# Module 5

- There is a problem with 'n' inputs
- *Feasible solution*: Obtain a subset that satisfies some constraints
- *Optimal Solution*: Find a feasible solution that either maximizes or minimizes a given objective function
- This method finds the feasible solutions as per the constraints and finds the optimal solution from it.
   The optimum value can be a maximum or minimum value depending upon the problem constraints

- For Eg: We want to find the tallest person from a group. We have their heights. In this method first we will set a bench mark (Eg: height more than 6 feet). Then remove all entries less than 6 feets. It is the feasible solution list. Then find the optimal one, that is the maximum value.
- Similarly if it is for finding a fastest athlete of a season we will set time like 100 meters in less than 10 seconds. Makes feasible solution and go for athlete with minimum value, right?
- I think you got the idea.

- At each stage, a decision is made regarding whether a particular is in an Optimal Solution.
- Consider the inputs in an order determined by some selection procedure.
- If the inclusion of the next input into a partially constructed optimal solution will result in an infeasible solution, then that input is not added in the partial solution.
- Otherwise it is added

```
Algorithm Greedy(a,n) // n inputs
 solution = \phi // initialize the solution
 for(i=1 to n) do
   x= Select (n)
   if (Feasible (solution,x) then
       solution = Union(solution,x)
 return Solution
```

# **Knapsack Problem**

- It is an optimization problem
- Given a set of items, each with a weight and a value (Profit), determine a subset of items to include in a collection so that the total weight is less than or equal to a given limit (Capacity of Knapsack or a bag) and the total value (profit) is as large as possible
- Two types :
  - Fractional Knapsack problem
  - 0/1 Knapsack Problem

# **Knapsack Problem**

- In 0/1 knapsack problem we can either add an item fully or not add. Ie. Either take it completely or not take.
- Where as in fractional knapsack problem we can take a fraction of an item. That is, if an item cannot be added completely, a fraction of it can be added.
- In greedy method, we solve the fractional knapsack problem.
- 0/1 Knapsack problem will be discussed later (using Backtracking method)

- There are 'n' objects and a Knapsack or bag with capacity 'm'. Object 'i' has a wight 'w<sub>i</sub>' and a profit 'p<sub>i</sub>'
- If a fraction xi, 0 ≤ x<sub>i</sub> ≤ 1, of object 'i' can be placed into the knapsack, a profit p<sub>i</sub>x<sub>i</sub> is earned.
- The objective is to fill the Knapsack that maximizes the total Profit.
- Since the capacity of Knapsack is 'm', the total weight of all chosen objects must be at most 'm'

The problem can be stated as

Maximize 
$$\sum_{i=1}^n x_i \cdot p^i$$
Subject to the  $\sum_{i=1}^n x_i \cdot w^i \leqslant m$  constraint  $\sum_{i=1}^n x_i \cdot w^i \leqslant m$ 
Where  $0 \le \mathbf{x}_i \le \mathbf{1}$ 

- An optimal solution must fill the knapsack exactly, otherwise we could add a fraction of one of the remaining items and increase the overall profit
- Thus, an optimal solution can be obtained by

$$\sum_{i=1}^n x_i . wi = m$$

- In order to solve the problem we must sort those objects according to the value of p<sub>i</sub>/w<sub>i</sub> such that p<sub>i</sub>/w<sub>i</sub> ≥ p<sub>i+1</sub>/w<sub>i+1</sub> (Decreasing order of the ratio)
- Lets check the algorithm.
- Two arrays p[1:n] and w[1:n] contains profit and weights of respectively
- Here m is the size of the Knapsack and there are n objects sorted such that p<sub>i</sub>/w<sub>i</sub> ≥ p<sub>i+1</sub>/w<sub>i+1</sub>
- x[1:n] is the solution vector
- The final profit will be obtained by adding all  $x_i p_i$

Algorithm Greedy\_Knapsack(m,n)

```
for i=1 to n do
   x[i]=0 // Initialize x[]
         // U is the Remaining capacity, initially m.
U = m
for i=1 to n do
   If (w[i]>U) then
     break
   x[i] = 1
   U = U - w[i]
if(i<=n) then x[i] = U/w[i]
                                // Adding the fraction of last object
```

### **Knapsack Problem** (Fractional) – Example1

• Let the capacity of Knapsack, m=60, n=4

Item	1	2	3	4
Profit	280	100	120	120
Weight	40	10	20	24
Ratio (pi/wi)	7	10	6	5

#### After Sorting

Item	1	2	3	4
Profit	100	280	120	120
Weight	10	40	20	24
Ratio (pi/wi)	10	7	6	5

### Knapsack Problem (Fractional) - Example 1

Initially available space

$$U = 60$$
 (U = m)

• Solution Array x = [0,0,0,0]

1) W1= 10 
$$10 \le 60$$

- So add 1<sup>st</sup> item **w1** =**10**
- $\blacksquare$  X=[1,0,0,0]
- U=60-10 = **50**
- 2) W2= 40 40 ≤ 50
  - So add  $2^{nd}$  item W2 = 40
  - $\blacksquare$  X=[1,1,0,0]
  - U = 50 40 = 10

- 3) W3= 20 20 > 10
  - So break....
- But i<=n</p>
  - X3 = U/w3
  - ie. X3 = 10/20 = 0.5
  - X=[1,1,0.5,0]
- Let's stop here.
- > Total Profit
- = 1x100+1x280+0.5x120+0x24
- = 440
- > Total Weight
- = 1x10+1x40+0.5x20+0X 24= 60

### **Knapsack Problem** (Fractional) – Example 2

• Let the capacity of Knapsack, m=20, n=3

Item	1	2	3
Profit	25	24	15
Weight	18	15	10
Ratio (pi/wi)	1.38	1.6	1.5

#### After Sorting

Item	1	2	3
Profit	24	15	25
Weight	15	10	18
Ratio (pi/wi)	1.6	1.5	1.38

### Knapsack Problem (Fractional) – Example 2

Initially available space

$$U = 20$$
 (  $U = m$ )

- Solution Array x = [0,0,0]
- 1) W1= 15 15 ≤ 20
  - So add 1<sup>st</sup> item **w1** =15
  - X=[1,0,0]
  - U=20-15 = **5**
- 2) W2= 10 10 > **5** 
  - So break....

#### **≻**But i<=n

- X2 = U/w2
- ie. X2 = 5/10 = 0.5
- $\blacksquare$  X=[1,0.5,0]
- Let's stop here.
- > Total Profit

$$= 1x24+0.5x15+0x25$$

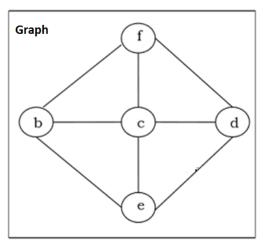
Total Weight

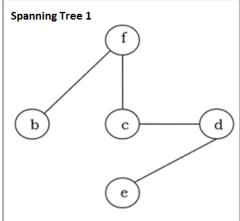
$$= 1x15+0.5x10+0x18 = 20$$

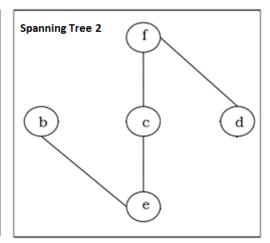
# **Spanning Trees**

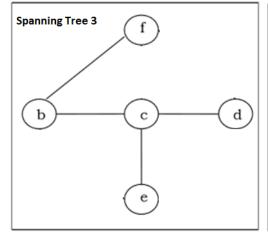
- Let G=(V,E) be an undirected connected graph. A subgraph T=(V,E') of G is a spanning tree of G, iff. T is a Tree
- A spanning tree is a subset of Graph G, which has all the vertices covered with minimum possible number of edges.
- A spanning tree does not have cycles
- Every connected and undirected Graph has at least one spanning tree
- Spanning tree has n-1 edges, where n is the number of nodes (vertices).

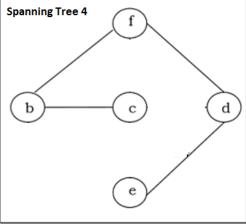
# **Spanning Trees**

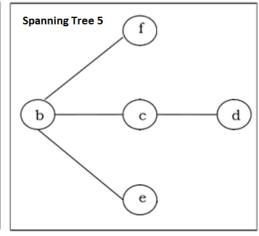












### **Spanning Trees**

- A connected graph G can have more than one spanning tree.
- All possible spanning trees of graph G, have the same number of edges and vertices.
- The spanning tree does not have any cycle (loops).
- Removing one edge from the spanning tree will make the graph disconnected, i.e. the spanning tree is minimally connected.
- Adding one edge to the spanning tree will create a circuit or loop, i.e. the spanning tree is maximally acyclic.

### **Minimum Cost Spanning Tree**

- A minimum spanning tree (MST) or minimum weight spanning tree for a weighted, connected and undirected graph is a spanning tree with weight less than or equal to the weight of every other spanning tree.
  - In a weighted graph, a minimum spanning tree is a spanning tree that has minimum weight than all other spanning trees of the same graph.
- The weight of a spanning tree is the sum of weights given to each edge of the spanning tree.
- In real-world situations, this weight can be measured as distance, congestion, traffic load or any arbitrary value denoted to the edges.

# **Minimum Cost Spanning Tree**

- Minimum Spanning-Tree Algorithm
  - Kruskal's Algorithm
  - Prim's Algorithm
- In Greedy method to obtain a minimum cost spanning tree, the tree is built edge by edge.
- The next edge to include is chosen according to some optimization criteria.

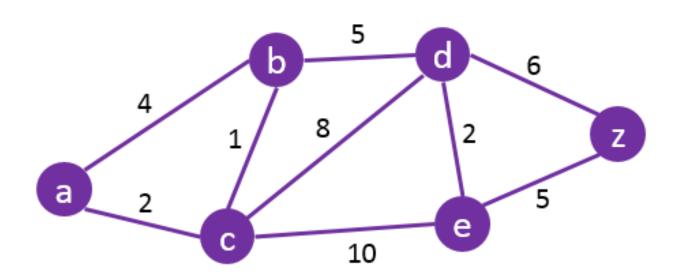
### **Kruskals Algorithm**

- We have a weighted graph and a spanning tree with minimum total weight must be created.
- Here, the edges of the graph are considered in nondecreasing order (Ascending order) of the cost.
  - First list out all edges in order of their cost
- Select each edge such that it will not form a cycle. If so, add the edge into the group spanning tree edges.
- If there are 'n' vertices, there must be 'n-1' edges. So if we the no. of edges reaches 'n-1' we can stop.
- Note: while creating the spanning tree there may be a set of trees (forest). Not necessary to have a single tree. Finally we will get a single spanning tree.

# **Kruskals Algorithm**

- 1) Sort all the edges in non-decreasing order of their weight.
- 2) Pick the smallest edge. Check if it forms a cycle with the spanning tree formed so far.
  - If cycle is not formed, include this edge.
  - Else, discard it.
- 3) Repeat step #2 until there are **V-1 edges** in the spanning tree (*V* is the no. of vertices).

## Kruskals Algorithm - Example



#### **Edges (in the order)**

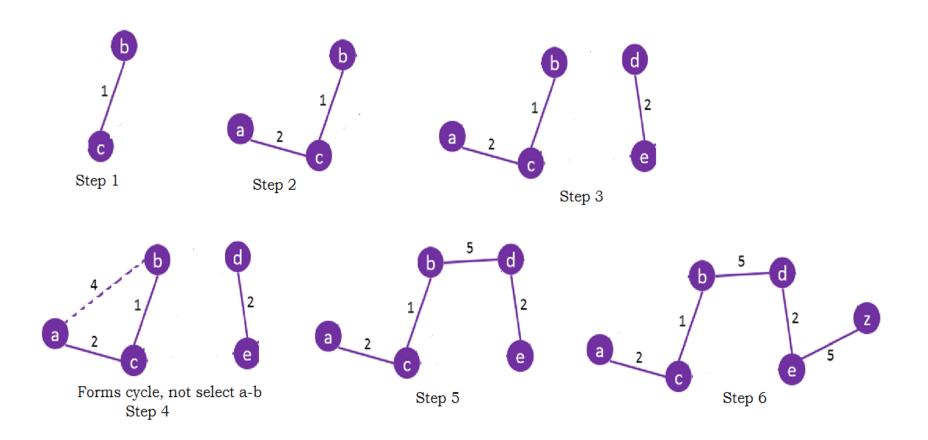
bc, ac, de, ab, bd, ez, dz, cd, ce

# Kruskals Algorithm - Example

- Edges (in the order)
- bc, ac, de, ab, bd, ez, dz, cd, ce
- 1. Take b-c
- 2. Take a-c
- 3. Take d-e
- Consider a-b forms cycle -cannot be added
- 5. Take b-d
- 6. Take e-z

There are only 6 vertices and Now we got 5 edges. we can stop. Minimum cost Spanning tree is created.

# **Kruskals Algorithm - Example**



**Minimum Cost = 15** 

### **Prims Algorithm**

- Here we will start from an arbitrary vertex. It will added to the set of spanning tree vertices. It grows until it includes all the vertices of the graph.
- Let Vt be the selected spanning tree vertices so far.
   Find the minimum edge (u,v) such that 'u' is in Vt and 'v' is not in Vt (v is in set of Vertices of Graph, set V). Then select the adge and add 'v' to Vt.
- That is Find the shortest edge from already created spanning tree, which does not create a cycle, and add it to the set of spanning tree vertices

### **Prims Algorithm**

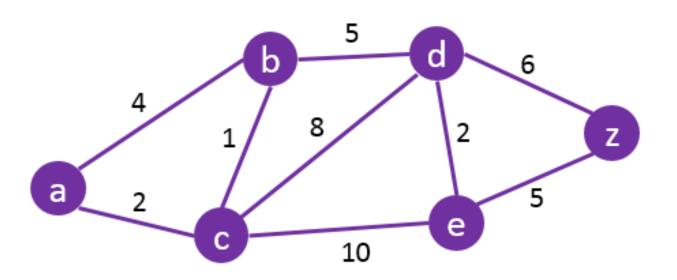
- It will be continued until we include all the vertices of Graph in to Vt.
- Note: Unlike Kruskals algorithm, here in each stage we will get a single tree
- Algorithm is given below.
- There is a graph G(V,E) where V is the set of Vertices and E is the set of Edges
- Find a minimum spanning tree G'(Vt,Et) where Vt is the set vertices and Et is the set of Edges in Spanning Tree.
- Finally Vt will become V (Include all vertices), Et will be subset of E (not necessary to have all edges of E)

### **Prims Algorithm**

- Let there be a weighted connected graph G=(V,E)
- Create a Min spanning Tree T=(Vt,Et)

```
1) Vt = \{V_0\} // we start from V_0, So V_t will be V_0 only
```

- 2) Et =  $\phi$  // there will not be any edges Et will be empty
- 3) Mincost = 0
- 4) For i=1 to |V|-1 do |V| is no. of vertices
- 5) {
  - Find a minimum weight edge e=(u,v) among all edges, such that u is in Vt and v is in (V-Vt)
  - Vt = Vt U {v}
  - Et = Et U {e}
  - Mincost = Mincost + Cost (u,v)
- 6) }
- 7) Return Et



Start from vertex 'a'

Now 
$$Vt = \{a\}$$
  $Et=\{\}$ 

1. Consider Edges from 'a' and take the minimum

```
So, a-c is taken Vt= {a,c} Et={ac}
```

2. Consider edges from 'a' and 'c' & find the minimum a-b,c-b,c-d,c-e

```
So, c-b is taken Vt={a,c,b} Et={ac,cb}
```

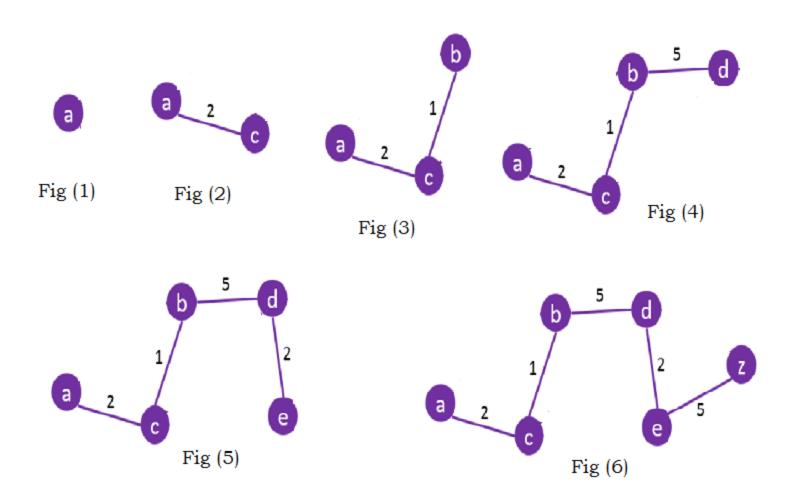
3. Consider edges from the nodes in set Vt and Find the minimum (a-b, b-d, c-d, c-e)

Actually a-b is minimum. Already a and b are in Vt, means it forms cycle. So cannot include

So, take b-d 
$$Vt = \{a,c,b,d\}$$
  $Et=\{ac,cb,bd\}$ 

- 4. Consider edges from the nodes in set Vt and Find the minimum (c-d,c-e,d-e,d-z)
  - Here, d-e is taken Vt={a,c,b,d,e} Et={ac,cb,bd,d-e}
- 5. Consider the next minimum edge from vertices in set Vt So e-z is taken Vt = {a,c,b,d,e,z} Et={ac,cb,bd,d-e,e-z}

Now we included all the 6 vertces and 5 edges. So the minimum spanning tree is created



**Minimum Cost = 15**