

Lecture Summary

Regular Expressions, NFA, and DFA

(Compiler Design – Lexical Analysis)

1 Regular Language

A **regular language** is a class of languages that can be described **equivalently** by:

Regular Expressions (RE)

Nondeterministic Finite Automata (NFA)

Deterministic Finite Automata (DFA)

Key Theoretical Facts:

- . There exists an algorithm to convert **any Regular Expression (RE)** into an **NFA**.
- . There exists an algorithm to convert **any NFA** into a **DFA**.
- . There exists an algorithm to convert **any DFA** into a **Regular Expression (RE)**.

✓ Conclusion:

All three formalisms have **equivalent expressive power**.

$$\text{RE} = \text{NFA} = \text{DFA}$$

This equivalence defines the class of **Regular Languages**.

2 Deterministic Finite Automata (DFA)

Definition:

A **Deterministic Finite Automaton (DFA)** is a finite machine in which:

For each state and each input symbol, there is **exactly one transition** to a next state.

Why “Deterministic”?

The computation is **unique**.

Each input symbol leads to **one and only one state**.

No ϵ (empty string) transitions are allowed.

Important Notes:

A pattern can be represented by **many different DFAs**.

The **minimal DFA** (with the smallest number of states) is preferred.

3 Formal Definition of a DFA

A DFA is formally defined as a **5-tuple**:

$$(Q, \Sigma, \delta, q_0, F)$$

Where:

Q → Finite set of states

Σ → Finite input alphabet

δ → Transition function

$$\delta : Q \times \Sigma \rightarrow Q$$

q₀ → Initial state ($q_0 \in Q$)

F → Set of final (accepting) states, $F \subseteq Q$

4 Graphical Representation of DFA

A DFA is represented using a **state diagram** (directed graph):

Vertices → States

Directed edges (arcs) → Transitions labeled with input symbols

Initial state → Indicated by an arrow with no source

Final states → Indicated by **double circles**

5 How Does a DFA Work?

- . The DFA starts at the **initial state q_0** .
- . It reads the input string **symbol by symbol**.
- . Transitions occur according to the transition function δ .
- . After the entire input is read:
 - If the DFA ends in a **final state**, the string is **accepted**.
 - If it ends in a **non-final state**, the string is **rejected**.

Language of a DFA:

The **language of a DFA** is the set of all strings accepted by that DFA.

6 DFA and NFA Relationship

DFA and NFA have the **same expressive power**.

Every **NFA can be converted into an equivalent DFA**.

Both DFA and NFA may have **multiple final states**.

NFA is mainly **theoretical**.

DFA is used in **lexical analysis** in compilers.

State Explosion:

If an NFA has **N states**, the equivalent DFA can have up to:

$$2^N \text{ states}$$

7 DFA vs NFA (NDFA)

DFA	NFA
One transition per input symbol	Multiple transitions per input symbol
No ϵ -transitions	ϵ -transitions allowed
Deterministic behavior	Nondeterministic behavior
Requires more space	Requires less space
A string is accepted if it reaches a final state	Accepted if at least one path reaches a final state
Harder to construct	Easier to construct
Practical implementation feasible	Must be converted to DFA for implementation

8 Regular Expressions (RE)

Definition:

Regular expressions are strings over:

$$\Sigma \cup \{(,), |, *\}$$

They describe **patterns** for regular languages.

Basic Regular Expressions:

\emptyset → Regular expression for the **empty set**

ϵ → Regular expression denoting the set $\{\epsilon\}$

a → Regular expression denoting $\{a\}$, for any $a \in \Sigma$

9 Converting Regular Expressions to NFAs

General Idea:

To convert a Regular Expression to an NFA, we use a **bottom-up construction** that:

Mimics the structure of the regular expression

Produces an NFA with:

One start state

One final state

Algorithm 1 (Bottom-Up Construction):

Recursively builds a finite state automaton (FSA)

Suitable for **machines**

Considered **bad for humans** (complex manually)

10 RE to NFA Constructions

◆ Union ($P \mid Q$)

If:

P has NFA N_p

Q has NFA N_q

Construction:

Add a new start state

ϵ -transitions to the start states of N_p and N_q

ϵ -transitions from final states of N_p and N_q to a new final state

◆ Concatenation (PQ)

Construction:

Connect the final state of N_p to the start state of N_q using an ϵ -transition

Start state = start of N_p

Final state = final of N_q

◆ Closure (Kleene Star) (Q^*)

Construction:

Add a new start state and a new final state

ϵ -transition from new start to:

old start

new final

ϵ -transition from old final to:

old start (loop)

new final

This allows:

Zero or more repetitions of Q

Final Takeaway (Very Important for Exams)

RE, NFA, and DFA are equivalent

They all describe **regular languages**

NFA simplifies construction

DFA enables implementation

Regular Expressions describe patterns

Lexical analyzers in compilers rely on DFA

RE = NFA = DFA