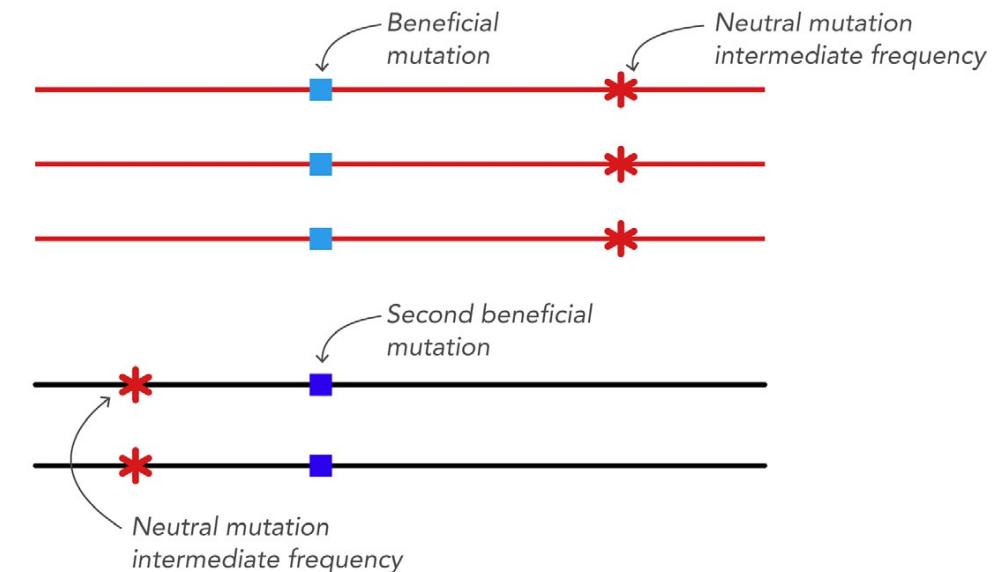
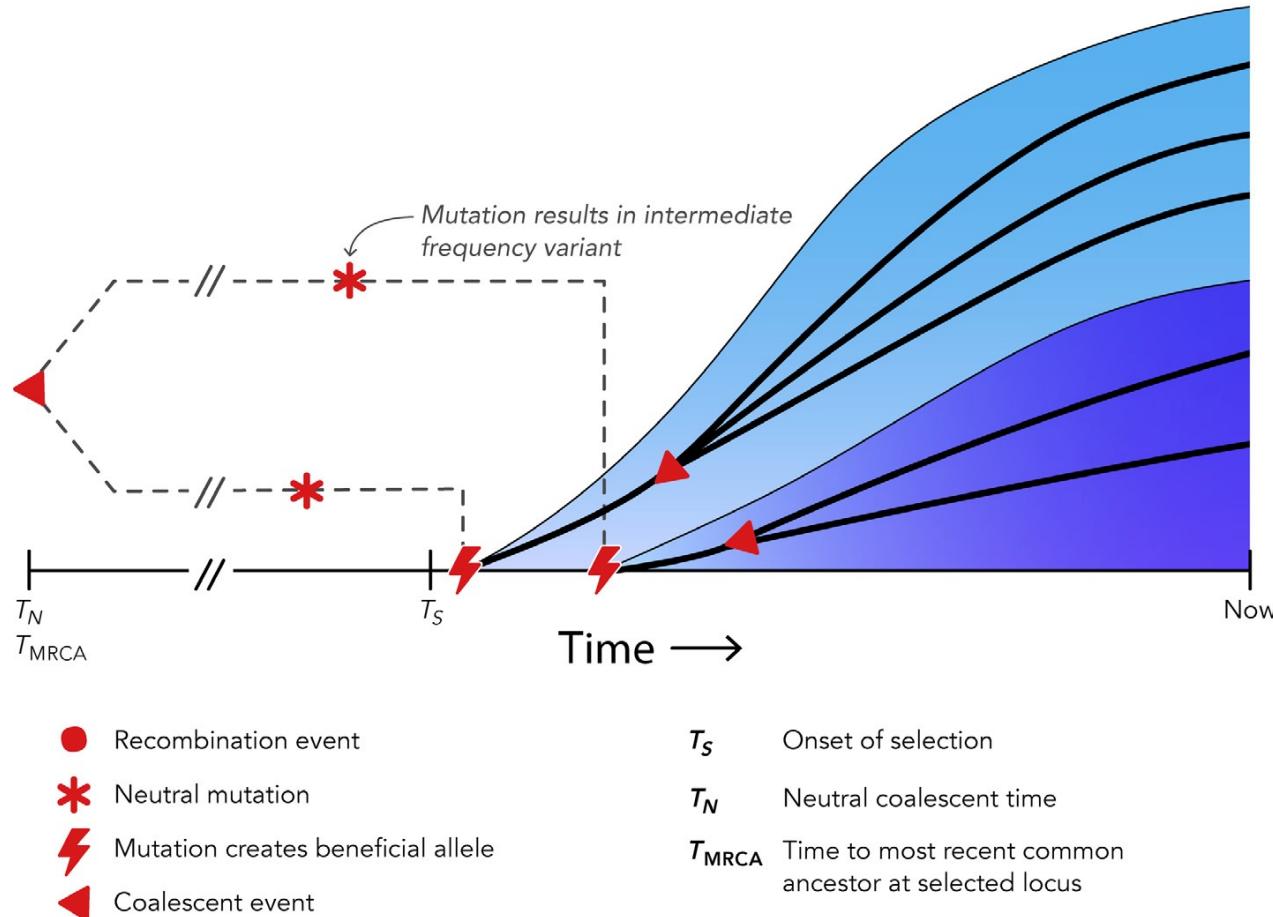


Neutral mutation becomes adaptive after already spending some time in the population

This scenario may be more likely if effects are conditional on environment or other loci

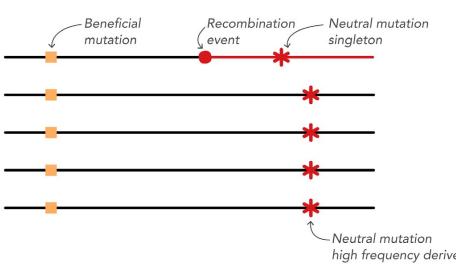
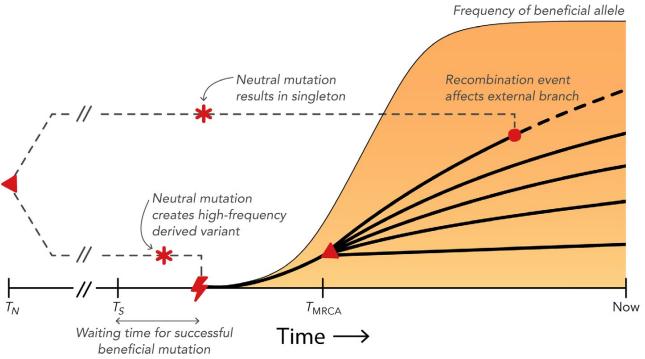


# Multiple origin soft selective sweep



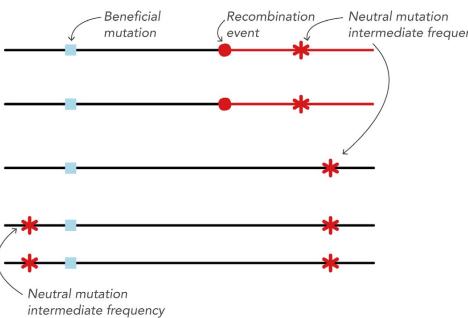
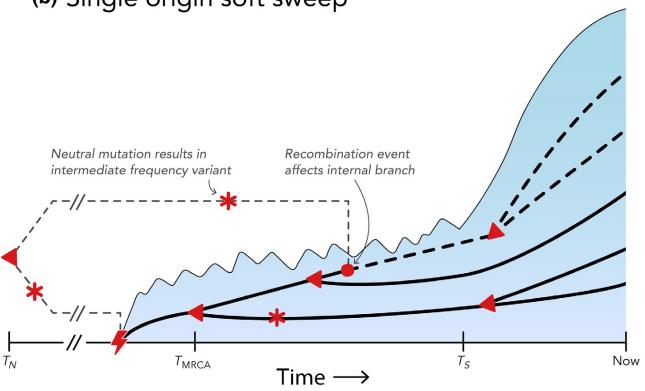
Multiple variants arise on different haplotypes and these rise to high frequency as a group

(a) Hard selective sweep



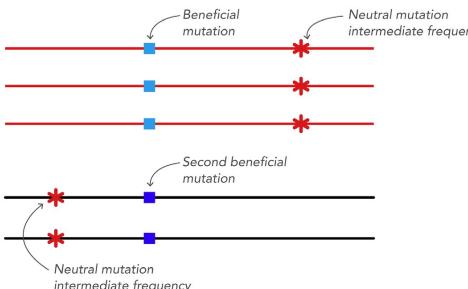
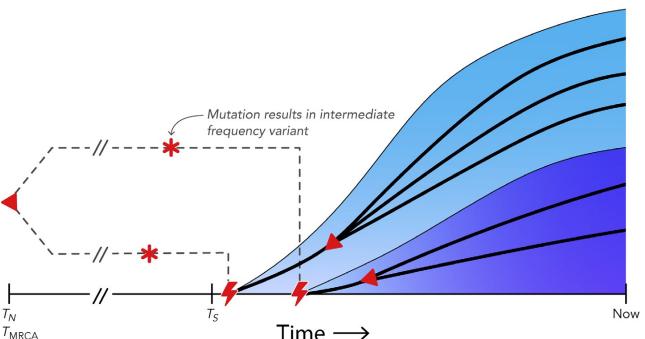
Single adaptive variant arises at a locus and sweeps quickly to high frequency

(b) Single origin soft sweep



Neutral mutation becomes adaptive after spending some time in the population

(c) Multiple origin soft sweep



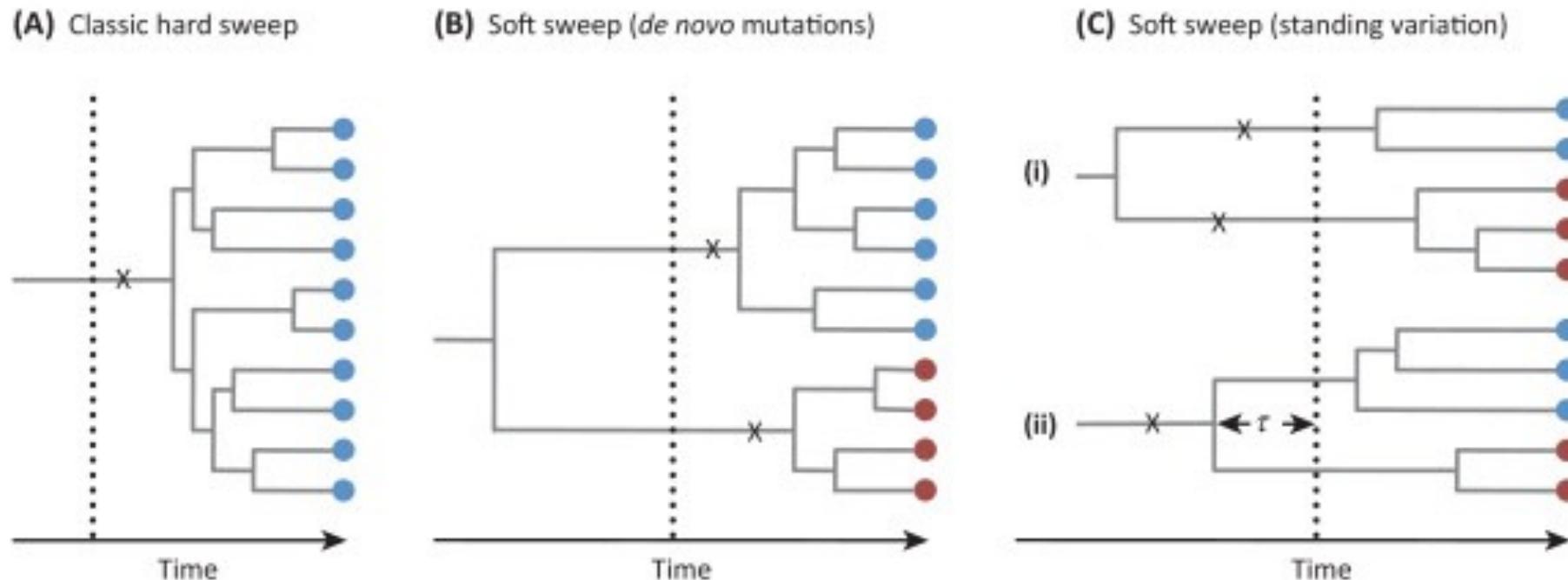
Multiple adaptive mutations arise over a short time frame and as a group sweep to high frequency in the population

- Recombination event
- \* Neutral mutation
- ⚡ Mutation creates beneficial allele
- ▲ Coalescent event

- $T_s$  Onset of selection
- $T_N$  Neutral coalescent time
- $T_{MRCA}$  Time to most recent common ancestor at selected locus

# Sweep patterns

## standard, multiple variants, standing variation



TRENDS in Ecology & Evolution

Messer and Petrov TREE 2013

# Controversy around soft sweeps

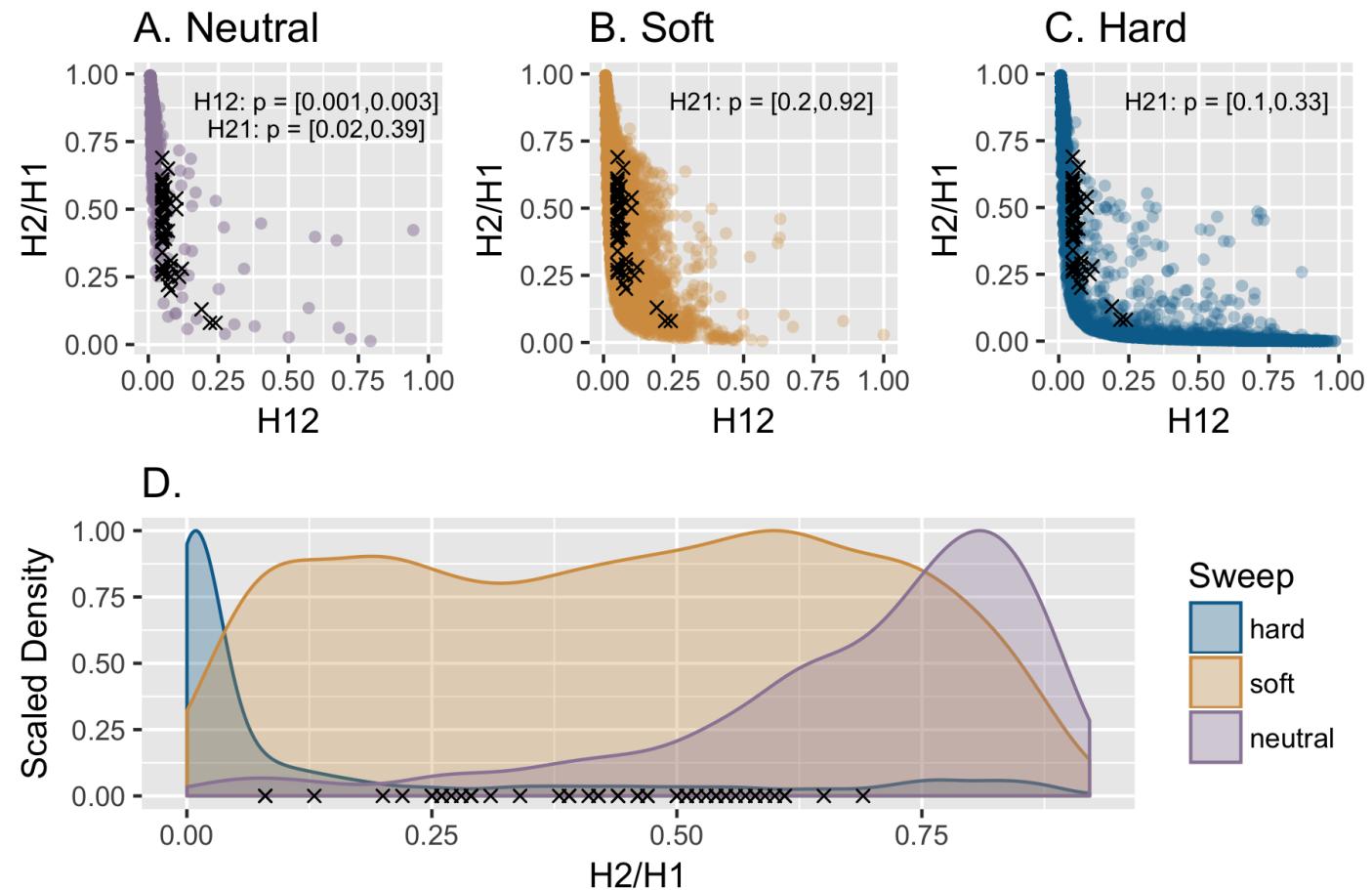
- Soft sweeps from standing variation require a major change in the functional impact of a variant. This might be possible due to cryptic variation that is exposed due to interaction effects like genotype by environment or genotype by genotype (epistatic) interactions
- But we need examples where functional variants are known
- Soft sweeps from standing variation require that multiple variants arise in a short period of time and sweep. This could happen when common assumptions of random mating are not met (e.g., population structure)

# Can soft sweeps be detected?

There are methods to detect soft sweeps, but power using selection scans is reduced relative to hard sweeps

There is a statistical test from Garud et al., that uses information about the two predominant haplotypes and can be used to scan for a soft sweep signature.

But given the weaker signature left by soft sweeps, the power is low compared to tests for hard selective sweeps. Soft sweep regions tend to look more like neutral regions.

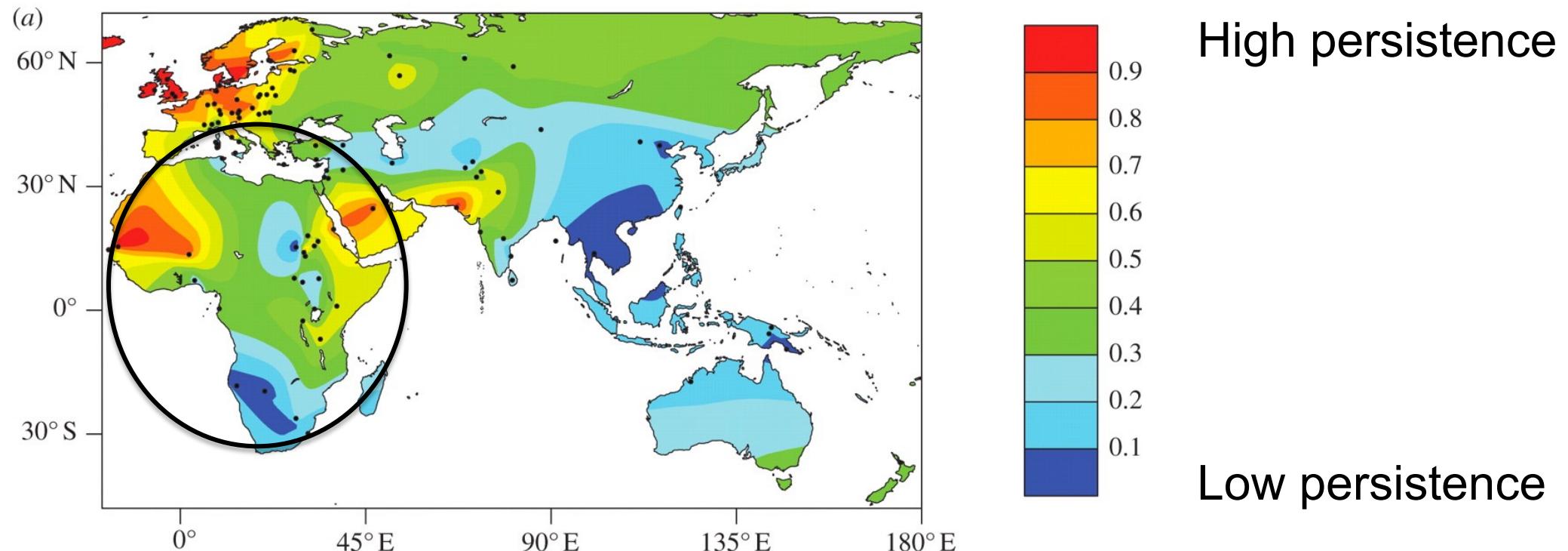


What is the impact of soft  
sweeps  
on the expected footprint  
of selection?

Similar to hard sweep but signal tends to be muted

# Some examples of soft sweeps

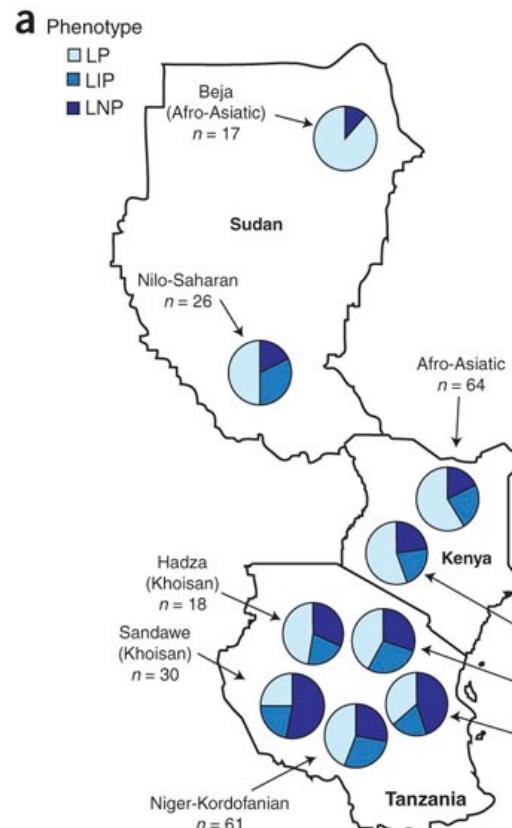
# Worldwide distribution of lactase persistence



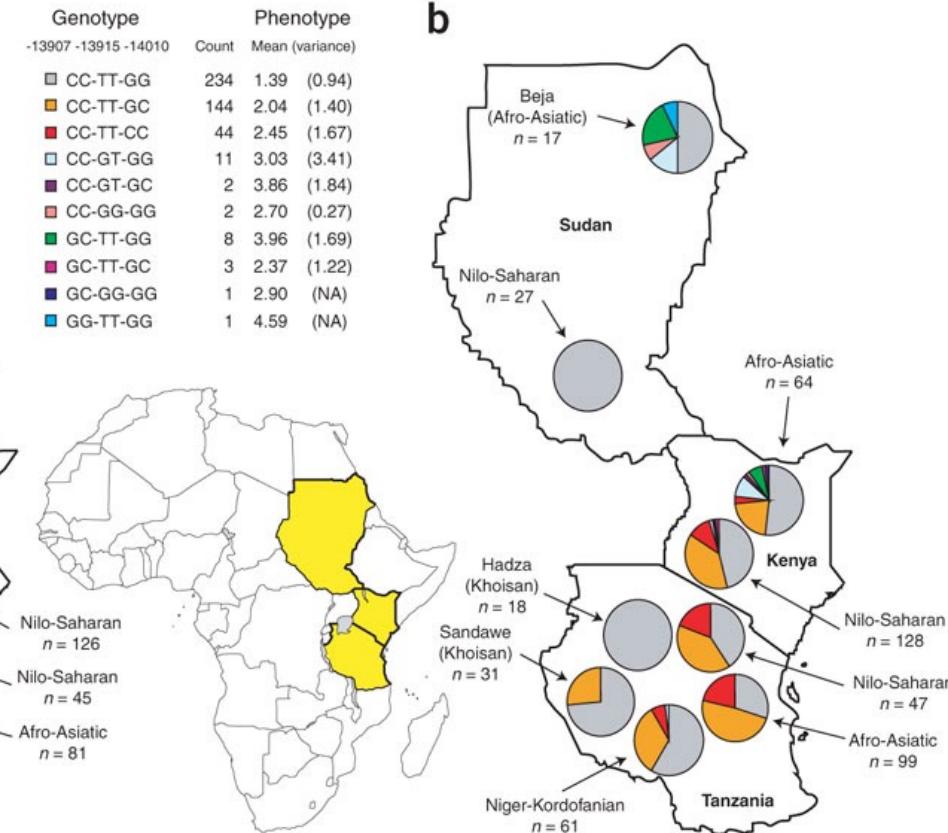
Variants other than *LCT -13910\*T* are responsible for lactase persistence in African populations.

# Lactase persistence in Africa is due to soft sweeps – multiple causal haplotypes

Proportion of population with LP

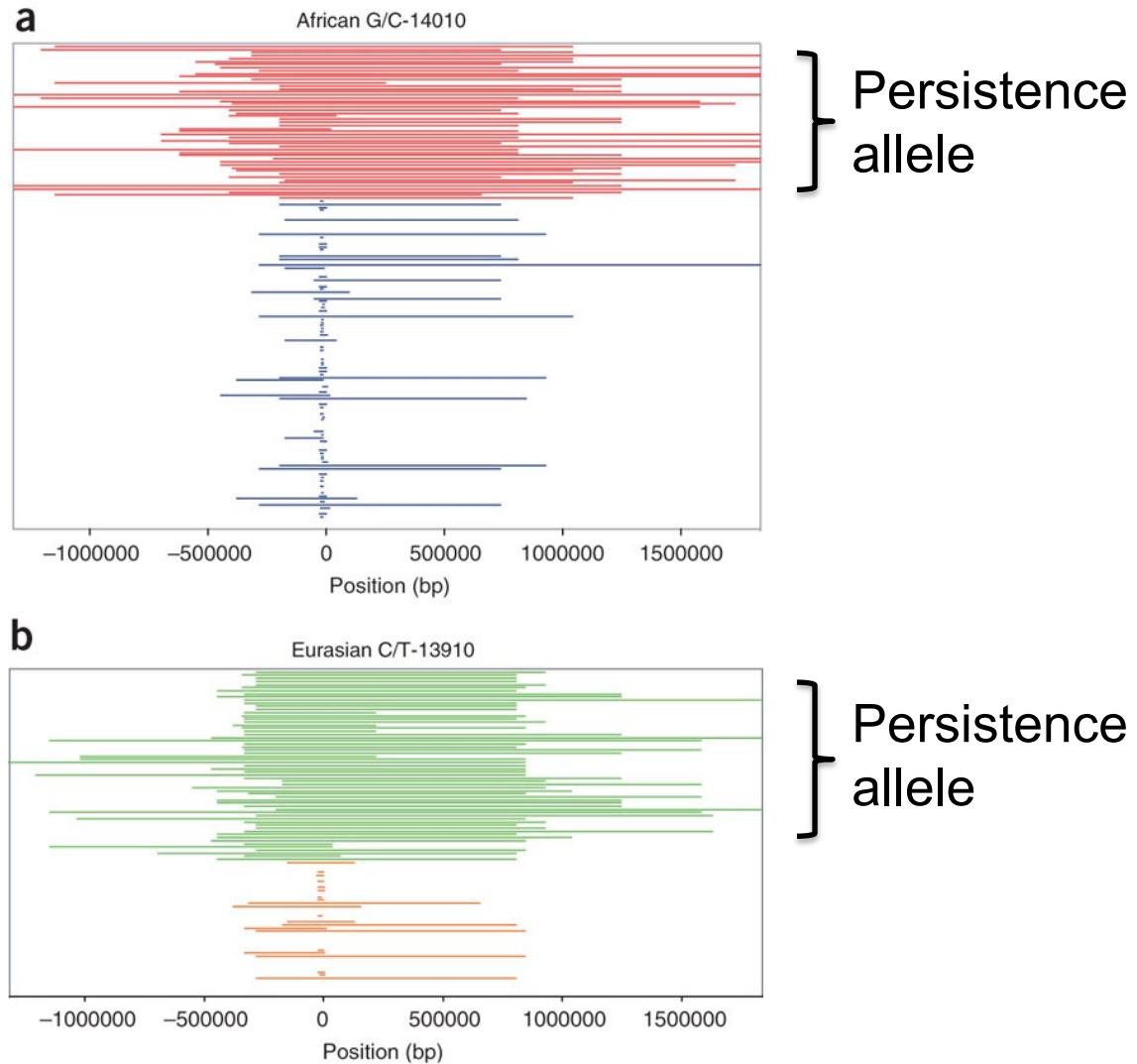


Proportion compound genotypes

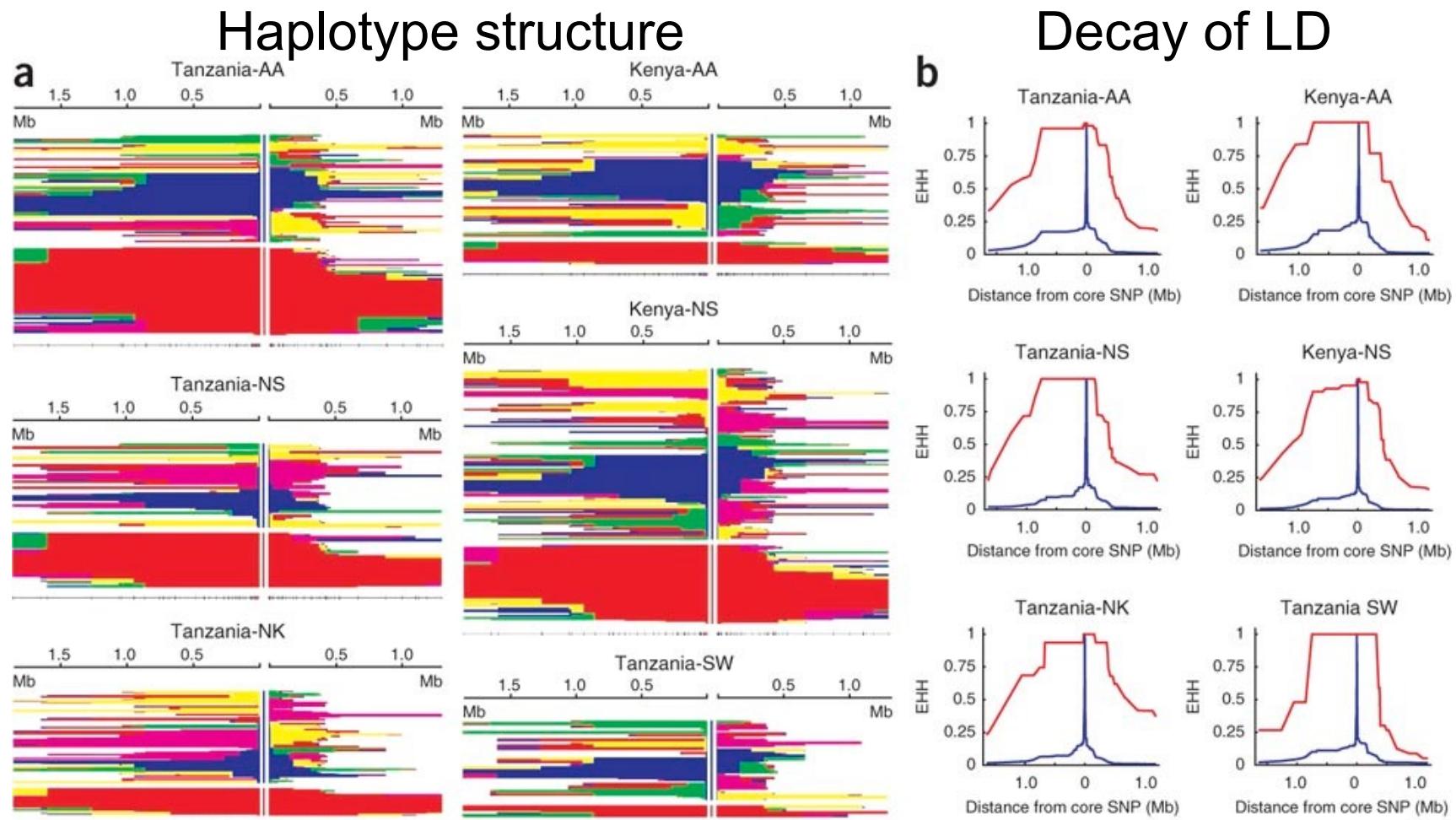


Reed et al., 2007

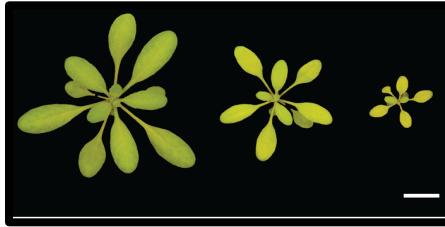
# Haplotype structure is stronger for the persistence alleles



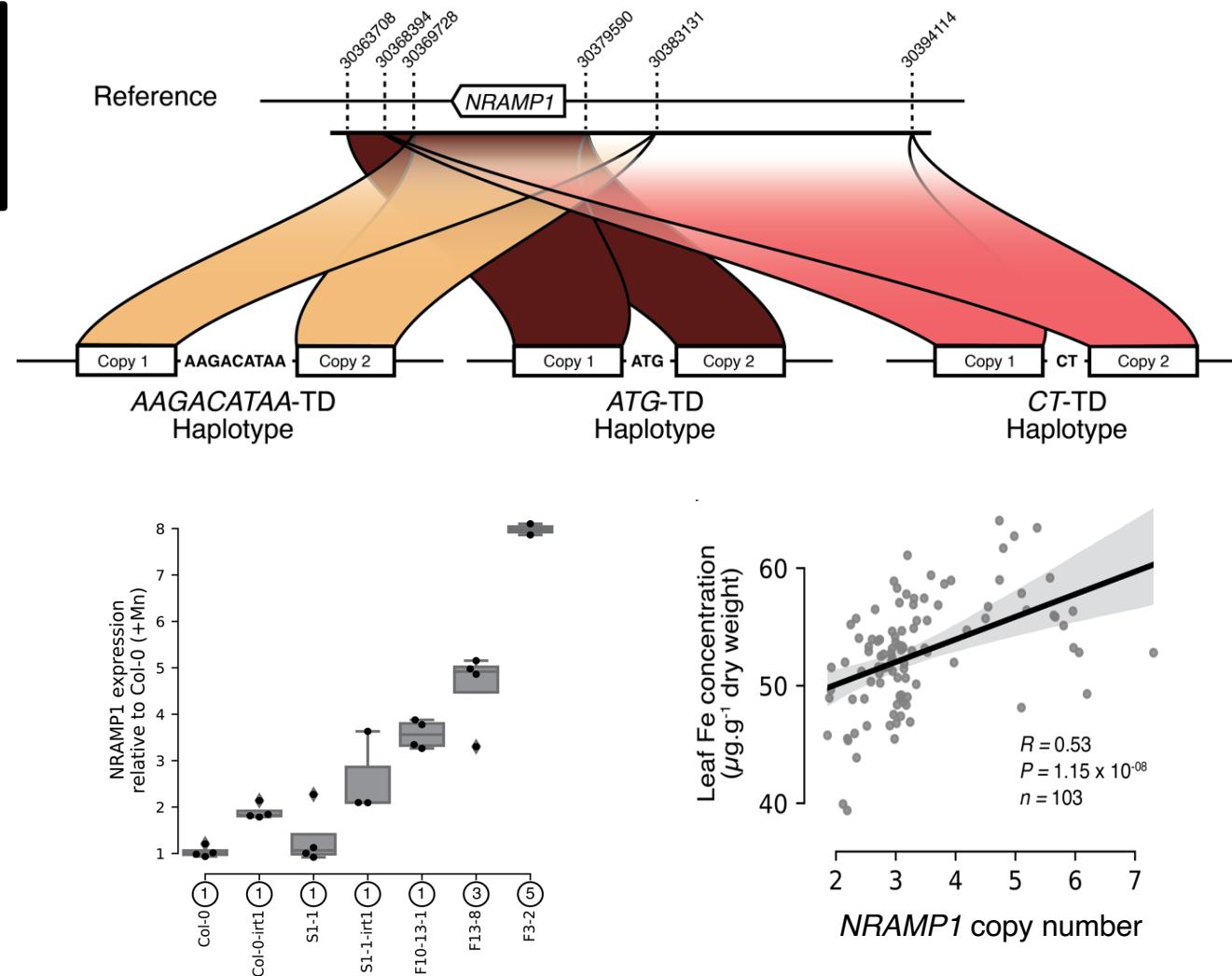
# LD is more extensive for derived (persistence) alleles



# Multiple tandem duplications arose and swept to increase mineral nutrient transport



Variation in leaf elemental content results in variation in plant health



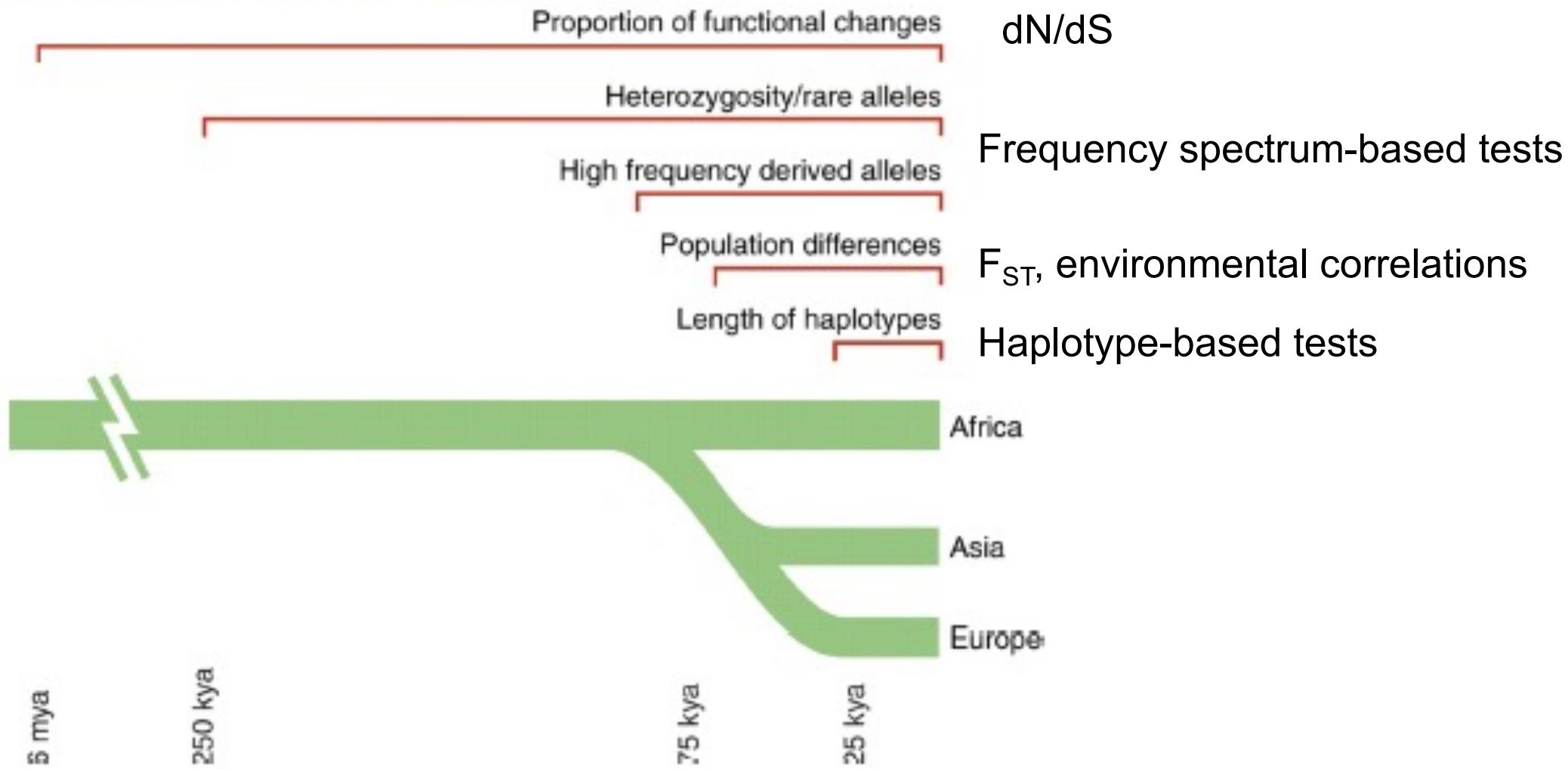
Multiple tandem duplications arose and swept to near fixation (98%) in a Cape Verdean volcanic island.

These increase copies of the *NRAMP1* transporter as well as expression (mRNA) and Fe transport

# Summary: types of neutrality tests

- Divergence-based
  - dN/dS and MK-type tests
- Differentiation
  - $F_{ST}$ , environmental associations)
- Inter-locus comparison of divergence relative to diversity
  - HKA test
- SFS based tests
  - Tajima's D, Fay and Wu's H test
- Haplotype / LD based tests
  - EHH and variants, number of haplotypes, frequency of major haplotype
- Haplotype differentiation tests use signatures of different haplotype homozygosity across populations
  - XP-EHH

## Time scale for signatures of selection



From Rogers lecture notes

# Lack of strong concordance between selection scans across the human genome

	Sweepfinder					
(SFS + LD)	EHH	Tajima	EHH	MK test	MK test	
	Williamson <i>et al.</i> <sup>5</sup>	Voight <i>et al.</i> <sup>40</sup>	Carlson <i>et al.</i> <sup>45</sup>	Wang <i>et al.</i> <sup>6</sup>	Bustamante <i>et al.</i> <sup>3</sup> (PS $p < 0.025$ )	Bustamante <i>et al.</i> <sup>3</sup> (NS $p > 0.975$ )
Williamson <i>et al.</i> <sup>5*</sup>	179	12	20	0	0	4
Voight <i>et al.</i> <sup>40*</sup>	13	713	6	7	22	32
Carlson <i>et al.</i> <sup>45*</sup>	23	7	59	5	3	10
Wang <i>et al.</i> <sup>6*</sup>	0	7	3	90	3	1
Bustamante <i>et al.</i> <sup>3</sup> (PS $p < 0.025$ ) <sup>‡</sup>	0	22	3	3	301	#
Bustamante <i>et al.</i> <sup>3</sup> (NS $p > 0.975$ ) <sup>‡</sup>	3	30	10	2	#	802

... no test uses all patterns: different tests pick up different signals

False positives and negatives are also expected to contribute to disparity

# Summary: neutrality tests

Which test to use? – Power of tests for selection

- depends on time / frequency of adaptive fixations
  - dN/dS and MK type tests need many substitutions over long time
  - Tajima's test, tests based on singletons: up to about 0.1 N generations
  - haplotype and LD based tests: up to about 0.01 N generations
  - EHH and similar tests: incomplete sweeps
- depends on the underlying demography
  - worst-case scenario: bottlenecks (no problem for divergence tests)
- depends on the selection scenario
  - Soft sweeps, polygenic adaptation, local adaptation, partial sweeps