

Tree-based sorting

AP

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Concept check: Sorting

input: a sequence of integers

output: a reorganisation such that each element will be less than or equal the next

$a = [5, 0, 2, 11, 18, 11, 6, 36]$

$a.sorted() = [0, 2, 5, 6, 11, 11, 18, 36]$

“easy to check, not so easy to establish”

Q: sorting might in fact destroy some information. What might it be?

-
- min, max and median are available in constant time: $a[0]$, $a[n-1]$ and $a[\frac{n}{2}]$, respectively.
 - membership can be checked with $\log_2 n$ comparisons at most
 - *stability*: multiple copies of the same number should keep their original ordering

$a = [5, 0, 2, 11', 18, 11'', 6, 36]$

$a.sorted() = [0, 2, 5, 6, 11', 11'', 18, 36]$

Concept check: sorting in Java

```
import java.util.Arrays;

int[] MyArray = { 5, 0, 2, 11, 18, 11, 6, 36 };

Arrays.sort(MyArray);

System.out.println(Arrays.toString(MyArray));
```



CC: build your arrays class

```
public class MyArray {  
    private int[] arrayData; // Internal array to store elements  
    private int size; // Number of actual elements in the array  
  
    // Constructor to initialize the internal array  
    public MyArray(int capacity) {  
        arrayData = new int[capacity];  
        size = 0;  
    }  
}
```

See the [class file](#) from last week

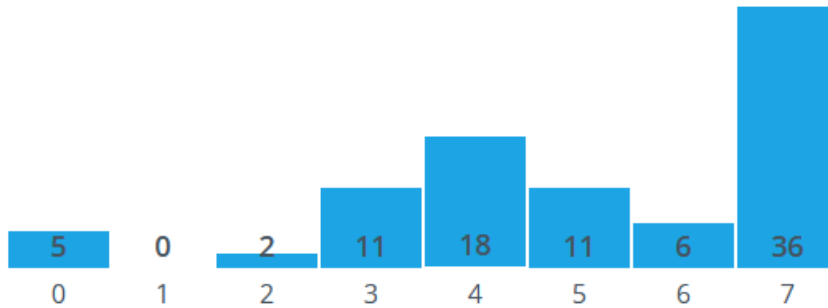
Sorting by pairwise comparison

```
// Method to sort the array  
public void sort() {  
    // Simple implementation of the Bubble Sort algorithm  
    for (int i = 0; i < size - 1; i++) {  
        for (int j = 0; j < size - i - 1; j++) {  
            if (arrayData[j] > arrayData[j + 1]) {  
                // Swap arrayData[j] and arrayData[j+1]  
            }  
        }  
    }  
}
```

```

        int temp = arrayData[j];
        arrayData[j] = arrayData[j + 1];
        arrayData[j + 1] = temp;
    }
}

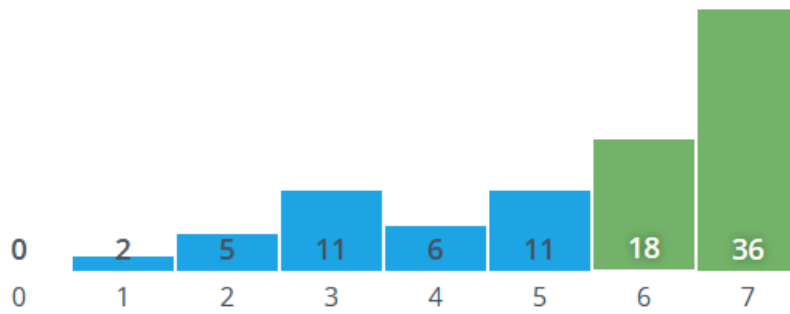
```



```

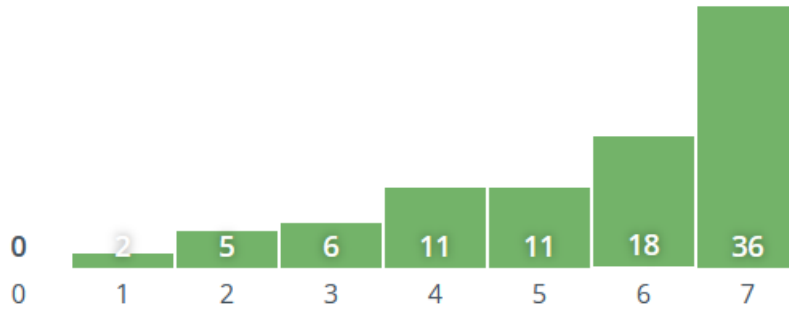
// Method to sort the array
public void sort() {
    // Simple implementation of the Bubble Sort algorithm
    for (int i = 0; i < size - 1; i++) {
        for (int j = 0; j < size - i - 1; j++) {
            if (arrayData[j] > arrayData[j + 1]) {
                // Swap arrayData[j] and arrayData[j+1]
                int temp = arrayData[j];
                arrayData[j] = arrayData[j + 1];
                arrayData[j + 1] = temp;
            }
        }
    }
}

```



- values in green are in their final position
- their array index corresponds to their ranking: the number of less-than-or-equal elements.
- *all blue elements have been seen already and we have ideas about where they will likely end up...*

```
// Method to sort the array
public void sort() {
    // Simple implementation of the Bubble Sort algorithm
    for (int i = 0; i < size - 1; i++) {
        for (int j = 0; j < size - i - 1; j++) {
            if (arrayData[j] > arrayData[j + 1]) {
                // Swap arrayData[j] and arrayData[j+1]
                int temp = arrayData[j];
                arrayData[j] = arrayData[j + 1];
                arrayData[j + 1] = temp;
            }
        }
    }
}
```



- only contiguous elements will ever be swapped
- all pairwise comparisons are attempted, often several times: is it really needed?
- what if the data is already half-sorted?

Sorting often takes place after an update to one or more values destroys the sorted property. So, sorting is called to re-establish the property.

$b = [0, 2, 6, 5, 11, 11, 18, 36]$

Cost analysis

- when $i=0$ the inner cycle on j executes $n-1$ times,
- then $i=1$ and the inner cycle on j executes $n-2$ times, and so on.
- all in all, the innermost code will execute about $\frac{n(n-1)}{2} \approx n^2$ times
- our BubbleSort algorithm won't scale up to web data, log analysis, machine learning etc.
- we need an algorithm that looks at data and only carries out the needed comparisons/swaps.

The tree metaphor

Idea: a data structure that stores values in a way that *represents* what is known about its *rank* in the final version of the sequence.

It will reduce unnecessary comparisons.

The new structure has visual properties that simplify algorithm design and analysis: it's everywhere in computer science.

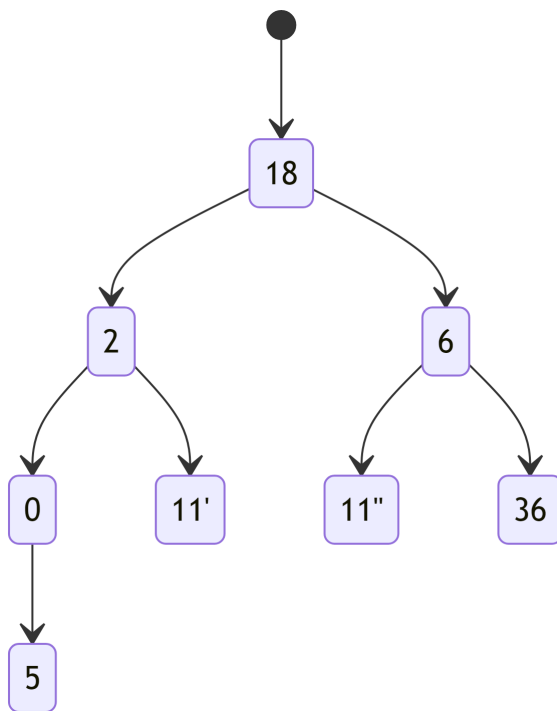
A tree

- a special *root* element which is directly accessible
- each element has access to 0..k elements, called *children*
- siblings are not connected to each other directly
- childless elements are called leaves
- the *height* of the tree is defined as the *longest* root-leaf connection.

A Binary tree

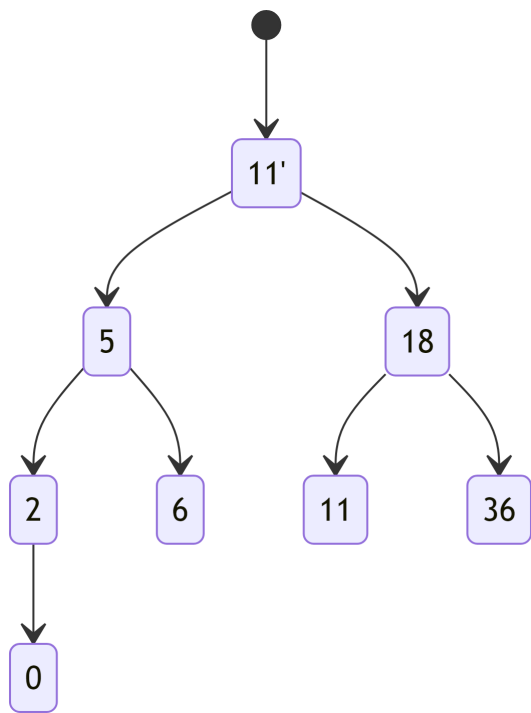
Assumption: let $k=2$.

Children elements will be *left* and *right*, resp.



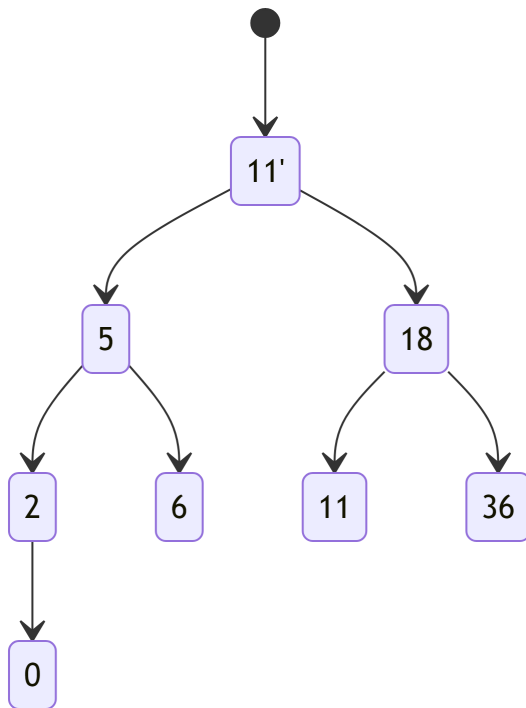
A Binary Search Tree (BST)

- left children are always less than or equal than their parent
- right children are always greater than their parent.



(here 11 stands for $11''$)

BST position is related to ranking



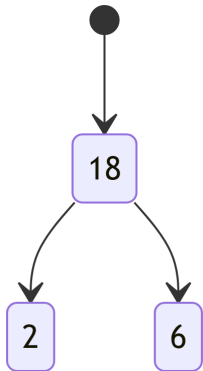
- Q: where are min, max and median elements?
- Q: Can you think of an algorithm that will print out the values in sorted fashion?

Tree as a data structure

- in arrays, each element, say $a[i]$ is 'next' to two (at most): $a[i-1]$ and $a[i+1]$
- in binary tree, $t[i]$ is 'next' to its parent, $t[\text{parent}(i)]$ and up to two children: $\text{myTree}[\text{left}(i)]$ and $t[\text{right}(i)]$.
- fact: the binary tree organization can be implemented in RAM with no extra space and minimal time overhead to compute the $\text{parent}()$, $\text{left}()$ and $\text{right}()$ functions.
- elegant functions will implement ordered trees and make sort and in general accessing the sequence quick.

BST serialization

The BST is a *view* over an array:



```
a = {18, 2, 6}
```

Assume indexing from 1 Try these functions:

```
left(i) = 2*i
```

```
right(i) = 2*i + 1
```

```
left(i) = int(i/2)
```

Build your class

```
public class MyArray {  
    private int[] arrayData; // Internal array to store elements  
    private int size; // Number of actual elements in the array  
}
```