

1. Which of the following is a combinatorial class? Give reasons.

(a) $\mathcal{S} = \text{SEQ}(\{0, 1, 2, 3, \dots\})$, where $\text{SIZE}((s_1, s_2, \dots, s_k)) = \sum_{i=1}^k s_i$.

No. The family contains an infinite set $\{0, 00, 000, 0000, 00000, \dots\}$ of words in \mathcal{S} all size 0.

(b) $\mathcal{T} = \text{SEQ}(\{0, 1, 2, 3, \dots\})$, where $\text{SIZE}((s_1, s_2, \dots, s_k)) = k$.

No. We have $\{(0), (1), (2), (3), \dots\} \subseteq \mathcal{S}$. An infinity numbe of words in \mathcal{S} have size 1.

(c) $\mathcal{U} = \text{SEQ}(\{0, 1, 2, 3, \dots\})$, where $\text{SIZE}((s_1, s_2, \dots, s_k)) = k + \sum_{i=1}^k s_i$.

Yes. Every word size is a non-negative integer. It remains to show that, for every integer $n \geq 0$, there are a finite number of words in \mathcal{U}_n . Let $n \geq 0$, each word (s_1, s_2, \dots, s_k) of size n satisfies $k \leq n$. Also the largest entry in that word satisfies

$$\max\{s_1, s_2, \dots, s_l\} \leq \sum_{i=1}^k s_i \leq n.$$

We have shown that every word of size n is a sequence of length at most n with entries from $\{0, 1, 2, \dots, n\}$. That is,

$$\mathcal{U}_n \subseteq \text{SEQ}_{\leq n}(\{0, 1, 2, \dots, n\})$$

There are $(n+1)^k$ sequences in $\text{SEQ}_k(\{0, 1, 2, \dots, n\})$. Therefore

$$U_n \leq 1 + (n+1) + (n+1)^2 + \dots + (n+1)^n,$$

which is a finite number.

2. Suppose that the OGF of a combinatorial set \mathcal{G} is

$$G(z) = \frac{1+3z}{1-6z+9z^2}$$

Find G_n , for all $n \geq 0$.

Solution 1: (with partial fractions)

(Note: In an exam, the steps below would probably be separated and tested independently.)

The numerator has lower degree than the denominator, so can already decompose into partial fractions. The denominator of $F(z)$ factors as $(1-3z)^2$ so we seek a partial fraction decomposition of the following form

$$\frac{1+3z}{(1-3z)^2} = \frac{A}{(1-3z)^2} + \frac{B}{1-3z}.$$

Expanding this equation and comparing coefficients gives

$$\begin{aligned} 1+3z &= A+B(1-3z) = (A+B) - 3Bz \\ 1 &= A+B \quad \text{and} \quad 3 = -3B. \\ A &= 2 \quad \text{and} \quad B = -1. \end{aligned}$$

So

$$\begin{aligned} G_n &= [z^n]C(z) \\ &= [z^n] \left(\frac{2}{1-3z} - \frac{1}{1-3z} \right) \\ &= 2[z^n] \frac{1}{(1-3z)^2} - [z^n] \frac{1}{1-3z} \\ &= 2 \binom{n+2-1}{2-1} 3^n - 3^n && \text{(by the extended binomial theorem)} \\ &= 2(n+1)3^n - 3^n \\ &= (2n+1)3^n. \end{aligned}$$

Solution 2 (more direct, with extended binomial theorem): The denominator of $F(z)$ factors as $(1 - 3z)^2$. We can just expand the numerator and do every term separately.

$$\begin{aligned}
 G_n &= [z^n]G(z) \\
 &= \left([z^n]\frac{1}{(1-3z)^2}\right) + \left([z^n]3z\frac{1}{(1-3z)^2}\right) \\
 &= \left(\binom{n+2-1}{2-1}3^n\right) + 3\left([z^{n-1}]\frac{1}{(1-3z)^2}\right) \\
 &= (n+1)3^n + 3 \cdot \binom{(n-1)+2-1}{2-1}3^{n-1} \quad (\text{by the extended binomial theorem}) \\
 &= (n+1)3^n + n3^n \\
 &= (2n+1)3^n.
 \end{aligned}$$

3. Find the coefficient

$$[z^3](1-8z)^{\frac{1}{4}}$$

The binomial theorem gives

$$\begin{aligned}
 [z^3](1-8z)^{\frac{1}{4}} &= \binom{\frac{1}{4}}{3}(-8)^3 \\
 &= \frac{\frac{1}{4} \cdot (\frac{1}{4}-1) \cdot (\frac{1}{4}-2)}{3!}(-8)^3 \\
 &= \frac{\frac{1}{4} \cdot (-\frac{3}{4}) \cdot (-\frac{7}{4})}{3!}(-8)^3 \\
 &= \frac{21}{4^3 \cdot 6}(-8)^3 \\
 &= \frac{7}{2}(-2)^3 \\
 &= -28.
 \end{aligned}$$

4. Suppose that the ordinary generating function of combinatorial class \mathcal{C} is $C(z) = \frac{7z+1}{1-z-6z^2}$.

Find the number C_n of objects having size n .

Since the numerator of $G(z)$ already has smaller degree than the denominator, we do not have to do long division. We factor $1 - z - 6z^2 = (1 - 3z)(1 + 2z)$. So the partial fraction expansion takes the form

$$G(z) = \frac{7z+1}{(1-3z)(1+2z)} = \frac{A}{1-3z} + \frac{B}{1+2z}.$$

Expanding this equation and comparing coefficients gives

$$\begin{aligned}
 7z+1 &= A(1+2z) + B(1-3z) = (A+B) + (2A-3B)z \\
 1 &= A+B \quad \text{and} \quad 7 = 2A-3B. \\
 A &= 2 \quad \text{and} \quad B = -1.
 \end{aligned}$$

So

$$\begin{aligned}
 G_n &= [z^n]G(z) \\
 &= [z^n] \left(\frac{2}{1-3z} - \frac{1}{1+2z} \right) \\
 &= 2[z^n] \frac{1}{1-3z} - [z^n] \frac{1}{1-(-2)z} \\
 &= 2 \cdot 3^n - (-2)^n.
 \end{aligned}$$

5. Let \mathcal{W} be the set of binary words where every nonempty block of 1s has length 2, 3, or 5.

- (a) Describe the class \mathcal{W} with a regular expression, using the symbols 0, 1, +, $(\cdot)^*$, ϵ .
- (b) Find the ordinary generating function $W(z)$.
- (c) Using a computer, we find the factorization

$$1 - z - z^3 - z^4 - z^6 = (1 - az)(1 - bz)(1 - cz)(1 - dz)(1 - ez)$$

We present one of several correct solutions for this question.

- (a) If we cut each word just before each block of 1s (plus one more cut right after the word, if it ends with a 0), then the first block is any sequence of zeros (this includes the empty string). Each middle block is a word from $(11 + 111 + 11111)$ followed by at least one zero, and the last block is either empty or a word from $(11 + 111 + 11111)$. Therefore

$$\mathcal{W} = 0^* ((11 + 111 + 11111)00^*)^* (\epsilon + 11 + 111 + 11111).$$

- (b) Each of “0” and “1” is an atom, so from part (a) we have,

$$\begin{aligned}
 W(z) &= \frac{1}{1-z} \frac{1}{1 - \left((z^2 + z^3 + z^5)z \frac{1}{1-z} \right)} (1 + z^2 + z^3 + z^5) \\
 &= \frac{1 + z^2 + z^3 + z^5}{(1-z) - (z^3 + z^4 + z^6)} \\
 &= \frac{1 + z^2 + z^3 + z^5}{1 - z - z^3 - z^4 - z^6}.
 \end{aligned}$$

The last two equations are optional, as I did not ask you to simplify the solution.

6. Let $\mathcal{H} = \text{SEQ}(\{0, 1, 2\})$ the class of ternary sequences with $\text{SIZE}((h_1, h_2, \dots, h_k)) = k$.

- (a) Find the OGF $H(z)$.

The OGF for $\{0, 1, 2\}$ is $3z$, so the OGF for \mathcal{H} is $H(z) = \frac{1}{1-3z}$.

- (b) Use $H(z)$ to find a formula for H_n .

We have $H_n = [z^n]H(z) = 3^n$.

7. For $n = 0, 1, 2, \dots$, find the coefficient of z^n in the series expansion of $\frac{1+5z-2z^2}{1-2z}$.

Using long division (at right) we find

$$\begin{array}{r}
 \frac{1+5z-2z^2}{1-2z} = -2 + z + \frac{3}{1-2z}. \qquad \begin{array}{r} z-2 \\ 2z-1 \overline{) -2z^2+5z+1} \\ \underline{-2z^2+z} \\ 4z+1 \\ \underline{4z-2} \\ 3 \end{array}
 \end{array}$$

Since $[z^n] \frac{3}{1-2z} = 3 \cdot 2^n$, we have

$$[z^n] \frac{-2+z-2z^2}{1-2z} = \begin{cases} 3 \cdot 2^0 - 2 = 1 & \text{if } n = 0 \\ 3 \cdot 2^1 + 1 = 7 & \text{if } n = 1 \\ 3 \cdot 2^n & \text{if } n \geq 2. \end{cases}$$

8. Describe the first step in extracting the coefficient of z^n in the series of the following rational function? (Do **not** attempt to find the coefficient, just show and/or describe what must be done.)

$$G(z) = \frac{2z-3}{(1-3z)^3(1+z)(1-4z)}$$

The numerator has lower degree than the denominator, so we are ready to decompose into partial fractions of the form

$$\frac{2z-3}{(1-3z)^3(1+z)(1-4z)} = \frac{A}{(1-3z)^3} + \frac{B}{(1-3z)^2} + \frac{C}{1-3z} + \frac{D}{1+z} + \frac{E}{1-4z}$$

9. How many objects of the combinatorial class \mathcal{A} have size one, if its ordinary generating function is

$$A(z) = \frac{1+5z^2-\sqrt{1-6z^2+z^4}}{4z} \quad ?$$

This question is likely just a little too hard for an exam.

$$\begin{aligned} [z^1]A(z) &= [z^1] \frac{1+5z^2-\sqrt{1-6z^2+z^4}}{4z} = [z^2] \frac{1+5z^2-\sqrt{1-6z^2+z^4}}{4} \\ &= [u^1] \frac{1+5u-\sqrt{1-6u+u^2}}{4} \quad (\text{where } u = z^2) \\ &= \frac{1}{4} \left(5 - [u^1] (1 + (u^2 - 6u))^{1/2} \right) \\ &= \frac{1}{4} \left(5 - [u^1] \sum_{k \geq 0} \binom{1/2}{k} (u^2 - 6u)^k \right) \quad (\text{extended binomial theorem}) \end{aligned}$$

The term u^1 only appears in the expansion of $(u^2 - 6u)^k$ when $k = 1$, so

$$\begin{aligned} [z^1]A(z) &= \frac{1}{4} \left(5 - [u^1] \binom{1/2}{1} (u^2 - 6u)^1 \right) \\ &= \frac{1}{4} \left(5 - \binom{1/2}{1} [u^1] (u^2 - 6u) \right) \\ &= \frac{1}{4} \left(5 - \frac{1}{2} (-6) \right) \\ &= \frac{1}{4} (5 + 3) \\ &= 2. \end{aligned}$$

10. Let $\mathcal{W}^{(k)}$ be the class of binary strings counted by length, which have no more than k consecutive 0s. Show that the generating function for $\mathcal{W}^{(k)}$ is

$$W^{(k)}(z) = \frac{1 - z^{k+1}}{1 - 2z + z^{k+2}}.$$

This question might be a bit long for a midterm exam. We find a specification for this family. We can break a $w \in \mathcal{W}^{(k)}$ into blocks by cutting at every location which is either just after a run of zeros or just before a run of ones. The resulting sequence of blocks uniquely specifies w , because the blocks arise from a well-defined decomposition procedure.

The first block \mathcal{F} is a (possibly empty) sequence of zeros having size at most k .

$$\mathcal{F} = \epsilon + 0 + 00 + 0^3 + \cdots + 0^k = 0^* - 0^{k+1}.$$

Its generating function is a partial geometric sum.

$$F(z) = 1 + z + z^2 + \cdots + z^k = \frac{1 - z^{k+1}}{1 - z}.$$

The last block \mathcal{L} is a (possibly empty) sequence of ones.

$$\mathcal{L} = 1^* \quad \text{and} \quad L(z) = \frac{1}{1 - z}$$

All other blocks belong to the class \mathcal{M} of words consisting of a positive number of ones followed by between 1 and k zeros.

$$\begin{aligned} \mathcal{M} &= 1(1)^* (0 + 00 + 000 + \cdots + \overbrace{00 \dots 0}^k) \\ &= 1(1)^* 0(\epsilon + 0 + 00 + \cdots + \overbrace{00 \dots 0}^{k-1}). \end{aligned}$$

Its OGF is

$$M(z) = z \cdot \frac{1}{1 - z} \cdot z \cdot \frac{1 - z^k}{1 - z} = \frac{z^2 - z^{k+2}}{(1 - z)^2}.$$

Since $\mathcal{W}^{(k)} = \mathcal{F}\mathcal{M}^*\mathcal{L}$, its generating function is

$$\begin{aligned} W^{(k)}(z) &= F(z) \frac{1}{1 - M(z)} L(z) \\ &= \frac{1 - z^{k+1}}{1 - z} \frac{1}{1 - \frac{z^2 - z^{k+2}}{(1 - z)^2}} \frac{1}{1 - z} \\ &= \frac{1 - z^{k+1}}{(1 - z)^2 - (z^2 - z^{k+2})} \\ &= \frac{1 - z^{k+1}}{1 - 2z + z^2 - z^2 + z^{k+2}} \\ &= \frac{1 - z^{k+1}}{1 - 2z + z^{k+2}}. \end{aligned}$$