

# Problemset 1

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## 1 Study Group

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## 2 Decimal to Binary

Let's assume we have an  $n$ -digit number  $x$ . Then, similar to in Karatsuba's Algorithm, let's cut the number into two equally-sized smaller numbers  $x_1$  and  $x_2$ . We'd then have  $x = 10^{\frac{n}{2}}x_1 + x_2$ .

Our first step is trying to precompute the exponents of 10. Note that we can recursively find  $10^k$  as  $10^{\frac{k}{2}} \cdot 10^{\frac{k}{2}}$ , so we can find  $10^{\frac{k}{2}}$  in binary first and multiply the result by itself to find  $10^k$ . Because the time complexity of Karatsuba is  $O(k^{\log_2 3})$  to multiply two  $k$ -digit numbers and there are  $\log n$  multiplication operations to complete, we have an  $O(n^{\log_2 3} \log n)$  algorithm for this step.

Next, we look at the rest of the equation. We can use the same divide and conquer equation for  $x_1$  and  $x_2$  to find their binary representations, and the merging algorithm would take  $O(n^{\log_2 3})$  time for this layer. However, we claim that each layer has an  $O(n^{\log_2 3})$  runtime.

We claim that if we merge two  $\frac{k}{2}$  digit numbers in one half ( $x_{11}, x_{12}$ ) and two  $\frac{k}{2}$  digit numbers in the other half ( $x_{21}, x_{22}$ ), it would take the same time as merging their results  $x_1, x_2$ . Each half has a size of  $\frac{k}{2}$ , and merging each would take  $(\frac{k}{2})^{\log_2 3}$  operations. Adding both of them together would give  $(\frac{k}{2})^{\log_2 3} + (\frac{k}{2})^{\log_2 3} \leq k^{\log_2 3}$  operations. Merging two  $k$ -digit numbers  $x_1$  and  $x_2$  together would also lead to  $k^{\log_2 3}$ , so the runtimes are roughly equal.

Therefore, the algorithm is as follows. First, recursively precompute the exponents of 10 in binary. Then, for the larger number  $x$  with  $n$  digits, split the number into two  $\frac{n}{2}$ -digit numbers  $x_1, x_2$ , where  $x = 10^{\frac{n}{2}}x_1 + x_2$ . After finding the binary representations of  $x_1$  and  $x_2$  using the same procedure as is currently being described, we plug  $x_1$  and  $x_2$  into the aforementioned equation for  $x$ , and use Karatsuba multiplication to find  $x$ . Therefore, we have an  $O(n^{\log_2 3} \log n)$  algorithm.

### 3 Modular Fourier Transform

- a) This is essentially taking everything to the power of 4 and checking if the result has residue 1 modulo 5. We have that  $1^4 = 1 \pmod{5}$ ,  $2^4 = 16 \equiv 1 \pmod{5}$ ,  $3^4 = 81 \equiv 1 \pmod{5}$ , and  $4^4 = 256 \equiv 1 \pmod{5}$ . Moreover,  $2 \cdot 2 \equiv 4$ ,  $4 \cdot 4 \equiv 1$ , and  $3 \cdot 3 \equiv 1 \pmod{5}$ , so 2 replaces  $i$ , 3 replaces  $-i$ , and 4 replaces  $-1$ . We have that  $1 + 2 + 2^2 + 2^3 = 1 + 2 + 4 + 8 = 15 \equiv 0 \pmod{5}$ , so  $1 + \omega + \omega^2 + \omega^3 \equiv 0 \pmod{5}$ .

- b) We initially start with the  $FFT([0, 2, 3, 0], [1, 2, 4, 3])$ , and then try to reduce from there.

As per our FFT algorithm, we can split our list  $A$  into even and odd indices (lists  $A_e$  and  $A_o$ , respectively), take the even powers from our roots of unity list, and run two more smaller FFT's on the even and odd indices. We would then have to combine them with the formula  $A(x) = A_e(x^2) + xA_o(x^2)$ . Our FFTs would be  $FFT([0, 3], [1, 4])$  and  $FFT([2, 0], [1, 4])$ .

$FFT([0, 3], [1, 4])$  once again gets split into  $FFT([0], [1])$  which returns the value of 0, and  $FFT([3], [1])$  which returns the value of 3. Then, we can combine the two with our algorithm  $A(x) = A_e(x^2) + xA_o(x^2)$  to get return values of  $[(1, 0 + 3), (4, 0 + 4 \cdot 3)] = [(1, 3), (4, 2)]$ .

Similarly,  $FFT([2, 0], [1, 4])$  splits into  $FFT([2], [1])$  which returns 2 and  $FFT([0], [1])$  which returns 0. Combining the two as in the previous paragraph, we get  $[(1, 2 + 0), (4, 2 + 4 \cdot 0)] = [(1, 2), (4, 2)]$ .

Plugging these back into our formula for the larger set  $FFT([0, 2, 3, 0], [1, 2, 4, 3])$  gives us:

$$\begin{aligned} A(1) &= A_e(1) + 1 \cdot A_o(1) \\ &= 3 + 1 \cdot 2 = \boxed{0}. \\ A(2) &= A_e(2^2) + 2 \cdot A_o(2^2) \\ &= A_e(4) + 2 \cdot A_o(4) \\ &= 2 + 2 \cdot 2 = \boxed{1}. \\ A(3) &= A_e(3^2) + 2 \cdot A_o(3^2) \\ &= A_e(4) + 3 \cdot A_o(4) \\ &= 2 + 3 \cdot 2 = \boxed{3}. \\ A(4) &= A_e(4^2) + 2 \cdot A_o(3^2) \\ &= A_e(1) + 4 \cdot A_o(1) \\ &= 3 + 4 \cdot 2 = \boxed{1}. \end{aligned}$$

Therefore, our sequence  $[0, 2, 3, 0]$  becomes  $[0, 1, 3, 1]$  after FFT. This can be sanity checked by directly plugging 1, 2, 3, 4 into the polynomial  $A(x) = 2x + 3x^2$ .

- c) We essentially do the same thing again, except using  $\omega^{-1}$  instead of  $\omega$ . This means we take  $FFT([0, 1, 3, 1], [1, 3, 4, 2])$ . We once again split this into odd and even groups. Our splits are  $FFT([0, 3], [1, 4])$  and  $FFT([1, 1], [1, 4])$ . The first split is identical to part (b), giving us  $[(1, 3), (4, 2)]$ . The second, however, splits into  $FFT([1], [1])$  twice. Each time, it returns 1. Then, this split must return  $[(1, 2), (4, 0)]$ .

Plugging this back into  $FFT([0, 1, 3, 1], [1, 3, 4, 2])$ , we have:

$$A(1) = A_e(1) + 1 \cdot A_o(1)$$

$$= 3 + 1 \cdot 2 = \boxed{0}.$$

$$A(2) = A_e(2^2) + 2 \cdot A_o(2^2)$$

$$= A_e(4) + 2 \cdot A_o(4)$$

$$= 2 + 2 \cdot 0 = \boxed{3}.$$

$$A(3) = A_e(3^2) + 3 \cdot A_o(3^2)$$

$$= A_e(4) + 3 \cdot A_o(4)$$

$$= 2 + 3 \cdot 0 = \boxed{3}.$$

$$A(4) = A_e(4^2) + 4 \cdot A_o(3^2)$$

$$= A_e(1) + 4 \cdot A_o(1)$$

$$= 3 + 4 \cdot 2 = \boxed{1}.$$

## 4 Triple Sum

We encode the list into a polynomial  $P(x) = x^{a_1} + x^{a_2} + x^{a_3} + \dots + x^{a_n}$ . If we multiply  $P(x)$  by itself three times, we end up with a polynomial  $P(x)^3 = (x^{a_1} + x^{a_2} + \dots + x^{a_n})(x^{a_1} + x^{a_2} + \dots + x^{a_n})(x^{a_1} + x^{a_2} + \dots + x^{a_n})$ . Note that for any three coefficients  $a_i, a_j, a_k$ , we can find a term of  $x^{a_i} x^{a_j} x^{a_k} = x^{a_i + a_j + a_k}$  in the resulting polynomial. This is done by taking the power of  $a_i$  in the first polynomial,  $a_j$  in the second polynomial, and  $a_k$  in the third polynomial.

We know how to multiply two polynomials of degree  $n$  quickly using Fast Fourier Transform. Then, we will have a polynomial of degree  $2n$  and another one of degree  $n$ . We can still apply FFT on these two polynomials, just setting the powers of  $n + 1$  to  $2n$  in the second polynomial to 0. Given the final polynomial in coefficient form, we can easily find if  $n$  is a valid sum by checking if the coefficient of  $x^n$  in the resulting array is equal to 0 or not. This works by the way  $P(x)^3$  is constructed, because the powers of some term in  $P(x)^3$  must be the sum of three powers in  $P(x)$ .

We know that  $a_i \leq n \forall i$ , so the FFT operations will thus take  $O(n \log n)$ .

## 5 Pattern Matching

- a) For each position  $i$ , we search the substring  $s[i : i + n]$ . If at least  $k$  characters match, we add the index to our answer, otherwise we do not and just search at the next  $i$ . We have to go through  $m - n$  total positions, and we check  $n$  integers each time, so our overall answer is  $O(nm)$ .
- b) First, we reverse the pattern string  $s$ . Then, We convert the strings into polynomials, where each power has a coefficient of  $+x^i$  if the  $i$ th character in the string is 1, and  $-x^i$  if the  $i$ th character in the string is 0. For example, for the pattern string 0111 we would end up with the polynomial  $S(x) = 1 + x + x^2 - x^3$ , but the same sequence string 0111 would make the polynomial  $G(x) = -1 + x + x^2 + x^3$ . Our algorithm is to use FFT to multiply  $G(x)$  and  $S(x)$ , and then loop over all the terms  $a_{n+i-1}x^{n+i-1}$  to  $a_mx^m$ . For each term  $a_jx^j$ , we check if  $a_j \geq n - 2k$ , and if so, we add it to our answer.

**Lemma 5.1.** If we multiply the polynomials we get for  $g$  and  $s$  together ( $GS(x)$ ), the coefficient of  $x^{n+i-1}$  alone can determine whether the position  $i$  is a match.

*Proof.* Let's just use two arbitrary degree-3 polynomials as an example, where we have  $G(x)S(x) = (a_01 + a_1x + a_2x^2 + a_3x^3)(b_01 + b_1x + b_2x^2 + b_3x^3)$ . Notice that the  $x^3$  term in  $G(x)S(x)$  is calculated by adding  $a_0x^0 \cdot b_3x^3 + a_1x^1 \cdot b_2x^2 + a_2x^2 \cdot b_1x^2 + a_3x^3 \cdot b_0x^0$ . Therefore, the coefficient of the  $b^3$  term is equal to  $a_0b_3 + a_1b_2 + a_2b_1 + a_3b_0$ .

By definition, each of the  $a_i$  and  $b_i$  can only take on two values: 1 or  $-1$ . Note that for each term  $a_ib_j$ , if  $a_i = b_{3-i}$ , then the result is 1 and otherwise the result is  $-1$ . Because  $S(x)$  is reversed, we know that the  $x^3$  coefficient of  $S(x)$  corresponds to the character at position 0, and the  $x^0$  coefficient of  $S(x)$  corresponds to the character at position 3. Therefore,  $a_ib_{3-i} = 1$  if the  $i$ th characters of  $g$  and  $s$  match.

We can generalize to two arbitrarily degree  $G(x)S(x) = (a_01 + a_1x + \dots + a_{m-1}x^{m-1})(b_01 + b_1x + \dots + b_{n-1}x^{n-1})$ . Then,

$$x^{n+i-1} = a_ix^ib_{n-1}x^{n-1} + a_{i+1}x^{i+1}b_{n-2}x^{n-2} + \dots + a_{i+n-2}x^{i+n-2}b_1x^1 + a_{i+n-1}x^{i+n-1}b_0x^0.$$

In the  $j$ th term ( $j \in [0, n)$ ), we are comparing  $a_{i+j}x^{i+j}b_{n-j-1}x^{n-j-1}$ , which is essentially taking  $g_{i+j}$  and comparing it with  $s_j$ . ■

Remember that if  $g_{i+j} = s_j$ , then we add 1 to the  $x^{n+i-1}$  term, and otherwise we subtract 1 from the  $x^{n+i-1}$  term. If all  $n$  numbers match, then we will have an  $x^{n+i-1}$  coefficient of  $n$ . Each time we have a difference, we replace a 1 with a  $-1$ , so with  $k$  differences we will have an  $x^{n+i-1}$  coefficient of  $n - 2k$ .

Therefore, our algorithm will indeed return all indices  $i$  such that pattern matches at  $i$ .

The time complexity is  $O(m \log m)$  for an FFT to multiply a polynomial of degree  $m$  and a polynomial of degree  $n$  because  $m \geq n$ . Looping and checking the coefficients takes  $O(m)$ , which is insignificant compared to the  $m \log m$  term, so we can exclude it from our runtime. Therefore, we see that our algorithm works and runs in  $O(m \log m)$ . ■