

# **Phenomenology of the Higgs and Flavour Physics In the Standard Model and Beyond**

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# Contents

<b>I</b>	<b>Higgs Physics</b>	<b>1</b>
<b>1</b>	<b>The Standard Model Higgs boson</b>	<b>3</b>
1.1	Spontaneous symmetry breaking . . . . .	3
1.1.1	Nambu-Goldstone theorem . . . . .	6
1.2	The Higgs mechanism . . . . .	6
1.3	Yukawa interaction . . . . .	9
1.4	The Higgs and EW precision observables . . . . .	10
1.4.1	Custodial symmetry . . . . .	12
<b>2</b>	<b>Constraints on the Higgs properties</b>	<b>17</b>
2.1	Theoretical constraints . . . . .	17
2.2	Experimental . . . . .	17
<b>3</b>	<b>Higgs and effective field theories</b>	<b>19</b>
<b>II</b>	<b>Single Higgs Processes at the LHC</b>	<b>21</b>
<b>4</b>	<b>Overview of Higgs production at colliders</b>	<b>23</b>
<b>5</b>	<b>Four top operator in Higgs production and decay</b>	<b>25</b>
5.1	Introduction . . . . .	25
5.2	Notation . . . . .	27
5.3	Contribution of four-fermion operators to Higgs production and decay . .	28
5.3.1	Higgs coupling to gluons and photons . . . . .	28
5.3.2	Higgs decay to bottom quarks . . . . .	33
5.3.3	Associated production of a Higgs boson with top quarks . . . . .	34
5.3.4	Results . . . . .	36
5.4	Fit to Higgs observables . . . . .	39
5.4.1	Fit methodology . . . . .	39
5.4.2	Fit to LHC Run-II data . . . . .	41
5.4.3	Prospects for HL-LHC . . . . .	46
5.5	Summary and discussion . . . . .	47
5.6	Two parameter fits . . . . .	50

<b>6</b>	<b>Associated <math>Zh</math> production via gluon fusion at NLO</b>	<b>53</b>
6.1	General notation . . . . .	55
6.2	The transverse momentum expansion . . . . .	57
6.3	LO Comparison . . . . .	59
6.4	NLO calculation . . . . .	61
6.4.1	Gluon wavefunction . . . . .	63
6.4.2	Strong coupling constant . . . . .	64
6.4.3	Top mass . . . . .	64
6.4.4	Larin counter-term . . . . .	64
6.4.5	All terms together . . . . .	64
6.5	IR subtraction . . . . .	65
6.6	Outline of the NLO Computation . . . . .	65
6.7	Conclusion . . . . .	66
<b>III</b>	<b>Higgs Pair at Hadron Colliders</b>	<b>69</b>
<b>7</b>	<b>Overview of Higgs pair production at colliders</b>	<b>71</b>
<b>8</b>	<b>Higgs pair as a probe for light Yukawas</b>	<b>75</b>
<b>9</b>	<b>Optimised search for Higgs pair via Interpretable machine learning</b>	<b>77</b>
<b>A</b>	<b>Details of <math>Zh</math> calculation</b>	<b>79</b>
A.1	Orthogonal Projectors in $gg \rightarrow ZH$ . . . . .	79
A.2	Two-loop Results . . . . .	80

**Part I**

**Higgs Physics**



# 1 The Standard Model Higgs boson

It's very nice to be right sometimes...  
it has certainly been a long wait.

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*Peter Higgs*

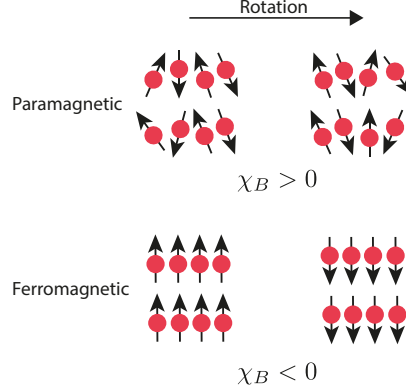
## 1.1 Spontaneous symmetry breaking

Before talking about symmetry breaking, we need to discuss the concept of symmetry in physics. Symmetry has an essential role in studying physical systems. It manifests not only as a geometric feature of physical objects but also in the dynamics of physical systems. For example, one can find symmetries in the equation of motion, Lagrangians/Hamiltonians and actions. The magnetisation of materials is a good example of the role that symmetry plays in describing physical behaviour. For instance, **paramagnetic** materials have a positive magnetic susceptibility  $\chi_B$  due to the random arrangement of their electrons' spins. The paramagnetic material spins arrangement will therefore possess rotational symmetry. The material has no *preferred direction* in space [1]. On the contrary, **ferromagnetic** materials with the electrons' spins aligned in a certain direction, will not have such symmetry as there will be a preferred direction, see Figure 1.1.

In particle physics and quantum field theory, symmetry plays an essential role in the taxonomy and dynamics of elementary particles and their bound states, i.e. hadrons, cf. [2, 3]. There are two types of symmetries considered when studying elementary particles and their quantum fields: external and internal symmetries. The first is the symmetry of the spacetime background. Typically, this is a four-dimensional Poincaré symmetry. However, in some models, higher spacetime dimensions or non-flat geometries are considered. Though there is no current evidence of higher dimensions or indications of non-flat spacetime from colliders and cosmological observations [4]. The second class of symmetries is internal symmetries stemming from the quantum nature of these particles/fields. Because their state is described by a **ray** in complex Hilbert/Fock spaces, internal symmetries are simply symmetries of rotations in these spaces that keep the action variation unchanged. Internal symmetries are usually described in terms of simple or product of simple **Lie groups**, e.g.  $SU(N)$ <sup>1</sup>, and particles/fields will be arranged

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<sup>1</sup>Gauge theories based on finite groups have been investigated in the literature, but their phenomeno-



**Figure 1.1.** In paramagnetic materials, the spins are randomly distributed such that a rotation performed on the system will keep the spin distribution invariant. However, for ferromagnetic materials, where the spins are aligned in a single direction, the symmetry is broken, and the system has a preferred direction.

as multiplets in some representation of the groups. The rotations of the states could be parametrised by constants. In this case, the symmetry is called **global**, or fields of spacetime, where the symmetry is then called **local** or **gauged**.

Gauge symmetries describe rotations in the state space that depend on spacetime, the generator of the gauge transformations could propagate between two spacetime points. This is the way particle/field interactions are described in quantum field theory. The generators of these gauge transformations are called gauge bosons, and they mediate the interactions between the particles/fields and transform under the adjoint representation of the gauge group. Hence, we observe that gauge symmetries are the basis of describing the fundamental interactions of nature, which we call **gauge theories**.

An example of a gauge theory that is realised in nature is the **Standard Model** (SM). Which is a gauge theory based on the group  $G_{\text{SM}} := SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$ . The first simple group is for the *strong* interaction described by quantum chromodynamics (QCD). The product of the two remaining groups  $SU(2)_L \otimes U(1)_Y$  forms the Weinberg-Salam *electroweak* (EW) model [7–9], where  $SU(2)_L$  describes the weak interaction which only couples to *left handed* fermions and  $U(1)_Y$  is the weak hypercharge  $Y$  gauge group, defined by the formula

$$Y = 2(Q - T_3). \quad (1.1)$$

Where  $Q$  is the electric charge and  $T_3$  is the third component of the weak isospin. A description of the matter content of the SM and their multiplicities with respect to  $G_{\text{SM}}$  is shown in Table 1.1

The SM has been very successful at describing particle interactions even when chal-

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logical significance is yet to be further investigated [5, 6]



Particle/Field	$G_{\text{SM}}$ multiplicity	mass [GeV]
$Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, \begin{pmatrix} c_L \\ s_L \end{pmatrix}, \begin{pmatrix} t_L \\ b_L \end{pmatrix}$	$(\mathbf{3}, \mathbf{2}, 1/6)$	$m_u = 2.16 \cdot 10^{-3}, m_d = 2.67 \cdot 10^{-3}$
$U = u_R, s_R, t_R$	$(\mathbf{3}, \mathbf{1}, 2/3)$	$m_c = 0.93 \cdot 10^{-2}, m_s = 1.27$
$D = d_R, s_R, b_R$	$(\mathbf{3}, \mathbf{1}, -1/3)$	$m_t = 172.4, m_b = 4.18$
$L = \begin{pmatrix} \nu_{e,L} \\ e_L \end{pmatrix}, \begin{pmatrix} \nu_{\mu,L} \\ \mu_L \end{pmatrix}, \begin{pmatrix} \nu_{\tau,L} \\ \tau_L \end{pmatrix}$	$(\mathbf{1}, \mathbf{2}, -1/2)$	$m_e = 0.511 \cdot 10^{-3}, m_\mu = 1.05 \cdot 10^{-2}$
$E = e_R, \mu_R, \tau_R$	$(\mathbf{1}, \mathbf{1}, -1)$	$m_\tau = 1.77, m_\nu = ??$
$g/G_\mu^A, A = 1 \dots 8$	$(\mathbf{8}, \mathbf{1}, 0)$	0.0
$\gamma/A_\mu$	$(\mathbf{1}, \mathbf{1}, 0)$	0.0
$W_\mu^\pm$	$(\mathbf{1}, \mathbf{3}, 0)$	80.379
$Z_\mu$	$(\mathbf{1}, \mathbf{3}, 0)$	91.1876
$h$	$(\mathbf{1}, \mathbf{2}, 1/2)$	125.10

**Table 1.1.** The SM constituents, their multiplicities with respect to the SM gauge group  $G_{\text{SM}} := SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$  and masses. The mass of the neutrinos  $\nu$  is zero according to the SM prediction, but observations suggest that they are massive, and only the difference between the three masses is known [10]. The values of the masses are taken from the Particle Data Group (PDG) [4], and used throughout this thesis.

lenged by numerous precision tests at LEP and SLD [11–14] and later at DØ [15] and the LHC [16, 17]. Nevertheless, it fails to describe the ground state if only the fermion and gauge sectors are considered. The reason for this shortcoming is that the  $W^\pm$  and  $Z$  bosons have a mass, this violates the EW gauge symmetry. This can be easily seen by looking at the mass term of a spin 1 field  $B_\mu^A$

$$\mathcal{L} = m_B B^{A,\mu} B_\mu^A, \quad (1.2)$$

and performing an  $SU(N)$  gauge transformation

$$B_\mu^A \rightarrow B_\mu^A + \partial_\mu \Lambda^A + g \varepsilon_{BC}^A B_\mu^B \Lambda^C. \quad (1.3)$$

We see that the mass term is invariant under these transformations. Secondly, because the SM is a chiral theory, as only left-handed fermions would be doublets under  $SU(2)_L$ , the Dirac mass term

$$\mathcal{L}_D = m_D \bar{\psi}_L \psi_R + \text{h.c.}, \quad (1.4)$$

cannot be a singlet under  $SU(2)_L$ , hence also violating the EW symmetry. Despite quark and lepton masses being forbidden by the EW symmetry, we indeed observe that they do have a mass, and since they also carry charges this mass has to be a Dirac mass.

In order for the EW model to be consistent at the ground state like it is in the interaction states. A mechanism for spontaneous symmetry breaking going from an

interaction state to the vacuum ought to be introduced.

### 1.1.1 Nambu-Goldstone theorem

Coming back to the example of the paramagnetic-ferromagnetic materials, when heated above a certain temperature, known as the **Curie Temperature**  $T_C$  will undergo a phase transition and become paramagnetic (losing their permanent magnet property), in the mean-field theory approximation the magnetic susceptibility is related to the temperature of the metal via the relation

$$\chi_B \sim (T - T_C)^{-\gamma}, \quad (1.5)$$

where  $\gamma$  is a critical exponent. We see that if the metal temperature  $T > T_C$  the metal is in an *disordered phase* and when  $T < T_C$  it is in the *ordered phase*, i.e.  $\chi_B$  is the **order parameter** of this system. At the Curie temperature, the system will be at the *critical point* where the susceptibility is divergent. The exponent  $\gamma$  is not used to describe the system at the critical point. There is a “pictorial” description of the metal at the critical point which is helpful in picturing the Goldstone theorem. Starting at  $T > T_C$ , the metal would be in a paramagnetic phase, where the spins are randomly arranged. As the temperature becomes lower and lower, thermal fluctuations start to lessen. One or more regions of the metal, some of the spins will start to get aligned. With continued cooling, nearing  $T_C$ , these turned spins will affect their neighbours turning them into their directions. At the critical point  $T = T_C$ , the system behaves in a peculiar manner, when one would see regions of spins in “up” and others in “down” directions. The system will resemble a fractal of these regions, becoming scale-invariant. Additionally, waves of oscillating local magnetisation will propagate. These waves, or spinless quasiparticles (called **Magnons**) are Goldstone bosons emerging from spontaneous symmetry breaking. Which will manifest at  $T < T_C$  as the spins will be arranged in a certain single direction and the metal becomes ferromagnetic.

**Theorem 1** (Nambu-Goldstone). When a continuous symmetry has a conserved currents but broken in the ground state (vacuum) is called to be spontaneously broken. There is a scalar boson associated with each broken generator of this spontaneously broken symmetry. The modes of these bosons are fluctuations of the order parameter.

This theorem first emerged from condensed matter physics, particularly superconductors [18, 19]. However, it soon got applied to relativistic quantum field theories [20].

## 1.2 The Higgs mechanism

In order to solve the aforementioned shortcomings of the Weinberg-Salam model, Nambu-Goldstone theorem has been first proposed by P. W. Anderson [21]. However, the way

that Anderson formulated his theory was unfamiliar to particle physicists and used a non-relativistic picture to illustrate how photons could gain mass in an electron plasma with a plasma frequency  $\omega_p$

$$m_\gamma^{\text{plasma}} = \frac{\hbar\omega_p}{c^2} \quad (1.6)$$

Later on, a theory that explains the mass generation of the EW gauge bosons has been published in an almost simultaneous manner by R. Braut and F. Englert [22], P. Higgs [23] and G. Guralnik, C. R. Hagen, and T. Kibble [24, 25]<sup>2</sup>. The Higgs mechanism starts by considering the spontaneous symmetry breaking (SSB) of the EW sector of the SM via the pattern

$$SU(2)_L \otimes U(1)_Y \longrightarrow U(1)_Q \quad (1.7)$$

This is achieved by the vacuum expectation value (vev) of a complex scalar field  $\phi \sim (\mathbf{1}, \mathbf{2}, +1/2)$ , with the Lagrangian

$$\mathcal{L} = D_\mu \phi^* D^\mu \phi - V, \quad V := \mu^2 \phi^* \phi + \lambda(\phi^* \phi)^2, \quad (1.8)$$

where  $\phi$  is given explicitly by

$$\phi = \begin{pmatrix} \phi^1 + i\phi^2 \\ \frac{1}{\sqrt{2}}(h + v) - i\phi^3 \end{pmatrix} \quad (1.9)$$

The covariant derivative

$$D_\mu = \partial_\mu - ig_2 \frac{\sigma_a}{2} W_\mu^a - ig_1 \frac{1}{2} B_\mu, \quad (1.10)$$

dictates the coupling between the Higgs field and the EW gauge bosons and  $g_3$ ,  $g_2$  and  $g_1$  are, respectively, the coupling constants of  $SU(3)_C$ ,  $SU(2)_L$  and  $U(1)_Y$ . The minimum of the scalar potential is then obtained by

$$\frac{\partial V}{\partial \phi} \Big|_{\phi \rightarrow v} = 0, \quad (1.11)$$

which for a tachyonic mass  $\mu^2 < 0$  will have a real non-vanishing values  $v$  corresponding to the vev of this field  $\langle \phi \rangle = \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix}$ .

According to Nambu-Goldstone theorem, the three broken generators of  $SU(2)_L \otimes U(1)_Y$  will become massive, and they are the  $W^\pm$  and  $Z$  bosons, while the photon will remain massless. We will have three massless Goldstone bosons  $G^\pm = \frac{1}{2}(\phi^1 \pm i\phi^2)$  and  $G^0 =$

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<sup>2</sup>All of these authors have contributed to the theory of SM spontaneous symmetry breaking (SSB). By calling it the ‘‘Higgs’’ mechanism or boson. I, by no means, have intended to ignore the role played by the rest, rather, I wanted to stick the most widely-used terminology in the field.

$\phi^3$  that are “eaten” by the aforementioned massive photons. Where they become the longitudinal polarisations of  $W^\pm$  and  $Z$  boson. In order to see this more concretely, we start by looking at the terms of the EW Lagrangian where the field  $\phi$  couples to the gauge bosons, in the unbroken phase

$$D_\mu \phi^* D^\mu \phi = \frac{1}{2} |\partial_\mu \phi|^2 + \frac{1}{8} g_2^2 |\phi|^2 |W_\mu^1 + iW_\mu^2|^2 + \frac{1}{8} |\phi|^2 |g_2 W_\mu^3 - g_1 B_\mu|^2 \quad (1.12)$$

After SSB, we write the gauge bosons in the mass basis

$$\begin{aligned} W_\mu^\pm &= \frac{1}{\sqrt{2}} (W_\mu^1 \pm iW_\mu^2), \\ Z_\mu &= \frac{1}{\sqrt{g_1^2 + g_2^2}} (g_2 W_\mu^3 - g_1 B_\mu), \\ A_\mu &= \frac{1}{\sqrt{g_1^2 + g_2^2}} (g_2 W_\mu^3 + g_1 B_\mu). \end{aligned} \quad (1.13)$$

From this, the electric charge is identified as the coupling constant to the photon  $A_\mu$

$$e = \frac{g_1}{\sqrt{g_1^2 + g_2^2}}. \quad (1.14)$$

It is useful to define **Weinberg angle**  $\theta_W$ , an important EW parameter relating the electric charge to the weak coupling  $g_2$

$$\sin \theta_W = \frac{e}{g_2} \approx 0.231214, \quad (1.15)$$

typically the sin and cos of the Weinberg angle are denoted by  $s_W$  and  $c_W$ , respectively. We use the unitary gauge, to absorb the Goldstone bosons into the  $W^\pm$  and  $Z$  longitudinal polarisations. In this gauge the Higgs doublet can be written as

$$\phi \rightarrow \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}}(h + v) \end{pmatrix}, \quad v = 246 \text{ GeV}. \quad (1.16)$$

With these substitutions, one can read off the masses of the gauge bosons their bilinear terms in (1.12)

$$m_W = \frac{vg_2}{2} \quad m_Z = \frac{v}{2} \sqrt{g_1^2 + g_2^2} \quad m_A = 0. \quad (1.17)$$

Since  $\phi$  is a complex doublet. We have seen that it has four components, and three of them correspond to the Goldstone bosons, thus one remains physical  $h$  which is what

we now identify with the ‘‘Higgs boson’’ discovered in the Summer of 2012 [26, 27]. The couplings between the Higgs and the electroweak bosons is related to their mass via the vev

$$g_{hVV} = \frac{2m_V^2}{v}, \quad g_{hhVV} = \frac{2m_V^2}{v^2}. \quad (1.18)$$

By substituting (1.16), into the Higgs potential (1.8) one can write the mass of the physical Higgs boson in terms of the vev

$$m_h = \sqrt{2\lambda}v. \quad (1.19)$$

The physical Higgs mass is related to the  $\mu$  parameter via the relation

$$m_h^2 = -2\mu^2, \quad (1.20)$$

One can see that the mass term after SSB changes its sign, characterising the order-parameter for this system, analogous to the magnetic susceptibility for the magnetisation of materials example. One could also identify the self-couplings of  $h$ , the trilinear and quartic couplings

$$g_{hhh} = 3\lambda v = 3\frac{m_h^2}{v}, \quad g_{hhhh} = 3\lambda = 3\frac{m_h^2}{v^2}. \quad (1.21)$$

### 1.3 Yukawa interaction

It is possible to also use the Higgs vev to give fermions their masses by introducing a Yukawa-interaction terms, first introduced by S. Weinberg [9]

$$\mathcal{L}_{\text{Yuk}} = -y_e \bar{L} \phi E - y_d \bar{Q} \phi D - y_u \bar{Q} \tilde{\phi} U + \text{h.c.}, \quad (1.22)$$

with  $\tilde{\phi} = i\sigma_2 \phi$  and  $y_e, y_d, y_u$  are  $3 \times 3$  matrices. These matrices are free parameters in the SM. As the Higgs boson acquires a the vev, the fermions will acquire a mass  $m_f = v y'_f$  and the Higgs boson coupling to the fermions is given by

$$g_{h\bar{f}f} = \frac{m_f}{v}, \quad (1.23)$$

and the Yukawa matrices will be fixed in the mass basis  $y'_f$  by measurements of the fermion masses.

Leptonic Yukawa matrix is diagonal, with a degeneracy between the flavour and masses basis, this manifests as lepton family number conservation (the lepton family operator commutes with the Hamiltonian.). However, for the quarks, the situation is more complicated. One can rotate these matrices to the mass basis via a bi-unitary transformation

via the unitary matrices  $\mathcal{V}_Q, \mathcal{U}_Q$  for  $q = u, d$

$$y_q \longrightarrow y'_f = \mathcal{V}_q^\dagger y_q \mathcal{U}_q = \text{diag}(m_{q_1}, m_{q_2}, m_{q_3}). \quad (1.24)$$

However, there is no degeneracy here as the Hamiltonian does not commute with the quark flavour operator. This is because the transformation matrices for the up and down-type quarks are not the same. The charged EW quark currents contain flavour mixing described by the Cabibbo-Kobayashi-Maskawa (CKM) matrix [28, 29]. More details on the flavour sector of the SM are discussed in [Update the section](#). [Figure 1.2](#) shows all the SM couplings' strengths, with the thickness of the chord is proportional to the strength of the coupling, one can see the Higgs couplings in orange.

## 1.4 The Higgs and EW precision observables

Higgs physics is intertwined with the EW sector for example, the Higgs vev is determined from Fermi's constant  $v = (\sqrt{2}G_F)^{-1/2}$ , and is fixed by muon lifetime measurements, and comparing it with the theoretical predictions [30–33]

$$\tau_\mu^{-1} = \frac{G_F^2 m_\mu^5}{192\pi^3} \left(1 - \frac{8m_e^2}{m_\mu^2}\right) \left[1 - 1.810 \frac{\alpha}{\pi} + (6.701 \pm 0.002) \left(\frac{\alpha}{\pi}\right)^2\right], \quad (1.25)$$

which leads to the numerical value of  $G_F$  [4]

$$G_F = 1.1663787(6) \cdot 10^{-5} \text{GeV}^{-2}, \quad (1.26)$$

given the value of the fine structure constant  $\alpha^{-1} = 137.03599976(50)$ .

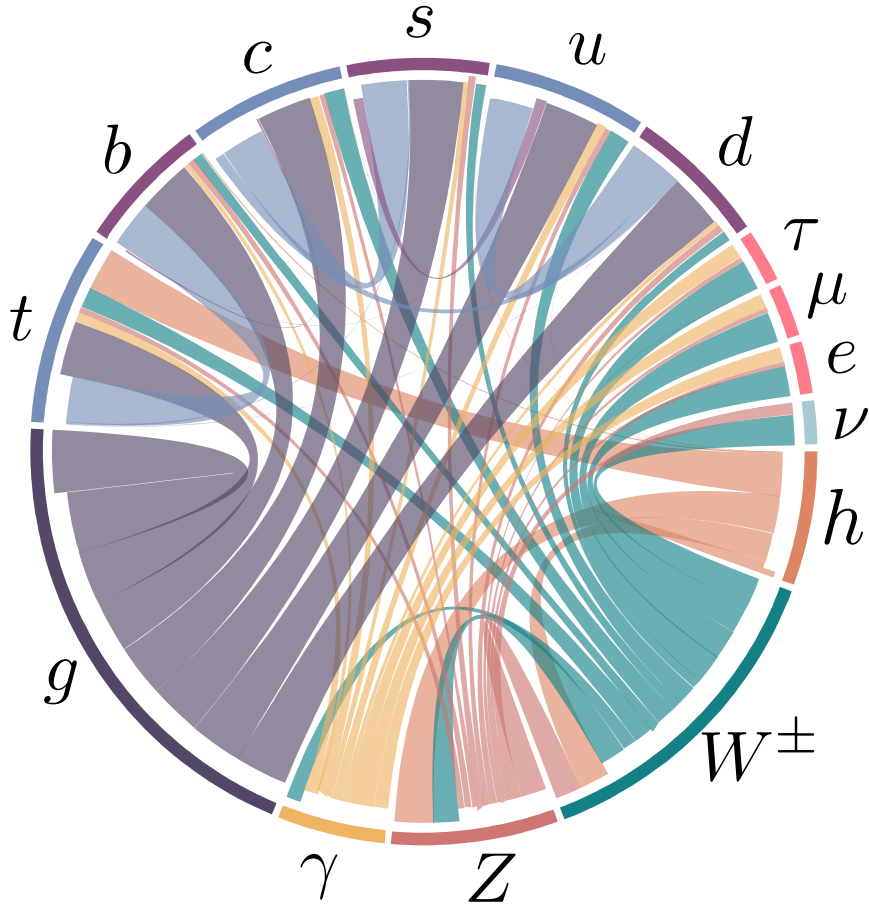
Another important EW precision observable (EWPO) is the ratio between the  $W$  and  $Z$  masses

$$\rho = \frac{m_W^2}{c_W^2 m_Z^2}. \quad (1.27)$$

At leading order, this parameter is equal to unity in the SM. The  $\rho$  parameter depends on the representation of the scalar sector of the EW model having  $\phi_i$  scalars with  $T_i$  weak isospin and  $T_{3,i}$  being its third component, and a vev  $v_i$ , via the relation [34, 35]

$$\rho = \frac{\sum_i [T_i(T_i + 1) - T_{3,i}^2] v_i^2}{2 \sum_i T_{3,i}^2 v_i^2}. \quad (1.28)$$

From (1.28) one can see that a real triplet scalar, for instance, would not fit the experimental EW measurement of  $\rho$ . Hence, a complex doublet is the simplest scalar possible for the EW symmetry breaking, and the Higgs boson was expected to be seen almost four decades before its discovery. However, radiative corrections to the EW gauge



**Figure 1.2.** A chord diagram showing the SM couplings, with the coupling strength illustrated by the chord thickness. Higgs couplings are coloured in orange.

bosons mass from vacuum polarisation diagrams could potentially cause  $\rho$  to deviate significantly from unity. This is not the case, as the experimentally measured value of  $\rho$  [4]

$$\rho_{\text{exp}} = 1.00038 \pm 0.00020 \quad (1.29)$$

Additionally, it is possible to think of an extended Higgs sector, where there are multiple scalars with different  $SU(2)_L$  multiplicities. Or, a composite Higgs sector, where the Higgs boson is a pseudo Nambu-Goldstone boson, cf. [36, 37]. How can such models be built assuring the  $\rho$  parameter is protected from change? The answer to this question lies in a symmetry of the Higgs Lagrangian known as custodial symmetry.

### 1.4.1 Custodial symmetry

After SSB, a residual global symmetry known as the custodial symmetry protects the  $\rho$  parameter from obtaining large radiative corrections at higher orders in perturbation theory. This symmetry must be kept in extended or composite Higgs models. This symmetry can be seen by rewriting the Higgs potential as

$$V = \frac{\lambda}{4} \left( \phi_1^2 + \phi_2^2 + \phi_3^2 + \phi_4^2 - 2\mu^2 \right)^2. \quad (1.30)$$

This potential is invariant under  $SO(4) \simeq SU(2)_L \otimes SU(2)_R$  rotations. However, when the Higgs field acquires a non-vanishing vev,  $\phi_4 \rightarrow h + v$ , the potential becomes

$$V = \frac{\lambda}{4} \left( \phi_1^2 + \phi_2^2 + \phi_3^2 + h^2 + 2vh + v^2 - 2\mu^2 \right)^2, \quad (1.31)$$

which is only invariant under  $SO(3) \simeq SU(2)_V$  transformations, the diagonal part of the original group. This global SSB pattern comes alongside the EW SSB of the gauge group  $SU(2)_L \otimes U(1)_Y$  as global  $SU(2)_L$  is itself the gauged  $SU(2)_L$  group. Additionally the  $T^3$  component of the  $SU(2)_R$  global group is the gauged  $U(1)_Y$  and the  $T^3$  component of the custodial group  $SU(2)_V$  is gauged as well and identified to be the electric charge operator, i.e. the generator of  $U(1)_Q$ .

$$\underbrace{SU(2)_R}_{\supset U(1)_Y} \otimes \overbrace{SU(2)_L}^{\text{gauged}} \longrightarrow \underbrace{SU(2)_V}_{\supset U(1)_Q}. \quad (1.32)$$

This pattern indicates that the symmetry is already broken by the gauging of the diagonal part of  $SU(2)_R$  (the hypercharge). The custodial symmetry is only *approximate* in the limit of  $g_1 \rightarrow 0$ , and  $\rho = 1$  is a consequence of  $g_1 \neq 0$ . The symmetry breaking pattern  $\mathbf{2} \otimes \mathbf{2} = \mathbf{3} \oplus \mathbf{1}$  also allows us to identify the Goldstone bosons as the custodial triplet and the physical Higgs  $h$  as the custodial singlet, explaining the electric charge



pattern they have.

We could use the isomorphism between the special orthogonal and special unitary groups to parametrise the Higgs doublet as an  $SU(2)_L \otimes SU(2)_R$  bidoublet

$$\mathcal{H} = (\tilde{\phi} \ \phi) = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_4 - i\phi_3 & \phi_1 + i\phi_2 \\ \phi_1 - i\phi_2 & \phi_4 + i\phi_3 \end{pmatrix}, \quad (1.33)$$

with the bi-unitary transformations

$$\mathcal{H} \longrightarrow \mathcal{U}_L \mathcal{H} \mathcal{U}_R^\dagger \quad (1.34)$$

which leaves any traces of the form  $\text{Tr}(\mathcal{H}^\dagger \mathcal{H})$ , invariant. The Higgs potential could be rewritten in terms of the bidoublet

$$V = -\frac{\mu^2}{2} \text{Tr}(\mathcal{H}^\dagger \mathcal{H}) + \frac{\lambda}{4} \left( \text{Tr}(\mathcal{H}^\dagger \mathcal{H}) \right)^2 \quad (1.35)$$

The vev is hence written in this representation as

$$\langle \mathcal{H} \rangle = \frac{v}{\sqrt{2}} \mathbb{1}_{2 \times 2}. \quad (1.36)$$

We can also look at the Yukawa sector, and observe that in the case where  $y_u = y_d = y$ , we can also write the left-handed and right-handed quarks as  $SU(2)_L \otimes SU(2)_R$  bidoublets and  $SU(2)_R$  doublets, respectively. Hence, the quark part of the Yukawa Lagrangian in (1.22) becomes

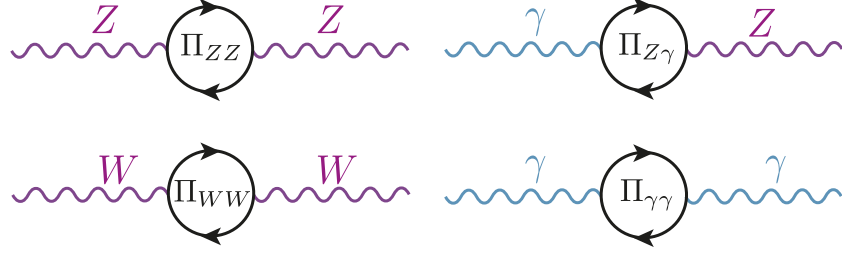
$$\mathcal{L}_{yuk} \supset \frac{y}{\sqrt{2}} (\bar{u}_L \ \bar{d}_L) \begin{pmatrix} \phi_4 - i\phi_3 & \phi_1 + i\phi_2 \\ \phi_1 - i\phi_2 & \phi_4 + i\phi_3 \end{pmatrix} \begin{pmatrix} u_R \\ d_R \end{pmatrix}, \quad (1.37)$$

which is invariant under custodial transformations, but when  $y_u \neq y_d$ , this Lagrangian term breaks custodial symmetry. Thus, the differences between the up-type and down-type quark masses  $m_u - m_d$  are considered **spurions** of the custodial symmetry and one expects to see radiative corrections to  $\rho$  being proportional to these spurions.

In order to see this more concretely, we start by examining the radiative corrections that could contribute to the deviation of  $\rho$  from unity, i.e.  $\Delta\rho$  these corrections are known as the **oblique correction**. These oblique corrections come from electroweak vacuum polarisations  $\Pi_{VV}(p^2)$ , as shown in Figure 1.3, for more details on these corrections and their calculation see Refs.. [38, 39]

The 1-loop correction to the  $\rho$  parameter is given in terms of the  $\Pi_{VV}$  by

$$\Delta\rho = \frac{\Pi_{WW}(0)}{m_W^2} - \frac{\Pi_{ZZ}(0)}{m_Z^2} \quad (1.38)$$



**Figure 1.3.** The oblique corrections, are radiative correction with electroweak gauge bosons propagators. Namely vacuum polarisations of the  $Z$ ,  $W^\pm$  and  $\gamma$  bosons.

Where the dominant contributions are given by [40]

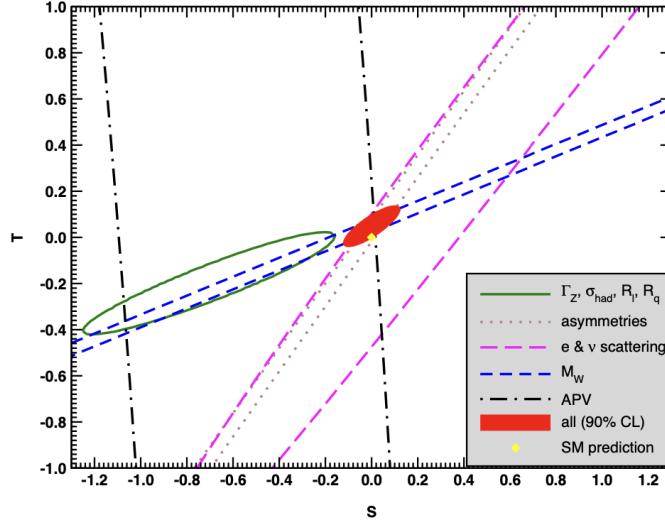
$$\Delta\rho = \frac{3G_F}{8\sqrt{2}\pi^2} \left( (m_t^2 + m_b^2) - \frac{2m_t^2 m_b^2}{m_t^2 - m_b^2} \ln \frac{m_t^2}{m_b^2} \right) + \dots \quad (1.39)$$

Since  $m_b \ll m_t$ , the correction is non-vanishing, and (1.39) shows clearly how the radiative corrections are proportional to the spurions of the custodial symmetry. However, this radiative correction is absorbed into the SM definition of  $\rho$ , i.e. the  $\overline{\text{MS}}$  definition of the  $\rho$ -parameter  $\rho^{\overline{\text{MS}}}$ .

One can study new physics (NP) effects that violates custodial symmetry, by looking at deviations from  $\rho = 1$  from it. Given the experimentally measured value of  $\rho$  (1.29) many NP models violating custodial symmetry can already be excluded. Nevertheless,  $\rho$  alone does not capture the full story of EWPO's. For instance, adding a new quark doublet would not necessarily violate the custodial symmetry though it still can be excluded by EWPO. It is hence useful to introduce new parameters known as **Peskin-Takeuchi parameters** [39, 41, 42]

$$\begin{aligned} S &:= \frac{4c_W^2 s_W^2}{\alpha} \left[ \frac{\Pi_{ZZ}^{\text{NP}}(m_Z^2) - \Pi_{ZZ}^{\text{NP}}(0)}{m_Z^2} - \frac{c_W^2 - s_W^2}{c_W s_W} \frac{\Pi_{Z\gamma}^{\text{NP}}(m_Z^2)}{m_Z^2} - \frac{\Pi_{\gamma\gamma}^{\text{NP}}(m_Z^2)}{m_Z^2} \right], \\ T &:= \frac{\rho^{\overline{\text{MS}}} - 1}{\alpha} = \frac{1}{\alpha} \left[ \frac{\Pi_{WW}^{\text{NP}}(0)}{m_W^2} - \frac{\Pi_{ZZ}^{\text{NP}}(0)}{m_Z^2} \right], \\ U &:= \frac{4s_W^2}{\alpha} \left[ \frac{\Pi_{WW}^{\text{NP}}(m_W^2) - \Pi_{WW}^{\text{NP}}(0)}{m_W^2} - \frac{c_W}{s_W} \frac{\Pi_{Z\gamma}^{\text{NP}}(m_Z^2)}{m_Z^2} - \frac{\Pi_{\gamma\gamma}^{\text{NP}}(m_Z^2)}{m_Z^2} \right] - S. \end{aligned} \quad (1.40)$$

The NP contributions to the EW vacuum polarisations  $\Pi_{VV}^{\text{NP}}(p^2)$  could either come from loop or tree-level effects. Typically both  $T$  and  $U$  are related to custodial symmetry violation. However,  $U$  has an extra suppression factor of  $m_{\text{NP}}^2/m_Z^2$  compared to  $T$  and



**Figure 1.4.** Fit results from various EWPO's for  $T$  and  $S$  setting  $U = 0$ . The contours show  $1\sigma$  contours (39.35% for closed contours and 68% for the rest). This plot is obtained from the PDG [4]

$S$ . The most recent fit result for these parameters is [4]

$$\begin{aligned} S &= -0.01 \pm 0.10, \\ T &= 0.03 \pm 0.13, \\ U &:= 0.02 \pm 0.11. \end{aligned} \tag{1.41}$$

But since  $T$  and  $S$  tend to give stronger constraint on NP, due to the suppression factor of  $U$ . One can perform a two-parameter fit of  $S$  and  $T$  setting  $U = 0$ , that shown in Figure 1.4, with the numerical values [4],

$$\begin{aligned} S &= 0.00 \pm 0.07, \\ T &= 0.05 \pm 0.06. \end{aligned} \tag{1.42}$$

The Peskin-Takeuchi parameters are important in constraining effective operators in the Higgs sector, namely

$$\begin{aligned} \hat{O}_S &= \phi^\dagger \sigma_i \phi W_{\mu\nu}^i B^{\mu\nu}, \\ \hat{O}_T &= |\phi^\dagger D_\mu \phi|^2. \end{aligned} \tag{1.43}$$

For example,  $\hat{O}_S$  appears in Technicolour models causing large deviations of  $S$  compared to its measured value [41, 43–45]. Moreover, The constraints on  $T$  parameter is important

for top mass generation as well as modifications to  $Zb\bar{b}$  coupling in such models [46, 47]. We will revisit the  $\hat{O}_T$  when we discuss the Higgs and effective field theories in section [update here](#).

## 2 Constraints on the Higgs properties

In this chapter, the bounds on the Higgs sector will be discussed. Starting from an overview of the theoretical constraints on the Higgs potential, like the quantum triviality and unitarity. Then, the state-of-the-art experimental results on Higgs properties and couplings measurements will be discussed. However, despite many of the Higgs boson properties have been measured with good accuracy, there are still difficult observables in the Higgs sector and some open problems. These will be addressed at the end of this chapter.

### 2.1 Theoretical constraints

### 2.2 Experimental

We also provide in this appendix the experimental measurements of the signal strengths at the LHC Run II and the CMS projections for the HL-LHC (scenario S2, see [48]) that we used in the fits in this paper. These inputs are summarised in table 2.1.

Production	Decay	$\mu_{\text{Exp}} \pm \delta\mu_{\text{Exp}}$ (symmetrised)		Ref.
		<b>LHC Run-II</b>	<b>HL-LHC</b>	
		CMS 137 fb <sup>-1</sup> ATLAS 139 fb <sup>-1</sup>	CMS 3 ab <sup>-1</sup>	
ggF	$h \rightarrow \gamma\gamma$	$0.99 \pm 0.12$ $1.030 \pm 0.110$	$1.000 \pm 0.042$	[49–51]
	$h \rightarrow ZZ^*$	$0.985 \pm 0.115$ $0.945 \pm 0.105$	$1.000 \pm 0.040$	
	$h \rightarrow WW^*$	$1.285 \pm 0.195$ $1.085 \pm 0.185$	$1.000 \pm 0.037$	[49, 51, 52]
	$h \rightarrow \tau^+\tau^-$	$0.385 \pm 0.385$ $1.045 \pm 0.575$	$1.000 \pm 0.055$	
	$h \rightarrow b\bar{b}$	$2.54 \pm 2.44$ –	$1.000 \pm 0.247$	[51, 52]
	$h \rightarrow \mu^+\mu^-$	$0.315 \pm 1.815$ –	$1.000 \pm 0.138$	[51, 52]
VBF	$h \rightarrow \gamma\gamma$	$1.175 \pm 0.335$ $1.325 \pm 0.245$	$1.000 \pm 0.128$	[49–51]
	$h \rightarrow ZZ^*$	$0.62 \pm 0.41$ $1.295 \pm 0.455$	$1.000 \pm 0.134$	
	$h \rightarrow WW^*$	$0.65 \pm 0.63$ $0.61 \pm 0.35$	$1.000 \pm 0.073$	[49, 51, 52]
	$h \rightarrow \tau^+\tau^-$	$1.055 \pm 0.295$ $1.17 \pm 0.55$	$1.000 \pm 0.044$	
	$h \rightarrow b\bar{b}$	– $3.055 \pm 1.645$	–	[49]
	$h \rightarrow \mu^+\mu^-$	$3.325 \pm 8.075$ –	$1.000 \pm 0.540$	[51]
$t\bar{t}h$	$h \rightarrow \gamma\gamma$	$1.43 \pm 0.30$ $0.915 \pm 0.255$	$1.000 \pm 0.094$	[49–51]
	$h \rightarrow VV^*$	$0.64 \pm 0.64 (ZZ^*)$ $0.945 \pm 0.465 (WW^*)$ $1.735 \pm 0.545$	$1.000 \pm 0.246 (ZZ^*)$ $1.000 \pm 0.097 (WW^*)$ –	
	$h \rightarrow \tau^+\tau^-$	$0.845 \pm 0.705$ $1.27 \pm 1.0$	$1.000 \pm 0.149$	[49, 51, 52]
	$h \rightarrow b\bar{b}$	$1.145 \pm 0.315$ $0.795 \pm 0.595$	$1.000 \pm 0.116$	
$Vh$	$h \rightarrow \gamma\gamma$	$0.725 \pm 0.295$ $1.335 \pm 0.315$	$1.000 \pm 0.233 (Zh)$ $1.000 \pm 0.139 (W^\pm h)$	[49–51]
	$h \rightarrow ZZ^*$	$1.21 \pm 0.85$ $1.635 \pm 1.025$	$1.000 \pm 0.786 (Zh)$ $1.000 \pm 0.478 (W^\pm h)$	[49, 51, 52]
	$h \rightarrow WW^*$	$1.850 \pm 0.438$ –	$1.000 \pm 0.184 (Zh)$ $1.000 \pm 0.138 (W^\pm h)$	[51, 53]
	$h \rightarrow b\bar{b}$	– $1.025 \pm 0.175$	$1.000 \pm 0.065 (Zh)$ $1.000 \pm 0.094 (W^\pm h)$	[49, 51]
$Zh$ CMS	$h \rightarrow \tau^+\tau^-$	$1.645 \pm 1.485$		
	$h \rightarrow b\bar{b}$	$0.94 \pm 0.32$		
$W^\pm h$ CMS	$h \rightarrow \tau^+\tau^-$	$3.08 \pm 1.58$	–	[52]
	$h \rightarrow b\bar{b}$	$1.28 \pm 0.41$		

**Table 2.1.** The experimental single Higgs observables measurements from the LHC Run II and projections for the HL-LHC. In all cases we have symmetrised the experimental uncertainties that we use in the fits.

### 3 Higgs and effective field theories





## **Part II**

# **Single Higgs Processes at the LHC**



## 4 Overview of Higgs production at colliders



# 5 Four top operator in Higgs production and decay

## 5.1 Introduction

The precise determination of the Higgs boson properties is one of the main focus of the Large Hadron Collider (LHC) physics programme. Within the current experimental precision, the measurement of the Higgs couplings so far appear to be in agreement with the Standard Model (SM) prediction within an accuracy of, typically, ten percent [54, 55]. In many beyond the SM (BSM) scenarios, however, it is expected that new physics will introduce modifications in the Higgs properties. If the new BSM degrees of freedom are much heavier than the electroweak scale, a general description of potential new physics effects can be formulated in the language of an effective field theory (EFT). One possibility of such a parameterization is the so-called Standard Model EFT (SMEFT), in which new physics effects are given in terms of higher-dimensional operators involving only SM fields and that also respect the SM gauge symmetries. The dominant effects on Higgs physics, electroweak physics and top quark physics stem from dimension-six operators, suppressed by the new physics scale  $\Lambda$ . This approach is justified in the limit in which energy scales  $E \ll \Lambda$  are probed.

In this paper we will consider a small subset of these operators, namely four-fermion operators of the third generation quarks. A direct measurement of the four-top quark operators requires the production of four top quarks. At the LHC, for  $\sqrt{s} = 13$  TeV, and within the SM, this is a rather rare process, with a cross section of about 12 fb including NLO QCD and NLO electroweak (EW) corrections [56]. This is due to the large phase space required for the production of four on-shell top quarks. First experimental measurements [57] indicate a slightly higher cross section than the SM prediction.<sup>1</sup> Though four-top production gives direct access to four-top operators, the main effect comes from  $\mathcal{O}(1/\Lambda^4)$  terms when computing the matrix element squared [59], questioning whether one should neglect, in general, the effects of dimension-eight operators in the calculation of the amplitudes. At any rate, current experimental bounds on the four-top operators are rather weak. A significant improvement in constraining power would be expected, however, at a future 100 TeV  $pp$  collider, due to the growth with the energy of the diagrams involving four-top operators [60]. The situation is rather similar for the operators

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<sup>1</sup>We note that a CMS combination from different LHC runs [58], though having lower signal significance, shows agreement with the SM prediction.

leading to  $t\bar{t}b\bar{b}$  contact interactions. They can be measured directly in  $t\bar{t}b\bar{b}$  production, see [61, 62] for experimental analyses at  $\sqrt{s} = 13$  TeV, but also leading to rather weak limits in SMEFT fits [59, 63].

Given the rather weak “direct” bounds on the  $t\bar{t}t\bar{t}$  and  $t\bar{t}b\bar{b}$  contact interactions, here we will discuss alternative probes, showing how these interactions can be constrained indirectly via their contributions to single Higgs observables.<sup>2</sup> These operators generate contributions to the effective couplings of the Higgs to gluons and photons via two-loop diagrams. At the one-loop level, they also modify associated production of a Higgs boson with top quarks and, in the case of a  $t\bar{t}b\bar{b}$  operator, also the Higgs decay to bottom quarks. While the leading log results can be easily included by renormalisation group operator mixing effects [66–68], in this paper we will compute also the finite terms and show that they can be numerically important.

In addition, we will study the interplay between the extraction of the Higgs self-coupling measurement from single Higgs production and decay and the four-fermion operators. It was previously proposed that competitive limits to the ones from Higgs pair production on the trilinear Higgs self-coupling can be set using single Higgs data [69–76]. A global fit including all operators entering in Higgs production and decay at tree-level plus the loop-modifications via the trilinear Higgs self-coupling has been performed in [77]. Searches for modifications of the trilinear Higgs self-coupling via single Higgs production have been presented by the ATLAS [78] and CMS [52] collaboration. Using the example of the four-quark operators, we will show that there are other weakly constrained dimension-six operators, that enter at the loop level, that should be included in such analyses as they have a non-trivial interplay with the trilinear Higgs self-coupling extraction from single Higgs measurements. We will hence perform a combined fit of these operators together with the operator modifying the trilinear Higgs self-coupling. While our study does not consider a global fit to all operators entering Higgs data, the results of our computations can be easily used in global analyses. Our main point, namely that in a global fit all operators entering via loop contributions, if so far constrained only weakly (as it is the case for, e.g., four-top operators), should be included, is clearly demonstrated by our few parameters fit.

The paper is structured as follows: in section 5.2 we clarify the notation used for the effective Lagrangian in our analysis. In section 5.3 we give the results of our computation of the loop contributions of the four-fermion operators. The results of a fit to data including the computed loop contributions are presented in section 5.4, where we show results for both current data and projections at the High-Luminosity LHC (HL-LHC). We conclude in section 6.7. Further details of our analysis and additional material derived from our results are presented in two appendices.

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<sup>2</sup>Alternatively, other indirect probes of four-top quark interactions that have been proposed include top quark pair production [64] and electroweak precision data [65]. The latter mostly leads to bounds on operators that can be constrained only weakly from Higgs data.

## 5.2 Notation

In the presence of a gap between the electroweak scale and the scale of new physics,  $\Lambda$ , the effect of new particles below the new physics scale can be described by an EFT. In the case of the SMEFT, the SM Lagrangian is extended by a tower of higher-dimensional operators,  $\mathcal{O}_i$ , built using the SM symmetries and fields (with the Higgs field belonging to an  $SU(2)_L$  doublet), and whose interaction strength is controlled by Wilson coefficients,  $C_i$ , suppressed by the corresponding inverse power of  $\Lambda$ . In a theory where baryon and lepton number are preserved, the leading order (LO) new physics effects are described by the dimension-six SMEFT Lagrangian,

$$\mathcal{L}_{\text{SMEFT}}^{d=6} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda^2} \sum_i C_i \mathcal{O}_i. \quad (5.1)$$

A complete basis of independent dimension-six operators was presented for the first time in [79], the so-called *Warsaw basis*. In this work, we are interested in particular in the effect of four-fermion operators of the third generation. These are, in the basis of [79],

$$\begin{aligned} \Delta \mathcal{L}_{\text{SMEFT}}^{d=6} = & \frac{C_{tt}}{\Lambda^2} (\bar{t}_R \gamma_\mu t_R) (\bar{t}_R \gamma^\mu t_R) + \frac{C_{Qt}^{(1)}}{\Lambda^2} (\bar{Q}_L \gamma_\mu Q_L) (\bar{t}_R \gamma^\mu t_R) + \frac{C_{Qt}^{(8)}}{\Lambda^2} (\bar{Q}_L T^A \gamma_\mu Q_L) (\bar{t}_R T^A \gamma^\mu t_R) \\ & + \frac{C_{QQ}^{(1)}}{\Lambda^2} (\bar{Q}_L \gamma_\mu Q_L) (\bar{Q}_L \gamma^\mu Q_L) + \frac{C_{QQ}^{(3)}}{\Lambda^2} (\bar{Q}_L \sigma_a \gamma_\mu Q_L) (\bar{Q}_L \sigma_a \gamma^\mu Q_L) \\ & + \left[ \frac{C_{QtQb}^{(1)}}{\Lambda^2} (\bar{Q}_L t_R) i\sigma_2 (\bar{Q}_L^T b_R) + \frac{C_{QtQb}^{(8)}}{\Lambda^2} (\bar{Q}_L T^A t_R) i\sigma_2 (\bar{Q}_L^T T^A b_R) + \text{h.c.} \right] \\ & + \frac{C_{bb}^{(1)}}{\Lambda^2} (\bar{b}_R \gamma_\mu b_R) (\bar{b}_R \gamma^\mu b_R) + \frac{C_{tb}^{(1)}}{\Lambda^2} (\bar{t}_R \gamma_\mu t_R) (\bar{b}_R \gamma^\mu b_R) + \frac{C_{tb}^{(8)}}{\Lambda^2} (\bar{t}_R T^A \gamma_\mu t_R) (\bar{b}_R T^A \gamma^\mu b_R) \\ & + \frac{C_{Qb}^{(1)}}{\Lambda^2} (\bar{Q}_L \gamma_\mu Q_L) (\bar{b}_R \gamma^\mu b_R) + \frac{C_{Qb}^{(8)}}{\Lambda^2} (\bar{Q}_L T^A \gamma_\mu Q_L) (\bar{b}_R T^A \gamma^\mu b_R), \end{aligned} \quad (5.2)$$

where we assume all Wilson coefficients to be real. In (5.2),  $Q_L$ ,  $t_R$  and  $b_R$  refer to the third family quark left-handed doublet and right-handed singlets, respectively;  $\sigma_a$  are the Pauli matrices;  $T^A$  are the  $SU(3)_c$  generators and  $^T$  denotes transposition of the  $SU(2)_L$  indices.

The largest effects in Higgs physics are typically expected to come from operators with the adequate chiral structure entering in top quark loops, as they will be proportional to the top quark mass/Yukawa coupling. Conversely, we expect a suppression of operators including bottom quarks with the bottom Yukawa coupling. As we will argue below, either because of their chirality or because they only enter in bottom loops, the operators with right-handed bottom quarks in the last two lines in (5.2) are expected to give only very small effects, and will be neglected. This is not the case for the operators

$\mathcal{O}_{QtQb}^{(1),(8)}$ , which can have sizeable contributions to, e.g. Higgs to  $b\bar{b}$  or gluon fusion rates, proportional to the top quark mass.

We will later on also compare with possible effects of a trilinear Higgs self-coupling modification with respect to the SM. In the dimension-six SMEFT, the only operator that modifies the Higgs self-interactions without affecting the single-Higgs couplings at tree level is

$$\Delta\mathcal{L}_{\text{SMEFT}}^{d=6} = \frac{C_\phi}{\Lambda^2}(\phi^\dagger\phi)^3, \quad (5.3)$$

where  $\phi$  stands for the usual  $SU(2)_L$  scalar doublet, with  $\phi = 1/\sqrt{2}(0, v+h)^T$  in the unitary gauge. Furthermore, for later use we write down also the operators that modify the Higgs coupling to top and bottom quarks

$$\Delta\mathcal{L}_{\text{SMEFT}}^{d=6} = \left( \frac{C_{t\phi}}{\Lambda^2} \phi^\dagger \phi \bar{Q}_L \tilde{\phi} t_R + \frac{C_{b\phi}}{\Lambda^2} \phi^\dagger \phi \bar{Q}_L \phi b_R + \text{h.c.} \right), \quad (5.4)$$

with  $\tilde{\phi} = i\sigma_2\phi^*$ .

## 5.3 Contribution of four-fermion operators to Higgs production and decay

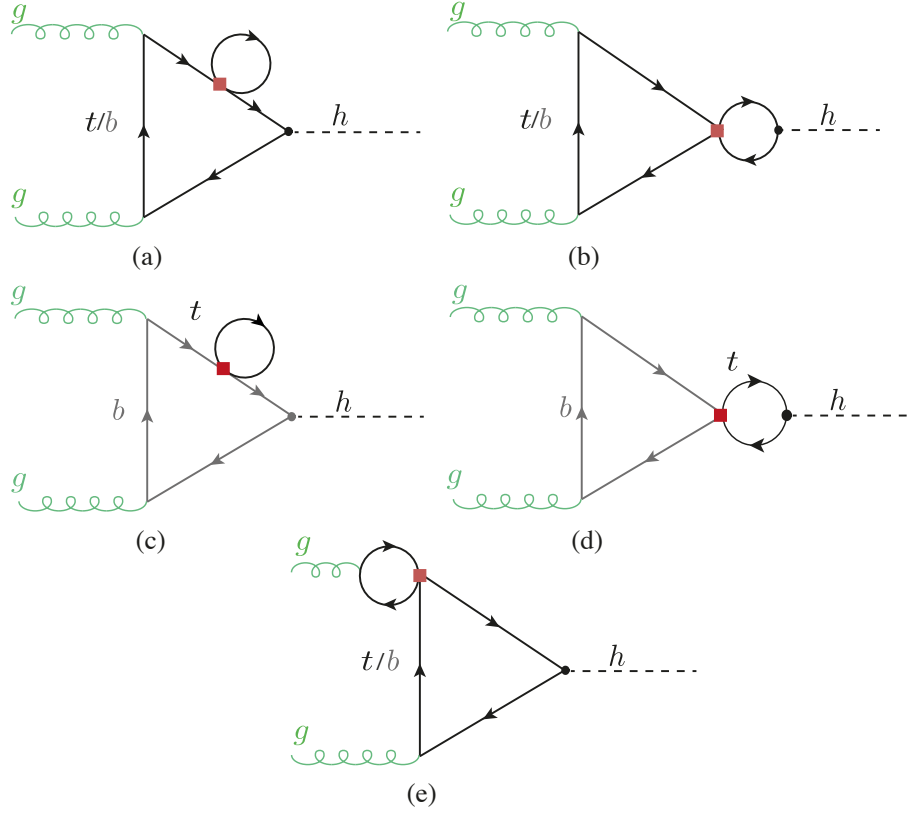
In this section, we discuss the contribution of the third generation four-fermion operators to various Higgs production mechanisms and Higgs decay channels.

### 5.3.1 Higgs coupling to gluons and photons

We start by discussing the calculation of the Higgs couplings to gluons and photons. The four-top-quark operators enter these couplings at the two-loop level. The diagrams are shown in [Figure 5.1](#). There are three classes of diagrams: (a) corrections to the top-quark propagator, (b) corrections to the Higgs Yukawa coupling and (c) corrections to the  $t\bar{t}g$  and  $t\bar{t}\gamma$  vertices. The latter turns out to be zero when the gluons or photons are on-shell. The first and second type of corrections are left-right (LR) transitions hence the only contributions stem from the operators with Wilson coefficients  $C_{Qt}^{(1),(8)}$  and  $C_{QtQb}^{(1),(8)}$ . As can be inferred from the diagrams in [Figure 5.1](#) the result can be expressed as a product of one-loop integrals. We computed the diagrams in two independent calculations making use of different computer algebra tools such as `PackageX` [80], `KIRA` [81], `Fire` [82], `FeynRules` [83] and `FeynArts` [84].<sup>3</sup> We cross-checked the Feynman rules with ref. [85].

<sup>3</sup>Note that the latter tool needed some manual adjustments to deal with four-fermion operators.





**Figure 5.1.** Example Feynman diagrams for four-fermion-operator contributions to the Higgs production via gluon fusion. The red box indicates the four-fermion operator.

For the renormalisation procedure we adopt a mixed on-shell (OS)-

$\overline{\text{MS}}$

– scheme as proposed in [86], in which we renormalise the quark masses OS and the Wilson coefficients of the dimension-six operators using the  $\overline{\text{MS}}$  scheme. We hence renormalise the top/bottom mass as

$$m_{t/b}^{\text{OS}} = m_{t/b}^{(0)} - \delta m_{t/b}, \quad (5.5)$$

where the counterterms are given by

$$\delta m_t = \frac{1}{16\pi^2} \frac{C_{Qt}^{(1)} + c_F C_{Qt}^{(8)}}{\Lambda^2} m_t^3 \left[ \frac{2}{\bar{\epsilon}} + 2 \log \left( \frac{\mu_R^2}{m_t^2} \right) + 1 \right] \quad (5.6)$$

$$+ \frac{1}{16\pi^2} \frac{(2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)}}{\Lambda^2} \left[ \frac{1}{\bar{\epsilon}} + \log \left( \frac{\mu_R^2}{m_b^2} \right) + 1 \right] m_b^3, \\ \delta m_b = \frac{1}{16\pi^2} \frac{(2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)}}{\Lambda^2} \left[ \frac{1}{\bar{\epsilon}} + \log \left( \frac{\mu_R^2}{m_t^2} \right) + 1 \right] m_t^3, \quad (5.7)$$

with  $\bar{\epsilon}^{-1} = \epsilon^{-1} - \gamma_E + \log(4\pi)$ , in dimensional regularization with  $d = 4 - 2\epsilon$ ,  $N_c = 3$  the number of colors, and  $c_F = (N_c^2 - 1)/(2N_c) = 4/3$  the  $SU(3)$  quadratic Casimir in the fundamental representation. We note that, for the calculations of the physical processes in this paper, the difference between using the OS or the  $\overline{\text{MS}}$  definitions of the top and bottom masses in SMEFT results in changes that are formally of  $\mathcal{O}(1/\Lambda^4)$ .<sup>4</sup> We note though that using a SM running  $\overline{\text{MS}}$  bottom mass instead of an OS one makes a relevant difference in the numerical results. In the results presented below we will use the OS bottom mass as an input.

The coefficients of the dimension-six operators are renormalised in the  $\overline{\text{MS}}$  scheme. At one-loop level the only operators entering the Higgs to gluon or photon rates that mix with the four-quark operators are the ones that modify the top or bottom Yukawa couplings:  $\mathcal{O}_{t\phi}$  and  $\mathcal{O}_{b\phi}$ , respectively. The coefficients of these operators are renormalized according to

$$C_{t\phi/b\phi}^{\overline{\text{MS}}} = C_{t\phi/b\phi}^{(0)} + \delta C_{t\phi/b\phi} \quad \text{with} \quad \delta C_{t\phi/b\phi} = -\frac{1}{2\bar{\epsilon}} \frac{1}{16\pi^2} \gamma_{t\phi/b\phi}^j C_j. \quad (5.10)$$

The only four-quark Wilson coefficients contributing to  $\gamma_{t\phi/b\phi}$  are the ones from  $\mathcal{O}_{Qt}^{(1),(8)}$  and  $\mathcal{O}_{QtQb}^{(1),(8)}$ . The explicit expressions for the relevant one-loop anomalous dimension can be obtained from ref. [66, 67]. The Wilson coefficients  $C_{t\phi/b\phi}$  modify the Higgs couplings to top quarks/bottom quarks as follows

$$g_{ht\bar{t}/hb\bar{b}} = \frac{m_{t/b}}{v} - \frac{v^2}{\Lambda^2} \frac{C_{t\phi/b\phi}}{\sqrt{2}}. \quad (5.11)$$

---

<sup>4</sup>In the  $\overline{\text{MS}}$  scheme the mass counterterms become

$$\delta m_t^{\overline{\text{MS}}} = \frac{1}{8\pi^2} \frac{C_{Qt}^{(1)} + c_F C_{Qt}^{(8)}}{\Lambda^2} m_t^3 \frac{1}{\bar{\epsilon}} + \frac{1}{16\pi^2} \frac{(2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)}}{\Lambda^2} \frac{1}{\bar{\epsilon}} m_b^3, \quad (5.8)$$

$$\delta m_b^{\overline{\text{MS}}} = \frac{1}{16\pi^2} \frac{(2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)}}{\Lambda^2} \frac{1}{\bar{\epsilon}} m_t^3. \quad (5.9)$$

Hence, a modification of the Higgs couplings to bottom and top quarks is generated by operator mixing, even if  $C_{t\phi/b\phi}$  are zero at  $\Lambda$ .

The modification of the Higgs production rate in gluon fusion (ggF) can be written as

$$\frac{\sigma_{ggF}}{\sigma_{ggF}^{\text{SM}}} = 1 + \frac{\sum_{i=t,b} 2\text{Re}(F_{\text{LO}}^i F_{\text{NLO}}^*)}{|F_{\text{LO}}^t + F_{\text{LO}}^b|^2} \quad (5.12)$$

with

$$F_{\text{LO}}^i = -\frac{8m_i^2}{m_h^2} \left[ 1 - \frac{1}{4} \log^2(x_i) \left( 1 - \frac{4m_i^2}{m_h^2} \right) \right] \quad (5.13)$$

where  $m_h$  is the Higgs mass, and

$$\begin{aligned} F_{\text{NLO}} = & \frac{1}{4\pi^2\Lambda^2} (C_{Qt}^{(1)} + c_F C_{Qt}^{(8)}) F_{\text{LO}}^t \left[ 2m_t^2 + \frac{1}{4}(m_h^2 - 4m_t^2) \left( 3 + 2\sqrt{1 - \frac{4m_t^2}{m_h^2}} \log(x_t) \right) \right. \\ & \left. + \frac{1}{2}(m_h^2 - 4m_t^2) \log\left(\frac{\mu_R^2}{m_t^2}\right) \right] \\ & + \frac{1}{32\pi^2\Lambda^2} ((2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)}) \left[ F_{\text{LO}}^b \frac{m_t}{m_b} (4m_t^2 - 2m_h^2 \right. \\ & \left. - (m_h^2 - 4m_t^2) \sqrt{1 - \frac{4m_t^2}{m_h^2}} \log(x_t) - (m_h^2 - 4m_t^2) \log\left(\frac{\mu_R^2}{m_t^2}\right) \right) + (t \leftrightarrow b) \right]. \end{aligned} \quad (5.14)$$

Only top quark loops contribute to the parts proportional to  $C_{Qt}^{(1),(8)}$ . We have neglected the contributions of the operators with Wilson coefficient  $C_{Qb}^{(1),(8)}$  as they would lead only to subleading contributions proportional to  $m_b^3$ . The variable  $x_i$  for a loop particle with mass  $m_i$  is given by

$$x_i = \frac{-1 + \sqrt{1 - \frac{4m_i^2}{m_h^2}}}{1 + \sqrt{1 - \frac{4m_i^2}{m_h^2}}}. \quad (5.15)$$

In analogy to (5.12), we can write the modified decay rates of the Higgs boson to gluons as

$$\frac{\Gamma_{h \rightarrow gg}}{\Gamma_{h \rightarrow gg}^{\text{SM}}} = 1 + \frac{\sum_{i=t,b} 2\text{Re}(F_{\text{LO}}^i F_{\text{NLO}}^*)}{|F_{\text{LO}}^t + F_{\text{LO}}^b|^2} \quad (5.16)$$

and

$$\frac{\Gamma_{h \rightarrow \gamma\gamma}}{\Gamma_{h \rightarrow \gamma\gamma}^{\text{SM}}} = 1 + \frac{2\text{Re}(F_{\text{LO},\gamma} F_{\text{NLO},\gamma}^*)}{|F_{\text{LO},\gamma}|^2}. \quad (5.17)$$

In the latter

$$F_{\text{LO},\gamma} = N_C Q_t^2 F_{\text{LO}}^t + N_C Q_b^2 F_{\text{LO}}^b + F_{\text{LO}}^W + F_{\text{LO}}^G, \quad (5.18)$$

and  $F_{\text{NLO},\gamma}$  is obtained from  $F_{\text{NLO}}$  by replacing the LO form factor that appears inside of it by  $F_{\text{LO}}^i \rightarrow N_c Q_i^2 F_{\text{LO}}^i$ , with the charges  $Q_t = 2/3$  and  $Q_b = -1/3$ . The  $W$  boson contribution

$$F_{\text{LO}}^W = 2 \left( 1 + 6 \frac{m_W^2}{m_h^2} \right) - 6 \frac{m_W^2}{m_h^2} \left( 1 - 2 \frac{m_W^2}{m_h^2} \right) \log^2(x_W), \quad (5.19)$$

with  $m_W$  the  $W$  mass, and the Goldstone contribution

$$F_{\text{LO}}^G = 4 \frac{m_W^2}{m_h^2} \left( 1 + \frac{m_W^2}{m_h^2} \log^2(x_W) \right). \quad (5.20)$$

The formulae presented above are valid under the assumption that, at the electroweak scale, the four-quark operators are the only new physics contributions in the dimension-six effective Lagrangian. If, on the other hand, one assumes that the four-quark operators are defined at some high scale  $\Lambda$ , e.g. after matching with an specific ultraviolet (UV) model, further (logarithmic) contributions appear during the running to low energies, as a result of the mixing between these four-fermion interactions and those operators that would modify the processes at LO. Those effects can be included via the renormalisation group equation (RGE) for the operators with Wilson coefficient  $C_{t\phi}$  and  $C_{b\phi}$  [66, 67], that lead approximatively to

$$\begin{aligned} C_{t\phi}(\mu_R) - C_{t\phi}(\Lambda) = & \frac{1}{16\pi^2 v^2} \left[ -2y_t(m_h^2 - 4m_t^2)(C_{Qt}^{(1)} + c_F C_{Qt}^{(8)}) \log\left(\frac{\mu_R^2}{\Lambda^2}\right) \right. \\ & \left. + \frac{y_b}{2}(m_h^2 - 4m_b^2) \left( (2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)} \right) \log\left(\frac{\mu_R^2}{\Lambda^2}\right) \right] \end{aligned} \quad (5.21)$$

and

$$C_{b\phi}(\mu_R) - C_{b\phi}(\Lambda) = \frac{y_t}{32\pi^2 v^2} \left[ (m_h^2 - 4m_t^2) \left( (2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)} \right) \log\left(\frac{\mu_R^2}{\Lambda^2}\right) \right], \quad (5.22)$$

where  $y_{t/b} = \sqrt{2}m_{t/b}/v$ . Note that the combinations of Wilson coefficients appearing in (5.21)(5.22) are the same as in  $F_{\text{NLO}}$  in (5.14). Effectively, we can then obtain the result under the assumption that the four-fermion operators are the only non-zero ones at the high scale by replacing in (5.14)  $\mu_R \rightarrow \Lambda$ , noting that we have renormalised the top and bottom quark mass in the OS scheme.

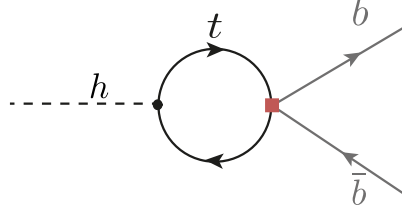


Figure 5.2. Feynman diagram contributing to the NLO  $h \rightarrow b\bar{b}$  process.

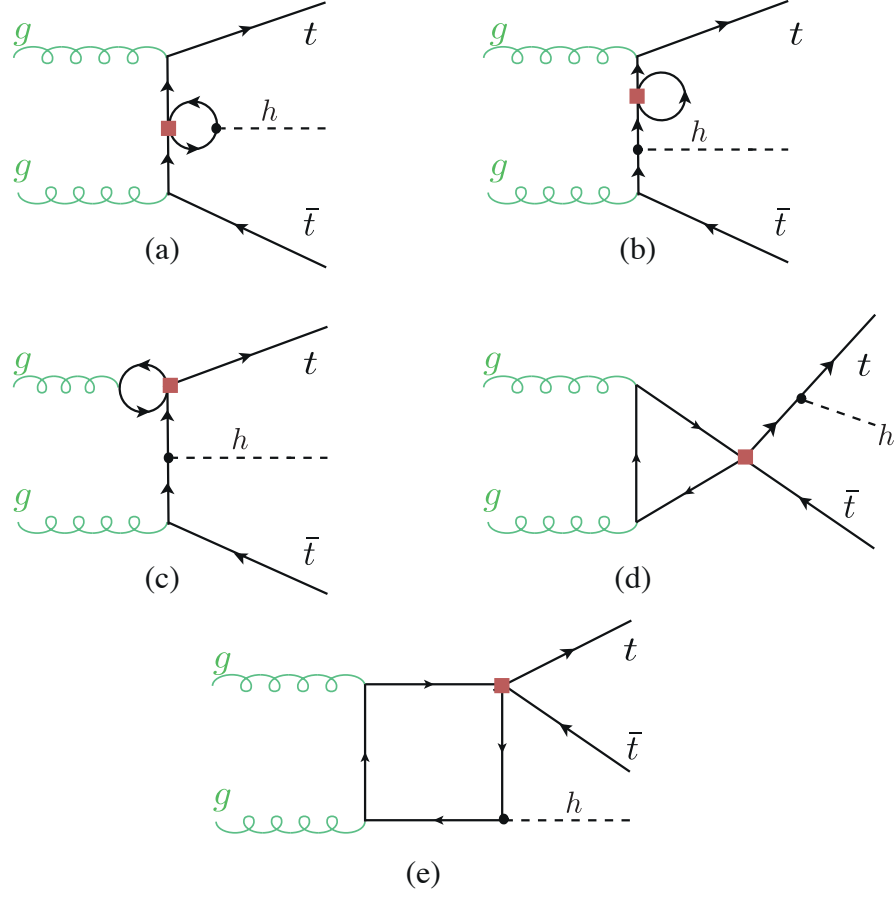
### 5.3.2 Higgs decay to bottom quarks

The dominant four-fermion contributions to decay channel  $h \rightarrow b\bar{b}$  come from the operators with Wilson coefficients  $C_{QtQb}^{(1),(8)}$ . The corresponding diagram at NLO is shown in fig 5.2. Adopting the same renormalisation procedure as outlined in the previous subsection, we obtain the following expression for the correction to the  $h \rightarrow b\bar{b}$  decay rate in the presence of  $\mathcal{O}_{QtQb}^{(1),(8)}$ ,

$$\begin{aligned} \frac{\Gamma_{h \rightarrow b\bar{b}}}{\Gamma_{h \rightarrow b\bar{b}}^{\text{SM}}} = & 1 + \frac{1}{16\pi^2} \frac{m_t}{m_b} (m_h^2 - 4m_t^2) \frac{(2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)}}{\Lambda^2} \\ & \times \left[ 2 + \sqrt{1 - \frac{4m_t^2}{m_h^2}} \log(x_t) - \log\left(\frac{m_t^2}{\mu_R^2}\right) \right], \end{aligned} \quad (5.23)$$

which carries an enhancement factor of  $m_t/m_b$  and is hence expected to be rather large. Again, we have neglected subdominant contributions suppressed by the bottom mass from the operators  $\mathcal{O}_{Qb}^{(1),(8)}$ . Including the leading logarithmic running of  $C_{b\phi}$  of (5.22) from the high scale  $\Lambda$  to the electroweak scale is achieved by setting in (5.23)  $\mu_R \rightarrow \Lambda$ . The expression in (5.23) agrees with results obtained from the full calculation of the NLO effects in the dimension-six SMEFT, first computed in [87].

This closes the discussion of the main effects that the third-generation four-quark operators can have in the different Higgs decay widths.<sup>5</sup> Note also that these modifications of the Higgs decay rate to photons, gluons and, especially, bottom quarks, affect all the branching ratios (BRs) due to the modification of the Higgs total width, and therefore have an observable effect in all Higgs processes measured at the LHC.

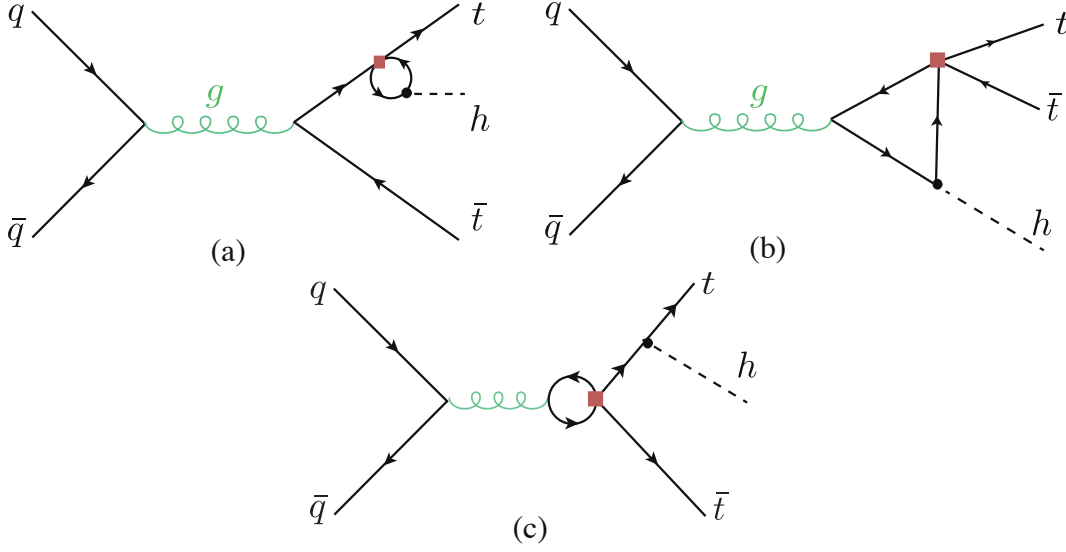


**Figure 5.3.** Feynman diagrams including the four-fermion loop contributions to the  $gg \rightarrow t\bar{t}h$  subprocess.

### 5.3.3 Associated production of a Higgs boson with top quarks

The associated Higgs production with top quarks,  $t\bar{t}h$ , receives significant NLO corrections from the singlet and octet operators  $\mathcal{O}_{Qt}^{(1),(8)}$ , while the contributions from  $\mathcal{O}_{QtQb}^{(1),(8)}$  remain small. In addition, there are some small contributions from the singlet and triplet left-handed operators,  $\mathcal{O}_{QQ}^{(1),(3)}$ , and the right-handed four-top operator,  $\mathcal{O}_{tt}$ , as well. The  $t\bar{t}h$  process can be either initiated by gluons, see Figure 5.3, or by a quark anti-quark pair, see Figure 5.4. The triangle and box topologies (shown as (d) and (e) in Figure 5.3

<sup>5</sup>Four-fermion operators also affect the  $h \rightarrow Z\gamma$  partial width. However, as in the diphoton case, the effect is expected to be small due to the dominance of the  $W$  boson loop. Because of this, and given the smallness of the  $h \rightarrow Z\gamma$  branching ratio and the relatively low precision expected in this channel at the LHC, we neglect the effects of four-fermion interactions in this decay.



**Figure 5.4.** Feynman diagrams including the four-fermion loop contributions to the  $q\bar{q} \rightarrow t\bar{t}h$  subprocess.

and as (b) in Figure 5.4) are finite. While for Higgs production/decay in/to gluons only certain combinations of singlet/octet operators entered, leading to a degeneracy, this is not the case for  $t\bar{t}h$  production, where the gluons no longer need to combine to a colour singlet state. The degeneracy between the singlet and octet operators is mainly broken by the contributions from the triangle diagrams, where, for instance, the difference between the contributions of  $\mathcal{O}_{Qt}^{(1)}$  and  $\mathcal{O}_{Qt}^{(8)}$  does not follow the same color structure as other diagrams.

We adopt a four-flavour scheme for the computation of the quark-initiated contributions. We note that within a five-flavour scheme operators containing both bottom and top quarks lead to a LO contribution from a direct contact diagram. Nevertheless, this gives an overall negligible correction as the  $b\bar{b}$  initiated  $t\bar{t}h$  process is suppressed by the small bottom parton distribution functions. The effect of changing the flavour scheme results in an uncertainty of 1 – 2%.

We have computed the NLO corrections using `Madgraph_aMCNLO` [88] (version 3.1.0) with the `SMEFTatNLO v1.0.2` model [64]. The results were cross-checked by an analytic computation<sup>6</sup>, based on the reduction of one-loop amplitudes via the method developed by G. Ossola, C.G. Papadopoulos and R. Pittau (OPP reduction) [89]. The OPP reduction was done using the `CutTools` programme [90]. It reduces the one-loop amplitude into 1,2,3 and 4-point loop functions in four dimensions, keeping spurious terms from

<sup>6</sup>The `FORTTRAN` code containing this analytical calculation can be provided on request.

the  $\epsilon$  part of the amplitude. To correct for such terms, one needs to compute the divergent UV counterterm as well as a finite rational terms, denoted  $R_2$  as in Ref. [91].<sup>7</sup> The amplitudes were generated in the same way as for gluon fusion. The UV and  $R_2$  counterterms, that need to be supplemented to **CutTools**, were computed manually following the method detailed in [91]. The UV counterterms are the same as for gluon fusion, in addition to a new one that is needed to be introduced to renormalise diagrams of type (c) in Figure 5.3 and Figure 5.4. This is due to the operator mixing of light – heavy four-quark operators with heavy four-quark operators. Effectively, this leads to a counterterm

$$\text{Diagram (c)} = \frac{ig_s}{12\pi^2\Lambda^2} T_{ij}^A p_g^2 \gamma^\mu \left( C_{tt} P_R + (C_{QQ}^{(1)} + C_{QQ}^{(3)}) P_L + \frac{C_{Qt}^{(8)}}{4} \right) \left( \frac{1}{\epsilon} - 1 \right). \quad (5.24)$$

Since the singlet and octet operators  $\mathcal{O}_{QtQb}^{(1),(8)}$  are not implemented in the current version of **SMEFTatNLO**, or in any other loop-capable **UFO** model available, we have modified the **SMEFTatNLO** model to include these operators, by including their Feynman rules and computing the UV and  $R_2$  counterterms needed for the  $t\bar{t}h$  calculation. These  $\mathcal{O}_{QtQb}^{(1),(8)}$  contributions are included for consistency, as they were relevant and thus included in the calculation of, e.g.  $h \rightarrow b\bar{b}$ . However, as we will argue below, their contribution to  $t\bar{t}h$  is rather small. Similarly, other “mixed” bottom-top operators are expected to give also suppressed contributions, compared to those from four-top operators. Therefore we neglect their effects in our calculation.<sup>8</sup>

Again, to connect with specific models that may generate the four-quark operators at the new physics scale  $\Lambda$ , one needs to consider the contributions that come from the running from  $\Lambda$  to low energies, and that mix these operators with those entering in  $t\bar{t}h$  at the LO level. For the gluon-initiated subprocess the relevant contributions are from the running of  $C_{t\phi}$  in (5.21), while for the quark-initiated subprocess we need to account for the mixing of the third generation four-fermion operators with the ones connecting the third generation with the first two generations. The corresponding corrections can be obtained from the RGEs in refs. [66–68].

### 5.3.4 Results

Here we provide semi-analytical expressions for the results of our NLO calculations including the effects of the third generation four-quark operators. These NLO contribu-

<sup>7</sup>Another rational term  $R_1$  appears due to the mismatch between the four and  $d$  dimensional amplitudes, but this is computed automatically in **CutTools**.

<sup>8</sup>Furthermore, we note that such operators are also currently not included in **SMEFTatNLO**. A computation of their contributions, while being beyond the scope of this paper, would require a similar strategy as for the  $\mathcal{O}_{QtQb}^{(1),(8)}$  operator.



tions to the single Higgs rates, as a function of the four-heavy-quark Wilson coefficients, are denoted by

$$\delta R(C_i) = R/R^{\text{SM}} - 1, \quad (5.25)$$

where  $R$  stands generically for partial width  $\Gamma$  or cross section  $\sigma$ . They are summarised in Table 5.1. The numbers consider only the linear contributions in  $\Lambda^{-2}$ . The respective  $\delta R(C_i)$  get a contribution from the computation of the finite corrections to the process and an additional contribution from operator mixing due to RGE running and can hence be split into two parts

$$\delta R(C_i) = \frac{C_i}{\Lambda^2} \left( \delta R_{C_i}^{\text{fin}} + \delta R_{C_i}^{\text{log}} \log \left( \frac{\mu_R^2}{\Lambda^2} \right) \right). \quad (5.26)$$

We note that the way we write our results corresponds to the finite part of the NLO correction taken at a typical process scale  $\mu_R$  and a contribution obtained by solving the RGE of the dimension-six Wilson coefficients via the leading log approximation from the high scale  $\Lambda$  to the low scale  $\mu_R$ . Both the finite part dependence  $\delta R_{C_i}^{\text{fin}}$  of these corrections on the Wilson coefficient as well as the part proportional to the logarithm  $\delta R_{C_i}^{\text{log}}$  are reported in table 5.1. Our results can be improved by replacing the part proportional to the coefficients  $\delta R_{C_i}^{\text{log}}$  by solving the coupled system of RGEs. For  $\Lambda = 1$  TeV, and depending on the renormalisation scale of the process, the value of the logarithm in (5.26) ranges between  $\sim [-5.5, -2.9]$ . With these numerical values in mind and by looking at  $\delta R_{C_i}^{\text{log}}$  in table 5.1, we see that the finite part of the NLO calculation, i.e.  $\delta R_{C_i}^{\text{fin}}$ , is usually of the same order of magnitude or larger than the leading-log part, with the exception of the  $C_{QtQb}^{(1),(8)}$  contributions to the  $h \rightarrow b\bar{b}$ . This underlines the importance of considering the full NLO computation in the determination of the Wilson coefficients for  $C_{Qt}^{(1),(8)}$ , whereas for  $C_{QtQb}^{(1),(8)}$ , where the limits are mainly driven by  $h \rightarrow b\bar{b}$ , they turn out to play less important role.

The numerical values were obtained using as input parameters

$$\begin{aligned} G_F &= 1.166378 \cdot 10^{-5} \text{ GeV}^{-2}, \quad m_W = 80.379 \text{ GeV}, \quad m_Z = 91.1876 \text{ GeV}, \\ m_t^{\text{OS}} &= 172.5 \text{ GeV}, \quad m_b^{\text{OS}} = 4.7 \text{ GeV}, \quad m_h = 125.1 \text{ GeV}, \end{aligned} \quad (5.27)$$

and the NNPDF23 set at NLO [92].

Looking at the results, first we note that the operators  $\mathcal{O}_{QQ}^{(1),(3)}$  and  $\mathcal{O}_{tt}$  only contribute to  $t\bar{t}h$  production. In this regard, however, it must be noted that the uncertainties of the renormalisation schemes, the scale uncertainty, the PDF+ $\alpha_s$  uncertainty and the one of the flavour schemes of the  $t\bar{t}h$  process are  $\sim 5\%$ . This is larger than the typical effects of  $C_{QQ}^{(1),(3)}$  and  $C_{tt}$  for  $\mathcal{O}(1)$  coefficients. Therefore, all Higgs rates are expected to be relatively insensitive to these interactions unless rather large values of these Wilson

Operator	Process	$\mu_R$	$\delta R_{C_i}^{fin} [\text{TeV}^2]$	$\delta R_{C_i}^{log} [\text{TeV}^2]$
$\mathcal{O}_{Qt}^{(1)}$	ggF	$\frac{m_h}{2}$	$9.91 \cdot 10^{-3}$	$2.76 \cdot 10^{-3}$
	$h \rightarrow gg$	$m_h$	$6.08 \cdot 10^{-3}$	$2.76 \cdot 10^{-3}$
	$h \rightarrow \gamma\gamma$	$m_h$	$-1.76 \cdot 10^{-3}$	$-0.80 \cdot 10^{-3}$
	$t\bar{t}h$ 13 TeV	$m_t + \frac{m_h}{2}$	$-4.21 \cdot 10^{-1}$	$2.25 \cdot 10^{-3}$
	$t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$-4.30 \cdot 10^{-1}$	$2.25 \cdot 10^{-3}$
$\mathcal{O}_{Qt}^{(8)}$	ggF	$\frac{m_h}{2}$	$1.32 \cdot 10^{-2}$	$3.68 \cdot 10^{-3}$
	$h \rightarrow gg$	$m_h$	$8.11 \cdot 10^{-3}$	$3.68 \cdot 10^{-3}$
	$h \rightarrow \gamma\gamma$	$m_h$	$-2.09 \cdot 10^{-3}$	$-1.07 \cdot 10^{-3}$
	$t\bar{t}h$ 13 TeV	$m_t + \frac{m_h}{2}$	$6.53 \cdot 10^{-2}$	$-4.11 \cdot 10^{-3}$
	$t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$7.30 \cdot 10^{-2}$	$-4.39 \cdot 10^{-3}$
$\mathcal{O}_{QtQb}^{(1)}$	ggF	$\frac{m_h}{2}$	$2.84 \cdot 10^{-2}$	$9.21 \cdot 10^{-3}$
	$h \rightarrow gg$	$m_h$	$1.57 \cdot 10^{-2}$	$9.21 \cdot 10^{-3}$
	$h \rightarrow \gamma\gamma$	$m_h$	$-1.30 \cdot 10^{-3}$	$-0.78 \cdot 10^{-3}$
	$h \rightarrow b\bar{b}$	$m_h$	$9.25 \cdot 10^{-2}$	$1.68 \cdot 10^{-1}$
	$t\bar{t}h$ 13 TeV	$m_t + \frac{m_h}{2}$	$-3.04 \cdot 10^{-3}$	$-1.22 \cdot 10^{-3}$
$\mathcal{O}_{QtQb}^{(8)}$	$t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$-2.20 \cdot 10^{-3}$	$-1.22 \cdot 10^{-3}$
	ggF	$\frac{m_h}{2}$	$5.41 \cdot 10^{-3}$	$1.76 \cdot 10^{-3}$
	$h \rightarrow gg$	$m_h$	$2.98 \cdot 10^{-3}$	$1.76 \cdot 10^{-3}$
	$h \rightarrow \gamma\gamma$	$m_h$	$-0.25 \cdot 10^{-3}$	$-0.15 \cdot 10^{-3}$
	$h \rightarrow b\bar{b}$	$m_h$	$1.76 \cdot 10^{-2}$	$3.20 \cdot 10^{-2}$
$\mathcal{O}_{QQ}^{(1)}$	$t\bar{t}h$ 13 TeV	$m_t + \frac{m_h}{2}$	$1.89 \cdot 10^{-3}$	$-1.11 \cdot 10^{-4}$
	$t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$2.31 \cdot 10^{-3}$	$-1.12 \cdot 10^{-4}$
$\mathcal{O}_{QQ}^{(3)}$	$t\bar{t}h$ 13 TeV	$m_t + \frac{m_h}{2}$	$0.64 \cdot 10^{-3}$	$-0.31 \cdot 10^{-4}$
	$t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$0.45 \cdot 10^{-3}$	$-0.33 \cdot 10^{-4}$
$\mathcal{O}_{tt}$	$t\bar{t}h$ 13 TeV	$m_t + \frac{m_h}{2}$	$7.50 \cdot 10^{-3}$	$-3.77 \cdot 10^{-4}$
	$t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$6.42 \cdot 10^{-3}$	$-3.80 \cdot 10^{-4}$

**Table 5.1.** The NLO corrections from the four heavy-quark SMEFT operators of this study to single Higgs rates. We have separated the contributions into the finite piece  $\delta R_{C_i}^{fin}$  and the leading log running of the Wilson coefficients  $\delta R_{C_i}^{log}$ , see (5.26).

coefficients are allowed. Secondly, from the analytic results, we observe that in the NLO corrections to Higgs rates, the Wilson coefficients  $C_{QtQb}^{(1)}$ ,  $C_{QtQb}^{(8)}$  always appear in a linear combination identical to the one seen in the RGE of the Wilson coefficients  $C_{t\phi}$  and  $C_{b\phi}$ , i.e.

$$C_{QtQb}^+ = (2N_c + 1)C_{QtQb}^{(1)} + c_F C_{QtQb}^{(8)}. \quad (5.28)$$

The exception is the  $t\bar{t}h$  process, which has a small finite part contribution that breaks

this relation. However, this finite part is suppressed by the bottom quark mass and therefore it is very small. Thus, all single Higgs rates are mostly sensitive to the linear combination in (5.28). We finally note that apart from  $\mathcal{O}_{Qt}^{(1),(8)}$  all the other operators produce only small contributions to the  $t\bar{t}h$  process. In particular, the top-bottom operators  $\mathcal{O}_{QtQb}^{(1),(8)}$  show a suppression with  $m_b$ , which also typically results in contributions below the theoretical uncertainties. (We explicitly checked this in our calculation and by setting  $m_b = 0$  in the `Madgraph_aMCNLO` simulations.) This is also expected for other “mixed” top-bottom operators, which would contribute via bottom-quark loops and hence would be strongly suppressed, justifying that we did not consider them here.

## 5.4 Fit to Higgs observables

In this section we will show the results of a combined fit of the four-quark operators of the third generation and the operator that modifies the Higgs potential and hence the Higgs self-coupling. In ref. [70–73, 75] it was proposed to extract the trilinear Higgs self-coupling via its loop effects in single Higgs measurements. Within the assumptions of the SMEFT, a model-independent determination of the triple Higgs self-interaction,  $\lambda_3$ , should be considered within a global analysis considering all effective interactions that enter up to the same order in perturbation theory as  $\lambda_3$ . In particular, apart from the trilinear Higgs self-coupling modification, such a study must include those operators that enter at LO in Higgs production and decay [77]. Furthermore, the sensitivity to the Higgs self-coupling modifications can also be diminished by other operators entering as the trilinear Higgs self-coupling via loop effects, if those operators are not yet strongly constrained experimentally by other processes. Such is the case for some of the four-quark operators considered in this paper. In order to show this, we have performed a combined fit to the operator with Wilson coefficient  $C_\phi$  and the four-fermion operators considered in this study. A full global fit including all new physics effects would require the combination of Higgs data with that from other processes and is beyond the scope of this paper.

### 5.4.1 Fit methodology

For each experimentally observed channel with a signal strength  $\mu_{\text{Exp}} \equiv \sigma_{\text{Obs}}/\sigma_{\text{SM}}$ , one can build a theoretical prediction for this signal strength,  $\mu_{\text{Th}} \equiv \sigma_{\text{Th}}/\sigma_{\text{SM}}$ , where  $\sigma_{\text{Th}} = \sigma_{\text{Prod}} \times \text{BR}$  includes the effects generated by the dimension-six operators. The theory predictions for the signal strengths are then used to build a test statistic in the form of a log-likelihood of a Gaussian distribution

$$\log(L) = -\frac{1}{2} \left[ (\vec{\mu}_{\text{Exp}} - \vec{\mu})^T \cdot \mathbf{V}^{-1} \cdot (\vec{\mu}_{\text{Exp}} - \vec{\mu}) \right]. \quad (5.29)$$

The covariance matrix  $\mathbf{V}$  is constructed from the experimental uncertainties  $\delta\mu_{\text{Exp}}$  and correlations<sup>9</sup>, as well as the theoretical uncertainties (scale, PDF,  $\alpha_s$ , ...).

The log-likelihood of (5.29) was used together with flat priors  $\pi(C_i) = \text{const.}$  in a Bayesian fit of the Wilson coefficients of interest. A Markov chain Monte Carlo (MCMC) using `pymc3` [93] was used to construct the posterior distribution. We use the `Arviz` Bayesian analysis package [94] to extract the credible intervals (CIs) from the highest density posterior intervals (HDPI) of the posterior distributions, where the intervals covering 95% (68%) of the posterior distribution are considered the 95% (68%) CIs. In the Gaussian limit, these 95% (68%) CIs should be interpreted as equivalent to the 95% (68%) Frequentist Confidence Level (CL) two-sided bounds. To cross-check the MCMC Bayesian fit, a frequentist Pearson's  $\chi^2$  fit was performed using `iminuit` [95, 96], where the  $\chi^2$  was taken to be

$$\chi^2 = -2\log(L). \quad (5.30)$$

Both fit results agreed on the 95% and 68% CI (or CL) bounds.<sup>10</sup> The code for the fit, experimental input and the analysis can be found in the repository [100].

In the theoretical predictions for the signal strengths, we will assume that the new physics corrections to the cross sections and the decay widths are linearised, i.e.

$$\mu(C_\phi, C_i) = \frac{\sigma_{\text{Prod}}(C_\phi, C_i) \times \text{BR}(C_\phi, C_i)}{\sigma_{\text{Prod,SM}} \times \text{BR}_{\text{SM}}} \approx 1 + \delta\sigma(C_\phi, C_i) + \delta\Gamma(C_\phi, C_i) - \delta\Gamma_h(C_\phi, C_i), \quad (5.31)$$

with  $\delta\sigma$ ,  $\delta\Gamma$ ,  $\delta\Gamma_h$  ( $\Gamma_h$  denotes the Higgs total width) being the NLO corrections, relative to the SM prediction as in (5.25), from the dimension-six operators with Wilson coefficients  $C_\phi$  and  $C_i$ . Here,  $C_i$  stands schematically for  $C_{Qt}^{(1)}$ ,  $C_{Qt}^{(8)}$ ,  $C_{QtQb}^{(1)}$ ,  $C_{QtQb}^{(8)}$ ,  $C_{QQ}^{(1)}$ ,  $C_{QQ}^{(3)}$  and  $C_{tt}$ . As mentioned in the previous section, however, the sensitivity to  $C_{QQ}^{(1),(3)}$  and  $C_{tt}$  is rather small, typically below the theory uncertainty of the calculation, and we will ignore these Wilson coefficients in the fits presented in this section.

In particular, in (5.31) all the corrections from the four-quark operators to the cross sections and decay widths are fully linearised in  $1/\Lambda^2$ . Given that current bounds on these operators are rather weak, one may wonder about the uncertainty in our fits associated to the truncation of the EFT. Note that, since the four-quark operators only enter into the virtual corrections at NLO, Higgs production and decay contain only linear terms in  $1/\Lambda^2$  in the corresponding Wilson coefficients, i.e. the quadratic terms coming from squaring the amplitudes are technically of next-to-NLO. Hence, the quadratic effects in the signal strengths come from not linearising the corrections to the product  $\sigma_{\text{Prod}} \times \text{BR}$ . We explicitly checked that, for the fits we presented in the next section, the difference between including the full expression of the signal strength or

<sup>9</sup>Correlations amongst channels of  $< 10\%$  were ignored.

<sup>10</sup>In order to plot the multidimensional posterior distributions and the forest plots we have used a code based on `corner.py` [97], `pygtc` [98] and `zEpid` [99].

the linearised version in (5.31) results in differences in the bounds at the  $\lesssim 10\%$  level. The results we present for the four-quark operator are, therefore, relatively stable with respect to the truncation of the EFT expansion. For the  $\mathcal{O}_\phi$  operator, however, there is an additional contribution to the virtual corrections stemming from the wave function renormalisation of the Higgs field. The correction to a given production cross section or decay width, again denoted generically by  $R$ , is given by

$$\delta R_{\lambda_3} \equiv \frac{R_{\text{NLO}}(\lambda_3) - R_{\text{NLO}}(\lambda_3^{\text{SM}})}{R_{\text{LO}}} = -2 \frac{C_\phi v^4}{\Lambda^2 m_h^2} C_1 + \left( -4 \frac{C_\phi v^4}{\Lambda^2 m_h^2} + 4 \frac{C_\phi^2 v^8}{m_h^4 \Lambda^4} \right) C_2. \quad (5.32)$$

In (5.32), the coefficient  $C_1$  corresponds to the contribution of the trilinear coupling to the single Higgs processes at one loop, adopting the same notation as [71]. The values of  $C_1$  for the different processes of interest for this paper are given in Appendix ???. The coefficient  $C_2$  describes universal corrections and is given by

$$C_2 = \frac{\delta Z_h}{1 - \left( 1 - \frac{2C_\phi v^4}{\Lambda^2 m_h^2} \right)^2 \delta Z_h}, \quad (5.33)$$

where the constant  $\delta Z_h$  is the SM contribution from the Higgs loops to the wave function renormalisation of the Higgs boson,

$$\delta Z_h = -\frac{9}{16} \frac{G_F m_h^2}{\sqrt{2} \pi^2} \left( \frac{2\pi}{3\sqrt{3}} - 1 \right). \quad (5.34)$$

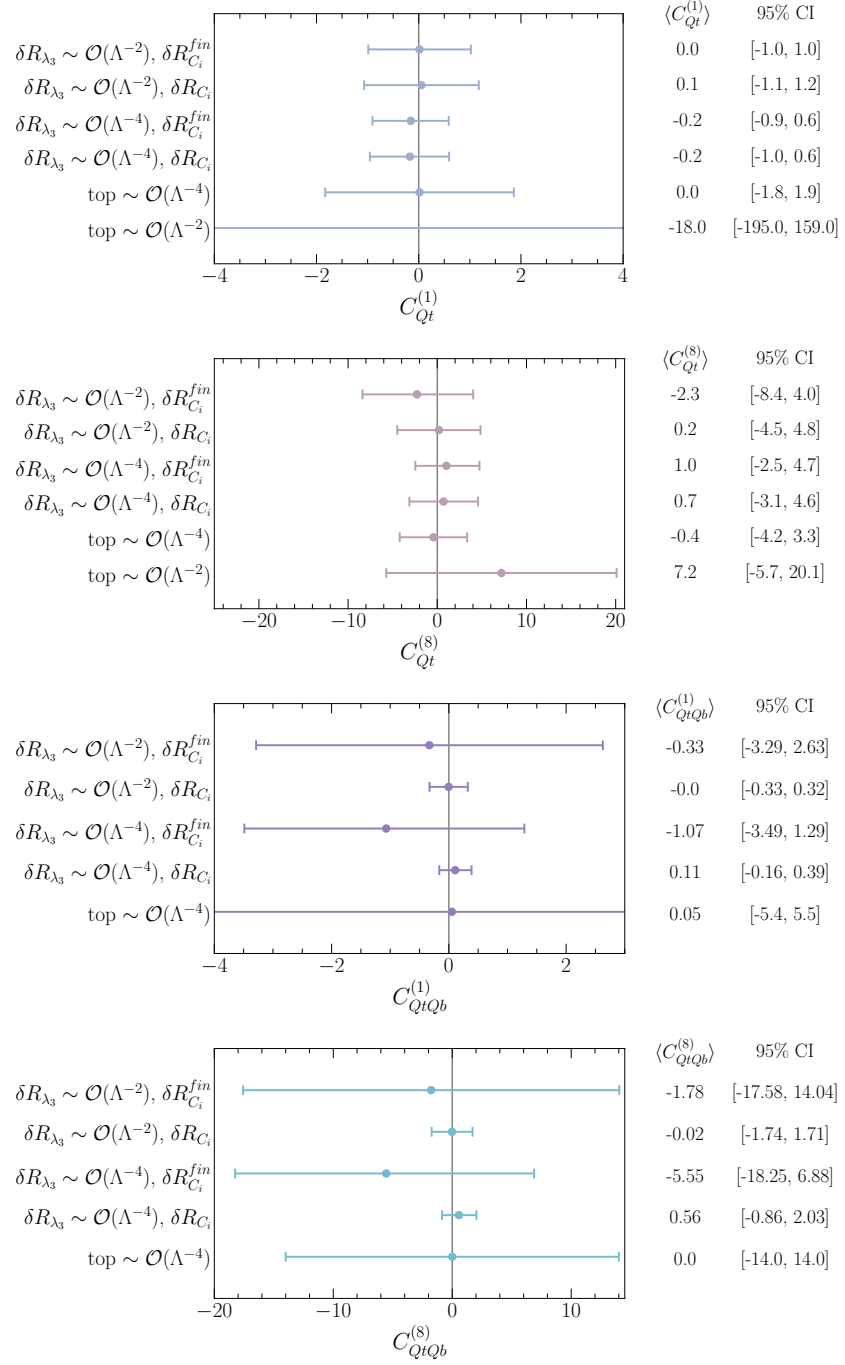
The coefficient  $C_2$  thus introduces additional  $\mathcal{O}(1/\Lambda^4)$  (and higher order) terms in  $\delta R_{\lambda_3}$ . In ref. [71] considering the  $\kappa$  formalism the full expression of (5.33) is kept, while we define two different descriptions: one in which we expand  $\delta R_{\lambda_3}$  up to linear order and an alternative scheme in which we keep also terms up to  $\mathcal{O}(1/\Lambda^4)$  in the EFT expansion. We explicitly checked that keeping the full expression in (5.33) and including terms up to  $\mathcal{O}(1/\Lambda^4)$  in  $C_2$  lead to nearly the same results in our fits.

#### 5.4.2 Fit to LHC Run-II data

For the fit we have used inclusive Higgs data from the LHC Run II for centre-of-mass energy of  $\sqrt{s} = 13$  TeV and integrated luminosity of  $139 \text{ fb}^{-1}$  for ATLAS and  $137 \text{ fb}^{-1}$  for CMS. The experimental input is summarised in Table 2.1 in Appendix ??.

In Figure 5.5 we show the limits of a two-parameter fit for various heavy quark Wilson coefficients  $C_i$ , marginalising over  $C_\phi$ . We confront them also with the limits obtained from fits to top data [59, 63, 101–104]. Note that, although our bounds do not come from a global fit, they can be compared with similar results from the fits to top

## 5 Four top operator in Higgs production and decay



**Figure 5.5.** Forest plots illustrating the means and 95% CIs of the posteriors built from the four-fermion Wilson coefficients with  $C_\phi$  marginalised. The plots confront also the truncation of the EFT at  $\mathcal{O}(1/\Lambda^2)$  and  $\mathcal{O}(1/\Lambda^4)$  of  $\delta R_{\lambda_3}$  as defined in (5.32). The 95% CI bounds stem from Higgs data. The last two rows for each operator show instead the limits obtained by a single parameter fit to top data, linear and quadratic. The top data results are taken from [101] for  $C_{Qt}^{(1),(8)}$  and [59] for  $C_{QtQb}^{(1),(8)}$ .

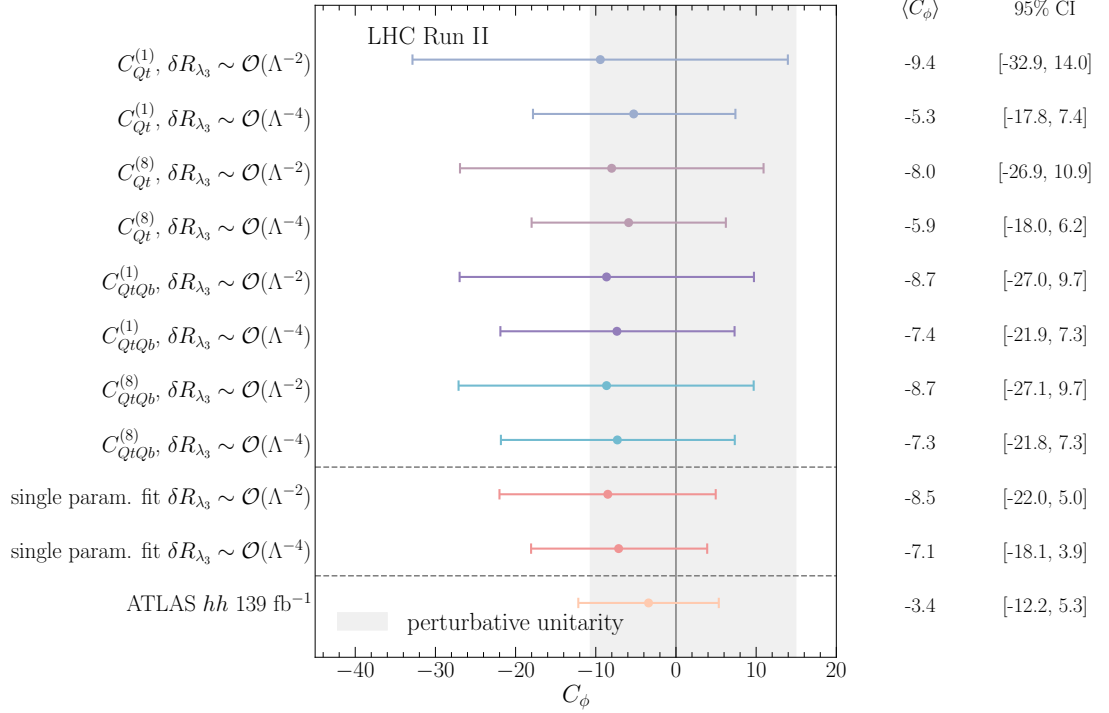
data that assume that only one operator is “switched on” at a time. In these cases, we find that our new bounds are more stringent or at least comparable to the 95% CI bounds on the  $C_i$  operators fit results from top data. We also note that, while the limits from top data show a large uncertainty from the EFT truncation<sup>11</sup>, even when only one operator is considered at a time, our NLO results for the four-quark operators are quite stable if one considers quadratic effects, as mentioned above. On the other hand, fig. 5.5 also shows that there is a rather large uncertainty associated to the EFT truncation of the effects of the  $\mathcal{O}_\phi$  operator in the wave function renormalization of the Higgs boson. Furthermore, the plot displays the bounds for two different assumptions for the scale at which the operators are defined. The lines showing  $\delta R^{fin}$  assume that there are only the corresponding four-quark operator and  $\mathcal{O}_\phi$  at the electroweak scale<sup>12</sup>, while the line corresponding to  $\delta R$  shows the limits assuming that the four-fermion operators (and  $\mathcal{O}_\phi$ ) are the only ones at a scale  $\Lambda = 1$  TeV. We can again infer from the fact that the bounds remain the same order of magnitude between  $\delta R^{fin}$  and  $\delta R$  that the inclusion of the finite terms for the operators  $\mathcal{O}_{Qt}^{(1),(8)}$  is important if the new physics scale is not extremely high. Instead, for the operators  $\mathcal{O}_{QtQb}^{(1),(8)}$  the bounds become much stronger when including the logarithmic piece, so we can conclude that in that case the finite piece is less relevant. In all the fit results that we will present in what follows, we will assume that the Wilson coefficients are always evaluated at the scale  $\Lambda = 1$  TeV.

In Figure 5.6 we show the limits on  $C_\phi$  for various two-parameter fits including the two different EFT truncations of  $\delta R_{\lambda_3}$ . We also show the results from a single parameter fit on  $C_\phi$ . For comparison, we show the ATLAS limits from full LHC run-II Higgs pair production in the final state  $b\bar{b}\gamma\gamma$  [105] where we have translated the bounds from  $\kappa_\lambda \equiv \lambda_3/\lambda_3^{\text{SM}}$  to the SMEFT, keeping both linear and quadratic terms. While the limits on  $C_\phi$  from single and double Higgs production are of similar size when keeping terms up to  $\mathcal{O}(1/\Lambda^4)$  in the single Higgs fit, the limits from single Higgs become weaker if one keeps only terms up to  $\mathcal{O}(1/\Lambda^2)$ . In this case, the fit remains questionable leading to limits beyond the perturbative unitarity bound of ref. [106]. Instead, for Higgs pair production is makes only a negligible effect if linear or up to quadratic terms in the EFT expansion are kept for the  $C_\phi > 0$  bound, while the bound weakens at linear order in  $1/\Lambda^2$  for  $C_\phi < 0$  [107]. We also see that the limits on  $C_\phi$  become significantly weaker in a two-parameter fit with the four-quark operators, indicating that in a proper global SMEFT fit also the loop effects of other weakly constrained operators, such as these, need to be accounted for.

One of the important aspects of multivariate studies is the correlation among vari-

<sup>11</sup>In particular, for the  $C_{QtQb}^{(1),(8)}$  operator the references only calculate contributions of order  $\mathcal{O}(1/\Lambda^4)$ . (The fit considering only linear terms would result in bounds of order  $\mathcal{O}(10^4)$ .) Hence, in this case, we only quote the quadratic bounds.

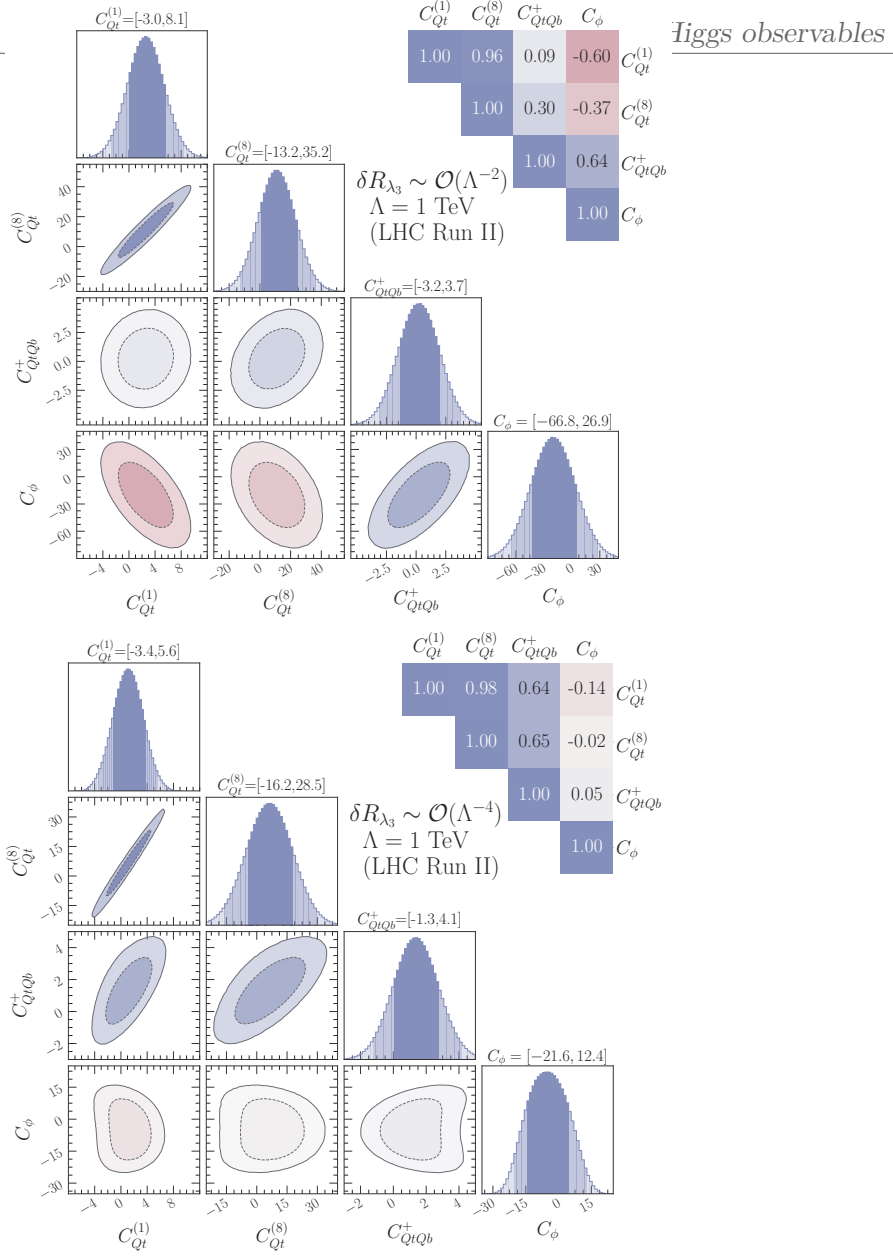
<sup>12</sup>We neglect in this case the small running between the scales involved in the different processes included in the fit.



**Figure 5.6.** A forest plot illustrating the means and 95% CIs of the posteriors built from the  $C_\phi$  in a two-parameter fit with the four-fermion operators marginalised. We compare the fit results for  $C_\phi$  from full run-II Higgs data keeping terms up to  $\mathcal{O}(1/\Lambda^2)$  or  $\mathcal{O}(1/\Lambda^4)$  in  $\delta R_{\lambda_3}$ . For comparison, also the 95% CI and means for the single parameter fit for  $C_\phi$  with the same single Higgs data is shown as well as the bounds on  $C_\phi$  from the 139  $\text{fb}^{-1}$  search for Higgs pair production [105]. The horizontal grey band illustrates the perturbative unitarity bound [106].

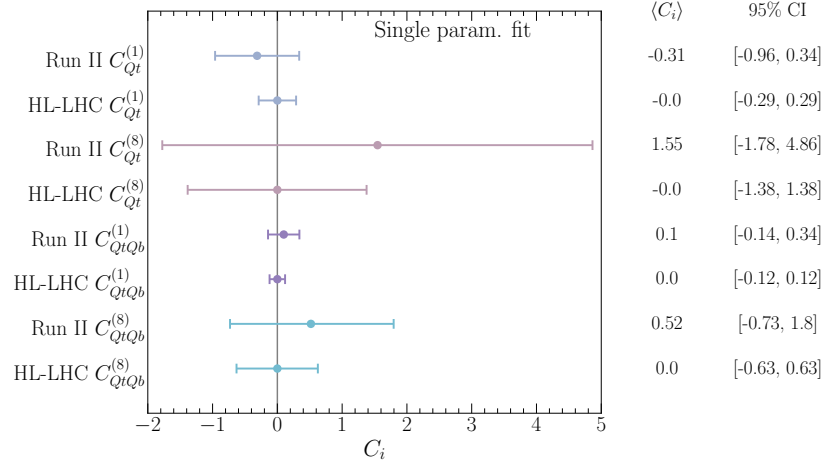
ables. Apart from the two-parameter fits discussed above, here we also consider a four-parameter fit to  $C_\phi$  plus the three directions in the four heavy-quark operator parameter space that the Higgs rates are mostly sensitive too, i.e. neglecting  $C_{QQ}^{(1),(3)}$  and  $C_{tt}$ , and trading  $C_{QtQb}^{(1)}$  and  $C_{QtQb}^{(8)}$  by  $C_{QtQb}^+$ . When considering two- or four-parameter fits of  $C_\phi$  and the four-heavy-quark Wilson coefficients, we observe a non-trivial correlation patterns amongst these coefficients. Figure 5.7 illustrates these correlation patterns clearly for the four-parameter fit. We observe that the Wilson coefficients  $C_{Qt}^{(1),(8)}$  are strongly correlated because, in analogy to  $C_{QtQb}^{(1),(8)}$ , they only appear in certain linear combination whenever correcting the Yukawa coupling. However, unlike  $C_{QtQb}^{(1),(8)}$  they are not completely degenerate because the main part of the NLO correction to  $t\bar{t}h$  does not





**Figure 5.7.** The marginalised 68% and 95% HDPI's for the four-parameter fits including the different four-quark Wilson coefficients and  $C_\phi$ . The numbers above the plots show the 95% CI bounds while the correlations are given on the top-right side. These limits correspond to values of the Wilson coefficients evaluated at the scale  $\Lambda = 1 \text{ TeV}$ . The upper panel shows the fit including up to  $\mathcal{O}(1/\Lambda^2)$  in  $\delta R_{\lambda_3}$  while the lower one shows the fit with including also  $\mathcal{O}(1/\Lambda^4)$ .

contain the aforementioned linear combination. The four-parameter fit also reveals that the Wilson coefficients  $C_{Qt}^{(1),(8)}$  have a large correlation with  $C_{QtQb}^+$  because all of the



**Figure 5.8.** Results of a single parameter fit showing the improvement in constraining power of the HL-LHC over the current bounds from Run-2 data. The limits correspond to values of the Wilson coefficients evaluated at the scale  $\Lambda = 1$  TeV.

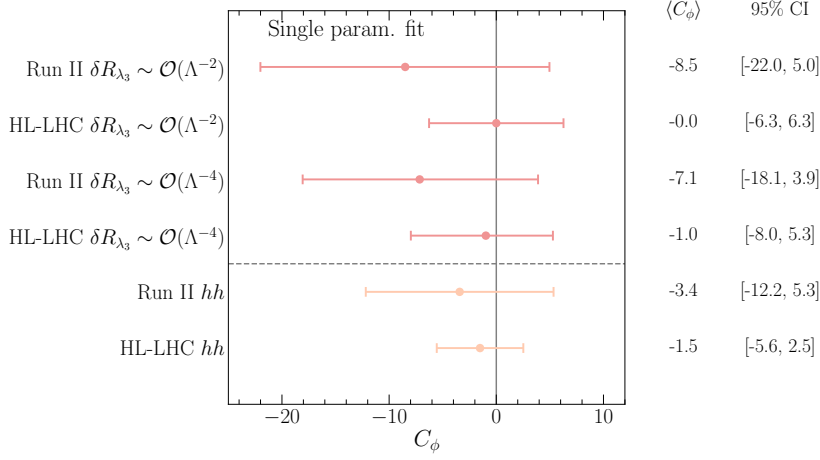
four Wilson coefficients appear in a linear combination in the NLO corrections except for  $h \rightarrow b\bar{b}$  and  $t\bar{t}h$ . However, this correlation is not as strong due to the large NLO correction of the Higgs decay  $h \rightarrow b\bar{b}$  from  $C_{QtQb}^{(1),(8)}$ . Moreover, the correlation between the four-heavy-quark Wilson coefficients and  $C_\phi$  depends on the  $\delta R_{\lambda_3}$  truncation. In Appendix 5.6 we present similar correlation plots for various two-parameter fits, where the same behaviour of the change in the correlation with the inclusion of quadratic terms  $\delta R_{\lambda_3}$  is found. The correlation in those cases are though generally stronger.

### 5.4.3 Prospects for HL-LHC

We now turn to examine the potential of the HL-LHC. For this, we use the CMS projections for the single Higgs signal strengths provided in refs. [51, 108] for a centre-of-mass energy of  $\sqrt{s} = 14$  TeV and integrated luminosity of  $3 \text{ ab}^{-1}$ . We use the projections for the S2 scenario explained in [48]. These assume the improvement on the systematics that is expected to be attained by the end of the HL-LHC physics programme, and that theory uncertainties are improved by a factor of two with respect to current values. These projections are assumed to have their central values in the SM prediction with the total uncertainties summarised in table 2.1 in Appendix ??.<sup>13</sup>

In Figure 5.8 we confront the results of the fits to Run-2 data with the projections for the HL-LHC for single parameter fits. For the operators  $\mathcal{O}_{Qt}^{(1),(8)}$  the constraining

<sup>13</sup>The correlation matrix for the S2 scenario can be found on the webpage [108].



**Figure 5.9.** A forest plot illustrating the means and 95% CIs of the posteriors built from the  $C_\phi$  in a single-parameter fit, showing also the differences in including terms of  $\mathcal{O}(1/\Lambda^2)$  or up to  $\mathcal{O}(1/\Lambda^4)$  in the definition of  $\delta R_{\lambda_3}$ . For comparison, also the limits and projections from searches for Higgs pair production are shown.

power of the HL-LHC is roughly a factor two better as the current bounds we could set from single Higgs data, while for the operators  $\mathcal{O}_{QtQb}^{(1),(8)}$  the improvement is a little less. In Figure 5.9 we show the limits on  $C_\phi$  in a single parameter fit for Run-2 and the projections for the HL-LHC including in  $\delta R_{\lambda_3}$  up to order  $\mathcal{O}(1/\Lambda^2)$  or  $\mathcal{O}(1/\Lambda^4)$ . While for Run-2 data the inclusion of  $\mathcal{O}(1/\Lambda^4)$  made a huge difference, this is less pronounced for the HL-LHC projections. Our results are very similar to the projections presented in a  $\kappa_\lambda$  fit in [109]. We confront this also with data from searches for Higgs pair production  $139 \text{ fb}^{-1}$  [105] and HL-LHC projections [110] on Higgs pair production, showing that Higgs pair production will still allow to set stronger limits on  $C_\phi$ .

## 5.5 Summary and discussion

In this paper, we have computed the NLO corrections induced by third generation four-quark operators in Higgs observables that are relevant for its production and decay at the LHC. Our results show that such processes are sensitive to the all possible chiral structures for the third generation four-quark operators in the dimension-six SMEFT, but in different degrees. Operators with different chiralities are, for instance, the only ones that can contribute to Higgs production via gluon fusion, and the decay of the Higgs boson to gluons, photons and bottom quarks pairs. The latter are particularly sensitive to the top-bottom operators  $\mathcal{O}_{QtQb}^{(1),(8)}$ , which then also significantly affect the

total decay width. In the associate production of a Higgs boson with a top quark pair, on the other hand, all the third generation four-fermion operators enter. Sensitivity to four-quark operators where all fields have the same chirality, however, is only possible for large values of the Wilson coefficients, in a way that they can generate contributions beyond the size of current theory uncertainties. The  $t\bar{t}h$  process is also rather important in setting limits on the four-quark operators  $\mathcal{O}_{Qt}^{(1)}$  and  $\mathcal{O}_{Qt}^{(8)}$ , due to the comparatively large NLO corrections they induce in this process with respect to others. It also breaks a degeneracy among the Wilson coefficients of those two operators, which always appear in a single combination for all other processes.

To illustrate the constraining power of single Higgs processes in bounding these four-quark operators, we performed several simplified fits to these interactions and find that the resulting limits from our fits are, in some cases, comparable or better than similar results obtained from top data [59, 101].

We have also performed a combined fit including the above-mentioned four-quark operators and the operator  $(\phi^\dagger\phi)^3$ , that modifies the Higgs potential and the trilinear Higgs self-coupling. Due to the lack of powerful constraints from top data, the inclusion of the four-fermion operators diminishes the power of setting limits on the trilinear Higgs self-coupling from single Higgs observables. From our analysis we conclude that, in the absence of strong direct bounds on the third-generation four-quark operators, these should be included into a global fit on Higgs data, when attempting to obtain model-independent bounds on the trilinear Higgs self-coupling. The results of our calculations are presented such that they can be easily used by the reader in truly global fits including all other interactions entering at the LO. We leave this, as well as the inclusion of differential Higgs data, to future work.

Finally, we also illustrated the increase in constraining power expected during the high-luminosity phase of the LHC by presenting the HL-LHC projections of the above-mentioned fits.

Moving beyond hadron colliders, it must be noted that the interplay between the Higgs trilinear and four heavy-quark operators in Higgs processes is expected to be less of an issue at future leptonic Higgs factories, such as the FCC-ee [111, 112], ILC [113, 114], CEPC [115, 116] or CLIC [117, 118]. At these machines, the effects of  $C_\phi$  are still “entangled” with those of the four-fermion operators in the Higgs rates, but only through the decay process, i.e. via the contributions to the BRs. However, Higgs production is purely electroweak, namely via Higgs-strahlung ( $Zh$ :  $e^+e^- \rightarrow Zh$ ) or  $W$  boson fusion, and receives no contributions from the four-quark operators at the same order in perturbation theory where  $C_\phi$  modifies these processes, i.e. NLO. Moreover, at any of these future  $e^+e^-$  Higgs factories there is the possibility of obtaining a sub-percent determination of the total  $Zh$  cross section at  $e^+e^-$  colliders, by looking at events recoiling against the  $Z$  decay products with a recoil mass around  $m_h$ . This observable is therefore completely insensitive to the four-quark operators, while still receiving NLO

corrections from  $C_\phi$ . Although, in practice, in a global fit one needs to use data from all the various Higgs rates at two different energies to constrain all possible couplings entering at LO in the Higgs processes and also obtain a precise determination of  $C_\phi$  [119], the previous reasons should facilitate the interpretation of the single-Higgs bounds on the Higgs self-coupling at  $e^+e^-$  machines.

We conclude this paper with a few words on the relevance of the results presented here when interpreted from the point of view of specific models of new physics. In particular, one important question is *are there models where one expects large contributions to four-top operators while all other interactions entering in Higgs processes are kept small?* Indeed, large contributions to four-top operators can be expected in various BSM scenarios.<sup>14</sup> For instance, in Composite Higgs Models, in which the top quark couples to the strong dynamics by partial compositeness, one expects on dimensional grounds that some of the four-top quark operators are of order  $1/f^2$ , where  $f$  indicates the scale of strong dynamics [60]. By its own nature, however, Composite Higgs models also predict sizeable contributions to the single Higgs couplings  $\sim 1/f^2$ . While, in general, sizeable modifications of the Higgs interactions are typically expected in scenarios motivated by “naturalness”, this is not necessarily the case in other scenarios. It is indeed possible to think of simple models where modifications of the Higgs self-interactions or contributions to four-quark operators are the only corrections induced by the dimension-six interactions at tree level, see [120]. Thinking, for instance, in terms of scalar extensions of the SM, there are several types of colored scalars whose tree-level effects at low energies can be represented by four-quark operators only, e.g. for complex scalars in the  $(6, 1)_{\frac{1}{3}}$  and  $(8, 2)_{\frac{1}{2}}$  SM representations ( $\Omega_1$  and  $\Phi$  in the notation of [120]). If these colored states are the only moderately heavy new particles, our results can provide another handle to constrain such extensions. One must be careful, though, as a consistent interpretation of our results for any such models would require to include higher-order corrections in the matching to the SMEFT. At that level, as shown e.g. by the recent results in [121], multiple contributions that modify Higgs processes at LO are generated at the one-loop level, and are therefore equally important as the NLO effects of the (tree-level) generated four-quark operators.<sup>15</sup> In any case, one must note that, even if similar size contributions to single Higgs processes are generated, the four-top or Higgs trilinear effects can provide extra information on the model. For instance, in some of the most common scalar extensions of the SM, with an extra Higgs doublet,  $\varphi \sim (1, 2)_{\frac{1}{2}}$ , tree-level contributions to some of the four-heavy-quark operators discussed in this paper are generated

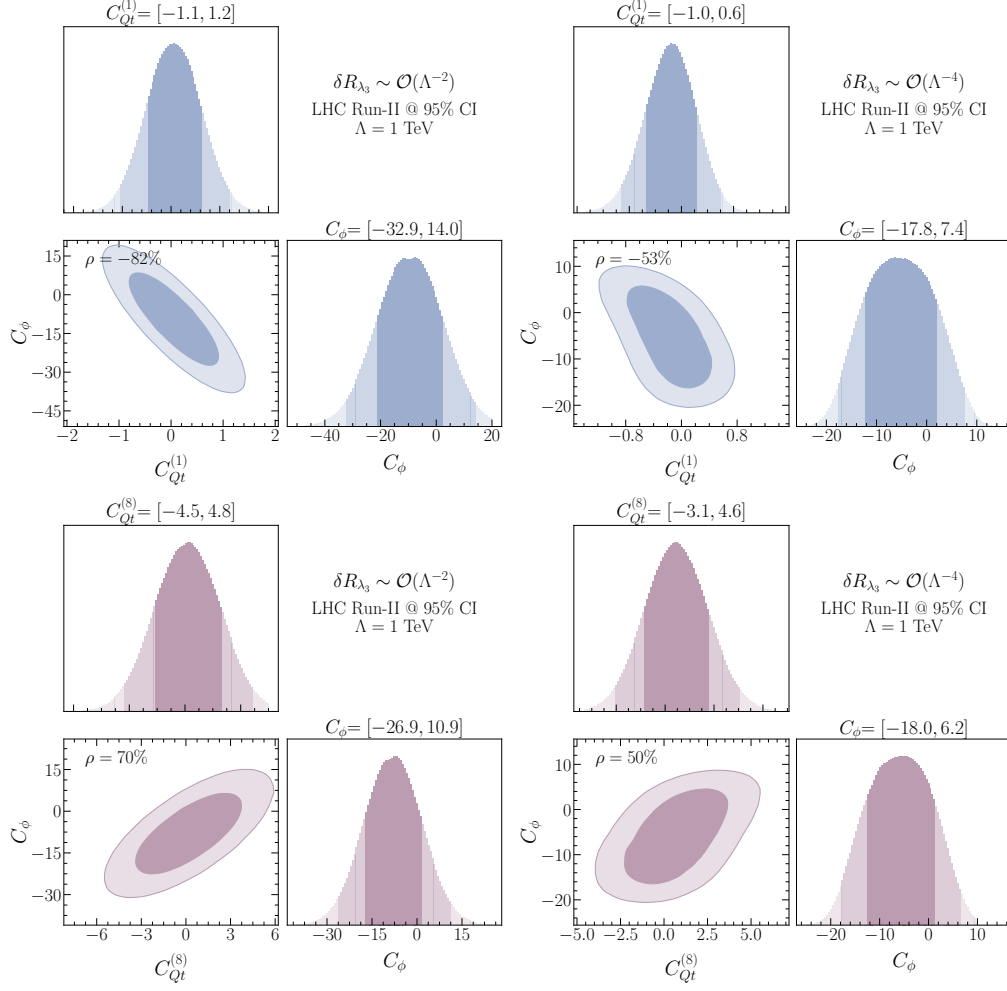
<sup>14</sup>Generically, models where four-top interactions are much larger than four-fermion operators of the first and second generation can be easily conceived from some UV dynamics coupling mostly to the third generation of quarks hence respecting the Yukawa hierarchies.

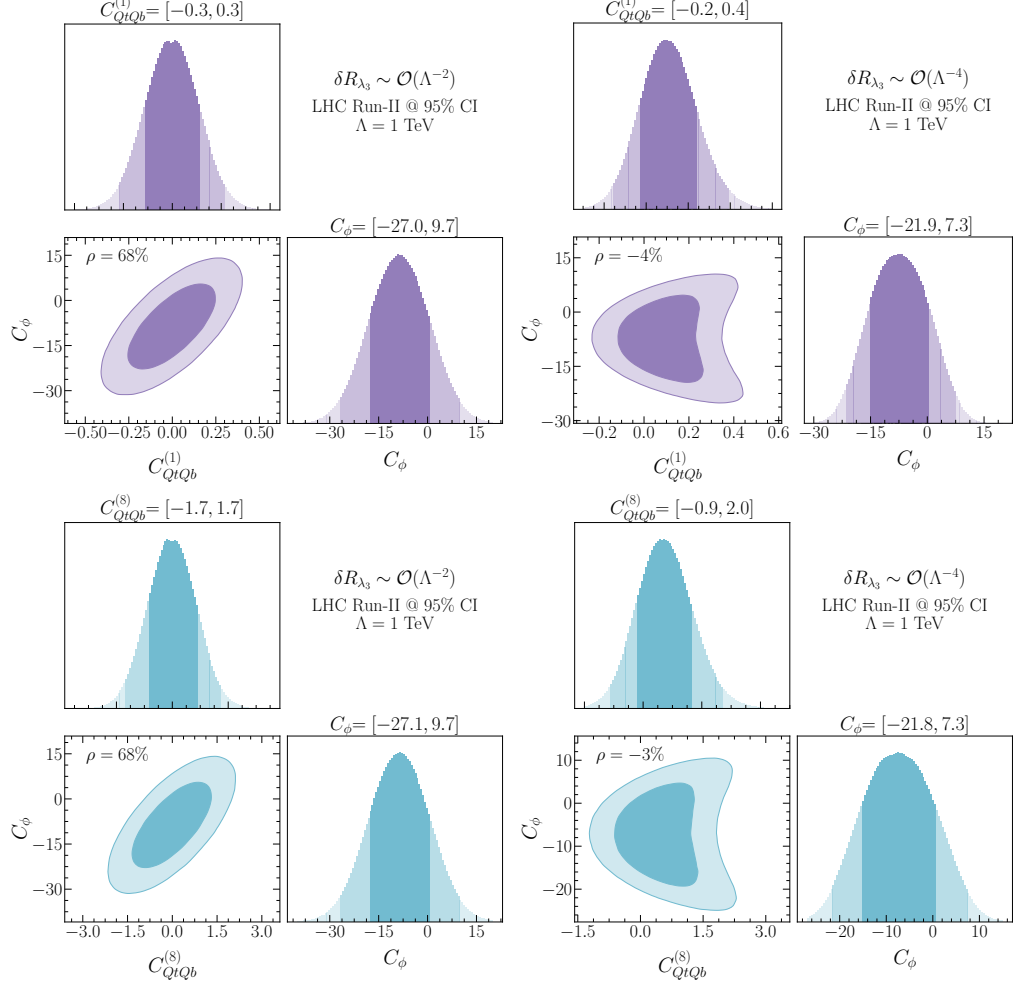
<sup>15</sup>Furthermore, given that some SMEFT interactions induce tree-level contributions to Higgs processes that in the SM are generated at the loop level, e.g.  $\mathcal{O}_{\phi G}$  in gluon fusion, a consistent interpretation in terms of new physics models may require to include up to two-loop effects in the matching for such operators, for which there are currently no results or tools available.

together with modifications on the Higgs trilinear self-coupling. These two effects are independent but they are both correlated with the, also tree level, modifications of the single Higgs couplings. Essentially, the LO effects on Higgs observables are proportional to  $\lambda_\varphi y_\varphi^f$ , where  $\lambda_\varphi$  is the scalar interaction strength of the  $(\varphi^\dagger \phi)(\phi^\dagger \phi)$  operator and  $y_\varphi^f$  the new scalar Yukawa interaction strength, whereas the NLO effects are proportional to the square of each separate coupling. Hence, these effects might help to resolve (even if only weakly) the flat directions in the model parameter space that would appear in a LO global fit. At the end of the day, for a proper interpretation of the SMEFT results in terms of the widest possible class of BSM models, all the above simply remind us of the importance of being global in SMEFT analyses, to which our work contributes by including effects in Higgs physics that enter at the same order in perturbation theory as modifications of the Higgs self-coupling.

## 5.6 Two parameter fits

We present in figs. 5.10 and 5.11 the 68% and 95% highest posterior density contours of the two-parameter posterior distributions and their marginalisation for the two-parameter fits involving  $C_\phi$  and one of the four-heavy quark Wilson coefficients, evaluated at the scale  $\Lambda = 1$  TeV. Both linearised and quadratically truncated  $\delta R_{\lambda_3}$  fits are shown, and we observe that the 95% CI bounds (shown on top of the panels) and correlations depends on the truncation.





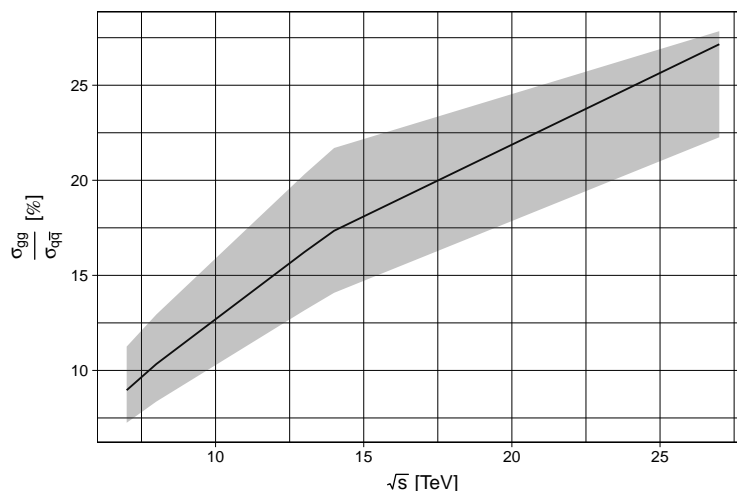
**Figure 5.11.** The 68% and 95% highest density posterior contours of the posterior distribution of  $C_\phi$  with  $C_{QtQb}^{(1)}$  (up) and  $C_\phi$  with  $C_{QtQb}^{(8)}$  (down) with the marginalised one-dimensional posteriors for each of the Wilson coefficients. and their 68% and 95% HDPIs (shown above in numbers the 95% CI bounds). The limits correspond to values of the Wilson coefficients evaluated at the scale  $\Lambda = 1$  TeV. Similar to  $C_{Qt}^{(1),(8)}$ , the left plot shows the linearised  $\delta R_{\lambda_3}$  while the right one shows the quadratic scheme in the trilinear Higgs self-coupling modification. Due to the degeneracy between these Wilson coefficients the posterior contours and their marginalised intervals look very similar for both of them (except for the range they cover).



## 6 Associated $Zh$ production via gluon fusion at NLO

As we have seen in the previous sections, Higgs couplings to the weak vector bosons, i.e.  $Z$  and  $W$  is approaching the precision level. Moreover, the associated Higgs production with these bosons is the first channel used to observe the Higgs decaying into beauty quarks  $h \rightarrow b\bar{b}$  by both ATLAS and CMS [122, 123]. Hence, the  $Vh$  Higgs production channel is one of the important channels to look for in the future runs of the LHC for better measurement of the  $VVh$  coupling as well as Higgs coupling to the beauty quark. As the statistical and systematic uncertainties coming from the experimental setup of the LHC get reduced in the future runs, due to higher integrated luminosity and upgraded detectors and analysis techniques. There is a need to reduce theoretical uncertainties emerging from the perturbative calculations of cross-sections. In order to achieve that, one should include more terms in the perturbative expansion in the couplings, particularly the strong coupling  $\alpha_s$ . In this chapter, we are interested in the channel  $pp \rightarrow Zh$ , which is quark-initiated tree-level process at LO interpreted as **Drell-Yan process** [124, 125]. This process has been computed up to next-to-next-to-leading-order (NNLO) in QCD ( $\sim \alpha_s^2$ ), and at next-to-leading-order (NLO) in the EW interactions ( $\sim \alpha^2$ ) [126].

Despite arising for the first time at NNLO in perturbation theory to the partonic cross-section, the gluon fusion channel  $gg \rightarrow Zh$  has a non-negligible contribution to the hadronic cross-section of  $pp \rightarrow Zh$  process, which could reach  $> 16\%$  of the total cross-section contribution at 14 TeV [48], see Figure 6.1. The contribution becomes more significant when looking at large invariant mass bins in the differential cross-section. This is due to the significant abundance of gluons at the LHC for large  $Q$  as well as the top quark initiated contribution near the  $t\bar{t}$  threshold [127]. The gluon fusion channel has a higher scale uncertainties than the quark induced one, and due to the significant contribution of the former, and the absence of gluon fusion channel for  $Wh$  channel, the  $Zh$  channel has higher theoretical uncertainties. This motivates NLO calculation of the  $gg \rightarrow Zh$  channel in order to reduce these uncertainties and facilitate the precision measurement potential of the  $Zh$  channel at the future LHC runs, such as sign and magnitude of the top Yukawa coupling, dipole operators [128] and it can receive additional contributions from new particles [129]. Therefore, better understanding of the SM prediction of the  $Zh$  gluon fusion channel is crucial for both the SM precision measurements of Higgs production within the SM and for testing NP in this channel,



**Figure 6.1.** Examples of Feynman diagrams contributing to  $gg \rightarrow ZH$  at LO and NLO.

e.g. new vector-like leptons.

The leading order (LO) contribution to the  $gg \rightarrow ZH$  amplitude, given by one-loop diagrams, was computed exactly in refs.[130, 131]. However, for the NLO, the virtual corrections contain multi-scale two-loop integrals some of which are still not known analytically (for the box diagram). The first computation of the NLO terms has been done by [132] using an asymptotic expansion in the limit  $m_t \rightarrow \infty$  and  $m_b = 0$ , and pointed to a  $K$ -factor of about  $\sim 2$ . Later, the computation has been improved via soft gluon resummation, and including NLL terms found in ref.[133], the NLL terms has been matched to the fixed NLO computation of [132]. Top quark mass effects to the  $gg \rightarrow Zh$  process were first implemented using a combination of large- $m_t$  expansion (LME) and Padé approximants [134]. A data-driven approach to extract the gluon fusion dominated non-Drell-Yan part of  $Zh$  production using the known relation between  $WH$  and  $ZH$  associated production when only the Drell-Yan component of the two processes is considered has been investigated in ref.[135]. The differential distributions of  $gg \rightarrow Zh$  at NLO was studied in ref.[136] via LO matrix element matching.

More recent studies of the NLO virtual corrections to this process were based on the high-energy (HE) expansion improved by Padé approximants with the LME, which extended the validity range of the HE expansion [137]. However, this expansion is only valid for in the invariant mass region  $\sqrt{\hat{s}} \gtrsim 750$  GeV and  $\sqrt{\hat{s}} \lesssim 350$  GeV, which only covers  $\sim 32\%$  of the hadronic cross section. Additionally, numerical computation of the 2 loop virtual corrections, though implemented exactly in [138], are rather slow for practical use in MC simulations. This highlights the importance of an analytical method that can cover the remaining 68% region of the cross-section and can be merged

with the HE expansion via Padé approximants. Fortunately, the two-loop corrections to the triangle diagrams can be computed exactly. And the loop integrals appearing in the box correction having no analytic expression can be expanded in small  $Z$  (or Higgs) transverse momentum,  $p_T$ . This method was first used for Higgs pair production in [139], to compute the NLO virtual corrections to the box diagrams in the forward kinematics. In this chapter, I discuss the method and results of the two-loop calculation of the triangle and  $p_T$  expansion of  $Zh$  process published in [140].

This chapter is structured as follows : In section 6.1 contains the general notation for the gluon fusion  $Zh$  process . Then, in.. the transverse momentum expansion method is discussed. Calculation of the LO form-factors in the transverse momentum expansion is shown in... Outline of the two-loop calculation of the triangle topology is illustrated in... Finally, in I discuss the transverse momentum expansion for the box topology and .. contains a brief summary and outlook.

## 6.1 General notation

The amplitude  $g_a^\mu(p_1)g_b^\nu(p_2) \rightarrow Z^\rho(p_3)h(p_4)$  can be written as

$$\mathcal{A} = i\sqrt{2}\frac{m_Z G_F \frac{\alpha_s^0}{4\pi}(\mu_R)}{\pi} \delta_{ab} \epsilon_\mu^a(p_1) \epsilon_\nu^b(p_2) \epsilon_\rho(p_3) \hat{\mathcal{A}}^{\mu\nu\rho}(p_1, p_2, p_3), \quad (6.1)$$

$$\hat{\mathcal{A}}^{\mu\nu\rho}(p_1, p_2, p_3) = \sum_{i=1}^6 \mathcal{P}_i^{\mu\nu\rho}(p_1, p_2, p_3) \mathcal{A}_i(\hat{s}, \hat{t}, \hat{u}, m_t, m_H, m_Z), \quad (6.2)$$

where  $\mu_R$  is the renormalisation scale and  $\epsilon_\mu^a(p_1)\epsilon_\nu^b(p_2)\epsilon_\rho(p_3)$  are the polarization vectors of the gluons and the  $Z$  boson, respectively. It is possible to decompose the amplitude into a maximum of 6 Lorentz structures encapsulated by the tensors  $\mathcal{P}_i^{\mu\nu\rho}$ , we can choose to an orthogonal basis explicitly shown in section A.1, such that

$$\mathcal{P}_i^{\mu\nu\rho} \mathcal{P}_j{}_{\mu\nu\rho} = 0, \quad \text{for } i \neq j \quad (6.3)$$

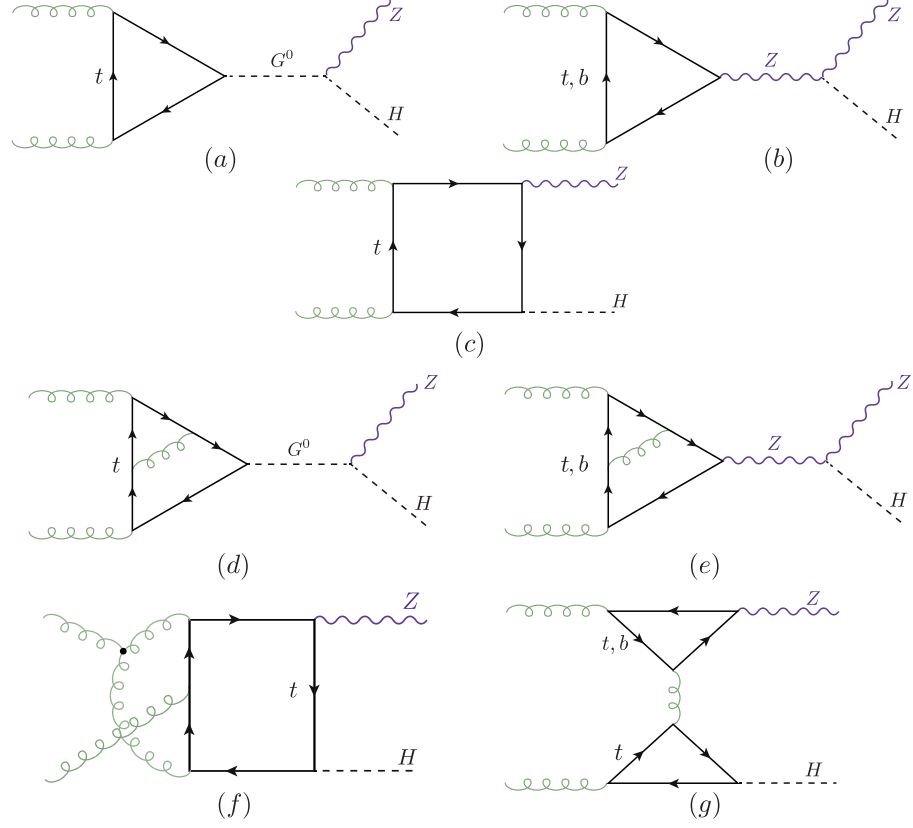
By this choice one obtains unique form factors corresponding to each projector

$$\mathcal{A}_i(\hat{s}, \hat{t}, \hat{u}, m_t, m_H, m_Z), \quad (6.4)$$

that are multivariate complex functions of the top ( $m_t$ ), Higgs ( $m_H$ ) and  $Z$  ( $m_Z$ ) bosons masses, and of the partonic Mandelstam variables

$$\hat{s} = (p_1 + p_2)^2, \quad \hat{t} = (p_1 + p_3)^2, \quad \hat{u} = (p_2 + p_3)^2, \quad (6.5)$$

where  $\hat{s} + \hat{t} + \hat{u} = m_Z^2 + m_H^2$  and we took all the momenta to be incoming. By Bosonic symmetries, the form The form-factors  $\mathcal{A}_i$  can be perturbatively expanded in orders



**Figure 6.2.** Examples of Feynman diagrams contributing to  $gg \rightarrow ZH$  at LO and NLO.

of  $\alpha_s$ ,

$$\mathcal{A}_i = \sum_{k=0} \left( \frac{\alpha_s^0}{4\pi} \right)^k \mathcal{A}_i^{(k)} \quad (6.6)$$

Where  $\mathcal{A}_i^{(0)}$  and  $\mathcal{A}_i^{(1)}$  are the LO and NLO terms, respectively. Using Fermi's Golden Rule, we can write the Born partonic cross-section as

$$\hat{\sigma}^{(0)}(\hat{s}) = \frac{m_Z^2 G_F^2 \frac{\alpha_s^0}{4\pi} (\mu_R)^2}{64 \hat{s}^2 (2\pi)^3} \int_{\hat{t}^-}^{\hat{t}^+} d\hat{t} \sum_i |\mathcal{A}_i^{(0)}|^2, \quad (6.7)$$

where  $\hat{t}^\pm = [-\hat{s} + m_H^2 + m_Z^2 \pm \sqrt{(\hat{s} - m_H^2 - m_Z^2)^2 - 4m_H^2 m_Z^2}]/2$ .

The Feynman diagrams that contribute to the  $gg \rightarrow ZH$  amplitude up to NLO can be separated into triangle, box and double-triangle contributions, the last type appearing

for the first time at the NLO level. Examples of LO (NLO) triangle and box categories are shown in fig.6.2 (a) - (c) ((d) - (f)). Due to the presence of a  $\gamma_5$  in the axial coupling of the  $Z$  boson to the fermions in the loop, the projectors  $\mathcal{P}_i^{\mu\nu\rho}$  are proportional to the Levi-Civita total anti-symmetric tensor  $\epsilon^{\alpha\beta\gamma\delta}$  (see appendix A.1), whose treatment in dimensional regularization is, as well known, delicate and will be discussed in section 6.6.

In our calculation we treat all the quarks but the top as massless. As a consequence, the contribution to the amplitude of the first two generations vanishes. Concerning the third generation, the contribution of the bottom is present in the triangle diagrams with the exchange of a  $Z$  boson (fig.6.2(b), (e)) and in the double-triangle diagrams (fig.6.2(g)). A nice observation in ref.[132] allows to compute easily the full (top+bottom) triangle contribution. As noticed in that reference, the triangle contribution with a  $Z$  exchange contains a  $ggZ^*$  subamplitude which in the Landau gauge can be related to the decay of a massive vector boson with mass  $\sqrt{\hat{s}}$  into two massless ones, a process that is forbidden by the Landau-Yang theorem [141, 142]. As a consequence, the full triangle contribution can be obtained from the top triangle diagrams with the exchange of the unphysical scalar  $G^0$ , with the propagator of the  $G^0$  evaluated in the Landau gauge. This part of the top triangle diagrams can be obtained from the decay amplitude of a pseudoscalar boson into two gluons which is known in the literature in the full mass dependence up to NLO terms [143, 144].

Given the above observation, our calculation of the NLO corrections to the  $gg \rightarrow ZH$  amplitude focuses on the analytic evaluation of the double-triangle (fig.6.2(g)) and two-loop box contributions (fig.6.2(f)). The former contribution is evaluated exactly. The latter is evaluated via two different expansions: i) via a LME, following ref.[145], up to and including  $\mathcal{O}(1/m_t^6)$  terms, which is expected to work below the  $2m_t$  threshold; ii) via an expansion in terms of the  $Z$  transverse momentum, following ref.[139], whose details are presented in the next section.

## 6.2 The transverse momentum expansion

The transverse momentum of the  $Z$  boson can be written in terms of the Mandelstam variables as

$$p_T^2 = \frac{\hat{t}\hat{u} - m_Z^2 m_H^2}{\hat{s}}. \quad (6.8)$$

From eq.(6.8), together with the relation between the Mandelstam variables, one finds

$$p_T^2 + \frac{m_H^2 + m_Z^2}{2} \leq \frac{\hat{s}}{4} + \frac{\Delta_m^2}{\hat{s}}, \quad (6.9)$$

where  $\Delta_m = (m_H^2 - m_Z^2)/2$ . Eq.(6.9) implies  $p_T^2/\hat{s} < 1$  that, together with the kinematical constraints  $m_H^2/\hat{s} < 1$  and  $m_Z^2/\hat{s} < 1$ , allows the expansion of the amplitude in terms of

these three ratios.

A direct expansion in  $p_T$  is not possible at amplitude level, since  $p_T$  itself does not appear in the amplitudes. However, as we argued in ref.[139], the expansion in  $p_T^2/\hat{s} \ll 1$  is equivalent to an expansion in terms of the ratio of the reduced Mandelstam variables  $t'/s' \ll 1$  or  $u'/s' \ll 1$ , depending whether we are considering the process to be in a forward or backward kinematics. The  $s'$ ,  $t'$  and  $u'$  variables are defined as

$$s' = p_1 \cdot p_2 = \frac{\hat{s}}{2}, \quad t' = p_1 \cdot p_3 = \frac{\hat{t} - m_Z^2}{2}, \quad u' = p_2 \cdot p_3 = \frac{\hat{u} - m_Z^2}{2} \quad (6.10)$$

and satisfy

$$s' + t' + u' = \Delta_m. \quad (6.11)$$

The cross section of a  $2 \rightarrow 2$  process can always be expanded into a forward and backward contribution. Looking at the dependence of  $\sigma$  upon  $t'$ ,  $u'$  we can write

$$\begin{aligned} \sigma &\propto \int_{t_i}^{t_f} dt' \mathcal{F}(t', u') = \int_{t_i}^{t_m} dt' \mathcal{F}(t', u') + \int_{t_m}^{t_f} dt' \mathcal{F}(t', u') \\ &\sim \int_{t_i}^{t_m} dt' \mathcal{F}(t' \sim 0, u' \sim -s') + \int_{t_m}^{t_f} dt' \mathcal{F}(t' \sim -s', u' \sim 0) \end{aligned} \quad (6.12)$$

where  $t_i = (\hat{t}^- - m_Z^2)/2$ ,  $t_f = (\hat{t}^+ - m_Z^2)/2$  and  $t_m$  is the value of  $t'$  at which  $t' = u' = (-s' + \Delta_m)/2$ . The two terms in the second line of eq.(6.12) represent the expansion in the forward and backward kinematics, respectively.

If the amplitude is symmetric under  $t' \leftrightarrow u'$  exchange then

$$\begin{aligned} \sigma &\propto \int_{t_i}^{t_m} dt' \mathcal{F}(0, -s') + \int_{t_m}^{t_f} dt' \mathcal{F}(-s', 0) = \\ &\int_{t_i}^{t_m} dt' \mathcal{F}(0, -s') + \int_{t_m}^{t_f} dt' \mathcal{F}(0, -s') = \int_{t_i}^{t_f} dt' \mathcal{F}(0, -s') \end{aligned} \quad (6.13)$$

so that the expansion in the forward kinematics actually covers the entire phase space.

In the case of  $gg \rightarrow ZH$  the process itself is not symmetric under the  $t' \leftrightarrow u'$  exchange. However, as can be seen from the explicit expressions of the projectors in appendix A.1, it can be written as a sum of symmetric and antisymmetric form factors. To perform only the expansion in the forward kinematics one can proceed in the following way. On the symmetric form factors the expansion can be directly performed. For the antisymmetric ones, it is sufficient first to extract the overall antisymmetric factor  $(\hat{t} - \hat{u})$  just by multiplying the form factor by  $1/(\hat{t} - \hat{u})$ , written as  $1/(2s' - 4t' - 2\Delta_m)$ , then perform the expansion in the forward kinematics and finally multiply back by  $(\hat{t} - \hat{u})$ .

As discussed in ref.[139], to implement the  $p_T$ -expansion at the level of Feynman

diagrams it is convenient to introduce the vector  $r^\mu = p_1^\mu + p_3^\mu$ , which satisfies

$$r^2 = \hat{t}, \quad r \cdot p_1 = \frac{\hat{t} - m_Z^2}{2}, \quad r \cdot p_2 = -\frac{\hat{t} - m_H^2}{2}, \quad (6.14)$$

and therefore can be also written as

$$r^\mu = -\frac{\hat{t} - m_H^2}{\hat{s}} p_1^\mu + \frac{\hat{t} - m_Z^2}{\hat{s}} p_2^\mu + r_\perp^\mu = \frac{t'}{s'} (p_2^\mu - p_1^\mu) - \frac{\Delta_m}{s'} p_1^\mu + r_\perp^\mu, \quad (6.15)$$

where

$$r_\perp^2 = -p_T^2. \quad (6.16)$$

From eq.(6.8) one obtains

$$t' = -\frac{s'}{2} \left\{ 1 - \frac{\Delta_m}{s'} \pm \sqrt{\left(1 - \frac{\Delta_m}{s'}\right)^2 - 2\frac{p_T^2 + m_Z^2}{s'}} \right\} \quad (6.17)$$

that implies that the expansion in small  $p_T$  (the minus sign case in eq.(6.17)) can be realized at the level of Feynman diagrams, by expanding the propagators in terms of the vector  $r^\mu$  around  $r^\mu \sim 0$  or, equivalently,  $p_3^\mu \sim -p_1^\mu$ , see eq.(6.15).

The outcome of the evaluation of the  $gg \rightarrow ZH$  amplitude via a  $p_T$ -expansion is expressed in terms of a series of Master Integrals (MIs) that are functions of  $\hat{s}$  and  $m_t^2$  only, and whose coefficients can be organized in terms of powers of ratios of small over large parameters where  $p_T^2$ ,  $m_H^2$  and  $m_Z^2$  are identified as the small parameters while  $m_t^2$  and  $\hat{s}$  as the large ones. Thus, the range of validity of the expansion depends on the condition that  $p_T^2$  can be treated as a “small parameter” with respect to  $m_t^2$  because all the other ratios, small over large, are always smaller than 1.

### 6.3 LO Comparison

In order to investigate the range of validity of the evaluation of the  $gg \rightarrow ZH$  amplitude via a  $p_T$ -expansion, we compare the exact result for the LO partonic cross section [130, 131] with the result obtained via our  $p_T$ -expansion. The latter is expressed in terms of the same four MIs that enter into the analogous calculation of the  $gg \rightarrow HH$  LO amplitude [139], or

$$B_0[\hat{s}, m_t^2, m_t^2] \equiv B_0^+, \quad B_0[-\hat{s}, m_t^2, m_t^2] \equiv B_0^-, \quad (6.18)$$

$$C_0[0, 0, \hat{s}, m_t^2, m_t^2, m_t^2] \equiv C_0^+, \quad C_0[0, 0, -\hat{s}, m_t^2, m_t^2, m_t^2] \equiv C_0^- \quad (6.19)$$

where

$$B_0[q^2, m_1^2, m_2^2] = \frac{1}{i\pi^2} \int \frac{d^n k}{\mu^{n-4}} \frac{1}{(k^2 - m_1^2)((k+q)^2 - m_2^2)} \quad (6.20)$$

$$C_0[q_a^2, q_b^2, (q_a + q_b)^2, m_1^2, m_2^2, m_3^2] = \frac{1}{i\pi^2} \int \frac{d^n k}{\mu^{n-4}} \frac{1}{[k^2 - m_1^2][(k + q_a)^2 - m_2^2][(k - q_b)^2 - m_3^2]} \quad (6.21)$$

are the Passarino-Veltman functions [146], with  $n$  the dimension of spacetime and  $\mu$  the 't Hooft mass.

As an illustration of our LO result we present the explicit expressions for one symmetric,  $\mathcal{A}_2$ , and one antisymmetric,  $\mathcal{A}_6$ , form factor including the first correction in the ratio of small over large parameters which will be referred to as<sup>1</sup>  $\mathcal{O}(p_T^2)$ . We divide the result into triangle ( $\triangle$ ) and box ( $\square$ ) contribution or

$$\begin{aligned} \mathcal{A}_2^{(0,\triangle)} &= -\frac{p_T}{\sqrt{2}(m_Z^2 + p_T^2)}(\hat{s} - \Delta_m) m_t^2 C_0^+, \\ \mathcal{A}_2^{(0,\square)} &= \frac{p_T}{\sqrt{2}(m_Z^2 + p_T^2)} \left\{ \begin{aligned} &\left( m_t^2 - m_Z^2 \frac{\hat{s} - 6m_t^2}{4\hat{s}} - p_T^2 \frac{12m_t^4 - 16m_t^2\hat{s} + \hat{s}^2}{12\hat{s}^2} \right) B_0^+ \\ &- \left( m_t^2 - \Delta_m \frac{m_t^2}{(4m_t^2 + \hat{s})} + m_Z^2 \frac{24m_t^4 - 6m_t^2\hat{s} - \hat{s}^2}{4\hat{s}(4m_t^2 + \hat{s})} - \right. \\ &\quad \left. p_T^2 \frac{48m_t^6 - 68m_t^4\hat{s} - 4m_t^2\hat{s}^2 + \hat{s}^3}{12\hat{s}^2(4m_t^2 + \hat{s})} \right) B_0^- \\ &+ \left( 2m_t^2 - \Delta_m + m_Z^2 \frac{3m_t^2 - \hat{s}}{\hat{s}} + p_T^2 \frac{3m_t^2\hat{s} - 2m_t^4}{\hat{s}^2} \right) m_t^2 C_0^- \\ &+ \left( \hat{s} - 2m_t^2 + m_Z^2 \frac{\hat{s} - 3m_t^2}{\hat{s}} + p_T^2 \frac{2m_t^4 - 3m_t^2\hat{s} + \hat{s}^2}{\hat{s}^2} \right) m_t^2 C_0^+ \\ &+ \log\left(\frac{m_t^2}{\mu^2}\right) \frac{m_t^2}{(4m_t^2 + \hat{s})} \left( \Delta_m + 2m_Z^2 + p_T^2 \frac{2\hat{s} - 2m_t^2}{3\hat{s}} \right) \\ &- \Delta_m \frac{2m_t^2}{(4m_t^2 + \hat{s})} + m_Z^2 \frac{\hat{s} - 12m_t^2}{4(4m_t^2 + \hat{s})} + p_T^2 \frac{8m_t^4 - 2m_t^2\hat{s} + \hat{s}^2}{4\hat{s}(4m_t^2 + \hat{s})} \end{aligned} \right\}, \end{aligned} \quad (6.23)$$

<sup>1</sup>With a slight abuse of notation we indicate the counting of the orders in the expansion as  $\mathcal{O}(p_T^{2n})$  that actually means the inclusion of terms that scale as  $(x/y)^n$ , where  $x = p_T^2, m_Z^2, m_H^2$  and  $y = \hat{s}, m_t^2$ , with respect to the  $\hat{s}, m_t^2 \rightarrow \infty$  contribution. The latter is indicated as  $\mathcal{O}(p_T^0)$  and corresponds to the first non zero contribution in the expansion of the diagrams in terms of the vector  $r^\mu$ .



and

$$\mathcal{A}_6^{(0,\Delta)} = 0, \quad (6.24)$$

$$\begin{aligned} \mathcal{A}_6^{(0,\square)} &= \frac{\hat{t} - \hat{u}}{\hat{s}^2} p_T \left[ \frac{m_t^2}{2} (B_0^- - B_0^+) - \frac{\hat{s}}{4} \right. \\ &\quad \left. - \frac{2m_t^2 + \hat{s}}{2} m_t^2 C_0^- + \frac{2m_t^2 - \hat{s}}{2} m_t^2 C_0^+ \right], \end{aligned} \quad (6.25)$$

where in eqs.(6.23,6.25) the  $B_0$  functions are understood as the finite part of the integrals on the right hand side of eq.(6.20).

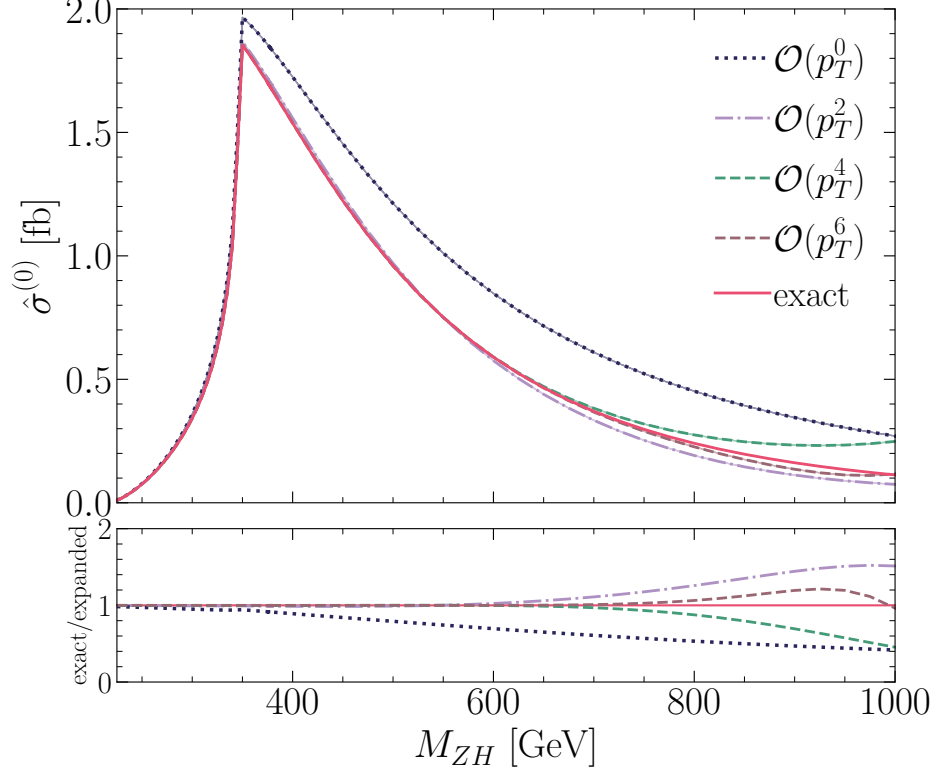
In fig.6.3 the exact partonic LO cross section (red line) is shown as a function of the invariant mass of the  $ZH$  system,  $M_{ZH}$ , and compared to various  $p_T$ -expanded results. For the numerical evaluation of the cross section here and in the following, we used as SM input parameters

$$\begin{aligned} m_Z &= 91.1876 \text{ GeV}, \quad m_H = 125.1 \text{ GeV}, \quad m_t = 173.21 \text{ GeV}, \\ m_b &= 0 \text{ GeV}, \quad G_F = 1.16637 \text{ GeV}^{-2}, \quad \alpha_s(m_Z) = 0.118. \end{aligned}$$

In the lower part of fig.6.3 the ratio of the exact result over the  $p_T$ -expanded one is shown. From this ratio one can see that the  $\mathcal{O}(p_T^0)$  contribution covers well the  $ZH$  invariant mass region  $M_{ZH} \lesssim 2m_t$ , corresponding to the range of validity of an expansion in the large top quark mass. Furthermore, when the contributions up to  $\mathcal{O}(p_T^4)$  are taken into account a remarkable agreement with the exact result is found up to  $M_{ZH} \lesssim 750 \text{ GeV}$ . This agreement is extended to slightly higher values of  $M_{ZH}$  when the  $\mathcal{O}(p_T^6)$  contribution is included, a finding in close analogy to the result for di-Higgs production [139]. Similar conclusions can be drawn from table 6.1, where it is shown that the partonic cross section at  $\mathcal{O}(p_T^4)$  agrees with the full result for  $M_{ZH} \lesssim 600 \text{ GeV}$  on the permille level and the agreement further improves when  $\mathcal{O}(p_T^6)$  terms are included. As a final remark for this section, we notice that, from the comparison with the LO exact result, the  $p_T$ -expanded evaluation of the amplitude is expected to provide an accurate result up to  $M_{ZH} \sim 700 - 750 \text{ GeV}$  that corresponds, from eq.(6.9), to  $p_T \lesssim 300 - 350 \text{ GeV} \approx 2m_t$ .

## 6.4 NLO calculation

Recall that the bare amplitude reads :



**Figure 6.3.** LO partonic cross section as a function of the invariant mass  $M_{ZH}$ . The full result (red line) is plotted together with results at different orders in the  $p_T$ -expansion (dashed lines). In the bottom part, the ratio of the full result over the  $p_T$ -expanded one at various orders is shown.

$$\begin{aligned}
 -i\mathcal{M} &= \varepsilon_\mu(p_1)\varepsilon_\nu(p_2) T_R \delta_{ab} \mu_R^{2\epsilon} \frac{\alpha_s^0}{4\pi} \hat{\mathcal{P}}_{\mu\nu\rho} \\
 &\times S_\epsilon \left( \frac{\mu_R^2}{\hat{s}} \right)^\epsilon \left[ \mathcal{F}^{1\ell} + \mu_R^{2\epsilon} \frac{\alpha_s^0}{4\pi} \mu_R^{-2\epsilon} S_\epsilon \left( \frac{\mu_R^2}{\hat{s}} \right)^\epsilon \mathcal{F}^{2\ell} \right]
 \end{aligned} \tag{6.26}$$

$M_{ZH}$ [GeV]	$\mathcal{O}(p_T^0)$	$\mathcal{O}(p_T^2)$	$\mathcal{O}(p_T^4)$	$\mathcal{O}(p_T^6)$	full
300	0.3547	0.3393	0.3373	0.3371	0.3371
350	1.9385	1.8413	1.8292	1.8279	1.8278
400	1.6990	1.5347	1.5161	1.5143	1.5142
600	0.8328	0.5653	0.5804	0.5792	0.5794
750	0.5129	0.2482	0.3129	0.2841	0.2919

**Table 6.1.** The partonic cross section  $\hat{\sigma}^{(0)}$  at various orders in  $p_T$  and the full computation for several values of  $M_{ZH}$ .

Where  $\mathcal{F}^{n\ell}$  is the nth loop form factor, and  $\hat{\mathcal{P}}_{\mu\nu\rho}$  is the normalised projector, written as

$$\begin{aligned}
L_{\mu\nu\rho}^{(1)} &= \frac{\hat{s}}{2} \varepsilon_{\mu\nu\rho\xi} p_2^\xi - (p_2)_\mu \varepsilon_{\nu\rho\xi\lambda} p_1^\xi p_2^\lambda, \\
L_{\mu\nu\rho}^{(2)} &= \frac{\hat{s}}{2} \varepsilon_{\mu\nu\rho\xi} p_1^\xi - (p_1)_\nu \varepsilon_{\mu\rho\xi\lambda} p_1^\xi p_2^\lambda, \\
\hat{\mathcal{P}}_{\mu\nu\rho} &= \frac{2}{\hat{s}^3} \left( L_{\mu\nu\rho}^{(1)} - L_{\mu\nu\rho}^{(2)} \right).
\end{aligned} \tag{6.27}$$

The 1 loop form factor is given by

$$\mathcal{F}^{1\ell} = \frac{m^2}{\hat{s}} C_0(\hat{s}, 0; m, m, m) - \frac{1}{4\hat{s}}, \tag{6.28}$$

where the last term, not proportional to the mass is corresponding to the chiral anomaly. The 2 loop form-factor, can be decomposed in terms of the colour Casimir invariants  $C_A$  and  $C_F$

$$\mathcal{F}^{2\ell} = C_F \mathcal{F}_{CF}^{2\ell} + C_A \mathcal{F}_{CA}^{2\ell} \tag{6.29}$$

The  $CA$  part contains double pole  $\mathcal{O}(1/\epsilon^2)$  and a single pole  $\mathcal{O}(1/\epsilon)$ , both coming from the IR divergence. Whilst the  $CF$  part contains a UV divergent pole that needs to be cured via mass renormalisation. The poles do not have a dependence on the renormalisation scale  $\mu_R$ , however, there is a dependence on that scale in the finite part.

### 6.4.1 Gluon wavefunction

We start by the gluon wavefunction renormalisation of the incoming gluons ( external legs) such that the amplitude is renormalised by  $Z_A^{1/2}$  for each gluon.

$$Z_A = 1 + \frac{\alpha_s^0}{4\pi} \frac{2}{3\epsilon} \left( \frac{\mu_R^2}{m_t^2} \right)^\epsilon. \tag{6.30}$$

### 6.4.2 Strong coupling constant

The strong couplings constant  $\alpha_s$  renormalisation is done via replacing the bare constant  $\alpha_s^0$  with the renormalised one, hence it becomes  $\alpha_s^0 = \frac{\mu_R^{2\epsilon}}{S_\epsilon} Z_{\alpha_s} \alpha_s$ , where

$$Z_{\alpha_s} = 1 - \frac{\alpha_s}{4\pi} \frac{1}{\epsilon} \left( \beta_0 - \frac{2}{3} \right) \left( \frac{\mu_R^2}{m_t^2} \right)^\epsilon, \quad (6.31)$$

and the constant  $\beta_0 = \frac{11}{3}C_A - \frac{2}{3}N_f$ , where  $N_f$  is the number of "active" flavours. In the 5-flavour scheme  $N_f = 5$ .

### 6.4.3 Top mass

We use the  $\overline{MS}$  scheme for the top mass renormalisation  $m_0 = Z_m m$ , replaced in the propagators, also can be done with multiplying  $\delta Z_m$  with the derivative of the 1 loop form-factor with respect to the mass., here  $Z_m$  is given by

$$Z_m = 1 + C_F \frac{3}{\epsilon}. \quad (6.32)$$

For the on-shell scheme we add the finite renormalisation term

$$Z_m^{OS} = 1 - 2C_F \quad (6.33)$$

### 6.4.4 Larin counter-term

For the vertex  $-i\bar{\psi}\gamma_\rho\gamma_5\psi$ , we let  $\gamma_5$  naively anti-commute with all  $d$ -dimensional  $\gamma_\mu$ 's and then correct that with the finite renormalisation constant

$$Z_5 = 1 - 2C_F \quad (6.34)$$

### 6.4.5 All terms together

The renormalised amplitude is written as

$$\mathcal{M}(\alpha_s, m, \mu_R) = Z_A \mathcal{M}(\alpha_s^0, m^0). \quad (6.35)$$

Putting all the above substitutions together, we get the renormalised 2 loop form-factor:

$$(\mathcal{F}^{2\ell})^R = \mathcal{F}^{2\ell} - \mathcal{F}_{UV}^{1\ell} - \mathcal{F}_{UV,m}^{1\ell} + \mathcal{F}_{\text{Larin}}^{1\ell} \quad (6.36)$$

$$\begin{aligned} \mathcal{F}_{UV}^{1\ell} &= \frac{\alpha_s}{4\pi} \frac{\beta_0}{\epsilon} \left( \frac{\mu_R^2}{\hat{s}} \right)^{-\epsilon} \\ \mathcal{F}_{UV,m}^{1\ell} &= \frac{\alpha_s}{4\pi} \left( \frac{3}{\epsilon} - 2 \right) C_F \left( \frac{\mu_R^2}{\hat{s}} \right)^{-\epsilon} m^0 \partial_m \mathcal{F}^{1\ell}. \end{aligned} \quad (6.37)$$

$$\mathcal{F}_{\text{Larin}}^{1\ell} = -\frac{\alpha_s}{4\pi} C_F \mathcal{F}^{1\ell}.$$

We expand the 1 loop for factor up to order  $\mathcal{O}(\epsilon)$ .

## 6.5 IR subtraction

We use the following IR-counter-term

$$\mathcal{F}_{IR}^{1\ell} = \frac{e^{\gamma_E \epsilon}}{\Gamma(1-\epsilon)} \frac{\alpha_s}{4\pi} \left( \frac{\beta_0}{\epsilon} + \frac{C_A}{\epsilon^2} \right) \left( \frac{\mu_R^2}{\hat{s}} \right)^{2\epsilon} \mathcal{F}^{1\ell} \quad (6.38)$$

Here, we expand the 1 loop up to order  $\mathcal{O}(\epsilon^2)$ .

## 6.6 Outline of the NLO Computation

In this section we discuss our evaluation of the three different types of diagrams that appear in the virtual corrections to the  $gg \rightarrow ZH$  amplitude at the NLO.

The triangle contribution (fig.6.2(d), (e)) was evaluated using the observation of ref.[132], i.e. we adapted the result of ref.[144] for the decay of a pseudoscalar boson into two gluons to our case. This contribution is evaluated exactly and explicit expressions for the form factors are presented in appendix A.2. We notice that if we interpret the exact result in terms of our counting of the expansion in  $p_T$ , the  $p_T$ -expansion of the triangle contribution stops at  $\mathcal{O}(p_T^2)$ .

Given the reducible structure of the double-triangle diagrams (fig.6.2(g)), an exact result for the double-triangle contribution can be derived in terms of products of one-loop Passarino-Veltman functions [146]. Explicit expressions for this contribution are presented in appendix A.2. Although we write the amplitude using a different tensorial structure with respect to ref.[137] we checked, using the relations between the two tensorial structures reported in appendix A.1, that our result is in agreement with the one presented in ref.[134].

The box contribution (fig.6.2(f)) was computed evaluating the two-loop multi-scale Feynman integrals via two different expansions: a LME up to and including  $\mathcal{O}(1/m_t^6)$  terms, and an expansion in the transverse momentum up to and including  $\mathcal{O}(p_T^4)$  terms. The former expansion was used as “control” expansion of the latter. Indeed, the  $p_T$ -expanded result actually “contains” the LME one. The LME differs from the expansion in  $p_T$  by the fact that  $\hat{s}$  is treated as a small parameter with respect to  $m_t^2$ , and not on the same footing as in the latter case. This implies that if the  $p_T$ -expanded result is further expanded in terms of the  $\hat{s}/m_t^2$  ratio the LME result has to be recovered. This way, we were able to reproduce, at the analytic level, our LME result.

We conclude this section outlining some technical details concerning our computation. We generated the amplitudes using `FeynArts` [84] and contracted them with the projectors as defined in appendix A.1 using `FeynCalc` [147, 148] and in-house Mathematica routines. We used dimensional regularization and the rule for the contraction of two epsilon tensors written in terms of the determinant of  $n$ -dimensional metric tensors. This is not a consistent procedure and needs to be corrected. A correction term should be added [149] to the form factors computed as described above,  $\mathcal{A}_i^{(1,ndr)}$ , namely

$$\mathcal{A}_i^{(1)} = \mathcal{A}_i^{(1,ndr)} - \frac{\alpha_s^0}{4\pi} C_F \mathcal{A}_i^{(0)}. \quad (6.39)$$

In order to check eq.(6.39), following ref.[150] we bypassed the problem of the treatment of  $\gamma_5$  in dimensional regularization computing the amplitude via a LME working in 4 dimension, employing the Background Field Method (BFM) [151] and using as regularization scheme the Pauli-Villars method. This result was compared with the LME evaluation of  $\mathcal{A}_i^{(1,ndr)}$ , finding that the difference between the two evaluations was indeed given by the second term on the right-hand-side of eq.(6.39).

After the contraction of the epsilon tensors the diagrams were expanded as described in section 6.2. They were reduced to MIs using `FIRE` [152] and `LiteRed` [153]. The resulting MIs were exactly the same as previously found for di-Higgs production [139]. Nearly all of them are expressed in terms of generalised harmonic polylogarithms with the exception of two elliptic integrals [154, 155]. The top quark mass was renormalized in the onshell scheme<sup>2</sup> and the IR poles were subtracted as in ref.[156].

## 6.7 Conclusion

In this paper, we computed the two-loop NLO virtual corrections to the  $gg \rightarrow ZH$  process. Among the two-loop Feynman diagrams contributing to the process, the ones belonging to the triangle and double-triangle topology were computed exactly. The ones belonging to the box topology, which contain multiscale integrals, were evaluated

<sup>2</sup>Different choices for the renormalized top mass can be easily implemented in our calculation.

via an expansion in the  $Z$  transverse momentum. This novel approach of computing a process in the forward kinematics was originally proposed in ref.[139] for double Higgs production where the particles in the final state have the same mass. In this paper, we extended the method to the more general case of two different masses in the final state and to a process whose amplitude is not symmetric under the  $\hat{t} \leftrightarrow \hat{u}$  exchange.

The result of the evaluation of the box contribution is expressed, both at one- and two-loop level, in terms of the same set of MIs that was found in ref.[139] for double Higgs production. The two-loop MIs can be all expressed in terms of generalised harmonic polylogarithms with the exception of two elliptic integrals.

As we have shown explicitly at the LO, the range of validity of our computation covers values of the invariant mass  $M_{ZH} \lesssim 750$  GeV corresponding to 98.5% of the phase space at LHC energies. We showed that few terms in our expansion were sufficient to obtain an incredible good agreement with the numerical evaluation of  $\mathcal{V}_{fin}$  presented in ref.[138], at the level of a permille or less difference between our analytic result and the numerical one.

The advantage of our analytic approach compared to the numerical calculation is also in the computing time. With an average evaluation time of half a second per phase space point, an inclusion into a Monte Carlo programme is realistic. Due to the flexibility of our analytic results, an application to beyond-the-Standard Model is certainly possible.

Finally, we remark that our calculation complements nicely the results obtained in ref.[137] using a high-energy expansion, that according to the authors provides precise results for  $p_T \gtrsim 200$  GeV. The merging of the two analyses is going to provide a result that covers the whole phase space, can be easily implemented into a Monte Carlo code and presents the flexibility of an analytic calculation.





## **Part III**

# **Higgs Pair at Hadron Colliders**



## 7 Overview of Higgs pair production at colliders

The dominant process for Higgs pair production at the LHC (and hadron colliders in general) is the gluon gluon fusion (ggF) via a heavy quark loop  $Q$ , mainly the top and beauty quark, with the latter contributing only to about 1%, see figure 7.1. This process

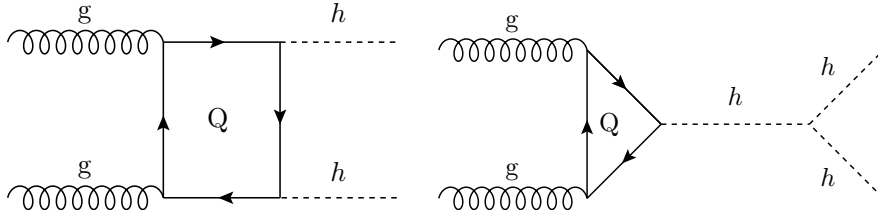
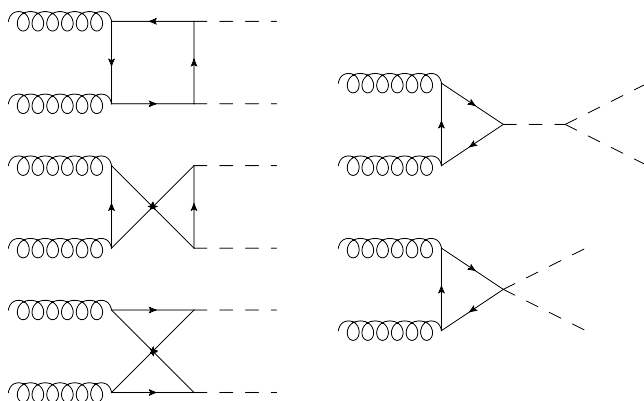


Figure 7.1. Feynman diagrams for the ggF process of Higgs pair production in the SM.

is well-studied at leading order (LO) analytically [157–160]. The next-to-leading QCD order (NLO) was initially computed using infinite top mass limit ( $m_t \rightarrow \infty$ ) using the Higgs effective field theory (HEFT) and implemented in the programme **Hpair** [161]. However, this approximation is not suitable for obtaining distributions, and using numerical methods [162–164] the full NLO results were obtained. In [165], parton shower effects were included in the NLO calculations, allowing the use of the NLO in event generators such as **PYTHIA** and **POWHEG**. Analytical calculations for the NLO corrections using small Higgs transverse momentum  $p_{T,h} \rightarrow 0$  yielded a good estimation for the numerical result [139]. The use of Padé approximation obtained also analytical results for the NLO result and a description for the three-loop (NNLO) form factors [166]. The NNLO cross section with top mass effects has been computed numerically in [167].

In this work, we have calculated the  $\sqrt{s} = 14$  TeV LO ggF inclusive cross-section and distributions with modified light Yukawa couplings by including the light quark loops and the coupling  $hhq\bar{q}$  described in the last diagram in figure 7.2. The calculation was carried out using a FORTRAN code utilising the **VEGAS** integration algorithm, and **NNPDF30** parton distribution functions (PDF's)[168] implemented via **LHAPDF-6** package[169]. For the loop integrals (see Appendix), we have used the **COLLIER** library [170] for regularisation of the IR divergent light quark loops, that were assumed massless. A  $K$ -factor, for the NNLO correction were used according to the Higgs cross



**Figure 7.2.** The one-loop diagrams calculated in the ggF with modified Yukawa couplings

section working group recommended values [171, 172]:

$$K = \frac{\sigma_{NNLO}}{\sigma_{LO}}, \quad K_{14\text{TeV}} \approx 1.71. \quad (7.1)$$

Since the cross-section is not expected to change a lot by changing the light Yukawa couplings, we use the same NNLO K-factor for all values of the scalings. The renormalisation  $\mu_R$  and factorisation  $\mu_F$  scales of the  $\alpha_s$  and PDF running are set to  $\mu_0 = 0.5 M_{hh}$ , and  $\alpha_s(M_Z) = 0.118$ . In our calculations, we did not consider the quark mass running, as the later will be accounted for in the K-factor.

### Theoretical systematic uncertainties

There are three main sources of theoretical *systematic* uncertainties:

1. Scale uncertainty: coming from the arbitrariness of scales choice.
2. PDF uncertainties : coming from the uncertainty in the PDF fitting and model.
3.  $\alpha_s$  running uncertainty: originating from the initial value (i.e.  $\alpha_s(M_Z)$ ).

In order to compute these uncertainties, we follow the recommendations of the Higgs cross-section working group for the value and uncertainty of  $\alpha_s$

$$\alpha_s(M_Z) = 0.1180 \pm 0.0015, \quad (7.2)$$

and the methods described in [173, 174]. for PDF and  $\alpha_s$  uncertainties. In order to calculate the scale uncertainties, the cross-section was computed with different  $\mu_R$  and

	$\sigma$ [fb]	Scale [fb]	PDF+ $\alpha_s$ [fb]	Total [fb]
SM HEFT (LO)	18.10	—	—	—
SM running mass (LO)	16.96	—	—	—
SM (LO)	21.45	+4.29 -3.43	$\pm 1.46$	+4.53 -3.73
SM (NLO) [175]	33.89	+6.17 -4.98	+2.37 -2.01	+6.61 -5.37
SM (NNLO) [167]	36.69	+0.77 -1.83	$\pm 1.10$ ( $g_{hq\bar{q}} = g_{hb\bar{b}}^{SM}$ )	+1.66 -6.43 (incl. $m_t$ uncertainty)
( $g_{hq\bar{q}} = g_{hb\bar{b}}^{SM}$ ) (ggF-LO)	21.84	+4.38 -3.51	$\pm 1.49$	+4.62 -3.81

**Table 7.1.** Gluon fusion (ggF) Higgs pair production cross-section with theoretical systematic uncertainties, for infinite top mass limit (SM HEFT), running mass, LO, NLO and NNLO QCD corrections. The NLO and NNLO results are taken from the references cited in the table. We also state the benchmark point ( $g_{hq\bar{q}} = g_{hb\bar{b}}^{SM}$ ) cross section result (all the light Yukawa couplings are scaled to the SM beauty Yukawa )

$\mu_F$  values ranging between:

$$\frac{M_{hh}}{4} \leq \mu_R/\mu_F \leq M_{hh} \quad (7.3)$$

The scale uncertainty for the LO total cross-section was found to be +20%, -16%. Moreover, the PDF+ $\alpha_s$  uncertainty was  $\pm 6.8\%$ .

## results

The total cross sections with their uncertainties is shown in table ??.



## 8 Higgs pair as a probe for light Yukawas





## **9 Optimised search for Higgs pair via Interpretable machine learning**



# A Details of $Zh$ calculation

## A.1 Orthogonal Projectors in $gg \rightarrow ZH$

In this appendix we present the explicit expressions of the projectors  $\mathcal{P}_i^{\mu\nu\rho}$  appearing in eq.(6.2). The projectors are all normalized to 1. They are:

$$\mathcal{P}_1^{\mu\nu\rho} = \frac{m_Z}{\sqrt{2}s'p_T^2} \left[ p_1^\nu \epsilon^{\mu\rho p_1 p_2} - p_2^\mu \epsilon^{\nu\rho p_1 p_2} + q_t^\mu \epsilon^{\nu\rho p_2 p_3} \right. \quad (\text{A.1})$$

$$\left. + q_u^\nu \epsilon^{\mu\rho p_1 p_3} + s' \epsilon^{\mu\nu\rho p_2} - s' \epsilon^{\mu\nu\rho p_1} \right], \quad (\text{A.2})$$

$$\mathcal{P}_2^{\mu\nu\rho} = \frac{1}{\sqrt{2}s'p_T} \left[ q_u^\nu \epsilon^{\mu\rho p_1 p_3} + q_t^\mu \epsilon^{\nu\rho p_2 p_3} \right], \quad (\text{A.3})$$

$$\begin{aligned} \mathcal{P}_3^{\mu\nu\rho} &= \frac{\sqrt{3}}{2s'p_T} \left[ s' \epsilon^{\mu\nu\rho p_1} + s' \epsilon^{\mu\nu\rho p_2} - p_1^\nu \epsilon^{\mu\rho p_1 p_2} - p_2^\mu \epsilon^{\nu\rho p_1 p_2} \right. \\ &+ (q_u^\nu \epsilon^{\mu\rho p_1 p_3} - q_t^\mu \epsilon^{\nu\rho p_2 p_3}) \left( \frac{1}{3} + \frac{m_Z^2}{p_T^2} \right) \\ &\left. + \frac{m_Z^2}{p_T^2} (q_t^\mu \epsilon^{\nu\rho p_2 p_1} - q_u^\nu \epsilon^{\mu\rho p_1 p_2}) \right], \end{aligned} \quad (\text{A.4})$$

$$\mathcal{P}_4^{\mu\nu\rho} = \frac{m_Z}{\sqrt{2}s'p_T^2} \left[ q_t^\mu (\epsilon^{\nu\rho p_2 p_1} - \epsilon^{\nu\rho p_2 p_3}) - q_u^\nu (\epsilon^{\mu\rho p_1 p_2} - \epsilon^{\mu\rho p_1 p_3}) \right], \quad (\text{A.5})$$

$$\mathcal{P}_5^{\mu\nu\rho} = \frac{1}{\sqrt{6}s'p_T} \left[ q_t^\mu \epsilon^{\nu\rho p_2 p_3} - q_u^\nu \epsilon^{\mu\rho p_1 p_3} \right], \quad (\text{A.6})$$

$$\begin{aligned} \mathcal{P}_6^{\mu\nu\rho} &= \frac{1}{s'p_T} \left[ g^{\mu\nu} \epsilon^{\rho p_1 p_2 p_3} + s' \epsilon^{\mu\nu\rho p_3} + p_1^\nu \epsilon^{\mu\rho p_2 p_3} - p_2^\mu \epsilon^{\nu\rho p_1 p_3} - \frac{s'}{2} \epsilon^{\mu\nu\rho p_2} \right. \\ &+ \frac{1}{2} (p_1^\nu \epsilon^{\mu\rho p_1 p_2} + p_2^\mu \epsilon^{\nu\rho p_1 p_2} + q_u^\nu \epsilon^{\mu\rho p_1 p_3} - q_t^\mu \epsilon^{\nu\rho p_2 p_3} - s' \epsilon^{\mu\nu\rho p_1}) \\ &\left. + \frac{m_Z^2}{2p_T^2} (q_t^\mu \epsilon^{\nu\rho p_2 p_1} - q_u^\nu \epsilon^{\mu\rho p_1 p_2} + q_u^\nu \epsilon^{\mu\rho p_1 p_3} - q_t^\mu \epsilon^{\nu\rho p_2 p_3}) \right], \end{aligned} \quad (\text{A.7})$$

where we defined  $q_t^\mu = (p_3^\mu - \frac{t'}{s'} p_2^\mu)$  and  $q_u^\nu = (p_3^\nu - \frac{u'}{s'} p_1^\nu)$  and we used the shorthand notation  $\epsilon^{\mu\nu\rho p_2} \equiv \epsilon^{\mu\nu\rho\sigma} p_2^\sigma$ .

Using these projectors we obtained the relations between the form factors  $\mathcal{A}_i$  defined

in in eq.(6.2) and those defined in section 2 of ref.[137]:

$$\mathcal{A}_1 = \frac{p_T^2}{2\sqrt{2}m_Z(p_T^2 + m_Z^2)} \left[ (t' + u')F_{12}^+ - (t' - u')F_{12}^- \right], \quad (\text{A.8})$$

$$\begin{aligned} \mathcal{A}_2 = & -\frac{p_T}{2\sqrt{2}(p_T^2 + m_Z^2)} \left[ (t' + u')F_{12}^+ - (t' - u')F_{12}^- \right. \\ & \left. - \frac{p_T^2 + m_Z^2}{2s'} ((t' + u')F_3^+ - (t' - u')F_3^-) \right], \end{aligned} \quad (\text{A.9})$$

$$\begin{aligned} \mathcal{A}_3 = & \frac{p_T}{2\sqrt{3}(p_T^2 + m_Z^2)} \left[ (t' + u')F_{12}^- - (t' - u')F_{12}^+ \right. \\ & \left. + (p_T^2 + m_Z^2)(F_2^- + F_4) \right], \end{aligned} \quad (\text{A.10})$$

$$\begin{aligned} \mathcal{A}_4 = & -\frac{m_Z}{2\sqrt{2}(p_T^2 + m_Z^2)} \left[ (t' + u')F_{12}^- - (t' - u')F_{12}^+ \right. \\ & \left. + (p_T^2 + m_Z^2) \left( \left(1 - \frac{p_T^2}{m_Z^2}\right) F_2^- + 2F_4 \right) \right], \end{aligned} \quad (\text{A.11})$$

$$\begin{aligned} \mathcal{A}_5 = & \frac{p_T}{2\sqrt{6}(p_T^2 + m_Z^2)} \left[ (t' + u')F_{12}^- - (t' - u')F_{12}^+ \right. \\ & \left. + (p_T^2 + m_Z^2) \left( 4(F_2^- + F_4) + \frac{3}{2s'} ((t' + u')F_3^- - (t' - u')F_3^+) \right) \right], \end{aligned} \quad (\text{A.12})$$

$$\mathcal{A}_6 = \frac{p_T}{2} F_4. \quad (\text{A.13})$$

## A.2 Two-loop Results

The NLO amplitude can be written in terms of three contributions, namely the two-loop 1PI triangle, the two-loop 1PI box and the reducible double-triangle diagrams,

$$\mathcal{A}_i^{(1)} = \mathcal{A}_i^{(1,\triangle)} + \mathcal{A}_i^{(1,\square)} + \mathcal{A}_i^{(1,\bowtie)}. \quad (\text{A.14})$$

We present here the exact results for the double-triangle and triangle contributions to all the form factors. We find

$$\mathcal{A}_1^{(1,\boxtimes)} = -\frac{m_t^2 p_T^2}{4\sqrt{2} m_Z (m_Z^2 + p_T^2)^2} \left[ F_t(\hat{t}) \left( G_t(\hat{t}, \hat{u}) - G_b(\hat{t}, \hat{u}) \right) + (\hat{t} \leftrightarrow \hat{u}) \right], \quad (\text{A.15})$$

$$\mathcal{A}_2^{(1,\boxtimes)} = \frac{m_t^2 p_T}{4\sqrt{2} (m_Z^2 + p_T^2)^2} \left[ F_t(\hat{t}) \left( G_t(\hat{t}, \hat{u}) - G_b(\hat{t}, \hat{u}) \right) + (\hat{t} \leftrightarrow \hat{u}) \right], \quad (\text{A.16})$$

$$\mathcal{A}_3^{(1,\boxtimes)} = \frac{m_t^2 p_T}{4\sqrt{3} \hat{s} (m_Z^2 + p_T^2)^2} \left[ (m_H^2 - \hat{t}) F_t(\hat{t}) \left( G_t(\hat{t}, \hat{u}) - G_b(\hat{t}, \hat{u}) \right) - (\hat{t} \leftrightarrow \hat{u}) \right], \quad (\text{A.17})$$

$$\begin{aligned} \mathcal{A}_4^{(1,\boxtimes)} &= -\frac{m_t^2}{4\sqrt{2} m_Z \hat{s}^2 (m_Z^2 + p_T^2)^2} \left[ (m_Z^2 (m_H^2 - \hat{t})^2 \right. \\ &\quad \left. - \hat{t} (m_Z^2 - \hat{u})^2) F_t(\hat{t}) \left( G_t(\hat{t}, \hat{u}) - G_b(\hat{t}, \hat{u}) \right) - (\hat{t} \leftrightarrow \hat{u}) \right], \end{aligned} \quad (\text{A.18})$$

$$\begin{aligned} \mathcal{A}_5^{(1,\boxtimes)} &= -\frac{m_t^2 p_T}{4\sqrt{6} \hat{s} (m_Z^2 + p_T^2)^2} \left[ (4m_Z^2 - \hat{s} - 4\hat{u}) F_t(\hat{t}) \left( G_t(\hat{t}, \hat{u}) - G_b(\hat{t}, \hat{u}) \right) \right. \\ &\quad \left. - (\hat{t} \leftrightarrow \hat{u}) \right], \end{aligned} \quad (\text{A.19})$$

$$\mathcal{A}_6^{(1,\boxtimes)} = 0, \quad (\text{A.20})$$

where

$$\begin{aligned} F_t(\hat{t}) &= \frac{1}{(m_H^2 - \hat{t})^2} \left[ 2\hat{t} (B_0(\hat{t}, m_t^2, m_t^2) - B_0(m_H^2, m_t^2, m_t^2)) \right. \\ &\quad \left. + (m_H^2 - \hat{t}) \left( (m_H^2 - 4m_t^2 - \hat{t}) C_0(0, m_H^2, \hat{t}, m_t^2, m_t^2, m_t^2) - 2 \right) \right], \end{aligned} \quad (\text{A.21})$$

$$\begin{aligned} G_x(\hat{t}, \hat{u}) &= (m_Z^2 - \hat{u}) \left[ m_Z^2 (B_0(\hat{t}, m_x^2, m_x^2) - B_0(m_Z^2, m_x^2, m_x^2)) \right. \\ &\quad \left. + (\hat{t} - m_Z^2) (2m_x^2 C_0(0, \hat{t}, m_Z^2, m_x^2, m_x^2, m_x^2) + 1) \right]. \end{aligned} \quad (\text{A.22})$$



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## List of publications

1. **L. Alasfar**, G. Degrossi, P. P. Giardino, R. Gröber and M. Vitti  
*Virtual corrections to  $gg \rightarrow ZH$  via a transverse momentum expansion*  
JHEP **05** (2021), 168  
arXiv:2103.06225 [hep-ph].
2. **L. Alasfar**, A. Azatov, J. de Blas, A. Paul and M. Valli  
*B anomalies under the lens of electroweak precision*  
JHEP **12** (2020), 016  
arXiv:2007.04400 [hep-ph].
3. **L. Alasfar**, R. Corral Lopez and R. Gröber  
*Probing Higgs couplings to light quarks via Higgs pair production*  
JHEP **11** (2019), 088  
arXiv:1909.05279 [hep-ph].