

# HERMITIAN MODULAR FORMS FOR FIELDS OF LOW DISCRIMINANT

DIPLOMA THESIS  
in Mathematics

by  
Albert Zeyer

submitted to the  
Faculty of Mathematics, Computer Science and Natural Science of  
RWTH Aachen University

October 2012  
revised version from January 6, 2013

Supervisor: Prof. Dr. Aloys Krieg  
Second examiner: Dr. Martin Raum

written at the  
Lehrstuhl A für Mathematik  
Prof. Dr. A. Krieg

# Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>Background results</b>	<b>4</b>
2.1	Preliminaries . . . . .	4
<b>3</b>	<b>Theory</b>	<b>6</b>
<b>4</b>	<b>Implementation</b>	<b>7</b>
<b>5</b>	<b>Conclusion</b>	<b>8</b>
<b>6</b>	<b>References</b>	<b>9</b>

# Chapter 1

## Introduction

We develop an algorithm to compute Fourier expansions of Hermitian Modular Forms of degree 2 over  $\mathrm{Sp}_2(\mathcal{O})$  for  $\mathcal{O} \subseteq \mathbb{Q}(\sqrt{-\Delta})$ ,  $\Delta \in \{3, 4, 8\}$ .

In [PY07], spaces of Siegel Modular Cusp forms are calculated.

A similar algorithm is also [Rau12, Algorithm 4.3] for Jacobi forms.

We are doing the same for Hermitian Modular forms.

## Chapter 2

### Background results

#### 2.1 Preliminaries

$\mathbb{N}$  denotes the set  $\{1, 2, 3, \dots\}$ ,  $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$  and  $\mathbb{Z}$  are all integers.  $\mathbb{Q}$  are all the rational numbers,  $\mathbb{R}$  are the real numbers and  $\mathbb{C}$  are the complex numbers.

Let  $M_n(R)$  be the set of all  $n \times n$  matrices over some commutative ring  $R$ . Likewise,  $M_n^T(R)$  are the symmetric  $n \times n$  matrices. A matrix  $Y \in M_n(\mathbb{R})$  is greater 0 iff  $\forall x \in \mathbb{R}^n - \{0\} : Y[x] := x^T Y x > 0$ .

The **general linear group** is defined by  $GL_n(R) = \{X \in M_n(R) \mid \det(X) \text{ is a unit in } R\}$  and the **special linear group** by  $SL_n(R) = \{X \in M_n(R) \mid \det(X) = 1\}$ . The **orthogonal group** is defined by  $O_n(R) = \{X \in GL_n(R) \mid X^T 1_n X = 1_n\}$ . The **symplectic group** is defined by  $Sp_n(R) = \{X \in GL_{2n}(R) \mid X^T J_n X = J_n\}$  where  $J_n := \begin{pmatrix} 0 & 1_n \\ -1_n & 0 \end{pmatrix} \in SL_{2n}(R)$ .  $Sp_n(R)$  is also called the **Hermitian modular group**.

Let  $\mathcal{H}_n := \{Z = X + iY \in M_n^T(\mathbb{C}) \mid Y > 0\}$  be the **Siegel upper half space**. Thus,  $\mathcal{H}_1$  is the **Poincaré upper half plane**.

A **Siegel modular cusp form** of degree  $n \in \mathbb{N}$  for some  $\Gamma \subseteq Sp_n(\mathbb{Z})$  is a holomorphic function

$$f: \mathcal{H}_n \rightarrow \mathbb{C}$$

with

- (1)  $f|_k y = f \quad \forall y \in \Gamma$
- (2) for  $n = 1$ :  $f(Z) = O(1)$  for  $Z \rightarrow i\infty$

where

$$\left( f|_k \begin{pmatrix} A & B \\ C & D \end{pmatrix} \right) (Z) = f((AZ + B)(CZ + D)^{-1}) \cdot \det(CZ + D)^{-k}$$

with  $Z \in \mathcal{H}_n$ ,  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ .

Let  $\mathbb{H}_n := \left\{ Z \in M_n(\mathbb{C}) \mid \frac{1}{2i} (Z - \overline{Z}^{\text{tr}}) > 0 \right\}$  be the **Hermitian upper half space**.

A **Hermitian modular form** of degree  $n \in \mathbb{N}$  is a holomorphic function

$$f: \mathbb{H}_n \rightarrow \mathbb{C}$$

with weight  $k \in \mathbb{Z}$  for some  $\Gamma \subseteq \mathrm{Sp}_n(\mathcal{O})$ ,  $\mathcal{O} \subseteq \mathbb{Q}(\sqrt{-\Delta})$ ,  $\Delta \in \mathbb{N}$ ,  $\nu: \Gamma \rightarrow \mathbb{C}^\times$  is an abel character of  $\mathrm{Sp}_n(\mathcal{O})$ , with

- (1)  $f(M \cdot Z) = \nu(M) \det(CZ + D)^k f(Z)$ ,  $M = \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$ ,  $Z \in \mathbb{H}_n$ ,
- (2) for  $n = 1$ :  $f$  is holomorphic in all peaks.

$[\Gamma, k, \nu]$  denotes the vector space of such hermitian modular forms.

In this work, we will concentrate on Hermitian Modular forms of degree 2. We will start with  $\Delta \in \{3, 4, 8\}$ .

Note that if  $\Delta$  is fundamental, we have

$$\begin{aligned} \mathcal{O} &= \mathbb{Z} + \mathbb{Z} \frac{-\Delta + \sqrt{-\Delta}}{2}, \\ \mathcal{O}^\# &= \mathbb{Z} \frac{i}{\sqrt{-\Delta}} + \mathbb{Z} \frac{1 + \sqrt{-\Delta}}{2}. \end{aligned}$$

From now on, we will always work with Hermitian modular forms of degree 2, i.e. we will always have  $n = 2$ , except if otherwise stated.

## Chapter 3

### Theory

**Lemma 3.1.** *Let  $f: M_2(\mathbb{C}) \rightarrow \mathbb{C}$  be a Hermitian Modular form of weight  $k$ . Then,  $f(S\tau): \mathbb{H}_1 \subseteq \mathbb{C} \rightarrow \mathbb{C}$  is an elliptic modular form of weight  $2k$  for some matrix  $S \in M_2(\mathbb{Z})$  with  $\Gamma(S) \subseteq \text{SL}_2(\mathbb{Z})$ .*

**Lemma 3.2.** *Prop 7.3. von Poor für herm Modulformen.  $\Gamma(\mathcal{L}) \supseteq \Gamma_0(l)$  for  $l \in \mathbb{Z}^+, ls^{-1} \in \mathcal{P}_n(\mathcal{O})$ .*

Now we will formulate the main algorithm of our work.

**Algorithm 3.3.** 1. Select a set of matrices  $\mathcal{S} \subseteq M_2^T(\mathbb{Z})$  with  $0 < S \in \mathcal{S}$ . Make  $\mathcal{S}$  big enough. Now, for some  $S \in \mathcal{S}$ :

2. Fix  $B \in \mathbb{N}$  as a limit. Or select a precision

$$\mathcal{F} := \left\{ \begin{pmatrix} a & b \\ \bar{b} & c \end{pmatrix} \left| 0 \leq ac < B, b \in \mathcal{O}^\# \right. \right\} \subseteq \Lambda,$$

where

$$\Lambda := \left\{ 0 \leq \begin{pmatrix} a & b \\ \bar{b} & c \end{pmatrix} \in M_2(\mathcal{O}^\#) \left| a, c \in \mathbb{Z} \right. \right\}.$$

3.

$$\mathcal{M}_{k,\mathcal{S},\mathcal{F}}^H = \{f[S] \mid f \in \mathbb{Q}^{\mathcal{F}}\},$$

$$\mathcal{M}_{k,S} = \mathcal{FE}_{\mathcal{F}(S)}(M_k(\Gamma(S)))$$

4. If

$$\dim \mathcal{M}_{k,\mathcal{S},\mathcal{F}}^H \cap \bigoplus_{S \in \mathcal{S}} \mathcal{M}_{k,S} = \dim M_k^H,$$

then we are ready and we can reconstruct the Fourier expansion in the following way:

...

## **Chapter 4**

### **Implementation**

In this chapter, we are describing the implementation.

## Chapter 5

### Conclusion

Blub



## Chapter 6

### References

- [PY07] C. Poor and D.S. Yuen. Computations of spaces of siegel modular cusp forms.  
*Journal of the Mathematical Society of Japan*, 59(1):185–222, 2007.
- [Rau12] M. Raum. Computing Jacobi Forms and Linear Equivalences of Special Divisors.  
*ArXiv e-prints*, December 2012.