# FacialEmotionRecognition

June 5, 2022

```
[1]: # Basics
     import numpy as np
     import pandas as pd
     import seaborn as sns
     import matplotlib.pyplot as plt
     from pandas.core.common import random state
     # Sklearn
     from sklearn.model_selection import train_test_split
     from sklearn.model_selection import GridSearchCV
     from sklearn.decomposition import PCA
     from sklearn.neighbors import KNeighborsClassifier
     from sklearn.tree import DecisionTreeClassifier
     from sklearn.ensemble import RandomForestClassifier
     from sklearn.linear_model import LogisticRegression
     from sklearn.naive_bayes import GaussianNB
     from sklearn.svm import SVC
     from sklearn.cluster import KMeans
     from sklearn.pipeline import Pipeline
     from sklearn.metrics import confusion_matrix, ConfusionMatrixDisplay
     # Tensorflow
     import tensorflow as tf
     from tensorflow.keras.models import Sequential
     from tensorflow.keras.layers import Dense
     import tensorflow.keras.layers as layers
     from tensorflow.keras.utils import to_categorical
     # Graphing Style
     %matplotlib inline
```

```
[2]: from google.colab import drive drive.mount('/content/drive')
```

Drive already mounted at /content/drive; to attempt to forcibly remount, call drive.mount("/content/drive", force\_remount=True).

### 1 Data Cleaning and Exploratory Data Analysis

```
[3]: face = pd.read_csv("/content/drive/MyDrive/Colab Notebooks/face.csv")
[4]: face.shape
[4]: (28709, 2)
[5]: face["pixels"] = face.pixels.apply(lambda x: np.array(tuple(map(int, x.

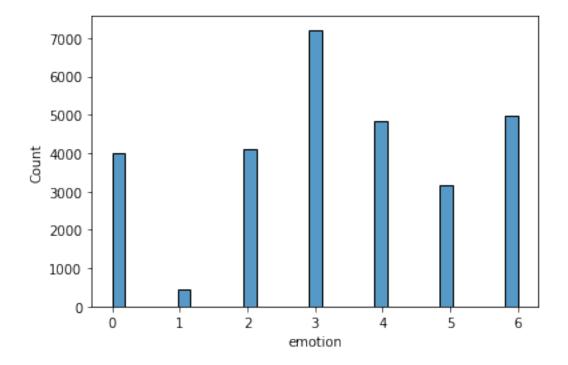
split()))))
[6]: face.head()
[6]:
        emotion
                                                             pixels
     0
              0 [70, 80, 82, 72, 58, 58, 60, 63, 54, 58, 60, 4...
     1
              0 [151, 150, 147, 155, 148, 133, 111, 140, 170, ...
     2
              2 [231, 212, 156, 164, 174, 138, 161, 173, 182, ...
              4 [24, 32, 36, 30, 32, 23, 19, 20, 30, 41, 21, 2...
     3
     4
              6 [4, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 3, 15, 23...
[7]: emo_di = {0: "Angry", 1: "Disgust", 2: "Fear", 3: "Happy", 4: "Sad", 5:
      ⇔"Surprise", 6: "Neutral"}
[8]: plt.figure(figsize = (20,20))
     start_index = 0
     for i in range(7):
         plt.subplot(1,7,i+1)
         plt.grid(False)
         plt.xticks([])
         plt.yticks([])
         plt.imshow(face[face.emotion == i].pixels.iloc[20].reshape(48,48),__
      ⇔cmap="gray")
         plt.xlabel("{} = {}".format(i, emo_di[i]))
```

- [9]: face.emotion.value\_counts()
- [9]: 3 7215
  - 6 4965
  - 4 4830

```
2 4097
0 3995
5 3171
1 436
Name: emotion, dtype: int64
```

#### [10]: sns.histplot(face.emotion)

[10]: <matplotlib.axes.\_subplots.AxesSubplot at 0x7f43872e6ed0>

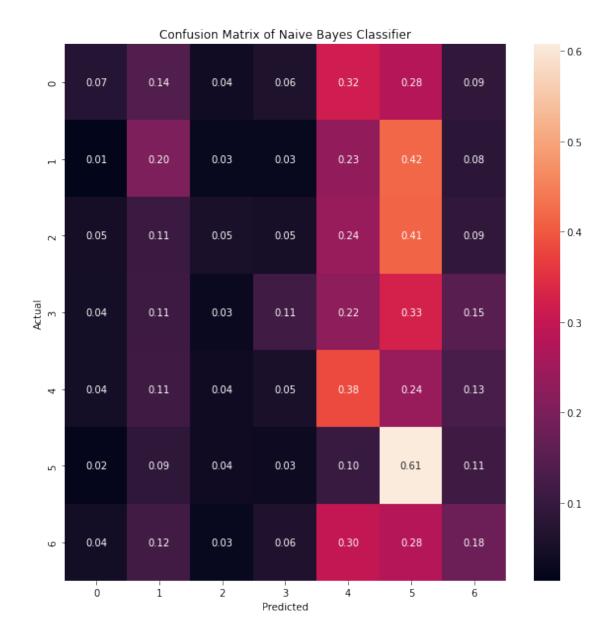


```
train_x = np.concatenate(np.asarray(faces_balanced["pixels"])).reshape(-1, 48 *__
       48)
      val_x = np.concatenate(np.asarray(val["pixels"])).reshape(-1, 48 * 48)
      test_x = np.concatenate(np.asarray(test["pixels"])).reshape(-1, 48 * 48)
      train_y = faces_balanced.emotion
      val y = val.emotion
      test_y = test.emotion
      x_mean = np.mean(train_x)
      x_std = np.std(train_x) + 1e-10
      train_x = (train_x - x_mean) / x_std
      val_x = (val_x - x_mean) / x_std
      test_x = (test_x - x_mean) / x_std
[12]: train_y.value_counts()
[12]: 4
           3000
      5
           3000
      2
           3000
      0
           3000
      1
           3000
      3
           3000
           3000
      Name: emotion, dtype: int64
[13]: val_y.value_counts()
[13]: 3
           1420
      6
           1035
      4
            963
      0
            811
      2
            796
      5
            625
      1
             92
      Name: emotion, dtype: int64
[14]: test_y.value_counts()
[14]: 3
           1494
      6
            976
      4
            946
      2
            833
      0
            792
      5
            627
      1
             74
      Name: emotion, dtype: int64
```

## 2 Modeling

#### 2.1 Naive Bayes

```
[15]: nb = GaussianNB()
     nb.fit(train_x, train_y)
[15]: GaussianNB()
[16]: nb.score(train_x, train_y)
[16]: 0.23157142857142857
[17]: nb.score(val_x, val_y)
[17]: 0.20358760013932428
[18]: nb.score(test_x, test_y)
[18]: 0.20968303726924417
[19]: cm = confusion_matrix(test_y, nb.predict(test_x))
      cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
      fig, ax = plt.subplots(figsize=(10,10))
      sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.
      ⇔classes_)
      plt.title('Confusion Matrix of Naive Bayes Classifier')
      plt.ylabel('Actual')
      plt.xlabel('Predicted')
      plt.show(block=False)
```



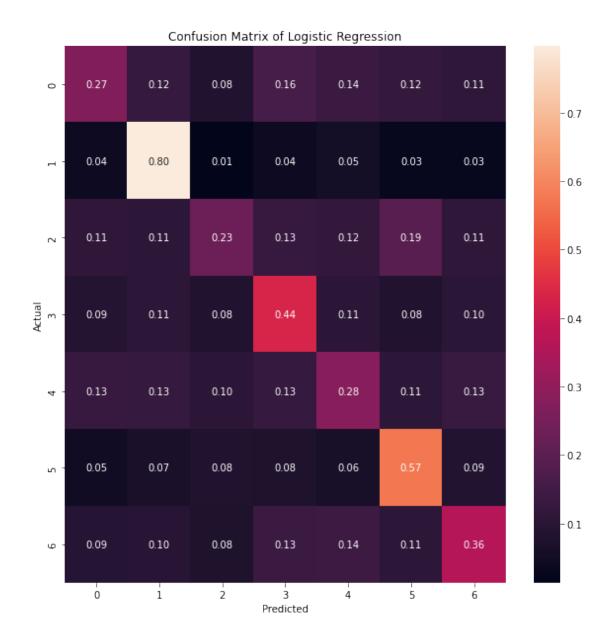
### 2.2 Logistic Regression

```
[20]: lr = LogisticRegression(penalty='12', tol=0.1, solver='saga')
lr.fit(train_x, train_y)
```

[20]: LogisticRegression(solver='saga', tol=0.1)

[21]: lr.score(train\_x, train\_y)

[21]: 0.4888571428571429



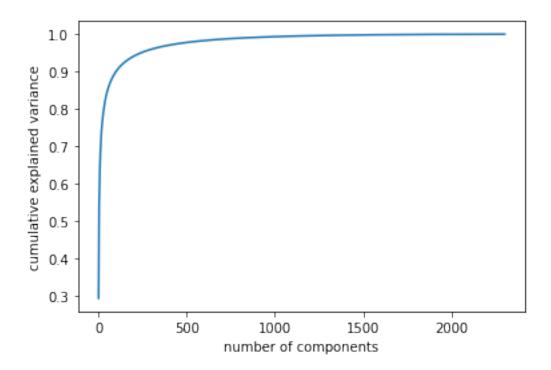
### 2.3 K-Means + Logistic Regression

```
[26]: Pipeline(steps=[('kmeans', KMeans(n_clusters=35)),
                      ('logisreg',
                       LogisticRegression(penalty='none', solver='saga', tol=0.1))])
[27]: pl.score(train_x, train_y)
[27]: 0.2277142857142857
[28]: pl.score(val_x, val_y)
[28]: 0.196969696969696
[29]: pl.score(test_x, test_y)
[29]: 0.1966213862765587
[30]: cm = confusion_matrix(test_y, pl.predict(test_x))
      cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
      fig, ax = plt.subplots(figsize=(10,10))
      sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.
       ⇔classes_)
      plt.title('Confusion Matrix of K-Means + Logistic Regression')
      plt.ylabel('Actual')
      plt.xlabel('Predicted')
      plt.show(block=False)
```



### 2.4 KNN with PCA + CV

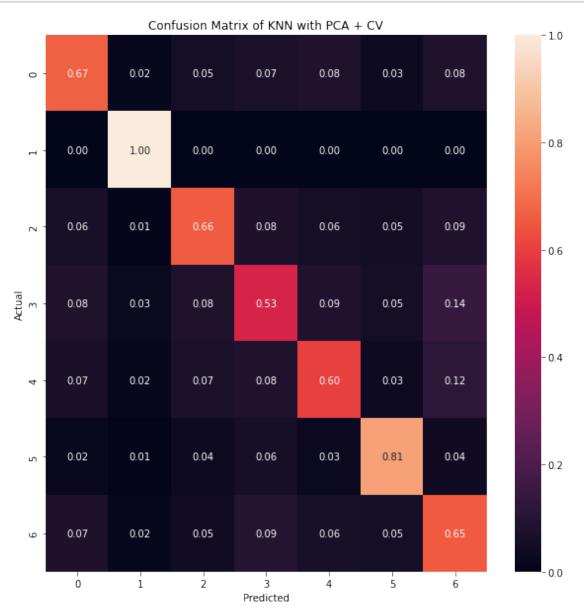
```
[31]: pca = PCA().fit(train_x)
   plt.plot(np.cumsum(pca.explained_variance_ratio_))
   plt.xlabel('number of components')
   plt.ylabel('cumulative explained variance');
```



```
[32]: pl1 = Pipeline([
          ("PCA", PCA(n_components=100)),
          ("knn", KNeighborsClassifier())
      ])
      params = {"knn_n_neighbors": [1, 3, 5, 7, 12, 15, 20]}
      grids = GridSearchCV(pl1, params, cv=5)
      grids.fit(train_x, train_y)
[32]: GridSearchCV(cv=5,
                   estimator=Pipeline(steps=[('PCA', PCA(n_components=100)),
                                             ('knn', KNeighborsClassifier())]),
                   param_grid={'knn__n_neighbors': [1, 3, 5, 7, 12, 15, 20]})
[33]: grids.best_params_
[33]: {'knn_n_neighbors': 1}
[34]: grids.best_score_
[34]: 0.6629047619047619
[35]: grids.best_estimator_.score(val_x, val_y)
[35]: 0.6461163357715082
```

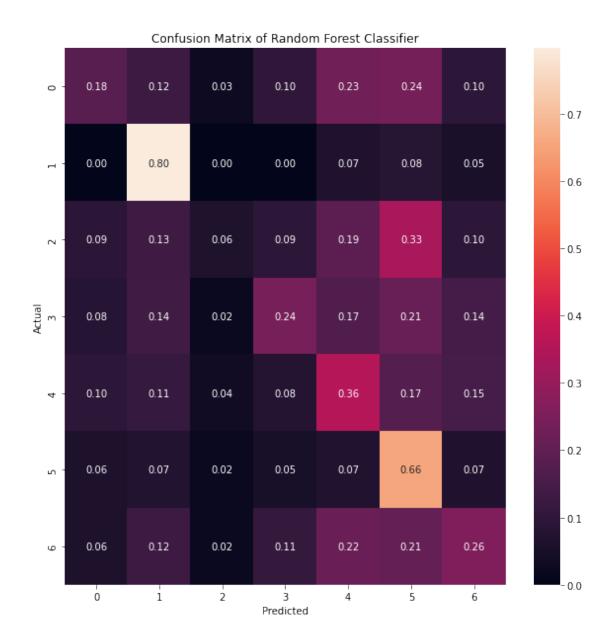
```
[36]: grids.best_estimator_.score(test_x, test_y)
```

#### [36]: 0.6379310344827587



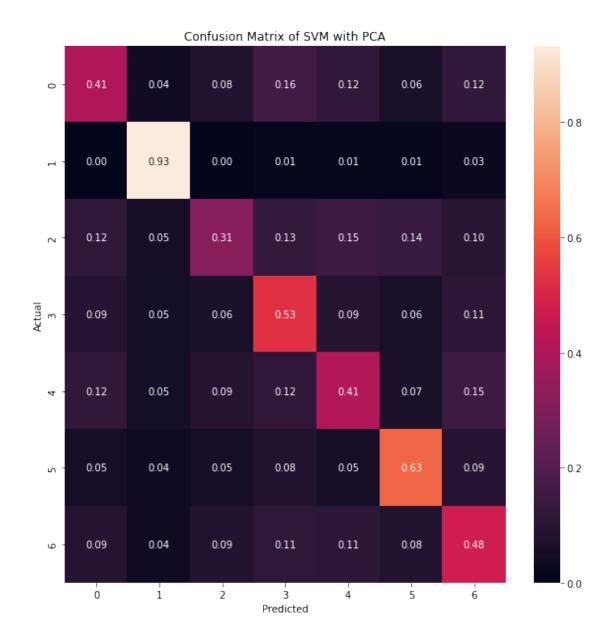
#### 2.5 Random Forest

```
[38]: rfc = RandomForestClassifier(max_depth=5)
     rfc.fit(train_x, train_y)
[38]: RandomForestClassifier(max_depth=5)
[39]: rfc.score(train_x, train_y)
[39]: 0.4005714285714286
[40]: rfc.score(val_x, val_y)
[40]: 0.2871821664925113
[41]: rfc.score(test_x, test_y)
[41]: 0.28126088470916055
[42]: cm = confusion_matrix(test_y, rfc.predict(test_x))
      cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
      fig, ax = plt.subplots(figsize=(10,10))
      sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.
       ⇔classes_)
      plt.title('Confusion Matrix of Random Forest Classifier')
      plt.ylabel('Actual')
      plt.xlabel('Predicted')
      plt.show(block=False)
```



### 2.6 Support Vector Machine with PCA

```
[44]: 0.6312857142857143
[45]: pl2.score(val_x, val_y)
[45]: 0.45942180424939044
[46]: pl2.score(test_x, test_y)
[46]: 0.46900034831069315
[47]: cm = confusion_matrix(test_y, pl2.predict(test_x))
    cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
    fig, ax = plt.subplots(figsize=(10,10))
    sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.classes_)
    plt.title('Confusion Matrix of SVM with PCA')
    plt.ylabel('Actual')
    plt.xlabel('Predicted')
    plt.show(block=False)
```



#### 2.7 Artificial Neural Network

```
[48]: train_y_cat = to_categorical(train_y)
    val_y_cat = to_categorical(val_y)
    test_y_cat = to_categorical(test_y)

[49]: model = Sequential()
    model.add(Dense(256, activation = 'relu', input_shape = (48 * 48,)))
    model.add(layers.Dropout(0.2))
    model.add(Dense(256, activation = 'relu'))
    model.add(layers.Dropout(0.5))
```

#### Model: "sequential"

Layer (type)	Output Shape	Param #
dense (Dense)	(None, 256)	590080
dropout (Dropout)	(None, 256)	0
dense_1 (Dense)	(None, 256)	65792
dropout_1 (Dropout)	(None, 256)	0
dense_2 (Dense)	(None, 7)	1799

Total params: 657,671 Trainable params: 657,671 Non-trainable params: 0

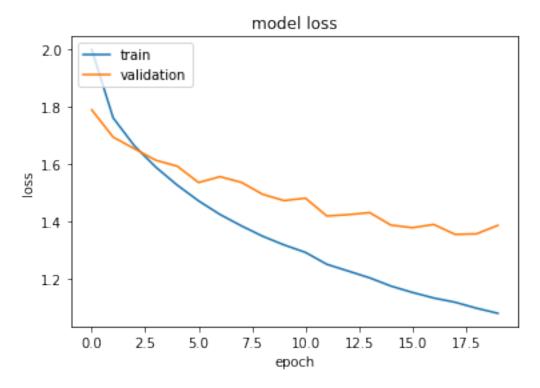
\_\_\_\_\_

```
[50]: history = model.fit(train_x, train_y_cat, epochs = 20, validation_data = (val_x, val_y_cat))
```

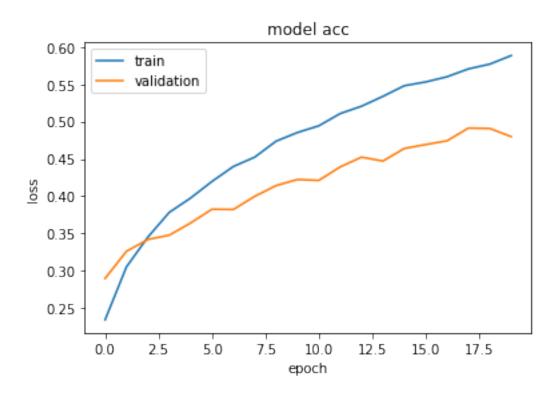
```
Epoch 1/20
accuracy: 0.2342 - val_loss: 1.7877 - val_accuracy: 0.2896
Epoch 2/20
657/657 [============ ] - 2s 3ms/step - loss: 1.7601 -
accuracy: 0.3051 - val_loss: 1.6930 - val_accuracy: 0.3260
Epoch 3/20
accuracy: 0.3450 - val_loss: 1.6524 - val_accuracy: 0.3419
Epoch 4/20
accuracy: 0.3782 - val_loss: 1.6124 - val_accuracy: 0.3476
Epoch 5/20
accuracy: 0.3974 - val_loss: 1.5918 - val_accuracy: 0.3640
Epoch 6/20
accuracy: 0.4197 - val_loss: 1.5349 - val_accuracy: 0.3824
```

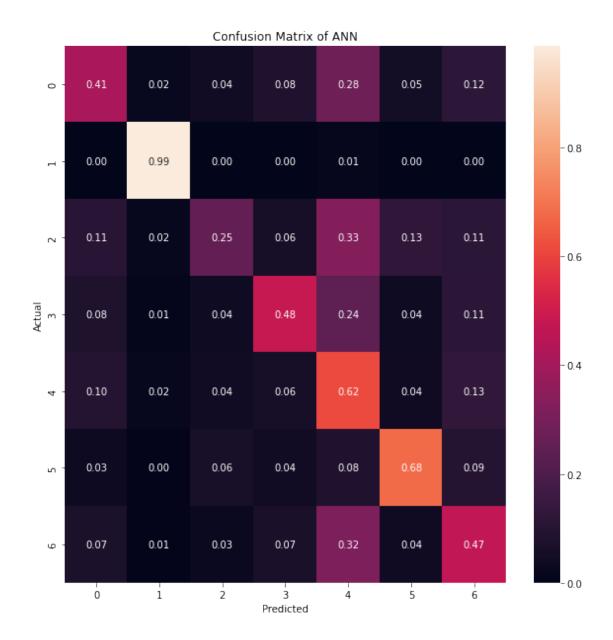
```
Epoch 7/20
   accuracy: 0.4397 - val_loss: 1.5554 - val_accuracy: 0.3821
   accuracy: 0.4523 - val_loss: 1.5355 - val_accuracy: 0.3997
   accuracy: 0.4737 - val_loss: 1.4938 - val_accuracy: 0.4141
   Epoch 10/20
   accuracy: 0.4853 - val_loss: 1.4720 - val_accuracy: 0.4223
   Epoch 11/20
   accuracy: 0.4943 - val_loss: 1.4804 - val_accuracy: 0.4211
   Epoch 12/20
   657/657 [============ ] - 2s 3ms/step - loss: 1.2503 -
   accuracy: 0.5108 - val_loss: 1.4184 - val_accuracy: 0.4392
   Epoch 13/20
   accuracy: 0.5206 - val_loss: 1.4231 - val_accuracy: 0.4523
   Epoch 14/20
   accuracy: 0.5339 - val_loss: 1.4302 - val_accuracy: 0.4471
   Epoch 15/20
   accuracy: 0.5481 - val_loss: 1.3867 - val_accuracy: 0.4639
   Epoch 16/20
   accuracy: 0.5531 - val_loss: 1.3777 - val_accuracy: 0.4692
   Epoch 17/20
   accuracy: 0.5601 - val_loss: 1.3889 - val_accuracy: 0.4742
   Epoch 18/20
   accuracy: 0.5707 - val_loss: 1.3544 - val_accuracy: 0.4913
   Epoch 19/20
   accuracy: 0.5771 - val_loss: 1.3563 - val_accuracy: 0.4908
   Epoch 20/20
   657/657 [============= ] - 2s 3ms/step - loss: 1.0797 -
   accuracy: 0.5885 - val_loss: 1.3858 - val_accuracy: 0.4798
[51]: plt.plot(history.history['loss'])
   plt.plot(history.history['val_loss'])
   plt.title('model loss')
   plt.ylabel('loss')
```

```
plt.xlabel('epoch')
plt.legend(['train', 'validation'], loc='upper left')
plt.show()
```



```
[52]: plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model acc')
    plt.ylabel('loss')
    plt.xlabel('epoch')
    plt.legend(['train', 'validation'], loc='upper left')
    plt.show()
```





#### 2.8 Convolutional Neural Network

```
[55]: train_x_cnn = train_x.reshape(-1, 48, 48, 1)
    val_x_cnn = val_x.reshape(-1, 48, 48, 1)
    test_x_cnn = test_x.reshape(-1, 48, 48, 1)
[56]: model2 = Sequential()
```

```
model2 = Sequential()
model2.add(layers.Conv2D(32, (3, 3), activation='relu', input_shape=(48, 48, 41)))
model2.add(layers.MaxPooling2D((2, 2)))
model2.add(layers.Conv2D(64, (3, 3), activation='relu'))
```

```
model2.add(layers.Dropout(0.5))
model2.add(layers.MaxPooling2D((2, 2)))
model2.add(layers.Dropout(0.5))
model2.add(layers.Conv2D(64, (3, 3), activation='relu'))
model2.add(layers.Flatten())
model2.add(layers.Dropout(0.2))
model2.add(layers.Dense(64, activation='relu'))
model2.add(layers.Dropout(0.5))
model2.add(layers.Dropout(0.5))
```

Model: "sequential\_1"

Layer (type)	• •	
conv2d (Conv2D)	(None, 46, 46, 32)	
<pre>max_pooling2d (MaxPooling2D )</pre>	(None, 23, 23, 32)	0
conv2d_1 (Conv2D)	(None, 21, 21, 64)	18496
dropout_2 (Dropout)	(None, 21, 21, 64)	0
<pre>max_pooling2d_1 (MaxPooling 2D)</pre>	(None, 10, 10, 64)	0
dropout_3 (Dropout)	(None, 10, 10, 64)	0
conv2d_2 (Conv2D)	(None, 8, 8, 64)	36928
flatten (Flatten)	(None, 4096)	0
dropout_4 (Dropout)	(None, 4096)	0
dense_3 (Dense)	(None, 64)	262208
dropout_5 (Dropout)	(None, 64)	0
dense_4 (Dense)	(None, 7)	455

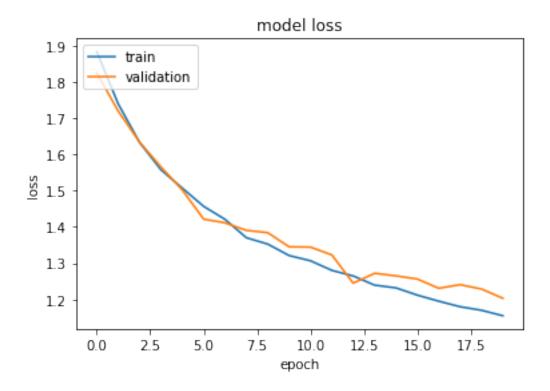
Total params: 318,407 Trainable params: 318,407 Non-trainable params: 0

\_\_\_\_\_

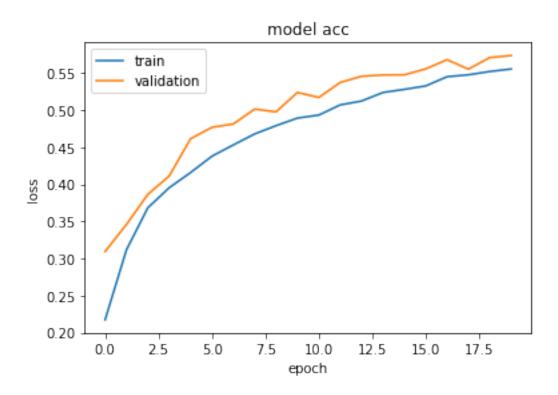
```
[58]: history2 = model2.fit(train_x_cnn, train_y, epochs = 20, validation_data = (val_x_cnn, val_y))
```

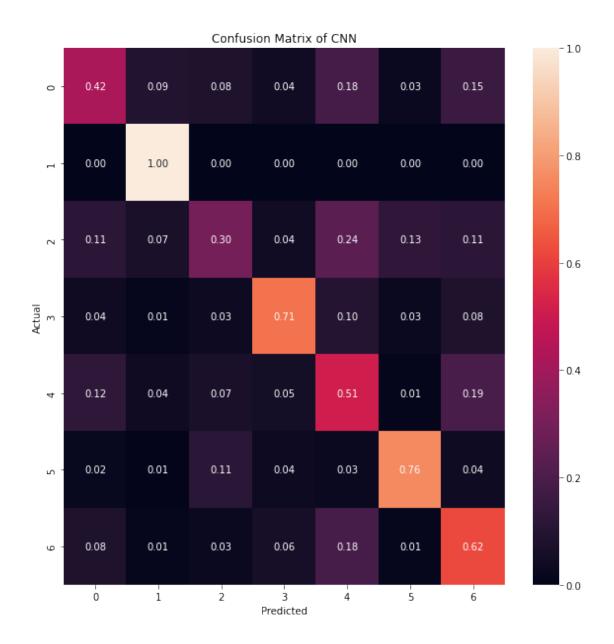
```
Epoch 1/20
657/657 [=========== ] - 13s 19ms/step - loss: 1.8835 -
accuracy: 0.2175 - val_loss: 1.8248 - val_accuracy: 0.3095
657/657 [============ ] - 14s 22ms/step - loss: 1.7397 -
accuracy: 0.3118 - val_loss: 1.7198 - val_accuracy: 0.3459
657/657 [=========== ] - 14s 22ms/step - loss: 1.6333 -
accuracy: 0.3683 - val_loss: 1.6342 - val_accuracy: 0.3865
Epoch 4/20
657/657 [============ ] - 14s 22ms/step - loss: 1.5578 -
accuracy: 0.3953 - val_loss: 1.5656 - val_accuracy: 0.4112
Epoch 5/20
657/657 [============ ] - 14s 22ms/step - loss: 1.5071 -
accuracy: 0.4158 - val_loss: 1.5021 - val_accuracy: 0.4613
Epoch 6/20
657/657 [=========== ] - 14s 22ms/step - loss: 1.4568 -
accuracy: 0.4378 - val_loss: 1.4215 - val_accuracy: 0.4767
Epoch 7/20
accuracy: 0.4530 - val_loss: 1.4112 - val_accuracy: 0.4812
Epoch 8/20
657/657 [=========== ] - 14s 22ms/step - loss: 1.3702 -
accuracy: 0.4678 - val_loss: 1.3905 - val_accuracy: 0.5012
Epoch 9/20
accuracy: 0.4790 - val_loss: 1.3841 - val_accuracy: 0.4976
Epoch 10/20
657/657 [============ ] - 15s 22ms/step - loss: 1.3210 -
accuracy: 0.4891 - val_loss: 1.3451 - val_accuracy: 0.5237
Epoch 11/20
657/657 [=========== ] - 15s 22ms/step - loss: 1.3066 -
accuracy: 0.4932 - val_loss: 1.3442 - val_accuracy: 0.5169
Epoch 12/20
accuracy: 0.5069 - val_loss: 1.3227 - val_accuracy: 0.5371
Epoch 13/20
657/657 [=========== ] - 15s 22ms/step - loss: 1.2647 -
accuracy: 0.5121 - val_loss: 1.2448 - val_accuracy: 0.5455
Epoch 14/20
```

```
657/657 [============ ] - 15s 22ms/step - loss: 1.2394 -
    accuracy: 0.5236 - val_loss: 1.2720 - val_accuracy: 0.5472
    Epoch 15/20
    657/657 [=========== ] - 15s 22ms/step - loss: 1.2318 -
    accuracy: 0.5278 - val_loss: 1.2650 - val_accuracy: 0.5474
    Epoch 16/20
    657/657 [============] - 15s 22ms/step - loss: 1.2119 -
    accuracy: 0.5324 - val_loss: 1.2563 - val_accuracy: 0.5554
    Epoch 17/20
    657/657 [============= ] - 15s 22ms/step - loss: 1.1951 -
    accuracy: 0.5449 - val_loss: 1.2307 - val_accuracy: 0.5677
    Epoch 18/20
    657/657 [============ ] - 15s 22ms/step - loss: 1.1799 -
    accuracy: 0.5474 - val_loss: 1.2406 - val_accuracy: 0.5550
    657/657 [============ ] - 15s 22ms/step - loss: 1.1701 -
    accuracy: 0.5519 - val_loss: 1.2287 - val_accuracy: 0.5707
    Epoch 20/20
    657/657 [============ ] - 15s 22ms/step - loss: 1.1550 -
    accuracy: 0.5552 - val_loss: 1.2032 - val_accuracy: 0.5733
[59]: plt.plot(history2.history['loss'])
     plt.plot(history2.history['val_loss'])
     plt.title('model loss')
     plt.ylabel('loss')
     plt.xlabel('epoch')
     plt.legend(['train', 'validation'], loc='upper left')
     plt.show()
```



```
[60]: plt.plot(history2.history['accuracy'])
   plt.plot(history2.history['val_accuracy'])
   plt.title('model acc')
   plt.ylabel('loss')
   plt.xlabel('epoch')
   plt.legend(['train', 'validation'], loc='upper left')
   plt.show()
```





```
[63]: model.save('saved_model/ann.h5')
model2.save('saved_model/cnn.h5')
```