# FacialEmotionRecognition

June 7, 2022

```
[1]: # Basics
     import numpy as np
     import pandas as pd
     import seaborn as sns
     import matplotlib.pyplot as plt
     from pandas.core.common import random state
     # Sklearn
     from sklearn.model_selection import train_test_split
     from sklearn.model_selection import GridSearchCV
     from sklearn.decomposition import PCA
     from sklearn.neighbors import KNeighborsClassifier
     from sklearn.tree import DecisionTreeClassifier
     from sklearn.ensemble import RandomForestClassifier
     from sklearn.linear_model import LogisticRegression
     from sklearn.naive_bayes import GaussianNB
     from sklearn.svm import SVC
     from sklearn.cluster import KMeans
     from sklearn.pipeline import Pipeline
     from sklearn.metrics import confusion_matrix, ConfusionMatrixDisplay
     # Tensorflow
     import tensorflow as tf
     from tensorflow.keras.models import Sequential
     from tensorflow.keras.layers import Dense
     import tensorflow.keras.layers as layers
     from tensorflow.keras.utils import to_categorical
     # Graphing Style
     %matplotlib inline
```

```
[2]: from google.colab import drive drive.mount('/content/drive')
```

Drive already mounted at /content/drive; to attempt to forcibly remount, call drive.mount("/content/drive", force\_remount=True).

## 1 Data Cleaning and Exploratory Data Analysis

```
[3]: face = pd.read_csv("/content/drive/MyDrive/Colab Notebooks/face.csv")
[4]: face.shape
[4]: (28709, 2)
[5]: face["pixels"] = face.pixels.apply(lambda x: np.array(tuple(map(int, x.

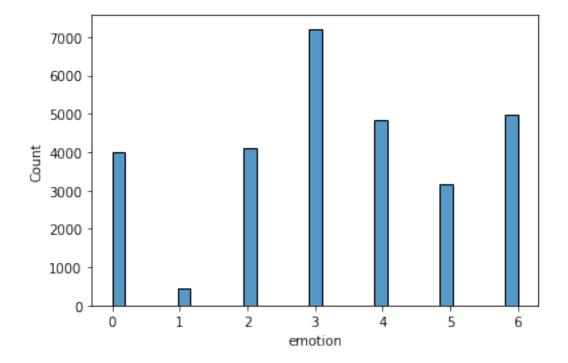
split()))))
[6]: face.head()
[6]:
        emotion
                                                             pixels
     0
              0 [70, 80, 82, 72, 58, 58, 60, 63, 54, 58, 60, 4...
     1
              0 [151, 150, 147, 155, 148, 133, 111, 140, 170, ...
     2
              2 [231, 212, 156, 164, 174, 138, 161, 173, 182, ...
              4 [24, 32, 36, 30, 32, 23, 19, 20, 30, 41, 21, 2...
     3
     4
              6 [4, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 3, 15, 23...
[7]: emo_di = {0: "Angry", 1: "Disgust", 2: "Fear", 3: "Happy", 4: "Sad", 5:
      ⇔"Surprise", 6: "Neutral"}
[8]: plt.figure(figsize = (20,20))
     start_index = 0
     for i in range(7):
         plt.subplot(1,7,i+1)
         plt.grid(False)
         plt.xticks([])
         plt.yticks([])
         plt.imshow(face[face.emotion == i].pixels.iloc[20].reshape(48,48),__
      ⇔cmap="gray")
         plt.xlabel("{} = {}".format(i, emo_di[i]))
```

- [9]: face.emotion.value\_counts()
- [9]: 3 7215
  - 6 4965
  - 4 4830

```
2 4097
0 3995
5 3171
1 436
Name: emotion, dtype: int64
```

[10]: sns.histplot(face.emotion)

[10]: <matplotlib.axes.\_subplots.AxesSubplot at 0x7f43872e6ed0>



```
[11]: train, test = train_test_split(face, train_size=0.6, random_state=1)
    val, test = train_test_split(test, test_size=0.5, random_state=1)

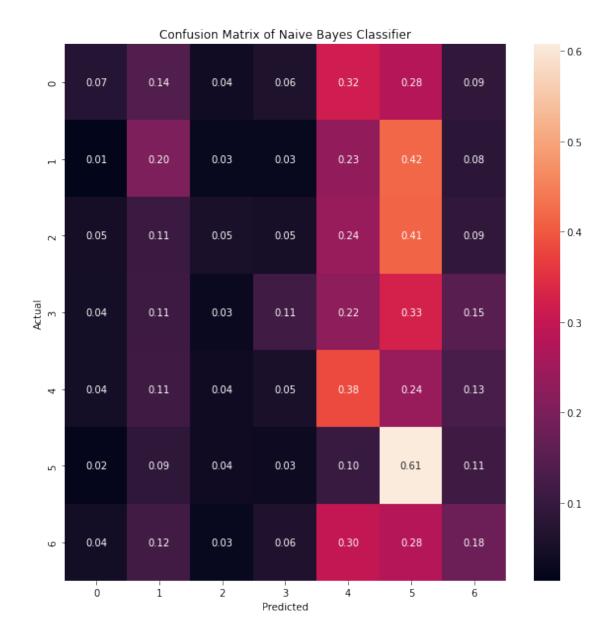
# Oversample and subsample
faces_balanced = None
for i in range(7):
    if faces_balanced is None:
        faces_balanced = train[train.emotion == i].sample(n=3000, replace=True,u=100)
    else:
        faces_balanced = pd.concat([faces_balanced, train[train.emotion == i].
        sample(n=3000, replace=True, random_state=100)], sort = False)
faces_balanced = faces_balanced.sample(frac=1, random_state=49)
```

```
train_x = np.concatenate(np.asarray(faces_balanced["pixels"])).reshape(-1, 48 *__
       48)
      val_x = np.concatenate(np.asarray(val["pixels"])).reshape(-1, 48 * 48)
      test_x = np.concatenate(np.asarray(test["pixels"])).reshape(-1, 48 * 48)
      train_y = faces_balanced.emotion
      val y = val.emotion
      test_y = test.emotion
      x_mean = np.mean(train_x)
      x_std = np.std(train_x) + 1e-10
      train_x = (train_x - x_mean) / x_std
      val_x = (val_x - x_mean) / x_std
      test_x = (test_x - x_mean) / x_std
[12]: train_y.value_counts()
[12]: 4
           3000
      5
           3000
      2
           3000
      0
           3000
      1
           3000
      3
           3000
           3000
      Name: emotion, dtype: int64
[13]: val_y.value_counts()
[13]: 3
           1420
      6
           1035
      4
            963
      0
            811
      2
            796
      5
            625
      1
             92
      Name: emotion, dtype: int64
[14]: test_y.value_counts()
[14]: 3
           1494
      6
            976
      4
            946
      2
            833
      0
            792
      5
            627
      1
             74
      Name: emotion, dtype: int64
```

## 2 Modeling

### 2.1 Naive Bayes

```
[15]: nb = GaussianNB()
     nb.fit(train_x, train_y)
[15]: GaussianNB()
[16]: nb.score(train_x, train_y)
[16]: 0.23157142857142857
[17]: nb.score(val_x, val_y)
[17]: 0.20358760013932428
[18]: nb.score(test_x, test_y)
[18]: 0.20968303726924417
[19]: cm = confusion_matrix(test_y, nb.predict(test_x))
      cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
      fig, ax = plt.subplots(figsize=(10,10))
      sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.
      ⇔classes_)
      plt.title('Confusion Matrix of Naive Bayes Classifier')
      plt.ylabel('Actual')
      plt.xlabel('Predicted')
      plt.show(block=False)
```



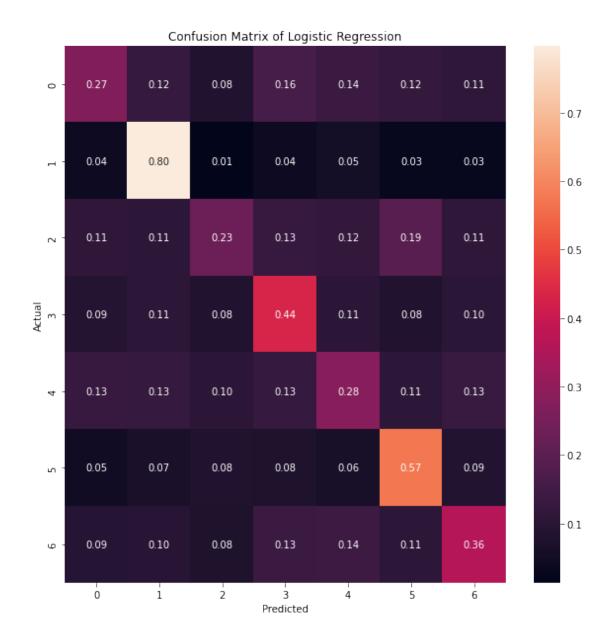
## 2.2 Logistic Regression

```
[20]: lr = LogisticRegression(penalty='12', tol=0.1, solver='saga')
lr.fit(train_x, train_y)
```

[20]: LogisticRegression(solver='saga', tol=0.1)

[21]: lr.score(train\_x, train\_y)

[21]: 0.4888571428571429



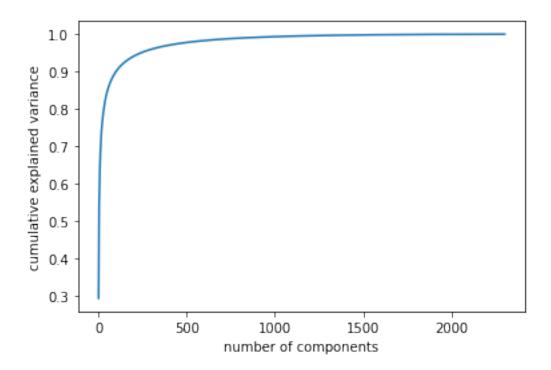
## 2.3 K-Means + Logistic Regression

```
[26]: Pipeline(steps=[('kmeans', KMeans(n_clusters=35)),
                      ('logisreg',
                       LogisticRegression(penalty='none', solver='saga', tol=0.1))])
[27]: pl.score(train_x, train_y)
[27]: 0.2277142857142857
[28]: pl.score(val_x, val_y)
[28]: 0.196969696969696
[29]: pl.score(test_x, test_y)
[29]: 0.1966213862765587
[30]: cm = confusion_matrix(test_y, pl.predict(test_x))
      cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
      fig, ax = plt.subplots(figsize=(10,10))
      sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.
       ⇔classes_)
      plt.title('Confusion Matrix of K-Means + Logistic Regression')
      plt.ylabel('Actual')
      plt.xlabel('Predicted')
      plt.show(block=False)
```



## 2.4 KNN with PCA + CV

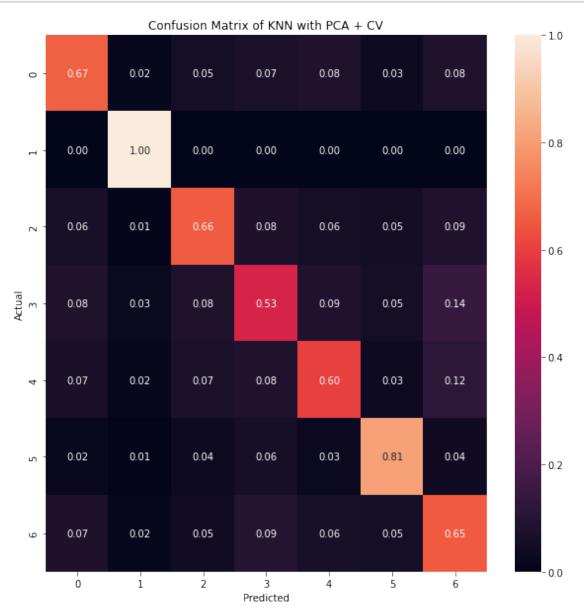
```
[31]: pca = PCA().fit(train_x)
   plt.plot(np.cumsum(pca.explained_variance_ratio_))
   plt.xlabel('number of components')
   plt.ylabel('cumulative explained variance');
```



```
[32]: pl1 = Pipeline([
          ("PCA", PCA(n_components=100)),
          ("knn", KNeighborsClassifier())
      ])
      params = {"knn_n_neighbors": [1, 3, 5, 7, 12, 15, 20]}
      grids = GridSearchCV(pl1, params, cv=5)
      grids.fit(train_x, train_y)
[32]: GridSearchCV(cv=5,
                   estimator=Pipeline(steps=[('PCA', PCA(n_components=100)),
                                             ('knn', KNeighborsClassifier())]),
                   param_grid={'knn__n_neighbors': [1, 3, 5, 7, 12, 15, 20]})
[33]: grids.best_params_
[33]: {'knn_n_neighbors': 1}
[34]: grids.best_score_
[34]: 0.6629047619047619
[35]: grids.best_estimator_.score(val_x, val_y)
[35]: 0.6461163357715082
```

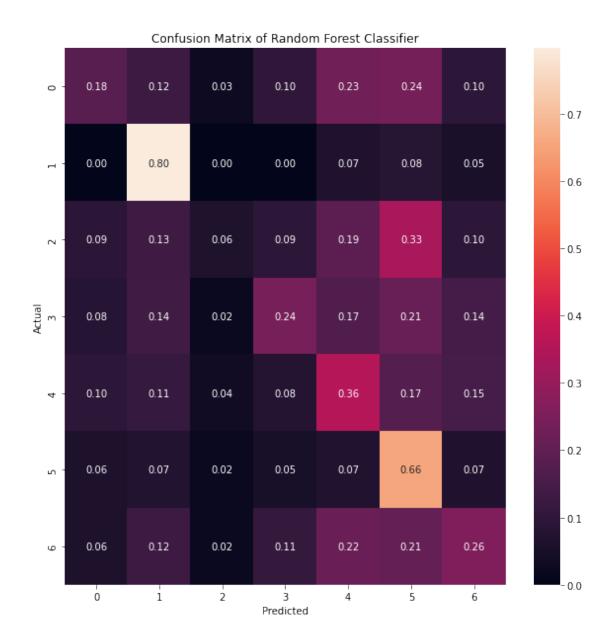
```
[36]: grids.best_estimator_.score(test_x, test_y)
```

#### [36]: 0.6379310344827587



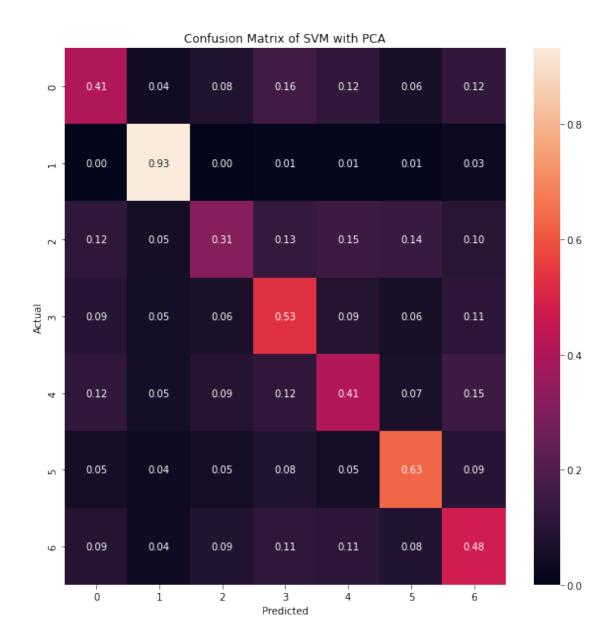
#### 2.5 Random Forest

```
[38]: rfc = RandomForestClassifier(max_depth=5)
     rfc.fit(train_x, train_y)
[38]: RandomForestClassifier(max_depth=5)
[39]: rfc.score(train_x, train_y)
[39]: 0.4005714285714286
[40]: rfc.score(val_x, val_y)
[40]: 0.2871821664925113
[41]: rfc.score(test_x, test_y)
[41]: 0.28126088470916055
[42]: cm = confusion_matrix(test_y, rfc.predict(test_x))
      cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
      fig, ax = plt.subplots(figsize=(10,10))
      sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.
       ⇔classes_)
      plt.title('Confusion Matrix of Random Forest Classifier')
      plt.ylabel('Actual')
      plt.xlabel('Predicted')
      plt.show(block=False)
```



## 2.6 Support Vector Machine with PCA

```
[44]: 0.6312857142857143
[45]: pl2.score(val_x, val_y)
[45]: 0.45942180424939044
[46]: pl2.score(test_x, test_y)
[46]: 0.46900034831069315
[47]: cm = confusion_matrix(test_y, pl2.predict(test_x))
    cmn = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
    fig, ax = plt.subplots(figsize=(10,10))
    sns.heatmap(cmn, annot=True, fmt='.2f', xticklabels=nb.classes_, yticklabels=nb.classes_)
    plt.title('Confusion Matrix of SVM with PCA')
    plt.ylabel('Actual')
    plt.xlabel('Predicted')
    plt.show(block=False)
```



### 2.7 Artificial Neural Network

```
[99]: train_y_cat = to_categorical(train_y)
    val_y_cat = to_categorical(val_y)
    test_y_cat = to_categorical(test_y)

[100]: model = Sequential()
    model.add(Dense(256, activation = 'relu', input_shape = (48 * 48,)))
    model.add(Dense(256, activation = 'relu'))
    model.add(Dense(256, activation = 'relu')),
    model.add(Dense(256, activation = 'relu')),
```

Model: "sequential\_9"

Layer (type)	Output Shape	Param #
dense_22 (Dense)	(None, 256)	590080
dense_23 (Dense)	(None, 256)	65792
dense_24 (Dense)	(None, 256)	65792
dense_25 (Dense)	(None, 256)	65792
dense_26 (Dense)	(None, 7)	1799

\_\_\_\_\_\_

Total params: 789,255 Trainable params: 789,255 Non-trainable params: 0

\_\_\_\_\_\_

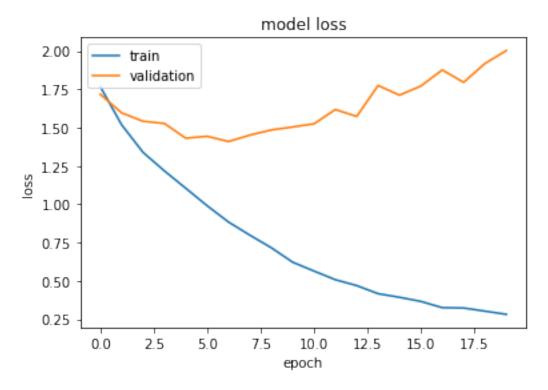
```
[101]: history = model.fit(train_x, train_y_cat, epochs = 20, validation_data = (val_x, val_y_cat))
```

```
Epoch 1/20
accuracy: 0.3019 - val_loss: 1.7173 - val_accuracy: 0.3022
Epoch 2/20
657/657 [============ ] - 3s 5ms/step - loss: 1.5181 -
accuracy: 0.4083 - val_loss: 1.5960 - val_accuracy: 0.3661
Epoch 3/20
accuracy: 0.4810 - val_loss: 1.5412 - val_accuracy: 0.3931
Epoch 4/20
accuracy: 0.5320 - val_loss: 1.5267 - val_accuracy: 0.4072
Epoch 5/20
657/657 [============] - 3s 4ms/step - loss: 1.1043 -
accuracy: 0.5774 - val_loss: 1.4307 - val_accuracy: 0.4702
Epoch 6/20
accuracy: 0.6308 - val_loss: 1.4433 - val_accuracy: 0.4805
```

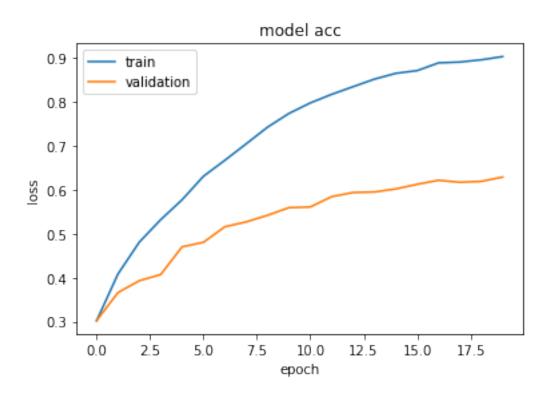
```
accuracy: 0.6672 - val_loss: 1.4089 - val_accuracy: 0.5158
   accuracy: 0.7047 - val_loss: 1.4509 - val_accuracy: 0.5270
   accuracy: 0.7427 - val_loss: 1.4845 - val_accuracy: 0.5420
   Epoch 10/20
   accuracy: 0.7739 - val_loss: 1.5038 - val_accuracy: 0.5594
   Epoch 11/20
   accuracy: 0.7979 - val_loss: 1.5244 - val_accuracy: 0.5610
   Epoch 12/20
   accuracy: 0.8174 - val_loss: 1.6178 - val_accuracy: 0.5845
   Epoch 13/20
   accuracy: 0.8347 - val_loss: 1.5725 - val_accuracy: 0.5939
   Epoch 14/20
   accuracy: 0.8520 - val_loss: 1.7744 - val_accuracy: 0.5951
   Epoch 15/20
   657/657 [============ ] - 3s 5ms/step - loss: 0.3934 -
   accuracy: 0.8652 - val_loss: 1.7112 - val_accuracy: 0.6021
   Epoch 16/20
   accuracy: 0.8714 - val_loss: 1.7708 - val_accuracy: 0.6125
   Epoch 17/20
   accuracy: 0.8890 - val_loss: 1.8759 - val_accuracy: 0.6217
   Epoch 18/20
   accuracy: 0.8909 - val_loss: 1.7949 - val_accuracy: 0.6172
   Epoch 19/20
   accuracy: 0.8960 - val_loss: 1.9177 - val_accuracy: 0.6193
   Epoch 20/20
   657/657 [============ ] - 3s 5ms/step - loss: 0.2823 -
   accuracy: 0.9033 - val_loss: 2.0023 - val_accuracy: 0.6290
[102]: plt.plot(history.history['loss'])
   plt.plot(history.history['val_loss'])
   plt.title('model loss')
   plt.ylabel('loss')
```

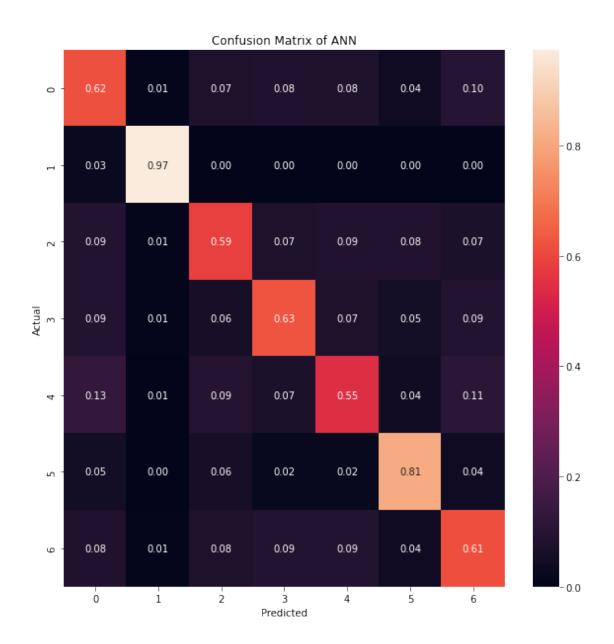
Epoch 7/20

```
plt.xlabel('epoch')
plt.legend(['train', 'validation'], loc='upper left')
plt.show()
```



```
[103]: plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model acc')
    plt.ylabel('loss')
    plt.xlabel('epoch')
    plt.legend(['train', 'validation'], loc='upper left')
    plt.show()
```





### 2.8 Convolutional Neural Network

```
[86]: train_x_cnn = train_x.reshape(-1, 48, 48, 1)
val_x_cnn = val_x.reshape(-1, 48, 48, 1)
test_x_cnn = test_x.reshape(-1, 48, 48, 1)
```

```
[2]: model3 = Sequential([
    layers.Conv2D(32, (3, 3), activation='relu', input_shape=(48, 48, 1)),
    layers.Conv2D(16, 3, padding='same', activation='relu'),
    layers.MaxPooling2D(),
    layers.Conv2D(32, 3, padding='same', activation='relu'),
```

```
layers.MaxPooling2D(),
layers.Conv2D(64, 3, padding='same', activation='relu'),
layers.MaxPooling2D(),
layers.Flatten(),
layers.Dense(128, activation='relu'),
layers.Dense(7)
])
```

## [4]: model3.summary()

### Model: "sequential"

_		
Layer (type)	- · · I	Param #
conv2d (Conv2D)		
conv2d_1 (Conv2D)	(None, 46, 46, 16)	4624
<pre>max_pooling2d (MaxPooling2D )</pre>	(None, 23, 23, 16)	0
conv2d_2 (Conv2D)	(None, 23, 23, 32)	4640
<pre>max_pooling2d_1 (MaxPooling 2D)</pre>	(None, 11, 11, 32)	0
conv2d_3 (Conv2D)	(None, 11, 11, 64)	18496
<pre>max_pooling2d_2 (MaxPooling 2D)</pre>	(None, 5, 5, 64)	0
flatten (Flatten)	(None, 1600)	0
dense (Dense)	(None, 128)	204928
dense_1 (Dense)	(None, 7)	903
Total params: 233,911 Trainable params: 233,911 Non-trainable params: 0		

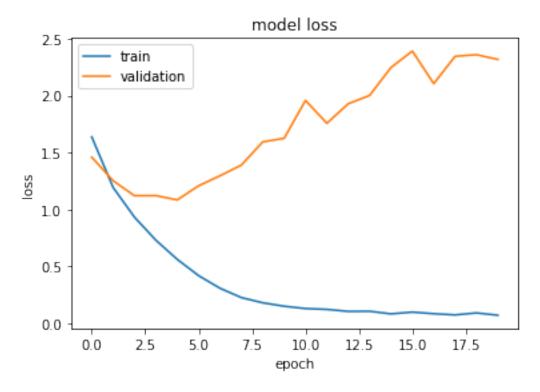
Non Utariable parame.

```
[95]: history3 = model3.fit(train_x_cnn, train_y, epochs = 20, validation_data = ___
     ⇔(val_x_cnn, val_y))
    Epoch 1/20
    657/657 [=========== ] - 16s 24ms/step - loss: 1.6371 -
    accuracy: 0.3590 - val_loss: 1.4592 - val_accuracy: 0.4352
    657/657 [===========] - 16s 24ms/step - loss: 1.1933 -
    accuracy: 0.5517 - val_loss: 1.2526 - val_accuracy: 0.5219
    657/657 [============ ] - 16s 24ms/step - loss: 0.9327 -
    accuracy: 0.6554 - val_loss: 1.1211 - val_accuracy: 0.5920
    Epoch 4/20
    657/657 [=========== ] - 16s 24ms/step - loss: 0.7309 -
    accuracy: 0.7345 - val_loss: 1.1220 - val_accuracy: 0.6172
    Epoch 5/20
    657/657 [=========== ] - 16s 24ms/step - loss: 0.5627 -
    accuracy: 0.8034 - val_loss: 1.0846 - val_accuracy: 0.6641
    Epoch 6/20
    accuracy: 0.8506 - val_loss: 1.2082 - val_accuracy: 0.6628
    Epoch 7/20
    657/657 [=========== ] - 16s 24ms/step - loss: 0.3095 -
    accuracy: 0.8928 - val_loss: 1.2962 - val_accuracy: 0.6886
    Epoch 8/20
    657/657 [=========== ] - 16s 24ms/step - loss: 0.2275 -
    accuracy: 0.9235 - val_loss: 1.3900 - val_accuracy: 0.7055
    Epoch 9/20
    657/657 [========== ] - 16s 24ms/step - loss: 0.1819 -
    accuracy: 0.9378 - val_loss: 1.5935 - val_accuracy: 0.6998
    Epoch 10/20
    657/657 [============ ] - 16s 24ms/step - loss: 0.1518 -
    accuracy: 0.9492 - val_loss: 1.6249 - val_accuracy: 0.7062
    Epoch 11/20
    657/657 [============] - 16s 24ms/step - loss: 0.1315 -
    accuracy: 0.9568 - val_loss: 1.9570 - val_accuracy: 0.6846
    Epoch 12/20
    657/657 [=========== ] - 16s 24ms/step - loss: 0.1240 -
    accuracy: 0.9598 - val_loss: 1.7568 - val_accuracy: 0.7156
    Epoch 13/20
    657/657 [============ ] - 16s 24ms/step - loss: 0.1064 -
    accuracy: 0.9652 - val_loss: 1.9280 - val_accuracy: 0.7160
    Epoch 14/20
    657/657 [============ ] - 16s 24ms/step - loss: 0.1075 -
    accuracy: 0.9651 - val loss: 2.0010 - val accuracy: 0.7147
```

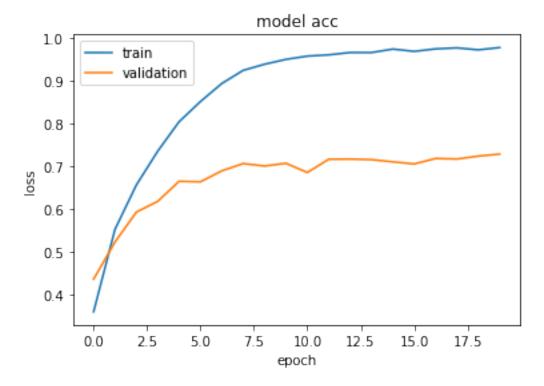
657/657 [=========== ] - 16s 24ms/step - loss: 0.0847 -

Epoch 15/20

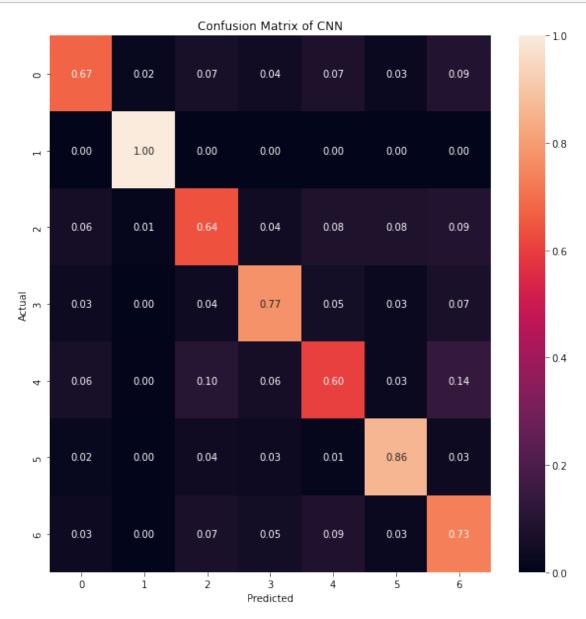
```
accuracy: 0.9733 - val_loss: 2.2462 - val_accuracy: 0.7095
     Epoch 16/20
     657/657 [=========== ] - 16s 24ms/step - loss: 0.1002 -
     accuracy: 0.9679 - val_loss: 2.3902 - val_accuracy: 0.7046
     Epoch 17/20
     657/657 [========== ] - 16s 24ms/step - loss: 0.0861 -
     accuracy: 0.9738 - val_loss: 2.1045 - val_accuracy: 0.7173
     Epoch 18/20
     657/657 [=========== ] - 16s 24ms/step - loss: 0.0756 -
     accuracy: 0.9760 - val_loss: 2.3444 - val_accuracy: 0.7161
     Epoch 19/20
     657/657 [============] - 16s 24ms/step - loss: 0.0930 -
     accuracy: 0.9715 - val_loss: 2.3581 - val_accuracy: 0.7229
     Epoch 20/20
     657/657 [=========== ] - 16s 24ms/step - loss: 0.0727 -
     accuracy: 0.9768 - val_loss: 2.3171 - val_accuracy: 0.7276
[106]: plt.plot(history3.history['loss'])
      plt.plot(history3.history['val_loss'])
      plt.title('model loss')
      plt.ylabel('loss')
      plt.xlabel('epoch')
      plt.legend(['train', 'validation'], loc='upper left')
      plt.show()
```



```
[107]: plt.plot(history3.history['accuracy'])
   plt.plot(history3.history['val_accuracy'])
   plt.title('model acc')
   plt.ylabel('loss')
   plt.xlabel('epoch')
   plt.legend(['train', 'validation'], loc='upper left')
   plt.show()
```



plt.xlabel('Predicted')
plt.show(block=False)



```
[108]: model.save('saved_model/ann.h5')
model3.save('saved_model/cnn.h5')
```