

Prove:  $P$  - Given an undirected graph  $G$ , with  $n$  vertices  $\{v_0, v_1, \dots, v_n\}$ , using the greedy coloring algorithm there is no edge  $uv$  s.t.  $f(u) = f(v)$  where  $f(v_i)$  denotes the coloring of vertex  $v_i$ .

Proof by contradiction: If we assume  $P$  is false ( $\neg P$ ) and reach 2 contradictory assertions  $Q$  and  $\neg Q$ , then the assumption  $\neg P$  cannot be correct, and therefore  $P$  must be true.

Assume: There is an edge  $uv$  in  $G$  such that  $f(u) = f(v)$ . ( $\neg P$ )

Assertion:  $Q =$  in the  $i$ th iteration, the algorithm assigns  $v_i$  the smallest color (i.e. positive integer)  $k$ , s.t. none of its neighbors that are already colored have color  $k$ .

Assertion:  $S =$  Since there is an edge  $uv$  s.t.  $f(u) = f(v)$ ,  $u$  and  $v$  (neighbors) have been colored with the same  $k$ .

Assertion:  $\neg Q =$  Since  $S$  is true given the assumption  $\neg P$ ,  $Q$  must be false, as a vertex  $u$  or  $v$  has been colored the same as one of its already colored neighbors.  $\therefore \neg Q$

Contradiction: Since  $Q$  and  $\neg Q$  are both true under the assumption  $\neg P$ ,  $\neg P$  must be false because it produces a contradiction. Therefore,  $P$  must be true.

# HW Solution

CS/ECE 374: Algorithms & Models of Computation, Spring 2019

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(100 PTS.) Greedy coloring

Given an undirected graph  $G$  with  $n$  vertices, the greedy coloring algorithm orders the vertices of  $G$  in an arbitrary order  $v_1, \dots, v_n$ . Initially all the vertices are not colored. In the  $i$ th iteration, the algorithm assigns  $v_i$  the smallest color (i.e., positive integer)  $k$  such that none of its neighbors that are already colored have color  $k$ . Let  $f(v_i)$  denote the assigned color to  $v_i$ .

- 1 (30 PTS.) Prove that the above algorithm computes a valid coloring of the graph (i.e., there is no edge  $uv$  in  $G$  such that  $f(u) = f(v)$ ).

**Solution:**

See first page (handwritten).

- 2 (30 PTS.) Prove that if a vertex  $v$  is colored by color  $k$ , then there is a simple path in the graph  $u_1, u_2, \dots, u_k = v$ , such that for  $i = 1, \dots, k$ , we have  $f(u_i) = i$  (and  $u_i u_{i+1} \in E(G)$  for all  $i = 1, \dots, k-1$ ).

**Solution:**

Prove that if a vertex  $v$  is colored by color  $k$ , then there is a simple path in the graph  $u_1, u_2, \dots, u_k = v$ , such that for  $i = 1, \dots, k$ , we have  $f(u_i) = i$  (and  $u_i u_{i+1} \in E(G)$  for all  $i = 1, \dots, k-1$ ).

1. We will prove by induction on  $K$ .

2. Base case:  $K = 1$ , then there is a simple path  $u_1, \dots, u_k = u_1 = v$  S.T. for  $i = k$  we have  $f(u_i) = i$  because  $f(u_1) = 1$ .

3. Inductive hypothesis: let  $k \geq 1$  be a positive integer. Assume there exists a path in the graph  $u_1, \dots, u_k$  such that for  $i = 1, \dots, k$  we have  $f(u_i) = i$ , and that this holds for  $i \leq k$ .

4. Inductive step: we will prove the inductive hypothesis holds for  $i = k+1$ . In the  $i^{\text{th}}$  iteration where  $(i = k+1) \geq 2$ , the algorithm assigns  $v_i$  the smallest color  $k$  s.t. none of its neighbors that are already colored have color  $k$ . In the  $i^{\text{th}}$  iteration, the vertex  $u_{k+1}$  is assigned the smallest color,  $k+1$ , therefore this shows that  $f(u_{k+1}) = k+1$ . Because of the algorithm's criteria, we also know that the neighbor set of  $u_{k+1}$  must include vertices of all colors  $1, \dots, k$ . This means a vertex  $u_k$  where  $f(u_k) = k$  is a neighbor of  $u_{k+1}$ , and being a neighbor, there is a path between  $u_k$  and  $u_{k+1}$ . Using the assumption of the inductive hypothesis, we know there is a valid path to  $u_k$ :  $u_1, \dots, u_k$  such that for  $i = 1, \dots, k$  we have  $f(u_i) = i$ . Since there is also a path from  $u_k$  to  $u_{k+1}$ , we can concatenate these paths, and therefore there exists a path in  $G$   $u_1, \dots, u_k, u_{k+1}$  such that for  $i = 1, \dots, k, k+1$ , we have  $f(u_i) = i$ . Because this path exists between consecutive vertices in  $V(G)$  from  $u_1, \dots, u_{i+1}$ , then for every  $i = 1, \dots, k+1$  there exists an edge  $u_i u_{i+1} \in E(G)$ .

- 3 (40 PTS.) Prove that  $G$  either have a simple path of length  $\lfloor \sqrt{n} \rfloor$ ,  $G$  contains an independent set of size  $\lfloor \sqrt{n} \rfloor$ . A set of vertices  $X \subseteq V(G)$  is **independent** if no two vertices  $x, y \in X$  form an edge in  $G$ .

**Solution:**

We will prove by contradiction that graph  $G$  either has a simple path of  $\lfloor \sqrt{n} \rfloor$  or that  $G$  has an independent set of size  $\lfloor \sqrt{n} \rfloor$ . Let  $P$  be the condition that graph  $G$  has a simple path of  $\lfloor \sqrt{n} \rfloor$ . Let  $Q$  be the condition that  $G$  has an independent set of size  $\lfloor \sqrt{n} \rfloor$ . Simplifying this, we will prove that graph  $G$  must satisfy  $P \parallel Q$ .

Proof by contradiction: Assume  $G$  has neither condition true ( $\neg P$  and  $\neg Q$ )

Assertion: if  $\neg P$ , then  $G$  may have at most  $\lfloor \sqrt{n} \rfloor$  colors.

In our proof for 1b, we proved that if a vertex in  $G$  exists with color  $k$ ,  $G$  has a simple path of at least length  $k - 1$ . Using the contrapositive of this claim, we can say that if  $G$  does not have a simple path of length  $k - 1$  (or more specifically,  $\lfloor \sqrt{n} \rfloor$ ); then it has no vertex colored with  $k$  (i.e, at most  $\lfloor \sqrt{n} \rfloor$  colors in  $G$ ).

We can define an independent set within  $G$  as all vertices sharing a single color, as those vertices cannot share an edge. This satisfies the definition of a set of vertices  $X \subseteq V(G)$  being *independent* if no two vertices  $x, y \in X$  form an edge in  $G$ .

If  $G$  has  $n$  vertices and at most  $\lfloor \sqrt{n} \rfloor$  colors, then the largest independent set in  $G$  contains at least  $n / \lfloor \sqrt{n} \rfloor$  vertices.

It logically follows that:

$$n / \lfloor \sqrt{n} \rfloor \geq n / \sqrt{n} = \sqrt{n} \geq \lfloor \sqrt{n} \rfloor$$

Therefore the largest independent set in  $G$  must contain more than  $\lfloor \sqrt{n} \rfloor$  vertices, and  $Q$  must be true.

We've arrived at a contradiction. We've shown that  $Q$  must be true, but to get there we've assumed  $\neg Q$ . Therefore,  $P$  and  $Q$  may not both be false. Therefore,  $P$  or  $Q$  must be true.

In other words, graph  $G$  either has a simple path of  $\lfloor \sqrt{n} \rfloor$  or  $G$  has an independent set of size  $\lfloor \sqrt{n} \rfloor$ .