# microKanren: A Lucid Little Logic Language with a Simple Complete Search

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Size matters not. Look at me.
Judge me by my size, do you?
Yoda. Star Wars V: The Empire Strikes Back

# Abstract

We present an instructional exposition of microKanren, a straightforward, call-by-value embedding of a small logic programming language with a simple, program- and query-specific complete search. We construct the entire language in 54 lines of Racket—half of which are needed to implement unification. We then layer over it, in 43 lines, a reconstruction of an existing logic programming language, miniKanren, and attest to our implementation's pedagogical value. Evidence suggests our combination of expressiveness, concision, and elegance is compelling: since microKanren's release two years ago, it has spawned over 50 embeddings in over 25 host languages, including Go, Haskell, Prolog and Smalltalk.

Categories and Subject Descriptors D.3.2 [Language Classifications]: Applicative (functional) languages, Constraint and logic languages

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# 1. Introduction

Logic programming has proven to be a highly declarative approach to problem solving applicable to a wide variety of tasks [26]. Consider the below stylized Prolog definition of the append relation:

```
append(L,S,0) :- [] = L, S = 0
; [A|D] = L, [A|R] = 0, append(D,S,R).
```

We can use this one definition to solve a variety of problems.

```
(1) ?- append([t,u,v],[w,x],0).
    Q = [t,u,v,w,x] ?
    no

(2) ?- append([t,u,v],Q,[t,u,v,w,x]).
    Q = [w,x] ?
    yes

(3) ?- Q = [L,S], append(L,S,[t,u,v,w,x]).
    L = [],
    Q = [[],[t,u,v,w,x]],
    S = [t,u,v,w,x] ?
    ...
    L = [t,u,v,w,x],
    Q = [[t,u,v,w,x],
    ]
    S = [] ?
```

In (1), we ask "What are the possible results of appending  $[t\ u\ v]$  to  $[w\ x]$ ?" In (2), we ask for a Q result which we can prepend to  $[t\ u\ v]$  to construct the full list  $[t\ u\ v\ w\ x]$ . In (3) we ask for a Q composed of terms L and S such that concatenating L and S yields  $[t\ u\ v\ w\ x]$ ; we can find all such possibilities. append also has many more uses.

This is *logic programming*: the definition of append is illustrative of the sorts of things we can write in a logic programming language. Prolog is the traditional choice but we can easily transliterate this definition to miniKanren [14], a logic programming DSL shallowly embedded in Racket [11].

(The syntax will be explained. The point is we can ask the same sorts of questions and get the same sorts of answers.) In miniKanren, queries are always executed via the run operator. Answers are always printed with respect to the query variable, here q, and returned in a list.

This is a small example of the versatility of logic programs. The append relation is the factorial of logic programming: it gives a sense of the possibilities this style of programming opens up. miniKanren researchers have used logic programming to create a Scheme interpreter that doubles as a quine generator, a theorem prover that doubles as a proof assistant, and a type checker that doubles as a type inhabiter, to name just a few examples [6, 7, 29]. In our experience, when people are first exposed to logic programming, things like append or the preceding examples look a little like magic.

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```
#|
Goal :: State → Stream
State :: Subst × Nat
Stream :: Mature | Immature
Mature :: () | State × Stream
Immature :: Unit → Stream
|#
```

Figure 1. microKanren Datatypes

It is difficult for the uninitiated to understand how logic programming works, or to ferret out the essential details from a language's implementation. An abundance of powerful, useful features combined with years—or even decades—worth of optimizations and improvements can obscure the more fundamental aspects of an implementation's inner workings. Even the small language implementations are rarely designed to be easily understood.

Previous miniKanren implementations, for instance, enmesh the macros that provide miniKanren's syntax with the execution of the logic program itself. This demands the reader have a detailed understanding of macros, and such tight coupling makes it difficult for aspiring implementers in languages without macro support. It is better to separate these concerns and allow functional programmers in a call-by-value language to implement the core logic features without the syntactic sugar.

Thus we present microKanren, a dead simple embedding intended to clarify and explain the behavior of logic programming languages. We then layer over it, in 43 lines, a pellucid reconstruction of miniKanren. microKanren has been ported more than 50 times to more than 25 host languages, giving access to logic programming when it is otherwise unavailable. microKanren is also side-effect free, fun to implement, and only 54 lines.

So, shall we?

### 2. Terminology

We begin by explaining a few fundamental terms, summarized in Figure 1 for later reference. A warning to the reader: we deliberately restrict ourselves to a handful of primitives to clarify the implementation. As a result the primitive constructor cons will have multiple uses; we highlight them when they appear. Also, characters like -, \$, and / are used as or in valid identifiers.

**Program.** A microKanren program consists of zero or more *relations* (which will look similar to append) and an initial *goal* (which will look similar to the body of the run statements in the first set of examples). Invoking the first goal may necessitate a call to some relation, which may itself necessitate a call to another relation, and so on.

**Goals.** Goals are implemented as functions that take a state and return a stream of states. They consist of primitive constraints like (==  $\times$  y), relation invocations like (append 'x q '(x b c)), and their closure under operators that perform conjunction, disjunction, and variable introduction.

**State.** We execute a program p by attempting an initial goal in the context of zero or more relations. The program proceeds by executing a goal in a state, which holds all the information accumulated in the execution of p. Most importantly, the state contains a substitution, the data structure that encodes the information necessary to satisfy the primi-

tive constraints we've accumulated. The state also contains a *counter* for assigning unique identifiers to fresh variables. Every program's execution begins with an *initial state* devoid of any constraint information and a new variable count.

**Streams.** Executing a goal in a state s/c (a substitution and a counter) yields a stream. A stream may be one of three shapes:

- empty: The stream may be empty, indicating that the goal cannot be achieved in s/c.
- answer-bearing: A stream may contain one or more resultant states. In this case, each element of the stream is a different way to achieve that goal from s/c. Here, we mean "different" in terms of control flow (i.e., disjunctions); the same state may occur many times in a single stream. Our streams are not necessarily infinite; there may be finitely many ways to achieve a goal in a given state. We call these first two shapes mature.
- *immature*: An immature stream is a delayed computation that will return a stream when forced.

The final step of running a program is to continually force the resultant stream until it yields a list of answers. microKanren programs however, are not guaranteed to terminate. The stream we get from invoking the initial goal may be *unproductive*: repeated applications of force will never produce an answer [33]. This is the only potential cause of non-termination; all of the other core operations in our implementation are total.

### 3. microKanren

We now implement microKanren's six basic operators, namely ==, call/fresh, disj, conj, define-relation, and call/initial-state.

### 3.1 ==

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The == constraint demands syntactic equality between two terms u and v. For microKanren, terms consist of variables, symbols, booleans, the empty list, and cons pairs of the preceding (Figure 2). To keep our presentation concise, we will sometimes use operators besides cons to construct terms. In principle, all of our terms could be built with cons though. Given u and v, we return a function expecting s/c. We then extract the substitution s, the first element of the pair s/c.

```
#| Term × Term → Goal |#
(define ((== u v) s/c)
        (let ((s (car s/c)))
        ...))
```

A substitution is a data structure that carries the meanings of *logic* variables. These logic variables are used differently from the standard lexical variables of functional programming. Unlike an environment, a substitution may associate variables with almost *any* other microKanren term—including other unassociated variables. A variable x may

```
x \in Var s \in Symbol b \in Bool Term ::= x \mid s \mid b \mid () \mid (Term . Term)
```

Figure 2. The microKanren term language

be associated with a term containing an unassociated variable y, and thus giving an association to y may impact the meaning of x. Adding an association of a term and a previously unassociated variable can impact the values of an unbounded quantity of other variables.

We will use "variables" as a shorthand for "logic variables." And when we mean lexical variables, we'll say so explicitly. We represent our variables as natural numbers. To check if a term is a variable, we ask if it is a number.

```
#| Nat → Var |#
(define (var n) n)
#| Term → Bool |#
(define (var? t) (number? t))
```

Having extracted the substitution, we next look up each of the two terms in the substitution via find.

```
#| Term × Term → Goal |#
(define ((== u v) s/c)
  (let ((s (car s/c)))
      (let ((s (unify (find u s) (find v s) s)))
      ...)))
```

The find procedure "dereferences" a variable in a substitution: it finds the variable's associated term. If find's argument is a variable with an association in the substitution, we look up the variable and return the associated term. If find receives a non-variable term, or a variable without an association, it returns its input.

```
#| Term × Subst → Term |#
(define (find u s)
  (let ((pr (and (var? u) (assv u s))))
     (if pr (find (cdr pr) s) u)))
```

Our substitutions are represented as association lists, and we rely on the primitive function assy to check if u is the first element of a pair in s. If so, it returns the pair, if not, #f. Unlike many other languages, Racket's if accepts any value as its first argument, and any value except #f is considered true enough (or "truthy"). If assy returns a pair, we dereference the second element of that pair, u's association. Otherwise, we return u. The remainder of =='s definition relies on unify.

# 3.1.1 unify

*Unification* is the process of checking if two terms could be considered to be equivalent [30]. The unify procedure implements unification, taking as arguments the two dereferenced terms and a substitution.

```
#| Term × Term × Subst → Maybe Subst |#
(define (unify u v s)
...)
```

Unification is carried out relative to a substitution: two terms unify according to a substitution if they are syntactically equal relative to it. The substitution contains only variable associations mandated by unification and so implicitly it represents the least restrictive way to satisfy all of the constraints. Having already dereferenced the terms u and v, we proceed by cases on their values.

```
#| Term × Term × Subst → Maybe Subst |#
(define (unify u v s)
  (cond
    ((eqv? u v) s)
    ((var? u) (ext-s u v s))
    ((var? v) (unify v u s))
    ...))
```

If the two terms are already the same relative to the substitution, then unify returns the substitution that was passed in. If the result of looking up the first of the two terms is a variable, then that variable is *fresh*: there's no non-variable term to which it's ultimately associated. In the opposite case we simply recur. The result of unify then is the result of <code>ext-s</code>, which extends the substitution with a new association of that variable with the other term, v.

Associating u with a term that properly contains u would mean u represents an infinite structure. We wish to limit ourselves to finite structures, and so before extending s, we first check that u doesn't occur within the term with which we plan to associate it. If it does, we return #f, indicating that the terms fail to unify in the substitution.

```
#| Var × Term × Subst → Maybe Subst |#
(define (ext-s x v s)
  (cond
    ((occurs? x v s) #f)
    (else (cons `(,x . ,v) s))))
```

By extending the current substitution with an additional pair and ignoring occurrences of this variable in previously-bound terms, we create a *triangular* substitution [1]. This decision necessitated the recursion in find. Because association lists are persistent data structures, each branch of the computation can simultaneously maintain its own view of the substitution and the garbage collector will free unneeded associations when we fail. There are other, more efficient persistent structures we could have chosen [10], but we use an association list for its simplicity and ease of implementation.

We use quasiquote (`) and unquote (,) to construct a new substitution, extending the old substitution s with a new pair. The quasiquote and unquote syntax is optional; cons would be sufficient.

Terms can fail to unify in other ways. In fact, only one case remains in which they do unify: if the terms are not already the same, and neither is a variable, then they must both be pairs whose cars unify in the current substitution and whose cdrs unify in the resulting substitution. Because terms can contain variables, we dereference both the cars and the cdrs before the recursive calls to unify. The and operator is also "truthy"; the and expression will return either #f or a list of associations, i.e., a substitution.

```
#| Term × Term × Subst → Maybe Subst |#
(define (unify u v s)
  (cond
        ((eqv? u v) s)
        ((var? u) (ext-s u v s))
        ((var? v) (unify v u s))
        ((and (pair? u) (pair? v))
        (let ((s (unify (find (car u) s) (find (car v) s) s)))
        (and s (unify (find (cdr u) s) (find (cdr v) s) s))))
        (else #f)))
```

With unify defined, we can complete the definition of ==. The result of the call to unify may be either a substitution or #f. If unify returns a substitution, we create a state using the current counter, and make a stream with only that state.

We use list to construct singleton streams, and quasiquote and unquote are used to construct states. If unify returns #f, we return (), the empty stream.

```
#| Term × Term → Goal |#
(define ((== u v) s/c)
  (let ((s (car s/c)))
      (let ((s (unify (find u s) (find v s) s)))
            (if s (list `(,s . ,(cdr s/c))) `()))))
```

The return value of == is a goal, and thus == is a goal constructor. We will see that call/fresh, conj, and disj are also goal constructors. The last microKanren operator, call/initial-state, will not be a goal constructor. Instead, it executes a goal and yields a list of states. For the time being though, we can explicitly invoke our goals in the initial state.

With == as our only goal constructor, the result of invoking any goal with the initial state is a mature stream. In fact, it's a mature stream of length zero or one. Either the stream is empty, indicating that the two terms do not unify, or the stream is non-empty, indicating that they do.

```
> ((== '#t 'z) '(() . 0))
'()
> ((== '#t '#t) '(() . 0))
'((() . 0))
> ((== '(#t . #f) '(#t . #f)) '(() . 0))
'((() . 0))
```

For the moment, no matter what terms we unify, the resulting substitution will remain empty. In order to grow the substitution, we need to have some variables.

### 3.2 call/fresh

The call/fresh operator is a goal constructor that allows us to introduce variables. To this end its argument is a lambda expression expecting a variable and returning a goal. We use this lambda expression to bind our logic variable to a lexical variable scoped over the resulting goal. In microKanren, call/fresh's argument should always be a  $\lambda$  expression.

```
#| (Var → Goal) → Goal |#
(define ((call/fresh f) s/c)
...)
```

The counter in the state indicates the next available variable. A new variable is created by invoking var. (f (var c)) evaluates to a goal.

The resultant goal is then invoked in a newly-created state with the previous substitution and an incremented counter. By incrementing the counter, we guarantee that each new logic variable is distinct from the previous ones and has no prior binding in the substitution.

Since we can now build terms that contain logic variables, a successful unification can now extend the substitution with variable bindings. Even with just the operators call/fresh, and ==, we can construct goals that when invoked produce

quite complicated answers. The number of parentheses start to stack up, and it'll only get worse from here.

```
> ((call/fresh
	(\lambda (x)
	(== x 'a)))
	'(() . 0))
'((((0 . a)) . 1))
```

The stream ((((0 . a)) . 1)) is read as follows: the stream contains exactly one state, (((0 . a)) . 1), which is made up of a substitution ((0 . a)) and a counter 1. This substitution contains exactly one association: the variable 0 with the term a.

### 3.3 conj and disj

With only the operators above we can't write programs with more than one equality constraint. The binary operators disj and conj act as goal combinators, and they allow us to write composite goals representing the disjunction or conjunction of their arguments.

```
#| Goal \times Goal \rightarrow Goal |# (define ((disj g1 g2) s/c) ($append (g1 s/c) (g2 s/c))) 
#| Goal \times Goal \rightarrow Goal |# (define ((conj g1 g2) s/c) ($append-map g2 (g1 s/c)))
```

disj and conj are defined in terms of two other functions, sappend and sappend-map. These will be defined in Section 3.4. With the examples below, though, demonstrate the use of conj and disj in combination with our other goal constructors.

```
> ((disj
      (call/fresh
        (\(\lambda\) (\(\lambda\))
          (== 'z x)))
      (call/fresh
        (\lambda (x)
          (== '(s z) x))))
    '(() . 0))
'((((0 . z)) . 1) (((0 . (s z))) . 1))
> ((call/fresh
      (λ (x)
        (call/fresh
           (\lambda (v)
             (conj
               (== y x)
               (== 'z x)))))))
    '(() . 0))
'((((0 . z) (1 . 0)) . 2))
```

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With these operators, our streams will always be empty or answer-bearing; in fact, they will be fully computed. The result of a goal constructed from == must be a finite list, of length 0 or 1. If both of disj's arguments are goals that produce finite lists, then the result of invoking \$append on those lists is itself a finite list. If both of conj's arguments are goals that produce finite lists, then the result of invoking \$append-map with a goal and a finite list must itself be a finite list. If call/fresh's argument f is a function whose body is a goal, and that goal produces a finite list, then (call/fresh f) evaluates to a goal that produces a finite list.

Invoking a goal constructed from these operators in the initial state returns a list of all successful computations, computed in a depth-first, preorder traversal of the search tree generated by the program.

#### 3.4 Recursion and define-relation

It's important that we enrich our implementation to allow recursive relations. Much of the power of logic programming comes from writing relations (e.g. append) that refer to themselves or one another in their definitions. At present there are several obstacles. Suppose we'd used define to build a function that we hope would behave like a relation:

This function purports to be a relation that holds for a particular encoding of Peano numbers. What happens when we use the peano relation in the program below? We're hoping to generate some Peano numbers.

We invoke (call/fresh ...) with an initial state. Invoking that goal creates and lexically binds a new fresh variable over the body. The body, (peano n), evaluates to a goal that we pass the state (() . 1). This goal is the disjunction of two subgoals. To evaluate the disj, we evaluate its two subgoals, and then call appendon the result. The first evaluates to a list of one state, (((0 . z)) . 1).

However, invoking the second of the disj's subgoals is troublesome. We again lexically scope a new variable, and invoke the goal in body with a new state, this time (() . 2). The conj goal has two subgoals. To evaluate these, we run the first in the current state, which results in a stream. We then run the second of conj's goals over each element of the resulting stream and return the result. However, running this second goal begins the whole process over again. In a call-by-value host, this execution won't terminate. The standard define isn't up to the task.

We instead introduce the define-relation operator. This allows us to write recursive relations; with a sequence of uses of define-relation, we can create mutually recursive relations. Unlike the other operators, define-relation is a macro:

```
(define-syntax-rule (define-relation (defname . args) g)
  (define ((defname . args) s/c) (delay/name (g s/c))))
```

Racket's define-syntax-rule gives a simple way to construct non-recursive macros. The first argument is a pattern that specifies how the macro is to be invoked. The macro's first symbol, define-relation, is the name of the macro we're defining. Its second argument is a template, to be filled in with the appropriate pieces from the pattern. We implement define-relation in terms of define.

This macro expands a name, a list of arguments, and a goal expression to a define expression with the same name and number of arguments and whose body is a goal. It takes a state and returns a stream, but unlike the others we've seen before, this goal returns an immature stream. When given a state s/c, this goal returns a promise that evaluates the original goal g in the state s/c when forced, returning a stream. A promise that returns a stream is itself an immature stream.

define-relation does two useful things for us: it adds the relation name to the current namespace, and it ensures that the function implementing our relation is total. It turns out that we will *never* re-evaluate an immature stream. Unlike delay, delay/name doesn't *memoize* the result of forcing the promise, so it is like a "by name" variant of delay.

We implement define-relation as a macro of necessity. It is critical that the expression g not be evaluated: the objective is to delay the invocation of g in s/c. In a call-by-value language, a function would (prematurely) evaluate its argument and would not delay the computation.

Below, we revisit the peano example, but this time using define-relation. Non-termination of relation invocations is no longer an issue. Instead, the goal (peano n), when invoked, immediately returns an immature stream.

We can also write recursive relations whose goals quite clearly will never produce answers.

```
(define-relation (unproductive n)
  (unproductive n))
```

We can now introduce \$append and \$append-map. Their definitions are in fact those of the append and append-map functions over lists that are standard to many languages [32], but augmented with support for immature streams. If the recursive argument to \$append is an immature stream, we return an immature stream, which, when forced, continues appending the second to the first.

```
(define ($append $1 $2)
  (cond
      ((null? $1) $2)
      ((promise? $1) (delay/name ($append (force $1) $2)))
  (else (cons (car $1) ($append (cdr $1) $2)))))
```

Likewise, in \$append-map, when \$ is an immature stream, we return an immature stream that will continue the computation but still forcing the immature stream. Rather than delay/name, force, and promise?, we could have used ( $\lambda$  () ...), procedure invocation, and procedure? Using  $\lambda$  to construct a procedure delays evaluation, and procedure? would be our test for an immature stream. We choose Racket's special-purpose primitives for added clarity, but implementers targeting other languages can use anonymous procedures if these primitives aren't available. In languages without macros, the programmer could explicitly add a delay at the top of each relation; this has though the unfortunate consequence of exposing the implementation of streams.

```
#| Goal × Stream → Stream |#
(define ($append-map g $)
  (cond
      ((null? $) '())
      ((promise? $) (delay/name ($append-map g (force $))))
      (else ($append (g (car $)) ($append-map g (cdr $))))))
```

After these changes, it's possible to execute a program and produce neither the empty stream nor an answerbearing one. We might produce instead an immature stream.

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To resolve this we need to do something special when we invoke a goal in the initial state.

#### 3.5 call/initial-state

At the very least, we would like to know if our programs are satisfiable or not. That is, we would hope to get at least one answer if one exists, and the empty list if there are none. The call/initial-state operator ensures that if we return, we return with a list of answers.

```
#| Maybe Nat* × Goal→ Mature |#
(define (call/initial-state n g)
  (take n (pull (g '(() . 0)))))
```

call/initial-state takes an argument n which represents the number of answers to retrieve. n may just be a positive natural number, in which case we return at most that many answers. Otherwise, its argument must be #f, indicating it should return all answers. It also takes a goal as an argument. The function pull takes a stream as argument, and if pull terminates, it returns a mature stream. As streams may be unproductive, it is not always possible to produce a mature stream. As a result, pull, and consequently take and call/initial-state, are the only partial functions in the microKanren implementation.

```
#| Stream → Mature |#
(define (pull $) (if (promise? $) (pull (force $)) $))
```

take receives the mature stream that is the result of pull and, n, the argument dictating whether to return all, or just the first n elements of the stream.

With these additions, our microKanren is now capable of creating, combining, and searching for answers in infinite streams.

```
> (call/initial-state 2
    (call/fresh
        (λ (n)
        (peano n))))
'((((0 . z)) . 1) (((1 . z) (0 . (s 1))) . 2))
```

Thus, we have brought microKanren programs into the delay monad [8, 15]: rather than always returning a list implementation of non-deterministic choice, we either have no values, a value now (possibly more than one), or something we can search later for a value. pull, since it forces an actual value out of a promise, is akin to run in the delay monad. take bears a similar relationship to run in the list monad.

# 3.6 Interleaving, Completeness, and Search

Although microKanren is now capable of creating and managing infinite streams, it doesn't manage them as we'l as we'd like. Consider what happens in the following program execution:

```
> (call/initial-state 1
   (call/fresh
      (λ (n)
      (disj
            (unproductive n)
            (peano n)))))
```

We would like the program to return a stream containing the ns for which unproductive holds as well as the ns for which peans holds. We know from Section 3.4 that there are no ns for which unproductive holds, but infinitely many for peans. The stream should contain only ns for which peans holds. It's perhaps surprising, then, to learn that this program loops infinitely.

Streams that result from using unproductive will always be, as the name suggests, unproductive. When executing the program above, such an unproductive stream will be the recursive argument \$1 to \$append. Unproductive streams are necessarily immature. According to our definition of \$append, we always return the immature stream. When this immature stream is forced, it calls \$append on the forced stream value of (the delayed) \$1 and \$2. Since unproductive is unproductive, this process continues without ever returning any of the results from peano.

Such surprising results are not solely the consequence of goals with unproductive streams. Consider the definition of church.

The relation church holds for Church numerals. Using a newly created variable b, it constructs a list resembling a lambda-calculus expression whose body is the variable b. It uses peans to generate the body of the numeral. We can thus use it to generate Church numerals in a manner analogous to our use of peans. But consider the program below, wherein the resulting stream is productive, but only contains elements for which peans holds.

Under the default Racket printing convention, "." is suppressed if it is followed by a "(". We retain the "." for legibility—this behavior is controlled via the parameter current-print.

Our implementation of \$append in Section 3.4 induces a depth-first search. Depth-first search is the traditional search strategy of Prolog and can be implemented quite efficiently. As we've seen though, depth-first search is an incomplete search strategy: answers can be buried infinitely deep in a stream. The stream that results from a disj goal produces elements of the stream from the second goal only after exhausting the elements of the stream from the first. As a result, even if answers exist microKanren may fail to produce them. We will remedy this weakness in \$append, and provide microKanren with a simple complete search.

We want microKanren to guarantee a *complete* search: each and every answer should occur at a finite position in the stream. Fortunately, this doesn't require a significant change.

That's it. This one change to the promise? line of \$append is sufficient to make disj fair and to transform our search from an incomplete, depth-first search to a complete one.

When the recursive argument to \$append is an immature stream, we return an immature stream which, when forced, continues with the *other* stream first. It may be that \$2 is also partially computed. If so, then \$append will process \$2 until it reaches the immature stream at \$2's tail. This immature stream will be processed by \$append in the same way.

Our streams are either (potentially empty) lists of states in the case of a fully computed stream, or (potentially empty) improper lists of states with a promise in the final cdr, in the case of partially computed streams.

In the case that \$1 is fully computed, \$append appends \$2 to \$1. Fully computed streams are finite, so after producing the finite quantity of elements from \$1, we can then produce elements from \$2, if they exist.

In the second case, if \$1 is only partially computed, then it has some potentially-empty finite prefix. We append those elements to a promise that, when forced, will continue by \$appending \$2 to the result of forcing the promise that was previously the last cdr of \$1. The result of forcing this newly-created promise, if \$2 is immature, will be another promise, this time with a waiting call to \$append on the stream that results from forcing the original last cdr of \$1 and the stream that results from forcing \$2. If \$2 is productive, it will mature in a finite number of invocations (possibly 0, if it was mature to begin with). So if \$2 is productive, there can be only a finite number of finite prefixes of \$1 produced before \$2 matures.

Of course, the stream that results from \$appending \$2 to \$1 may itself be an argument to a call to \$append. The stream that results from the execution of a program is created by successively \$appending smaller streams, either in evaluating a disj, or as used in the implementation of conj. The reasoning we use above holds for arbitrary streams, so taking answers from the stream that is returned amounts to a complete search for the program.

Interestingly, we haven't reconstructed some particular, fixed, complete search strategy. Instead, the search strategy of microKanren programs is program- and query-specific. The particular definitions of a program's relations, together with the goal from which it's executed, dictates in what order the search tree is explored. By contrast, Spivey and Seres implement breadth-first search, also a complete search, in a language similar to microKanren [34].

Relying on non-strict evaluation simplifies their implementation; manually managing delays would make the call-by-value version less elegant than their implementation. Even excepting that, their implementation requires a somewhat more sophisticated transformation than does ours. Kiselyov et al. describe a different mechanism to achieve a complete search, but they too rely on non-strict evaluation [23]. We achieve a simpler implementation of a complete search by using the delays as markers for interleaving our streams.

With this last change, we complete the definition of microKanren. It clocks in at precisely 54 lines of code—28 of which are required just to implement unification. As advertised, we can use microKanren to write real programs. Below is an expansion of the append relation from Section 1, along with a translation of the first query, into microKanren.

```
(define (append l s o)
  (\lambda (s/c)
     (delay/name
       ((disi
          (conj
            (== l '())
(== s o))
          (call/fresh
             (λ (a)
               (call/fresh
                 (\(\lambda\) (d)
                    (coni
                      (== l `(,a . ,d))
                      (call/fresh
                        (λ (r)
                             (== r `(,a . ,r))
                             (append d s r))))))))))
        s/c))))
> (call/initial-state #f
     (call/fresh
         (append '(t u v) '(w x) q))))
```

It appears that by simplifying the language in this way, we may have placed some additional burden on the user when writing programs and interpreting the results. miniKanren programs are often much larger than append and composed of multiple and more complicated relations. microKanren may not be especially convenient or friendly for the working logic programmer, but it is a serviceable logic programming language implementable in a call-by-value language and requiring only a minimal group of features from its host.

# 4. Impure Extensions

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The microKanren presented in Section 3 is a complete logic programming language. In this section we augment it with additional operators reminiscent of some of those found in Prologs. These operators provide additional control mechanisms.

Naish shows that Prolog's cut (!) is a combination of a deterministic if-then-else and don't-care nondeterminism [28]. We implement these as separate operators, ifte and once, inspired by Kiselyov et al. [23]; ifte is also similar to the cond/3 found in several Prologs [3, 4].

The operator ifte takes three goals as arguments: if the first succeeds, then the second is executed, and the third is discarded. If the first fails, then the third is executed and the second is discarded. Providing the identifier loop makes the body of the let recursively scoped. This name is scoped over the let's body. If (g0 s/c) returns a promise, we don't want to immediately continue forcing it. That might make our search incomplete again—\$ might not be productive. So instead, we return a promise, which, when forced, itself forces \$ and then tests the value against our three cases.

once takes a goal g as an argument and returns a new goal as its result. This resulting goal behaves like g except that, where g would succeed with a stream of more than one element, this new goal returns a stream of only the first. For the same reasons as ifte's definition, once's definition creates a function named loop and uses it in the promise?

Together, these two operators provide the power of Prolog's cut. Use of these operators can increase the efficiency of our programs. These operators, however, can mangle the connection between logic programming and logic, ultimately costing us some of the flexibility of logic programs that append demonstrates.

# 5. Recovering miniKanren

The microKanren implementation of append exemplifies why users might want a more sophisticated set of operators with which to write programs and view the results. We layer the higher-level syntax of miniKanren (fresh, conde, conda, condu, and run) over microKanren in terms of macros and a couple of helper functions; == and define-relation will transfer directly.

# 5.1 conde and fresh

As a first step to reconstructing miniKanren, we create operators disj+ and conj+ that allow us to write the disjunction

and conjunction of more than two goals at a time. The disj+(conj+) of a single goal is just the goal itself. For more than one goal, we recursively disj (conj) the first goal onto the result of the recursion. We use define-syntax and syntax-rules to define all of our recursive macros.

```
(define-syntax disj+
  (syntax-rules ()
    ((_ g) g)
     ((_ g0 g ...) (disj g0 (disj+ g ...)))))
(define-syntax conj+
  (syntax-rules ()
    ((_ g) g)
    ((_ g0 g ...) (conj g0 (conj+ g ...)))))
```

With disj+ and conj+, we are able to construct miniKanren's conde as a macro that merely rearranges its arguments. miniKanren's conde is the disj+ of a sequence of conj+s:

```
(define-syntax-rule (conde (g0 g \dots) (g0* g* \dots) \dots) (disj+ (conj+ g0 g \dots) (conj+ g0* g* \dots) \dots))
```

The fresh of miniKanren, which introduces zero or more fresh variables, is built as a recursive macro using call/fresh and conj+:

```
(define-syntax fresh
  (syntax-rules ()
    ((_ () g0 g ...) (conj+ g0 g ...))
    ((_ (x0 x ...) g0 g ...)
    (call/fresh (λ (x0) (fresh (x ...) g0 g ...))))))
```

#### 5.2 conda and condu

We can also recover the impure miniKanren operators conda and condu, which provide committed choice and committed choice with a "don't-care" nondeterminism, respectively.

As a first step we implement ifte\*, which nests ifte expressions. It takes a sequence of lists containing two goal expressions each, followed by a single goal expression at the end and transforms these into a sequence of nested ifte expressions, using the last goal as the final ifte's else clause.

```
(define-syntax ifte*
  (syntax-rules ()
    ((_ g) g)
    ((_ (g0 g1) (g0* g1*) ... g)
    (ifte g0 g1 (ifte* (g0* g1*) ... g)))))
```

With this, we can implement conda and condu as macros. conda takes a sequence of sequences of two or more goal expressions each, except the last which is a sequence of one or more goals. With conj+, this is transformed into an ifte\*:

```
(define-syntax-rule (conda (g0 g1 g \dots) \dots (gn0 gn \dots)) (ifte* (g0 (conj+ g1 g \dots)) \dots (conj+ gn0 gn \dots)))
```

condu is implemented by adding once to each first element of each sequence, and building a conda from the result:

```
(define-syntax-rule (condu (g0 g1 g \dots) \dots (gn0 gn \dots)) (conda ((once g0) g \dots) \dots ((once gn0) gn \dots)))
```

### $5.3\,$ run

8

The last miniKanren form we reconstruct is run, the external interface that allows us to execute a miniKanren program. The run operator, from Section 1, takes as arguments a positive natural number n or #f, indicating the number of answers to return (similar to call/initial-state); a query variable q (in parentheses); and a sequence of goal expressions. The returned answers are formatted in terms of the

query variable and returned in a list. miniKanren programs, like microKanren programs, may not terminate.

# 5.3.1 Formatting and Structuring Answers

microKanren programs can create a large number of variables in the course of their execution. Rather than returning the values of all of these variables, we only return the value of the query variable (and variables associated with it). This process is called *answer projection* [12, 21].

The function project-var0 takes a state as an argument and formats and returns the first variable with respect to the substitution of that state. We invoke apply-subst with a copy of the first variable that we recreate by calling var.

```
(define (project-var0 s/c)
  (let ((v (apply-subst (var 0) (car s/c))))
    ...))
```

The function apply-subst calls find recursively over a term. It fully dereferences the 0th variable, meaning project-var0 dereferences that variable, any variables in the terms to which it's associated, and so on. This operation grounds the first variable with respect to the substitution. The resulting value is a tree whose remaining variables are free in the substitution.

Given such an expression, as well as an empty substitution s and some starting variable index c, build-r returns a rename substitution. This is a substitution that, when applied to a term, will faithfully and consistently replace all variable names with a different set. We build our rename substitution via a preorder walk and use the length of the growing substitution together with c to create unique variable names.

```
(define (build-r v s c)
  (cond
      ((var? v) `((,v . ,(+ (length s) c)) . ,s))
      ((pair? v) (build-r (cdr v) (build-r (car v) s c) c))
  (else s)))
```

From there, we can complete project-var@'s definition. We fully ground the earliest variable and build a rename substitution using the variable counter c as the starting point. This ensures we create a consistent renaming. We then pass over the answer term, dereferencing variables with respect to the rename substitution. We then repeat the process one last time, with our initial counter at 0. In this way we canonicalize all of our variable names.

```
(define (project-var0 s/c)
  (let ((v (apply-subst (var 0) (car s/c))))
     (let ((v (apply-subst v (build-r v '() (cdr s/c)))))
           (apply-subst v (build-r v '() 0)))))
```

### 5.3.2 Off and running

With these functions defined, run is easily implemented:

```
(define-syntax-rule (run n (q) g0 g ...)
  (map project-var0
   (call/initial-state n (fresh (q) g0 g ...))))
```

The variable q and the goals  $g0\ g$  ... are used to build a fresh goal. This goal is provided to call/initial-state, and we map project-var0 over the resulting list. Our miniKanren implementation relies directly on the microKanren == and define-relation, and so run completes our miniKanren reconstruction.

In fact, we can expand a miniKanren run expression into calls to the microKanren primitives and helper functions in terms of which they are defined. The expansion of (3) in Section 1 is as follows:

We print our answers using n as our canonical variable elements, rather than " $\_.n$ " like other miniKanrens. Numbers are already excluded from our term language; it's incidental that our external representation of variables matches the internal one. It only matters that they print differently than the non-variable part of the term language.

### 6. Related Works

Modern investigation of unification began with Robinson, for use in automated theorem proving, but it's proven applicable to a wide variety of problem domains [24]. One of those uses is logic programming.

There has been an extensive amount of research in the implementation of logic programming [2]. Carlsson limits his survey to Prolog implementation issues in a functional setting [9]. There have been several interpreter-based implementations in Lisp-like languages, Komorowski's being the first [25]. miniKanren certainly has a close connection with these functional Prolog implementations. As we have shown in Section 1, we can transliterate miniKanren definitions to pure Prolog.

Like microKanren, both the original Kanren [13] and miniKanren [14] are small shallow embeddings. Each are more complicated languages with macros designed to provide the syntax also critical to control. Kiselyov's "A Taste of Logic Programming" [22] is a tutorial approach to implementing another Kanren-esque, functionally-embedded logic programming language. It leaves variable creation to the programmer, and doesn't manage infinite streams, so is a somewhat less powerful language.

Hinze [18–20] showed how to implement Prolog-style backtracking, with an unfair search, in a pure language in a manner that avoids the asymptotic performance penalties to which microKanren is susceptible. Kiselyov et al. [23] present a model similar to the one presented here, but they do not fix a particular strategy. They demonstrate how to relate to ours with their Logic monad.

Spivey and Seres's "Embedding Prolog in Haskell" [34] begins with a microKanren-like depth-first search language. We developed microKanren independently, but were pleasantly surprised to see they made many of the same design decisions. Through transforming their streams, they implement a breadth-first search (also complete) in a streambased language.

### 7. Contributions

Our presentation stands on the shoulders of a great deal of excellent prior work, to which the eager reader is enthusiastically referred. We hope most of all to achieve an instructive and entertaining presentation of a small and elegant logic programming language. This arose from a real-world need to explain, via construction, the behavior of a logic programming language and how to reason about logic programs. These efforts are valuable in their own right [5]. This said, we aren't just recapitulating an exhausted topic: we make a couple of novel contributions.

A program- and query-specific search strategy. Many logic languages operate with a fixed search strategy, or allow the user to switch between a finite set of strategies. Our search mechanism depends on the precise definition of the program and the query. Through define-relation, we implement a complete search without settling on a particular search strategy. In doing so, our complete search is simpler to implement than that of other stream-based implementations with fixed search strategies.

Reconstructing an existing language. Few, if any of the earlier minimal logic language models go so far as to construct a pre-existing logic language from their kernel. The ease with which we could reconstruct miniKanren syntax and the brevity of the reconstruction is itself of some interest. More practically, doing so led to improvements in miniKanren that would not have otherwise been possible.

In addition to these technical offerings, we also provide a third less traditional but still meaningful contribution:

Pedagogical value. We find the process of building microKanren both enlightening and fun. These are both subjective judgements, but it seems like others agree. Since Hemann and Friedman released microKanren and an accompanying technical report [16] less than two years ago, it has spawned more than 50 implementations in more than 25 languages. These host languages are a rather diverse set, including Go, Haskell, Prolog, Smalltalk, and miniKanren itself just to name a few<sup>1</sup>. microKanren has been studied in academic and social paper-reading groups and been presented at several software development conferences, both without any connection to its original authors.

# 8. Conclusion

What's left to say or to do from here? microKanren leads to a number of interesting, still-open problems. Hinze [18] points out that although using lists to simulate nondeterminism is simple to implement, it gives asymptotically slower than a continuation-based "context-passing" implementation. Hinze implements an unfair context-passing search, and Kiselyov et al. [23] implement a complete search with similar properties. We would like to combine our manual control of delays with a context-passing implementation.

While define-relation is sufficient to ensure our search is complete, it in general causes more delays than necessary. For instance, mutually-recursive relations only need one delay between them, and we don't need to protect deterministic disj clauses. We could statically "push down" the delays into the body of a relation, reducing the amount of interleaving we perform while retaining a complete search.

We would like to mechanically prove the correctness of microKanren's search with a dependently-typed implementation whose types encode correctness of substitutions and unification. Earlier work by Kumar [27] in mechanizing facets of a miniKanren implementation might provide a starting point.

While the only constraint in the micro- and miniKanren implementations presented here is ==, many of miniKanren's more interesting research applications require additional constraints. Hemann and Friedman [16] recently developed a microKanren framework for constraints [17]; we would like to extend this framework to miniKanren with a generic mechanism for simplifying and formatting constraints.

Seres [31] suggests functional-logic programming as a future direction for her embedding; this still remains an open avenue of research, and given the similarity of our approaches, microKanren might be an appropriate starting place for this as well.

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<sup>&</sup>lt;sup>1</sup> See miniKanren.org

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# microKanren

```
(define ((== u v) s/c)
  (let ((s (car s/c)))
    (let ((s (unify (find u s) (find v s) s)))
      (if s (list `(,s . ,(cdr s/c))) `()))))
(define (unify u v s)
  (cond
    ((eqv? u v) s)
    ((var? u) (ext-s u v s))
    ((var? v) (unify v u s))
    ((and (pair? u) (pair? v))
     (let ((s (unify (find (car u) s) (find (car v) s) s)))
       (and s (unify (find (cdr u) s) (find (cdr v) s) s))))
    (else #f)))
(define (find u s)
  (let ((pr (and (var? u) (assv u s))))
    (if pr (find (cdr pr) s) u)))
(define (ext-s x u s)
  (cond
    ((occurs? x u s) #f)
    (else (cons `(,x . ,u) s))))
(define (occurs? x u s)
  (cond
    ((var? u) (eqv? x u))
    ((pair? u) (or (occurs? x (find (car u) s) s)
                   (occurs? x (find (cdr u) s) s)))
    (else #f)))
(define (var x) x)
(define (var? x) (number? x))
(define (call/initial-state n g)
  (take n (pull (g'(() . 0))))
(define (take n $)
  (cond
    ((null? $) '())
    ((and n (zero? (- n 1))) (list (pull (car $))))
    (else (cons (car $)
            (take (and n (- n 1)) (pull (cdr $)))))))
(define (pull $) (if (promise? $) (pull (force $)) $))
(define ((call/fresh f) s/c)
  (let ((c (cdr s/c)))
    ((f (var c)) `(,(car s/c) . ,(+ c 1)))))
(define ((disj g1 g2) s/c) ($append (g1 s/c) (g2 s/c)))
(define ((conj g1 g2) s/c) (sappend-map (g1 s/c) g2))
(define ($append $1 $2)
  (cond
    ((null? $1) $2)
    ((promise? $1) (delay/name ($append $2 (force $1))))
    (else (cons (car $1) ($append (cdr $1) $2)))))
(define ($append-map $ g)
  (cond
    ((null? $) `())
    ((promise? $) (delay/name ($append-map (force $) g)))
    (else ($append (g (car $)) ($append-map (cdr $) g)))))
(define-syntax-rule (define-relation (defname . args) g)
  (define ((defname . args) s/c) (delay/name (g s/c))))
```

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# Impure Extensions

```
(define ((ifte q0 q1 q2) s/c)
  (let loop (($(g0 s/c)))
    (cond
      ((null? $) (g2 s/c))
      ((promise? $) (delay/name (loop (force $))))
      (else ($append-map g1 $)))))
(define ((once g) s/c)
  (let loop (($ (g s/c)))
    (cond
      ((null? $) '())
      ((promise? $) (delay/name (loop (force $))))
      (else (list (car $))))))
miniKanren
(define-syntax disj+
  (syntax-rules ()
    ((_ g) g)
    ((_ g0 g ...) (disj g0 (disj+ g ...)))))
(define-syntax conj+
  (syntax-rules ()
    ((_ g) g)
    ((_ g0 g ...) (conj g0 (conj+ g ...)))))
(define-syntax-rule (conde (g0 g \dots) (g0* g* \dots) \dots)
```

(disj+ (conj+ g0 g ...) (conj+ g0\* g\* ...) ...))

call/fresh ( $\lambda$  (x0) (fresh (x ...) g0 g ...)))))

((\_ () g0 g  $\dots$ ) (conj+ g0 g  $\dots$ ))

((\_ (x0 x ...) g0 g ...)

(define-syntax fresh (syntax-rules ()

```
(define-syntax ifte*
  (syntax-rules ()
    ((_ g) g)
    ((_ (g0 g1) (g0* g1*) ... g)
     (ifte g0 g1 (ifte* (g0* g1*) ... g)))))
(define-syntax-rule (conda (g0 g1 g ...) ... (gn0 gn ...))
  (ifte* (g0 (conj+ g1 g ...)) ... (conj+ gn0 gn ...)))
(define-syntax-rule (condu (g0 g1 g \dots) \dots (gn0 gn \dots))
  (conda ((once g0) g ...) ... ((once gn0) gn ...)))
(define (apply-subst v s)
  (let ((v (find v s)))
    (cond
      ((var? v) v)
      ((pair? v) (cons (apply-subst (car v) s)
                       (apply-subst (cdr v) s)))
      (else v))))
(define (build-r v s c)
  (cond
    ((var? v) (cons `(,v . ,(+ (length s) c)) s))
    ((pair? v) (build-r (cdr v) (build-r (car v) s c) c))
    (else s)))
(define (project-var0 s/c)
  (let ((v (apply-subst (var 0) (car s/c))))
    (let ((v (apply-subst v (build-r v '() (cdr s/c)))))
      (apply-subst v (build-r v '() 0))))
(define-syntax-rule (run n (q) g0 g ...)
  (map project-var0
    (call/initial-state n (fresh (q) g0 g ...))))
```

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