

Operating System Principles

操作系统原理



Introduction

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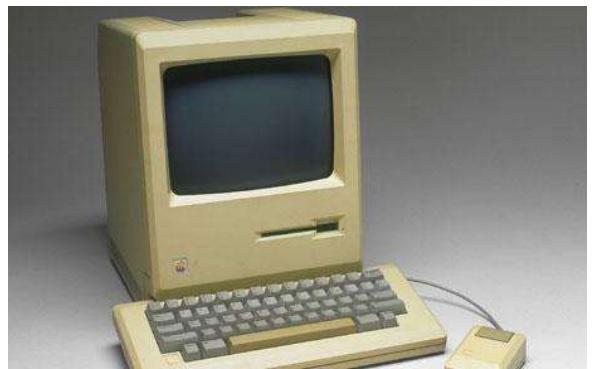


Objectives

- Computer System
- Operating System
- Operating System Zoo
- Operating System History
- Operating System Functions
- Operating System Characters
- Operating System Structure
- Research on OS

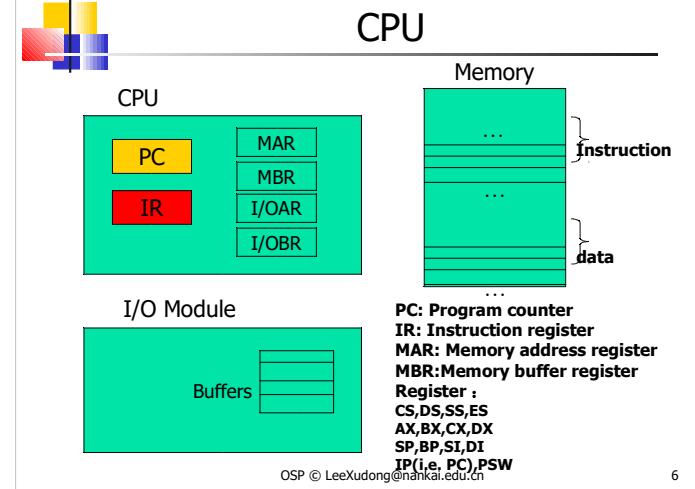
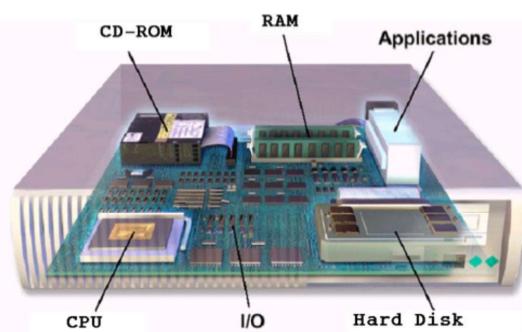


Computer System



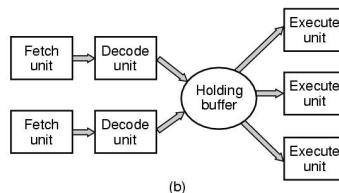
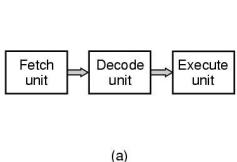
Computer System

What is Inside Computer?



6

CPU Pipeline

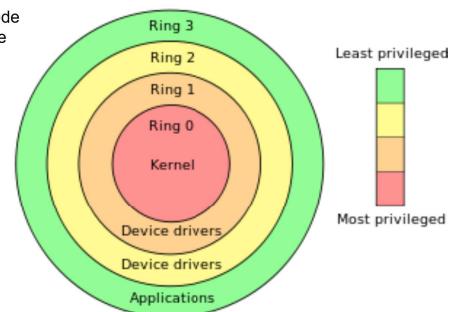


(a) A three-stage pipeline. (b) A superscalar CPU.

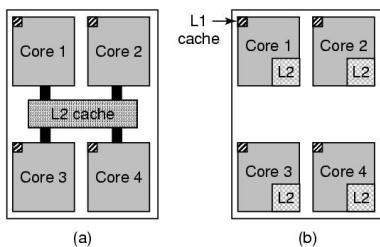
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CPU: Supervisor / Protected Mode

Kernel Mode
User Mode



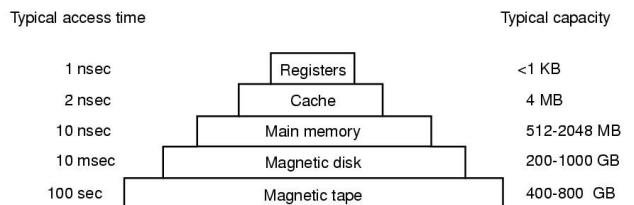
Multithreaded and Multicore Chips



- (a) A quad-core chip with a shared L2 cache.
(b) A quad-core chip with separate L2 caches.

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Memory



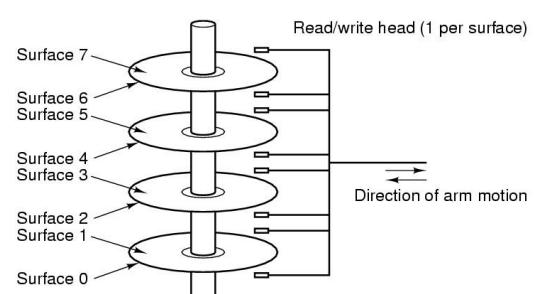
A typical memory hierarchy
The numbers are very rough approximations

Memory

Questions when dealing with cache:

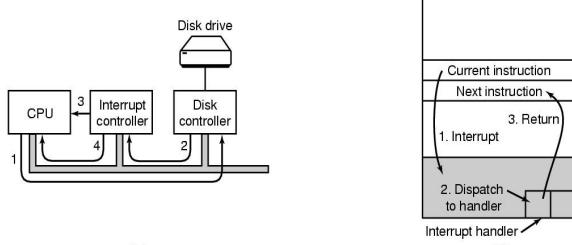
- When to put a new item into the cache.
- Which cache line to put the new item in.
- Which item to remove from the cache when a slot is needed.
- Where to put a newly evicted item in the larger memory.

Disks



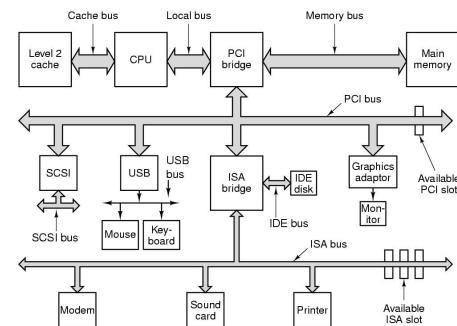
Structure of a disk drive.

I/O Devices



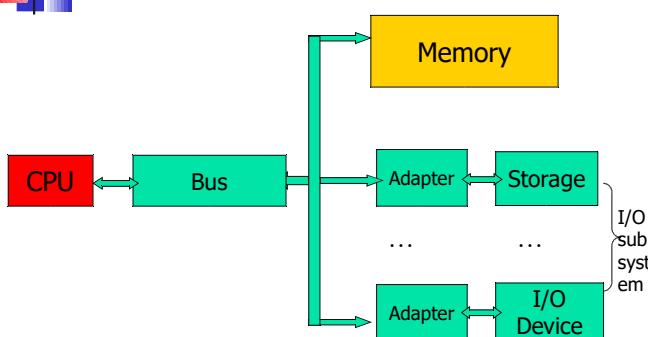
(a) The steps in starting an I/O device and getting an interrupt.

Buses



The structure of a large Pentium system

Computer System: Hardware



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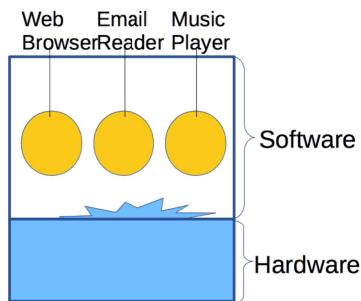
Computer System: Software





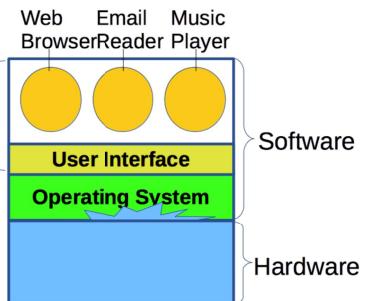
Layers of Computer System

?



Layers of Computer System

User Mode
Kernel Mode



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"Operating System"



Basic Services of OS

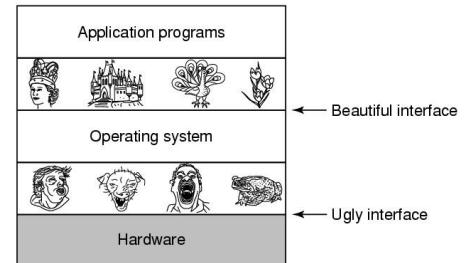
- Program Creation
- Program Execution
- Access to I/O Devices
- Controlled Access to Files
- System Access
- Error Detection and Response
- Accounting

What's an Operating System?

- http://en.wikipedia.org/wiki/Operating_system
- software that manages computer hardware and software resources and provides common services for computer programs
- an essential component of the system software in a computer system
- Application programs usually require an operating system to function

What's an Operating System?

- 1.The Operating System as an Extended Machine



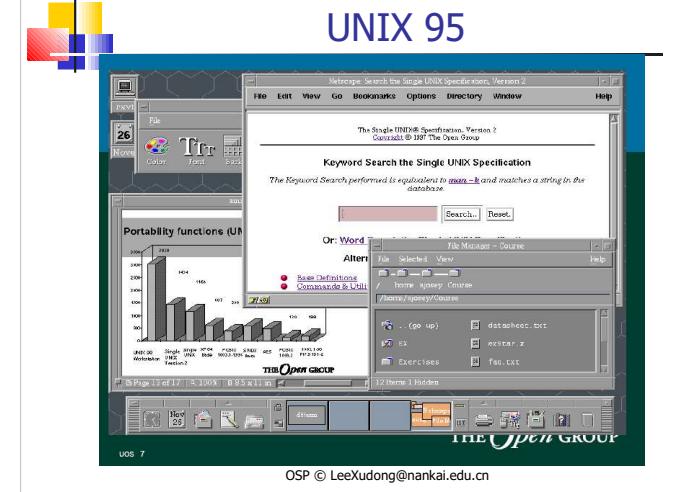
What's an Operating System?

- 2.The Operating System as a Resource Manager
 - Allow multiple programs to run at the same time
 - Manage and protect memory, I/O devices, and other resources
 - Includes multiplexing (sharing) resources in two different ways:
 - In time 时间 , In space 空间

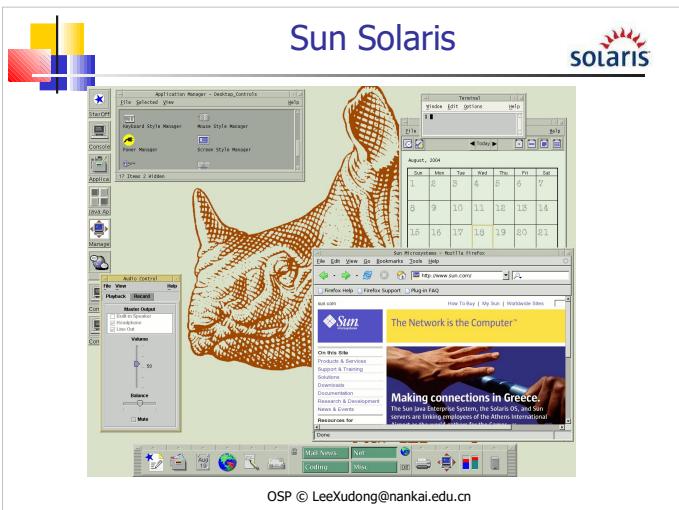
What's an Operating System?

- (3).The Operating System as a Process Manager
 - Process Creation, Scheduling, Termination
- (4).The Operating System as an Extensible Service Machine
 - New Services

Operating System Zoo



Sun Solaris



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FreeBSD



15:27



CP/M

```

Loading CPM.SYS...
CP/M-86 for the IBM PC/XT/AT, Vers. 1.1 (Patched)
Copyright (C) 1983, Digital Research

Hardware Supported :
Diskette Drive(s) : 3
Hard Disk Drive(s) : 1
Parallel Printer(s) : 1
Serial Port(s) : 1
Memory (KB) : 640

D:>
A: PIP    CMD : STAT   CMD : SUBMIT  CMD : ASM86   CMD
A: GENCMD  CMD : DDT86  CMD : TOD    CMD : ED      CMD
A: HELP   CMD : HELP    HLP : SYS    CMD : ASSIGN  CMD
A: FORMAT  CMD : CLDIR   CMD : WRTLDR  CMD : BOOTPCDS SYS
A: BOOTWIN SYS : CPM    HB6 : WINSTALL SUB : PD      CMD
A: WCPM   SYS : DISKUTIL CMD

A:> User 0      0:00:11     Jan. 1, 2000
  
```

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CP/M OS

- CP/M (Control Program for Microcomputers) was a mass-market operating system created for Intel 8080/85-based microcomputers by **Gary Kildall** of Digital Research.
- Initially confined to single-tasking on 8-bit processors and no more than 64 kilobytes of memory, later versions of CP/M added multi-user variations and were migrated to 16-bit processors.
- The combination of CP/M and S-100 bus computers loosely patterned on the MITS Altair was an early "industry standard" for microcomputers, and this computer platform was widely used in business through the late 1970s and into the mid-1980s, expanding to include 16-bit CPUs and multiuser capability

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MS DOS/IBM DOS (QDOS)

```

Volume in drive C is SYSC
Volume Serial Number is 344E-0FED
Directory of C:\  

ILEDIR1      ISJGL1      ANSI.COM      APATH.COM      ASC.COM
ASPIOHC1.SYS ASPIOHC1.SYS ATTRIB.COM  AUTOEXEC.BAT AUTOEXEC.BAT
AUTOEXEC.BA1 CBPLAY.COM  CLOAKIX.EXE CLOAKING.EXE CLOCK.COM
COMMAND.COM CONFIG.SV1  CONFIG.SYS  CONFIG1.SYS CP437UNI.TBL
CP937UNI.TBL E SOUND.COM  CTMOUSE.EXE CWSPPMI.EXE DELTREE.COM
DEVICE.COM  D1100000.SYS DOSE7.CHB  DOSREY.COM  DOSLFN.COM
DOSV1.COM    DPMSAVE.EXE DREADY.COM  DUSE.EXE  ECHO.SYS
ECHO1.SYS   EMM386.EXE ESCAPE.EXE EXTRACT.EXE FIND.COM
FINDCD.EXE  FINDRAMD.EXE HIMEM.SYS  HIRAM.EXE IFSHLP.SYS
KILLER.EXE  KPUSH.COM  LFNXLAT.386 LOCATE.COM  LOGO.SYS
LOADMA.SYS  MCD.EXE   MOUSCLIP.EXE MSCDEX.EXE PC-EXT2X.DUL
PC-WERR.OLV PCCACHE.COM PERUSE.COM  PKUNZIP.EXE QV1.EXE
RAMFD.EXE   README.TXT  SHARE.EXE  SHUTDOWN.COM SORT.COM
SPFDISK.EXE STRINGS.COM  SUBST.COM  TM.EXE  UMBPC1.SYS
UNRAR.EXE   UNDISK.EXE  USBAPI.SYS UC.CAB
VIDE-CDD.SYS WAIT.COM   WBAT.COM   WRITEXT.EXE XCOPY.EXE
XCOPY32.MOD XFINDD.COM  XMSDSK.EXE XZL.COM   ZENO.EXE  

83 file(s)          1,378,420 bytes
2 dir(s)           100,945,920 bytes free
  
```

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DOS:MS-DOS

- MS-DOS was a renamed form of 86-DOS – owned by Seattle Computer Products, written by **Tim Paterson**. Development of 86-DOS took only six weeks, as it was basically a clone of Digital Research's CP/M (for 8080/Z80 processors), ported to run on 8086 processors and with two notable differences compared to CP/M, an improved disk sector buffering logic and the introduction of FAT12 instead of the CP/M filesystem. This first version was shipped in August 1980.
- Microsoft, which needed an operating system for the then-new Intel 8086 but had none available, hired Tim Paterson in May 1981 and bought 86-DOS 1.10 for **\$75,000** in July of the same year.
- Microsoft kept the version number, but renamed it MS-DOS. They also licensed MS-DOS 1.10/1.14 to IBM, who, in August 1981, offered it as PC DOS 1.0 as one of three operating systems[10] for the IBM 5150, or the IBM PC.
- Single user, Single task OS
- DOS Components
 - BOOT MBR, IO.SYS, MSDOS.SYS, COMMAND.COM

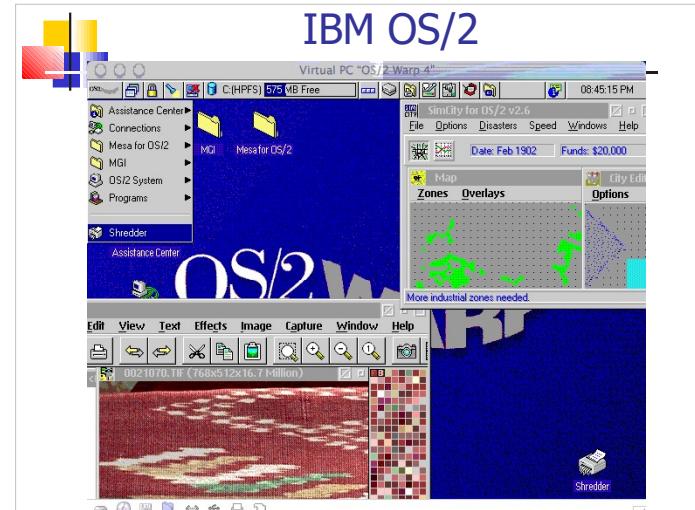
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Bill Gates



Tim Paterson



Microsoft Windows

- 1983.11.10, Bill Gate announced Windows
- 1985.11.20, Windows 1.0
- 1992.4, Windows 3.1
- 1993.5, Windows NT (Dave Cutler)
- 1995, Windows 95
- Windows 98, Windows CE, Windows 2000/XP, Windows Server 2003 (.net), Windows Server 2008, Windows Server 2012
- Vista
- Windows 7, Windows 8, Windows 10

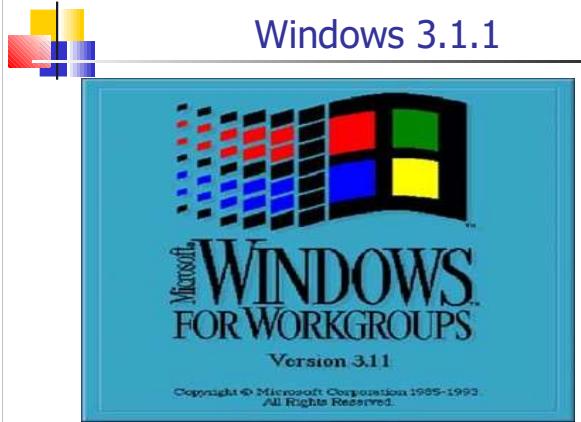
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Windows 1.01

The screenshot shows the MS-DOS Executive interface. The title bar reads "MS-DOS Executive". The menu bar includes "File", "View", and "Special". The main window displays a file list with entries like ABC.1XT, CLIPBRD.EXE, EGAHIBU.BRU, EGAMOHO.DRU, HELUB.FON, 1BHGRX.DR, etc. A message box in the center says "Version 1.01" and "Copyright © 1985, Microsoft Corp.". At the bottom, it shows "Disk Space Free: 438464K" and "Memory Free: 310K". The taskbar at the bottom has icons for various applications.

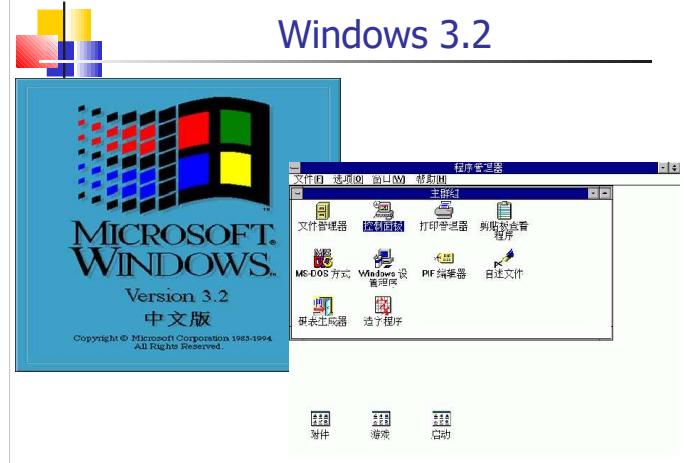
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Windows 3.1.1



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Windows 3.2



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Windows 95

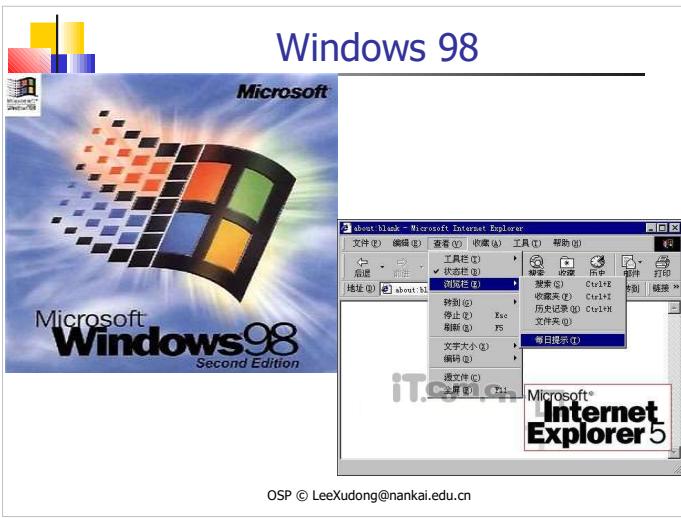


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Windows NT 4.0



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Windows 98

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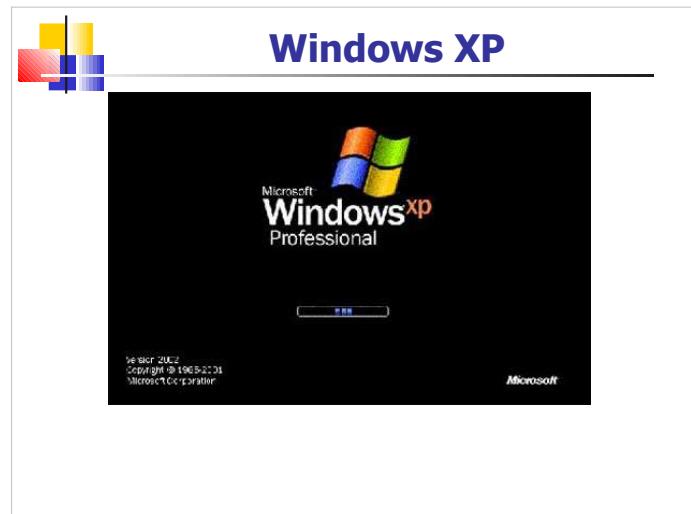
Windows ME

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Windows 2000

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Windows XP

Microsoft



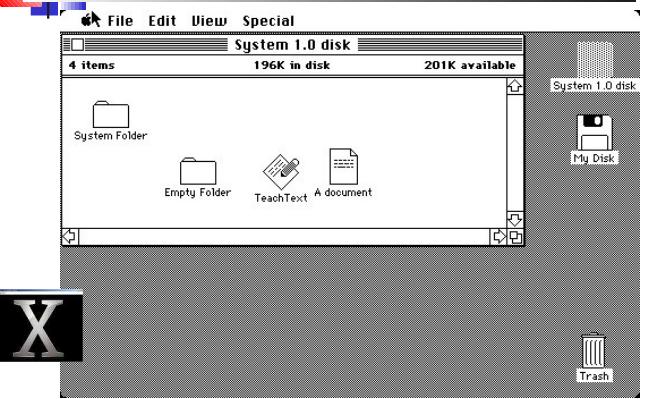
Apple's Macintosh & MAC OS



- Mac OS is a series of graphical user interface-based operating systems developed by Apple Inc. for their Macintosh line of computer systems. Steve Jobs
- The original operating system was first introduced in 1984 as being integral to the original Macintosh, and referred to as the "System". Referred to by its major revision starting with "System 6" and "System 7", Apple rebranded version 7.6 as "Mac OS" as part of their Macintosh clone program in 1996.
- Macintosh operating systems have been released in two major series. Up to major revision 9, from 1984 to 2000, it is historically known as Classic Mac OS.
- Major revision 10, from 2001 to present, is branded OS X (originally referred to as Mac OS X).

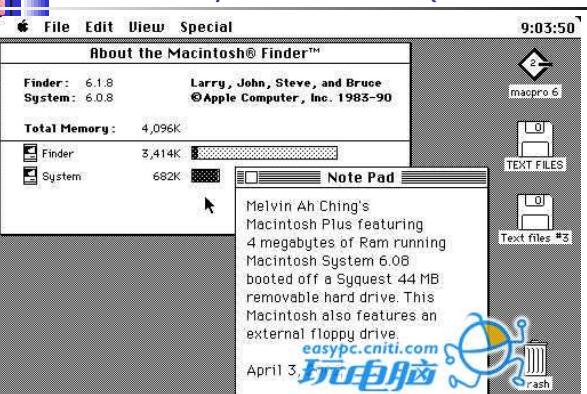
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Mac OS: System 0.0-1.1 (1984)



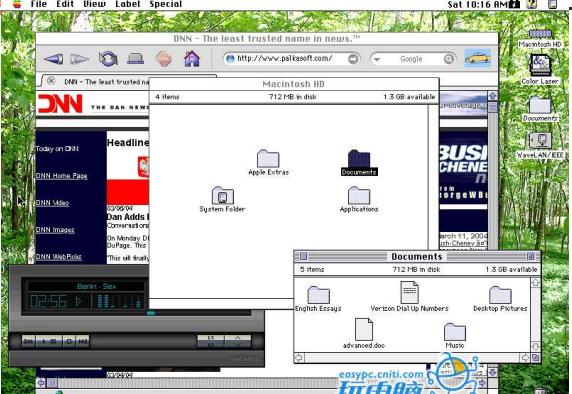
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Mac OS: System 2.0 ~ 6.x(1985~1988)

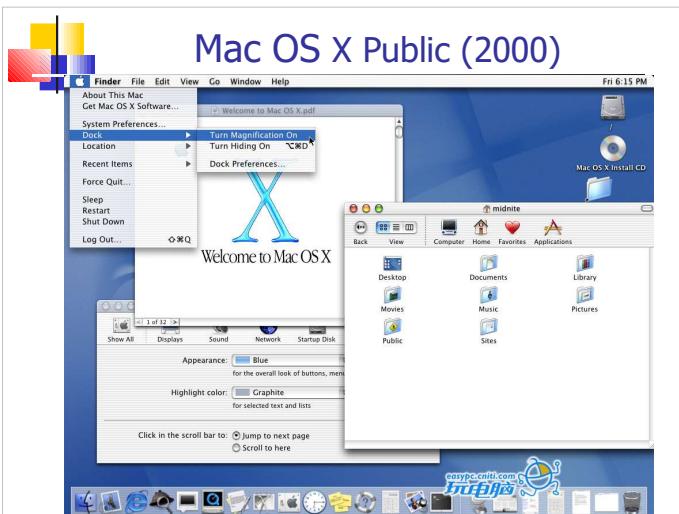
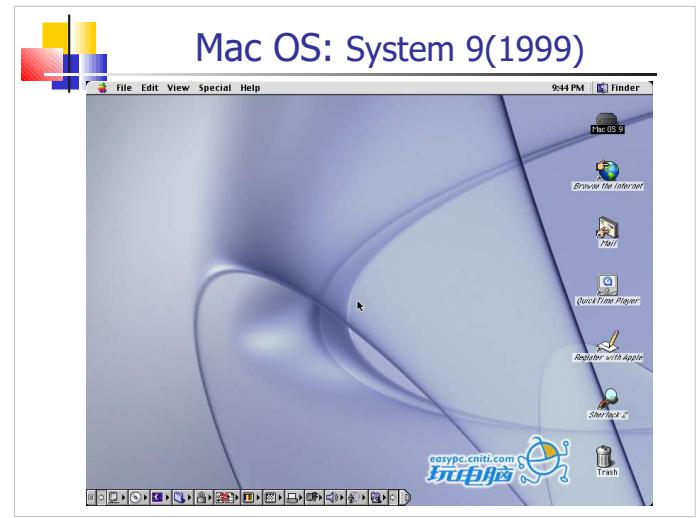
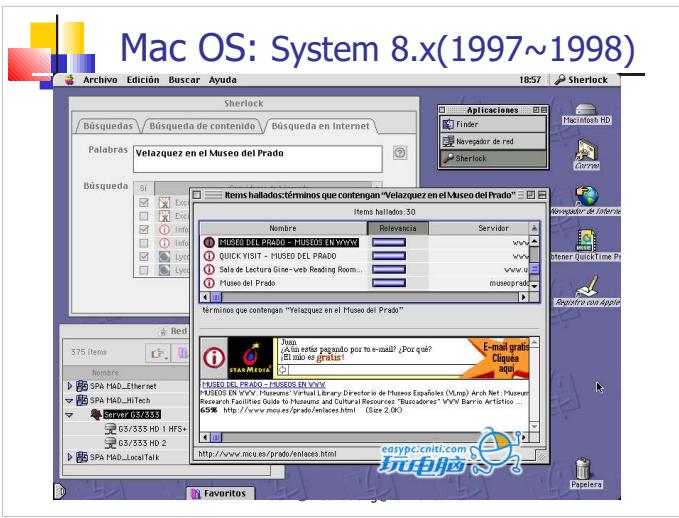


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Mac OS: System 7.x(1991~1997)



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Apples



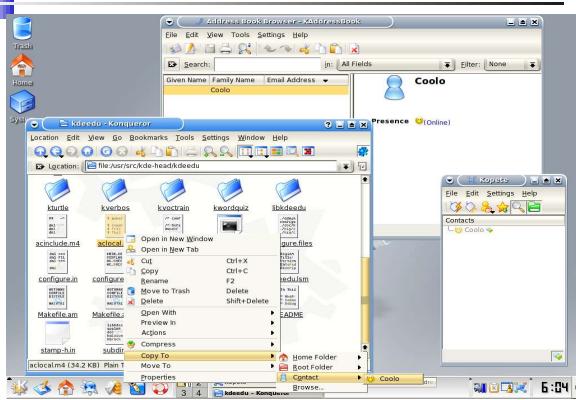
Linux



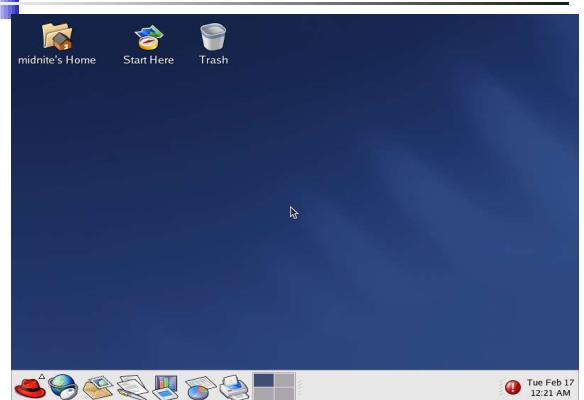
- Linux is a Unix-like and mostly POSIX-compliant computer operating system assembled under the model of free and open-source software development and distribution. The defining component of Linux is the Linux kernel, an operating system kernel first released on 5 October 1991 by Linus Torvalds.
- The Free Software Foundation uses the name GNU/Linux to describe the operating system, which has led to some controversy.



Linux: KDE Desktop



Linux: GNOME Desktop





Linux: Fedora18&Gnome3

GNU/Linux distributions

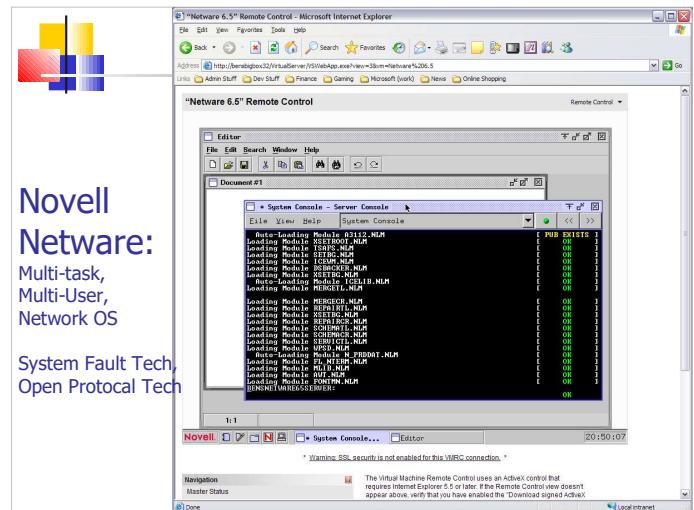
- Red Hat Linux
 - www.redhat.com,Linux
 - Slackware Linux: www.cdrom.com
 - Debian Linux:www.debian.org
 - Open Linux: www.caldera.com
 - S.U.S.E Linux:www.suse.com
 - DLX Linux: Erich Boehm
 - DOS Linux: Kent Robotti
 - Linux Pro+: WorkGroup Solutions
 - Stampede LINUX:Stampede
 - Turbo Linux:Pacific Hi-Tech
 - Ubuntu
 - Fedora
- ...

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Android: linux+java

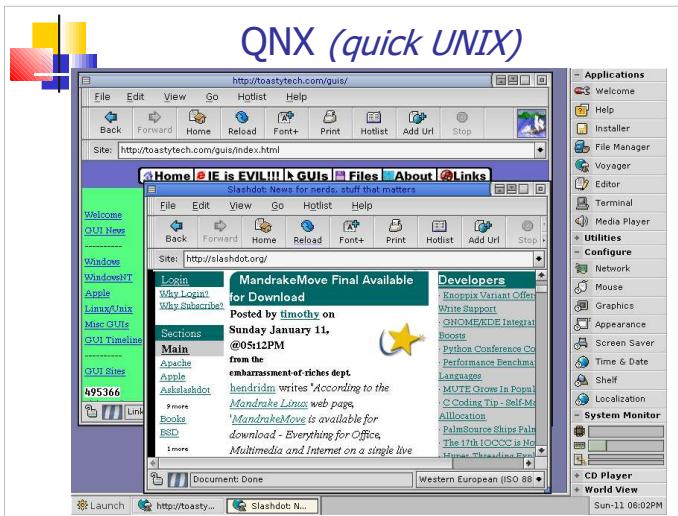
Andy Rubin,2003



**Novell
Netware:**
Multi-task,
Multi-User,
Network OS

System Fault Tech,
Open Protocol Tech

QNX (quick UNIX)



BeOS

Be OS

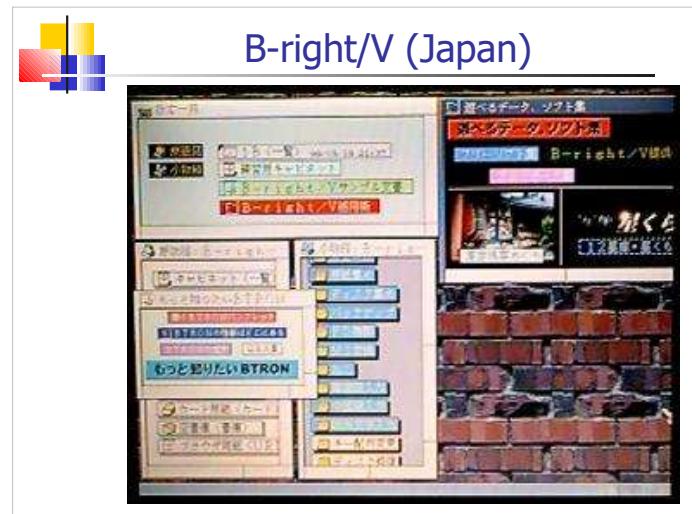


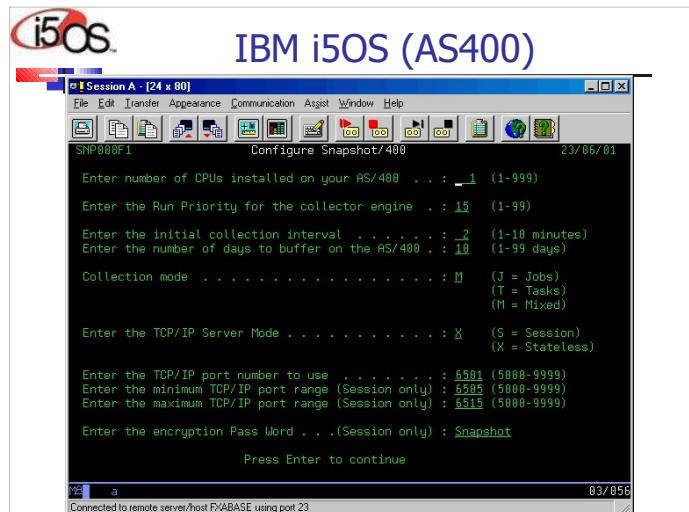
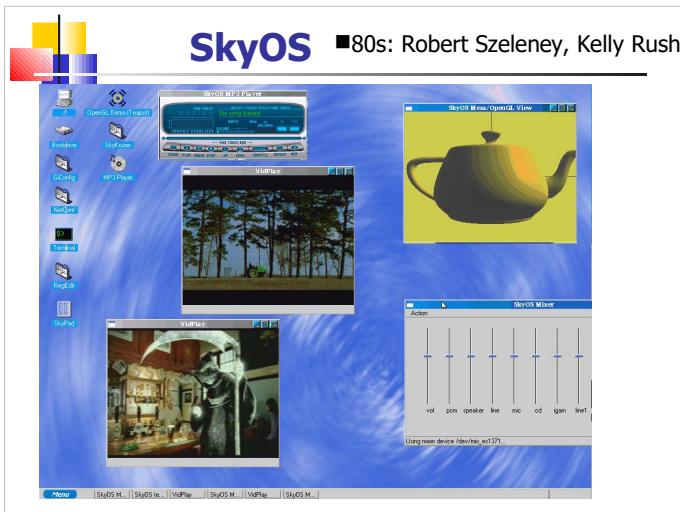
Multimedia desktop

GNU-Darwin OS



B-right/V (Japan)



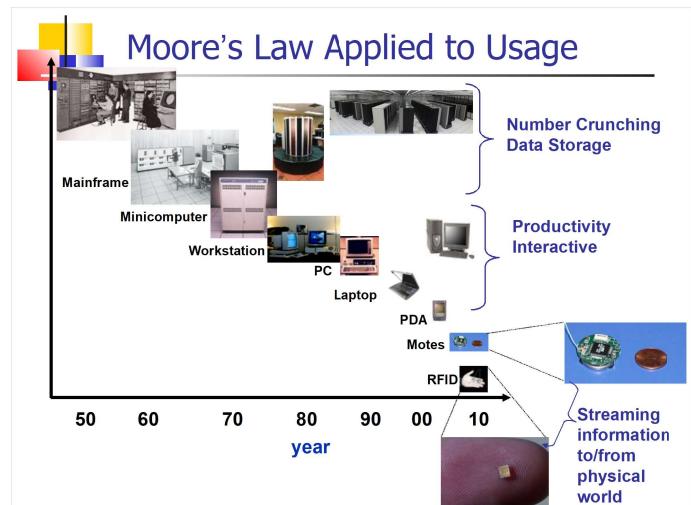
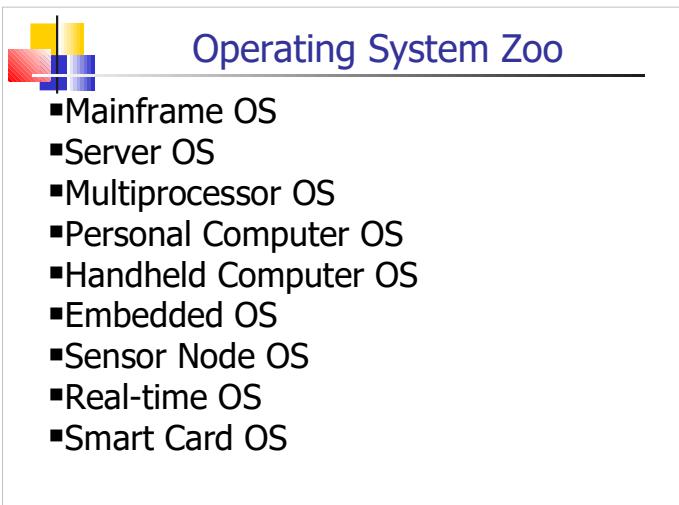
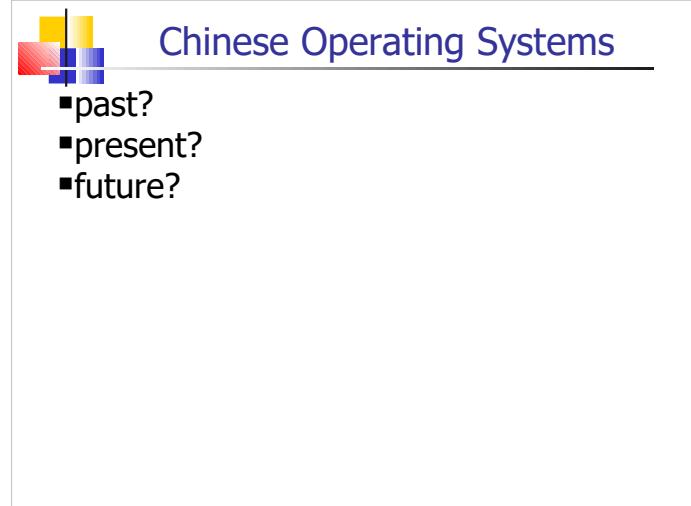


IBM mainframe

```

MAINFILELIST R0 U 169 Trunc=169 Size=688 Line=1 Col=1 Alt=0
Cmd  Filename filetype Fm Format Irc1l Records  Blocks Date Time
SYSTEM  SEG10 S2 F    80      55      5 1/19/99 10:48:51
ASSEMBLE MODULE S2 U   8192     3       9 1/19/99 11:50:57
DMSGHN MODULE S2 U   65535     6      285 1/13/99 17:37:11
POSXSOCK TXTLIB S2 F    80      4898    383 1/13/99 17:23:05
IPL  DDXRA S2 F    80      1283    101 1/13/99 9:57:01
IPL  SALIPL S2 F    80      363    29 1/13/99 9:57:01
HCPLDR LOADER S2 F    80      288    23 1/13/99 9:56:58
UMFLOAD MODULE S2 U   3576     3       4 1/13/99 9:51:55
ZAP  MODULE S2 U   16112     3       14 1/13/99 9:51:55
UMFDOS MODULE S2 U   7376     2       8 1/13/99 9:51:51
UMFDATE MODULE S2 U   656      3       1 1/13/99 9:51:45
UPDATE MODULE S2 U   16512     3       17 1/13/99 9:51:39
UMFCLEAR MODULE S2 F    184     1       1 1/13/99 9:51:39
TYPE  MODULE S2 F    7840     1       5 1/13/99 9:51:38
TXTLIB MODULE S2 U   65535     5      139 1/13/99 9:51:36
TRACECTL MODULE S2 U   1552     3       2 1/13/99 9:51:32
TAPPDS MODULE S2 U   3432     2       4 1/13/99 9:51:27
1= Help  2= Refresh 3= Quit 4= Sort(type) 5= Sort(date) 6= Sort(size)
7= Backward 8= Forward 9= FL /n 10= 11= XEDIT/LIST 12= Cursor
====> X E D I T  1 File
1: Mainframe 2: AS400 3: UNIX
Ready 00:00:414 [03:01] IBM-3278-2E NUM

```



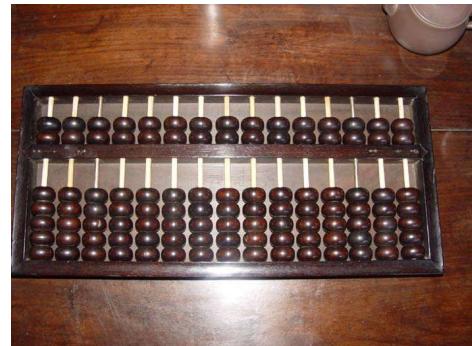


History of OS



The First Computer

Abacus?



The First Mechanical Computer

- Charles Babbage's difference engine
 - a programmable computer
 - **Charles Babbage**, 1792-1871, an English polymath, a mathematician, philosopher, inventor and mechanical engineer
 - father of the computer
 - The first programmer: **Ada**



Gear Mechanical Computer



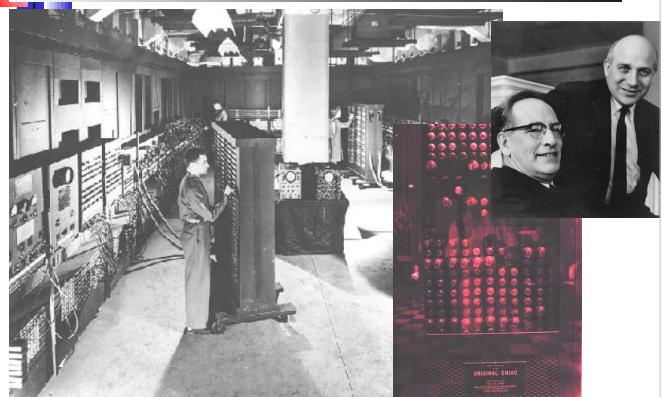
齿轮式计算机



The Most Famous Modern Computer

- ENIAC: Electronic Numerical Integrator And Computer
 - the first electronic general-purpose computer
 - **a programmable computer**
 - Electronic: Vacuum Tubes
 - Numerical: **Binary**
 - It cost almost \$500,000 (approximately \$6,000,000 today)
 - ENIAC contained 17,468 vacuum tubes, 7,200 crystal diodes, 1,500 relays, 70,000 resistors, 10,000 capacitors and around 5 million hand-soldered joints. It weighed more than 30 short tons (27 t), was roughly 8 by 3 by 100 feet (2.4 m × 0.9 m × 30 m), took up 1800 square feet (167 m²), and consumed 150 kW of power.
 - ENIAC was initially designed to calculate artillery firing tables for the United States Army's Ballistic Research Laboratory.
 - It had a speed of one thousand times that of electro-mechanical machines.
 - On July 29, 1947, it was turned on and was in continuous operation until 11:45 p.m. on October 2, 1955.
 - ENIAC was conceived and designed by John Mauchly and J. Presper Eckert of the University of Pennsylvania.

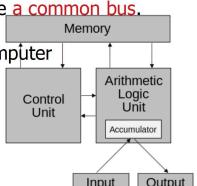
ENIAC



Von Neumann architecture 冯诺依曼架构式计算机

Von Neumann Model, Princeton Model

- This describes a design architecture for an electronic digital computer with parts consisting of **a processing unit** containing an arithmetic logic unit and processor registers, a control unit containing an instruction register and program counter, **a memory** to store both data and instructions, **external mass storage**, and **input and output** mechanisms.
- The meaning has evolved to be any **stored-program** computer 存储程序计算机 in which an instruction fetch and a data operation cannot occur at the same time because they share a **common bus**.
- advancement over ENIAC etc.,
- EDVAC: Electronic Discrete Variable Automatic Computer
- the Von Neumann bottleneck



John Von Neumann

- John von Neumann: 1903 ~ 1957
- a Hungarian and later American pure and applied mathematician, physicist, inventor, polymath, and polyglot. He made major contributions to a number of fields, including mathematics, physics, economics, computing (Von Neumann architecture, linear programming, self-replicating machines, stochastic computing), and statistics.
- a pioneer of the application of operator theory to quantum mechanics, in the development of functional analysis, a principal member of the Manhattan Project and the Institute for Advanced Study in Princeton, and a key figure in the development of game theory and the concepts of cellular automata, the universal constructor, and the digital computer
- Von Neumann's mathematical analysis of the structure of self-replication preceded the discovery of the structure of DNA
- Von Neumann architecture





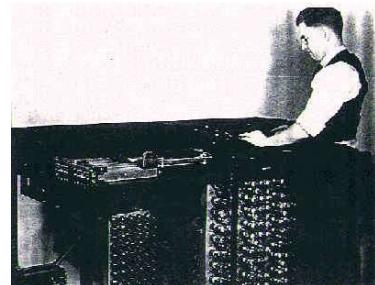
Colossus

- Colossus
 - the world's first electronic digital computer that was programmable
 - developed for British codebreakers during World War II to help in the cryptanalysis of the Lorenz cipher.
 - designed by the engineer Tommy Flowers
 - The prototype, Colossus Mark 1, was shown to be working in December 1943 and was delivered to Bletchley Park by 5 February 1944.
 - An improved Colossus Mark 2 that could quintuple the speed, first worked in October 1944, just in time for the Normandy invasion.



ABC

- ABC: Atanasoff-Berry Computer
 - 1939, John Vincent Atanasoff
 - The First Automatic Electronic Digital Computer



ABC: Atanasoff Berry Computer

- John Vincent Atanasoff and Clifford E. Berry
 - http://www.ieee.org/web/aboutus/history_center/atanasoff.html
 - John Vincent Atanasoff conceived basic design principles for the first electronic-digital computer in the winter of 1937 and, assisted by his graduate student, Clifford E. Berry, constructed a prototype here in October 1939.
 - It used binary numbers, direct logic for calculation, and a regenerative memory. It embodied concepts that would be central to the future development of computers.
 - Atanasoff wrote most of the concepts of the first modern computer on the back of a cocktail napkin.
 - in late 1939, John V. Atanasoff teamed up with Clifford E. Berry to build a prototype. They created the first computing machine to use electricity, vacuum tubes, binary numbers and capacitors.
 - The final product was the size of a desk, weighed 700 pounds, had over 300 vacuum tubes, and contained a mile of wire. It could calculate about one operation every 15 seconds, today a computer can calculate 150 billion operations in 15 seconds.



Evolution of An OS

- Maximization of resource utilization
- Hardware upgrades plus new types of hardware
- New Services
- Fixes
- User Experience

History of Operating Systems

- First generation 1945 - 1955
 - vacuum tubes, plug boards
- Second generation 1955 - 1965
 - transistors, batch systems
- Third generation 1965 – 1980
 - ICs and multiprogramming
- Fourth generation 1980 – present
 - Personal computers
- Fifth generation 1990 – present
 - Mobile computers

History of OS: prehistory

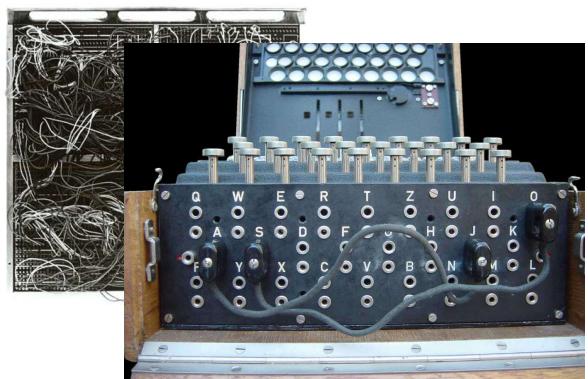
- Vacuum Tubes
- Plugboard
- No OS
- Machine Language



Vacuum Tubes

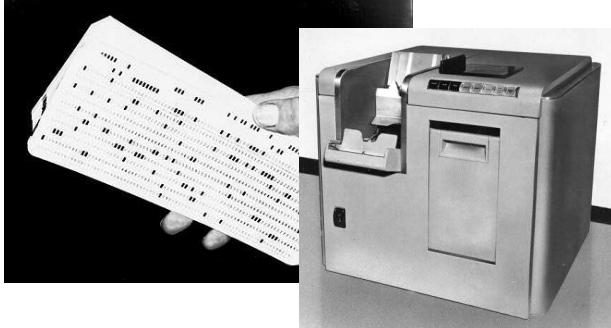
- Vacuum Tubes
 - Lee De Forest, 1906
 - Two States

Plugboard



punched cards

- punched cards, Herman Hollerith, 1890

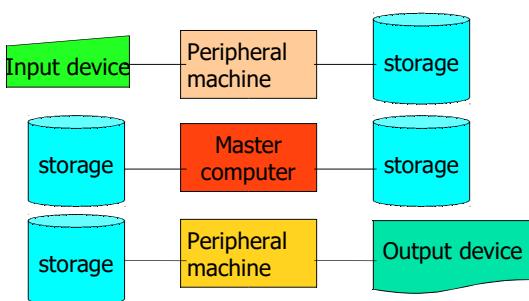


History of OS: batch system

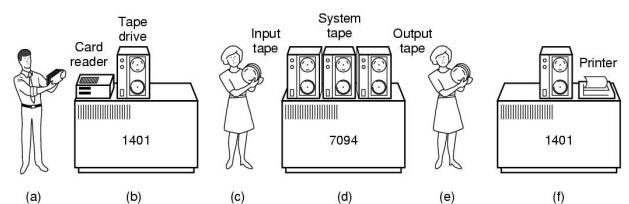
- Second Generation, 1955~1965
- Transistors and batch system
 - Transistor: 1947, John Bardeen, Walter Brattain, and William Shockley
 - Mainframes: IBM1401-> IBM7094
 - IBM7094: good at numerical calculations
 - IBM1401: business
 - Tape
 - Assembly Language, FORTRAN Math Language
 - OS
 - FORTRAN Monitor System(FMS)
 - IBSYS(IBM7094 OS)
 - Job
 - off-line
 - single batch system



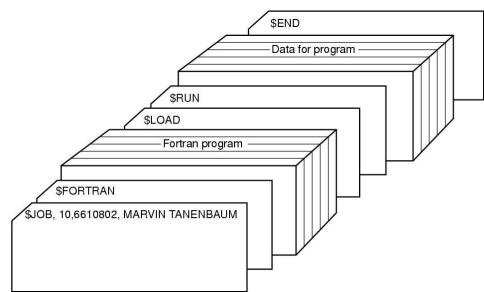
Off-Line I/O



Off-Line I/O



Single Batch System



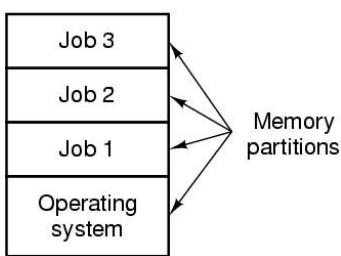
Structure of a typical FMS job.

History of OS: time sharing system

- The Third Generation, 1965~1980
- ICs and Multiprogramming
 - ICs: Integrated Circuits
 - Jack Kilby, Robert Noyce
 - Computer Architecture
 - IBM System/360, 370, 4300, 3080, 3090
- OS/360: Fred Brooks
- Multiprogramming*
- Spooling*
- timesharing: CTSS, Corbaty(1962, MIT)
- PDP-1: Small Computer
- MULTICS(MULTIplexed Information and Computing Service
 - 1965, MIT,Bell Lab,GE
- UNIX: Single MULTICS, Ken Thompson(Bell Lab,PDP-7)



Multi-programming



A multiprogramming system with three jobs in memory

Multi-programming

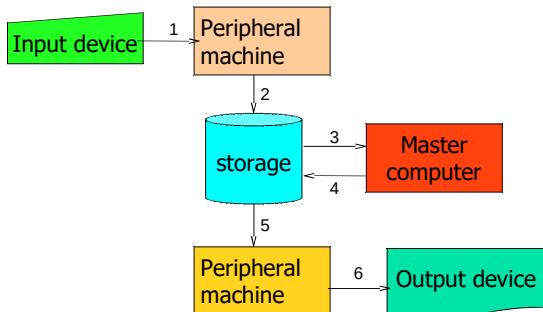
Multiprogramming

- Multitasking is a method where multiple tasks (also known as processes) are performed during the same period of time – they are executed concurrently (in overlapping time periods, new tasks starting before others have ended) instead of sequentially (one completing before the next starts)
- The tasks share common processing resources, such as central processing units (CPUs) and main memory



SPOOLing 假脱机

- Simultaneous Peripheral Operating On-line



History of OS: modern

- Hardware

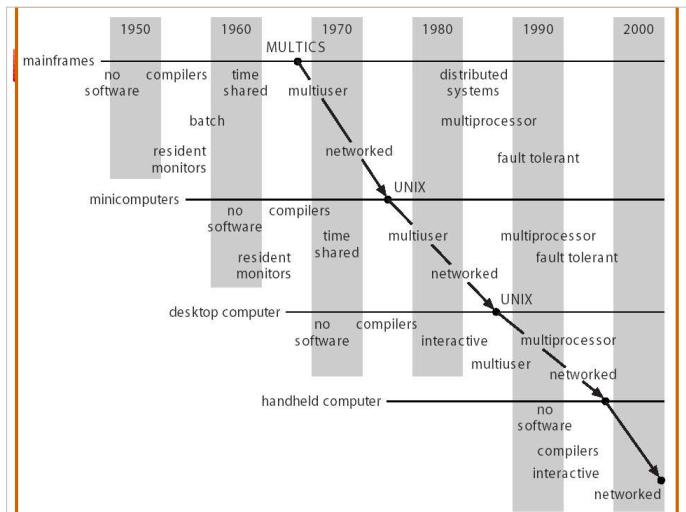
▪ 32-bit x86-based PCs, Compaq Alpha AXP, Sun SPARC, UltraSPARC, Motorola 68000, PowerPC, PowerPC64, ARM, Hitachi SuperH, Cell, IBM S/390, MIPS, HP PA-RISC, Intel IA-64, DEC VAX, AMD x86-64, AXIS CRIS, Xtensa, Tilera TILE, AVR32 and Renesas M32R



History of OS: modern

■ The Fourth Generation: 1980~

- LSI(Large Scale Integration) circuits, chips technology
- Unix*
- Intel80x86*
- Desktop OS for Personal Computer
 - CP/M, DOS, ...
- Network OS
- Distributed OS
- GUI(Graphical User Interface), user friendly
 - X-Window System



History of OS: modern

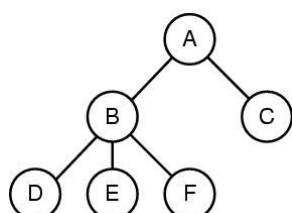
- The Fifth Generation: 1990~
 - Handheld phone : 1970s
 - PDA (Personal Digital Assistant): 1990, Nokia
 - Smartphone: 1997, Ericsson
 - Symbian OS
 - RIM's BlackBerry OS
 - iPhone: Apple's iOS
 - Android
 - Windows Phone

Basic Concepts of OS

OS Basic Concepts

- Processes
- Address spaces
- Files
- Input/Output
- Protection
- The shell
- System Call

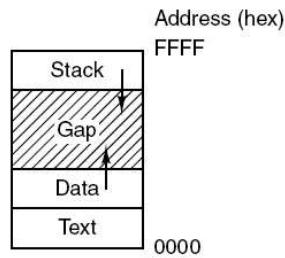
Processes



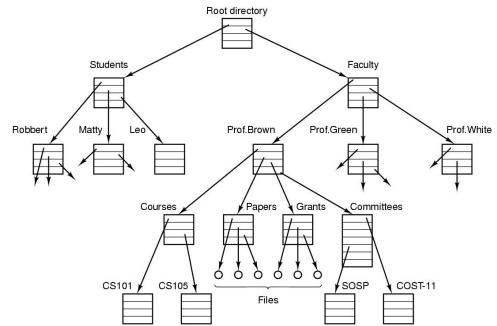
A process tree.
Process A created two child processes, B and C. Process B created three child processes, D, E, and F.

Address Spaces

- 8 bits, 16 bits
- 32 bits, 64 bits
- Physical memory
- Virtual Memory



Files



Files

- Files and Directories
- Root directory, working directory
- Path name: /, \
- File hierarchies are organized as tree
- File system: root file system
- Special file
 - block special files , character files
- File descriptor
- Mount, umount

Shell

- shell
- Command interpreter: shell
- Prompt
 - >, #, \$
- Execute Commands:
 - #cat file1 file2 file3 | sort >/dev/lp &
- Environment variables:
 - \$#, \$*, \$?, \$HOME, \$PATH, \$PS1

A Simple Shell

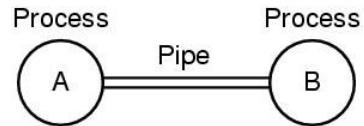
```
#define TRUE 1

while (TRUE) {
    type_prompt();
    read_command(command, parameters);
    /* repeat forever */
    /* display prompt on the screen */
    /* read input from terminal */

    if (fork() != 0) {
        /* Parent code. */
        waitpid(-1, &status, 0);
        /* fork off child process */
        /* wait for child to exit */
    } else {
        /* Child code. */
        execve(command, parameters, 0);
        /* execute command */
    }
}
```

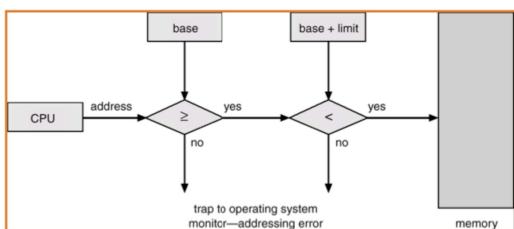
Input/Output

- I/O Subsystem
- IPC: Pipe



Protection

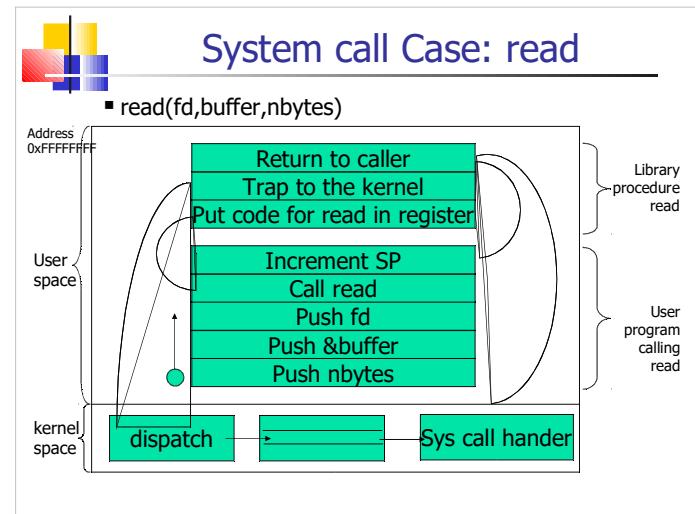
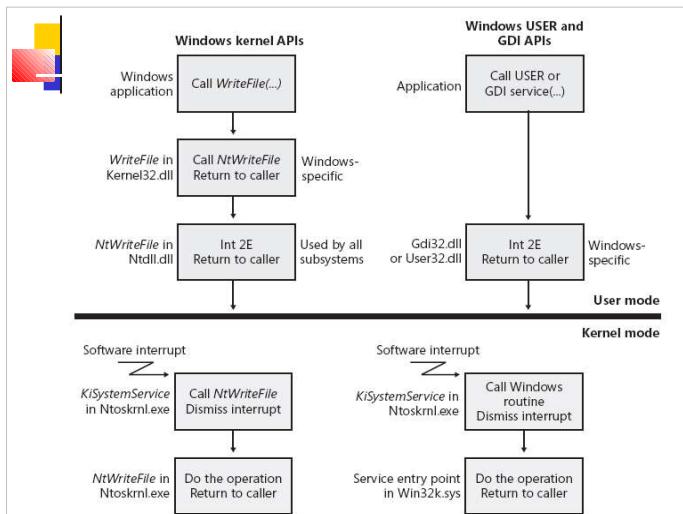
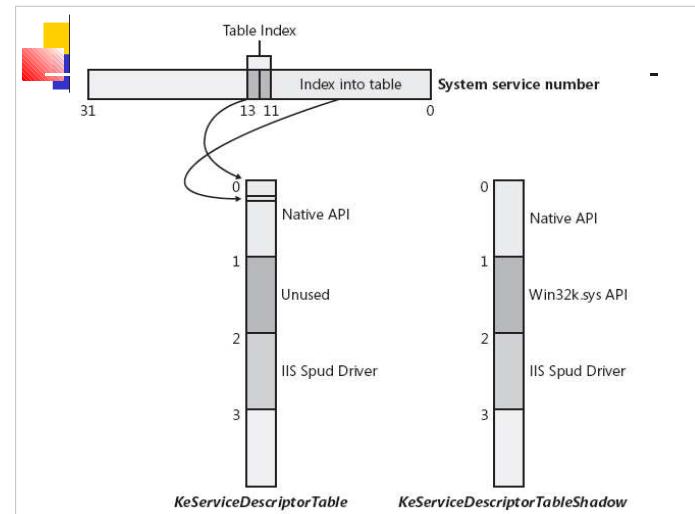
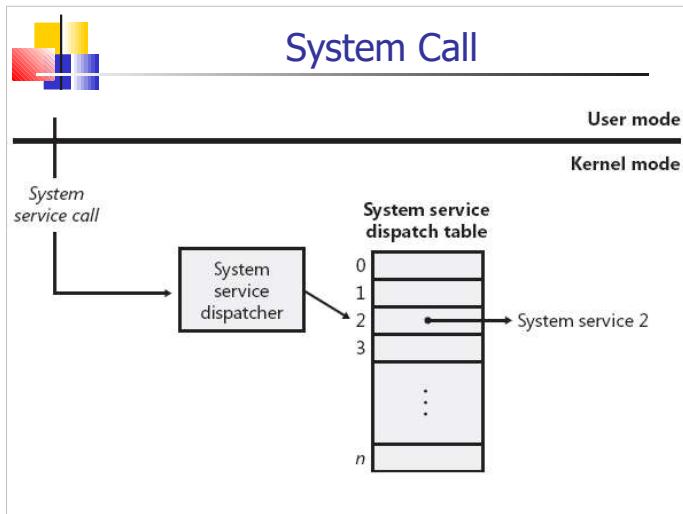
- Hardware
- Software



System Call

■ System call

- The interface between user programs and the operating system
- Executed in kernel mode
- Computer system running state
 - supervisor mode, kernel mode
 - user mode
- Trap Instruction
 - User mode to kernel mode
- Library Procedure
 - Encapsulates the trap instruction
 - Executed in user mode



Implementation of trap

- On x86 processors prior to the Pentium II
 - int 0x2e**
- On x86 Pentium II processors and higher
 - Windows uses the special **sysenter** instruction
- On K6 and higher 32-bit AMD processors
 - Windows uses the special **syscall** instruction

Case

NtWriteFile:

```
mov eax, 0x0E ;system service number
mov ebx,esp    ;point to parameters
int 0x2E       ;system service trap
ret 0x2C       ;pop parameters off stack
;   and return to caller
```

System Call

- POSIX API
- Windows Win32 API
- ...

UNIX	Win32	Description
fork	CreateProcess	Create a new process
waitpid	WaitForSingleObject	Can wait for a process to exit
execve	(none)	CreateProcess = fork + execve
exit	ExitProcess	Terminate execution
open	CreateFile	Create a file or open an existing file
close	CloseHandle	Close a file
read	ReadFile	Read data from a file
write	WriteFile	Write data to a file
lseek	SetFilePointer	Move the file pointer
stat	GetFileAttributesEx	Get various file attributes
mkdir	CreateDirectory	Create a new directory
rmdir	RemoveDirectory	Remove an empty directory
link	(none)	Win32 does not support links
unlink	DeleteFile	Destroy an existing file
mount	(none)	Win32 does not support mount
umount	(none)	Win32 does not support mount
chdir	SetCurrentDirectory	Change the current working directory
chmod	(none)	Win32 does not support security (although NT does)
kill	(none)	Win32 does not support signals
time	GetLocalTime	Get the current time

System Call Types

- Process control
 - Create process , Terminate process
 - Get process attributes , Set process attributes
- file manipulation
 - Create file,delete file,read,write
 - Get/set file attributes
- device management
 - Request device,release device,read,write
- socket
 - Open connection, accept connection, read msg, write msg, close connection
- information maintenance
 - Getting current date, os version, etc.,

System Call Cases

Process management	
Call	Description
pid = fork()	Create a child process identical to the parent
pid = waitpid(pid, &statloc, options)	Wait for a child to terminate
s = execve(name, argv, environp)	Replace a process' core image
exit(status)	Terminate process execution and return status

File management	
Call	Description
fd = open(file, how, ...)	Open a file for reading, writing, or both
s = close(fd)	Close an open file
n = read(fd, buffer, nbytes)	Read data from a file into a buffer
n = write(fd, buffer, nbytes)	Write data from a buffer into a file
position = lseek(fd, offset, whence)	Move the file pointer
s = stat(name, &buf)	Get a file's status information



System Call Cases

Call	Description
s = mkdir(name, mode)	Create a new directory
s = rmdir(name)	Remove an empty directory
s = link(name1, name2)	Create a new entry, name2, pointing to name1
s = unlink(name)	Remove a directory entry
s = mount(special, name, flag)	Mount a file system
s = umount(special)	Unmount a file system

Call	Description
s = chdir(dirname)	Change the working directory
s = chmod(name, mode)	Change a file's protection bits
s = kill(pid, signal)	Send a signal to a process
seconds = time(&seconds)	Get the elapsed time since Jan. 1, 1970



Quiz

- Which of the following several instructions should be executed only in kernel mode?
- A. mask all interrupts
 - B. read current date
 - C. set current date
 - D. write the image core
 - E. read memory in user address space
 - F. halt



Ontogeny Recapitulates Phylogeny

- Dawrin, On the Origin of the Species
- The development of an embryo (ontogeny, 胚胎) **repeats** the evolution of the species (phylogeny)
 - Large Memories
 - Protection Hardware
 - Disk
 - Virtual Memory



Functions of OS

- Process Management
- Memory Management
- Device Management
- File System Management
- User Interface
 - CLI
 - GUI
 - API
- Job Management



Characters of OS



OS Characters

Concurrency

- Concurrency: Logical concurrency
- Parallel: Physical concurrency

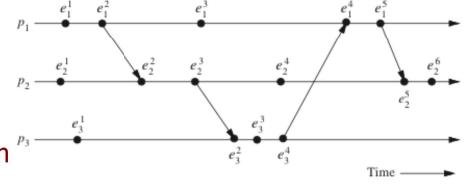
Share

- CPU, Main Memory, Storage, I/O Devices
- Space, Time

Virtualization

- 1 to N
- N to 1
- 0 to N

Asynchronism



UNIX 操作系统有感

造化阴阳自动机，
体强神弱几多悲。
一朝撼木蚍蜉叹，
跬步移山智叟疑。
万类归一文件树，
千流模化进程池。
当年雏蛋今朝祖，
成败开源事后知。

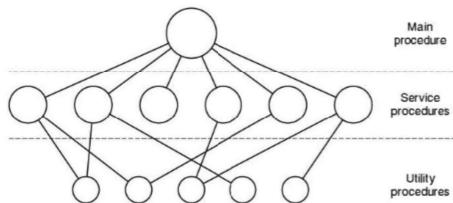


OS Runtime Structure

OS Runtime Structure

■ Monolithic Systems

- A main program that invokes the requested service procedure.
- A set of service procedures that carry out the system calls.
- A set of utility procedures that help the service procedures.



OS Runtime Structure

■ Layered Systems

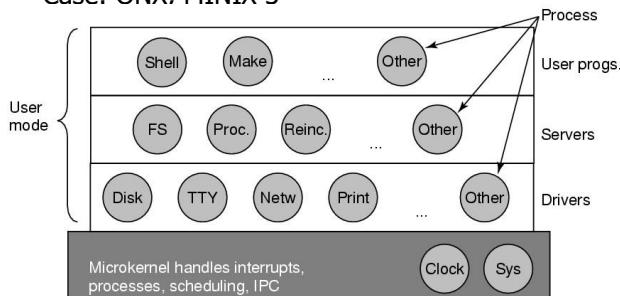
- Case: THE

Layer	Function
5	The operator
4	User programs
3	Input/output management
2	Operator-process communication
1	Memory and drum management
0	Processor allocation and multiprogramming

OS Runtime Structure

■ Microkernels

- Case: ONX, MINIX 3

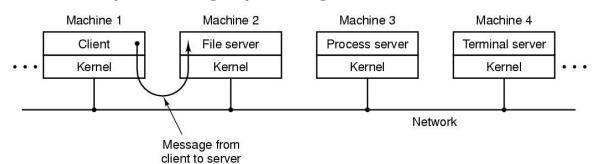


Structure of the MINIX 3 system.

OS Runtime Structure

■ Client-Server Model

- Communication between clients and servers is often by message passing

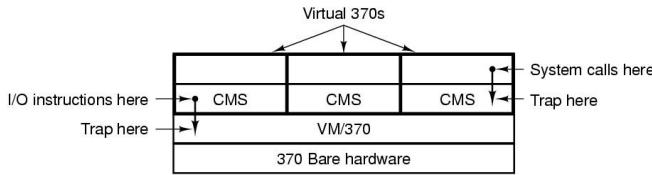


The client-server model over a network.

OS Runtime Structure

Virtual Machines

- Case: IBM's VM370, 1979

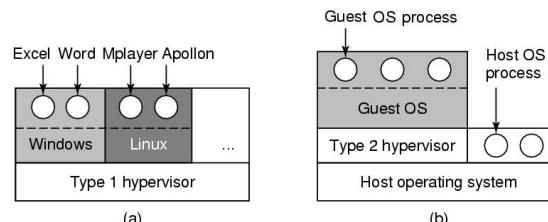


The structure of VM/370 with CMS

OS Runtime Structure

Virtual Machines

- Hypervisor I, Hypervisor II

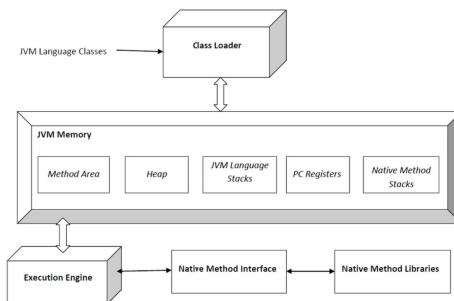


(a) A type 1 hypervisor. (b) A type 2 hypervisor

OS Runtime Structure

The Java Virtual Machine

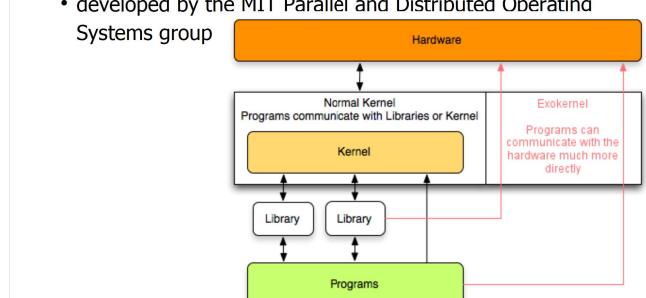
Windows .Net Platform



OS Runtime Structure

Exokernels

- Partitioning the actual machine, rather than cloning the actual machine
- developed by the MIT Parallel and Distributed Operating Systems group

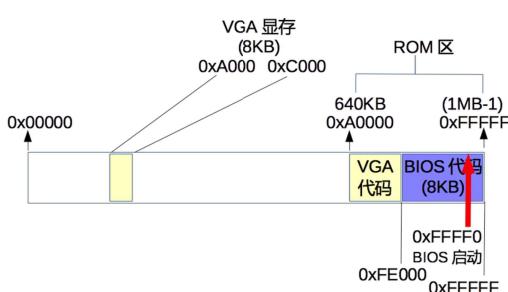


Booting The Computer

Memory Layout 1



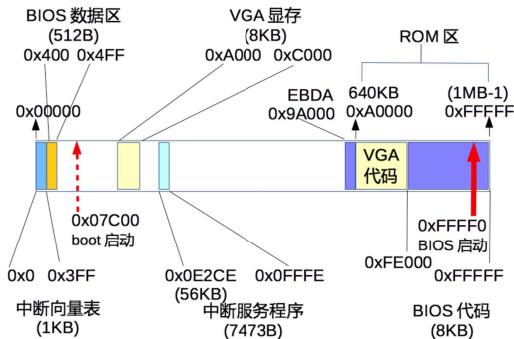
Memory Layout 2



Booting The Computer

- an IBM-compatible personal computer's x86 CPU executes
- Power On, real mode
 - the instruction located at reset vector (the physical memory address FFFF0h on 16-bit x86 processors and FFFFFFF0h on 32-bit and 64-bit x86 processors, i.e. BIOS entry point)
 - BIOS: POST, power-on self-test
 - BIOS: goes through a pre-configured list of non-volatile storage devices ("boot device sequence") until it finds one that is bootable
 - BIOS: load the bootstrap (i.e. MBR, Master Boot Record) from bootable storage device
 - MBR: load the OS Kernel
 - OS Kernel: OS services and shell

Memory Layout 3



Booting The Computer

bootstrap

0x000~0x002 <A jump instruction to 0xttt>

0x003~... Disk parameters(used by BIOS)

0xttt~0x1fd Bootstrap program

0x1ff~0x1fe 0xaa55

Booting The Computer

Harddisk Partition table

	00h	01h	02h	03h	04h	05h	06h	07h	08h~0Bh	0Ch~0Fh
01BEh	BI	Hs	Ss	Cs	SI	He	Se	Ce	HS	N
01CEh	BI	Hs	Ss	Cs	SI	He	Se	Ce	HS	N
01DEh	BI	Hs	Ss	Cs	SI	He	Se	Ce	HS	N
01EEh	BI	Hs	Ss	Cs	SI	He	Se	Ce	HS	N

SI:

00h undefined, 01h Dos (12bit), 02h XENIX,
04h Dos (16bits),
05h extended partition,
06h Dos (32bits)

File System Types

2	XENIX root	39	Plan 9	83	Linux	c4	DRDOS/sec (FAT-)
3	XENIX usr	3c	PartitionMagic	84	OS/2 hidden c:	c6	DRDOS/sec (FAT-)
4	FAT16 <32M	40	Venix 80286	85	Linux extended	c7	Syrix
5	Extended	41	PPC PReP Boot	86	NTFS volume set da	Non-FS data	
6	FAT16	42	SFS	87	NTFS volume set db	CP/M / CTOS / .	
7	HPFS/NTFS/exFAT	4d	QNX4.x	88	Linux plaintext de	Dell Utility	
8	AIX	4e	QNX4.x 2nd part	8e	Linux LVM	df	BootIt
9	AIX bootable	4f	QNX4.x 3rd part	93	Amoeba	e1	DOS access
a	OS/2 Boot Manag	50	OnTrack DM	94	Amoeba BBT	e3	DOS R/O
b	W95 FAT32	51	OnTrack DM6 Aux	9f	BSD/OS	e4	SpeedStor
c	W95 FAT32 (LBA)	52	CP/M	a0	IBM Thinkpad hi	eb	BeOS fs
e	W95 FAT16 (LBA)	53	OnTrack DM6 Aux	a5	FreeBSD	ee	GPT
f	W95 Ext'd (LBA)	54	OnTrackDM6	a6	OpenBSD	ef	EFI (FAT-12/16/
10	OPUS	55	EZ-Drive	a7	NeXTSTEP	f0	Linux/PA-RISC b
11	Hidden FAT12	56	Golden Bow	88	Darwin UFS	f1	SpeedStor
12	Compaq diagnost	5c	Priam Edtsk	a9	NetBSD	f4	SpeedStor
14	Hidden FAT16 <3	61	SpeedStor	ab	Darwin boot	f2	DOS secondary
16	Hidden FAT16	63	GNU HURD or Sys	af	HFS / HFS+	fb	VMware VMFS
17	Hidden HPFS/NTF	64	Novell Netware	b7	BSDI fs	fc	VMware VMKCORE
18	AST SmartSleep	65	Novell Netware	b8	BSDI swap	fd	Linux raid auto
1b	Hidden W95 FAT3	70	DiskSecure Mult	bb	Boot Wizard hid	fe	LANstep
1c	Hidden W95 FAT3	75	PC/IX	be	Solaris boot	ff	BBT
ie	Hidden W95 FATT	80	Old Minix				



Metric Unit

Exp.	Explicit	Prefix	Exp.	Explicit	Prefix
10^{-3}	0.001	milli	10^3	1,000	Kilo
10^{-6}	0.000001	micro	10^6	1,000,000	Mega
10^{-9}	0.000000001	nano	10^9	1,000,000,000	Giga
10^{-12}	0.000000000001	pico	10^{12}	1,000,000,000,000	Tera
10^{-15}	0.00000000000001	femto	10^{15}	1,000,000,000,000,000	Peta
10^{-18}	0.0000000000000001	atto	10^{18}	1,000,000,000,000,000,000	Exa
10^{-21}	0.000000000000000001	zepto	10^{21}	1,000,000,000,000,000,000,000	Zetta
10^{-24}	0.00000000000000000001	yocto	10^{24}	1,000,000,000,000,000,000,000,000	Yotta



Research On OS

- Computer Science
- Internet
- GUI: Doug Engelbart
- Hot topics
 - Security, energy, recovery, virtualization, fs, multicore,...
- ACM
 - www.acm.org
- IEEE Computer Society
 - www.computer.org
- USENIX
 - www.usenix.org



Summary



Q&A?



Assignments

- What is the purpose of a system call in an operating system?
- What is spooling? Do you think that advanced personal computers will have spooling as a standard feature in the future?
- What is the key difference between a trap and an interrupt?
- Translate the following paper into chinese
 - D. R. Engler, M. F. Kaashoek, J. O'Toole Jr, and J. O'Toole Jr, "Exokernel: an operating system architecture for application-level resource management," ACM SIGOPS Operating Systems Review, vol. 29, no. 5, pp. 251–266, Dec. 1995.