

Math 105A

Fall 2017

Midterm Exam

Fri Nov 3 2017

12.00pm

Student's Name (Print): \_\_\_\_\_

Student's ID: \_\_\_\_\_

Discussion Section Code: \_\_\_\_\_

**Print your name and student ID on the top of this page.**

This exam contains 6 pages (including this cover page) and 5 problems. You may *not* use your books, notes, or any calculator in this exam. Do not write in the grading table below.

The following rules apply to the answers you provide in this exam:

- **Organize your work**, in a neat and coherent way.
- **Unsupported answers will not receive full credit.** Calculation or verbal explanation is expected.
- **If you need more space, use the back of the pages;** clearly indicate when you have done this.
- **Box your final answer** for full credit.

Question	Points	Score
1	10	
2	10	
3	5	
4	10	
5	20	
Total:	55	

1. (a) (5 points) Consider the sequence  $\{p_n\}$  defined by

$$p_n = \sum_{k=1}^n \frac{1}{k}.$$

This sequence diverges (to see this intuitively note that  $p_n \approx \int_1^\infty dx/x$  for large  $n$ ). Show that, nevertheless,

$$\lim_{n \rightarrow \infty} (p_n - p_{n-1}) = 0.$$

Less: Be mindful of this when testing for convergence in your iterative algorithms!

$$p_n - p_{n-1} = \frac{1}{n} \rightarrow 0 \text{ as } n \rightarrow \infty.$$

- (b) (5 points) Show that  $p_n = 10^{-2^n}$  converges quadratically.

$$\begin{aligned} p_n &\rightarrow 0 \text{ as } n \rightarrow \infty. & \therefore \frac{|p_{n+1} - p|}{|p_n - p|^2} &= \frac{10^{-2^{n+1}}}{(10^{-2^n})^2} \\ & & &= \frac{10^{-2^{n+1}}}{10^{-2^{n+1}}} \\ & & &= 1. \end{aligned}$$

2. (10 points) Find the unique fixed point of  $g(x) = 1 + \sqrt{x}$ .

$$g(x) = x$$

$$\Rightarrow 1 + \sqrt{x} = x$$

$$\text{Let } y = \sqrt{x}.$$

$$\text{Then } 1 + y = y^2$$

$$\Rightarrow y^2 - y - 1 = 0.$$

$$\Rightarrow y = \frac{1 \pm \sqrt{1+4}}{2}$$

$$\text{But } y = \sqrt{x} > 0 \Rightarrow y = \frac{1 + \sqrt{5}}{2} \Rightarrow x = y^2 = \left(\frac{1 + \sqrt{5}}{2}\right)^2$$

3. (5 points) Find all values of  $\alpha$  for which the following system has no solutions. Explain your answer.

$$2x - y + 3z = 5$$

$$4y - 4z = -4$$

$$(5 + \alpha)z = 8 + \alpha \quad (*)$$

If  $\alpha = -5$ , then  $(*) \Rightarrow 0 \cdot z = 3 \Rightarrow z$  does not exist.

If  $\alpha \neq -5$ , then  $(*) \Rightarrow \beta \cdot z = 3 \quad (\beta \neq 0) \Rightarrow z = 3/\beta$ .

$\Rightarrow$  unique sol<sup>n</sup> exists.

4. (10 points) Use Gaussian Elimination to compute the determinant of

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 1 & 2 & 1 \end{bmatrix}.$$

Reduce given matrix to upper triangular form,  $U$ , and use the formula:

$$\det A = (-1)^{\# \text{ row exchanges}} \det U$$

$$= (-1)^{\# \text{ row swaps}} \prod_{i=1}^n u_{ii}$$

# row swaps = 0.

$$\left\{ \begin{array}{ccc|ccc} 1 & 1 & 1 & & & \\ 0 & 1 & 1 & & & \\ 1 & 2 & 1 & & & \\ \hline 1 & 1 & 1 & & & \\ 0 & 1 & 1 & & & \\ 0 & 1 & 0 & & & \\ \hline 1 & 1 & 1 & & & \\ 0 & 1 & 1 & & & \\ 0 & 0 & -1 & & & \end{array} \right\} \leftarrow \prod_{i=1}^3 u_{ii}$$

$$\therefore \det A = (-1)^0 (1)(1)(-1) = -1.$$

5. (20 points) Use partial pivoting to compute a *PLU* factorization of

$$A = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ -1 & 1 & -1 \end{bmatrix}.$$

$$\begin{array}{l} R_1 \leftrightarrow R_2 \\ \text{(partial pivoting)} \end{array} \quad \begin{array}{ccc} 1 & 1 & 1 \\ 0 & 1 & 1 \\ -1 & 1 & -1 \end{array}$$

$$\begin{array}{l} \text{elim.} \\ \text{1st col.} \end{array} \quad \begin{array}{ccc} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 2 & 0 \end{array}$$

$$\begin{array}{l} m_{21} = 0 \\ m_{31} = -1 \end{array}$$

$$\begin{array}{l} R_2 \leftrightarrow R_3 \\ \text{(partial pivoting)} \end{array} \quad \begin{array}{ccc} 1 & 1 & 1 \\ 0 & 2 & 0 \\ 0 & 1 & 1 \end{array}$$

$$\longrightarrow \quad \begin{array}{ccc} 1 & 1 & 1 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{array}$$

$$m_{32} = 1/2$$

Two row swaps  $\Rightarrow$

$$P = P_{R_2 \leftrightarrow R_3} P_{R_1 \leftrightarrow R_2}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

(You may use this page to complete your solution to Q5.)

Now perform G.E. w/ partial pivoting on

$$PA = P_{R_2 \leftrightarrow R_3} P_{R_1 \leftrightarrow R_2} \begin{bmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ -1 & 1 & -1 \end{bmatrix}$$

$$= P_{R_2 \leftrightarrow R_3} \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ -1 & 1 & -1 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 1 & 1 \\ -1 & 1 & -1 \\ 0 & 1 & 1 \end{bmatrix}$$

elim  
1st col.

$$\begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

$$m_{21} = -1$$

$$m_{31} = 0$$

elim  
2nd col.

$$\begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$m_{32} = 1/2$$

NOTE: NO ROW SWAPS NECESSARY!

Thus:

$$A = P^T L U$$

$$= \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & 1/2 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$