

INTEGRATED SAFEGUARDS DATA SHEET

APPRAISAL STAGE

Report No.: ISDSA358

Date ISDS Prepared/Updated: 23-Apr-2012

I. BASIC INFORMATION

1. Basic Project Data

Country:	Nepal	Project ID:	P125359
Project Name:	Nepal: Community Action for Nutrition Project (Sunaula Hazar Din) (P125359)		
Task Team Leader:	Albertus Voetberg		
Estimated Appraisal Date:	24-Apr-2012	Estimated Board Date:	31-Jul-2012
Managing Unit:	SASHN	Lending Instrument:	Specific Investment Loan
Sector:	Health (25%), Primary education (25%), Sanitation (20%), Animal production (20%), Public administration- Health (10%)		
Theme:	Child health (30%), Nutrition and food security (20%), Population and reproductive health (20%), Other rural development (20%), Soci al safety nets (10%)		
Financing (In USD Million)			
Financing Source			Amount
BORROWER/RECIPIENT			0.00
International Development Association (IDA)			40.00
Total			40.00
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Objectives

The Development Objective for the Project is to improve attitudes and practices known to improve nutritional outcomes of women of reproductive age and children under the age of 2. Changes in attitudes and practices would address the key risk factors for child malnutrition and create demand for nutrition related services and products. The supply of these services and products will be provided through existing public sector and donor-funded programs, the private sector and, to a limited extent, financed through the Project.

3. Project Description

The Project will roll out a social mobilization initiative through a community-driven "Rapid Results for Nutrition Initiative" (RRNI) where each ward in a selected VDC will commit to a series of pre-defined nutrition relevant goals, each to be met within a 100-day period. The achievement of the goals will be the responsibility of Rapid Results (RR) teams, one for each ward, supported by a coach who will support all RR teams in one VDC. The Rapid Results approach will be introduced early in a few wards, before Project Effectiveness, to inform details to be included in the Operational Manual. It will then be rolled out under the proposed Project in a sequenced manner across 15 districts in the country. These districts have been selected on the basis of a) stunting levels; b) population size; c) poverty levels; and d) the absence of interventions by other partners that focus on social mobilization for improved nutrition outcomes. Within selected districts, VDCs will be selected on the basis of poverty indicators, with an estimated 25% of VDCs (900 – 1000) participating in the project given the project's financial envelope.

Component 1 (estimated US\$34.93 million): Rapid Results for Nutrition Initiatives at the ward level. This component will support the entire Rapid Results process. It will start at a Ward Citizen Forum where key nutrition challenges of the community will be discussed. Assisted by a coach at the ward level, the ward will select a nutrition relevant goal from a menu of goals. A Rapid Results (RR) team would be selected at the ward level that will set an appropriate and realistic target for meeting the goal and pledge to achieve the goal within a 100-day period by mobilizing the community and finding the best approach to achieving the target in their particular context. The RR team will prepare a Work Plan which will outline the needed inputs to achieve the goal.

The Work Plan will be submitted for review to the Nutrition and Food Security Steering Committees (NFSSC) at the VDC level if the total value is below US\$1,000 equivalent and to the NFSSC at the district level if the total value is between US\$1,000 and the maximum of US\$3,000 equivalent. There will be a mid-term assessment by the RRNI team with assistance from the coach and an end-of the 100 days initiative evaluation by the team of whether it was able to meet the goal and a presentation of the results to the Ward Citizen Forum. At the same occasion, the RR team will account for the use of inputs, financial or otherwise, and the end-of the 100 days initiative evaluation will effectively serve as a public audit of the initiative as well. Modalities for sustaining and expanding the achievement will be an important topic during the evaluation of the 100 days initiative.

Component 2 (estimated US\$5.07 million): Project Management, Capacity Building, Monitoring and Evaluation. This component will provide support for the implementation of Component 1 by (i) building the necessary capacity of staff involved at all levels of project implementation; (ii) providing necessary inputs for effective project management; and (iii) ensuring monitoring and evaluation of the Project, including the evaluation of the Rapid Results process as well as whether the expected outcomes of the Rapid Results initiatives were achieved.

At the National level, support will be provided by a Project Management Team at the Ministry of Local Development (MOLD) to ensure effective management of the project. At the Regional level, support will be provided to the Local Governance and Community Development Program (LGCDP) Cluster units responsible for districts where the Project will be implemented. At the district level, support will be provided by the NFSSCs which will be responsible for reviewing RRNI Work Plans and coordinating the supply of necessary inputs for the RRNIs. Similarly, NFSSCs at the level of VDCs will receive training and project management support to ensure that they are able to review and process work plans and secure necessary supplies at the village level. Support to NFSSCs at district and VDC levels would go beyond Project specific activities and include support in coordinated planning and budgeting for nutrition relevant activities across sectors to be incorporated in the district block grants.

Support for the Monitoring and Evaluation of the Project will include regular collection of data on the implementation of the Rapid Results for Nutrition Initiatives, data on the behaviors and practices which the RRNIs are expected to change, as well as data on the nutritional outcomes. The component will finance the costs of a mid-term evaluation focusing on the changes in attitudes and practices and an end-of-Project impact evaluation focusing on outcomes among the five main populations targeted under the Project. The component will also include a third-party independent verification of results of the RRNIs. On an annual basis, there will also be a Nutrition Learning Forum organized by the National Planning Commission where results of the project will be presented and discussed, where innovative RRNIs and project lessons would be highlighted and where selected communities would be honored for their special achievements.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

Project interventions will be supported in 15 districts, selected on the basis of: (a) stunting levels; (b) population size; (c) poverty levels; and (d) the absence of interventions by other partners that focus on social mobilization. The project districts are in the central Terai: Parsa, Bara, Rautahat, Sarlahi, Mahottari, and Dhanusa; in the Central Hills: Dhading, Nuwakot, Makwanpur, Sindhuli and Ramechhap; in the Eastern Terai: Siraha, Saptari, and Sunsari; in the Eastern Hills: Udayapur.

These districts, selected on the basis of poverty and health indicators, are spread throughout the country and do not possess any physical characteristics that would be particularly relevant for safeguard analysis. Similarly, no large scale, significant or irreversible impacts are expected. Therefore, the project is expected to be Category B.

The main environmental and social safeguards policies to be triggered under this project are: OP/BP 4.01 on Environmental Assessment and OP/BP 4.10 on Indigenous Peoples to ensure that any adverse effects of the project are avoided or minimized, and that the indigenous people receive culturally appropriate social and economic benefits.

5. Environmental and Social Safeguards Specialists

Chaohua Zhang (SASDS)

Drona Raj Ghimire (SASDI)

Bandita Sijapati (SASDS)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	Potential adverse environmental impacts on human populations or environmentally important areas, if any, are expected to be site-specific and none of them are expected to be irreversible.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	
Indigenous Peoples OP/BP 4.10	Yes	The Government of Nepal has recognized 59 different indigenous groups, also known as Janajatis, practicing a mix of Hindu, Buddhist, Animism and Muslim religions. The nutritional status of Janajatis is more likely to be compromised because of social, geographic and economic barriers.
Involuntary Resettlement OP/BP 4.12	No	
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:
<p>This project is classified as Category B because it is likely to have minimal or no adverse environmental impacts. There might be occasions when the RR team decides to engage in small-scale civil works such as construction or rehabilitation of latrines in schools, start fisheries, small-scale drinking water supply, construction of improved stoves, etc. However, these are envisaged to be limited in numbers, of very small scale, localized, and none are expected to have irreversible impacts. To prevent, minimize, mitigate and improve environmental performance, 'Environmental and Social Risk Screening and Management Guidelines' is prepared for each of the sub-projects envisaged under the project which includes parameters for environmental assessment.</p> <p>The construction-related activities will also be limited mostly to existing school buildings, health posts, etc., thus the purchasing of additional</p>

land for the project is not envisaged. Any additional land required under the Project will be voluntarily donated. The 'Environmental and Social Management Framework' includes provisions assuring that any voluntary land donation is adequately recorded and a verification mechanism is in place to monitor (and report) any violation of commitment by the government to only use voluntary land donation.

The project triggers OP/BP 4.10 on Indigenous People (IP). While the whole project has been designed to benefit vulnerable groups, including the IPs, it is not ex-ante whether the wards where the program will be implemented coincide with those where there is a significant presence of IP groups. To ensure that any adverse effects of the project are avoided or minimized, and these groups are able to access project benefits which are also culturally appropriate social and economic beneficial, the 'Environmental and Social Risk Screening and Management Guidelines' developed for the project includes measures for these vulnerable groups and women which will help mitigate these risks and impacts, and enhance project benefits to these groups.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

There are no expected indirect and/or long-term impacts of the project.

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.

Given the nature of this project, only small scale infrastructure works, if any, are being considered. Further, the project addresses some key equity issues directly in the project components, implementation arrangements and results framework. Thus, no overall project level alternatives were considered.

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.

To ensure that any adverse social and environmental impacts are avoided or minimized, an 'Environmental and Social Management Framework' has been prepared for the project which include measures to mitigate the risks and impacts of the project, and also incorporates activities and processes for public consultation and participation, monitoring mechanisms, grievance redress, and measures to enhance project benefits to communities, including vulnerable groups, through the design and implementation of sub-project activities.

For each of the 11 possible sub-projects envisaged under the project, 'Environmental and Social Risk Screening and Management Guidelines' are also prepared which include parameters for environmental assessment and vulnerable communities (including IPs), and also measures to mitigate the environmental and social risks and impacts of the sub-project activities.

The implementing agency, the Ministry of Local Development (MOLD) has extensive experience in implementing Bank-led projects. Further, at the regional level, the Local Governance and Community Development Program (LGCDP) cluster units that is responsible for districts where the project will be implemented, has been planning and implementing the Asian Development Bank's safeguard policies, which are closely aligned with those of the World Bank.

However, depending on the expertise available within the Project Management team at the MOLD set up for this project, and the coaches and RR teams at the local levels that will be responsible for incorporating safeguards measures in the work plans for the sub-projects, orientation trainings might be required for the team.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

The 'Environmental and Social Management Framework' developed for the project and the 'Environmental and Social Risk Screening and Management Guidelines' for the sub-projects will be shared by the Ministry of Local Development with all concerned institutions, government agencies, and civil society organizations at the national, regional and local levels. Further, at the local level, consultations will be held at the Ward Citizen Forum, and the Rapid Results (RR) team selected at the ward level will incorporate relevant elements/aspects from the framework and the guidelines while setting up targets and preparing a work plan for attaining the nutritional outcomes, mobilizing the community, and monitoring and evaluating results.

The draft 'Environmental and Social Management Framework' and the 'Environmental and Social Risk Screening and Management Guidelines' will be uploaded on MOLD's website prior to April 20, 2012, to invite comments from relevant stakeholders. The final guidelines will be uploaded prior to negotiations. The framework and the guidelines will be disclosed in Nepali and English by the MOLD, and in English at the World Bank's InfoShop.

B. Disclosure Requirements Date

Environmental Assessment/Audit/Management Plan/Other	
Was the document disclosed prior to appraisal?	Yes
Date of receipt by the Bank	18-Apr-2012
Date of "in-country" disclosure	19-Apr-2012
Date of submission to InfoShop	19-Apr-2012
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
Indigenous Peoples Development Plan/Framework	
Was the document disclosed prior to appraisal?	Yes
Date of receipt by the Bank	18-Apr-2012
Date of "in-country" disclosure	19-Apr-2012
Date of submission to InfoShop	19-Apr-2012
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	

If in-country disclosure of any of the above documents is not expected, please explain why:

C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting)

OP/BP/GP 4.01 - Environment Assessment			
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes [<input type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
OP/BP 4.10 - Indigenous Peoples			
If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit or Sector Manager?	Yes [<input type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
The World Bank Policy on Disclosure of Information			
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
All Safeguard Policies			
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]

III. APPROVALS

Task Team Leader:	Albertus Voetberg	
Approved By:		
Regional Safeguards Coordinator:	Name: Roselind Rajan (RSA)	Date: 24-Apr-2012
Sector Manager:	Name: Julie McLaughlin (SM)	Date: 20-Apr-2012