

- For  $n = 0, 1, 2, \dots$ , find the coefficient of  $z^n$  in the series expansion of  $\frac{1 + 5z - 2z^2}{1 - 2z}$ .
- Suppose that the combinatorial family  $\mathcal{F}$  has ordinary generating function  $F(x) = \frac{1 + 3x}{1 - 6x + 9x^2}$ . Find  $F_n$ , the number of objects in  $\mathcal{F}$  having size  $n$ .
- Describe the first step in extracting the coefficient of  $z^n$  in the series of the following rational function? (Do **not** attempt to find the coefficient, just show and/or describe what must be done.)

$$G(z) = \frac{2z - 3}{(1 - 3z)^3(1 + z)(1 - 4z)}$$

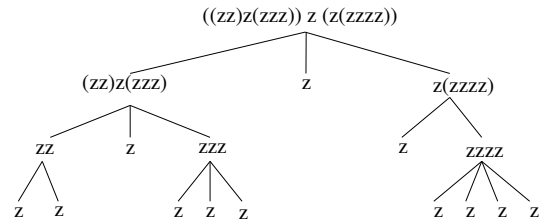
- How many objects of the combinatorial class  $\mathcal{A}$  have size one, if its ordinary generating function is

$$A(z) = \frac{1 + 5z^2 - \sqrt{1 - 6z^2 + z^4}}{4z} ?$$

- [See Lecture 6] Here is a combinatorial class that you have not yet seen. Informally, a *bracketing* is any “legal” way to place parentheses on a non-empty sequence of symbols. For example, there are exactly 11 bracketings of the sequence  $zzzz$ .

$$zzzz, (zz)zz, (zzz)z, z(zz)z, z(zzz), zz(zz), ((zz)z)z, (z(zz))z, z((zz)z), z(z(zz)), (zz)(zz).$$

More formally, the atomic symbol  $z$  is itself a bracketing; and any sequence of two or more consecutive bracketings enclosed by a pair of parentheses is a bracketing. To simplify notation, we have written  $z$  instead of  $(z)$  and we have also removed the outermost parentheses. For example, the bracketing  $zz(zz)$  should be interpreted to be  $((z)(z)((z)(z)))$ . The construction tree for the bracketing  $((zz)z(zzz))z(z(zzzz))$  is shown on the right.



Let  $\mathcal{B} = \mathcal{B}_1 \cup \mathcal{B}_2 \cup \dots$  be the combinatorial class where  $\mathcal{B}_n$  is the set of bracketings of the sequence  $\underbrace{zz \dots z}_n$ , for  $n \geq 1$ .

- Find a recursive specification for  $\mathcal{B}$  in terms of the atomic class  $\mathcal{Z} = \{z\}$ , the neutral class  $\mathcal{E}$ , and the operators ‘+’, ‘ $\times$ ’ and ‘Seq’.
- Use your specification to show the the OGF for  $\mathcal{B}$  is  $B(z) = \frac{1}{4} (1 + z - \sqrt{1 - 6z + z^2})$ .
- Use the formula from part (b) and the following table to find  $B_5$ , the number of bracketings of  $zzzzz$ . You may leave your answer in a “basic-calculator ready” form, such as  $B_5 = \frac{3}{8} \cdot (\frac{13}{35} - \frac{13 \cdot 7}{8} + \frac{17 \cdot 4}{3})$ .

$k$	$\binom{1/2}{k}$	$(z^2 - 6z)^k$
1	$\frac{1}{2}$	$z^2 - 6z$
2	$-\frac{1}{8}$	$z^4 - 12z^3 + 36z^2$
3	$\frac{1}{16}$	$z^6 - 18z^5 + 108z^4 - 216z^3$
4	$-\frac{5}{128}$	$z^8 - 24z^7 + 216z^6 - 864z^5 + 1296z^4$
5	$\frac{7}{256}$	$z^{10} - 30z^9 + 360z^8 - 2160z^7 + 6480z^6 - 7776z^5$
6	$-\frac{21}{1024}$	$z^{12} - 36z^{11} + 540z^{10} - 4320z^9 + 19440z^8 - 46656z^7 + 46656z^6$

- [See Lecture 17] Draw the first six levels of the generating tree specified by the rule  $[(0); \{(k) \rightarrow (k-1)(k+1)\}]$ .

7. [Lectures 18, 20] Suppose we are using a backtrack search with pruning to solve an instance of

$$\text{Knapsack}(p_1, p_2, \dots, p_n; w_1, w_2, \dots, w_n; M) : \max \sum_{i=1}^n p_i x_i \text{ subject to } \sum_{i=1}^n w_i x_i \leq M$$

and  $x_i \in \{0, 1\}, \quad i = 1, 2, \dots, n.$

with  $n = 7$  and input data  $((p_i), (w_i), M)$  sorted so that  $\frac{p_1}{w_1} \geq \frac{p_2}{w_2} \geq \dots \geq \frac{p_n}{w_n}$ , as given below. Suppose the algorithm is at currently executing at depth  $m = 3$  of the search tree with the current partial solution  $X = [x_1, x_2, x_3] = [1, 0, 1]$ . The current best solution found so far,  $\text{OptX}$ , is also given in the table below.

Maximum Weight:  $M = 100$

Item	$i$	1	2	3	4	5	6	7
Profit	$p_i$	90	65	50	20	10	9	1
Weight	$w_i$	30	60	50	25	15	15	5
Current Best Solution $\text{OptX}$	$\text{OptX}_i$	1	1	0	0	0	0	1
Current Partial Solution $X$	$x_i$	1	0	1				
Current search depth: $m = 3$				↑				

- Find  $\text{OptP}$ , the profit realized by  $\text{OptX}$ .
- Assume that we are pruning infeasible solutions. Find the choice set  $C[3] \subseteq \{0, 1\}$ , the set of values for  $x_4$  that will keep  $[x_1, x_2, x_3, x_4]$  feasible. Give a reason for your answer
- Suppose we continued our search at depth  $m = 4$  by selecting  $x_4 = 0$ , so now  $X = [1, 0, 1, 0]$ . Use the bounding function we saw in class,

$$B = \sum_{i=1}^m x_i p_i + \text{RationalKnapsack}(p_{m+1}, p_{m+2}, \dots, p_n; w_{m+1}, w_{m+2}, \dots, w_n; M - \text{CurW})$$

to decide whether or not to prune the current node  $X$  from further exploration. For full marks,

- write explicitly the data that will be input into  $\text{RationalKnapsack}$ ,
- find an optimal solution to this instance of  $\text{RationalKnapsack}$  and the value of  $B$ .
- show how to decide whether or not the current node  $X = [1, 0, 1, 0]$  should be pruned from the search tree.

8. [Lecture 16] The Johnson-Trotter minimal change order for the permutations of  $\{1, 2, 3\}$  are the successive rows of the matrix shown at right. Write down the **four** permutations of  $\{1, 2, 3, 4\}$  that come immediately after the permutation  $\pi = [3 \ 1 \ 4 \ 2]$  in the Johnson-Trotter order.

1	2	3
1	3	2
3	1	2
3	2	1
2	3	1
2	1	3

9. [Lecture 7] Write a recursive specification for  $\mathcal{T}$ , the set of plane trees with red and blue nodes, where
- every blue node has no blue children and even number of red children, and
  - every red node has either no children or it has exactly one blue child and exactly one red child, in either order.
- (a) Use the notation  $\mathcal{T} = \mathcal{T}_r + \mathcal{T}_b$  where  $\mathcal{T}_r$  are those trees with a red root. Your specification should be three equations which involve  $\mathcal{T}_b$ ,  $\mathcal{T}_r$  and  $\mathcal{T}$ .
- (b) Find a set of equations which, if solved, give the generating function  $T(z)$  for  $\mathcal{T}$ . Do not solve the equations!
10. [Lecture 6] Let  $\mathcal{W}^{(k)}$  be the class of binary strings counted by length, which have no more than  $k$  consecutive 0s. Show that the generating function for  $\mathcal{W}^{(k)}$  is

$$W^{(k)}(z) = \frac{1 - z^{k+1}}{1 - 2z + z^{k+2}}.$$

11. [Lecture 6] Let  $\mathcal{T}$  be the set of non-empty rooted planar trees where the number of children that each node has belongs to the set  $\Omega = \{0, 2, 4, 6, \dots\}$  (any even number). The size of a tree in  $\mathcal{T}$  is the number of nodes in the tree. Use Lagrange inversion to compute  $T_n$ , the number of trees in  $\mathcal{T}$  with size  $n$ .
12. [Lecture 14] Find the rank of the word  $s = 101101$  in the reflected binary code  $000000, 000001, 000011, \dots$ .
13. [Lecture 9] Let  $\mathcal{P}_{2n}(x, y)$  be the set of lattice paths that start at  $(x, y)$  and end at  $(2n, 0)$  using *up-steps*  $\nearrow = (1, 1)$  and *down-steps*  $\searrow = (1, -1)$ . Let  $\mathcal{B}_{2n}(x, y)$  be the set of paths in  $\mathcal{P}_{2n}(x, y)$  which at some point steps down to the line  $y = -1$ .
  - (a) Show that  $|\mathcal{B}_{2n}(0, 0)| = |\mathcal{P}_{2n}(0, -2)|$ .
  - (b) Recall that a *Dyck path* of length  $2n$  is any lattice path in  $\mathcal{P}_{2n}(0, 0)$  that does not touch the line  $y = -1$ . Use part (a) and the formula  $|\mathcal{P}_{2n}(x, y)| = \binom{2n}{n - \frac{x+y}{2}}$  and perhaps a bit of algebra to show that the number of Dyck paths of length  $2n$  equals the  $n$ th Catalan number  $\frac{1}{n+1} \binom{2n}{n}$ .
  - (c) Recall that a *totally balanced word* of length  $2n$  is any binary sequence  $W = (w_1, w_2, \dots, w_{2n})$  which can be obtained from a Dyck path of length  $2n$  by writing “0” for each up-step and “1” for each down-step. In other words a binary word  $W$  is totally balanced if
 

**P1**  $W$  has exactly  $n$  zeros and  $n$  ones,

**P2** no prefix  $(w_1, w_2, \dots, w_i)$  of  $W$  has more ones than zeros.

    - i. [1 point] What is the last totally balanced word of length  $2n$  in the lexicographic order?
    - ii. [2 points] Find the totally balanced word that is the lexicographic successor of  $(0, 1, 0, 0, 0, 1, 1, 1, 0, 1)$ .

14. [See Lecture 8 notes] Let  $\mathcal{L}_{n,k}$  be the listing of the  $k$ -element subsets of  $[n]$  in *reverse lexicographic order*. That is, we represent each  $k$  subset by a decreasing list  $M = (m_1, m_2, \dots, m_k)$ ,  $m_1 > m_2 > \dots > m_k$ , and these decreasing lists are sorted lexicographically. The corank of  $M$  is the number of subsets that precede  $M$  in the reverse lexicographic order. For example

$$\mathcal{L}_{5,3} = (3, 2, 1), (4, 2, 1), (4, 3, 1), (4, 3, 2), (5, 2, 1), (5, 3, 1), (5, 3, 2), (5, 4, 1), (5, 4, 2), (5, 4, 3)$$

so  $\text{corank}((5, 3, 2)) = 6$ .

Let  $M = (m_1, m_2, \dots, m_k)$  be a list in  $\mathcal{L}_{n,k}$ . For  $i = 1, 2, \dots, k$ , let  $c_i(M)$  be the number of lists in  $\mathcal{L}_{n,k}$  which begin with  $m_1, m_2, \dots, m_{i-1}$  and have all of its remaining elements less than  $m_i$ . For example  $c_1((5, 3, 2)) = 4$ , and  $c_2((5, 3, 2)) = 1$ , and  $c_3((5, 3, 2)) = 1$ .

- Find a formula for  $c_i(M)$  that depends only on the numbers  $k, i$  and  $m_i$ .
- Use your solution to part (a) to find a formula for  $\text{corank}(M)$ , for any  $M = (m_1, m_2, \dots, m_k)$  in  $\mathcal{L}_{n,k}$ .
- Let  $(\ell_1, \dots, \ell_k) \subseteq [n]$  with  $\ell_1 < \ell_2 < \dots < \ell_k$ . Let  $L = (\ell_1, \ell_2, \dots, \ell_k)$  and let the *reflection* of  $L$  be the list

$$\tilde{L} = (n+1-\ell_1, n+1-\ell_2, \dots, n+1-\ell_k).$$

Then the elements of  $\tilde{L}$  are listed in decreasing order. Write a formula (that we learned in class) that relates  $\text{rank}(L)$  (in the lexicographic order) and  $\text{corank}(\tilde{L})$ . You do not have to prove the formula.

- State an advantage that the formula from part (c) has, compared to the naïve ranking formula

$$\text{rank}(\ell_1, \dots, \ell_k) = \sum_{i=1}^k \sum_{a=\ell_{i-1}+1}^{\ell_i-1} \binom{n-a}{k-i}, \quad \text{where } \ell_1 < \ell_2 < \dots < \ell_k.$$

15. [See Lecture 10] Find and draw the spanning tree with vertex set  $\{1, 2, 3, \dots, n\}$ , for some  $n$ , whose Prüfer sequence is  $L = (4, 6, 1, 4)$ .