

# Language Operations and a Structure Theory of $\omega$ -Languages

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# 1 Introduction

Language theory is strongly connected to the theory of automata. With some interpretation of run-acceptance in an automaton, we canonically get a language.

We call languages over infinite words the  $*$ -languages and often use  $\mathcal{L}^*$  or some variant for such language class. Likewise,  $\omega$ -languages ( $\mathcal{L}^\omega$ ) are over infinite words. The acceptance-condition in automata for  $*$ -languages is straight-forward. If we look at  $\omega$ -languages, several different types of automata and their acceptance have been thought of. For the class of regular languages, we see that many of them are equivalent.

For all types, we can also argue with equivalent language-theoretical operators which operate on a  $*$ -language. We will study the equivalences in more detail.

Depending on the  $* \rightarrow \omega$  language operator (or the  $\omega$ -automaton acceptance condition), we get different  $\omega$ -language classes. This was studied earlier already in detail for the class of regular  $*$ -languages.

When we look at other  $*$ -language classes, we might get different results. This study is the main topic of this thesis.

## 2 regular $\omega$ -languages

The class of regular  $\omega$ -languages can be defined in many different ways. We will use one common definition and show some equivalent descriptions.

$$\mathcal{L}^\omega(\text{reg}) := \{\cup_i U_i \cdot V_i^\omega \mid U_i, V_i \in \mathcal{L}^*(\text{reg})\}$$

A different, very common description is in terms of automata.

An automaton  $\mathcal{A} = (Q, \Sigma, E, I, F)$  **Büchi-accepts** a word  $\alpha = (a_0, a_1, a_2, \dots) \in \Sigma^\omega$  iff there is an infinite run  $q_0 \xrightarrow{a_0} q_1 \xrightarrow{a_1} q_2 \xrightarrow{a_2} q_3 \dots$  with  $q_0 \in I$  and  $\{q_i \mid q_i \in F\}$  infinite, i.e. which reaches a state in  $F$  infinitely often.

The language  $\mathcal{L}^\omega(\mathcal{A})$  is defined as the set of all infinite words which are Büchi-accepted by  $\mathcal{A}$ .

An automaton  $\mathcal{A}$  is a Büchi automaton iff we use the Büchi-acceptance.

The set of all languages accepted by a non-deterministic Büchi automaton is exactly  $\mathcal{L}^\omega(\text{reg})$ . (S218,R101) Deterministic Büchi automata are less powerful, e.g. they cannot recognise  $(a + b)^*b^\omega$ .

There are some different forms of  $\omega$ -automata, e.g. the Rabin automata and the Muller automata. We see that the class of languages accepted by non-deterministic Büchi automata is equal to deterministic Rabin automata and deterministic Muller automata. (S407)

We also see that this is equal to boolean combinations of languages accepted by deterministic Büchi automata. Under this regard, an operator of interest is  $\lim(L) := \{\alpha \in \Sigma^\omega \mid \exists^\omega n : \alpha[0, n] \in L\}$ . We see that  $\lim(\mathcal{L}^\omega)$  is equal to the languages accepted by deterministic Büchi automata. (S407) Thus:

$$\text{BC } \lim \mathcal{L}^*(\text{reg}) = \mathcal{L}^\omega(\text{reg})$$

Some other descriptions:  $\mathcal{L}^\omega(\text{reg}) = \{\cup_i U_i \cdot \lim V_i \mid U_i, V_i \in \mathcal{L}^*(\text{reg})\}$  (S218,S411,R107)  
 $\mathcal{L}^\omega(\text{reg}) = \{A \subset \Sigma^\omega \mid A \text{ definable in } L_2(\Sigma)\}$

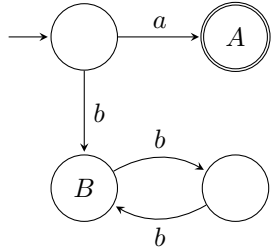
We will formulate some properties of interest in a general form for a  $*$ -language class  $\mathcal{L}$  which all hold for  $\mathcal{L}^*(\text{reg})$ . We get some general results based on these properties in chapter 4.

Let  $L, A, B \in \mathcal{L}$ .

- E1:  $L \cdot \Sigma^* \in \mathcal{L}$  (not suffix sensitive)
- E2a:  $A \cup B \in \mathcal{L}$
- E2b:  $A \cap B \in \mathcal{L}$
- E3:  $-L \in \mathcal{L}$  (closed under complementation) (S303.E3, S218, R101)
- In some proofs, e.g. in 4.7 or 4.8, we have an automaton based on some language of the language class and we do some modifications on it, e.g. we modify the final state set. If we stay in the language class, we call this the E4 property. Formally:  
 E4:  $\forall$  deterministic automaton  $\mathcal{A} = (Q, q_0, \Delta, F), L^*(\mathcal{A}) = L : \forall F' \subseteq Q : L^*((Q, q_0, \Delta, F')) \in \mathcal{L}$

For  $\mathcal{L}^*(\text{reg})$ , this property holds obviously.

For  $\mathcal{L}^*(\text{FO}[<])$ , it does not hold:



This is a deterministic automaton for the language  $\{a\} \in \mathcal{L}^*(\text{FO}[\leq])$ . If you make  $B$  also a final state, we get the language  $a + b(bb)^* \notin \mathcal{L}^*(\text{FO}[\leq])$ .

So, E4 seems too restricted.

### 3 $\ast \rightarrow \omega$

#### 3.1 language operators

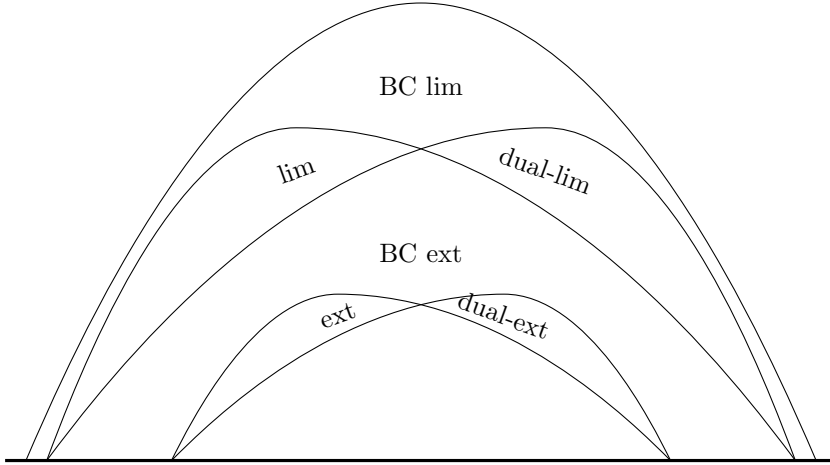
We already introduced  $\lim$ . We can define a family of language operators, partly also derived from the study of  $\mathcal{L}^\omega(\text{reg})$ . Some of these operators operate on a single language and not on the class. Let  $\mathcal{L}$  be a  $\ast$ -language class. Let  $L \in \mathcal{L}$ .

1.  $\text{ext}(L) := \{\alpha \in \Sigma^\omega \mid \exists n: \alpha[0, n] \in L\} = L \cdot \Sigma^\omega$
2.  $\overline{\text{ext}}(L) := \{\alpha \in \Sigma^\omega \mid \forall n: \alpha[0, n] \in L\} = L \cdot \Sigma^\omega$
3.  $\text{BC ext}$
4.  $\lim(L) := \{\alpha \in \Sigma^\omega \mid \forall N: \exists n > N: \alpha[0, n] \in L\} = \{\alpha \in \Sigma^\omega \mid \exists^\omega n: \alpha[0, n] \in L\}$
5.  $\overline{\lim}(L) := \{\alpha \in \Sigma^\omega \mid \exists N: \forall n > N: \alpha[0, n] \in L\}$
6.  $\text{BC lim}$
7. Kleene-Closure of  $\mathcal{L}$ :  $\text{Kleene}(\mathcal{L}) := \{\bigcup_{i=1}^n U_i \cdot V_i^\omega \mid U_i, V_i \in \mathcal{L}\}$
8.  $\{\bigcup_{i=1}^n U_i \cdot \lim V_i \mid U_i, V_i \in \mathcal{L}\}$

From language operators, we get language class operators in a canonical way, e.g.  $\lim(\mathcal{L}) := \{\lim L \mid L \in \mathcal{L}\}$ .

#### 3.2 $\mathcal{L}^\ast(\text{reg})$

Considering  $\mathcal{L} := \mathcal{L}^\ast(\text{reg})$ , we get a language diagram like:



where all inclusions are strict. In more detail:

- P1:  $\text{ext } \mathcal{L} \cap \overline{\text{ext}} \mathcal{L} \neq \emptyset$   
 Proof:  $\tilde{L}_1 := a\Sigma^\omega \in \text{ext} \cap \overline{\text{ext}} \mathcal{L}$  with  $\tilde{L}_1 = \text{ext}(a)$  and  $\tilde{L}_1 = \overline{\text{ext}}(a\Sigma^*)$ . (R101, prop, p.38)

- P2a:  $\text{ext } \mathcal{L} \cap \overline{\text{ext } \mathcal{L}} \subsetneq \text{ext } \mathcal{L}$   
 Proof:  $\tilde{L}_{2a} := \text{ext}(a^*b) = a^*b\Sigma^\omega \in \text{ext } \mathcal{L}$ . Assume some A-automaton  $\mathcal{A}$  with  $n$  states accepts  $\tilde{L}_{2a}$ .  $\mathcal{A}$  would also accept  $a^n b^\omega$ . I.e. the  $(n+1)$ th state after the run of  $a^n$  would also accept  $a$ , i.e.  $\mathcal{A}$  would accept  $a^{n+1}$ . By inclusion,  $\mathcal{A}$  would accept  $a^\omega$ . That is a contradiction. Thus, there is no such A-automaton. Thus,  $\tilde{L}_{2a} \notin \overline{\text{ext } \mathcal{L}}$ .
- P2b:  $\text{ext } \mathcal{L} \cap \overline{\text{ext } \mathcal{L}} \subsetneq \overline{\text{ext } \mathcal{L}}$   
 Proof:  $\tilde{L}_{2b} := -\tilde{L}_{2a} \in \overline{\text{ext } \mathcal{L}}$ ,  $\tilde{L}_{2b} \notin \text{ext } \mathcal{L}$ .
- P3:  $\text{ext } \mathcal{L} \neq \overline{\text{ext } \mathcal{L}}$   
 Proof: Follows directly from P2a and P2b.
- P4:  $\text{ext } \mathcal{L} \cup \overline{\text{ext } \mathcal{L}} \subsetneq \text{BC ext } \mathcal{L}$   
 Proof:  $\tilde{L}_4 := \Sigma^* a \Sigma^\omega \cap -(\Sigma^* b \Sigma^\omega)$ ,  $\Sigma = \{a, b, c\}$ . Then we have  $\tilde{L}_4 \notin \text{ext} \cup \overline{\text{ext } \mathcal{L}}$ ,  $\tilde{L}_4 \in \text{BC ext } \mathcal{L}$ . (R101, p.38)
- P5:  $\text{BC ext } \mathcal{L} = \lim \mathcal{L} \cap \overline{\lim \mathcal{L}}$   
 Proof: 4.7 (Staiger-Wagner-recognizable)
- P6a:  $\lim \mathcal{L} \cap \overline{\lim \mathcal{L}} \subsetneq \lim \mathcal{L}$   
 Proof:  $\tilde{L}_{6a} := \lim(\Sigma^* a) = (\Sigma^* a)^\omega$ . Assume there is  $L \subseteq \Sigma^*$  with  $\lim(L) = -\tilde{L}_{6a}$ . Let  $(w_0, w_1, w_2, \dots) \in (\Sigma^*)^\mathbb{N}$  so that  $w_0 \in L, w_0 a w_1 \in L, \dots, w_0 \prod_{i=0}^n a w_i \in L \forall n \in \mathbb{N}$ . Thus,  $\alpha := w_0 \prod_{i \in \mathbb{N}} a w_i \in \lim L$ . But  $\alpha \notin -\tilde{L}_{6a}$ . That is a contradiction. Thus,  $-\tilde{L}_{6a} \notin \lim \mathcal{L}$ . With E3, we get  $\tilde{L}_{6a} \notin \overline{\lim \mathcal{L}}$ .
- P6b:  $\lim \mathcal{L} \cap \overline{\lim \mathcal{L}} \subsetneq \overline{\lim \mathcal{L}}$   
 Proof: Analog to P6a with  $\tilde{L}_{6b} := -\tilde{L}_{6a}$ .
- P7:  $\lim \mathcal{L} \neq \overline{\lim \mathcal{L}}$   
 Proof: Follows directly from P6a and P6b.
- P8:  $\lim \mathcal{L} \cup \overline{\lim \mathcal{L}} \subsetneq \text{BC lim } \mathcal{L}$   
 Proof:  $\tilde{L}_8 := (\Sigma^* a)^\omega \cap -(\Sigma^* b)^\omega$ . Then  $\tilde{L}_8 \notin \lim \cup \overline{\lim \mathcal{L}}$  but  $\tilde{L}_8 \in \text{BC lim } \mathcal{L}$ . (R101, prop, p.38)
- P9:  $\text{BC lim } \mathcal{L} = \{\bigcup_{i=1}^n U_i \cdot V_i^\omega \mid U_i, V_i \in \mathcal{L}\}$   
 Proof: This is explained already in chapter 2.
- P10:  $\text{BC lim } \mathcal{L} = \{\bigcup_{i=1}^n U_i \cdot \lim V_i \mid U_i, V_i \in \mathcal{L}\}$   
 Proof: This is explained already in chapter 2.
- P11:  $\text{BC lim } \mathcal{L} = \{L_{\text{Büchi}}^\omega(\mathcal{A}) \mid \mathcal{A} \text{ automaton so that } L^*(\mathcal{A}) \in \mathcal{L}\}$   
 Proof: (R101, Th.12, p.9) says  $\{L_{\text{Büchi}}^\omega(\mathcal{A}) \mid \dots\} = \{\bigcup_{i=1}^n U_i \cdot V_i^\omega \mid U_i, V_i \in \mathcal{L}\}$ . The rest follows with P9.

### 3.3 Questions

This was studied in detail for  $\mathcal{L}^*(\text{reg})$ . We are now studying relations of resulting  $\omega$ -language classes for different  $*$ -language classes.

Esp.:

- $\text{BC ext } \mathcal{L} \stackrel{?}{=} \text{BC lim } \mathcal{L}$

## 4 General results

Let  $\mathcal{L}$  be a  $*$ -language class.

### 4.1 general

Let  $L, A, B \in \mathcal{L}$ .

1.  $\text{ext } L = L \cdot \Sigma^\omega$
2.  $\text{ext } L = \lim L \cdot \Sigma^*$
3.  $\text{ext } L = \overline{\lim} L \cdot \Sigma^*$
4.  $-\lim(-L) = \overline{\lim} L$
5.  $\overline{\lim} L \subseteq \lim L$
6.  $\lim A \cup \lim B = \lim A \cup B$

Proof:

$$\begin{aligned}
 & \alpha \in \lim A \cup \lim B \\
 \Leftrightarrow & \exists^\omega n: \alpha[0, n] \in A \vee \exists^\omega n: \alpha[0, n] \in B \\
 \Leftrightarrow & \exists^\omega n: \alpha[0, n] \in A \cup B \\
 \Leftrightarrow & \alpha \in \lim A \cup B
 \end{aligned}$$

7.  $\overline{\lim} A \cup \overline{\lim} B \subseteq \overline{\lim} A \cup B$

Proof:

$$\begin{aligned}
 & \alpha \in \overline{\lim} A \cup \overline{\lim} B \\
 \Leftrightarrow & \exists N: \forall n \geq N: \alpha[0, n] \in A \vee \exists N: \forall n \geq N: \alpha[0, n] \in B \\
 \Rightarrow & \exists N: \forall n \geq N: \alpha[0, n] \in A \cup B
 \end{aligned}$$

There is no equality in general:  $A = (00)^*$ ,  $B = (00)^*0$ .

We are interested in relations like  $\text{BC ext } \mathcal{L} \stackrel{?}{\subseteq} \text{BC lim } \mathcal{L}$  or  $\text{ext } \mathcal{L} \stackrel{?}{\subseteq} \lim \mathcal{L}$ . With  $\mathcal{L} = \{\{a\}\}$ , we realize that even  $\text{ext } \mathcal{L} \subseteq \lim \mathcal{L}$  is not true in general ( $\text{ext } \{\{a\}\} = \{a\Sigma^\omega\} \neq \emptyset = \lim \{\{a\}\}$ ). In 4.2, we see a sufficient condition for this property, though.

### 4.2 non suffix sensitive

If E1 ( $\forall L \in \mathcal{L}: L \cdot \Sigma^* \in \mathcal{L}$ , i.e.  $\mathcal{L}$  is non suffix sensitive) holds for  $\mathcal{L}$ : For  $L \in \mathcal{L}$ , we have  $\text{ext } L = \lim L\Sigma^* = \overline{\lim} L\Sigma^*$  and thus

$$\text{ext } \mathcal{L} \subseteq \lim \mathcal{L} \cup \overline{\lim} \mathcal{L}.$$

### 4.3 $\text{BC ext} \subseteq \text{BC lim}$

From  $\text{ext } \mathcal{L} \subseteq \lim \mathcal{L}$ , it directly follows  $\{-\text{ext } L \mid L \in \mathcal{L}\} \subseteq \{-\lim L \mid L \in \mathcal{L}\}$ . Thus, it also follows

$$\text{BC ext } \mathcal{L} \subseteq \text{BC lim } \mathcal{L}.$$



#### 4.4 $\overline{\text{ext}} \subset \overline{\text{lim}}$

From  $\text{ext } \mathcal{L} \subseteq \text{lim } \mathcal{L}$ , we need E3 ( $\mathcal{L}$  closed under negation) to get  $\overline{\text{ext}} \mathcal{L} \subseteq \overline{\text{lim}} \mathcal{L}$ . This is in contrast to 4.3, where it directly follows. We have to be careful about the difference  $-\text{ext } \mathcal{L} \neq \overline{\text{ext}} \mathcal{L}$  (in general, if E3 does not hold).

#### 4.5 union, intersection

- E2a (closed under union)  $\Rightarrow \bigcup \text{ext } \mathcal{L} \subseteq \text{ext } \mathcal{L}$ .
- E2b (closed under intersection)  $\Rightarrow \bigcap \text{ext } \mathcal{L} \subseteq \text{ext } \mathcal{L}$ .

#### 4.6 $op \cup \overline{op} \subsetneq \text{BC } op$

If there is  $L_\Sigma \in \mathcal{L}_\Sigma$  with  $L_\Sigma \in \text{ext } \mathcal{L}_\Sigma$ ,  $L_\Sigma \notin \overline{\text{ext}} \mathcal{L}_\Sigma$  and E3 (closed under negation) holds for  $\mathcal{L}$ :

$$\begin{aligned} \Rightarrow -L_\Sigma &\in \overline{\text{ext}} \mathcal{L}_\Sigma, -L_\Sigma \notin \text{ext } \mathcal{L}_\Sigma \\ \Rightarrow L_{\Sigma_1} \cup -L_{\Sigma_2} &\in \text{BC ext } \mathcal{L}_{\Sigma_1 \dot{\cup} \Sigma_2} \\ L_{\Sigma_1} \cup -L_{\Sigma_2} &\notin \text{ext} \cup \overline{\text{ext}} \mathcal{L}_{\Sigma_1 \dot{\cup} \Sigma_2} \end{aligned}$$

Thus,

$$\text{ext} \cup \overline{\text{ext}} \mathcal{L} \subsetneq \text{BC ext } \mathcal{L}.$$

Similarly, if there is  $L_\Sigma \in \text{lim } \mathcal{L}_\Sigma$ ,  $L_\Sigma \notin \overline{\text{lim}} \mathcal{L}_\Sigma$  and E3 holds for  $\mathcal{L}$ :

$$\begin{aligned} \Rightarrow -L_\Sigma &\in \overline{\text{lim}} \mathcal{L}_\Sigma, -L_\Sigma \notin \text{lim } \mathcal{L}_\Sigma \\ \Rightarrow L_{\Sigma_1} \cup -L_{\Sigma_2} &\in \text{BC lim } \mathcal{L}_{\Sigma_1 \dot{\cup} \Sigma_2} \\ L_{\Sigma_1} \cup -L_{\Sigma_2} &\notin \text{lim} \cup \overline{\text{lim}} \mathcal{L}_{\Sigma_1 \dot{\cup} \Sigma_2} \end{aligned}$$

Thus,

$$\text{lim} \cup \overline{\text{lim}} \mathcal{L} \subsetneq \text{BC lim } \mathcal{L}.$$

#### 4.7 $\text{BC ext} = \text{lim} \cap \overline{\text{lim}}$

A Staiger-Wagner automaton (weak Muller automaton) is of the same form  $\mathcal{A} = (Q, \Sigma, q_0, \delta, \mathcal{F})$  as a Muller automaton with the acceptance condition that a run  $\rho$  is accepting if and only if  $\text{Occ}(\rho) := \{q \in Q : q \text{ occurs in } \rho\} \in \mathcal{F}$ . (R101, Def.61, p.43)

We see (R101, Th.63+64, p.44) that the class of Staiger-Wagner-recognized languages is exactly the class  $\text{BC ext } \mathcal{L}^*(\text{reg})$  and also  $\text{lim} \cap \overline{\text{lim}} \mathcal{L}^*(\text{reg})$ .

We are now formulating a more general and direct proof for the  $\text{BC ext } \mathcal{L} = \text{lim} \cap \overline{\text{lim}} \mathcal{L}$  equality without Staiger-Wagner-automata (where some of the ideas are loosely based on (R101, Th.63+64, p.44)).

First, we show  $\text{lim} \cap \overline{\text{lim}} \mathcal{L} \subseteq \text{BC ext } \mathcal{L}$ .

Let  $\tilde{L} \in \text{lim} \cap \overline{\text{lim}} \mathcal{L}$ , i.e. there are deterministic automaton  $\mathcal{A}$  and  $\overline{\mathcal{A}}$  so that  $L_{\text{Büchi}}^\omega(\mathcal{A}) = L_{\text{co-Büchi}}^\omega(\overline{\mathcal{A}}) = \tilde{L}$ . Let  $Q, \overline{Q}$  be the states of  $\mathcal{A}, \overline{\mathcal{A}}$ . Now look at the product automaton  $\mathcal{A} \times \overline{\mathcal{A}} =: \overset{\times}{\mathcal{A}}$  with states  $Q \times \overline{Q}$  and final states  $F \times \overline{F} \subseteq Q \times \overline{Q}$ .  $\overset{\times}{\mathcal{A}}$  is also deterministic.

In  $\overset{\times}{\mathcal{A}}$ , we have

$$\begin{aligned} \alpha &\in \tilde{L} \\ \Leftrightarrow \forall N: \exists n \geq N: \overset{\times}{\rho}(\alpha)[n] &\in F \times \overline{Q} \\ \Leftrightarrow \exists N: \forall n \geq N: \overset{\times}{\rho}(\alpha)[n] &\in Q \times \overline{F} \end{aligned}$$

Look at strongly connected component (SCC)  $S$  in  $\overset{\times}{\mathcal{A}}$ . We have  $S \cap F \times \overline{Q} \neq \emptyset$ , iff  $S$  accepts. It follows that all states in  $S$  are finite states in  $\overline{\mathcal{A}}$ , i.e.  $S \cap Q \times \overline{F} = S$ .

Single  $\overset{\times}{q} \in \overset{\times}{Q}$  which are not part of a SCC can be ignored. For the acceptance of infinite words, only SCCs are relevant. For  $S$ , define  $S_+ := \left\{ \overset{\times}{q} \in \overset{\times}{Q} - S \mid \overset{\times}{q} \text{ can be visited after } S \right\}$ .

Then we have

$$\begin{aligned} \tilde{L} &= \bigcup_{\text{SCC } S} S \text{ will be visited} \wedge \\ &\quad \text{all states of } S \text{ will be visited forever after some step} \wedge \\ &\quad S_+ \text{ will not be visited.} \end{aligned}$$

$S$  will be visited: Let  $S$  exactly be the finite states. This interpreted as an E-automaton  $\mathcal{A}_S^E$  is exactly the condition.

Only the allowed states will be visited but nothing followed after  $S$ : Mark  $S$  and all states on all paths to  $S$  as finite states. This as an A-automaton  $\mathcal{A}_S^A$  is exactly the condition.

A similar negated condition might be simpler: Let  $S_+$  be exactly the finite states. Interpret this as an E-automaton  $\mathcal{A}_{S_+}^E$ .

Then we have

$$\begin{aligned} \tilde{L} &= \bigcup_{\text{SCC } S} L_E^\omega(\mathcal{A}_S^E) \cap L_A^\omega(\mathcal{A}_S^A) \\ &= \bigcup_{\text{SCC } S} L_E^\omega(\mathcal{A}_S^E) \cap -L_E^\omega(\mathcal{A}_{S_+}^E). \end{aligned}$$

Thus,

$$\tilde{L} \in \text{BC ext } \mathcal{L}^*(\text{reg}).$$

Open question at this point: Is  $L^*(\mathcal{A}_S^E), L^*(\mathcal{A}_{S_+}^E) \in \mathcal{L}$ ? With E4, this is obviously the case. However, E4 is too strong.

Now let us show  $\text{BC ext } \mathcal{L} \subseteq \lim \mathcal{L}$ .

With E1, we get  $\text{ext } \mathcal{L} \subseteq \lim \mathcal{L}$  and  $\text{ext } \mathcal{L} \subseteq \overline{\lim \mathcal{L}}$ . I.e.  $\text{ext } \mathcal{L} \subseteq \lim \cap \overline{\lim \mathcal{L}}$ . Let us show that  $\lim \cap \overline{\lim \mathcal{L}}$  is closed under BC.

Let  $\tilde{L}_a, \tilde{L}_b \in \lim \cap \overline{\lim \mathcal{L}}$ , i.e.  $\exists L_{a1}, L_{a2}, L_{b1}, L_{b2} \in \mathcal{L}: \tilde{L}_a = \lim L_{a1} = \overline{\lim} L_{a2}, \tilde{L}_b = \lim L_{b1} = \overline{\lim} L_{b2}$ . Let us show 1.  $-\tilde{L}_a \in \lim \cap \overline{\lim \mathcal{L}}$ , 2.  $\tilde{L}_a \cup \tilde{L}_b \in \lim \cap \overline{\lim \mathcal{L}}$ .

1.  $-\tilde{L}_a = -\lim L_{a1} = \overline{\lim} -L_{a1}, -\tilde{L}_b = -\overline{\lim} L_{a2} = \lim -L_{a2}$ . With E3 (closed under negation), we get

$$-\tilde{L}_a \in \lim \cap \overline{\lim \mathcal{L}}.$$

2.  $\tilde{L}_a \cup \tilde{L}_b = \lim L_{a1} \cup \lim L_{b1} = \lim L_{a1} \cup L_{b1}$  (4.1). Thus, with E2a, we have

$$\tilde{L}_a \cup \tilde{L}_b \in \lim \mathcal{L}.$$

The  $\overline{\lim} \mathcal{L}$  case is harder. Let  $\mathcal{A}_a, \mathcal{A}_b$  be deterministic automaton, so that  $L_{\text{Büchi}}^\omega(\mathcal{A}_a) = L_{\text{co-Büchi}}^\omega(\mathcal{A}_a) = \tilde{L}_a$ ,  $L_{\text{Büchi}}^\omega(\mathcal{A}_b) = L_{\text{co-Büchi}}^\omega(\mathcal{A}_b) = \tilde{L}_b$ . Look at the product automaton  $\mathcal{A}_a \times \mathcal{A}_b =: \overset{\times}{\mathcal{A}}$ . Then we have  $L_{\text{Büchi}}^\omega(\overset{\times}{\mathcal{A}}) = L_{\text{co-Büchi}}^\omega(\overset{\times}{\mathcal{A}}) = \tilde{L}_a \cup \tilde{L}_b$ .

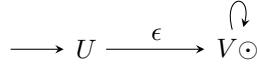
Thus,

$$\tilde{L}_a \cup \tilde{L}_b \in \overline{\lim} \mathcal{L}^*(\text{reg}).$$

Open question: Is  $L^*(\overset{\times}{\mathcal{A}}) \in \mathcal{L}$ ?

#### 4.8 Kleene-star = BC lim

Let  $U, V \in \mathcal{L}$ . Look at the non-deterministic automaton  $\mathcal{A}$  defined as:

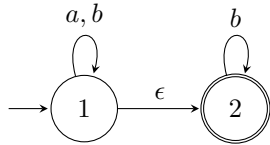


Then we have  $L_{\text{Büchi}}^\omega(\mathcal{A}) = U \cdot V^\omega$ .

Let us construct deterministic automata for  $\mathcal{A}$  so that we can formulate 'V will be visited and not be left anymore' and 'finite states of the V-related automaton will be visited infinitely often' (or ' $UV^*$  will be visited infinitely often').

In a constructed automaton, we must be able to tell whether we are in  $U$  or we deterministically have been in  $U$  the previous state. In a state power set construction, we can tell whether we are deterministically in  $U$  or not. If we are non-deterministic and we may be in both  $U$  or  $V$  and we get an input symbol which determines that we have been in  $U$ , we might not be able to tell from the following power set. Example:

Let  $U = (a+b)^*$ ,  $V = \{b\}$ . I.e.  $UV^\omega = \{\alpha \in \{a, b\}^\omega \mid \text{at one point in } \alpha, \text{ there are only } bs\}$ . The non-deterministic automaton is:



Power set construction: The initial state is  $\{1, 2\}$ . Then we have:

- $\{1, 2\} \xrightarrow{a} \{1, 2\}$
- $\{1, 2\} \xrightarrow{b} \{1, 2\}$

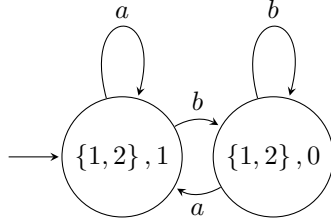
This gives the  $*$ -language  $\{a, b\}^*$  and we cannot formulate  $UV^\omega$  in any way from there.

In the construction, when we got the  $a$  from  $\{1, 2\}$ , we knew that we have been deterministically in 1, i.e. in  $U$ . We lose this information. To keep it, we introduce another state flag which exactly says whether we have determined that we have been in  $U$ . Thus, we construct an automaton with the states  $\mathcal{P}(Q) \times \mathbb{B}_{\text{det. been in } U}$ , where  $Q$  are the states from  $\mathcal{A}$ .

For the example, we get the initial state  $(\{1, 2\}, 1)$ . Then we have:

- $(\{1, 2\}, 1) \xrightarrow{a} (\{1, 2\}, 1)$
- $(\{1, 2\}, 1) \xrightarrow{b} (\{1, 2\}, 0)$
- $(\{1, 2\}, 0) \xrightarrow{a} (\{1, 2\}, 1)$
- $(\{1, 2\}, 0) \xrightarrow{b} (\{1, 2\}, 0)$

This is the automaton



When we mark all states from  $V$  and where we have not been deterministically in  $U$  as final, this as a co-Büchi automaton gives exactly the condition 'V will be visited and not be left anymore'. Let  $L_E$  be the \*-language of this automata. Note that  $L_E \neq UV^*$  in general and esp. in the example.

When we mark the final states as in the original non-deterministic automata, no matter about  $\mathbb{B}_{\text{det. been in } U}$ , with Büchi-acceptance, we get the condition 'UV\* will be visited infinitely often'. This is just  $\lim UV^*$ .

Together, we get  $UV^\omega$ , i.e.:

$$\lim UV^* \cap \overline{\lim L_E} = UV^\omega$$

If we have  $L_E \in \mathcal{L}$ , it follows

$$\left\{ \bigcup_{i=1}^n U_i \cdot V_i^\omega \mid U_i, V_i \in \mathcal{L} \right\} = \text{Kleene } \mathcal{L} \subseteq \text{BC } \lim \mathcal{L}.$$

Open question: Is  $L_E \in \mathcal{L}$ ?

We also need to show  $\text{BC } \lim \mathcal{L} \subseteq \text{Kleene } \mathcal{L}$ .

Show:  $\lim \mathcal{L} \subseteq \text{Kleene } \mathcal{L}$ .

Proof: Let  $\mathcal{A}$  be a deterministic Büchi automaton for some language  $\tilde{L} = L_{\text{Büchi}}^\omega(\mathcal{A}) \in \mathcal{L}$  with final states  $F$ .

For all finite states  $q \in F$ : If  $q$  is not part of a strongly connected component (SCC), we can ignore it. Let  $S$  be the SCC where  $q \in S$ . Then the set of all  $\alpha \in \Sigma^\omega$  which are infinitely often in  $q$  can be described as  $U_q \cdot V_q^\omega$ , where  $U_q$  is the set of words so that we arrive in  $q$  and  $V_q$  is the set of words so that we get from  $q$  to  $q$ . Both sets are regular.

Thus,

$$\tilde{L} = L_{\text{Büchi}}^\omega(\mathcal{A}) = \bigcup_{q \in F} U_q V_q^\omega.$$

Obviously, the Kleene-Closure is closed under union.

TODO: Show that Kleene-Closure is closed under negation. (S306.5) (Follows with non-det Büchi complementation but a more generic proof might be useful.)

## 5 \*-language classes

### 5.1 Overview

We already showed many results for  $\mathcal{L}^*(reg)$ .

### 5.2 $\text{FO}[<]$ / starfree

**Theorem 5.1.**

$$\mathcal{L}^\omega(\text{FO}[<]) = \text{BC lim } \mathcal{L}^*(\text{FO}[<])$$

*Proof.* Let  $\varphi \in \text{FO}[<]$ . By the [Tho81, Normal Form Theorem (4.4)] there are bounded formulas  $\varphi_1(y), \dots, \varphi_r(y), \psi_1(y), \dots, \psi_r(y)$  such that for all  $\alpha \in \Sigma^\omega$ :

$$\alpha \models \varphi \Leftrightarrow \alpha \models \bigvee_{i=1}^r (\forall x \exists y > x: \varphi_i(y)) \wedge \neg (\forall x \exists y > x: \psi_i(y))$$

Thus:

$$\begin{aligned} \alpha \models \varphi &\Leftrightarrow \bigvee_{i=1}^r (\underbrace{\alpha \models \forall x \exists y > x: \varphi_i(y)}_{\Leftrightarrow \forall x \exists y > x: \alpha[0, n] \models \varphi_i(\omega)} \wedge \neg (\alpha \models \forall x \exists y > x: \psi_i(y))) \\ &\Leftrightarrow \exists^\omega n: \alpha[0, n] \models \varphi_i(\omega) \\ &\Leftrightarrow \alpha \in \lim L^*(\varphi_i(\omega)) \end{aligned}$$

where  $\varphi_i(\omega)$  stands for  $\varphi_i$  with all bounds removed. I.e. we have

$$L^\omega(\varphi) = \bigcup_{i=1}^r \lim(L^*(\varphi_i(\omega)) \cap \neg \lim(L^*(\psi_i(\omega))),$$

and thus

$$L^\omega(\varphi) \in \text{BC lim } \mathcal{L}^*(\text{FO}[<]).$$

We have proved the  $\subseteq$ -direction. For  $\supseteq$ :

$$\begin{aligned} \alpha &\in \lim(L^*(\varphi)) \\ &\Leftrightarrow \exists^\omega n: \alpha[0, n] \models \varphi \\ &\Leftrightarrow \alpha \models \forall x \exists y > x: \varphi(y) \\ &\Leftrightarrow \alpha \in L^\omega(\forall \exists y > x: \varphi(y)) \end{aligned}$$

where  $\varphi(y)$  stands for  $\varphi$  with all variables bounded by  $y$ . I.e.

$$\lim \mathcal{L}^*(\text{FO}[<]) \subseteq \mathcal{L}^\omega(\text{FO}[<]),$$

and thus also

$$\text{BC lim } \mathcal{L}^*(\text{FO}[<]) \subseteq \mathcal{L}^\omega(\text{FO}[<]).$$

Thus we have proved the equality. □

**Theorem 5.2.**

$$\text{BC ext } \mathcal{L}^*(\text{FO}[<]) \subsetneq \text{BC lim } \mathcal{L}^*(\text{FO}[<])$$

*Proof.*  $\subseteq$ :  $L \subset \Sigma^\omega \text{ starfree} \Rightarrow L\Sigma^\omega \in \text{lim}(\mathcal{L}^*(\text{FO}[<]))$  □

*Proof.*  $\neq$ :

$$\begin{aligned} L &:= (\Sigma^*a)^\omega \\ \Rightarrow L &= \text{lim}((\Sigma^*a)^*) \\ \Rightarrow L &= L^\omega(\exists^\omega x : Q_ax) \end{aligned}$$

And we have  $L \notin \text{BC ext } \mathcal{L}^*(\text{FO}[<])$ . □

With 4.2, we get  $\text{ext } \mathcal{L} \subseteq \text{lim } \mathcal{L}$ .

$\tilde{L} := \text{lim}(\Sigma^*a) = (\Sigma^*a)^\omega \in \text{lim } \mathcal{L}$  but  $\tilde{L} \notin \text{ext } \mathcal{L}$  as shown in chapter 3.2.

- P1:  $\{a\} \in \mathcal{L}$ .  $a\Sigma^* \in \mathcal{L}$ , thus  $a\Sigma^\omega = \text{ext}(\{a\}) = \overline{\text{ext}} a\Sigma^*$ .
- P2a:  $\tilde{L}_{2a} := \text{ext}(a^*b) = a^*b\Sigma^\omega$ ,  $a^*b \in \mathcal{L}$ . Then  $\tilde{L}_{2a} \notin \text{ext } \mathcal{L}^*(\text{reg}) \supseteq \mathcal{L}^*(\text{FO}[<])$ .
- P2b:  $-\tilde{L}_{2a} := \overline{\text{ext}}(-a^*b)$ ,  $-a^*b \in \mathcal{L}$ . Then  $-\tilde{L}_{2a} \notin \text{ext } \mathcal{L}$ .
- P3: Follows directly from P2a and P2b.
- P4:  $\tilde{L}_4 := \text{ext}(\Sigma^*a) \cap \overline{\text{ext}}(-\Sigma^*b) = \Sigma^*a\Sigma^\omega \cap -(\Sigma^*b\Sigma^\omega)$ , whereby  $\Sigma^*a \in \mathcal{L}$ ,  $-\Sigma^*b \in \mathcal{L}$ .  $\tilde{L}_4 \notin \text{ext} \cup \overline{\text{ext}} \mathcal{L}^*(\text{reg}) \supseteq \mathcal{L}^*(\text{FO}[<])$  but  $\tilde{L}_4 \in \text{BC ext } \mathcal{L}$ .
- P5: TODO
- P6a/P6b/P7/P8:  $\Sigma^*a \in \mathcal{L}$ . We can use the same arguments as for  $\mathcal{L}^*(\text{reg})$ .
- P9: TODO
- P10: TODO

### 5.3 FO[+1]

**Theorem 5.3.**

$$\mathcal{L}^\omega(\text{FO}[+1]) = \text{BC ext } \mathcal{L}^*(\text{FO}[+1])$$

*Proof.* From [Tho96, Theorem 4.8], we know that each formular in  $\text{FO}[+1]$  is equivalent (for both finite and infinite words) to a boolean combination of statements “sphere  $\sigma \in \Sigma^+$  occurs  $\geq n$  times”. That statement can be expressed by a sentence of the form

$$\psi := \exists \overline{x_1} \cdots \exists \overline{x_n} \varphi(\overline{x_1}, \dots, \overline{x_n})$$

where each  $\overline{x_i}$  is a  $|\sigma|$ -tuple of variables and the formula  $\varphi$  states:

$$\bigwedge_{\substack{i,j \in \underline{n}, \\ i \neq j, \\ k,l \in \underline{|\sigma|}}} x_{i,k} \neq x_{j,l} \wedge \bigwedge_{\substack{i \in \underline{n}, \\ k \in \underline{|\sigma|-1}}} x_{i,k+1} = x_{i,k} + 1 \wedge \bigwedge_{\substack{i \in \underline{n}, \\ k \in \underline{|\sigma|}}} Q_{\sigma_k} x_{i,k}$$

For  $\psi$ , we have:

$$\alpha \models \psi \Leftrightarrow \exists n: \alpha[0, n] \models \psi \text{ for all } \alpha \in \Sigma^\omega,$$

i.e.

$$L^\omega(\psi) = \text{ext } L^*(\psi).$$

Any formular in  $\text{FO}[+1]$  can be expressed as a boolean combination of  $\psi$ -like formular. With

$$L^\omega(\neg\psi) = \neg L^\omega(\psi)$$

$$L^\omega(\psi_1 \wedge \psi_2) = L^\omega(\psi_1) \cap L^\omega(\psi_2)$$

$$L^\omega(\psi_1 \vee \psi_2) = L^\omega(\psi_1) \cup L^\omega(\psi_2)$$

we get:

$$\mathcal{L}^\omega(\text{FO}[+1]) = \text{BC ext } \mathcal{L}^*(\text{FO}[+1]).$$

□

## 5.4 $\text{FO}[]$

## 5.5 piece-wise testable

**Theorem 5.4.**

$$\text{BC ext } \mathcal{L}^*(\text{piece-wise testable}) = \text{BC lim } \mathcal{L}^*(\text{piece-wise testable})$$

*Proof.*  $L$  piece-wise testable  $\Leftrightarrow L$  is a boolean algebra of  $\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*$

$\subseteq$ : It is sufficient to show  $\text{ext}(\mathcal{L}^*(\text{piece-wise testable})) \subseteq \text{BC lim } \mathcal{L}^*(\text{piece-wise testable})$ .  
By complete induction:

$$\text{ext}(\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*) = \Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^\omega = \lim(\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*)$$

$$\text{ext}(\neg(\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*)) = \Sigma^\omega = \lim(\Sigma^*)$$

$$\text{ext}(\emptyset) = \emptyset = \lim(\emptyset)$$

It is sufficient to show negation only for such ground terms because we can always push the negation down.

$$\text{ext}(A \cup B) = \text{ext}(A) \cup \text{ext}(B)$$

$$\text{ext}(A \cap B) = \text{ext}(A) \cap \text{ext}(B)$$

This makes the induction complete.

$\supseteq$ : It is sufficient to show  $\lim(\mathcal{L}^*(\text{piece-wise testable})) \subseteq \text{BC ext } \mathcal{L}^*(\text{piece-wise testable})$ .

$$\lim(\emptyset) = \text{ext}(\emptyset), \quad \lim(\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*) = \text{ext}(\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*) \quad (\text{see above})$$

$$\begin{aligned} \lim(\neg(\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*)) &= \{ \alpha \in \Sigma^\omega \mid \exists^\omega n: \alpha[0, n] \notin \Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^* \} \\ &= \{ \alpha \in \Sigma^\omega \mid \forall n: \alpha[0, n] \notin \Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^* \} \\ &= \neg \text{ext}(\Sigma^* a_1 \Sigma^* a_2 \cdots a_n \Sigma^*) \end{aligned}$$

$$\lim(A \cup B) = \{ \alpha \in \Sigma^\omega \mid \exists^\omega n: \alpha[0, n] \in A \cup B \} = \lim(A) \cup \lim(B)$$

$$\lim(A \cap B) = \{ \alpha \in \Sigma^\omega \mid \exists^\omega n: \alpha[0, n] \in A \cap B \}$$

and because  $A, B$  are piece-wise testable

$$= \{ \alpha \in \Sigma^\omega \mid \exists n: \forall m > n: \alpha[0, m] \in A \cap B \} = \lim(A) \cap \lim(B)$$

□

## 5.6 positive piece-wise testable

**Theorem 5.5.**

$$\text{BC ext } \mathcal{L}^*(\text{pos-PT}) = \text{BC lim } \mathcal{L}^*(\text{pos-PT})$$

*Proof.*  $\subseteq$ : Exactly like the proof for PT except that we leave out the negated part.  $\supseteq$ : Also like the proof for PT. □

## 5.7 locally testable

**Theorem 5.6.**

$$\text{BC ext } \mathcal{L}^*(\text{locally testable}) \subsetneq \text{BC lim } \mathcal{L}^*(\text{locally testable})$$

*Proof.* Let  $w \in \Sigma^+$ .

$$\begin{aligned} \text{ext}(w \Sigma^*) &= \lim(w \Sigma^*) \\ \text{ext}(\Sigma^* w) &= \Sigma^* w \Sigma^\omega = \lim(\Sigma^* w \Sigma^*) \\ \text{ext}(\Sigma^* w \Sigma^*) &= \Sigma^* w \Sigma^\omega = \lim(\Sigma^* w \Sigma^*) \end{aligned}$$

Thus we have

$$\text{BC ext } \mathcal{L}^*(\text{locally testable}) \subseteq \text{BC lim } \mathcal{L}^*(\text{locally testable}).$$

But we also have

$$\lim(\Sigma^*) = (\Sigma^* w)^\omega \notin \text{BC ext } \mathcal{L}^*(\text{locally testable}).$$

□

## 5.8 endwise testable

- $\text{BC ext } \mathcal{L}^*(\text{endwise}) \neq \text{BC lim } \mathcal{L}^*(\text{endwise})$  because  $\Sigma^* a \in \mathcal{L}^*(\text{endwise})$ .
- $\text{ext}(a \Sigma^* a) = a \Sigma^* a \Sigma^\omega \notin \text{BC lim } \mathcal{L}^*(\text{endwise})$



## 5.9 local

### 5.10 finite / co-finite

- $\lim \mathcal{L}^*(finite) = \{\emptyset\}$
- $\text{ext } \mathcal{L}^*(finite) = \mathcal{L}^*(finite) \cdot \Sigma^\omega$
- $\lim \mathcal{L}^*(co - finite) = \{\Sigma^\omega\}$
- $\text{ext } \mathcal{L}^*(co - finite) = \{\Sigma^\omega\}$

### 5.11 dot-depth- $n$

### 5.12 $L$ -trivial

### 5.13 $R$ -trivial

### 5.14 locally modulo testable

### 5.15 context free

## 6 Lemmas

### 6.1 pos-PT and PT

**Theorem 6.1.**

$$\text{BC ext } \mathcal{L}^*(\text{pos-PT}) = \text{BC ext } \mathcal{L}^*(\text{PT})$$

*Proof.* In the proof of  $\lim \mathcal{L}^*(\text{PT}) \subseteq \text{BC ext } \mathcal{L}^*(\text{PT})$  we actually proved  $\text{BC lim } \mathcal{L}^*(\text{PT}) \subseteq \text{BC ext } \mathcal{L}^*(\text{pos-PT})$ . Similiarly we also proved  $\text{BC ext } \mathcal{L}^*(\text{PT}) \subseteq \text{BC lim } \mathcal{L}^*(\text{pos-PT})$ .

With 5.6 and 5.5 we get the claimed equality.  $\square$

## Literatur

- [Tho81] Wolfgang Thomas. A combinatorial approach to the theory of omega-automata. *Information and Control*, 48(3):261–283, 1981.
- [Tho96] Wolfgang Thomas. Languages, automata, and logic. In *Handbook of Formal Languages*, pages 389–455. Springer, 1996.