

Roles of eukaryotic topoisomerases in transcription, replication and genomic stability

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Abstract | Topoisomerases introduce transient DNA breaks to relax supercoiled DNA, remove catenanes and enable chromosome segregation. Human cells encode six topoisomerases (TOP1, TOP1mt, TOP2 α , TOP2 β , TOP3 α and TOP3 β), which act on a broad range of DNA and RNA substrates at the nuclear and mitochondrial genomes. Their catalytic intermediates, the topoisomerase cleavage complexes (TOPcc), are therapeutic targets of various anticancer drugs. TOPcc can also form on damaged DNA during replication and transcription, and engage specific repair pathways, such as those mediated by tyrosyl-DNA phosphodiesterase 1 (TDP1) and TDP2 and by endonucleases (MRE11, XPF-ERCC1 and MUS81). Here, we review the roles of topoisomerases in mediating chromatin dynamics, transcription, replication, DNA damage repair and genomic stability, and discuss how deregulation of topoisomerases can cause neurodegenerative diseases, immune disorders and cancer.

Transesterification

A chemical reaction in which an organic group of an ester (phosphate ester of a nucleotide) is replaced with the alkoxy group of a nucleophilic alcohol (active Tyr residue of a topoisomerase).

Shortly after this Review was completed, we were saddened to learn that Dr Tao-shih Hsieh, of Duke University, Durham, North Carolina, USA, and Academia Sinica, Taipei, passed away. Hsieh was a true pioneer in the topoisomerase field, with signal accomplishments that included the first isolation of Drosophila topoisomerases, and many elegant biochemical and biological studies on topoisomerase mechanisms. This Review is dedicated to the memory of our friend and colleague.

DNA topoisomerases are vital enzymes that solve DNA topological problems that result from strand separation during replication and transcription^{1,2}. Topoisomerases are emerging as important factors in a wide range of fundamental metabolic processes in both the nuclear and mitochondrial genomes. The study of topoisomerases is therapeutically relevant to cancer, immune disorders and neurological diseases, and highly effective antibacterial and anticancer agents selectively target bacterial and eukaryotic topoisomerases, respectively^{3,4}.

The six human topoisomerases, TOP1, TOP1mt, TOP2 α , TOP2 β , TOP3 α and TOP3 β , have both shared and specialized roles (FIG. 1). For example, both TOP2 α and TOP2 β relax negatively supercoiled DNA and carry out DNA decatenation, but whereas TOP2 α is absolutely required for chromosome segregation, TOP2 β is indispensable for transcription in differentiated, non-dividing cells.

Topoisomerases introduce transient DNA breaks using a transesterification mechanism, which is highly reversible and minimizes the risks to genome stability that would otherwise occur owing to strand breakage^{2,3,5–9} (FIG. 1a–c). Nonetheless, it is now established that topoisomerases can both ensure and endanger genome integrity. Anticancer drugs that target TOP2 enzymes induce genomic translocations that lead to secondary malignancies, and recent work suggests that TOP2 β may be especially responsible for these events. Additional studies showed that TOP1 could provoke genome instability by action at sites of endogenous and exogenous DNA damage. The risks associated with strand breakage by topoisomerases suggest that there are aspects of fundamental processes such as transcription that pose unique topological challenges and that cells need a wide repertoire of responses and specific repair pathways to safeguard the dangerous process of introducing transient DNA breaks.

In this Review, we discuss the emerging evidence that topoisomerases have more diverse functions and are more highly specialized than previously appreciated^{3,5,7,8,10}. We discuss recent advances in research on the roles of topoisomerases in mitochondria. Somewhat surprisingly, recent data suggest that topoisomerase-induced DNA damage can have unique cellular roles and that topoisomerases may function in non-canonical ways (that is, by not only changing DNA topology), especially during transcription and in the

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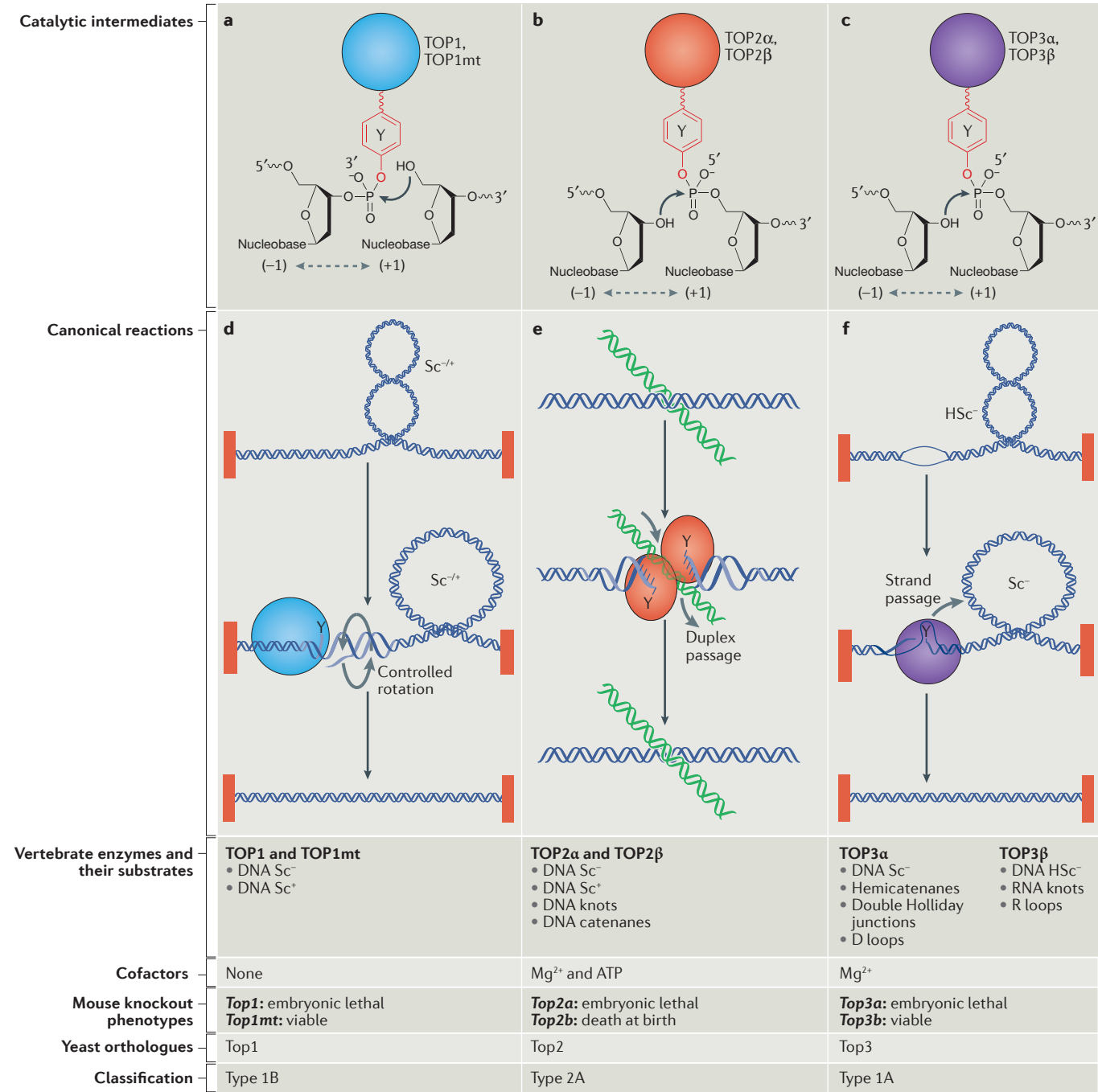


Figure 1 | **Overview of eukaryotic topoisomerases.** **a–c** | Topoisomerases act by cleaving the DNA phosphodiester backbone and forming transient covalent linkages between a Tyr residue and the DNA 3' end (TOP1 enzymes) or 5' end (TOP2 and TOP3 enzymes). Resealing of the breaks is carried out by nucleophilic attack (arrows) of the 5'-hydroxyl end in the case of TOP1 enzymes and the 3'-hydroxyl end in the case of TOP2 and TOP3 enzymes. Base stacking (dashed double-headed arrows) is crucial for the realignment of the DNA ends (like a molecular zipper) and their re-ligation. **d** | TOP1 enzymes relax both negative and positive supercoils (Sc^{-/+}) by nicking one strand and allowing controlled rotation of the broken strand around the intact strand. TOP1 enzymes can re-ligate non-homologous ends, thereby acting as DNA recombinases. **e** | TOP2 enzymes function as homodimers to relax both negative and positive supercoils and to resolve catenanes and DNA knots, explaining their essential role in cell division, during which supercoiled circles form catenated daughter molecules. They cleave both DNA strands with a four-base stagger, thereby directing a second duplex to pass through (duplex passage), and re-ligating the DNA following the passage. They require both Mg²⁺ and ATP hydrolysis for their catalytic cycle. **f** | TOP3 enzymes only relax hypernegative supercoiling (HSc⁻) by cleaving one of the two strands of DNA in regions where negative supercoiling promotes their separation and by passing the intact strand through the broken one. Mg²⁺ is a required metal cofactor. TOP3β can act as an RNA helicase and resolve R loops. See Supplementary information S1 (box), for further information on DNA supercoiling.

presence of ribonucleotides that have been incorporated into DNA. Given the breadth of the field, we refer the reader to other reviews covering bacterial topoisomerases^{2,11}, topoisomerase protein structure^{2,8}, post-translational modifications of topoisomerases¹² and topoisomerase enzymology^{2,3,6,8–10,13}.

The topoisomerase–chromatin interplay

The biochemistry of topoisomerases and their overlapping activities are well established in the context of naked DNA^{2,3,5,8} (FIG. 1). By forming topoisomerase cleavage complexes (TOPcc) without strict DNA sequence preference^{14–17}, topoisomerases can function wherever topological problems arise. TOP1 and TOP2 enzymes do not even require DNA to be supercoiled, as they can cleave linear substrates as short as 20 bp^{18–20}. The two type 1B topoisomerases (FIG. 1) have exclusive cellular localization (nuclear for TOP1 and mitochondrial for TOP1mt)²¹, whereas TOP2 α , TOP2 β and TOP3 α are present in both nuclei and mitochondria^{22,23}.

Recent studies have begun to reveal a dynamic picture of the interplay between topoisomerases and chromatin. Not surprisingly, nucleosomes shield DNA from topoisomerases and restrict their reactions to linker DNA^{24,25}. However, even in nucleosome-free regions, it is likely that topoisomerase activities are tightly regulated to preserve the negative supercoiling that is required for initiating transcription^{26–29} and replication^{30,31}, as well as to minimize the formation of potentially deleterious cleavage complexes (see below). Proteins that bind to negatively supercoiled DNA can shield it from topoisomerases, whereas other proteins directly regulate topoisomerase activities. Hypernegatively supercoiled DNA also tends to form alternative, non-B DNA, such as single-stranded DNA and guanosine quadruplexes, which cannot be processed by TOP1 and TOP2 enzymes³². In addition, recent evidence suggests that topoisomerases are recruited to specific regions by chromatin remodelling complexes, histone chaperones^{33,34} and insulator proteins³⁵.

Switch/sucrose non-fermentable (SWI/SNF) complexes (also known as BRG1-associated factor (BAF) complexes³⁶) are ATP-dependent nucleosome remodellers that control nucleosome deposition and histone methylation through the recruitment of the histone methyltransferase Polycomb repressive complex 2 (PRC2). Alterations in the activity of these complexes are increasingly being identified in human cancers. TOP1 and TOP2 α are controlled by SMARCA4 (also known as BRG1), the catalytic ATPase subunit of the SWI/SNF complexes. SMARCA4 and other components of the SWI/SNF complexes associate primarily with TOP1, which is recruited to chromatin by SMARCA4 to maintain genomic stability by dissipating negative supercoils and by suppressing the formation of potentially mutagenic DNA structures such as G-quadruplexes and right-handed (Z-form) DNA³⁴. TOP2 α binding to chromatin is also dependent on the ATPase activity of SMARCA4 and prevents DNA entanglements at mitosis and genomic instability³³. SMARCA4 deletion leads to the formation of anaphase

bridges, in which sister chromatids remain linked by catenated strands of DNA³³, a phenotype also typical of TOP2 α deficiency^{2,7}.

Other chromatin proteins are important for the recruitment of TOP1 to specific genomic sites during DNA replication and transcription, such as Tof2 and Fob1 in budding yeast, which form stable cleavage complexes at ribosomal replication fork barriers³⁵. TOP1 is also recruited to transcriptionally active chromatin (marked by histone H3 Lys4 trimethylation (H3K4me3)) by directly binding to the facilitates chromatin transcription (FACT) complex, which is a prominent histone chaperone and transcription elongation factor³⁴. In addition to the recruitment of TOP1 by SMARCA4, the FACT complex has been proposed to recruit TOP1 to non-canonical DNA structures, resulting in DNA breaks at specific recombination sites³⁴. Other proteins that mediate TOP1 binding to chromatin include nucleolin, the large T-antigen replication helicase³¹, Werner syndrome ATP-dependent helicase (WRN)³⁷, basal transcription factors^{38–40}, the androgen-regulated transcription factor NKX3.1 (REFS 41, 42) and the replication checkpoint protein Tof1 (Timeless in humans)⁴³.

TOP2 α was proposed to be a key chromosome-scaffolding protein⁴⁴ and, recently, was shown to be crucial for not only for DNA decatenation but also for chromosome condensation⁴⁵ and compaction of mitotic chromosomes⁴⁶. TOP2 α sumoylation regulates its decatenating activity to ensure proper segregation of newly replicated DNA during mitosis^{47–49}. Recent studies suggest that TOP2 α is recruited to linked chromatids, which are visualized as chromatin threads that connect partially separated chromosomes and are termed anaphase ultrafine bridges (UFBs)⁵⁰, by binding to the carboxy-terminal domain of TOP2-binding protein 1 (TOPBP1)⁵¹, which is a cell cycle checkpoint factor important for rescuing stalled replication forks.

Type 1A topoisomerases also have specific interactions with chromatin that may regulate their function. TOP3 β was recently shown to form complexes with the proteins Tudor domain-containing protein 3 (TDRD3) and fragile X mental retardation protein (FMRP)^{52–54}. TDRD3 stabilizes and recruits TOP3 β to active promoters (such as *MYC* and *NRAS*) that are marked by asymmetrically dimethylated histone H4 Arg3 (H4R3me2a) and H3R17me2a. In such complexes, TOP3 β suppresses the formation of R loops at CpG islands (CGIs) in active promoters⁵⁴. TDRD3 also acts as a bridge connecting TOP3 β with the translation regulator FMRP⁵⁵. Thus, through its selective recruitment to specific chromatin regions, TOP3 β is emerging as a novel regulator of both transcription and translation.

Studies of topoisomerase activity at chromatin templates are still at a relatively early stage. Chromatin modifications and chromatin-modifying complexes are likely to be key topoisomerase regulators. The structural elucidation of macromolecular complexes that orchestrate the activities of topoisomerases will be crucial in advancing our understanding of the intricate relationships between topoisomerases and chromatin.

Guanosine quadruplexes

Nucleic acid structures in which four guanine bases are connected by hydrogen bonds and form a square planar structure. Such guanine tetrads can pile on top of each other to constitute a guanosine quadruplex.

Ultrafine bridges

(UFBs). A class of mitotic chromatin threads that form bridges linking daughter DNA molecules. UFBs contain DNA and can be stained with antibodies directed against certain helicases but cannot be stained by fluorescent intercalating dyes.

R loops

RNA–DNA hybrids in which a single-stranded RNA hybridizes to a template strand in a DNA duplex and displaces the non-template strand as a loop.

CpG islands

(CGIs). Genomic regions with a high level of CpG dinucleotides.

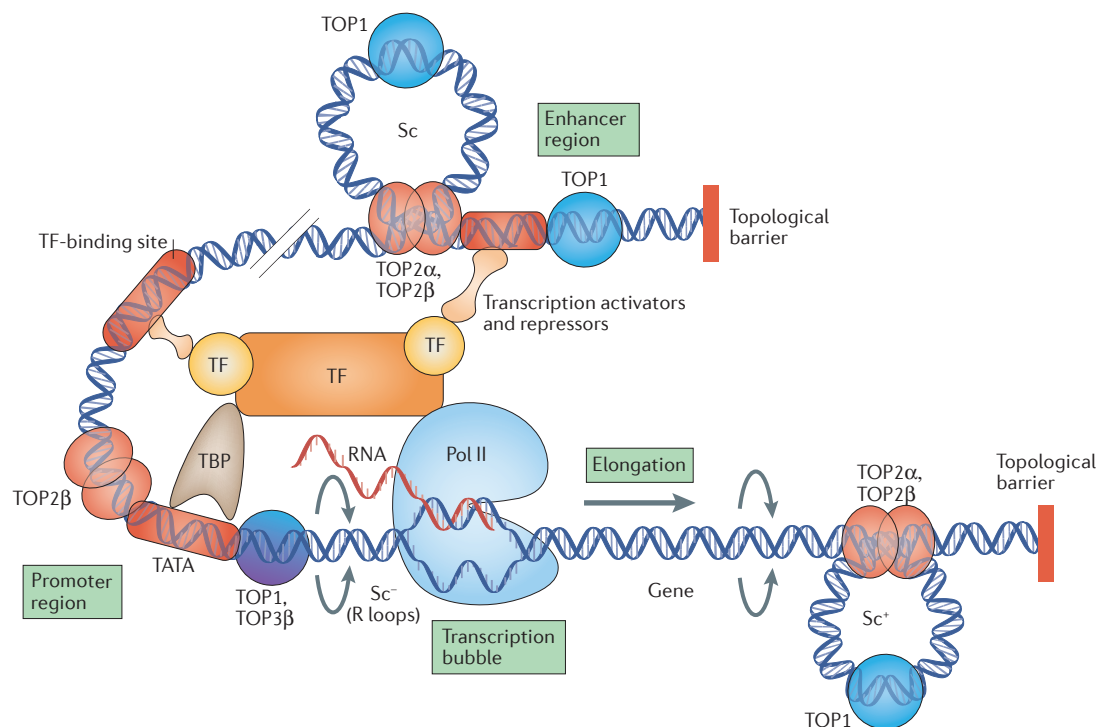


Figure 2 | Topoisomerases and transcription. Transcription incurs topological constraints that result from the progression of RNA polymerase II (Pol II). Positive supercoiling (Sc^+) of the DNA template takes place ahead of the transcription bubble, which in turn obstructs further Pol II movement, and negative supercoiling (Sc^-), which promotes the formation of RNA–DNA hybrids (R loops), accumulates behind it. TOP2 and especially TOP1 enzymes function ahead of Pol II to remove positive supercoils, whereas relaxation of negative supercoils behind the transcription apparatus relies on TOP1 and TOP3 β . In addition, TOP1 regulates the activity of the transcription factor TATA-box-binding protein (TBP) at promoter TATA boxes independently of its catalytic activity. The formation of TOP2 β -mediated transient DNA double-stranded breaks at promoter regions in certain genes is crucial for transcription activation. TOP1 is also recruited to certain enhancer regions to promote (ligand-dependent) enhancer activation by generating transient DNA single-stranded breaks. Topological barriers are genomic regions where the DNA is not free to rotate around its axis and require TOP1 and TOP2 to relax supercoils (Sc). TF, transcription factor.

Topoisomerases and transcription

Topoisomerases are required during transcription to manage the DNA supercoiling that accumulates ahead and behind the transcription machinery⁵⁶ (FIG. 2). Experiments in yeast suggested that either Top1 or Top2 could efficiently provide the topoisomerase activities required for transcription^{57,58} and that either could enhance the recruitment of RNA polymerase II (Pol II) to promoters⁵⁹. Recent results in yeast suggest that topoisomerases are required for initiating the transcription of a subset of regulated genes such as *GAL* and *PHO* (regulated by galactose and inorganic phosphate, respectively); the requirements for topoisomerases differ depending on the detailed mechanism of gene activation^{60,61}. Importantly, these studies showed that topoisomerase activity is required for transcription initiation but not for elongation or re-initiation. Conversely, another study found that highly expressed genes require both Top1 and Top2, whereas Top1 alone can support genes with low transcription levels²⁶.

Transcription initiation: give me a break?

Early studies showed that TOP1 facilitated the binding of the transcription factor TATA-box-binding protein (TBP) to the TATA box, thereby regulating

transcription initiation⁴⁰ (FIG. 2). However, catalytically dead TOP1-mutant proteins were proficient in both transcription activation and repression, which showed that DNA untwisting activity was not essential^{38–40}. One lesson from these experiments was the necessity to establish the importance of topoisomerase-mediated cleavage, which is typically determined by substitution of the active enzyme with mutants that are incapable of cleaving DNA, such as mutants in which the active site Tyr residue (FIG. 1) was substituted with a Phe residue. A recent study demonstrated that TOP1 tends to be kept inactive at transcription initiation sites to maintain negative supercoiling, which promotes duplex melting at promoters and transcription start sites, and that transcription pause–release requires Pol II-mediated activation of the DNA relaxation activity of TOP1 by bromodomain-containing protein 4 (BRD4)²⁹.

The view that topoisomerase activity per se is not necessary at promoters was challenged by the observations⁶² that transcription at oestrogen-induced promoters required TOP2 β and that a relatively long-lived DNA double-stranded break (DSB), induced by TOP2 β , persisted during transcription. Notably, in addition to TOP2 β recruitment to oestrogen-induced promoters,

Pause–release

Regulated pausing and release of RNA polymerase II in promoter-proximal regions, which initiates productive transcription elongation.

concomitant recruitment of DNA repair factors such as DNA-dependent protein kinase (DNA-PK) and poly(ADP-ribose) polymerase (PARP) was observed. Although TOP2 β activity at certain promoters would not be surprising, the fact that very high levels of breakage were observed was unexpected, as TOP2-mediated relaxation involves very low levels of cleavage in biochemical systems⁶³. The work did not indicate that the TOP2 β -mediated cleavage resulted in breaks with genotoxic consequences, and it did not provide evidence that associated repair functions were required to repair TOP2 β -induced breaks, although more recent evidence suggests that the formation of oestrogen receptor-induced breaks depends on TOP2 β ⁶⁴. A more recent study reported that TOP2 β is also recruited to the *TPMRSS2* and *ERG* promoters in an androgen-dependent manner and is required for androgen receptor-dependent gene expression. Furthermore, a consequence of TOP2 β -mediated cleavage at these promoters may be the generation of oncogenic *TPMRSS2-ERG* translocations⁶⁵. As these translocations are common in prostate cancer, these results suggest that TOP2 β activity at promoters may have a substantial role in human tumorigenesis⁶⁶. Other studies have recently provided further evidence in support of elevated levels of TOP2 β -mediated cleavage at promoters^{64,67,68}.

Box 1 | Non-canonical functions of topoisomerases

The canonical function of topoisomerases is currently understood to be to change DNA topology by producing transient DNA strand breakage. As discussed in the main text, our current understanding is that topoisomerase function may sometimes break this paradigm; that is, topoisomerases may generate long-lived DNA double-stranded breaks (DSBs) and therefore are not carrying out a full breakage and rejoining reaction. Here, we discuss several other contexts of topoisomerase function.

An exciting, newly discovered non-canonical reaction mediated by type 1A topoisomerases is their ability to function as RNA topoisomerases, as shown initially for *Escherichia coli* topoisomerase III²¹⁵, and subsequently for human TOP3 β ^{52,53}. More recently, TOP3 β was found in association with polyribosomes⁵⁵, in which the only nucleic acid present is probably RNA. The functional significance of this association is not clear; however, various type 1A topoisomerases, including *E. coli* TopA and yeast Top3, are also active RNA topoisomerases⁵⁵. Nevertheless, although the ability to act on RNA appears to be a universal characteristic of type 1A topoisomerases, further studies are warranted to elucidate how RNA is a biologically relevant substrate.

Why would RNA need to be a topoisomerase substrate? One simple consideration may be the need to efficiently handle long RNA transcripts, especially in concert with RNA processing. As TOP3 β associates with polyribosomes, topological issues arising during translation should also be considered, although what those issues might be will require further exploration.

A second non-canonical function of topoisomerases was recently suggested following the observation of TOP1 cleavage at ribonucleotides¹⁶⁷. Newly incorporated ribonucleotides may be a signal for the DNA mismatch repair pathway owing to the ribonuclease activity of TOP1 on the leading strand¹⁶⁵. Although the overall importance of this for mismatch repair has been challenged²¹⁶, the ability of TOP1 to cleave non-canonical DNA structures represents a unique adaptation of DNA topoisomerases that enables them to participate in other biological processes.

A third non-canonical function of topoisomerases — or, more precisely, topoisomerase-like proteins — is the initiation of meiotic recombination (reviewed in REF. 217). SPO11, a homologue of type 2B topoisomerases, has been shown to make permanent DSBs that are substrates for meiotic recombination. Although this function of SPO11 has been appreciated for some time, it may define a more general paradigm for topoisomerases that moonlight as enzymes that break DNA but leave the resealing for other enzymes.

What is responsible for the high levels of breakage induced by TOP2 β at gene promoters? We consider three possibilities: first, TOP2 β -induced breaks could be relatively rare or accidental. If this is correct, then the observations of TOP2 β -mediated breakage fit our current understanding of topoisomerase biochemistry. Second, the breaks could be long-lived. This could happen when the TOP2 β re-ligation reaction is temporarily blocked. Both the rare and long-lived TOPcc have the potential to become irreversible, in which case the TOPcc breaks would require repair. Last, the TOP2 β -induced breaks could be permanent. In this scenario, the enzyme has been induced by an unknown mechanism to become a nuclease (in the same way that SPO11 functions as a nuclease to initiate meiotic recombination; see BOX 1).

The recording of TOP2 β -mediated cleavage at gene promoters could be a technical artefact; for example, it could be a consequence of the assays used to measure TOP2 β -induced breaks (primarily chromatin-immunoprecipitation-based assays), which might enrich for cleavage-complex intermediates that could eventually be resealed by the enzyme. In this case, the recorded chromosome aberrations could be explained by the existence of relatively rare DSBs or by the ability of repair enzymes to initiate the removal of a catalytically competent enzyme⁶⁵. Results from the expression of neuronal genes in the mouse fetal brain have recently provided support for the existence of permanent breaks⁶⁹. Tyrosyl-DNA phosphodiesterase 2 (TDP2; discussed in detail below), which is a repair enzyme that removes TOP2 that is covalently bound to DNA, is required to maintain the expression of certain neuronal genes as well as genes regulated by the androgen receptor⁷⁰. Furthermore, a large number of neuronal genes exhibited altered (mainly reduced) expression in developing brains of *Tdp2*-knockout mice⁶⁹. Notably, several of these genes are dependent on TOP2 β for normal levels of expression⁷¹. Taken together, these results suggest that TOP2 β -mediated breakage occurs frequently enough during transcription that the damage must be repaired to sustain normal levels of transcription.

A central question is why a long-lasting or permanent break is needed when DNA topoisomerases are intrinsically able to provide a swivelling function. The fact that a site-specific nuclease could substitute for TOP2 β at a promoter⁶⁷ indicates that a DSB is sufficient for transcription activation, but does not explain why a topoisomerase-induced 'permanent' DSB would be necessary. One possibility is that it may be needed to mobilize other proteins to promoters, such as other chromatin-remodelling enzymes. A possible advantage of using a topoisomerase to induce chromatin remodelling is that the DNA breaks may still be directly reversed by the topoisomerase before becoming irreversible (that is, permanent).

TOP1 also forms transcription-associated, long-lived DNA breaks at androgen receptor-regulated enhancers⁴² (FIG. 2). Like the TOP2 β -induced breaks, nicking by TOP1 leads to the recruitment of the DNA repair machinery, including the MRE11–RAD50–NBS1

(MRN) complex and components of the base excision repair (BER) pathway, the heterodimer KU70–KU86 and DNA ligase IV of the non-homologous end-joining (NHEJ) pathway, and ataxia telangiectasia and Rad3-related protein (ATR). These observations support the importance of topoisomerase-linked permanent breaks during transcription activation.

The function of topoisomerases in cleaving DNA to generate a DNA damage signal and potentially permanent DNA breaks may not be confined to promoters and enhancers. A recent report showed that Pol II pausing occurs in part owing to the accumulation of superhelical tension and suggested that topoisomerase-mediated breaks may be necessary to release the stalled polymerase⁷². This brings forward the possibility that topoisomerases persistently cleave the DNA at a much greater frequency than previously anticipated, which could pose threats to genomic stability in the absence of efficient repair (see below). A convincing explanation as to why a persistent break provides a better swivel than a topoisomerase is still lacking.

Transcription elongation

Although there is no global effect of either mutated TOP1 or mutated TOP2 on transcription, the transcription of long genes (in yeast, greater than ~3 kb) is greatly reduced when Top2 is inactivated⁷³. In human cells, to be fully active, TOP1 needs to be phosphorylated⁷⁴, and a recent study showed that pause–release of Pol II requires the direct activation of TOP1 by Pol II upon the phosphorylation of Pol II by BRD4 (REF. 29). These observations demonstrate that TOP1 and Pol II activities are coupled. Studies in mouse and human cells also point towards a combined role of TOP1 and TOP2 β in transcription elongation at long genes. Indeed, trapping TOP1 in a cleavage complex using camptothecin or its clinical derivative topotecan (see below) in cancer cells inhibits transcription elongation⁷⁵ with increasing efficiency as the genes become longer and contain more introns⁷⁶. Notably, neuronal cells treated with topotecan also show strong inhibition of expression of long genes (>200 kb) linked to autism⁷⁷ and synaptic function⁷⁸. The effect of topotecan is probably not due to TOP1-induced DNA damage because depletion of TOP1 or of TOP2 β elicited a similar inhibitory effect on transcription⁷⁷. These experiments indicate that efficient expression of long genes requires both TOP1 and TOP2 enzymes. Interestingly, an analysis⁷⁷ found that TOP2 β -dependent neuronal genes⁷¹ tended to be long genes as well.

Inhibition of transcription elongation by topoisomerase inhibitors has unanticipated therapeutic implications. A screen of small molecules was carried out to identify potential therapeutic agents for Angelman syndrome⁷⁹. Angelman syndrome arises from point mutations or deletions in the maternal allele of the ubiquitin ligase-encoding gene *UBE3A* (ubiquitin protein ligase E3A). *UBE3A* is biallelically expressed in most tissues, but only the maternal allele is expressed in neurons⁸⁰. Individuals carrying a mutated, maternally derived *UBE3A* allele exhibit symptoms of Angelman syndrome, with severe neurodevelopmental defects,

and the purpose of the screen was to identify molecules that could reactivate the paternal allele. Surprisingly, the TOP1 inhibitor irinotecan was the only US Food and Drug Administration (FDA)-approved drug to come out of the screen⁷⁹. Further testing extended this result to the other TOP1 inhibitors as well as TOP2 inhibitors, which all led to the indirect reactivation of the silenced allele in primary mouse cortical neurons through inhibition of the transcription of a long, *cis*-acting antisense transcript derived from the paternal *Ube3a* locus termed *Ube3a-ATS*⁷⁹. A related study has provided further mechanistic insight, showing that topotecan acts not just by trapping TOP1 and forming a physical impediment to elongation ahead of the transcription complex but also by sequestering TOP1, thereby inhibiting its enzymatic activity and inducing the formation of R loops behind the transcription complex in CpG-rich regions, which block the Pol II complex (see below) before it reaches the *Ube3a-ATS* locus⁸¹. Indeed, without TOP1 (or TOP2) to eliminate the negative supercoiling generated by the unwinding of the DNA template behind Pol II, the nascent transcript tends to remain associated with its template, thereby forming R loops (FIG. 2).

Transcription-mediated R-loop formation

At the transcription bubble, nascent transcripts are normally bound to their template only over a short stretch, on average 8 nucleotides or less. Longer RNA–DNA hybrids are termed R loops, and these have the capacity to stop the elongating polymerase⁸². Certain genomic regions are prone to R-loop formation, such as unmethylated CGIs, the 3' end of genes, ribosomal DNA loci, highly transcribed genes and recombination sites. R loops are actively removed by RNases H1 and H2, as well as by helicases, including SNX and DEAH box protein 9 (DHX9; also known as RHA)⁸³. As discussed above, TOP3 β can suppress R-loop formation in association with TDRD3 (REFS 54,84); purified TOP3 β resolves R loops by cleaving the unpaired DNA strand^{54,85} (FIG. 1f).

Loss of Top1 and Top3 activity in yeast^{86–88} and reduced activity of TOP1 and TOP3 β in human cells^{54,84,89} lead to an accumulation of R loops. Poisoning of TOP1 by camptothecins also effectively leads to the formation of R loops that give rise to DSBs and activate an ataxia telangiectasia mutated (ATM)-mediated DNA damage response^{90,91}. These R loops could result from a deficiency in TOP1 catalytic activity, but it is plausible that the R loops are directly associated with the trapped TOP1cc and with TOP1cc-induced Pol II arrest^{91,92}. The mechanisms by which R loops give rise to DSBs and activated ATM are not clearly established, although they have been proposed to involve transcription-coupled nucleotide excision repair factors⁸⁴. In addition, TOP1cc could facilitate the formation of short transcripts, such as antisense transcripts, which could serve as R-loop components^{93,94}.

Topoisomerases in DNA replication

The roles of topoisomerases in replication derive extensively from work carried out in *Escherichia coli*, which has shown that topological constraints during replication

elongation are managed by the concerted actions of DNA gyrase, which relaxes positive supercoils ahead of the replication fork, and the DNA decatenation activity of Topo IV⁹⁵. Our initial understanding of topoisomerase functions in eukaryotic replication (and chromosome segregation) was based on studies in yeast, in which budding and fission yeast have provided contrasting perspectives. Early experiments in topoisomerase-deficient mutants suggested that neither Top1 nor Top2 was absolutely required for replication initiation or elongation, whereas Top2 was absolutely required for the separation of fully replicated chromosomes. Cells lacking both Top1 and Top2 activities were severely but not entirely deficient in replication elongation^{58,96,97}, leading to Rad53-dependent DNA damage checkpoint activation⁹⁷. These observations led to a model in which either topoisomerase could relieve positive supercoiling arising from replication fork progression. As we discuss below, the function of individual topoisomerases during ongoing replication and how topoisomerases are recruited to sites of replication initiation and elongation turned out to be subtler than originally described.

Roles of topoisomerases in replication initiation

TOP1 and TOP2 enzymes have been found localized to origins of DNA replication^{98–100}; however, studies in metazoan cells have not demonstrated a direct role for any topoisomerase in replication initiation (reviewed in REF. 101). *Xenopus laevis* oocyte extracts are proficient in replication initiation when Top2α is depleted (such extracts do not contain Top2β). In this system, Top2α was suggested to suppress the licensing and firing of replication origin clusters by interfering with the loading of the MCM2–7 replicative helicase at the origin¹⁰². In mammalian cells, conditional knockdown of both TOP2α and TOP2β simultaneously did not affect replication initiation or elongation¹⁰³. Taken together, these results show that type 2 topoisomerases are not required for replication initiation. It is possible that topoisomerases need to be kept inactive at replication origins to maintain negative supercoiling and to allow priming from the single-stranded origin template³⁰ (FIG. 3a).

One potential function for topoisomerases in replication initiation may be blocking of aberrant replication initiation. Reconstituted *in vitro* bacterial replication systems require a topoisomerase to prevent erroneous initiation at sites of R loops other than replication origins¹⁰⁴. Recent results in yeast suggest that topoisomerases may perform similar functions in eukaryotes. Yeast cells lacking RNase H activity, which is necessary for resolving R loops, exhibit aberrant replication initiation in ribosomal genes and absolutely require Top1 for viability¹⁰⁵, which suggests that the high levels of transcription in the ribosomal gene cluster leads to elevated levels of supercoiling that enhance R-loop formation. This effect is circumvented by the degradation of the RNA in R loops by RNase H, and accentuated by elevated supercoiling that arises when Top1 is absent. However, it is possible that the synthetic lethality observed in cells lacking Top1 and RNase H may arise from other genotoxic properties of R loops⁹⁰.

Replication elongation

As the replication fork progresses, positive supercoiling accumulates ahead of the replication fork, which can be relaxed by either TOP1 or TOP2 (FIG. 3b). Most models have postulated that TOP1 acts ahead of the fork and that TOP2 acts behind the fork. Trapping of TOP1 by camptothecin leads to replication stalling and replication fork run-off, a result consistent with TOP1 acting ahead of the fork¹⁰⁶. Of note, TOP2α has a distinct preference for relaxation of positively supercoiled DNA⁶³, suggesting that it too may be capable of acting in front of the replication fork.

Rotation of the replication fork can alleviate the superhelical tension, but it also leads to interweaving of the daughter chromatids behind the replisome^{107,108} (FIG. 3b). The resulting sister chromatid intertwinings are commonly referred to as precatenanes. Formally, precatenanes are not topologically equivalent to catenanes, as they are present in structures that contain DNA strand breaks at the rear of the DNA polymerases and therefore are not unique topological isomers of DNA. However, upon the completion of replication, precatenanes that are not removed will be converted to catenanes¹⁰⁹ that will need to be removed by TOP2α^{109,110}.

What drives the choice between relaxation of positive supercoils ahead of the replication fork versus fork rotation that generates precatenanes? Relaxation of positive supercoiling is likely to be simpler because fork rotation requires the dislocation of large replication machineries, which probably impedes their efficient movement. However, relaxation of positive supercoiling is sometimes not possible. For example, when replication forks converge (FIG. 3c), physical constraints may prevent access of topoisomerases to DNA ahead of the forks^{111,112}. There are several other contexts in which fork rotation seems to be enhanced, including at heterochromatin and especially at centromeric heterochromatin (reviewed in REF. 113). The regions where fork rotation is favoured would be expected to be enriched for catenanes and hemicatenanes, which if not resolved by TOP2 or TOP3 enzymes¹¹⁴, are potentially at risk of incomplete resolution before mitosis. However, if the catenation of DNA needs to be maintained for proper chromosome structure and sister chromatid cohesion (reviewed in REF. 113), then the mechanics at replication forks might regulate precatenane formation to ensure catenation at appropriate locations.

Recently, replication pause sites in yeast were found to stimulate fork rotation (and therefore the generation of precatenanes)¹¹⁵. Replication pause sites are frequently associated with very stable protein–DNA complexes. An intriguing hypothesis is that persistent protein–DNA complexes can restrict the accessibility to DNA or action of topoisomerases that normally relax positive supercoiling, thereby stimulating fork rotation instead. The Top1–Csm3 heterodimer is part of the fork protection complex¹¹⁶ and minimizes fork rotation, which is consistent with the observations that Top1–Csm3 restricts replication rates by binding to pause sites¹¹⁷ and that Top1 is a Top1-binding partner⁴³. Also, the human orthologue of the Top1–Csm3 heterodimer, Timeless–Tipin, is required for replication

Replication origin clusters

Replication origins that tend to fire in coordinated clusters and usually in the same genomic region during S phase.

Replication fork run-off

A consequence of replication fork collision with a TOP1 cleavage complex (TOP1cc) on the leading strand template, resulting in the formation of an irreversible TOP1cc and a DNA double-stranded end.

Catenanes

Topologically interlocked cyclic DNA molecules. Long, linear DNA molecules can approximate these properties and be referred to as catenated, even though this is not formally correct.

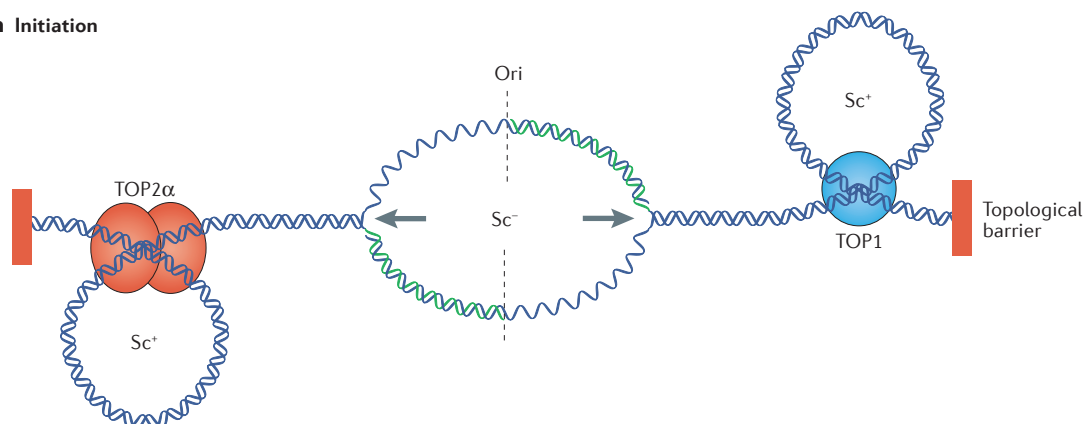
Hemicatenanes

A junction of two homologous DNA double helices, in which one strand of a duplex is interlinked with the complementary strand of the other duplex. Unlike catenanes, hemicatenanes can occur in linear DNA molecules.

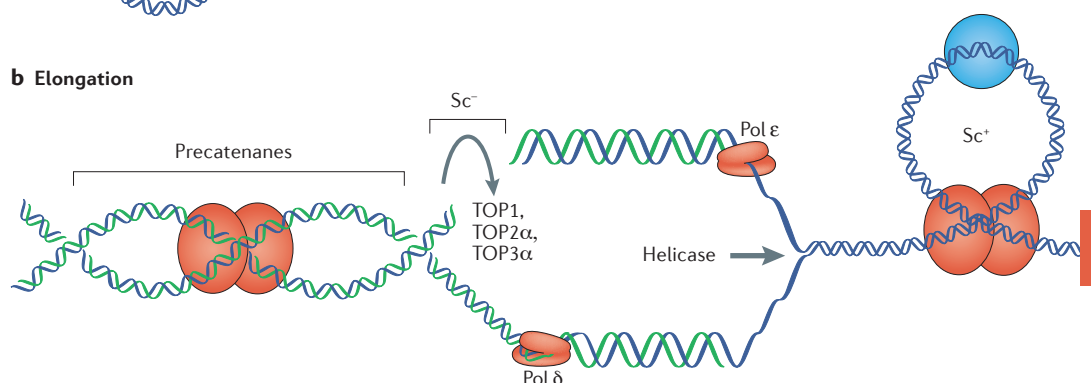
Fork protection complex

A complex consisting of Timeless, Tipin and other components that stabilizes stalled replication forks and helps to coordinate leading- and lagging-strand synthesis and replication checkpoint signalling.

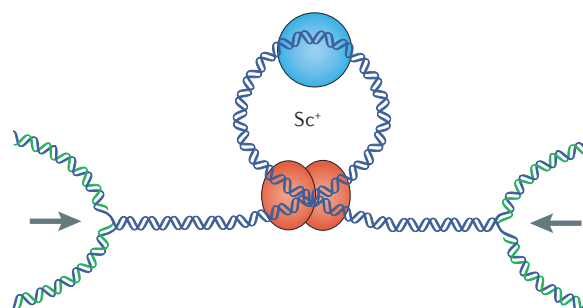
a Initiation



b Elongation



c Converging forks



d Decatenation



Figure 3 | Functions of topoisomerases in DNA replication. a | Initiation of DNA replication requires separation of the two parental strands, which generates negative supercoiling (Sc^-) at the origin of replication and positive supercoiling (Sc^+) in the flanking regions owing to topological barriers, such as nuclear matrix attachment sites or insulators, where the DNA is not free to rotate around its axis. Positive supercoiling is dissipated by TOP1 and TOP2α to allow replication fork progression (indicated by the arrows). **b** | Replication elongation generates positive supercoiling ahead of the replication fork and negative supercoiling behind it. Positive supercoiling is removed by TOP1 and TOP2α, whereas negative supercoiling can be removed by TOP1, TOP2α or TOP3α. TOP2α can also remove precatenanes, which are formed when the fork rotates during elongation. **c** | Converging forks generate high positive supercoiling between them. **d** | Upon replication completion, catenanes are removed by TOP2α (left) and hemicatenanes are removed by TOP3α (right). Pol, DNA polymerase.

elongation and for avoiding fork breakage^{118,119}, potentially by regulating TOP1 activity and preventing replication fork collapse at TOP1 cleavage sites^{43,119}.

Topological tension may not be dependent only on local chromosomal features. Studies in budding yeast suggested that long chromosomes accumulate greater superhelical stress than short chromosomes¹²⁰. Of note, deletion of *TOP1* results in defects in the replication of long, but not short, chromosomes¹²¹. Similar negative effects on replication were seen in long chromosomes in cells lacking components of the condensin-related complex, Smc5–Smc6 (REF. 121). These results might be explained by enhanced levels of precatenane formation in the absence of functional TOP1 and condensin, which is involved in the resolution of precatenanes. Considering that catenanes are genotoxic¹¹⁵, these results suggest that TOP1 is also acting ahead of the fork to relax positive supercoils, thereby attenuating replication rotation and precatenane formation behind the forks.

Termination and chromosome segregation

Several studies implicate both TOP2 and TOP3 enzymes in replication termination and sister chromatid separation^{99,114,122–124} (FIG. 3d). TOP2 is required particularly at the point where replication forks converge¹¹². It should be noted that type 1A topoisomerases probably contribute to decatenation following replication termination at least in some contexts, but type 2 topoisomerases are probably the most important decatenases. In yeast, Top2 was present at sites of replication termination¹²² and catalytically inactive Top2 interfered with the completion of replication. Subsequently, Top2 was found to be recruited to yeast termination region (TER) sites before the arrival of replication forks, to promote fork fusion¹²⁵. Top2 was thus proposed to decatenate sister chromatids following fork fusion¹²⁵, as its activity is required to preserve genome integrity, presumably by allowing completion of replication, and to prevent breakage of chromosomes at subsequent steps of cell division. In addition to the recruitment of Top2 to replication fork barriers and TER sites¹²⁵, Sgs1, the yeast orthologue of the human BLM helicase, promotes Top2-mediated decatenation¹²⁶. RECQL5, a mammalian RecQ helicase, also facilitates human TOP2 α -dependent separation of interlocked sister chromatids by interacting with TOP2 α during S phase¹²⁷. The interaction of BLM with TOP2 α seems to be important for BLM function during homologous recombination¹²⁸, and it has also been suggested to be relevant for chromosomal decatenation¹²⁹.

What are the cellular consequences of a failure to properly decatenate chromosomes? When Top2 activity is experimentally abolished in yeast, chromosomes fail to separate and mitosis is blocked, possibly leading to endoreduplication^{4,130} and ultimately to cell senescence or cell death¹³¹. In other cases — for example, when replication cannot be completed during S phase — the unreplicated chromosomal regions remain connected by UFBs, which were first identified as structures coated with BLM¹³². Subsequently, Polo-like kinase-interacting checkpoint helicase (PICH; also known as ERCC6L) was shown to localize to UFBs with BLM¹³³. UFBs have

some, but not all, of the characteristics of more conventional chromatin bridges, but they arise frequently even in what appear to be normal mitoses. The DNA in conventional chromatin bridges is readily stained by DNA intercalating dyes and is bound by histones, whereas UFBs cannot be visualized by DNA staining¹³³. UFBs appear to arise at specific loci, especially centromeres. It is noteworthy that at least some of the specific loci are sites that may accumulate precatenanes. What is the evidence that UFBs arise from unresolved catenation? TOP2 inhibition greatly increases the number of UFBs, and the distribution of UFBs to specific loci becomes less well defined¹³³.

The finding that UFBs arise in part from uncompleted decatenation is consistent with TOP2 α being crucial for avoiding their formation¹³⁴. Interestingly, there seem to be several factors that participate in recruiting TOP2 α to UFBs, including BLM and PICH⁵⁰ and probably TOPBP1 (REF. 51). TOP3 α , which is known to interact with BLM, has single-stranded DNA decatenase activity and resolves double Holliday junctions, and may also assist in the resolution of UFBs. Mutations in the chromatin-remodelling BAF complexes, which are frequently found in human cancers¹³⁵, resulted in the frequent formation of UFBs, a predicted consequence of incomplete decatenation of chromosomes³³. The study also showed that TOP2 α associates with the BAF250 subunit and that a deficiency of BAF complexes reduces the recruitment of TOP2 α to a large number of chromosomal sites³³. These results provide some of the strongest evidence that failure to properly decatenate chromosomes can be oncogenic and that topoisomerases are tightly regulated by chromatin-remodelling complexes.

Given the importance of topoisomerases in cell division, topoisomerase deficiency during cell division was proposed to lead to cell cycle arrest. Treating cells with the TOP2 catalytic inhibitor ICRF-193 or its derivatives slows (but does not block) progression through mitosis^{136–139}. This observation suggested that cells might monitor the separation of catenated DNA molecules before mitosis. Interestingly, cells that go through mitosis in the complete absence of a type 2 topoisomerase, and therefore have highly catenated DNA molecules, do not show mitotic delay, leading to the suggestion that TOP2 is required for a ‘topoisomerase checkpoint’ (REFS 122,140). Several genes have been reported to be involved in what has also been termed a decatenation checkpoint, including the tumour suppressors *BRCA1* (REF. 141) and *PTEN*¹⁴² and genes required for the spindle assembly checkpoint¹⁴³. It is likely that abrogation of checkpoints that ensure complete chromosome decatenation has the potential to generate severe genomic instability.

Topoisomerases and chromosome condensation

After completing replication, chromosomes undergo compaction (condensation) as a prerequisite for entering mitosis. TOP2 α has been identified as a main component of the chromosome scaffold⁴⁴. The roles of TOP2 in chromosome condensation have been reviewed very recently^{113,144,145} and are not described in detail here.

Endoreduplication

Repeated replication of the nuclear genome in the absence of cell division; it can lead to polyploidy.

The salient features have recently been elaborated in an elegant and simple *in vitro* reconstitution⁴⁵. One key feature that deserves comment here is the utilization of positive supercoiling that is mediated by condensin to ensure complete chromosome decatenation. Positive supercoiling takes place on catenated centromeric plasmids when *Saccharomyces cerevisiae* cells undergo mitosis. Top2 is directed to the interlinked DNA and decatenates the molecules in response to mitotic positive supercoiling, suggesting that DNA topological constraints impel Top2 to catalyse decatenation to ensure successful cell division¹⁴⁶. Also, in budding yeast, longer chromosomes and topological stress induced by perinuclear attachment of telomeres promote the retention of intertwined sister chromatids interlocked at the ribosomal DNA array, leading to late resolution of this locus by Top2 at anaphase¹²⁰.

Mitochondrial topoisomerases

Mitochondrial DNA (mtDNA) is a circular, double-stranded genome (16.5 kb long in humans), which is packaged into DNA–protein assemblies called nucleoids that are attached to the mitochondrial inner membrane in the matrix space. Because cells contain multiple mtDNA copies (thousands in a muscle cell)¹⁴⁷, mtDNA contributes up to 30–50% of the overall protein-coding genome. The mtDNA circular structure, its attachment to the inner mitochondrial membrane and its high level of transcription from twin promoters located in a short non-coding region explain the need for mtDNA maintenance by topoisomerases.

Mitochondrial topoisomerases are encoded in the nuclear genome. In vertebrates, two of them are specifically directed to mitochondria: TOP1mt (FIG. 1) and a long TOP3α isoform. Both contain a mitochondrial-targeting sequence (MTS) at their amino terminus. The *TOP3A* gene encodes both mitochondrial TOP3α and nuclear TOP3α. Mitochondrial TOP3α is produced by an alternative, upstream translation initiation site²². In addition, two other topoisomerases were recently found in human and mouse mitochondria, TOP2α and TOP2β²³, which means that no less than four topoisomerases handle mtDNA. The presence of TOP2α and TOP2β in mitochondria²³ is probably related to the fact that neither TOP1mt nor TOP3α can decatenate circular duplex DNA following mtDNA replication.

Why do animal mitochondria require so many different topoisomerases, especially considering that in yeast topoisomerases for mitochondrial replication and transcription are unknown? The answer to this question is beginning to emerge from genetic models for TOP1mt and TOP3α. *Top1mt*-knockout mice have no obvious phenotype but, when challenged with the TOP2 inhibitor doxorubicin, they develop lethal cardiotoxicity with profound alterations of mitochondria ultrastructure and mtDNA copy number¹⁴⁸. Furthermore, when *Top1mt*-knockout mice are challenged with a liver toxin (carbon tetrachloride), they fail to rapidly regenerate their liver and exhibit increased mitophagy¹⁴⁹. Both phenotypes suggest that TOP1mt is important for mtDNA replication under conditions in which an organ

needs to couple its mtDNA mass with rapid cellular proliferation. In addition, mouse embryonic fibroblasts generated from *Top1mt*-knockout mice have increased mtDNA negative supercoiling, implying a selective role for TOP1mt in relaxing the negative supercoiling of mtDNA. Thus, TOP1mt is not essential but appears to be crucial for mtDNA replication and structure under certain metabolic conditions.

Genetic studies in *Drosophila melanogaster* are revealing the specific functions of Top3α in mitochondria. Lack of Top3α is early embryonic lethal. Viability can be rescued by complementation with the short, nuclear Top3α, but these flies, which lack the longer mitochondrial Top3α, are sterile because they lose germline stem cells¹⁵⁰. In addition, they have a shortened lifespan and age-related loss of mtDNA and mitochondrial mass¹⁵¹. Thus, these results show that Top3α is crucial for gamete production and mtDNA genomic stability. These functions may relate to the role of TOP3α in mtDNA replication, as the mtDNA regulatory D-loop region contains single-stranded DNA junctions, which are TOP3α substrates.

The role of topoisomerases in mitochondria remains understudied and, to date, the only inhibitors of mitochondrial topoisomerases — lamellarin D for TOP1mt and TOP1 (REF. 152) and doxorubicin for TOP2α and TOP2β¹⁴⁸ — poison both the mitochondrial and nuclear topoisomerases. However, based on genetic evidence, it is plausible that TOP1mt and TOP3α mechanistically connect mitochondrial diseases and cardiac and neurological defects, as well as premature ageing.

TOPcc, genomic integrity and disease

A lack of topoisomerase activity may result in failure to complete replication, excess supercoiling, persistent catenation and even knots in DNA. Cells underexpressing TOP1 or TOP1mt exhibit hypernegative supercoiling in their nuclear or mitochondrial genomes, respectively^{23,34}. Insufficient TOP1 activity can induce genomic breaks¹⁵³ and could generate interference between transcription and replication⁸⁹. Elevated DNA damage is observed in cancer cells with insufficient SMARCA4 owing to defective recruitment of TOP1 and TOP2α to chromatin^{33,34}. Inactivation of the TOP3β cofactor TDRD3, which serves as a bridge between TOP3β and Arg-methylated histones^{52,53}, leads to TOP3β depletion and increases R-loop formation and chromosome translocations involving the *MYC* gene⁵⁴. Moreover, knocking out mitochondrial *Top3α* results in accelerated ageing, sterility and neurological deficiencies in flies¹⁵¹. The importance of topoisomerases in genomic maintenance may also explain why cancer cells, which are under replicative and transcriptional stress, frequently overexpress both nuclear and mitochondrial topoisomerases (The Cancer Genome Atlas (TCGA) data).

Poisoning topoisomerases by therapeutic agents

Topoisomerase-induced DNA damage is the mechanism of action of a large number of anticancer drugs, which trap TOPcc and thereby are used to induce DNA damage for therapeutic purposes (TABLE 1 and reviewed

D-loop

A DNA structure formed when the two strands are separated and held apart by a third strand that is complementary to one of them. The other strand is displaced and forms a D (DNA) loop.

Table 1 | **Drugs, DNA alterations and physiological processes that lead to the formation of persistent TOPcc**

Causes	Consequences for TOP1 enzymes	Consequences for TOP2 enzymes
Anticancer drugs acting as interfacial inhibitors ¹⁵⁵	Trapping of TOP1cc by irinotecan, topotecan, indenoisoquinolines* and tumour-targeting camptothecin derivatives ^{3,154,155}	Trapping of TOP2cc by etoposide, teniposide, doxorubicin, epirubicin, idarubicin and mitoxantrone ⁴
Oxidative DNA lesions (8-oxoguanine, 8-oxoadenosine and 5-hydroxycytosine)	Induction and trapping of TOP1cc ^{218,219}	Induction and trapping of TOP2cc ²²⁰
Abasic sites and DNA mismatches	Formation of irreversible TOP1cc ²²¹	Formation of irreversible TOP2cc ^{220,222–225}
Carcinogenic base adducts (methylated bases, exocyclic adducts, benzo[a]pyrene adducts and crotonaldehyde adducts)	Induction and trapping of TOP1cc ^{226–232}	Induction and trapping of TOP2cc ^{220,233–235}
Nicks and DNA strand breaks	Formation of irreversible TOP1cc, double-stranded breaks, genomic deletions and recombination ^{18,167,168,236,237}	Formation of irreversible TOP2cc ²³⁵
UV lesions (pyrimidine dimers and 6.4-photoproducts)	Induction of TOP1cc ^{238,239}	Enzymatic inhibition ²⁴⁰
Ribonucleotide incorporation into DNA	Formation of TOP1cc that generate nicks with 2',3'-cyclic phosphate ends and short deletions in repeat sequences ^{166–168}	Stabilization of TOP2cc with asymmetrical cleavage ^{20,169,241}
Natural and food products	Unknown	Stabilization of TOP2cc by flavones, tea and wine products ²⁰⁵
Genetic defects	Unrepaired TOP1cc due to TDP1 defects ^{177,206,210} in cooperation with ATM defects ¹⁷⁹	Unrepaired TOP2cc due to TDP2 defects ⁶⁹
Transcription activation	Stabilization of TOP1cc at enhancers ⁴²	Stabilization of TOP2cc at promoters ^{62,65,242,243}

ATM, ataxia telangiectasia mutated; TDP, tyrosyl-DNA phosphodiesterase; TOPcc, topoisomerase cleavage complex.

*Indenoisoquinoline derivatives are in clinical trials.

in REFS 4,9,154,155). The selectivity of topoisomerase poisons for cancer cells remains incompletely understood. The main (not mutually exclusive) hypotheses are that cancer cells overexpress (TCGA data) and rely more on TOP1 and TOP2α for survival, and that DNA damage repair pathways are defective in cancer cells.

Because TOP1 is covalently linked to DNA 3' ends (FIG. 4a), TOP1 inhibitors act as replication poisons by generating replication-induced double-stranded ends when a replication fork collides with a trapped TOP1cc, thereby generating a 'replication run-off' lesion¹⁰⁶ (FIG. 4b). Replication fork reversal at these blocking sites was also proposed to generate 'chicken foot' lesions¹⁵⁶ (FIG. 4c), which may be resolved by the MUS81–EME1 endonuclease^{157,158}.

Another consequence of persistent TOPcc is the stalling of transcription complexes. As discussed above, TOP1 inhibitors efficiently block transcription elongation, accounting for the preferential impact of TOP1cc on long and on highly transcribed genes^{76,77,79}. Although transcriptional inhibition is believed to contribute only marginally to the anticancer activity of topoisomerase inhibitors, it may offer therapeutic potential for neurological and immune diseases, as demonstrated in animal models of Angelman syndrome⁷⁹. Similarly, transcription suppression by TOP1 inhibitors was recently shown to protect mice against viral and bacterial infections by suppressing the lethal overexpression of inflammatory immune response genes¹⁵⁹.

Poisoning of topoisomerases by DNA alterations

DNA is reactive to endogenous metabolites and exogenous carcinogens¹⁶⁰. It is now well established that many DNA alterations interfere with topoisomerase reactions and frequently give rise to elevated levels of TOPcc and DNA damage (FIG. 4; TABLE 1). It is notable that many of these DNA alterations are frequent. Oxidative lesions have been estimated to occur at a rate of approximately 150,000 per cell per day, and base modifications, base losses and single-stranded breaks have each been estimated to occur at a rate of several thousands and up to 10⁵ lesions per cell per day^{160–163}.

Incorporation of ribonucleotides into the DNA by replicative and repair DNA polymerases has emerged to be even more frequent than oxidative lesions, with up to one ribonucleotide incorporated per thousand bases during normal replication in yeast^{164,165}. RNase H2 is the enzyme that efficiently removes these ribonucleotides; however, if TOP1 binds to them before RNase H2, they can be converted into nicks after TOP1 cleavage at the ribose^{166,167} (FIG. 4j–l). These nicks are generated by nucleophilic attack from the 2'-ribose hydroxyl, which releases TOP1 and generates a 3' end consisting of a 2',3'-cyclic phosphate (FIG. 4k). Such nicks cannot be extended by DNA polymerases or joined by ligases^{166–168}. The ribonuclease activity of TOP1 has recently been shown to generate TOP1-mediated short deletions in transcribed sequences that contain short repeats following sequential cleavage by TOP1 upstream from the nick^{167,168} (FIG. 4m). In addition,

'Chicken foot' lesions

DNA structures in which newly replicated nascent strands anneal to each other following the retraction of the replication fork. The structure looks like a chicken foot.

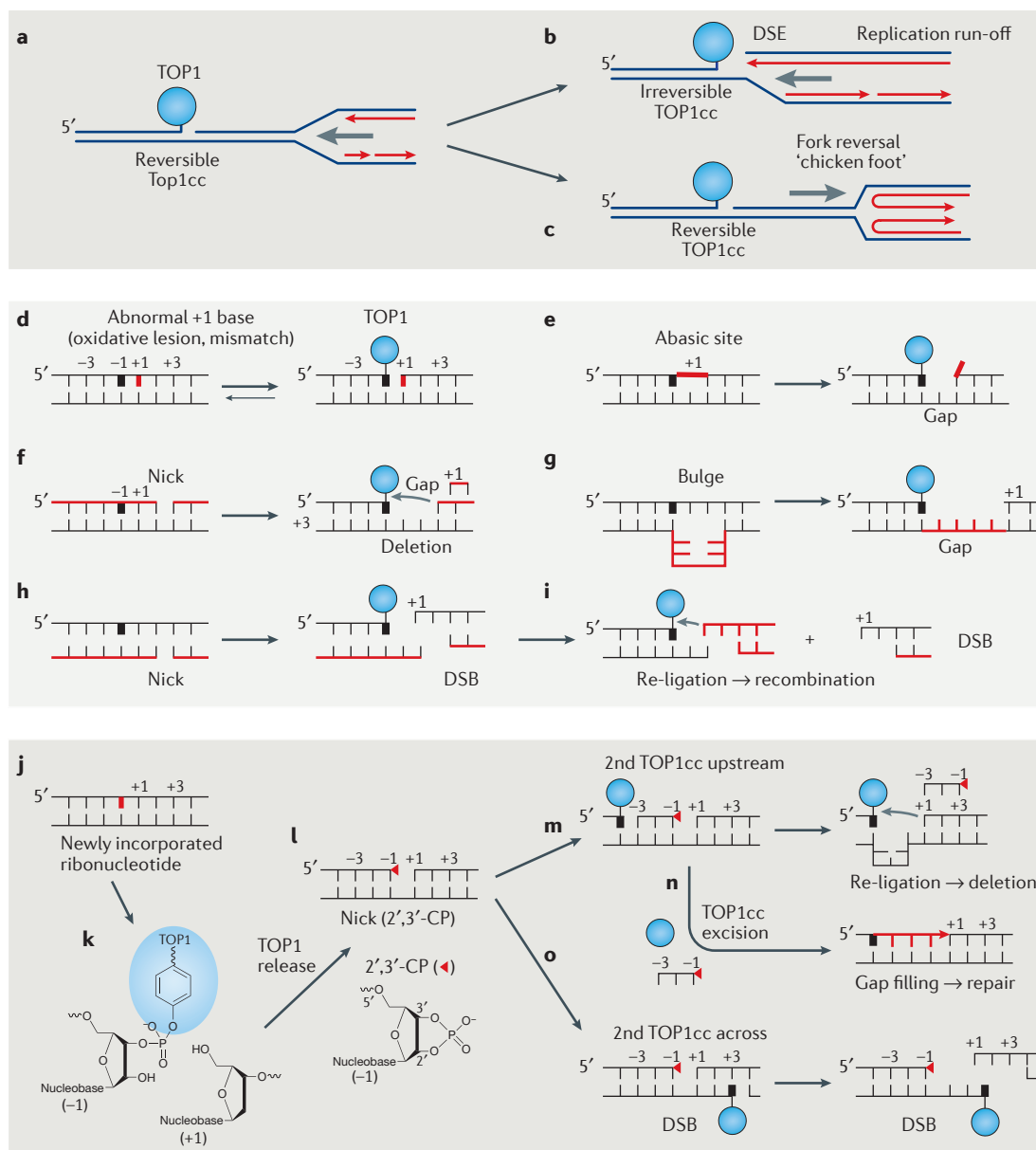


Figure 4 | Topoisomerases and DNA damage. **a–c** | Collision of a replication fork into a TOP1 cleavage complex (TOP1cc; part **a**) produces replication run-off (part **b**) in which newly replicated DNA (red) is extended by DNA polymerases up to the 5' end of the broken DNA, thereby generating a double-stranded end (DSE), which is repaired by homologous recombination. Alternatively, replication fork reversal regenerates a reversible TOP1cc and produces a 'chicken foot' structure (part **c**). **d–i** | Processing of TOP1cc can produce DNA damage. The –1 base to which TOP1 is covalently linked to cleave DNA (FIG. 1a) is shown as a thick black bar; the red lines represent newly synthesized DNA strands. Efficient re-ligation of TOP1cc relies on stacking and hydrogen bonding with the +1 base (FIG. 1a), which is disrupted by oxidative base damage and mismatches (part **d**) (TABLE 1). The presence of an abasic site at the +1 position interferes with re-ligation by the 5'-hydroxyl end owing to loss of base stacking and hydrogen bonding (part **e**). A TOP1cc 5' end and a pre-existing nick result in loss of the DNA segment between them (gap) and can lead to deletions due to the efficient re-ligation activity of TOP1 (part **f**). Formation of a TOP1cc at a bulge or a loop can readily generate a stretch of single-stranded DNA at the gap (part **g**). A TOP1cc opposite to a nick results in a DNA double-stranded break (DSB; part **h**), which can be resealed by TOP1 (part **i**) with another broken DNA end (red), thereby generating mutations. **j–o** | Following ribonucleotide incorporations into the DNA (shown in red) during replication (part **j**), a TOP1cc forming at a ribonucleotide site (part **k**) is reversed by nucleophilic attack of the 2'-ribose hydroxyl, which generates a 2',3'-cyclic phosphate (2',3'-CP) end (indicated by the arrowhead) with the release of catalytically active TOP1 (part **l**). Sequential cleavage at a nearby nucleobase by the released TOP1 or by another TOP1 can generate a short deletion (part **m**) when the TOP1 forms a cleavage complex on the same strand, upstream of the 2',3'-CP. Alternatively, endonucleolytic cleavage (not shown) or excision of the TOP1cc by tyrosyl-DNA phosphodiesterase 1 (part **n**) followed by gap filling can repair the DNA while eliminating the ribonucleotide. When the sequential cleavage by a TOP1 is on the opposite strand to the 2',3'-CP, a DSB is formed (part **o**).

Epistatic

A genetic phenomenon in which an observed phenotype depends on the presence of other genes. Epistatic interactions can arise when different genes encode components of a complex or when two genes function in a linear biochemical pathway.

it is plausible that TOP1-generated 2',3'-cyclic phosphate nicks could readily give rise to DSBs when a second TOP1cc forms on the strand opposite to the nick (FIG. 4o). In contrast to TOP1 enzymes, TOP2 and TOP3 enzymes cannot generate nicks at newly incorporated ribonucleotides because of their covalent linkage to the DNA 5' end, which is too far from the 2'-ribose hydroxyl to release TOP2 or TOP3. However, TOP2cc that form on a ribonucleotide tend to reverse slowly and may also be an important source of genomic alterations^{20,169}.

Repair of TOPcc

Two alternative pathways can remove TOPcc: the TDP excision pathway and the nuclease pathway (FIG. 5). TDP1 and TDP2 have been recently reviewed^{9,170,171}, and we highlight only some points here. The redundancy of the repair pathways is exemplified in yeast, in which knocking out *TDP1* results in only weak hypersensitivity to Top1 poisons unless a second pathway, such as the Rad9 cell cycle checkpoint¹⁷² or the endonuclease complex Rad1–Rad10 (XPF–ERCC1 in humans; see below), is also inactivated^{173,174}. The substrate specificity of TDP1 extends beyond the repair of nuclear TOP1cc and TOP2cc. TDP1 excises a broad range of 3'-end-blocking lesions, including 3'-phosphoglycolates and chain-terminating nucleoside analogues, both in the nucleus and in mitochondria^{175,176}. The phenotype of *TDP1* inactivation depends on the type of mutation (as in the

case of the TDP1 mutation that occurs in spinocerebellar ataxia with axonal neuropathy (SCAN1)¹⁷⁷, the specific experimental model (*gkt* (the *TDP1* orthologue) deficiency in *Drosophila* spp. leads to neuronal defects and a shortened life expectancy¹⁷⁸) and the presence of other mutations in parallel repair pathways (such as in the ATM pathway¹⁷⁹). The removal of TOP1cc by TDP1 leaves a 3'-phosphate, which prevents TDP1 from removing another nucleotide and acting as an exonuclease^{180,181}. However, the 3'-phosphate end cannot be readily processed by DNA polymerases and ligases unless it is first dephosphorylated by polynucleotide kinase phosphatase (PNKP). This explains the importance of PNKP and its scaffolding partner XRCC1 in the repair of TOP1cc¹⁸². TDP1 also interacts with and is stabilized by PARP1 at TOP1cc sites¹⁸³, implicating multiple factors (and possibly pathways) in the repair of TOP1cc by TDP1. Among them, the most dominant pathway for TOP1cc repair in yeast and mammalian cells involves homologous recombination, owing to the formation of DSBs that are produced by the collision of replication forks with TOP1cc (FIG. 4b). Indeed, TOP1cc are toxic in cells with inactivating mutations of homologous recombination components, including Rad50, Rad52 and Mre11 in yeast¹⁸⁴ and BRCA1, BRCA2, XRCC2, XRCC3 and RAD52 in chicken DT40 cells¹⁸⁵. The interplay between homologous recombination, NHEJ and PARP1 remains to be clarified, as *Tdp1* is epistatic with *Rad52* in yeast¹⁸⁶.

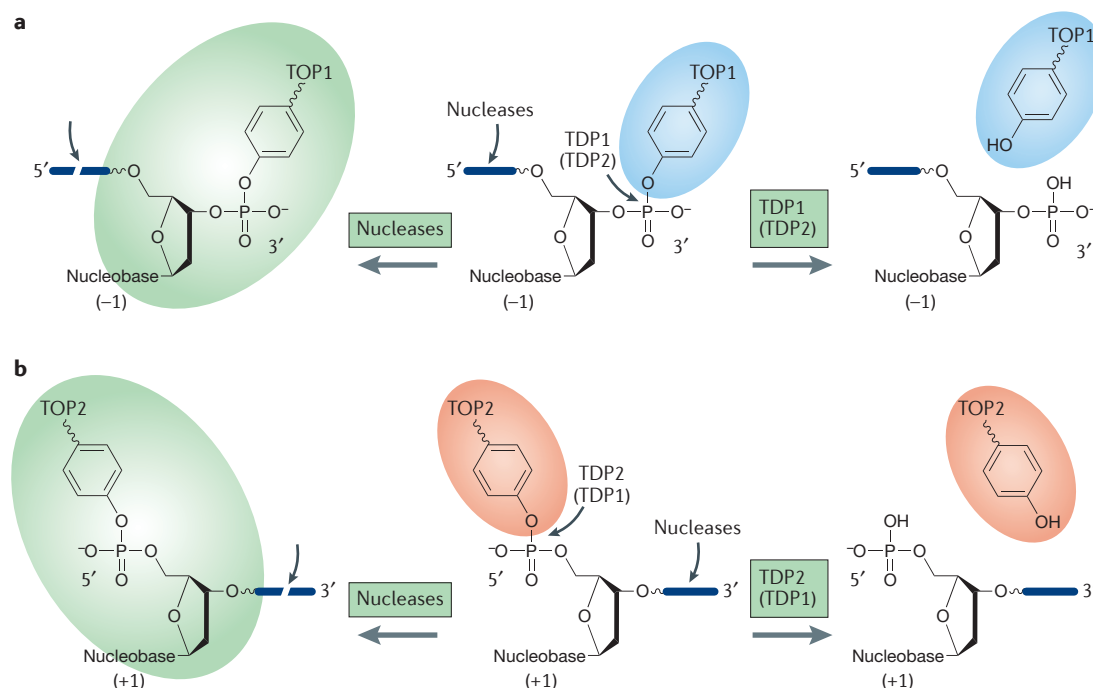


Figure 5 | TOPcc repair. **a** | Tyrosyl-DNA phosphodiesterase 1 (TDP1) and TDP2 (although much less efficiently and therefore shown in parentheses) cleave the TOP1 tyrosyl–DNA covalent bond (middle), releasing TOP1 and leaving a 3'-phosphate end (right) that needs to be further processed by polynucleotide kinase phosphatase (not shown). **b** | TOP2 cleavage complexes (TOP2cc) are preferentially repaired by TDP2 and much less efficiently by TDP1 (middle) in vertebrates, releasing TOP2 and leaving a 5'-phosphate (right), which can be readily ligated. Yeast, which do not encode a TDP2 orthologue, use *Tdp1* to excise both *Top1cc* and *Top2cc*. In the endonuclease pathways (left), topoisomerases are released with the segment of DNA to which they are attached by the action of endonucleases; the polarity is opposite for TOP1cc (part **a**) and TOP2cc (part **b**).

TDP2 was discovered as a second enzyme that could reverse tyrosyl-linked protein adducts in vertebrate cells^{70,187}. Originally identified as a factor that could repair TOP1–DNA covalent complexes, subsequent studies suggested greater *in vitro* activity on 5'-tyrosyl–DNA complexes such as TOP2cc and viral replicative intermediates^{188,189}. Following the removal of TOP2 from the DNA 5' end and regeneration of a 5'-phosphate (FIG. 5), direct re-ligation of the ends can be carried out by end-joining. This directly provides a substrate for NHEJ and would be consistent with the hypersensitivity of cells deficient in the NHEJ factors KU70, KU80, ligase IV or DNA-PK catalytic subunit to TOP2cc^{185,190}, and with the epistatic relationship between TDP2, KU factors and NHEJ¹⁹¹. Yet, as in the case of TOP1cc, homologous recombination also has a role in the processing of TOP2cc¹⁹⁰. Taken together, the current literature regarding mammalian cells suggests a simple hypothesis: TDP1 repairs mainly TOP1cc, and TDP2 repairs mainly TOP2cc. Nonetheless, it seems likely that there may be additional specializations (perhaps at the level of recruitment) that dictate which TDP is used.

The alternative pathways for the release of TOPcc rely on endonucleolytic cleavage of the DNA strand to which the topoisomerases are attached¹⁷¹ (FIG. 5). Because these pathways excise the DNA flanking the cleavage complexes, gap-filling polymerases and homologous recombination must restore the integrity of the genome. The role of the 3'-flap endonuclease complex Rad1–Rad10 (XPF–ERCC1) in the repair of TOP1cc was characterized in yeast^{173,174} and human cells¹⁹² and reconstituted in biochemical systems¹⁹³. Similarly, the 5'-flap endonuclease XPG has been suggested to repair TOP2cc^{194,195} and the 3'–5' exonuclease Mre11 could have a role in the excision of both Top1cc and Top2cc^{196,197}. Consistent with the importance of homologous recombination in the repair of Top1cc, the homologous recombination endonuclease CtBP-interacting protein (CtIP; also known as RBBP8) and its yeast orthologue Sae2 (REF. 198) are also involved in the repair of TOP1cc¹⁸⁵.

The choice and balance between repair by TDPs or by endonucleases require further elucidation. The ubiquitin–proteasome system appears to be required for efficient TDP activity^{20,180}, which is plausible considering that the tyrosyl–DNA bonds are buried inside the TOPcc and have to be exposed to allow access to TDPs. By contrast, nucleases may be less susceptible to this proteolytic requirement, as they might cleave the DNA some distance away from the TOPcc.

TOPcc in human diseases

A potential aetiological role is suggested for TOPcc in a growing number of human pathological conditions, including cancers, neurodegenerative diseases and autoimmune syndromes. The connection between TOPcc and cancer was first established for secondary leukaemias induced by cancer-targeting TOP2 inhibitors; this has been extensively reviewed^{199,200} and is not discussed in detail here. Briefly, TOP2 β has been proposed as the major cause of etoposide-induced secondary leukaemias^{201,202}, which develop through the formation of

oncogenic translocations initiated by trapped TOP2cc at different genomic loci^{201,202}, frequently involving translocations of the mixed lineage leukaemia (*MLL*) locus with more than 50 partner genes^{201–203}. By contrast, secondary malignancies in patients treated with mitoxantrone involve translocations between the *PML* and *RARA* genes and give rise to acute promyelocytic leukaemia^{201,204}. These differences may originate from the cell of origin of the secondary malignancies and may reflect the differential accumulation of therapeutic small molecules in different subsets of cells.

As oncogene-involving translocations could arise from transcription-induced TOP2cc²⁰², it seems plausible that they may share characteristics with transcription-induced damage⁶⁶. For example, androgens have been proposed to co-recruit the *TMPRSS2* and *ERG* loci to common transcription sites, where TOP2 β produces persistent TOPcc^{9,62,65}, resulting in *TMPRSS2*–*ERG* fusions, which occur in approximately 50% of prostate cancers (reviewed in REF. 9). Such a mechanism could apply to other TOPcc-related cancer translocations; for example, bioflavonoids, which are present in fruits and vegetables and are TOP2 poisons, were suggested to cause childhood leukaemia²⁰⁵ (TABLE 1). Nevertheless, the detailed biochemical processes that cause these translocations remain poorly understood.

The connection between TOP1cc and neurological disorders was discovered through the presence of a deleterious TDP1 mutation in a large family from Saudi Arabia, with nine members suffering from cerebellar atrophy and ataxia and peripheral neuropathy²⁰⁶ (that is, SCAN1) (TABLE 1). The mutation (H493R) affects the catalytic His residue of TDP1 and causes both reduced catalytic activity and trapping of TDP1 on DNA following the removal of TOP1cc^{177,207,208}. This neurological phenotype was reproduced in flies, in which knocking out *gkt* resulted in a shortened lifespan and defective climbing ability in females; the phenotype was rescued by neuronal expression of Tdp1 (REF. 178). When *Atm* is inactivated in mice (which, by itself, confers a mild neuronal phenotype) in addition to *Tdp1* inactivation, a profound neuropathological phenotype is observed, with increased TOP1cc, DNA damage and neurodevelopmental defects¹⁷⁹. These observations provide evidence for the physiological formation of trapped TOP1cc during normal development and implicate ATM, which is known to directly activate TDP1 (REF. 209), in their removal.

A connection between TOP2cc and neurological diseases was established recently with the discovery of homozygous mutations in *TDP2* in consanguineous patients, manifesting with intellectual disability, seizure and ataxia⁶⁹ (TABLE 1). However, as was seen with *Tdp1*-knockout mice^{177,210}, *Tdp2*-knockout mice lack obvious neurological phenotypes in spite of a 25–30% reduction in interneuron density in their cerebellum⁶⁹. Nevertheless, *Tdp2*-knockout mice fail to activate the expression of neuronal genes, especially those driven by TOP2 β ^{69,71}, as well as long neuronal genes such as GABA- and glutamate-associated genes⁶⁹. These findings are consistent with TDP2 being a transcription regulator that resolves TOP2 β cc.

TOP1 autoantibodies (also known as SCL-70 or ATA) are commonly used in the diagnosis of systemic sclerosis (scleroderma) and are associated with poor prognosis and a high mortality rate²¹¹. Whether TOP1cc generate these antibodies deserves further investigation, as TOP1cc are a common feature of apoptosis²¹², and defective processing of apoptotic cells has been implicated in the generation of autoantibodies against nuclear antigens in systemic autoimmune rheumatic diseases, such as scleroderma and systemic lupus erythematosus²¹¹.

Conclusions and future perspectives

The past few years have seen a great increase in our understanding of topoisomerase function, especially in vertebrates. As many new topoisomerases were being discovered in the early 1990s, James Wang in a seminal essay asked “why so many?” (REF. 213). We are now beginning to answer that question, and the picture that has emerged is that each of these enzymes has a set of specific functions and that their specialization allows for precise coordination, especially in complicated DNA transactions that are required for replication, transcription and chromosome segregation. Our emerging understanding of chromosome nuclear territories, DNA repair, chromatin-remodelling complexes and super-enhancers, in addition to replication and transcription factories and chromatin looping, underscores the importance of understanding the six vertebrate DNA (and RNA) topoisomerases and integrating that knowledge into our study of genome function.

The biochemistry and biology of TOP1, TOP1mt, TOP2 β and TOP3 β especially warrant greater investigation. The work discussed above clearly indicates that TOP1 and TOP2 β in some contexts have different biochemical activities from what we have come to expect from a topoisomerase: instead of breakage and rapid rejoining, prolonged breakage may have biological roles (BOX 1). Does the resealing of long-lasting TOP1- and TOP2 β -induced DNA breaks rely on the topoisomerase, or is the cleavage a type of DNA damage that requires other factors for repair (or perhaps some combination

of the two)? In any case, we do not yet understand what the features are at promoters (and perhaps other loci) that apparently lead to elevated levels of topoisomerase-dependent cleavage. As TOP2 β is found trapped at some promoters, it is possible that TOP2 β is intrinsically different from other topoisomerases. Alternatively, an exciting possibility is that other, as yet unidentified accessory proteins may regulate the cleavage–re-ligation equilibrium of topoisomerases.

Although topoisomerase-induced long-lasting strand breaks may seem like a game of cellular Russian roulette, cells clearly have evolved to use such breakage and even the ensuing genome rearrangements as part of processes such as ribonucleotide excision repair, mismatch repair, meiotic recombination and immunoglobulin gene rearrangements. Although genome rearrangements necessarily involve breakage and subsequent rejoining, cells clearly have the capability of handling strand breakage in their stride. Because topoisomerases are dedicated strand-breaking enzymes, it is not surprising that they are specifically called on when strand breaks are needed, as in the case of cutting of DNA by SPO11 for meiotic recombination²¹⁴.

We have learned a great deal about how cells process topoisomerase-mediated damage when they are exposed to topoisomerase poisons. We now appreciate that there are two enzymes, TOP1 and TOP2, the major function of which is the release of topoisomerase–DNA adducts. These and other repair proteins probably form stable associations with topoisomerases, perhaps analogous to a DNA first-aid kit. We expected that the study of the repair of topoisomerase-mediated DNA damage would teach us much about cellular responses to anticancer drugs and anticipate that this information will inform the clinical use of anti-topoisomerase agents. What we did not expect was that these studies would also inform us about normal functions of topoisomerases, and about how topoisomerases contribute to the stability and instability of both the nuclear and mitochondrial genomes. The next few chapters in the saga of topoisomerase functions will certainly continue to surprise us.

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Competing interests statement

The authors declare no competing interests.

FURTHER INFORMATION

The Cancer Genome Atlas: <http://cancergenome.nih.gov>

SUPPLEMENTARY INFORMATION

See online article: S1 (box)

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