

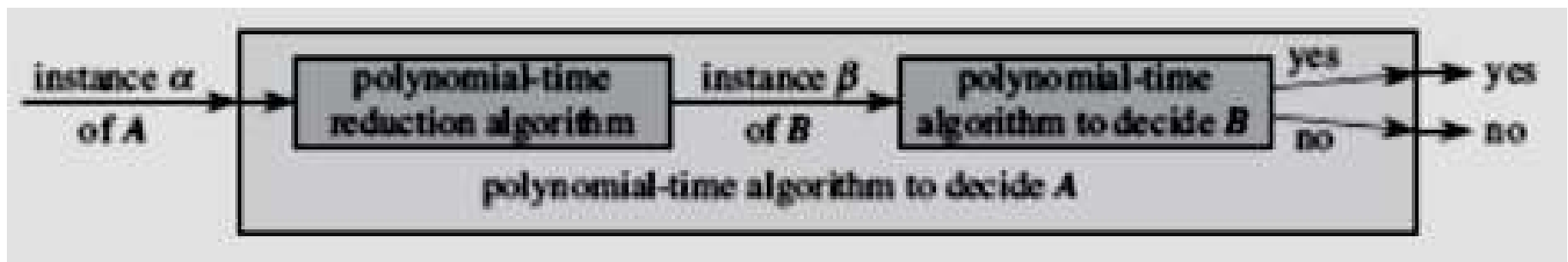
NP Complete Problems

POLYNOMIAL TIME REDUCIBILITY

- Consider decision problem A, which we would like to solve in polynomial time.
- Suppose that we already know how to solve a different decision problem B in polynomial time.
- Finally, suppose that we have a procedure that transforms any instance α of A into some instance β of B with the following characteristics:
 - (1) The transformation takes polynomial time.
 - (2) The answers are the same. That is, the answer for α is “yes” if and only if the answer for β is also “yes.”
- Such a procedure is called a **polynomial-time reduction algorithm**.

POLYNOMIAL TIME REDUCIBILITY

- It provides us a way to solve problem A in polynomial time:
 1. Given an instance α of problem A, use a polynomial-time reduction algorithm to transform it to an instance β of problem B.
 2. Run the polynomial-time decision algorithm for B on the instance β .
 3. Use the answer for α as the answer for β .



NP Complete Classes

- If a language L_1 is polynomial time reducible to another language L_2 , then it is denoted as $L_1 \leq_p L_2$.
- A language L is NP-complete if
 1. $L \in \text{NP}$, and
 2. $L' \leq_p L$ for every $L' \in \text{NP}$

NB: If a language L satisfies property 2, but not necessarily property 1, we say that L is NP-hard.

3 CNF is NP-Complete

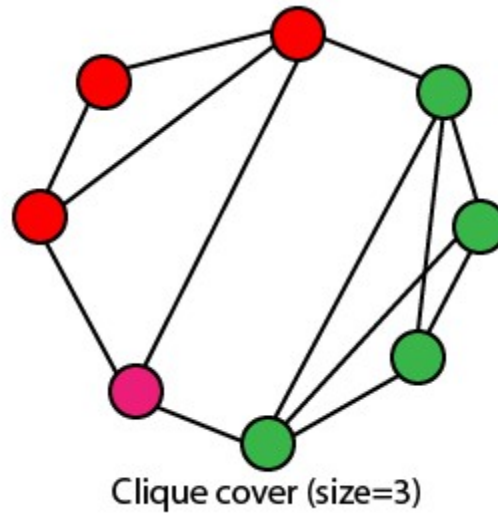
- A 3-CNF formula φ is a **Boolean formula in conjunctive normal form with exactly three literals per clause**, like

$$\varphi := (x_1 \vee x_2 \vee \neg x_3) \wedge (\neg x_2 \vee x_3 \vee \neg x_4) := \psi_1 \wedge \psi_2$$

- A 3-CNF is said to be satisfiable if it has a satisfying assignment and it is called 3 SAT problem.
- **3 CNF is NP-Complete.**

CLIQUE

- Clique in an undirected graph $G = (V, E)$ is a subset $V' \subseteq V$ of vertices, each pair of which is connected by an edge in E .
- A clique is a complete subgraph of G .
- Below is a 3-clique graph.



CLIQUE is NP-complete

- To show that CLIQUE NP-Complete, prove :
 - a) CLIQUE \in NP
 - b) Clique problem is NP-Hard
- To prove clique problem to be NP-Hard, show that $3\text{-CNF-SAT} \leq_p \text{CLIQUE}$.

CLIQUE \in NP

- A naïve algorithm for determining whether a graph $G=(V,E)$ has a clique of size k is to list all k subsets of V , and check each one to see whether it forms a clique.
- We use the set $V' \subseteq V$ of vertices in the clique as a certificate for G .
- We can check whether V' is a clique in polynomial time by checking whether, for each pair $u,v \in V'$, the edge (u,v) belongs to E .
- Since it is verifiable in polynomial time, it is a NP problem
- So CLIQUE \in NP

To prove Clique problem is NP-Hard

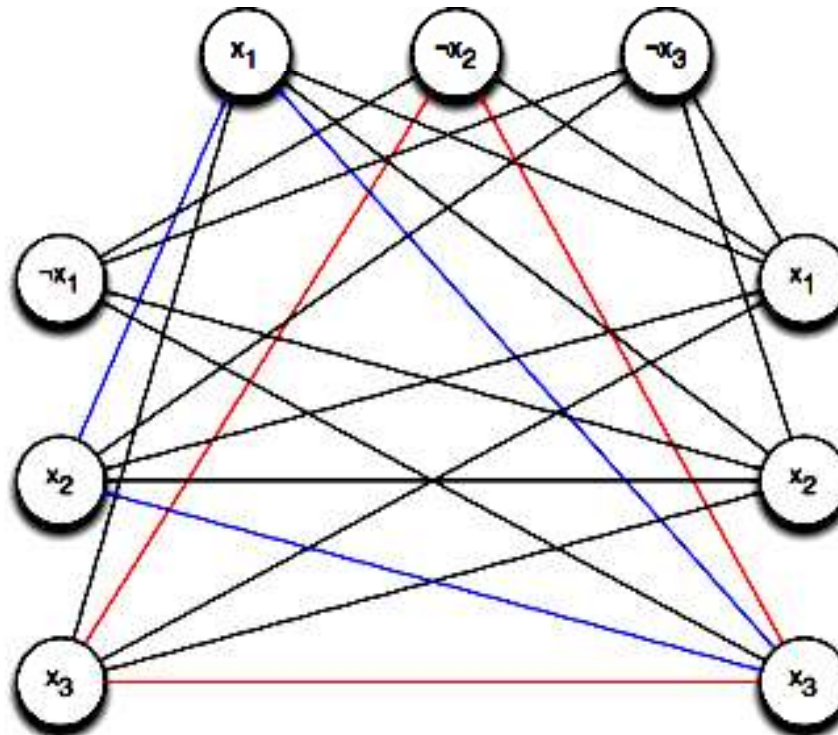
- For that we have to prove that

$$\mathbf{3\text{-}CNF\text{-}SAT \leq_p \text{CLIQUE}}$$

- Let $\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ be a boolean formula in 3-CNF with k clauses.
- For $r = 1, 2, \dots, k$, each clause C_r has exactly three distinct literals l_1, l_2 , and l_3 .
- Next we have to construct a graph G such that Φ is satisfiable if and only if G has a clique of size k .

To prove Clique problem is NP-Hard

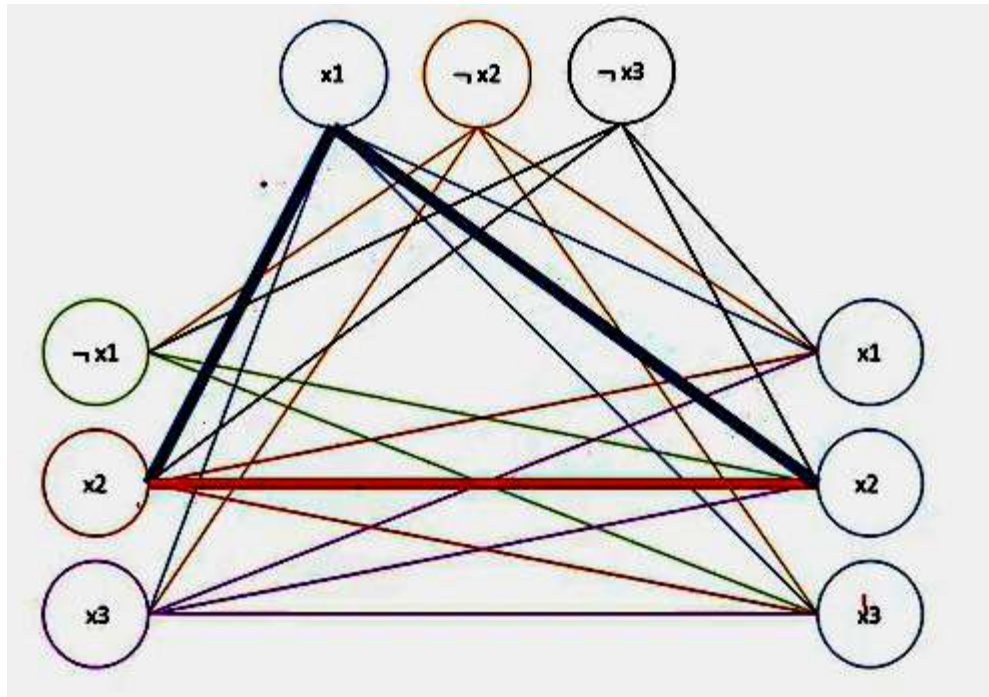
- Consider an example, if we have $\Phi = (x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_3)$
- This can be converted to a graph G as shown in Figure below.



To prove Clique problem is NP-Hard

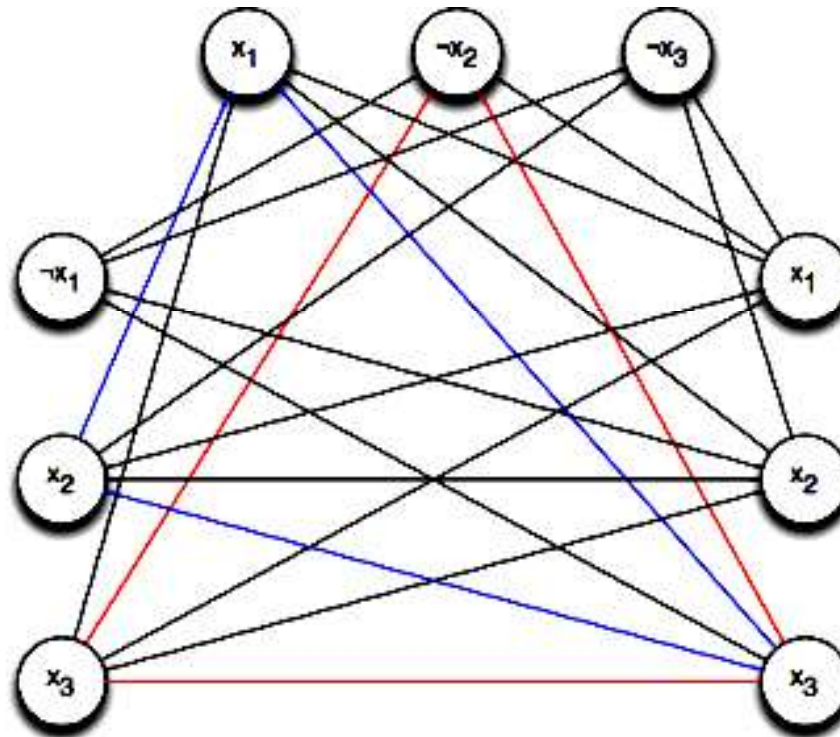
$$\Phi = (x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_3)$$

- From the graph itself we can find cliques.
- Suppose $x_1=1$, $x_2=1$ and $x_3=0$, the above eqn is satisfiable. i.e., result of above eqn is 1.



Clique problem is NP-Hard

- Like that we can find different cliques as in below figure.



CLIQUE is NP-complete

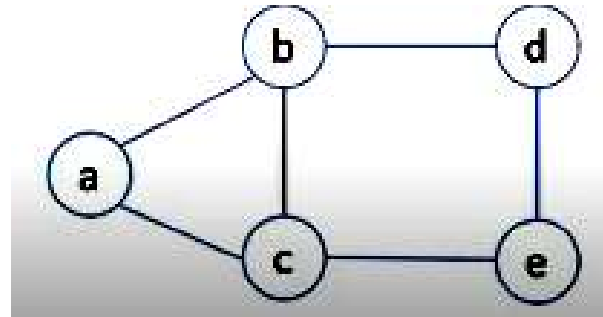
- So we have converted satisfiability problem to clique problem.
- I.e., **3-CNF-SAT \leq_p CLIQUE.**
- **3-CNF-SAT is a known NP-Hard problem.**
- So **CLIQUE is also NP-Hard** according to polynomial reduction.
- So **CLIQUE is NP & NP-Hard** problem, thus **CLIQUE is NP-complete.**

Vertex Cover Problem

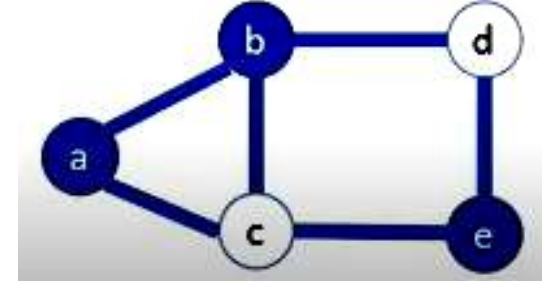
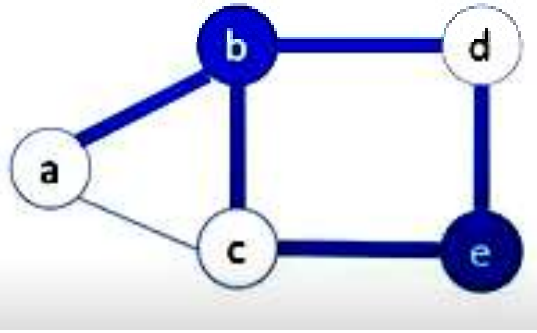
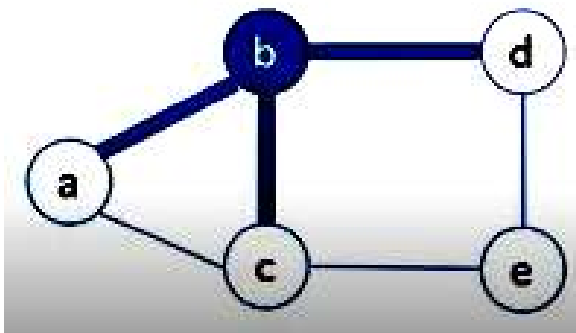
- A vertex cover of an undirected graph $G = (V, E)$ is a subset $V' \subseteq V$ such that if $(u, v) \in E$, then $u \in V'$ or $v \in V'$ (or both).
- ie, each vertex “covers” its incident edges, and a vertex cover for G is a set of vertices that covers all the edges in E .
- The size of a vertex cover is the number of vertices in it.

Vertex Cover Problem

- Consider the graph



- Vertex b covers three edges, e covers 2 edges and a covers 2 edges.



- Thus this graph is having a vertex cover of size 3.
- Vertex cover problem is to check whether a graph has a vertex cover of size k .**

Vertex Cover Problem is NP-complete

- To show that **Vertex Cover Problem** is NP-Complete, prove :
 - a) Vertex Cover Problem \in NP
 - b) Vertex Cover Problem is NP-Hard
- To prove Vertex Cover Problem to be NP-Hard, show that $\text{CLIQUE} \leq_p \text{Vertex Cover}$.
(CLIQUE is a known NP Complete problem)

Vertex Cover Problem \in NP

- A naive algorithm checks whether the set V' is a vertex cover of size k using the following strategy (for a graph $G(V, E)$):

```
let count be an integer
set count to 0
for each vertex v in V'
    remove all edges adjacent to v from set E
    increment count by 1
if count = k and E is empty
    then
        the given solution is correct
    else
        the given solution is wrong
```

Vertex Cover Problem \in NP

- This can be done in polynomial time.
- Since it is verifiable in polynomial time, it is a NP problem.
- So, Vertex Cover Problem \in NP

Vertex Cover Problem is NP-Hard

- This reduction uses the idea of the “complement” of a graph.
- Given an undirected graph $G = (V, E)$, we define the complement of G as $G' = (V, E')$, where $E' = \{u,v\}: u, v \in V, u \neq v \text{ and } (u,v) \notin E$
- In other words, G' is the graph containing exactly those edges that are not in G .
- The output of the reduction algorithm is the instance $\langle G', |V| - k \rangle$ of the vertex-cover problem.
- To complete the proof, we show that this transformation is indeed a reduction:

The graph G has a clique of size k if and only if the graph G has a vertex cover of size $|V| - k$.

Vertex Cover Problem is NP-Hard

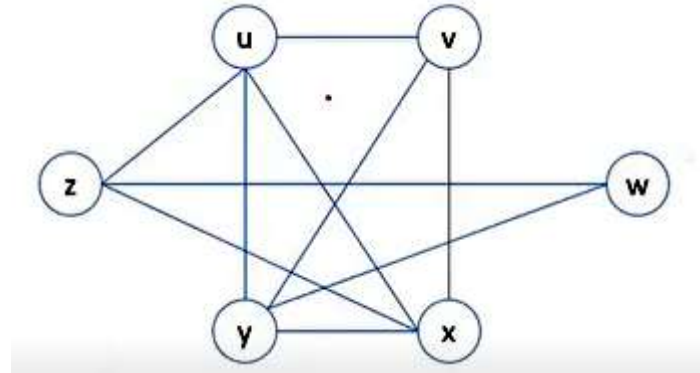
- Suppose that G has a clique $V' \subseteq V$ with $|V'| = k$.
- We claim that $V - V'$ is a vertex cover in G .
- Let (u, v) be any edge in E .
- Then, $(u, v) \notin E$, which implies that at least one of u or v does not belong to V' , since every pair of vertices in V' is connected by an edge of E .
- Equivalently, at least one of u or v is in $V - V'$, which means that edge (u, v) is covered by $V - V'$.
- Since (u, v) was chosen arbitrarily from E , every edge of E is covered by a vertex in $V - V'$.
- Hence, the set $V - V'$, which has size $|V| - k$, forms a vertex cover for G .

Vertex Cover Problem is NP-Hard

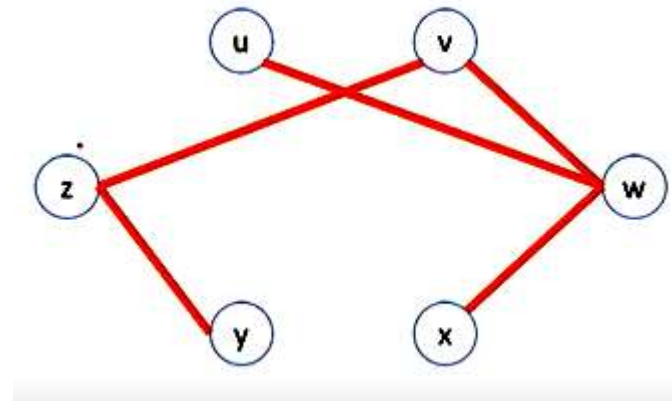
- Conversely, suppose that G has a vertex cover $V' \subseteq V$, where $|V'| = |V| - k$.
- Then, for all $u, v \in V$, if $(u, v) \in E$, then $u \in V'$ or $v \in V'$ or both.
- The contrapositive of this implication is that for all $u, v \in V$, if $u \notin V'$ and $v \notin V'$,
- then $(u, v) \notin E$. In other words, $V - V'$ is a clique, and it has size $|V| - |V'| = k$.

Vertex Cover Problem is NP-Hard

- Consider a graph G which has a clique of size 4, i.e., $\{u, v, x, y\}$



Its complement G' is ,



- This graph has a vertex cover of 2, i.e., $\{z, w\}$
- Thus graph G has a clique of size k if and only if the graph G' has a vertex cover of size $|V| - k$.**

Vertex Cover Problem is NP-Hard

- Thus we reduced a clique problem to vertex cover problem.
- So, Vertex Cover Problem is NP-Hard
- Hence Vertex Cover problem is NP-Complete.