

Risk Groups Systems for Penile Cancer Management: A Study of 203 Patients with Invasive Squamous Cell Carcinoma

Alcides Chaux, M.D.^{1,2}

Abstract

Objetives: To evaluate the accuracy of previously published risk groups systems for predicting inguinal nodal metastases in patients with penile carcinoma.

Methods: 203 cases of invasive penile squamous cell carcinomas were stratified using the following systems: Solsona *et al* (J Urol 2001;165:1509), Hungerhuber *et al* (Urology 2006;68:621), and the proposed by the European Association of Urology (Eur Urol 2004;46:1). Receiver-operator characteristic (ROC) analysis was carried out to compare accuracy in predicting final nodal status and cancer-related death.

Results: Most of cases were pT2/pT3 high-grade tumors with a small percentage of low grade pT1 carcinomas. The metastatic rates for the Solsona *et al*, EUA, and Hungerhuber *et al* systems in the high risk category were 15/73 (21%), 16/103 (16%), and 10/35 (29%) in patients with clinically negative inguinal lymph nodes and 52/75 (69%), 55/93 (59%), and 34/47 (72%) in patients with palpable inguinal lymph nodes, respectively. Performance by ROC analysis showed a low accuracy for all stratification systems although the Solsona *et al* and the Hungerhuber *et al* systems performed better than the EAU system. Patients in intermediate risk categories and with clinically palpable inguinal lymph nodes were more likely to have nodal metastasis than patients with clinically negative lymph nodes in the same category.

Conclusions: These stratification systems may be useful for patients with low-grade superficial tumors and less accurate for evaluating patients with high-grade locally-advanced penile carcinomas. These data may be useful for therapeutic planning of patients with penile squamous cell carcinomas.

Keywords: penile squamous cell carcinoma; prognostic factors; risk groups system; prognosis; inguinal metastasis.

Introduction

Regional nodal metastasis is the most important adverse prognostic factor defining outcome in penile squamous cell carcinoma (SCC) [1, 2]. However, about one-half of patients who receive an inguinal lymphadenectomy as part of penile cancer treatment develop significant complications [3]. On this regard, several non-invasive and minimally invasive diagnostic approaches have been attempted to better define which group of patients will benefit the most from a groin dissection [4, 5, 6]. Nonetheless, there is neither general consensus nor uniform results regarding formal indications or most suitable surgical techniques.

Thus, the ideal management of regional lymph nodes in penile cancer remains controversial.

Aimed at predicting the likelihood of nodal metastasis several pathologically-based risk groups systems have been constructed and evaluated [7, 8, 9, 10]. These predictive tools seek to offer clinically-significant guidance in the decision of whether to perform or not an inguinal lymphadenectomy. They combine the prognostic value of histological grade and depth of tumor infiltration, both considered among the most useful parameters to predict nodal metastasis [2, 11, 12, 13, 14]. The aim of this study was to comparatively assess the performance of the proposed systems in predicting inguinal metastasis

Author Affiliation: ¹Department of Scientific Research, Norte University, Paraguay; ²Centro para el Desarrollo de la Investigación Científica, Asunción, Paraguay.

Corresponding Author: Alcides Chaux, M.D. Department of Scientific Research, Norte University, Gral. Santos e/ 25 de Mayo, Asunción, Paraguay. Office: +595 (021) 203-108, ext. 142. Email: alcideschaux@uninorte.edu.py

Table 1: Distribution of tumors by pT stage and histologic grade in previously reported and current series

	Solsona <i>et al</i>	Hungerhuber <i>et al</i>	Hegarty <i>et al</i>	Current series
No. Cases	37	56	100	203
pT stage				
Tis/T1	18 (49%)	30 (54%)	28 (28%)	8 (4%)
T2	16 (43%)	18 (32%)	33 (33%)	107 (53%)
T3	3 (8%)	8 (14%)	39 (39%)	88 (43%)
Histologic grade				
Grade 1	20 (54%)	10 (18%)	39 (44%)	52 (26%)
Grade 2	13 (35%)	27 (48%)	35 (40%)	69 (34%)
Grade 3	4 (11%)	19 (34%)	14 (16%)	82 (40%)

and defining discrete survival groups.

Material & Methods

Cohort of Patients

Patients were selected from a previously published series of 333 patients with invasive penile squamous cell carcinoma [15]. This dataset is publicly available at <http://dx.doi.org/10.6084/m9.figshare.1290997>. Only patients with total or partial penectomy were included. Cases with missing values in the variables *histologic grade* and *pT stage* were excluded. Patients who were lost at follow-up were also excluded. The final count of selected cases for data analysis was 203.

Histopathological Evaluation and Staging

Deepest anatomical extension of the tumor was microscopically confirmed. Histological grades were assigned according to previously reported and validated criteria [11, 14]. Clinical and pathological staging were carried out using the latest TNM classification system [16].

Follow-Up

Patients were followed-up from 0.8 to 433.9 months (mean, 108.1 months; median, 86.8 months). Two endpoints were evaluated during follow-up:

1. **Tumor relapse:** tumor relapse included the development of local relapse (i.e., tumor on stump), regional relapse (i.e., metastases in regional lymph nodes), or systemic relapse (i.e., metastases in systemic lymph nodes, visceral metastases) during follow-up.

2. **Outcome:** the possible categories of outcome included alive without disease, alive with disease, died of other causes, and died of cancer.

Final Lymph Nodes Status

The final nodal status was considered positive if pathologically-proven nodal metastases were observed (in those cases with inguinal lymphadenectomy), if regional relapse appeared during follow-up, or if the outcome was unfavorable (i.e., alive with disease or death by cancer), and negative otherwise.

Risk Groups

Risk groups were built using previously reported criteria and consisted of a combination of histological grade and tumor extension [7, 8, 9].

Statistical analyses

Bivariate analyses were carried out using Fisher’s exact test. Survival curves were generated using the Kaplan-Meier method and compared with the log-rank (Mantel-Cox) test. Each stratification system was confronted with the others to evaluate its diagnostic accuracy using Receiver-Operator Characteristic (ROC) curves. The area under the ROC curve (AUC) and the 95% confidence interval were reported. Criteria for classifying the accuracy of ROC curves were those defined by Collinson [17]. The Mann-Whitney test was used to evaluate if ROC curves were significantly different from $AUC = 0.5$. In all cases a 2-tailed $P < 0.05$ was required for statistical significance. Data were analyzed and plots were generated using R version 3.1.1 “Sock it to Me” [18]. ROC curves analysis was carried out using the **pROC** package [19]. The dataset and R scripts used for data analysis, as well as additional results (including the

Table 2: Final nodal status according to risk group stratification systems

Risk Group System	Previously Reported	cN0 108	cN+ 95	All Patients 203
Solsona <i>et al</i> system				
High risk	10/12 (83%)	15/73 (21%)	52/75 (69%)	67/148 (45%)
Intermediate risk	4/12 (33%)	1/32 (3%)	3/18 (17%)	4/50 (8%)
Low risk	0/13 (0%)	0/3 (0%)	0/2 (0%)	0/5 (0%)
EAU system				
High risk	23/74 (31%)	16/103 (16%)	55/93 (59%)	71/196 (36%)
Intermediate risk	0/9 (0%)	0/2 (0%)	0/0	0/2 (0%)
Low risk	0/17 (0%)	0/3 (0%)	0/2 (0%)	0/5 (0%)
Hungerhuber <i>et al</i> system				
High risk	3/4 (75%)	10/35 (29%)	34/47 (72%)	44/82 (54%)
Intermediate risk	2/7 (29%)	6/68 (9%)	21/46 (46%)	27/114 (24%)
Low risk	1/13 (8%)	0/5 (0%)	0/2 (0%)	0/7 (0%)

full analysis of the dataset), are freely available at github.com/alcideschaux/Penis-RiskGroups.

Results

Distribution of tumors by T stage and histological grade in previously reported and current cohort is shown in Table 1.

Final Lymph Nodes Status

Distribution according to cN stage was as it follows: 108 patients (53%) were cN0, 31 (15%) were cN1, 59 (29%) were cN2, and 5 (2%) were cN3. The final nodal status was positive in 71 (35%) of all cases. Regarding histologic grade, the final nodal status was positive in 4/52 (8%) of grade 1 tumors, 23/69 (33%) of grade 2 tumors, and 44/82 (54%) of grade 3 tumors. The association between histologic grade and final nodal status was statistically significant (Fisher’s exact $P = 7.6e - 08$).

Regarding pT stage, the final nodal status was positive in 0/8 (0%) of pT1 tumors, 31/107 (29%) of pT2 tumors, and 40/88 (45%) of pT 3 tumors. The association between pT stage and final nodal status was statistically significant (Fisher’s exact $P = 0.0044$). Table 2 summarizes the final nodal status by risk group systems and cN status.

Solsona *et al* system: 148 (73%) of patients were located in the high risk category, 50 (25%) in the intermediate category, and 5 (2%) in the low risk category. In the high risk and intermediate risk categories metastatic rates were higher in cN+ patients when compared with cN0 patients. No metastasis were found in the low risk categories, in both cN0 and

cN+ patients. Compared to the previously reported rates ours were lower in the high and intermediate risk categories. The association between final nodal status and risk groups was statistically significant (Fisher’s exact $P = 2.4e - 07$) when both cN0 and cN+ patients were taken into account. This association remained significant for cN+ patients (Fisher’s exact $P = 3.2e - 05$) but not for cN0 patients (Fisher’s exact $P = 0.055$).

EAU system: 196 (97%) of patients were located in the high risk category, 2 (1%) in the intermediate category, and 5 (2%) in the low risk category. The metastatic rate in the high risk category was higher in cN+ patients when compared with cN0 patients. All the patients in the intermediate category were cN0 and none presented nodal metastasis. No metastasis were found in the low risk categories, in both cN0 and cN+ patients. The association between final nodal status and risk groups was not statistically significant for both cN0 and cN+ patients (Fisher’s exact $P = 0.21$), cN0 patients alone (Fisher’s exact $P = 1$), or cN+ patients alone (Fisher’s exact $P = 0.17$).

Hungerhuber *et al* system: 82 (40%) of patients were located in the high risk category, 114 (56%) in the intermediate category, and 7 (3%) in the low risk category. In the high risk and intermediate risk categories metastatic rates were higher in cN+ patients when compared with cN0 patients. No metastasis were found in the low risk categories, in both cN0 and cN+ patients. Compared to the previously reported rates ours were lower in all the categories, except for cN+ in the intermediate category. The association between final nodal status and risk groups was statistically significant (Fisher’s

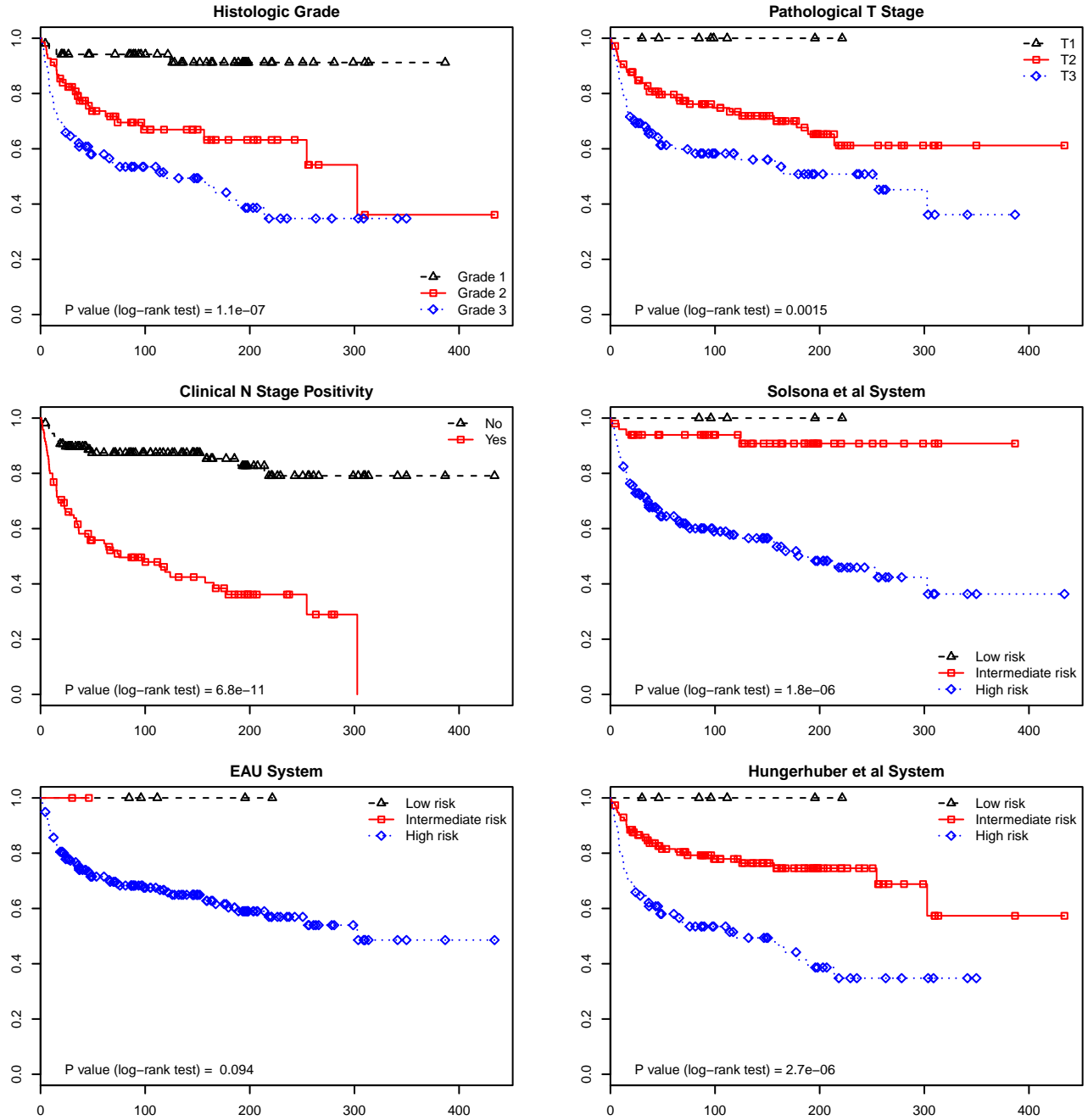


Figure 1: Survival curves for final nodal status according to clinicopathologic features and risk group systems. Survival curves were significantly different for all variables except for the EAU system. Follow-up in months is depicted in the x-axes, while the y-axes depict survival functions.

exact $P = 7e - 06$) when both cN0 and cN+ patients were taken into account. This association remained significant for cN+ patients (Fisher’s exact $P = 0.0047$) and for cN0 patients (Fisher’s exact $P = 0.028$).

Survival Analysis

Figures 1 and 2 show the survival curves for final nodal status and cancer-related death considering histologic grade, pT stage, cN stage, and the evaluated risk group systems. For final nodal status survival curves were significantly different for histologic grade, pT stage, cN positivity, and the Solsona *et al* and Hungerhuber *et al* systems. Among the risk group systems the Hungerhuber *et al* system offered a better separation between survival curves (see Figure 1). A similar pattern was observed for cancer-related death considering clinicopathologic features and risk group systems, although P values were slightly larger (see Figure 2).

ROC Curves Analysis

Performance by ROC curves analysis showed a low accuracy for predicting final nodal status, although the Solsona *et al* (AUC = 0.67; 95% CI = 0.62, 0.72; Mann-Whitney’s P value = $4.6e-07$) and the Hungerhuber *et al* (AUC = 0.68; 95% CI = 0.61, 0.74; Mann-Whitney’s P value = $2.1e-06$) systems performed better than the EAU (AUC = 0.53; 95% CI = 0.51, 0.55; Mann-Whitney’s P value = 0.049) system.

Similar trends were observed for predicting cancer related death, with low accuracy for the Solsona *et al* (AUC = 0.65; 95% CI = 0.6, 0.69; Mann-Whitney’s P value = 0.00054), Hungerhuber *et al* (AUC = 0.67; 95% CI = 0.59, 0.76; Mann-Whitney’s P value = 0.00032), and the EAU (AUC = 0.52; 95% CI = 0.51, 0.54; Mann-Whitney’s P value = 0.23) systems. In this setting, the Solsona *et al* and the Hungerhuber *et al* systems performed better than the EAU system.

AUC plots for final nodal status and cancer-related death are included as supplementary data in the aforementioned repository at <https://github.com/alcideschaux/Penis-RiskGroups>.

Comment

In this study we evaluated the performance of 3 risk groups systems built with the aim of predicting lymph node metastases and offering guidance in the clinical management of patients with penile cancer.

Using a previously published dataset we found that the evaluated systems had a low accuracy, in spite of being significantly associated with the considered outcomes (i.e., final nodal status and cancer-related death). We also found different metastatic rates depending on the cN stage of the patients, suggesting that the clinical status of the inguinal lymph nodes should also be taken into account for adequate management.

Groin dissection has proved to be an efficient method for controlling systemic dissemination of penile SCC, especially if done early in the course of the disease [9, 20]. Nevertheless, criteria for deciding which patients should receive this procedure are not well established. As a first empirical approach risk groups could be built using clinicopathological features that have proven to be strongly associated with the presence of nodal metastases. However, almost every pathological feature has been proposed as having more or less impact in the metastatic rate of penile SCC [1, 21]. Moreover, morphological criteria for diagnosis are variable among pathologists and groups of patients in which they are evaluated are not always homogeneous. This, added to inherent biological, etiological, and perhaps geographical differences among different subtypes of penile SCC could explain the poor external validation. In addition, some features are not entirely independent from others or could even act as confounding variables. For instance, high grade tumors, such as basaloid, sarcomatoid and grade 3 usual-type SCC, tend to infiltrate deeper anatomical levels and are associated with a high mortality rate [15, 22, 21]. However, low-grade deeply infiltrating tumors such as carcinoma cuniculatum and carcinomas with verrucous features are associated with a very low or even null rate of nodal involvement [15, 21, 23]. Histological grade seems to be more important than depth of invasion in these paradoxical tumors, a topic that merits further evaluation.

All evaluated systems use histological grade and tumor anatomical extension to define risk categories. Some of them give more weight to histological grade [9], some to anatomical extension [7], and others combine both factors giving equal importance to each [8]. The selection of histological grade as a prognostic factor seems to be more than justified, given the strong association observed among increasing grades and higher metastatic and mortality rates, as found here and in other studies [12, 14]. Nonetheless, a substantial interobserver variability has been reported when assigning histological grades to a tumor [24]. In the

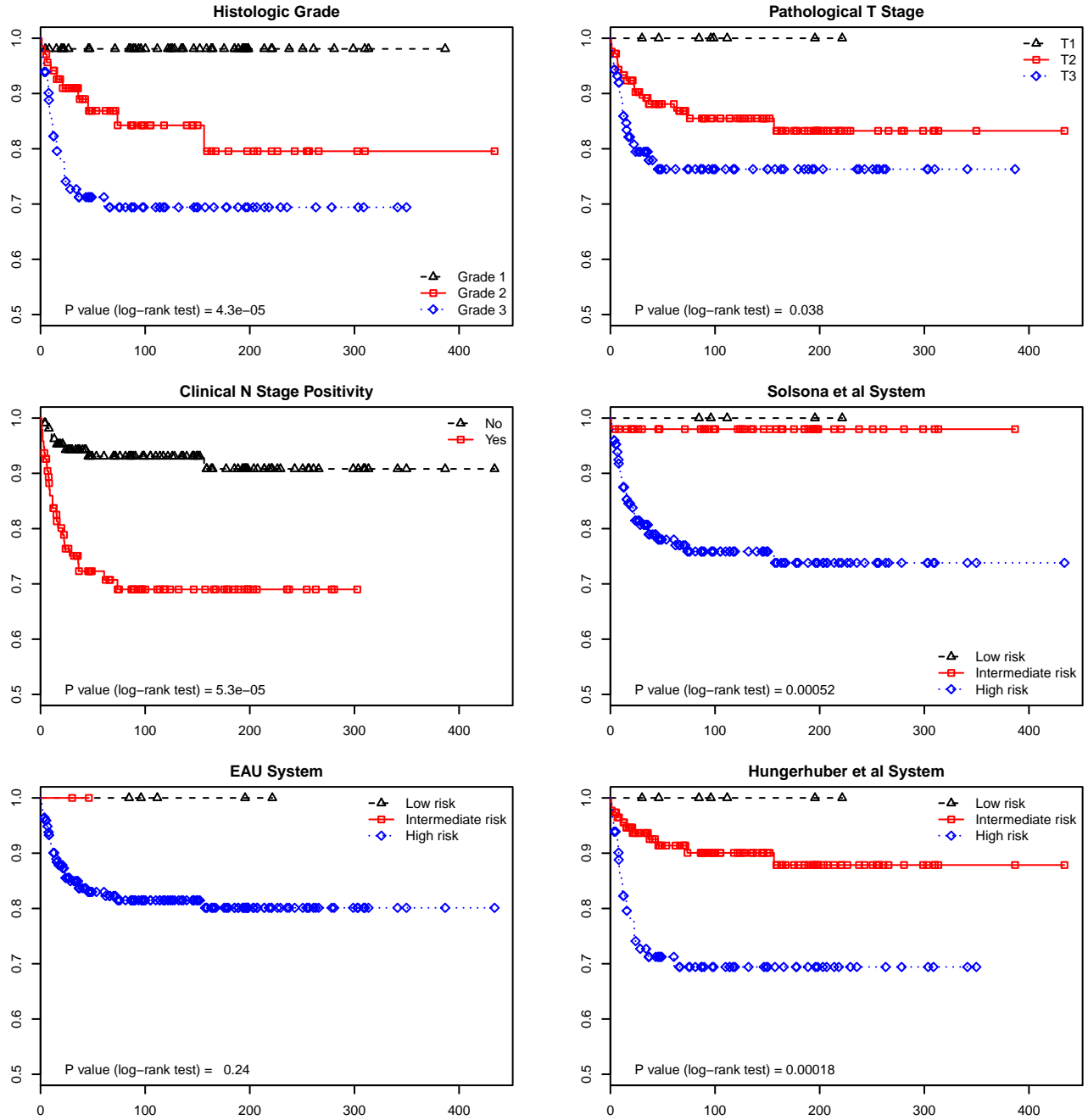


Figure 2: Survival curves for final nodal status according to clinicopathologic features and risk group systems. Survival curves were significantly different for all variables except for the EAU system. Follow-up in months is depicted in the x-axes, while the y-axes depict survival functions.

grading system used in the present study [14] morphological criteria were strictly defined and emphasis was given to both ends of the differentiation spectrum, aiming to minimize variation among observers. Although we consider this approach would reduce subjectivity the external validation of the aforementioned grading system regarding interobserver and intraobserver agreement is still pending.

On the other hand, the current TNM system may not be adequate in some categories and could explain in part the low accuracy of the evaluated systems in terms of ROC curves analysis. Indeed, this system, which lumps invasion of corpus spongiosum and corpus cavernosum as a single pT2 category and considers urethral invasion as pT3, has received strong criticism [25, 26]. On this regard, Leijte *et al* have previously demonstrated the poor accuracy of the TNM system [26]. These authors propose a modification of the system in which invasion of corpus spongiosum is regarded as T2, invasion of corpus cavernosum as T3 and urethral invasion is no longer considered as an adverse prognostic factor. The use of this modified TNM system yields a higher accuracy compared with the AJCC/UICC TNM standard system. Unfortunately the currently-in-use TNM system for penile cancer still considers invasion of erectile tissues as pT2 and regards invasion of distal urethra as pT3 [16].

It is noteworthy the marked differences between the previously reported and currently found metastatic rates in cN0 patients using the Solsona *et al* and Hungerhuber *et al* systems. This finding could be explained by differences in pT stage. In both previous studies tumors with low pT stage predominate with about one-half of cases in Tis/T1 stage while tumors limited to lamina propria were infrequent in our series. This may indicate that in patients from geographical areas of low incidence penile tumors tend to be found in low pT stages whereas in areas of high incidence tumors are more locally advanced and consequently present a higher pT stage. Furthermore, the previously reported successfulness of the aforementioned systems could be biased by the fact that tumors were of low pT stage and the purportedly inherent failures of the TNM system were not apparent at this level. These shortcomings would manifest when tumors invading deep erectile tissues are considered, as in our current study. Perhaps these stratification systems are not appropriate to evaluate tumors with higher pT stage and other approaches are necessary. Another source of disparity can be found in histological grading. In none of the evaluated systems

morphological criteria for grading were described in detail. Using strict and validated morphological criteria [14] we found that most of our tumors were high grade. This is consistent with the higher pT stage of our tumors, since there is a strong association of high histological grade and depth of invasion [15].

The results of the current study are in agreement with those reported by Novarra *et al* who evaluated the performance of the Solsona *et al* and the EAU systems [27]. They found that both systems had low predictive accuracy. Our analysis also shows that the Solsona *et al* and the Hungerhuber *et al* systems are similar in terms of accuracy while the EAU system showed the poorest performance in terms of predictive accuracy. ROC curves analysis indicates that maybe the latter system is not appropriate for predicting nodal involvement, at least in our cases. Again, these results can be best explained by the extreme importance given to the pT stage for defining EAU risk groups. Nonetheless, the use of risk groups systems should be encouraged since it allows the identification of patients at high-risk for nodal involvement.

In addition, the decision of whether to perform or not a groin dissection should also consider the clinical stage of the disease. In patients with clinically negative lymph nodes the “dynamic sentinel lymph node biopsy” (DSLNB) procedure has proven useful but it has low accuracy when metastatic disease is clinically suspected [5, 20, 28, 29]. Our results also show that cN staging may provide useful information to complement risk groups systems, especially when patients in intermediate risk categories are considered. Indeed, and putting aside the EAU system, cN+ patients in intermediate categories were more likely to present nodal metastasis than cN0 patients in the same group. Perhaps when access to DSLNB or frozen sections are not available clinical staging of inguinal lymph nodes may provide a quick and inexpensive way to better allocate patients in intermediate categories. Although our data suggest that patients in low risk groups may be managed by surveillance alone and that no groin dissection is necessary, the small number of cases we have in these categories preclude more solid conclusions.

There are some limitations with the conclusions that may be drawn from our results. First, we used a retrospectively-collected series of cases and evaluation of the pT stage was done using only pathological reports and slides examination. This may have some impact in the proper staging of the disease. Second, the histological grading process was not uni-

form among previously reported series and we are not sure if our categories are comparable, and to what extent, with the ones assigned by the other authors. Both aforementioned shortcomings may have created discordances between the assignation of a particular case to one or another category depending on the criteria used either by the authors of the previous studies or by ourselves. Third, not all patients with penile cancer that were diagnosed, treated and followed-up could be included in the current study due to lack of pathological data regarding gross findings, adequate tissue sampling or proper follow-up in some of them. This may also have somehow biased our results. Notwithstanding these limitations, our study suggests that for deeply infiltrating penile carcinomas TNM-based stratification systems may not be appropriate and other clinicopathological variables should be taken into account.

Conclusions

Penile SCC from patients living in a geographical area of high penile cancer incidence seems to be of higher histological grade and pT stage when compared with patients in low incidence regions. The overall metastatic rates found in high risk categories were lower than those reported in previous studies, either in cN0 or cN+ patients. We hypothesize that this may be related to the use of the TNM system for defining risk groups. Although in ROC curves analysis all of them rated as low accurate methods for predicting regional involvement they were useful in high risk cN+ patients. In addition, cN+ patients in intermediate categories are more likely to present nodal metastasis than those cN0 patients in the same category. Our findings suggest that these risk group systems may be appropriate for patients with low-grade superficial tumors and less useful for evaluating patients with high-grade locally-advanced penile carcinomas, although more studies are necessary to confirm these results.

Conflict of Interest

The author declare no conflict of interest.

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