# Advanced Algorithms Notes

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# 1 – DFS (Depth First Search)

Complexity O(n+m)

### 1.1 - Applications

Derived using DFS (or BFS) in O(n+m)

- Path between source vertex s to arbitrary t: add a parent field to vertices. When t is found return the parents backtrace
- Find cycle: use parent field on vertices and ancestor on edges
- Connected components:
  - 1. run DFS (or BFS) n times
  - 2. Keep a counter k to increment on every "untouched" source vertex
  - 3. Assign k to v. id, instead of 1  $\rightarrow$  label vertexes based on its component
  - 4. If at the end k > 1, then multiple components were found

# Lecture 3

# 2 – BFS (Breadth First Search)

Complexity O(n+m)

# 3 - MST (Minimum[-weight] Spanning Tree)

 $\mathrm{MST}\ (G=(V,E),s)$ 

Tree created from a source vertex s, the root of the tree

# Lecture 4

#### 3.1 - Prim

Complexity  $O(m \cdot n)$ 

Make cuts to separate a growing set A (initialized to  $\{s\}$ ), and find *light edges*. Add the light edge found with the cut to A and repeat, until you have a tree (no more vertices outside  $V \setminus A$ )

The search for the light edge is O(m) and is repeated n times, but it can be optimized

### 3.1.1 - Prim with heap

Complexity  $O(m \log n)$ 

Use a heap to store vertices, ordered on their cost to reach from a vertex already processed (light edge that crosses the cut) For every vertex that you

put in A (actually that you extract from the heap H) check if you can update the cost of the vertices still in H

In order to keep trace of the actual edges, instead of the vertices, it's needed to save the parent of every vertex you update

The complexity is actually  $O(n\log n + m\log n)$ , but graph G is connected  $\Rightarrow m \geq n-1$ 

# Lecture 5

#### 3.2 - Kruskal

**Complexity**  $O(m \cdot n)$  (when implemented with adjacency list, because of frequent cycle checks)

Extremely simple:

- 1. *A* is an empty forest;
- 2. Sort *E* by weight (ascending order);
- 3. If adding  $e \in E$  to A keeps it a forest (doesn't introduce cycles) add it

#### 3.2.1 - Kruskal with disjoint sets

**Complexity**  $O(m \log n)$  (same of Prim with heap)

Use union-find data structure: connected components are disjoint sets to join in  $O(\log n)$  time. Finds if a node is in a set in  $O(\log n)$  time  $\Rightarrow$  cycle checks in logarithmic time

It's still an open problem to find MST implementation in O(m)

# Lecture 7

# 4 – SS (Single-Source) Shortest Paths

SSSP  $(G = (V, E), s \in V)$ , where G directed, weighted graph

Returns: len  $(v) = \text{dist } (s, v), \forall v \in V$ 

### 4.1 - Non-negative weights - Dijkstra

Complexity  $O(m \cdot n)$ 

Similar to Prim:

- 1. Growing region (vertices set)  $X = \{s\}$
- 2. Select minimum-weight vertex e between X and  $V\setminus X: e=(u,v)$ , where  $u\in X$  and  $v\notin X$
- 3. Add v to X and set  $\mathrm{SP}\ (v) = \mathrm{SP}\ (u) + w(e)$

### 4.1.1 - Dijkstra with heap

#### Complexity $O((m+n)\log n)$

Similar to Prim implementation with heaps

# Lecture 8

#### 4.2 - General case - Bellman-Ford

Complexity  $O(m \cdot n)$ 

Need to forbid negative cycles in shortest paths, they lead to infinitely small paths  $\rightarrow$  doesn't even make sense to speak about shortest paths

Bellman-Ford returns either  $\operatorname{SSSP}\ (G,s)$  or a declaration that G has a negative cycle

Refine every shortest path every iteration (check every edge). In n-1 iterations it reaches a fix-point. If it doesn't it means a negative cycle exist In 2022 a **near-linear** algorithm was found

# 5 - AP (All Pair) Shortest Paths

Returns: dist  $(v, u), \forall v, u \in V$ 

Running Bellman-Ford n times have complexity  $O(m \cdot n^2)$ . With dynamic programming complexity can be reduced up to  $O(n^3 \log n)$ 

## 5.1 - Floyd-Warshal

Complexity  $O(n^3)$ 

Iterate on 3 vertices  $u, v, k \in V$  in 3 nested loops, testing whether using k in the path is better

To catch negative cycles it's sufficient to check that  $\operatorname{dist}\ (v,v) \geq 0, \forall v \in V$  # Lecture 10

### 6 - Maximum flows

#### 6.1 - Definitions

**Flow network** graph where edges have a capacity  $c: E \to \mathbb{R}^+$ .

A source s and a sink t are specified

Flow  $f: E \to \mathbb{R}^+, |f| = \sum_{(s,v) \in E} f(s,v)$ , basically the flow on the first edges

Flow is conserved through the graph and has to be  $\leq$  than capacity for all edges

#### 6.2 - Ford-Fulkerson

**Complexity**  $O(m \cdot |f^*|)$ , where  $|f^*|$ : maximum flow

# Lecture 11

### 7 - NP-hardness

Similar polynomial and NP-hard problems:

- Eulerian vs Hamiltonian circuit: cycle traversing every edge (O(n)) vs vertex (NP-hard) only once
- MST vs TSP: give paths to (spanning tree,  $O(m \log n)$ ) vs a tour between (NP-hard) all vertices, minimizing the sum of the weights of the edges used
- Class P: Polynomial time problems
- Class NP: Non-deterministic Polynomial
- Class NP-hard: if proving a problem polynomial would mean all NP is polynomial it's NP-hard

#### 7.1 – Reduction

 $A < B \rightarrow B$  is used to solve A

 $A <_p B \to A$  reduces to B in polynomial time: a polynomial algorithm exists to convert an input instance for A in one for B that is then used to solve A

if A is NP-hard and A  $<_p$  B  $\Longrightarrow$  B is NP-hard

#### 7.2 - NP-hard Problems

- SAT: first NP-hard proved, by Cook-Levin theorem
- **3-SAT**: SAT <<sub>n</sub> 3-SAT
- Maximum Independent Set: 3-SAT  $<_p$  MIS (maximum number of vertices with no edge between them)
- · Hamiltonian circuit
- ${f TSP}$  (Traveling Salesperson Problem): Hamiltonian circuit  $<_p {f TSP}$
- Metric TSP: TSP with triangular inequality on paths (direct paths are always shorter than the ones using other vertices)
- Maximum clique: largest complete sub-graph
- Minimum vertex cover: minimum number of vertices that "touches" all edges
- Minimum set cover: vertex cover <<sub>p</sub> set cover (minimum number of subsets tu cover an original set)

# 8 – Approximation algorithms

#### 8.1 – Vertex cover

Complexity O(n+m)

**Approximation factor** 2

Matching set of edges with no common vertex

#### 8.2 - Metric TSP

Complexity  $O(m \log n)$ 

**Approximation factor** 2 (tight)

Build an MST with Prim/Kruskal and return the full preorder chain (DFS with pre and post visits (with repetitions)) of the tree

#### 8.2.1 – Eulerian circuit approach

**Complexity** polynomial

**Approximation factor** 2/3

Find a minimum weight perfect matching between odd-degree vertices and add those edges to the MST. Now the graph has all vertices with even degree ⇒ it is Eulerian

Return the Eulerian cycle of the graph

A  $3/2-\varepsilon$  approximation has been found, where  $\varepsilon=10^{-36}$ 

# Lecture 17

#### 8.3 - Set cover

Complexity  $O(n \cdot |F| \cdot \min\{n, |F|\})$ , where n = |X| (cubic) Approximation factor  $\lceil \log_2 n \rceil + 1 = \Theta(\log n)$ 

#### Variables:

- X: original set, with all possible elements
- F: set of subsets of X

Greedy algorithm on subset in F with most elements in X. At each step select the subset and remove its elements from X and repeat

# 9 - Randomized algorithms

- Las Vegas: always correct (randomized quicksort)
- Monte Carlo: may return wrong values, though high probability of correct result
  - One sided: decision problems give only false positives/negatives

- Two sided: decision problems may fail in any case

# Lecture 19

### **High probability** algorithm $A_{\Pi}$ for problem $\Pi$

has complexity f(n) / is correct

with high probability if

$$\exists c, d > 0. \Pr (A \text{ has complexity} > cf(n)) / \Pr (A \text{ is not correct}) < \frac{1}{n^d}$$

# 10 - Minimum cut - Karger

Complexity  $O(n^4 \log n)$ 

Minimum number of edges to remove, in order to disconnect the (multi)graph

### 10.1 - Algorithm

Repeat Full Contraction k times, to reduce error

Karger returns the minimum with high probability ( $\Pr$  (fail)  $<\frac{1}{n^d}$ ) with  $k=\frac{dn^2\ln n}{2}=\Theta(n^2\log n)$ 

### 10.2 - Definitions

### 10.2.1 - Multigraphs

**Multiplicity**  $m: \mathbb{S} \to \mathbb{N}, m(e) = \text{occurrences of an element } e \in \text{multiset } \mathbb{S}$   $\mathcal{G} = (\mathcal{V}, \mathcal{E})$  is a multigraph, where  $\mathcal{E}$  is a multiset

#### 10.2.2 - Full Contraction

Complexity  $O(n^2)$ 

Choose a random edge and contract on it, until two vertices remain

**Contraction** contract a graph  $\mathcal{G}$  on edge  $(u, v) \in \mathcal{E}$  (join vertices of the edge):

- Delete u
- ullet Delete all edges between u and v
- Move all edges of u to v

### 10.3 - Karger-Stein

Complexity  $O(n^2 \log^3 n)$ 

Avoids first  $\frac{n}{\sqrt{2}}$  iterations

### 10.4 - 2020 version

### Complexity $O(m \log n)$

# Lecture 21

# 11 - Chernoff bounds

Upper bounds on probability of the value of a variable  $X = \sum_{i=1}^{n} X_i$ 

$$\Pr\left(X > (1+\delta)\mu\right) < \left(\frac{e^{\delta}}{\left(1+\delta\right)^{1+\delta}}\right)^{\mu}$$

$$\forall \delta > 0, \mu = E[X]$$

### 11.1 - Variants

- $\Pr\left(X<(1-\delta)\mu\right)< e^{\frac{-\mu\delta^2}{2}}, \text{ when } 0<\delta\leq 1$   $\Pr\left(X>(1+\delta)\mu\right)< e^{\frac{-\mu\delta^2}{2}}, \text{ when } 0<\delta\leq 2e-1$