

資料結構

DATA STRUCTURE

Chap.02 Arrays and Structures

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陣列(Array)

- 陣列(array)由一連串的索引(index)和值(value)構成
- 對每個索引index，必有一個相關聯的值value



- 如果可能的話，盡量使用連續空間的記憶體
 - 減少存取時間

Abstract Data Type *Array*

- **objects** : A set of pairs <**index**, **value**> where for each **value** of **index** there is a **value** from the set item. **Index** is a finite ordered set of one or more dimensions, for example, {0, ..., n-1} for one dimension, {(0,0),(0,1),(1,0),(1,1),(2,0),(2,1)} for two dimensions, etc.
- **Functions** : for all $A \in \text{Array}$, $i \in \text{index}$, $x \in \text{item}$, $j, \text{size} \in \text{integer}$
 - *Array Create(j, list)* ::= return an array of **j dimensions** where **list** is a **j-tuple** whose **ith element** is the **size** of the **ith** dimension. Items are undefined.
 - *Item Retrieve(A, i)* ::= **if** ($i \in \text{index}$) **return** the item associated with index value i in array A
else return error
 - *Array Store(A, i, x)* ::= **if** ($i \in \text{index}$) **return** an array that is identical to array A except the new pair $\langle i, x \rangle$ has been inserted
else return error

Arrays in C

- `int list[5], *plist[5];`
- `list[5]:` 名為list的陣列，類型為int，長度為5
- `list[0], list[1], list[2], list[3], list[4]`
- `*plist[5]:` 名為plist的陣列，類型為int pointer，長度為5
- `plist[0], plist[1], plist[2], plist[3], plist[4]`

Implementation of 1-D array'

■ <code>list[0]</code>	<code>base address = α</code>
■ <code>list[1]</code>	<code>$\alpha + \text{sizeof(int)}$</code>
■ <code>list[2]</code>	<code>$\alpha + 2*\text{sizeof(int)}$</code>
■ <code>list[3]</code>	<code>$\alpha + 3*\text{sizeof(int)}$</code>
■ <code>list[4]</code>	<code>$\alpha + 4*\text{sizeof(int)}$</code>



Arrays in C

- 在C語言中，[]和* 其實有類似的意義

- `int *list1` 和 `int list2[5]`

相同處: `list1` 和 `list2` 都是**指標(pointer)**

不同處: `list2` 保留了**五個整數的空間**

- 標記:

`list2` : a pointer to `list2[0]`

`(list2 + i)` : a pointer to `list2[i]` (`&list2[i]`)

`*(list2 + i)` : `list2[i]`

& : 取址(address)

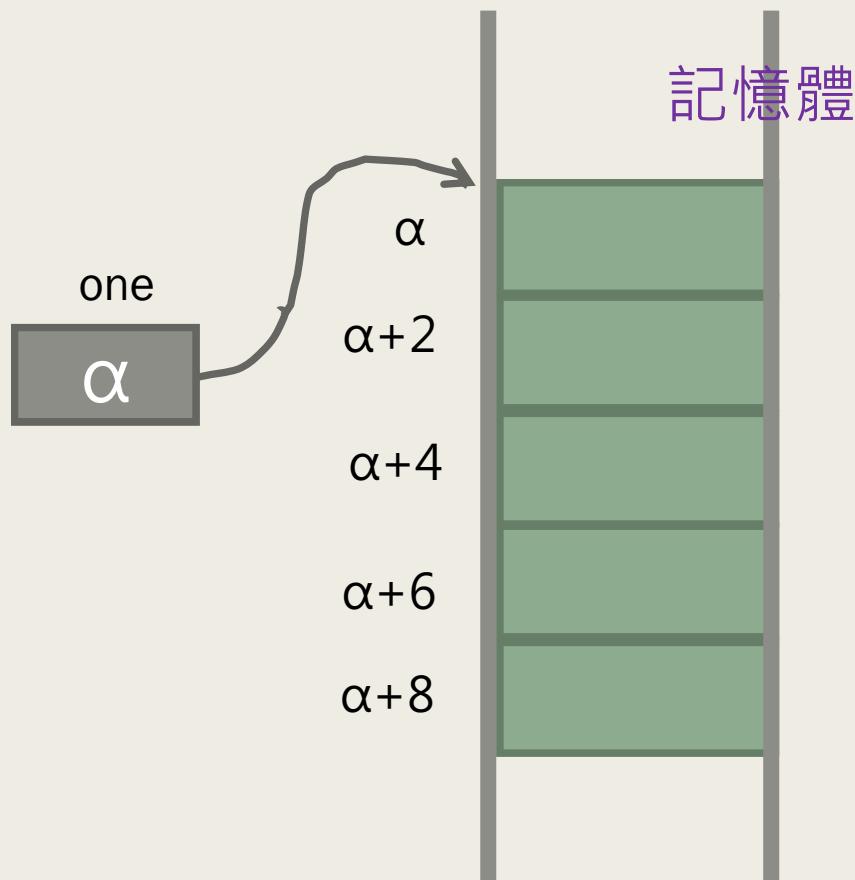
***** : 取值(value)

Example: 1-dimension array addressing

```
int one[] = {0, 1, 2, 3, 4};
```

Goal: print out address and value

```
void print1(int *ptr, int rows)
{
    /* print out a one-dimensional array using a pointer */
    int i;
    printf("Address Contents\n");
    for (i=0; i < rows; i++)
        printf("%8u%5d\n", ptr+i, *(ptr+i));
    printf("\n");
}
```



`int one[] = {0, 1, 2, 3, 4};`

Address	Contents
1228	0
1230	1
1232	2
1234	3
1236	4

Structures (records)

```
struct {  
    char name[10];  
    int age;  
    float salary;  
} person;
```

- strcpy(person.name, "james");
- person.age=10;
- person.salary=35000;

只宣告了一筆叫person的紀錄，型態為struct
無法再利用

Create structure data type

```
typedef struct human_being {  
    char name[10];  
    int age;  
    float salary;  
};
```

or

```
typedef struct {  
    char name[10];  
    int age;  
    float salary  
} human_being;
```

```
human_being person1, person2;
```

宣告了一個叫human_being的資料型態

宣告了兩筆叫person1、person2的紀錄，型態為human_being

Unions

- 類似於struct，但裡面所有資料共用記憶體空間，永遠只會有一個欄位有值(active)
- Example: Add fields for male and female.

```
typedef struct sex_type {  
    enum tag_field {female, male} sex;           ← enum : 列舉，表示可以用female/male代替0/1  
    union {  
        int children;  
        int beard;  
    } u;  
};  
typedef struct human_being {  
    char name[10];  
    int age;  
    float salary;  
    date dob;  
    sex_type sex_info;  
}
```

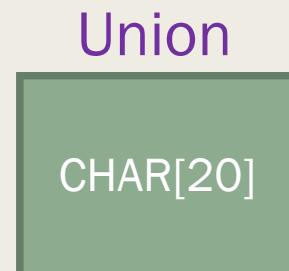
```
human_being person1, person2;  
person1.sex_info.sex=male;  
person1.sex_info.u.beard=FALSE;
```

print(person1.sex_info.u.children); ←無意義

Array/Struct/Union/Enum

- Array：連續記憶體空間，同一類型的資料
- Struct：不同類型的資料組合而成的一種自定義的資料型態，每個資料佔一個記憶體 = 同時都可以使用
- Union：不同類型的資料組合而成的一種自定義的資料型態，但多筆資料共用同一小塊記憶體 = 同時只有一個資料能用
- Enum：列舉代號讓程式更簡潔

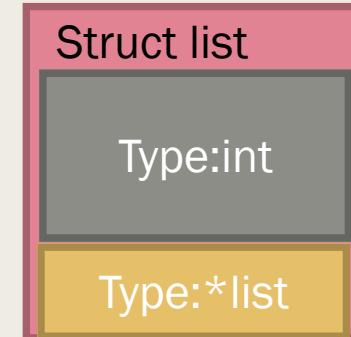
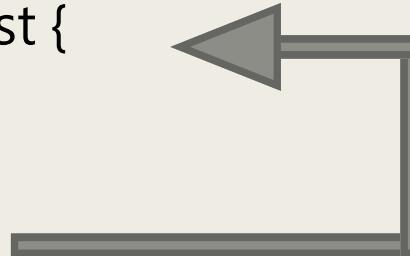
```
struct human {  
    enum{female,male} sex;  
    char[20] name;  
    int name;  
};
```



Self-Referential Structures

- 結構(struct)中包含指向自己類型的指標(pointer)

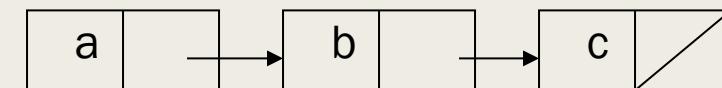
```
typedef struct list {  
    char data;  
    list *link;  
}
```



```
list item1, item2, item3;  
item1.data= 'a' ;  
item2.data= 'b' ;  
item3.data= 'c' ;  
item1.link=item2.link=item3.link=NULL;
```

Construct a list with three nodes
item1.link=&item2;
item2.link=&item3;
malloc: obtain a node

```
item1.link = &item2;  
item2.link = &item3;
```



Ordered List Examples

- 考慮某些情況下，我們需要序列：
(item1,item2,item3,...,itemN)
- (水星、金星、地球、火星、木星、土星、天王星、海王星)
- (2,3,4,5,6,7,8,9,10,J,Q,K,A)
- (2010,2011,2012,...2020)

Ordered List的常見操作

- 找出List的長度 n
- 從左到右(或是從右到左)循序讀取每一筆資料
- 摷取第 i 筆資料
- 儲存新的資料在 i 位置(修改)
- 插入新的資料在 i 位置，使得 i 以後的元素 $a_i, a_{i+1}, a_{i+2}, \dots, a_n$ 變成 $a_{i+1}, a_{i+2}, \dots, a_{n+1}$ (新增)
- 刪除某一筆位於 i 的資料，使得 i 以後的元素 $a_i, a_{i+1}, a_{i+2}, \dots, a_n$ 變成 $a_{i-1}, a_{i-2}, \dots, a_{n-1}$

Think : Array辦的到嗎？ (1) ~ (4) Yes (5)~(6) No

Recall :

- Ex: 建立一個可以儲存紀錄全班每個人成績的程式

```
int s9885123 = 90;  
int s9885124 = 88;  
int s9001245 = 79;
```

```
int sg[1000000];  
sg[9885123] = 90;  
sg[9885124] = 88;  
sg[9001245] = 79;
```

```
Student A[40];  
A[0].ID = 9885123;  
A[0].grade = 90;  
...
```

多項式表示法

- 思考：多項式表示法&加法&乘法
- $F(x) = x^{100} + x^{10} + 4x + 1$
- $G(x) = x^{35} + x^{34} + x^2 + 24$

- $F(x) + G(x) = ?$
- $F(x) * G(x) = ?$
- 怎麼用程式表示？

ADT Polynomial是

物件： $p(x) = a_1x^{e_1} + \dots + a_nx^{e_n}$; 一個成對序集 $\langle e_i, a_i \rangle$ ，其中 a_i 屬於 Coefficients · e_i 屬於 Exponents · e_i 是大於或等於零的整數

函式：

對於所有的 $poly, poly1, poly2 \in \text{Polynomial}$ · $coef \in \text{Coefficients}$ · $expon \in \text{Exponents}$

$\text{Polynomial Zero}()$	$::=$	return 多項式 · $p(x) = 0$
$\text{Boolean IsZero}(poly)$	$::=$	if($poly$) return FALSE else return TRUE
$\text{Coefficient Coef}(poly, expon)$	$::=$	if($expon \in poly$) return 它的係數 else return 0
$\text{Exponent LeadExp}(poly)$	$::=$	return $poly$ 的最高次方
$\text{Polynomial Attach}(poly, coef, expon)$	$::=$	if($expon \in poly$) return 錯誤 else return 插入 $\langle coef, expon \rangle$ 之後的多項式 $poly$
$\text{Polynomial Remove}(poly, expon)$	$::=$	if($expon \in poly$) return 刪除次方是 $expon$ 的項後的多項式 $poly$ else return 錯誤
$\text{Polynomial SingleMult}(poly, coef, expon)$	$::=$	return 多項式 $poly \cdot coef \cdot x^{expon}$
$\text{Polynomial Add}(poly1, poly2)$	$::=$	return 多項式 $poly1 + poly2$
$\text{Polynomial Mult}(poly1, poly2)$	$::=$	return 多項式 $poly1 \cdot poly2$

多項式加法

- $F(x) = x^{100} + x^{10} + 4x + 1$
- $G(x) = x^{35} + x^{34} + x^2 + 24$

- $F(x) + G(x) = ?$
- 怎麼用程式表示？

■ Polynomial Addition

- ```
/* d = a + b, where a, b, and d are polynomials */
d = Zero()
while (!IsZero(a) && !IsZero(b)) do {
 switch (COMPARE (Lead_Exp(a), Lead_Exp(b))) {
 case -1:
 d=Attach(d, Coef (b, Lead_Exp(b)), Lead_Exp(b));
 b = Remove(b, Lead_Exp(b));
 break;
 case 0: sum = Coef (a, Lead_Exp (a)) + Coef (b, Lead_Exp(b));
 if (sum) {
 Attach (d, sum, Lead_Exp(a));
 a = Remove(a , Lead_Exp(a));
 b = Remove(b , Lead_Exp(b));
 }
 break;
 case 1:
 d=Attach(d, Coef (a, Lead_Exp(a)), Lead_Exp(a));
 a = Remove(a, Lead_Exp(a));
 }
}
```

**if ( $\text{expon} \in \text{poly}$ ) return error**  
**else return the polynomial  $\text{poly}$**   
with the term  $\langle \text{coef}, \text{expon} \rangle$   
inserted

**if ( $\text{expon} \in \text{poly}$ )**  
**return the polynomial  $\text{poly}$  with**  
the term whose exponent is  
 $\text{expon}$  deleted  
**else return error**

advantage: easy implementation  
disadvantage: waste space when sparse
- insert any remaining terms of  $a$  or  $b$  into  $d$
- \*Program 2.4 :Initial version of padd function(p.62)

EX:  $A(x) = 3x^{20} + 2x^5 + 4$  and  $B(x) = x^4 + 10x^3 + 3x^2 + 1$   
 $D(x) = A(x) + B(x)$

$d = \text{Zero}(); // Let d is a Null polynomial$

```
→ while (! IsZero(a) && ! IsZero(b)) do {
 → switch (COMPARE (Lead_Exp(a), Lead_Exp(b))) {
 case -1: d =
 → Attach(d, Coef (b, Lead_Exp(b)), Lead_Exp(b));
 → b = Remove(b, Lead_Exp(b));
 → break;
 case 0: sum = Coef (a, Lead_Exp (a)) + Coef (b,
 Lead_Exp(b));
 if (sum) {
 → Attach (d, sum, Lead_Exp(a));
 → a = Remove(a , Lead_Exp(a));
 → b = Remove(b , Lead_Exp(b));
 }
 → break;
 case 1: d =
 → Attach(d, Coef (a, Lead_Exp(a)), Lead_Exp(a));
 → a = Remove(a, Lead_Exp(a));
 }
 }
}
```

A(x) = ZERO  
B(x) = ZERO

# Typedef polynomial :

- 陣列表示
- Struct 表示法1：

```
#define MAX_DEGREE 101

typedef struct {
 int degree;
 float coef[MAX_DEGREE];
} polynomial;
```

$$a.degree = n$$

$$a.coef[i] = a_{n-i}, 0 \leq i \leq n$$

缺點：這個表示法  
很浪費空間!!

# Typedef polynomial :

- Struct 表示法2：

```
typedef struct{
 float coef;
 int expon;
} polynomial;

polynomial terms[MAX_TERMS];
int avail = 0;
```

*avail* : 有用到的項的數目

*terms[i].expon* =  $p$

*terms[i].coef* =  $a_i$  for  $a_i x^p$

# Typedef polynomial :

- Struct 表示法2：

```
typedef struct{
 float coef;
 int expon;
} polynomial;

polynomial terms[MAX_TERMS];
int avail = 0;
```

*avail* : 有用到的項的數目

*terms[i].expon* =  $p$

*terms[i].coef* =  $a_i$  for  $a_i x^p$

# Typedef polynomial :

- 用單一個大陣列儲存所有的多項式

$$A(x) = 2x^{1000} + 1$$

$$B(x) = x^4 + 10x^3 + 3x^2 + 1$$

| specification representation |                 |
|------------------------------|-----------------|
| poly                         | <start, finish> |
| A                            | <0,1>           |
| B                            | <2,5>           |

storage requirements: start, finish, 2\*(finish-start+1)

|      | starta | finisha | startb | finishb | avail |   |
|------|--------|---------|--------|---------|-------|---|
| coef | 2      | 1       | 1      | 10      | 3     | 1 |
| exp  | 1000   | 0       | 4      | 3       | 2     | 0 |
|      | 0      | 1       | 2      | 3       | 4     | 5 |

**Figure 2.2: Array representation of two polynomials**

|             | <i>starta</i> | <i>finisha</i> | <i>startb</i> |    | <i>finishb</i> | <i>avail</i> |
|-------------|---------------|----------------|---------------|----|----------------|--------------|
|             | ↓             | ↓              | ↓             |    | ↓              | ↓            |
| <i>coef</i> | 2             | 1              | 1             | 10 | 3              | 1            |
| <i>exp</i>  | 1000          | 0              | 4             | 3  | 2              | 0            |
|             | 0             | 1              | 2             | 3  | 4              | 5            |
|             |               |                |               |    |                | 6            |

- 如何用C語言表示一個能將AB相加的函數padd並將結果儲存在D： $D = A + B$ .

- To produce  $D(x)$ , *padd* (Program 2.5) adds  $A(x)$  and  $B(x)$  term by term.

Analysis:  $O(n+m)$   
where  $n$  (*m*) is the number  
of nonzeros in  $A$  (*B*).

```
void padd(int starta,int finisha,int startb, int finishb,
 int *startd,int *finishd)
{
 /* add A(x) and B(x) to obtain D(x) */
 float coefficient;
 *startd = avail;
 while (starta <= finisha && startb <= finishb)
 switch(COMPARE(terms[starta].expon,
 terms[startb].expon)) {
 case -1: /* a expon < b expon */
 attach(terms[startb].coef,terms[startb].expon)
 startb++;
 break;
 case 0: /* equal exponents */
 coefficient = terms[starta].coef +
 terms[startb].coef;
 if (coefficient)
 attach(coefficient,terms[starta].expon);
 starta++;
 startb++;
 break;
 case 1: /* a expon > b expon */
 attach(terms[starta].coef,terms[starta].expon)
 starta++;
 }
 /* add in remaining terms of A(x) */
 for(; starta <= finisha; starta++)
 attach(terms[starta].coef,terms[starta].expon);
 /* add in remaining terms of B(x) */
 for(; startb <= finishb; startb++)
 attach(terms[startb].coef, terms[startb].expon);
 *finishd = avail-1;
}
```

```

void padd(int starta,int finisha,int startb, int finishb,
 int *startd,int *finishd)
{
 /* add A(x) and B(x) to obtain D(x) */
 float coefficient;
 *startd = avail;
 while (starta <= finisha && startb <= finishb)
 switch(COMPARE(terms[starta].expon,
 terms[startb].expon)) {
 case -1: /* a expon < b expon */
 attach(terms[startb].coef,terms[startb].expon)
 startb++;
 break;
 case 0: /* equal exponents */
 coefficient = terms[starta].coef +
 terms[startb].coef;
 if (coefficient)
 attach(coefficient,terms[starta].expon);
 starta++;
 startb++;
 break;
 case 1: /* a expon > b expon */
 attach(terms[starta].coef,terms[starta].expon)
 starta++;
 }
 /* add in remaining terms of A(x) */
 for(; starta <= finisha; starta++)
 attach(terms[starta].coef,terms[starta].expon);
 /* add in remaining terms of B(x) */
 for(; startb <= finishb; startb++)
 attach(terms[startb].coef, terms[startb].expon);
 *finishd = avail-1;
}

```

**Program 2.5:** Function to add two polynomials

$A(x) = 2x^{1000} + 1$   
 $B(x) = x^4 + 10x^3 + 3x^2 + 1$   
 starta = 2 finisha = 1  
 startb = 6 finishb = 5  
 starta <= finisha = FALSE  
 startb <= finishb = FALSE

### Term

|              |
|--------------|
| 2 $x^{1000}$ |
| 1            |
| $x^4$        |
| 10 $x^3$     |
| 3 $x^2$      |
| 1            |
| 2 $x^{1000}$ |
| $x^4$        |
| 10 $x^3$     |
| 10 $x^2$     |
| 2            |

---

```
void attach(float coefficient, int exponent)
{
 /* add a new term to the polynomial */
 if (avail >= MAX_TERMS) {
 fprintf(stderr,"Too many terms in the polynomial\n");
 exit(1);
 }
 terms[avail].coef = coefficient;
 terms[avail++].expon = exponent;
}
```

---

**Program 2.6:** Function to add a new term

問題：陣列大小是有限的，當多項式總項數超過上限  
MAX\_TERMS的時候需要壓縮  
移動資料需要時間

# Sparce Matrix

■ 思考：矩陣表示法&加法&乘法

|       | col 0 | col 1 | col 2 |
|-------|-------|-------|-------|
| row 0 | -27   | 3     | 4     |
| row 1 | 6     | 82    | -2    |
| row 2 | 109   | -64   | 11    |
| row 3 | 12    | 8     | 9     |
| row 4 | 48    | 27    | 47    |

(a)

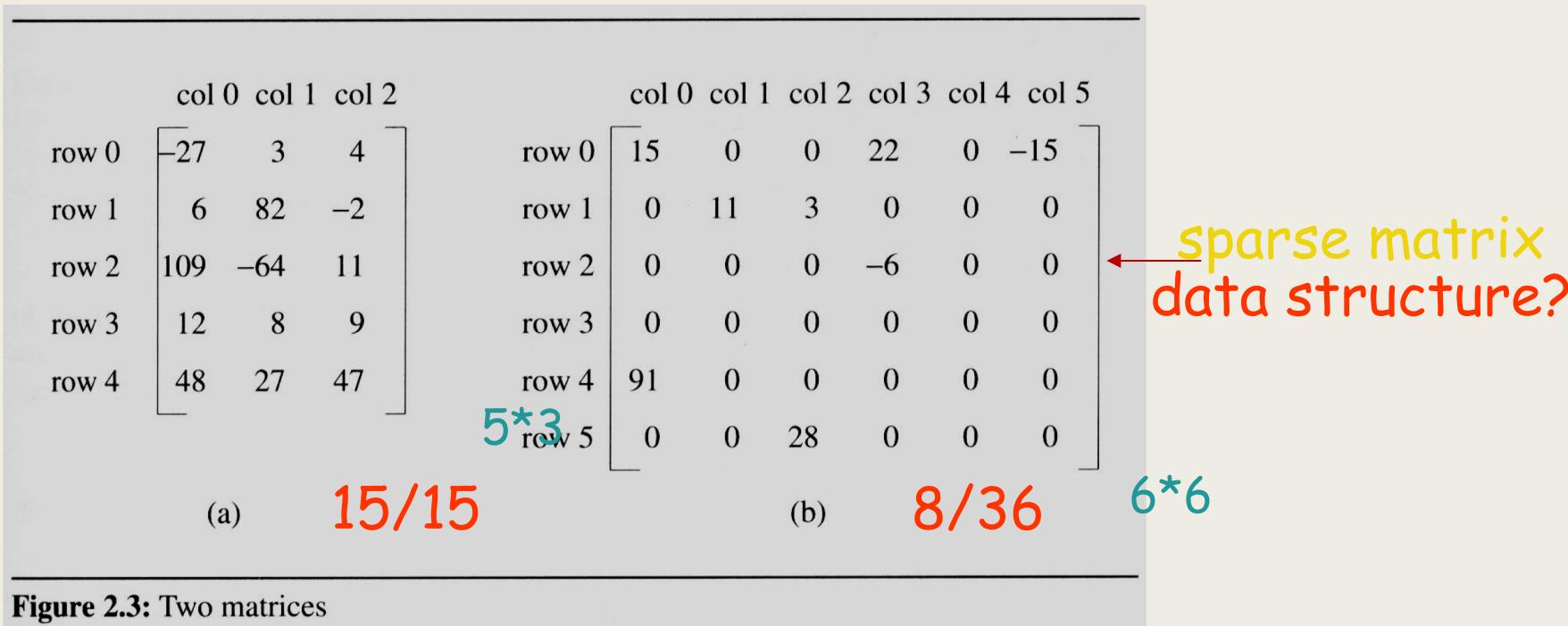
|       | col 0 | col 1 | col 2 | col 3 | col 4 | col 5 |
|-------|-------|-------|-------|-------|-------|-------|
| row 0 | 15    | 0     | 0     | 22    | 0     | -15   |
| row 1 | 0     | 11    | 3     | 0     | 0     | 0     |
| row 2 | 0     | 0     | 0     | -6    | 0     | 0     |
| row 3 | 0     | 0     | 0     | 0     | 0     | 0     |
| row 4 | 91    | 0     | 0     | 0     | 0     | 0     |
| row 5 | 0     | 0     | 28    | 0     | 0     | 0     |

(b)

Figure 2.3: Two matrices

# Sparse Matrix

- 數學上，矩陣表示由m列(m rows) n行(n columns)個元素構成的資料型態，我們以 $m \times n$ 表示一個矩陣具有m rows and n columns
- 稀疏矩陣(Sparse Matrix)：0很多的矩陣



# Sparse Matrix

- 最簡單的矩陣表示法是使用二維陣列(two dimensional array) defined as

$a[MAX\_ROWS][MAX\_COLS]$

- 簡單使用  $a[i][j]$  即可存取任一位置

- 缺點：處理稀疏矩陣浪費空間

- Hint：只儲存非零的元素？
- Each element is characterized by  $\langle row, col, value \rangle$ .

# The Sparse Matrix ADT

- Structure 2.3 contains our specification of the matrix ADT.
  - A *minimal set of operations*
    - Matrix creation
    - Addition
    - Multiplication
    - Transpose

---

**structure** *Sparse-Matrix* **is**

**objects:** a set of triples,  $\langle \text{row}, \text{column}, \text{value} \rangle$ , where *row* and *column* are integers and form a unique combination, and *value* comes from the set *item*.

**functions:**

for all  $a, b \in \text{Sparse-Matrix}$ ,  $x \in \text{item}$ ,  $i, j, \text{max-col}, \text{max-row} \in \text{index}$

*Sparse-Matrix* **Create**(*max-row*, *max-col*) ::=

**return** a *Sparse-Matrix* that can hold up to *max-items* = *max-row*  $\times$  *max-col* and whose maximum row size is *max-row* and whose maximum column size is *max-col*.

*Sparse-Matrix* **Transpose**(*a*) ::=

**return** the matrix produced by interchanging the row and column value of every triple.

*Sparse-Matrix* **Add**(*a*, *b*) ::=

**if** the dimensions of *a* and *b* are the same  
**return** the matrix produced by adding corresponding items, namely those with identical *row* and *column* values.  
**else return** error

*Sparse-Matrix* **Multiply**(*a*, *b*) ::=

**if** number of columns in *a* equals number of rows in *b*  
**return** the matrix *d* produced by multiplying *a* by *b* according to the formula:  $d[i][j] = \sum(a[i][k] \cdot b[k][j])$  where *d*(*i*, *j*) is the (*i*, *j*)th element  
**else return** error.

# The Sparse Matrix ADT

- 建立矩陣 : Create
- 對每個原本陣列的元素 $a[i,j]=x$ ，我們需要一個三元數(triple)去儲存 $(i,j,x)$ ，因此：

*Sparse-Matrix Create(max-row, max-col) ::=*

```
#define MAX_TERMS 101 /* maximum number of terms +1*/
typedef struct {
 int col;
 int row;
 int value;
} term;
term a[MAX_TERMS];
```

- 其中 $a[0].col = MAX_COL$ ， $a[0].row=MAX_ROW$ ， $a[0].value=NUM_OF_ELEMENTS$

# The Sparse Matrix ADT

- Figure 2.4(a) shows how the sparse matrix of Figure 2.3(b) is represented in the array  $a[MAX\_TERMS]$ .
  - *Each element is characterized by <row, col, value>.*

|       | col 0 | col 1 | col 2 | col 3 | col 4 | col 5 |
|-------|-------|-------|-------|-------|-------|-------|
| row 0 | 15    | 0     | 0     | 22    | 0     | -15   |
| row 1 | 0     | 11    | 3     | 0     | 0     | 0     |
| row 2 | 0     | 0     | 0     | -6    | 0     | 0     |
| row 3 | 0     | 0     | 0     | 0     | 0     | 0     |
| row 4 | 91    | 0     | 0     | 0     | 0     | 0     |
| row 5 | 0     | 0     | 28    | 0     | 0     | 0     |

row, column in  
ascending order

|        | # of rows (columns) | # of nonzero terms |       |
|--------|---------------------|--------------------|-------|
|        | row                 | col                | value |
| $a[0]$ | 6                   | 6                  | 8     |
| [1]    | 0                   | 0                  | 15    |
| [2]    | 0                   | 3                  | 22    |
| [3]    | 0                   | 5                  | -15   |
| [4]    | 1                   | 1                  | 11    |
| [5]    | 1                   | 2                  | 3     |
| [6]    | 2                   | 3                  | -6    |
| [7]    | 4                   | 0                  | 91    |
| [8]    | 5                   | 2                  | 28    |

(a)

$b[0]$

[1]

[2]

[3]

[4]

[5]

[6]

[7]

[8]

row

col

value

transpose

$b[0]$

[1]

[2]

[3]

[4]

[5]

[6]

[7]

[8]

row

col

value

(b)

Figure 2.4: Sparse matrix and its transpose stored as triples

# 轉置矩陣Transpose

## ■ Transpose a Matrix

- *For each row i*
  - take element  $\langle i, j, \text{value} \rangle$  and store it in element  $\langle j, i, \text{value} \rangle$  of the transpose.
  - difficulty: where to put  $\langle j, i, \text{value} \rangle$ 
    - (0, 0, 15) ==> (0, 0, 15)
    - (0, 3, 22) ==> (3, 0, 22)
    - (0, 5, -15) ==> (5, 0, -15)
    - (1, 1, 11) ==> (1, 1, 11)
- *For all elements in column j,*
  - place element  $\langle i, j, \text{value} \rangle$  in element  $\langle j, i, \text{value} \rangle$

## 2.4 The sparse matrix ADT (7/18)

- This algorithm is incorporated in transpose (Program 2.7).

Assign  
 $A[i][j]$  to  $B[j][i]$

place element  $\langle i, j, \text{value} \rangle$   
in element  $\langle j, i, \text{value} \rangle$

For all columns  $i$   
For all elements in column  $j$

Scan the array  
“columns” times.  
The array has  
“elements” elements.

```
void transpose(term a[], term b[])
/* b is set to the transpose of a */
{
 int n,i,j, currentb;
 n = a[0].value; /* total number of elements */
 b[0].row = a[0].col; /* rows in b = columns in a */
 b[0].col = a[0].row; /* columns in b = rows in a */
 b[0].value = n;
 if (n > 0) { /* non zero matrix */
 currentb = 1;
 for (i = 0; i < a[0].col; i++)
 /* transpose by the columns in a */
 for (j = 1; j <= n; j++)
 /* find elements from the current column */
 if (a[j].col == i) {
 /* element is in current column, add it to b */
 b[currentb].row = a[j].col;
 b[currentb].col = a[j].row;
 b[currentb].value = a[j].value;
 currentb++;
 }
 }
}
```

$\Rightarrow O(\text{columns} * \text{elements})$

Program 2.7: Transpose of a sparse matrix

EX: A[6][6] transpose to  
B[6][6]

i=1 j=8  
a[i].col = 2 != i

### Matrix A

|      | Row | Col | Value |
|------|-----|-----|-------|
| a[0] | 6   | 6   | 8     |
| [1]  | 0   | 0   | 15    |
| [2]  | 0   | 3   | 22    |
| [3]  | 0   | 5   | -15   |
| [4]  | 1   | 1   | 11    |
| [5]  | 1   | 2   | 3     |
| [6]  | 2   | 3   | -6    |
| [7]  | 4   | 0   | 91    |
| [8]  | 5   | 2   | 28    |

### Row Col Value

|   |   |   |    |
|---|---|---|----|
| 0 | 6 | 6 | 8  |
| 1 | 0 | 0 | 15 |
| 2 | 0 | 4 | 91 |
| 3 | 1 | 1 | 11 |

```
void transpose(term a[], term b[])
/* b is set to the transpose of a */
{
 int n,i,j, currentb;
 n = a[0].value; /* total number of elements */
 b[0].row = a[0].col; /* rows in b = columns in a */
 b[0].col = a[0].row; /* columns in b = rows in a */
 b[0].value = n;
 if (n > 0) { /* non zero matrix */
 currentb = 1;
 for (i = 0; i < a[0].col; i++)
 /* transpose by the columns in a */
 for (j = 1; j <= n; j++)
 /* find elements from the current column */
 if (a[j].col == i) {
 /* element is in current column, add it to b */
 b[currentb].row = a[j].col;
 b[currentb].col = a[j].row;
 b[currentb].value = a[j].value;
 currentb++;
 }
 }
}
```

Set Up row & column in B[6][6]

And So on...

## 2.4 The sparse matrix ADT (8/18)

- 和二維陣列表示法比較
  - $O(\text{columns} * \text{elements})$  vs.  $O(\text{columns} * \text{rows})$
  - $\text{elements} \rightarrow \text{columns} * \text{rows}$  when non-sparse,  
 $O(\text{columns}^2 * \text{rows})$
- 問題：需要掃描整個陣列 “columns” 次。
  - In fact, we can transpose a matrix represented as a sequence of triples in  $O(\text{columns} + \text{elements})$  time.
- 解決方法：
  - 先計算每個column裡面到底有多少元素
  - 接著找出轉置矩陣中，每一個元素的新的起始位置  
(根據該行到底有幾個元素)

## 2.4 The sparse matrix ADT (9/18)

- Compared with 2-D array representation:  
 $O(\text{columns} + \text{elements})$  vs.  $O(\text{columns} * \text{rows})$   
 $\text{elements} \rightarrow \text{columns} * \text{rows } O(\text{columns} * \text{rows})$

Cost:

Additional `row_terms` and  
`starting_pos` arrays are required.  
Let the two arrays `row_terms` and  
`starting_pos` be shared.

Buildup `row_term`  
& `starting_pos`

`transpose`    For elements

For columns

For elements

For columns

```
void fast_transpose(term a[], term b[])
{
 /* the transpose of a is placed in b */
 int row_terms[MAX_COL], starting_pos[MAX_COL];
 int i, j, num_cols = a[0].col, num_terms = a[0].value;
 b[0].row = num_cols; b[0].col = a[0].row;
 b[0].value = num_terms;
 if (num_terms > 0) { /* nonzero matrix */
 for (i = 0; i < num_cols; i++)
 row_terms[i] = 0;
 for (i = 1; i <= num_terms; i++)
 row_terms[a[i].col]++;
 starting_pos[0] = 1;
 for (i = 1; i < num_cols; i++)
 starting_pos[i] =
 starting_pos[i-1] + row_terms[i-1];
 for (i = 1; i <= num_terms; i++) {
 j = starting_pos[a[i].col]++;
 b[j].row = a[i].col; b[j].col = a[i].row;
 b[j].value = a[i].value;
 }
 }
}
```

Program 2.8: Fast transpose of a sparse matrix

## 2.4 The sparse matrix ADT (10/18)

- After the execution of the third for loop, the values of `row_terms` and `starting_pos` are:

|              | [0] | [1] | [2] | [3] | [4] | [5] |
|--------------|-----|-----|-----|-----|-----|-----|
| row_terms    | = 2 | 1   | 2   | 2   | 0   | 1   |
| starting_pos | = 1 | 3   | 4   | 6   | 8   | 8   |

|        | row | col | value |
|--------|-----|-----|-------|
| $a[0]$ | 6   | 6   | 8     |
| [1]    | 0   | 0   | 15    |
| [2]    | 0   | 3   | 22    |
| [3]    | 0   | 5   | -15   |
| [4]    | 1   | 1   | 11    |
| [5]    | 1   | 2   | 3     |
| [6]    | 2   | 3   | -6    |
| [7]    | 4   | 0   | 91    |
| [8]    | 5   | 2   | 28    |

(a)

|       | row | col | value |
|-------|-----|-----|-------|
| b[0]  | 6   | 6   | 8     |
| [1]   | 0   | 0   | 15    |
| [2]   | 0   | 4   | 91    |
| [3]   | 1   | 1   | 11    |
| [4]   | 2   | 1   | 3     |
| → [5] | 2   | 5   | 28    |
| [6]   | 3   | 0   | 22    |
| [7]   | 3   | 2   | -6    |
| [8]   | 5   | 0   | -15   |

(b)

**Figure 2.4:** Sparse matrix and its transpose stored as triples

Matrix A

Row Col Value

| $a[0]$ | 6 | 6 | 8   |
|--------|---|---|-----|
| [1]    | 0 | 0 | 15  |
| [2]    | 0 | 3 | 22  |
| [3]    | 0 | 5 | -15 |
| [4]    | 1 | 1 | 11  |
| [5]    | 1 | 2 | 3   |
| [6]    | 2 | 3 | -6  |
| [7]    | 4 | 0 | 91  |
| [8]    | 5 | 2 | 28  |

|              | [0] | [1] | [2] | [3] | [4] | [5] |           |
|--------------|-----|-----|-----|-----|-----|-----|-----------|
| row_terms    | 0   | 0   | 0   | 0   | 0   | 0   | #col = 6  |
| starting_pos | 1   | 3   | 4   | 6   | 8   | 8   | #term = 6 |

```
void fast_transpose(term a[], term b[])
{
 /* the transpose of a is placed in b */
 int row_terms[MAX_COL], starting_pos[MAX_COL];
 int i, j, num_cols = a[0].col, num_terms = a[0].value;
 b[0].row = num_cols; b[0].col = a[0].row;
 b[0].value = num_terms;
 if (num_terms > 0) { /* nonzero matrix */
 for (i = 0; i < num_cols; i++)
 row_terms[i] = 0;
 for (i = 1; i <= num_terms; i++)
 row_terms[a[i].col]++;
 starting_pos[0] = 1;
 for (i = 1; i < num_cols; i++)
 starting_pos[i] =
 starting_pos[i-1] + row_terms[i-1];
 for (i = 1; i <= num_terms; i++) {
 j = starting_pos[a[i].col]++;
 b[j].row = a[i].col; b[j].col = a[i].row;
 b[j].value = a[i].value;
 }
 }
}
```

Program 2.8: Fast transpose of a sparse matrix

I = 8

Matrix A

Row Col Value

|      |   |   |     |
|------|---|---|-----|
| a[0] | 6 | 6 | 8   |
| [1]  | 0 | 0 | 15  |
| [2]  | 0 | 3 | 22  |
| [3]  | 0 | 5 | -15 |
| [4]  | 1 | 1 | 11  |
| [5]  | 1 | 2 | 3   |
| [6]  | 2 | 3 | -6  |
| [7]  | 4 | 0 | 91  |
| [8]  | 5 | 2 | 28  |

Row Col Value

|   |   |   |     |
|---|---|---|-----|
| 0 | 6 | 6 | 8   |
| 1 | 0 | 0 | 15  |
| 2 | 0 | 4 | 91  |
| 3 | 1 | 1 | 11  |
| 4 | 2 | 1 | 3   |
| 5 | 2 | 5 | 28  |
| 6 | 3 | 0 | 22  |
| 7 | 3 | 2 | -6  |
| 8 | 5 | 0 | -15 |

[0] [1] [2] [3] [4] [5]  
row\_terms = 2 1 2 2 0 1  
starting\_pos = 3 4 6 8 8 9

```
void fast_transpose(term a[], term b[])
{
 /* the transpose of a is placed in b */
 int row_terms[MAX_COL], starting_pos[MAX_COL];
 int i, j, num_cols = a[0].col, num_terms = a[0].value;
 b[0].row = num_cols; b[0].col = a[0].row;
 b[0].value = num_terms;
 if (num_terms > 0) { /* nonzero matrix */
 for (i = 0; i < num_cols; i++)
 row_terms[i] = 0;
 for (i = 1; i <= num_terms; i++)
 row_terms[a[i].col]++;
 starting_pos[0] = 1;
 for (i = 1; i < num_cols; i++)
 starting_pos[i] =
 starting_pos[i-1] + row_terms[i-1];
 for (i = 1; i <= num_terms; i++) {
 j = starting_pos[a[i].col]++;
 b[j].row = a[i].col; b[j].col = a[i].row;
 b[j].value = a[i].value;
 }
 }
}
```

Program 2.8: Fast transpose of a sparse matrix

## 2.4 The sparse matrix ADT (11/18)

### ■ 2.4.3 Matrix multiplication

- *Definition:*

Given  $A$  and  $B$  where  $A$  is  $m \times n$  and  $B$  is  $n \times p$ , the product matrix  $D$  has dimension  $m \times p$ . Its  $\langle i, j \rangle$  element is

for  $0 \leq i < m$  and  $0 \leq j < p$ .

- *Example:*

$$d_{ij} = \sum_{k=0}^{n-1} a_{ik} b_{kj}$$

---

$$\begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

---

**Figure 2.5:** Multiplication of two sparse matrices

## 2.4 The sparse matrix ADT (12/18)

### ■ Sparse Matrix Multiplication

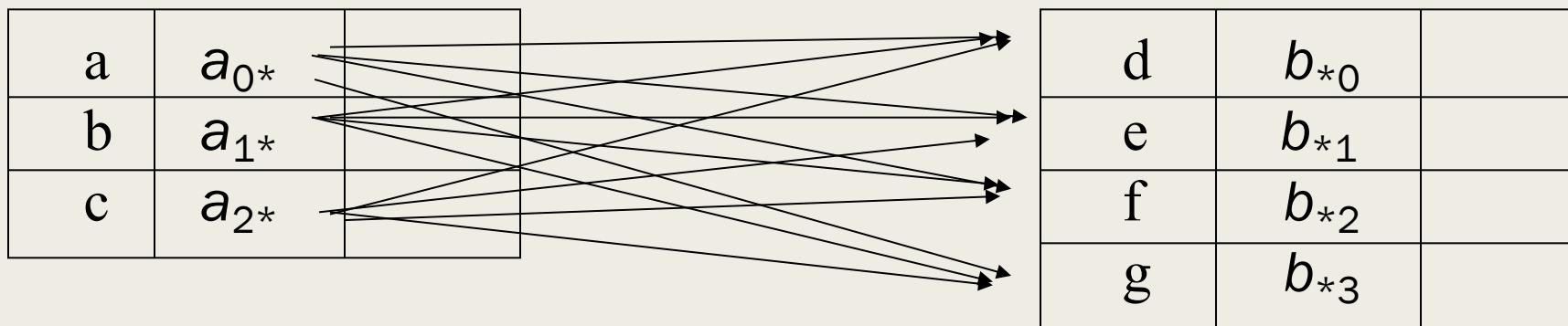
- *Definition:*  $[D]_{m \times p} = [A]_{m \times n} * [B]_{n \times p}$
- *Procedure:* Fix a row of A and find all elements in column j of B for  $j=0, 1, \dots, p-1$ .
- *Alternative 1.*  
*Scan all of B to find all elements in j.*
- *Alternative 2.*  
*Compute the transpose of B.*  
*(Put all column elements consecutively)*
  - Once we have located the elements of row i of A and column j of B we just do a merge operation similar to that used in the polynomial addition of 2.2

## 2.4 The sparse matrix ADT (13/18)

- General case:

$$d_{ij} = a_{i0} * b_{0j} + a_{i1} * b_{1j} + \dots + a_{i(n-1)} * b_{(n-1)j}$$

- Array A row  $i$  \* Array J col  $j$
- 可以想成 Array row  $j$  \* Array J 轉置 row  $j$



The multiply operation generate entries:

$a*d$  ,  $a*e$  ,  $a*f$  ,  $a*g$  ,  $b*d$  ,  $b*e$  ,  $b*f$  ,  $b*g$  ,  $c*d$  ,  $c*e$  ,  $c*f$  ,  $c*g$

# The sparse matrix ADT (14/18)

## ■ An Example

$$A = \begin{bmatrix} 1 & 0 & 2 \\ -1 & 4 & 6 \end{bmatrix} B^T = \begin{bmatrix} 3 & -1 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 5 \end{bmatrix} B = \begin{bmatrix} 3 & 0 & 2 \\ -1 & 0 & 0 \\ 0 & 0 & 5 \end{bmatrix}$$

## ■ An Example

$$A = \begin{matrix} 1 & 0 & 2 \\ -1 & 4 & 6 \end{matrix} \quad B^T = \begin{matrix} 3 & -1 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 5 \end{matrix} \quad B = \begin{matrix} 3 & 0 & 2 \\ -1 & 0 & 0 \\ 0 & 0 & 5 \end{matrix}$$

|      | row | col | value |                    | row | col | value |
|------|-----|-----|-------|--------------------|-----|-----|-------|
| a[0] | 2   | 3   | 5     | b <sub>t</sub> [0] | 3   | 3   | 4     |
| [1]  | 0   | 0   | 1     | b <sub>t</sub> [1] | 0   | 0   | 3     |
| [2]  | 0   | 2   | 2     | b <sub>t</sub> [2] | 0   | 1   | -1    |
| [3]  | 1   | 0   | -1    | b <sub>t</sub> [3] | 2   | 0   | 2     |
| [4]  | 1   | 1   | 4     | b <sub>t</sub> [4] | 2   | 2   | 5     |
| [5]  | 1   | 2   | 6     |                    |     |     |       |

|      | row | col | value |                    | row | col | value |      | row | col | value |
|------|-----|-----|-------|--------------------|-----|-----|-------|------|-----|-----|-------|
| a[0] | 2   | 3   | 5     | b <sub>t</sub> [0] | 3   | 3   | 4     | b[0] | 3   | 3   | 4     |
| [1]  | 0   | 0   | 1     | b <sub>t</sub> [1] | 0   | 0   | 3     | b[1] | 0   | 0   | 3     |
| [2]  | 0   | 2   | 2     | b <sub>t</sub> [2] | 0   | 1   | -1    | b[2] | 0   | 2   | 2     |
| [3]  | 1   | 0   | -1    | b <sub>t</sub> [3] | 2   | 0   | 2     | b[3] | 1   | 0   | -1    |
| [4]  | 1   | 1   | 4     | b <sub>t</sub> [4] | 2   | 2   | 5     | b[4] | 2   | 2   | 5     |
| [5]  | 1   | 2   | 6     |                    | [5] | 3   | 0     |      |     |     |       |
| [6]  | 2   |     |       |                    |     |     |       |      |     |     |       |

```

void mmult(term a[], term b[], term d[])
/* multiply two sparse matrices */
{
 int i, j, column, totalb = b[0].value, totald = 0;
 int rows_a = a[0].row, cols_a = a[0].col,
 totala = a[0].value; int cols_b = b[0].col,
 int row_begin = 1, row = a[1].row, sum = 0;
 int new_b[MAX_TERMS][3];
 if (cols_a != b[0].row) {
 fprintf(stderr,"Incompatible matrices\n");
 exit(1);
 }
 fast_transpose(b,new_b);
 /* set boundary condition */
 a[totala+1].row = rows_a;
 new_b[totalb+1].row = cols_b;
 new_b[totalb+1].col = 0;
}

```

Totala = 5  
 Totalb = 4  
 Totald = 0  
 rows\_a = 2  
 cols\_a = 3  
 cols\_b = 3  
 row\_begin = 1  
 row = 0

A裡面的元素個數  
 B裡面的元素個數  
 D裡面的元素個數  
 row\_a = 2 a的row數  
 cols\_a = 3 a的cols數  
 cols\_b = 3 b的cols數  
 目前要開始處理的row  
 是存在陣列第幾個位置(index)  
 目前要處理第幾行row

```

Totala = 5 rows_a = 2 row_begin = 1
Totalb = 4 cols_a = 3 row = 0
Totald = 0 cols_b = 3

```

```

for (i = 1; i <= totala;) {
 column = new_b[1].row;
 for (j = 1; j <= totalb+1;) {
 /* multiply row of a by column of b */
 if (a[i].row != row) {
 storesum(d, &totald, row, column, &sum);
 i = row_begin;
 for (; new_b[j].row == column; j++)
 ;
 column = new_b[j].row;
 }
 else if (new_b[j].row != column) {
 storesum(d, &totald, row, column, &sum);
 i = row_begin;
 column = new_b[j].row;
 }
 else switch (COMPARE(a[i].col, new_b[j].col)) {
 case -1: /* go to next term in a */
 i++; break;
 case 0: /* add terms, go to next term in a and b*/
 sum += (a[i++].value * new_b[j++].value);
 break;
 case 1 : /* advance to next term in b */
 j++;
 }
 /* end of for j <= totalb+1 */
 for (; a[i].row == row; i++)
 ;
 row_begin = i; row = a[i].row;
 } /* end of for i<=totala */
 d[0].row = rows_a;
 d[0].col = cols_b; d[0].value = totald;
}

```

Program 2.9: Sparse matrix multiplication

| Variable           | Value  | D               |   |
|--------------------|--------|-----------------|---|
| i                  | 2      | d[1] 0 0 3      |   |
| j                  | 3      | d[2] 0 2 12     | 6 |
| column             | 0      |                 |   |
| row                | 0      |                 |   |
| sum                | 12     |                 |   |
| row_begin          | 3      |                 |   |
| a[0]               | 2 3 5  | A[0][0]*B[0][0] |   |
| [1]                | 0 0 1  |                 |   |
| [2]                | 0 2 2  | A[0][0]*B[0][2] |   |
| [3]                | 1 0 -1 | A[0][2]*B[2][2] |   |
| [4]                | 1 1 4  |                 |   |
| [5]                | 1 2 6  |                 |   |
| [6]                | 2      |                 |   |
| b <sub>t</sub> [0] | 3 3 4  |                 |   |
| b <sub>t</sub> [1] | 0 0 3  |                 |   |
| b <sub>t</sub> [2] | 0 1 -1 |                 |   |
| b <sub>t</sub> [3] | 2 0 2  |                 |   |
| b <sub>t</sub> [4] | 2 2 5  |                 |   |
| b <sub>t</sub> [5] | 3 0    |                 |   |

And So on...

## 2.4 The sparse matrix ADT (15/18)

- The programs 2.9 and 2.10 can obtain the product matrix  $D$  which multiplies matrices  $A$  and  $B$ .

```
void mmult(term a[], term b[], term d[])
/* multiply two sparse matrices */
{
 int i, j, column, totalb = b[0].value, totald = 0;
 int rows_a = a[0].row, cols_a = a[0].col,
 totala = a[0].value; int cols_b = b[0].col,
 int row_begin = 1, row = a[1].row, sum = 0;
 int new_b[MAX_TERMS][3];
 if (cols_a != b[0].row) {
 fprintf(stderr,"Incompatible matrices\n");
 exit(1);
 }
 fast_transpose(b,new_b);
 /* set boundary condition */
 a[totala+1].row = rows_a;
 new_b[totalb+1].row = cols_b;
 new_b[totalb+1].col = 0;
 for (i = 1; i <= totala;) {
 column = new_b[i].row;
 for (j = 1; j <= totalb+1;) {
```

a  $\times$  b

```
/* multiply row of a by column of b */
 if (a[i].row != row) {
 storesum(d,&totald,row,column,&sum);
 i = row_begin;
 for (; new_b[j].row == column; j++)
 ;
 column = new_b[j].row;
 }
 else if (new_b[j].row != column) {
 storesum(d, &totald, row, column, &sum);
 i = row_begin;
 column = new_b[j].row;
 }
 else switch (COMPARE(a[i].col, new_b[j].col)) {
 case -1: /* go to next term in a */
 i++; break;
 case 0: /* add terms, go to next term in a and b*/
 sum += (a[i++].value * new_b[j++].value);
 break;
 case 1 : /* advance to next term in b */
 j++;
 }
 /* end of for j <= totalb+1 */
 for (; a[i].row == row; i++)
 ;
 row_begin = i; row = a[i].row;
} /* end of for i<=totala */
d[0].row = rows_a;
d[0].col = cols_b; d[0].value = totald;
```

## 2.4 The sparse matrix ADT (16/18)

---

```
void storesum(term d[], int *totald, int row, int column,
 int *sum)
{
 /* if *sum != 0, then it along with its row and column
 position is stored as the *totald+1 entry in d */
 if (*sum)
 if (*totald < MAX_TERMS) {
 d[++*totald].row = row;
 d[*totald].col = column;
 d[*totald].value = *sum;
 *sum = 0;
 }
 else {
 fprintf(stderr,"Numbers of terms in product
 exceeds %d\n",MAX_TERMS);
 exit(1);
 }
}
```

---

**Program 2.10:** *storesum* function

# Analyzing the algorithm

- $$\begin{aligned} & \text{cols\_b} * \text{termsrow1} + \text{totalb} + \\ & \text{cols\_b} * \text{termsrow2} + \text{totalb} + \\ & \dots + \\ & \text{cols\_b} * \text{termsrowp} + \text{totalb} \\ & = \text{cols\_b} * (\text{termsrow1} + \text{termsrow2} + \dots + \text{termsrowp}) + \\ & \text{rows\_a} * \text{totalb} \\ & = \text{cols\_b} * \text{totala} + \text{row\_a} * \text{totalb} \end{aligned}$$

$O(\text{cols}_b * \text{totala} + \text{rows}_a * \text{totalb})$

```
for (i = 1; i <= totala;) {
 column = new_b[1].row;
 for (j = 1; j <= totalb+1;) {
 /* multiply row of a by column of b */
 if (a[i].row != row) {
 storesum(d, &totald, row, column, &sum);
 i = row_begin;
 for (; new_b[j].row == column; j++)
 ;
 column = new_b[j].row;
 }
 else if (new_b[j].row != column) {
 storesum(d, &totald, row, column, &sum);
 i = row_begin;
 column = new_b[j].row;
 }
 else switch (COMPARE(a[i].col, new_b[j].col)) {
 case -1: /* go to next term in a */
 i++; break;
 case 0: /* add terms, go to next term in a and b */
 sum += (a[i++].value * new_b[j++].value);
 break;
 case 1 : /* advance to next term in b */
 j++;
 }
 } /* end of for j <= totalb+1 */
 for (; a[i].row == row; i++)
 ;
 row_begin = i; row = a[i].row;
} /* end of for i<=totala */
d[0].row = rows-a;
d[0].col = cols-b; d[0].value = totald;
```

**Program 2.9:** Sparse matrix multiplication

$$A = \begin{bmatrix} 1 & 0 & 2 \\ -1 & 4 & 6 \end{bmatrix} B^T = \begin{bmatrix} 3 & -1 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 5 \end{bmatrix} B = \begin{bmatrix} 3 & 0 & 2 \\ -1 & 0 & 0 \\ 0 & 0 & 5 \end{bmatrix}$$

## 2.4 The sparse matrix ADT (18/18)

### ■ Compared with matrix multiplication using array

- ```
for (i =0; i < rows_a; i++)
    for (j=0; j < cols_b; j++) {
        sum =0;
        for (k=0; k < cols_a; k++)
            sum += (a[i][k] *b[k][j]);
        d[i][j] =sum;
    }
```
- $O(\text{rows}_a * \text{cols}_a * \text{cols}_b)$ vs.
 $O(\text{cols}_b * \text{total}_a + \text{rows}_a * \text{total}_b)$
- optimal case:
 $\text{total}_a < \text{rows}_a * \text{cols}_a$ $\text{total}_b < \text{cols}_a * \text{cols}_b$
- worse case:
 $\text{total}_a \rightarrow \text{rows}_a * \text{cols}_a$, or
 $\text{total}_b \rightarrow \text{cols}_a * \text{cols}_b$

Practice

- 若陣列元素A(5,3)在記憶體位址是5314，而元素A(8,5)是在5422；假設每個元素占用四個位元組(Bytes)，請問元素A(2,7)的位址為？
- (1) $A(8,5) = A(5,3) + [(8-5)*n + (5-3)] * 4R$ row-major
 $5422 = 5314 + 12n + 8 \Rightarrow 100 = 12n \quad n = 100/12 \quad ...X$
- (2) $A(8,5) = A(5,3) + [(5-3)*m + (8-5)] * 4$ column-major
 $5422 = 5314 + 8m + 12 \Rightarrow 96 = 8m \quad m = 12$
$$\begin{aligned} A(2,7) &= A(5,3) + [(7-3)*12 + (2-5)] * 4 \\ &= 5314 + [48-3]*4 = 5314+180 = 5494 \end{aligned}$$