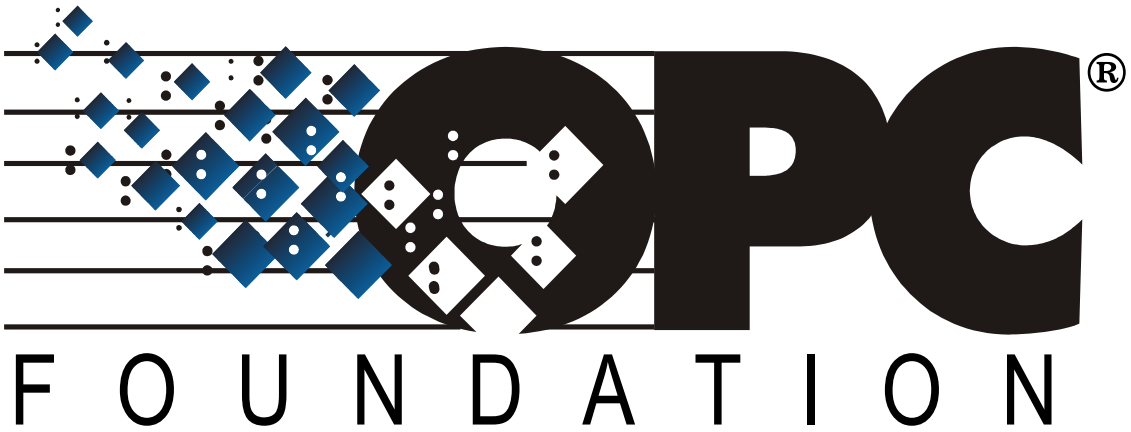

OPC Unified Architecture

Specification

Part 7: Profiles

Release 1.03

December 11, 2015

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UNIFIED ARCHITECTURE –

FOREWORD

This specification is the specification for developers of OPC UA applications. The specification is a result of an analysis and design process to develop a standard interface to facilitate the development of applications by multiple vendors that shall inter-operate seamlessly together.

AGREEMENT OF USE

TRADEMARKS

Most computer and software brand names have trademarks or registered trademarks. The individual trademarks have not been listed here.

GENERAL PROVISIONS

Should any provision of this Agreement be held to be void, invalid, unenforceable or illegal by a court, the validity and enforceability of the other provisions shall not be affected thereby.

This Agreement shall be governed by and construed under the laws of the State of Minnesota, excluding its choice or law rules.

This Agreement embodies the entire understanding between the parties with respect to, and supersedes any prior understanding or agreement (oral or written) relating to, this specification.

ISSUE REPORTING

The OPC Foundation strives to maintain the highest quality standards for its published specifications; hence they undergo constant review and refinement. Readers are encouraged to report any issues and view any existing errata here: http://www.opcfoundation.org/errata

Revision 1.03 Highlights

The following table includes the Mantis issues resolved with this revision.

Mantis ID	Summary	Resolution
2652	Missing option "Accept any valid instance certificate" in Base Behaviour facet (both Client and Server)	Added option "Accept any valid instance certificate" to Security Administration CU. This CU is included in the Base Behaviour facets.
2643	A security level is defined in Part 4 to specify the relative level of endpoints to each other. This security level may change when security profiles get deprecated. A fixed level defined within a profile therefore makes no sense.	The security level conformance units have been removed.
2474	The description for the CU "**Historical Access Data Max Nodes Read Continuation Point**" falsely states that the **MaxNodesPerHistoryRead** property is under the ServerCapabilities object	Changed text to state that this property is actually under the ServerCapabilities.OperationLimits.
2468	We currently have a CU defined for insert and another for update, but not for replace.	Changed the description of the "Update Value" CU into "supports updating ...". Added a "Replace Value" CU to the same facet (optional). Also added a replace CU to the corresponding Client facet.
2378	FullFeatured Profile is used like a term but not defined	Added a proper term.
2829	Add Conformance Units for Subnet Discovery	Added Register2, FindServersOnNetwork and mDNS Publishing (for Servers with no LDS-ME). Added CUs as optional to appropriate Profiles.
2640	Add GDS Profiles	Added CUs and a new facet for UA Servers that implement the GDS Information Model. Added CU for Clients to find Servers using a GDS. Added CUs for a Global Discovery Server and a Global Certificate Manager. Created full featured Profiles for a GDS and a global Certificate Manager.
2673	Nano embedded server requires encryption	Specified rules for encryption in user token conformance units.
2900	Durable subscriptions	Added CUs and Facets for durable subscriptions.
2911	Deprecate WS Secure Conversation	Kept the headers but removed the description and added "Note: Deprecated in Version 1.03 because WS-SecureConversation has not been widely adopted by industry".
3011	New Alarm for certificate expiration	Added CUs and Facets for this new alarm type.
3009	Refresh2 (refresh individual monitored items)	Added CUs and Facets for Refresh2.
2778	"Monitor Complex Event Filter" is exclusively for "TypeOf"?	Clarified issue by changing the description into Support for the 'TypeOf' complex Event filter operator.
3048	Add A&C "SystemOffNormal" Conformance Units	Created CUs for SystemOffNormal and added to proper profiles.
2654	A&C Profile descriptions are lacking clarity	Improved descriptions based on proposals from compliance group.
3044	Entry-level support Client CU needs additional text.	Replaced Entry Level support Client facet by new version.
3043	Client profiles need at least one full-featured profile.	Created Standard UA Client Profile.

Mantis ID	Summary	Resolution
2357	Need CUs and Profiles for remote Nodes.	Created CUs for Browse and Attribute Access. Added CUs to proper Profiles.
3070	Events should not be in AddressSpaceLookup Facet.	Created Base Event Processing Client Facet. Removed Events from AddressSpaceLookup Client Facet.
2777	Underlying system – clarifications needed	Created new CUs for SystemStatusChangeEvents and DeviceFailureEvents. Added these CUs to proper Facets.
3065	Need better rules for subscriptions in Micro Devices	Added text to the CUs that “the size of the MonitoredItem is less than or equal to size of Double”.
3088	Need CU and Facet for RequestServerStateChange Method	Created CUs and Facets for Server and Client.
3122	All Security Policy Profiles must require minimum hash for all issuers in the chain.	Added text to the CUs stating the minimum hash required for signing of any certificate in the chain.
3121	Deprecate TLS 1.0 and 1.1 since RC4 is not considered secure anymore.	Deprecated both facets
3123	Add TLS 1.2 Profile with PFS (Perfect Forward Secrecy).	Added a new facet as requested.

OPC UNIFIED ARCHITECTURE –

Part 7: Profiles

1 Scope

This part describes the OPC Unified Architecture (OPC UA) *Profiles*. The *Profiles* in this document are used to segregate features with regard to testing of OPC UA products and the nature of the testing (tool based or lab based). This includes the testing performed by the OPC Foundation provided OPC UA CTT (a self-test tool) and by the OPC Foundation provided Independent certification test labs. This could equally as well refer to test tools provided by another organization or a test lab provided by another organization. What is important is the concept of automated tool based testing versus lab based testing. The scope of this standard includes defining functionality that can only be tested in an a lab and defining the grouping of functionality that is to be used when testing OPC UA products either in a lab or using automated tools. The definition of actual *TestCases* is not within the scope of this document, but the general categories of TestCases are within the scope of this document.

Most OPC UA applications will conform to several, but not all of the *Profiles*.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

Part 1: OPC UA Specification: Part 1 – Concepts.
http://www.opcfoundation.org/UA/Part1/

Part 2: OPC UA Specification: Part 2 – Security Model
http://www.opcfoundation.org/UA/Part2/

Part 3: OPC UA Specification: Part 3 – Address Space Model
http://www.opcfoundation.org/UA/Part3/

Part 4: OPC UA Specification: Part 4 – Service
http://www.opcfoundation.org/UA/Part4/

Part 5: OPC UA Specification: Part 5 – Information Model
http://www.opcfoundation.org/UA/Part5/

Part 6: OPC UA Specification: Part 6 – Mapping
http://www.opcfoundation.org/UA/Part6/

Part 8: OPC UA Specification: Part 8 – Data Access
http://www.opcfoundation.org/UA/Part8/

Part 9: OPC UA Specification: Part 9 – Alarms and Conditions
http://www.opcfoundation.org/UA/Part9/

Part 10: OPC UA Specification: Part 10 – Programs
http://www.opcfoundation.org/UA/Part10/

Part 11: OPC UA Specification: Part 11 – Historical Access

http://www.opcfoundation.org/UA/Part11/

Part 12: OPC UA Specification: Part 12 – Discovery

http://www.opcfoundation.org/UA/Part12/

Part 13: OPC UA Specification: Part 13 – Aggregates

http://www.opcfoundation.org/UA/Part13/

3 Terms, definitions, and conventions

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in Part 1, Part 2, Part 3, Part 4, Part 6, and Part 8 as well as the following apply. An overview of the terms defined in this standard and their interaction can be viewed in Figure 1.

3.1.1
application
a software program that executes or implements some aspect of OPC UA

Note 1 to entry: The application could run on any machine and perform any function. The application could be software or it could be a hardware application, the only requirement is that it implements OPC UA.

3.1.2
ConformanceUnit
a specific set of OPC UA features that can be tested as a single entity

Note 1 to entry: A *ConformanceUnit* can cover a group of services, portions of services or information models. For additional detail see Clause 5.

3.1.3
ConformanceGroup
a group of *ConformanceUnits* that is given a name

Note 1 to entry: This grouping is only to assist in organizing *ConformanceUnits*. Typical *ConformanceGroups* include groups for each of the service sets in OPC UA and each of the Information Model standards.

3.1.4
Facet
a Profile dedicated to a specific feature that a *Server* or *Client* may require

Note 1 to entry: *Facets* are typically combined to form higher-level *Profiles*. The use of the term *Facet* in the title of a *Profile* indicates that the given *Profile* is not a standalone *Profile*.

3.1.5
FullFeatured Profile
a Profile that defines all features necessary to build a functional OPC UA *Application*

Note 1 to entry: A *FullFeatured Profile* in particular adds definitions of the transport and security requirements.

3.1.6
ProfileCategory
arranges *Profiles* into application classes, such as *Server* or *Client*

Note 1 to entry: These categories help determine the type of *Application* that a given *Profile* would be used for. For additional details see 4.4.

3.1.7
TestCase
a technical description of a set of steps required to test a particular function or information model

Note 1 to entry: *TestCases* provide sufficient details to allow a developer to implement them in code. *TestCases* also provide a detailed summary of the expected result(s) from the execution of the implemented code and any precondition(s) that must be established before the *TestCase* can be executed.

3.1.8
TestLab
a facility that is designated to provide testing services

Note 1 to entry: These services include but are not limited to personal that directly perform testing, automated testing and a formal repeatable process. The OPC Foundation has provided detailed standard describing OPC UA TestLabs and the testing they are to provided (see Compliance Part 8 UA *Server*, Compliance Part 9 UA *Client*).

3.2 Abbreviations

DA Data Access

HA Historical Access

HMI Human Machine Interface

NIST National Institute of Standard and Technology

PKI Public Key Infrastructure

RSA Rivest-Shamir-Adleman

UA Unified Architecture

4 Overview

4.1 General

The OPC Unified architecture multipart standard describes a number of *Services* and a variety of information models. These *Services* and information models can be referred to as features of a *Server* or *Client*. *Servers* and *Clients* need to be able to describe which features they support and wish to have certified. This document provides a grouping of these features. The individual features are grouped into *ConformanceUnits* which are further grouped into *Profiles*. Figure 1 provides an overview of the interactions between *Profiles*, *ConformanceUnits* and *TestCases*. The large arrows indicate the components that are used to construct the parent. For example a *Profile* is constructed from *Profiles* and *ConformanceUnits*. The figure also illustrates a feature of the OPC UA Compliance Test Tool (CTT), in that it will test if a requested *Profile* passes all *ConformanceUnits*. It will also test all other *ConformanceUnits* and report any other *Profiles* that pass conformance testing. The individual *TestCases* are defined in separate documents see Compliance Part 8 UA Server and Compliance Part 9 UA Client. The *TestCases* are related back to the appropriate *ConformanceUnits* defined in this standard. This relationship is also displayed by the OPC UA Compliance Test Tool.

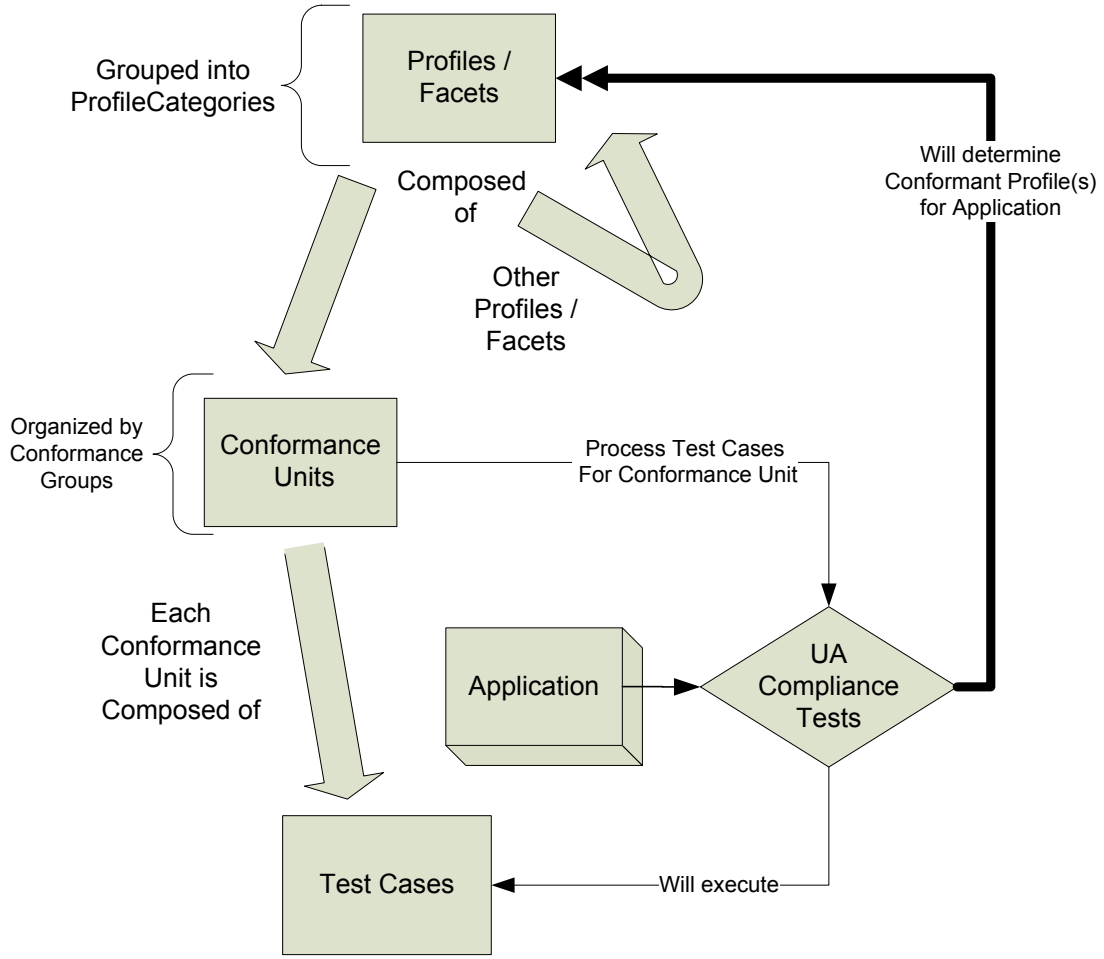

Figure 1 – Profile – ConformanceUnit – TestCases

4.2 ConformanceUnit

Each *ConformanceUnit* represents a specific set of features (e.g. a group of services, portions of services or information models) that can be tested as a single entity. *ConformanceUnits* are the building blocks of a *Profile*. Each *ConformanceUnit* can also be used as a test category. For each *ConformanceUnit,* there would be a number of TestCases that test the functionality described by the *ConformanceUnit.* The description of a *ConformanceUnit* is intended to provide enough information to illustrate the required functionality, but in many cases to obtain a complete understanding of the *ConformanceUnit* the reader may be required to also examine the appropriate part of OPC UA. Additional Information regarding testing of a *ConformanceUnit* are provided in the Compliance Part 8 UA Server or Compliance Part 9 UA Client test standards.

The same features do not appear in more than one *ConformanceUnit*.

4.3 Profiles

A *Profile* is a named aggregation of *ConformanceUnits* and other *Profiles*. To support a *Profile*, an application has to support the *ConformanceUnits* and all aggregated *Profiles*. The definition of *Profiles* is an ongoing activity, in that it is expected that new *Profiles* will be added in the future.

An OPC UA Application will typically support multiple *Profiles*.

Multiple *Profiles* may include the same *ConformanceUnit*.

Testing of a *Profile* consists of testing the individual *ConformanceUnits* that comprise the *Profile*.

Profiles are named based on naming conventions (see 6.3 for details).

4.4 Profile Categories

Profiles are grouped into categories to help vendors and end users understand the applicability of a *Profile*. A *Profile* can be assigned to more than one category.

Table 1 contains the list of currently defined *ProfileCategories*.

Table 1 – ProfileCategories

Category	Description
Client	*Profiles* of this category specify functions of an OPC UA *Client*.
Security	*Profiles* of this category specify security related functions. Security policies are part of this category. The URI of security policies has to be part of an Endpoint Description returned from the GetEndpoints service. *Profiles* of this category apply to *Servers* and *Clients*.
Server	*Profiles* of this category specify functions of an OPC UA *Server*. The URI of such *Profiles* can be exposed in the *Server* capabilities.
Transport	*Profiles* of this category specify specific protocol mappings. The URI of such *Profiles* has to be part of an Endpoint Description. These *Profiles* apply to *Servers* and *Clients*.

5 ConformanceUnits

5.1 Overview

A *ConformanceUnit* represents an individually testable entity. For improved clarity, the large list of *ConformanceUnits* is arranged into named *ConformanceGroups*. These groups reflect the *Service Sets* in Part 4 and the OPC UA information models. Table 2 lists the *ConformanceGroups*. These groups and the *ConformanceUnits* that they describe are detailed in the Subclauses of chapter 5 starting with clause 5.2 *ConformanceGroups* have no impact on testing; they are used only for organizational reasons, i.e. to simplify the readability of this document.

Table 2 – ConformanceGroups

Group	Description
Address Space Model	Defines *ConformanceUnits* for various features of the OPC UA *AddressSpace*.
Aggregates	All *ConformanceUnits* that are related to *Aggregates*, including individual *ConformanceUnits* for each supported *Aggregate* as described in Part 13.
Alarms and Conditions	All *ConformanceUnits* that are associated with the OPC UA information model for *Conditions*, acknowledgeable *Conditions*, confirmations and *Alarms* as specified in Part 9.
Attribute Services	Includes *ConformanceUnits* to read or write current or historical *Attribute* values.
Auditing	User level security includes support for security audit trails, with traceability between *Client* and *Server* audit logs.
Base Information	All information elements as defined in Part 5.
Data Access	*ConformanceUnits* specific to *Clients* and *Servers* that deal with the representation and use of automation data as specified in Part 8.
Discovery Services	*ConformanceUnits* which focus on *Server Endpoint Discovery*.
Historical Access	Access to archived data of node *Attribute* values or Events.
Method Services	*Methods* represent the function calls of *Objects*. *Methods* are invoked and return only after completion (successful or unsuccessful).
Miscellaneous	This group contains *ConformanceUnits* that cover miscellaneous subjects, such as recommended behaviours,

Group	Description
	documentation etc. These *ConformanceUnits* typically do not fit into any of the other groups.
Monitored Item Services	*Clients* define *MonitoredItems* to subscribe to data and Events. Each *MonitoredItem* identifies the item to be monitored and the *Subscription* to use to send *Notifications*.
Node Management Services	Bundles *ConformanceUnits* for all *Services* to add and delete OPC UA *AddressSpace Nodes* and *References*.
Protocol and Encoding	Covers all transport and encoding combinations that are specified in Part 6.
Query Services	A Query may be used to provide advanced filtering and return a subset of data.
Redundancy	The design of OPC UA ensures that vendors can create redundant *Clients* and redundant *Servers* in a consistent manner. Redundancy may be used for high availability, fault tolerance and load balancing.
Security	Security related *ConformanceUnits* that can be profiled this includes all aspects of security.
Session Services	An (OPC UA) *Session* is an application layer connection.
Subscription Services	Subscriptions are used to report *Notifications* to the *Client*.
View Services	*Clients* use the View *Service* Set to navigate through the OPC UA *AddressSpace* or through a View (a subset) of the OPC UA *AddressSpace*.

5.2 Services

Tables 3 to 10 describe *ConformanceUnits* for the *Services* specified in Part 4. The tables correlate with the *Service Sets.*

A single *ConformanceUnit* can reference several *Services* (e.g. CreateSession, ActivateSession and CloseSession) but can also refer to individual aspects of *Services* (e.g. the use of ActivateSession to impersonate a new user).

Each table includes a listing of the *Profile Category* to which a *ConformanceUnit* belongs, the title and description of the *ConformanceUnit*. In some cases, a *ConformanceUnit* will be derived from another *ConformanceUnit*. This parent unit will then be specified in the description of each derived unit. In such cases the derived nits inherit all of the tests of its parent plus one or more additional TestCases. These TestCases can only further restrict the existing TestCases. An example would be one in which the number of connections is tested, where the TestCase of the parent required at least one connection and the derived *ConformanceUnit* would require a *TestCase* for at least five connections.

The *Discovery Service* Set is composed of multiple *ConformanceUnits* (see Table 3). All *Servers* provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 3 – Discovery Services

Category	Title	Description
Server	Discovery Get Endpoints	Support the GetEndpoints *Service* to obtain all Endpoints of the *Server*. This includes filtering based on *Profiles*.
Server	Discovery Find Servers Self	Support the FindServers *Service* only for itself.
Server	Discovery Register	Call the RegisterServer *Service* to register itself (OPC UA *Server*) with an external *Discovery Service* via a secure channel with a SecurityMode other than "None".
Server	Discovery Register2	Call the RegisterServer2 *Service* to register with an external *Discovery Service* via a *Secure Channel* with a

Category	Title	Description
		SecurityMode other than "None". This includes passing a list of short capability identifiers. The use of these identifiers is specified in Part 12; the complete list can be found in http://www.opcfoundation.org/UA/schemas/1.03/ServerCapabilityIdentifiers.csv.
Server	Discovery Configuration	Allow configuration of the *Discovery Server* URL where the *Server* will register itself. Allow complete disabling of registration with a *Discovery Server*.
Server	Discovery Server Announcement using mDNS	Provide mDNS functionality to announce a *Server* with its capabilities. The capability identifiers and the use of mDNS records for the purpose of OPC UA Discovery is specified in Part 12. Note that this functionality is only required for *Servers* that do not register with an LDS. The use of capability identifiers in mDNS records is specified in Part 12; the complete list can be found in http://www.opcfoundation.org/UA/schemas/1.03/ServerCapabilityIdentifiers.csv.
Client	Discovery Client Find Servers Basic	Uses the FindServers *Service* to obtain all *Servers* installed on a given platform.
Client	Discovery Client Find Servers with URI	Use FindServers *Service* to obtain URLs for specific *Server* URIs.
Client	Discovery Client Find Servers Dynamic	Detect new *Servers* after an initial FindServers *Service* call.
Client	Discovery Client Find Servers on Network using LDS-ME	Use FindServersOnNetwork *Service* to obtain URLs for specific *Server* URIs. Note that this *Service* is available via the *Local Discovery Server* with multicast extension (LDS-ME).
Client	Discovery Client Find Servers on Network using mDNS	Use mDNS based Service Discovery to locate Servers on the same multicast network. The contents of mDNS records for OPC UA Discovery are described in Part 12. Note that this functionality is only required for *Clients* when there is no *Local Discovery Server* with multicast extension (LDS-ME). The use of capability identifiers in mDNS records is specified in Part 12; the complete list can be found in http://www.opcfoundation.org/UA/schemas/1.03/ServerCapabilityIdentifiers.csv.
Client	Discovery Client Find Servers on Network	Support one of the options to locate Servers on the network.
Client	Discovery Client Find Servers in GDS	Use the *QueryServers Method* on the GDS Directory Object to locate *Servers* that meet filter criteria specified in the request. This *Method* is specified in Part 12.
Client	Discovery Client Get Endpoints Basic	Uses the GetEndpoints *Service* to obtain all Endpoints for a given *Server* URI.
Client	Discovery Client Get Endpoints Dynamic	Detect changes to the Endpoints after an initial GetEndpoints *Service* call.

Category	Title	Description
Client	Discovery Client Configure Endpoint	Allow specification of an Endpoint without going through the *Discovery Service* Set.

The *Session Service* Set is composed of multiple *ConformanceUnits* (see Table 4). The CreateSession, ActivateSession, and CloseSession services are supported as a single unit. All *Servers* and *Clients* provide this functionality.

Table 4 – Session Services

Category	Title	Description
Server	Session General Service Behaviour	Implement basic *Service* behaviour. This includes in particular: – checking the authentication token – returning the requestHandle in responses – returning available diagnostic information as requested with the 'returnDiagnostics' parameter – respecting a timeoutHint
Server	Session Base	Support the *Session Service* Set (CreateSession, ActivateSession, CloseSession) except the use of ActivateSession to change the *Session* user. This includes correct handling of all parameters that are provided. Note that for the CreateSession and ActivateSession services, if the SecurityMode = None then: 1) The Application *Certificate* and Nonce are optional. 2) The signatures are null/empty. The details of this are described in Part 4.
Server	Session Change User	Support the use of ActivateSession to change the *Session* user.
Server	Session Cancel	Support the Cancel *Service* to cancel outstanding requests.
Server	Session Minimum 1	Support minimum 1 *Session* (total).
Server	Session Minimum 2 Parallel	Support minimum 2 parallel Sessions (total for all *Clients*).
Server	Session Minimum 50 Parallel	Support minimum 50 parallel Sessions (total for all *Clients*).
Client	Session Client General Service Behaviour	Implement basic *Service* behaviour. This includes in particular: – including the proper authentication token of the *Session* – creating a requestHandle if needed – requesting diagnostic information with the 'returnDiagnostics' parameter – evaluate the serviceResult and operational results
Client	Session Client Base	Use the *Session Service* Set (CreateSession, ActivateSession, and CloseSession) except the use of ActivateSession to change the *Session* user. This includes correct handling of all parameters that are provided. Note that for the CreateSession and ActivateSession services, if the SecurityMode = None then: 1) The Application *Certificate* and Nonce are optional. 2) The signatures are null/empty.
Client	Session Client Multiple Connections	Support unlimited connections (client side) with multiple *Servers*. Any limit on numbers of connections is from server side. May have a memory based limit, but not a software constraint limit.
Client	Session Client Renew NodeIds	This *ConformanceUnit* applies to *Clients* that allow persisting NodeIds.

Category	Title	Description
		Verify that the Namespace Table has not changed for NodeIds that the *Client* has persisted and is going to re-use beyond a *Session* lifetime. If changes occurred the *Client* has to recalculate the Namespace Indices of the respective NodeIds.
Client	Session Client Impersonate	Uses ActivateSession to change the *Session* user (impersonation).
Client	Session Client KeepAlive	Make periodic requests to keep the *Session* alive.
Client	Session Client Detect Shutdown	Read or monitor the ServerStatus/State *Variable* to recognize a potential shutdown of the *Server* and clean up resources.
Client	Session Client Cancel	Use the Cancel *Service* to cancel outstanding requests.
Client	Session Client Auto Reconnect	Automatic *Client* reconnect including: – ActivateSession with new SecureChannel if SecureChannel is no longer valid but *Session* is still valid – Creation of a new *Session* only if *Session* is no longer valid
Client	Client Entry-Level Support	The *Client* is able to interoperate with *Servers* with lowest level functionality. This includes the ability to operate with a single *Session*, a pre-knowledge of the OPC UA Types (the *Server* may not expose them in the *AddressSpace*), and the ability to use Read vs. *Subscriptions* for monitoring. There may be further restrictions provided by the *Server* via the *Server* capabilities.
Client	Session Client Single Session	The *Client* shall interoperate with *Servers* that only support one *Session.*

The *Node* Management *Service* Set is composed of multiple *ConformanceUnits* (see Table 5). *Servers* may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 5 – Node Management Services

Category	Title	Description
Server	Node Management Add Node	Support the AddNodes *Service* to add one or more *Nodes* into the OPC UA *AddressSpace*.
Server	Node Management Delete Node	Support the DeleteNodes *Service* to delete one or more *Nodes* from the OPC UA *AddressSpace*.
Server	Node Management Add Ref	Support the AddReferences *Service* to add one or more *References* to one or more *Nodes* in the OPC UA *AddressSpace*.
Server	Node Management Delete Ref	Support the DeleteReferences *Service* to delete one or more *References* of a *Node* in the OPC UA *AddressSpace*.
Client	Node Management Client	Uses *Node* Management *Services* to add or delete *Nodes* and to add or delete *References* in *Server*'s OPC UA *AddressSpace*.

The View *Service* Set is composed of a multiple *ConformanceUnits* (see Table 6). All *Servers* support some aspects of this conformance group. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 6 – View Services

Category	Title	Description
Server	View Basic	Support the View *Service* Set (Browse, BrowseNext).
Server	View TranslateBrowsePath	Support TranslateBrowsePathsToNodeIds *Service*.
Server	View RegisterNodes	Support the RegisterNodes and UnregisterNodes *Services* as a way to optimize access to repeatedly used *Nodes* in the *Server*'s OPC UA *AddressSpace*.
Server	View Minimum Continuation Point 01	Support minimum 1 continuation point per *Session*.
Server	View Minimum Continuation Point 05	Support minimum 5 continuation points per *Session*. This number has to be supported for at least half of the minimum required sessions.
Client	View Client Basic Browse	Uses Browse and BrowseNext *Services* to navigate through the *Server*'s OPC UA *AddressSpace*. Make use of the referenceTypeId and the nodeClassMask to specify the needed *References*.
Client	View Client Basic ResultSet Filtering	Makes use of the resultMask parameter to optimize the result set to be returned by the *Server*.
Client	View Client TranslateBrowsePath	Uses the TranslateBrowsePathsToNodeIds *Service* to identify the NodeIds for *Nodes* where a starting *Node* and a BrowsePath is known. Makes use of bulk operations rather than multiple calls whenever possible.
Client	View Client RegisterNodes	Uses the RegisterNodes *Service* to optimize access for *Nodes* that are used repeatedly. Use UnregisterNodes when *Nodes* are not used anymore.
Client	View Client Remote Nodes Browse	The *Client* can browse to nodes that have an extended NodeID that reference a *Server* different than the originating *Server*. This includes automatic connection to the remote *Server*. It is acceptable that the *Server* configuration information be pre-configured on the *Client* and / or that the user is prompted to connect.
Client	View Client Remote Nodes Translate Browse	The *Client* can translate browse paths that include nodes with extended NodeID that reference a *Server* different than the originating Server and return them as part of the TranslateBrowsePathsToNodeIds Service. It is acceptable that the *Server* configuration information be pre-configured on the *Client*.

The *Attribute Service* Set is composed of multiple *ConformanceUnits* (see Table 7). The majority of the *Attribute* service set is a core functionality of OPC UA and as such is supported by most *Servers*. Most *Clients* will also support some aspects of the *Attribute Service* Set

Table 7 – Attribute Services

Category	Title	Description
Server	Attribute Read	Supports the Read *Service* to read one or more *Attributes* of one or more *Nodes*. This includes support of the IndexRange parameter to read a single element or a range of elements when the *Attribute* value is an array.
Server	Attribute Read Complex	Supports reading and encoding Values with Structured DataTypes.
Server	Attribute Write Values	Supports writing to values to one or more *Attributes* of one or more *Nodes*.
Server	Attribute Write Complex	Supports writing and decoding Values with Structured DataTypes.

Category	Title	Description
Server	Attribute Write StatusCode & Timestamp	Supports writing of StatusCode and Timestamps along with the Value.
Server	Attribute Write Index	Supports the IndexRange to write a single element or a range of elements when the *Attribute* value is an array.
Server	Attribute Alternate Encoding	Supports alternate Data Encoding when reading value *Attributes*. By default, every *Server* has to support the Data Encoding of the currently used Stack *Profile* (i.e. binary with UA Binary Encoding and XML with XML Encoding). This *ConformanceUnit* – when supported – specifies that the other Data Encoding is supported in addition.
Server	Attribute Historical Read	Supports the HistoryRead *Service*. The details of what aspects of this service are used are listed in additional *ConformanceUnits*, but at least one of ReadRaw, ReadProcessed, ReadModified, ReadAtTime or ReadEvents must be supported.
Server	Attribute Historical Update	Supports the HistoryUpdate service. The details of the supported features of this service are described by additional *ConformanceUnits*, but at least one of the following must be supported: InsertData, InsertEvents, ReplaceData, ReplaceEvents, UpdateData, UpdateEvents, DeleteData, DeleteEvents or DeleteAtTime.
Client	Attribute Client Read Base	Use the Read *Service* to read one or more *Attributes* of one or more *Nodes*. This includes use of an IndexRange to select a single element or a range of elements when the *Attribute* value is an array. *Clients* shall use bulk operations whenever possible to reduce the number of *Service* invocations.
Client	Attribute Client Remote Nodes Attribute Access	The *Client* can retrieve attributes of nodes that have an extended NodeID that reference a *Server* different than the originating *Server*. This requires a connection to the remote *Server* for access (not necessarily displayed as a connection). It is acceptable that the *Server* configuration information be pre-configured on the *Client*.
Client	Attribute Client Read with proper Encoding	This *ConformanceUnit* refers to the ability of a *Server* to support more than one Data Encoding for *Attribute* values. *Clients* can discover the available encodings and can explicitly choose one when calling the Read *Service*.
Client	Attribute Client Read Complex	Read and decode Values with Structured DataTypes.
Client	Attribute Client Write Base	Use the Write *Service* to write values to one or more *Attributes* of one or more *Nodes*. This includes use of an IndexRange to select a single element or a range of elements when the *Attribute* value is an array. *Clients* shall use bulk operations whenever possible to reduce the number of *Service* invocations.
Client	Attribute Client Write Complex	Write and Encode Values with Structured DataTypes.
Client	Attribute Client Write Quality & TimeStamp	Use the Write *Service* to also write StatusCode and/or Timestamps along with a Value.
Client	Attribute Client Historical Read	The *Client* makes use of the HistoryRead service. The details of which aspect of this service are used are provided by additional *ConformanceUnits*, but at least one or more of the following is used ReadRaw,

Category	Title	Description
		ReadAtTime, ReadProcessed, ReadModified or ReadEvents.
Client	Attribute Client Historical Updates	The *Client* makes use of the HistoryUpdate service. The details of this usage are provided by additional *ConformanceUnits*, but at least one or more of the following must be provided: InsertData, InsertEvent, ReplaceData, ReplaceEvent, UpdateData, UpdateEvents, DeleteData or DeleteEvents or DeleteAtTime.

The *Method Service* Set is composed of *ConformanceUnits* (see Table 8). The primary *ConformanceUnits* provide support for the call functionality. *Servers* may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 8 – Method Services

Category	Title	Description
Server	Method Call	Support the Call *Service* to call (invoke) a *Method* which includes support for *Method* Parameters.
Client	Method Client Call	Use the Call *Service* to call one or several Methods.

The *MonitoredItem Service* Set is composed of multiple *ConformanceUnits* (see Table 9). *Servers* may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 9 – Monitored Item Services

Category	Title	Description
Server	Monitor Basic	Support the following *MonitoredItem Services*: CreateMonitoredItems, ModifyMonitoredItems, DeleteMonitoredItems and SetMonitoringMode.
Server	Monitor Value Change	Support creation of *MonitoredItems* for *Attribute* value changes. This includes support of the IndexRange to select a single element or a range of elements when the *Attribute* value is an array.
Server	Monitored Items Deadband Filter	Supports an absolute Deadband filter as a DataChangeFilter for numeric data types.
Server	Monitor Aggregate Filter	Support for Aggregate filters for *MonitoredItems*. The result of this *ConformanceUnit* includes a list of Aggregates that are supported as part of the *Profile Certificate*.
Server	Monitor Alternate Encoding	Support alternate encoding when monitoring value *Attributes*. By default, every *Server* has to support the encoding of the currently used Stack *Profile* (i.e. binary with UA Binary Encoding and XML with XML Encoding). This *ConformanceUnit* – when supported – specifies that the other encoding is supported in addition.
Server	Monitor Items 2	Support at least 2 *MonitoredItems* per *Subscription* where the size of each MonitoredItem is at least equal to size of Double.
Server	Monitor Items 10	Support at least 10 *MonitoredItems* per *Subscription* where the size of each MonitoredItem is at least equal to size of Double.
Server	Monitor Items 100	Support at least 100 *MonitoredItems* per *Subscription*.

Category	Title	Description
		This number has to be supported for at least half of the required Subscriptions for half of the required Sessions.
Server	Monitor Items 500	Support at least 500 *MonitoredItems* per *Subscription*. This number has to be supported for at least half of the required Subscriptions for half of the required Sessions.
Server	Monitor QueueSize_1	This *ConformanceUnit* does not require queuing when multiple value changes occur during a “publish period”. I.e. the latest change will be sent in the *Notification*.
Server	Monitor MinQueueSize_02	Support at least 2 queue entries for *MonitoredItems*. *Servers* often will adapt the queue size to the number of currently *MonitoredItems*. However, it is expected that *Servers* support this minimum queue size for at least one third of the supported *MonitoredItems*.
Server	Monitor MinQueueSize_05	Support at least 5 queue entries for *MonitoredItems*. *Servers* often will adapt the queue size to the number of currently *MonitoredItems*. However, it is expected that *Servers* support this minimum queue size for at least one third of the supported *MonitoredItems*.
Server	Monitor QueueSize_ServerMax	This *ConformanceUnit* is for events. When the Client requests queuesize=MAXUInt32 the *Server* is to return the maximum queue size that it can support for event notifications as the revisedQueueSize.
Server	Monitor Triggering	Support the SetTriggering *Service* to create and/or delete triggering links for a triggering item.
Server	Monitor Events	Support creation of *MonitoredItems* for an "*EventNotifier Attribute*" for the purpose of *Event Notification*. The subscription includes supporting a filter that includes SimpleAttribute Operands and a select list of Operators. The list of Operators includes: Equals, IsNull, GreaterThan, LessThan, GreaterThanorEqual, LessThatorEqual, Like, Not, Between, InList, And, Or, Cast, BitwiseAnd, BitwiseOr.
Server	Monitor Complex Event Filter	Support for the 'TypeOf' complex Event filter operator.
Client	Monitor Client Value Change	Use the *MonitoredItem Service* Set to register items for changes in *Attribute* value. Use CreateMonitoredItems to register the *Node*/*Attribute* tuple. Set proper sampling interval, Deadband filter and queuing mode. Use disabling / enabling instead of deleting and re-creating a *MonitoredItem*. Use bulk operations rather than individual service requests to reduce communication overhead.
Client	Monitor Client Deadband Filter	Uses Absolute Deadband filters for subscriptions.
Client	Monitor Client by Index	Use the IndexRange to select a single element or a range of elements when the *Attribute* value is an array.
Client	Monitor Client Aggregate Filter	Uses Aggregate filters for Subscriptions.
Client	Monitor Client Events	Use the *MonitoredItem Service* Set to create *MonitoredItems* for *Event* notifications.
Client	Monitor Client Event Filter	Use the *Event* filter when calling CreateMonitoredItems to filter the desired Events and to select the columns to be provided for each *Event Notification*.
Client	Monitor Client Complex Event Filter	Use of the 'TypeOf' complex Event filter operator.
Client	Monitor Client Modify	Use ModifyMonitoredItems *Service* to change the configuration setting.

Category	Title	Description
		Use SetMonitoringMode *Service* to disable / enable sampling and / or publishing.
Client	Monitor Client Trigger	Use the Triggering Model if certain items are to be reported only if some other item triggers. Use proper monitoring mode for these items. Use SetTriggering *Service* to link these items to the trigger item.

The *Subscription Service* Set is composed of multiple *ConformanceUnits* (see Table 10). *Servers* may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 10 – Subscription Services

Category	Title	Description
Server	Subscription Basic	Support the following *Subscription Services*: CreateSubscription, ModifySubscription, DeleteSubscriptions, Publish, Republish and SetPublishingMode.
Server	Subscription Minimum 1	Support at least 1 Subscriptions per *Session*. This number has to be supported for all of the minimum required sessions.
Server	Subscription Minimum 02	Support at least 2 Subscriptions per *Session*. This number has to be supported for at least half of the minimum required sessions.
Server	Subscription Minimum 05	Support at least 5 Subscriptions per *Session*. This number has to be supported for at least half of the minimum required sessions.
Server	Subscription Publish Min 02	Support at least 2 Publish *Service* requests per *Session*. This number has to be supported for all of the minimum required sessions. Support of a NotificationMessage retransmission queue is not required; if not available the Republish Service returns Bad_MessageNotAvailable.
Server	Subscription Publish Min 05	Support at least 5 Publish *Service* requests per *Session*. This number has to be supported for at least half of the minimum required sessions. Support, as a minimum, the number of Publish requests per session as the size of the NotificationMessage retransmission queue for Republish.
Server	Subscription Publish Min 10	Support at least 10 Publish *Service* requests per *Session*. This number has to be supported for at least half of the minimum required sessions. Support as a minimum, the number of Publish requests per session as the size of the NotificationMessage retransmission queue for Republish.
Server	Subscription Publish Discard Policy	Respect the specified policy for discarding Publish *Service* requests. If the maximum number of Publish *Service* requests has been queued and a new Publish *Service* request arrives, the "oldest" Publish request has to be discarded by returning the proper error.
Server	Subscription Transfer	Support TransferSubscriptions *Service* to transfer a *Subscription* from one *Session* to another.

Category	Title	Description
Server	Subscription Durable	Support setting *Subscriptions* in durable mode. This mode requires that collected data and events are stored and delivered even if a *Client* was disconnected for a longer time or the *Server* was restarted.
Client	Subscription Client Basic	Use the *Subscription* and *MonitoredItem Service* Set as an efficient means to detect changes of *Attribute* values and / or to receive *Event* occurrences. Set appropriate intervals for publishing, keep alive notifications and total *Subscription* lifetime. Supply a sufficient number of Publish requests to the *Server* so that *Notifications* can be sent whenever a publish timer expires. Acknowledge received *Notifications* with subsequent Publish requests.
Client	Subscription Client Fallback	The *Client* shall interoperate with *Servers* that do not support *Subscriptions*, or have exhausted *Subscription* limits, for Monitoring by using Read *Service*.
Client	Subscription Client Republish	Evaluate the sequence number in *Notifications* to detect lost *Notifications*. Use Republish to request missing *Notifications*.
Client	Subscription Client Modify	Allow modification of the *Subscription* configuration using the ModifySubscription *Service*.
Client	Subscription Client TransferSubscriptions	The *Client* supports transferring *Subscription* from other *Clients*. This *ConformanceUnit* is used as part of redundant *Clients*.
Client	Subscription Client Multiple	Use multiple Subscriptions to reduce the payload of individual *Notifications*.
Client	Subscription Client Publish Configurable	Send multiple Publish *Service* requests to assure that the *Server* is always able to send *Notifications*. The number of parallel Publish *Service* requests per *Session* shall be configurable.
Client	Subscription Client Durable	Use durable *Subscriptions*.

5.3 Transport and communication related features

Table 11 describes security related *ConformanceUnits*. All of these *ConformanceUnits* apply equally to both *Clients* and *Servers*, where a *Client* uses the related security unit and a *Server* supports the use of it. These items are defined in detail in Part 6. It is recommended that a *Server* and *Client* support as many of these options as possible in order to achieve increased levels of interoperability. It is the task of an administrator to determine which of these *ConformanceUnits* are exposed in a given deployed *Server* or *Client* application.

Table 11 – Security

Category	Title	Description
Security	Security Certificate Validation	A certificate will be validated as specified in Part 4. This includes among others structure and signature examination. Allowing for some validation errors to be suppressed by administration directive.
Security	Security None	A suite of algorithms that does NOT provide any security settings: -> SymmetricSignatureAlgorithm – Not Used -> SymmetricEncryptionAlgorithm – Not Used -> AsymmetricSignatureAlgorithm – Not Used -> SymmetricKeyWrapAlgorithm – Not Used -> AsymmetricEncryptionAlgorithm – Not Used

Category	Title	Description
		-> KeyDerivationAlgorithm – Not Used -> DerivedSignatureKeyLength – 0 The use of this suite of algorithms must be able to be enabled or disabled by an administrator.
Security	Security None CreateSession ActivateSession	When SecurityPolicy=None, the CreateSession and ActivateSession service allow for a NULL/empty signature and do not require Application *Certificates* or a Nonce.
Security	Security None CreateSession ActivateSession 1.0	The Client can connect to Servers that require a certificate being passed on Session establishment. The Client in this case will first try without a certificate and if this fails present a certificate.
Security	Security Basic 128Rsa15	A suite of algorithms that uses RSA15 as Key-Wrap-algorithm and 128-Bit for encryption algorithms. -> SymmetricSignatureAlgorithm – HmacSha1 – (http://www.w3.org/2000/09/xmldsig#hmac-sha1). -> SymmetricEncryptionAlgorithm – Aes128 – (http://www.w3.org/2001/04/xmlenc#aes128-cbc). -> AsymmetricSignatureAlgorithm – RsaSha1 – (http://www.w3.org/2000/09/xmldsig#rsa-sha1). -> AsymmetricKeyWrapAlgorithm – KwRsa15 – (http://www.w3.org/2001/04/xmlenc#rsa-1_5). -> AsymmetricEncryptionAlgorithm – Rsa15 – (http://www.w3.org/2001/04/xmlenc#rsa-1_5). -> KeyDerivationAlgorithm – PSha1 – (http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1). -> DerivedSignatureKeyLength – 128. -> MinAsymmetricKeyLength – 1024 -> MaxAsymmetricKeyLength – 2048 -> CertificateSignatureAlgorithm – Sha1 If a certificate or any certificate in the chain is not signed with a hash that is Sha1 or stronger then the certificate shall be rejected.
Security	Security Basic 256	A suite of algorithms that are for 256-Bit encryption, algorithms include: -> SymmetricSignatureAlgorithm – HmacSha1 – (http://www.w3.org/2000/09/xmldsig#hmac-sha1). -> SymmetricEncryptionAlgorithm – Aes256 – (http://www.w3.org/2001/04/xmlenc#aes256-cbc). -> AsymmetricSignatureAlgorithm – RsaSha1 – (http://www.w3.org/2000/09/xmldsig#rsa-sha1). -> AsymmetricKeyWrapAlgorithm – KwRsaOaep – (http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p). -> AsymmetricEncryptionAlgorithm – RsaOaep – (http://www.w3.org/2001/04/xmlenc#rsa-oaep). -> KeyDerivationAlgorithm – PSha1 – (http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1). -> DerivedSignatureKeyLength – 192. -> MinAsymmetricKeyLength – 1024 -> MaxAsymmetricKeyLength – 2048 -> CertificateSignatureAlgorithm – Sha1 [deprecated] or Sha256 [recommended] If a certificate or any certificate in the chain is not signed with a hash that is Sha1 or stronger then the certificate shall be rejected.

Category	Title	Description
		Both Sha1 and Sha256 shall be supported. However, it is recommended to use Sha256 since Sha1 is considered not secure anymore.
Security	Security Basic 256 Sha256	A suite of algorithms that are for 256-Bit encryption, algorithms include. -> SymmetricSignatureAlgorithm – Hmac_Sha256 – (http://www.w3.org/2000/09/xmldsig#hmac-sha256). -> SymmetricEncryptionAlgorithm – Aes256_CBC – (http://www.w3.org/2001/04/xmlenc#aes256-cbc). -> AsymmetricSignatureAlgorithm – Rsa_Sha256 – (http://www.w3.org/2001/04/xmldsig#rsa-sha256). -> AsymmetricKeyWrapAlgorithm – KwRsaOaep – (http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p). -> AsymmetricEncryptionAlgorithm – Rsa_Oaep – (http://www.w3.org/2001/04/xmlenc#rsa-oaep). -> KeyDerivationAlgorithm – PSHA256 – (http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha256). -> DerivedSignatureKeyLength – 256 -> MinAsymmetricKeyLength – 2048 -> MaxAsymmetricKeyLength – 4096 -> CertificateSignatureAlgorithm – Sha256 If a certificate or any certificate in the chain is not signed with a hash that is Sha256 or stronger then the certificate shall be rejected. Support for this security profile may require support for a second application instance certificate, with a larger keysize. Applications shall support multiple Application Instance *Certificates* if required by supported Security Polices and use the certificate that is required for a given security endpoint.
Security	Security TLS General	This *ConformanceUnit* indicates that at least one of the transport security *Profiles* for TLS is supported by this application. It is used in TLS transport *Profiles*, but the choice of transport security profile is optional. The actual used security profile will default to the most secure one.
Security	Security TLS_RSA_WITH_AES_256_CBC_SHA256	The connection is established using TLS_RSA_WITH_AES_256_CBC_SHA256. That has a MinAsymmetricKeyLength – 2048, MaxAsymmetricKeyLength – 4096, AsymmetricSignatureAlgorithm – RSA_SHA256. (TLS 1.2)
Security	Security TLS_DHE_RSA_WITH_AES_nnn_CBC_SHA256	The connection is established using TLS_DHE_RSA_WITH_AES_128_CBC_SHA256 or TLS_DHE_RSA_WITH_AES_256_CBC_SHA256. That has a MinAsymmetricKeyLength – 2048, MaxAsymmetricKeyLength – 4096, CertificateSignatureAlgorithm – RSA_SHA256. (TLS 1.2). Clients and Servers have to support both algorithms.
Security	Security Encryption Required	Encryption is required using the algorithms provide in the security algorithm suite.
Security	Security Signing Required	Signing is required using the algorithms provide in the security algorithm suite.
Security	Security Time Synch – Configuration	Application supports configuring acceptable clock skew.
Security	Security Time Synch – NTP / OS Based support	Application supports time synchronization, either via an implementation of Network Time Protocol (NTP), or via features of a standard operating system.

Category	Title	Description
Security	Security Time Synch – UA based support	An application makes use of the responses header timestamp provided by a configured well know source, such as a *Discovery Server* to synchronize the time on the application and that this time synchronization occurs periodically. Use of this TimeSyncing can be configured.
Security	Security Administration	Allow configuration of the following Security related items. * select the allowed User identification policy or policies (User Name/Password or X509 or Kerberos or Anonymous). * enable/disable the security policy "None" or other security policies. * enable/disable endpoints with MessageSecurityMode SIGN or SIGNANDENCRYPT. * set the permitted certification authorities. * define how to react to unknown *Certificates*. * allow accepting any valid *Certificate*
Security	Security Administration – XML Schema	Support the OPC UA defined XML schema for importing and exporting security configuration information. This schema is defined in Part 6.
Security	Security Certificate Administration	Allow a site administrator to be able to assign a site specific ApplicationInstanceCertificate and if desired to configure a site specific *Certificate* Authority (CA).
Security	Security Default ApplicationInstance Certificate	An application, when installed, has a default ApplicationInstanceCertificate that is valid. The default ApplicationInstanceCertificate shall either be created as part of the installation or installation instructions explicitly describe the process to create and apply a default ApplicationInstanceCertificate to the application.
Security	Security – No Application Authentication	The *Server* supports being able to be configured for no application authentication, just User authentication and normal encryption/signing: - Configure *Server* to accept all certificates - *Certificates* are just used for message security (signing and encryption) - Users level is used for authentication
Security	Best Practice – Audit Events	Subscriptions for Audit Events are restricted to authorized personnel. A Server may also reject a Subscription for Audit Events that is not over a Secure Channel if one is available.
Security	Best Practice – Alarm Handling	A Server should restrict critical alarm functionality to users that have the appropriate rights to perform these actions. This would include disabling or alarms, shelving of alarms and generation of dialog messages. It would also include other security related functionality such maintaining appropriate timeouts for shelving and dialogs and preventing an overload of dialog messages.
Security	Best Practice – Random Numbers	All random numbers that are required for security use appropriate cryptographic library based random number generators.
Security	Best Practice – Timeouts	The user is able to configure reasonable timeouts for Secure Channels, Sessions and Subscriptions to limit denial of service and resource consumption issues (see Part 2 for additional details).
Security	Best Practice – Administrative Access	The Server and Client allow for appropriate restriction of access to administrative personnel. This includes multiple levels of administrative access on platforms that support multiple administrative roles (such as Windows or Linux).

Category	Title	Description
Security	Best Practice – Strict Message Handling	The application assures that messages that are illegally or incorrectly formed are rejected with appropriate error codes or appropriate actions as specified in Part 4 and Part 6.
Security	Best Practice – Audit Events Client	Audit tracking system connects to a Server using a Secure Channel and under the appropriate administrative rights to allow access to Audit Events.
Security	Security User Name Password	The *Server* supports User Name/Password combination(s). The token will be encrypted if required by the security policy of the User Token Policy or by the security policy of the endpoint. An unencrypted token either requires message encryption or means outside the scope of OPC UA to secure the identity token so that it cannot be retrieved by sniffing the communication. One option would be a secure transport like a VPN.
Security	Security User X509	The *Server* supports a public/private key pair for user identity. The use of this feature must be able to be enabled or disabled by an administrator.
Security	Security User IssuedToken Kerberos	The *Server* supports a Kerberos *Server* token for User Identity. The use of this feature must be able to be enabled or disabled by an Administrator. The use of this token is defined in Kerberos Token Documentation. The token will be encrypted if required by the security policy of the User Token Policy or by the security policy of the endpoint. An unencrypted token either requires message encryption or means outside the scope of OPC UA to secure the identity token so that it cannot be retrieved by sniffing the communication. One option would be a secure transport like a VPN.
Security	Security User IssuedToken Kerberos Windows	The *Server* supports the Windows implementation of Kerberos Tokens. This *ConformanceUnit* only applies if the "Security User IssuedToken Kerberos" is supported. The token will be encrypted if required by the security policy of the User Token Policy or by the security policy of the endpoint. An unencrypted token either requires message encryption or means outside the scope of OPC UA to secure the identity token so that it cannot be retrieved by sniffing the communication. One option would be a secure transport like a VPN.
Security	Security User Anonymous	The *Server* provides support for Anonymous access. The use of this feature must be able to be enabled or disabled by an Administrator. By default Anonymous access shall be disabled.
Security	Security User IssuedToken Kerberos Client	A *Client* uses a Kerberos *Server* token. The use of this token is defined by the Kerberos documentation. The token will be encrypted if required by the security policy of the User Token Policy or by the security policy of the endpoint. An unencrypted token either requires message encryption or means outside the scope of OPC UA to secure the identity token so that it cannot be retrieved by sniffing the communication. One option would be a secure transport like a VPN.

Category	Title	Description
Security	Security User IssuedToken Kerberos Windows Client	A *Client* uses the Windows implementation of Kerberos tokens. This *ConformanceUnit* only applies if the "Security User IssuedToken Kerberos *Client*" is supported. The token will be encrypted if required by the security policy of the User Token Policy or by the security policy of the endpoint. An unencrypted token either requires message encryption or means outside the scope of OPC UA to secure the identity token so that it cannot be retrieved by sniffing the communication. One option would be a secure transport like a VPN.
Security	Security User Name Password Client	A *Client* uses a User Name/Password combination. The token will be encrypted if required by the security policy of the User Token Policy or by the security policy of the endpoint. An unencrypted token either requires message encryption or means outside the scope of OPC UA to secure the identity token so that it cannot be retrieved by sniffing the communication. One option would be a secure transport like a VPN.
Security	Security User X509 Client	A *Client* uses a public/private key pair for user identity. This includes all validation and trust issues associated with a certificate.
Security	Pull Model for Global Certificate and TrustList Management	Support the *Certificate Management Services* of UA Part 12 for the Pull model to manage A*pplication Instance Certificates* and *Trust Lists* including *Revocation Lists*.
Security	Push Model for Global Certificate and TrustList Management	Support the *Certificate Management Services* of UA Part 12 for the Push model to manage A*pplication Instance Certificates* and *Trust Lists* including *Revocation Lists*.
Security	Pull or Push Model	Support the *Certificate Management Services* of UA Part 12 to manage A*pplication Instance Certificates* and *Trust Lists* including *Revocation Lists*. Either Pull or Push model shall be supported.

Table 12 describes protocol and encoding related features that can be profiled. These features are defined in detail in Part 6. It is recommended that *Servers* and *Clients* support as many of these options as possible for greatest interoperability.

Table 12 – Protocol and Encoding

Category	Title	Description
Server	Protocol Configuration	Allow administration of the Endpoints and the port number used by the Endpoints.
Transport	Protocol TCP Binary UA Security	Support the UA TCP transport protocol with UA Binary Encoding and with UA Secure Conversation.
Transport	Protocol HTTPS with UA Binary	Support the HTTPS protocol with UA Binary Encoding.
Transport	Protocol HTTPS with Soap	Support the HTTPS protocol with Soap-based Xml Encoding.
Transport	Protocol Soap Xml WS Security	Support "SOAP/HTTP" transport with XML Encoding and with WS Secure Conversation.

Category	Title	Description
Transport	Protocol Soap Binary WS Security	Support "SOAP/HTTP" transport with UA Binary Encoding and with WS Secure Conversation.

5.4 Information Model and AddressSpace related features

Table 13 describes Base features related items that can be profiled. For additional information about these items, please refer to Part 3, Part 5 and Part 6. *Servers* with a larger resource capacity would support most of this functionality, but smaller resource constraint *Server* may omit some of this functionality. Many *Clients* would utilize some of this functionality and more robust *Clients* would utilize most of this functionality.

Table 13 – Base information

Category	Title	Description
Server	Base Info Core Structure	The *Server* supports the *Server Object*, ServerCapabilities and supports the OPC UA *AddressSpace* structure.
Server	Base Info Server Capabilities	The *Server* supports publishing of the *Server* limitation in the ServerCapabilities, including MaxArrayLength, MaxStringLength, MaxNodePerRead, MaxNodesPerWrite, MaxNodesPerSubscription and MaxNodesPerBrowse.
Server	Base Info Progress Events	The *Server* exposes if generation of Progress events for long running service calls such as HistoryRead or Query is supported. If it is listed as supported in ServerCapabilities, than the actual events are verified.
Server	Base Info Diagnostics	The *Server* supports the collection of diagnostic information. The EnabledFlag in the ServerDiagnostics Object can be set TRUE and in that case all static and dynamic Objects and Variables for diagnostic data as defined in UA Part 5 are supported.
Server	Base Info System Status	The *Server* supports generating SystemStatusChangeEventType indicating shutdown of the *Server* (SourceNode=*Server*).
Server	Base Info System Status Underlying System	The *Server* supports generating SystemStatusChangeEventType indicating changes to an Underlying System (SourceNode = *Server*). This event can also be used to indicate that the OPC UA *Server* has underlying systems.
Server	Base Info Device Failure	The *Server* supports generating DeviceFailureEventType indicating changes to individual devices in an underlying system.
Server	Base Info GetMonitoredItems Method	The *Server* supports obtaining subscription information via GetMonitoredItems *Method* on the *Server* object.
Server	Base Info Type System	The *Server* exposes a Type System with DataTypes, ReferenceTypes, *ObjectTypes* and VariableTypes including all of the OPC UA (namespace 0) types that are used by the *Server*, as defined in Part 5. Items that are defined in Namespace 0 but are defined in other specification parts are tested as part of the other information models.
Server	Base Info Custom Type System	The *Server* supports custom types (i.e. types that are derived from well-known *ObjectTypes*, *VariableTypes*, *ReferenceTypes* or *DataTypes*). Supporting this conformance unit requires that the custom types with their full inheritance tree are exposed in the *AddressSpace*.
Server	Base Info Model Change	The *Server* supports ModelChange *Event* and NodeVersion *Property* for all *Nodes* that the server allows Model changes for.

Category	Title	Description
Server	Base Info Placeholder Modelling Rules	The *Server* supports defining custom *Object* or *Variables* that include the use of OptionalPlaceholder or MandatoryPlaceholder modelling rules.
Server	Base Info SemanticChange	The *Server* supports SemanticChangeEvent for some Properties. This includes setting the SemanticChange Bit in the status when a semantic change occurs, such as a change in the engineering unit associated with a value.
Server	Base Info EventQueueOverflowEventType	The *Server* supports the EventQueueOverflowEventType as defined in Part 4.
Server	Base Info OptionSet	The *Server* supports the *VariableType* OptionSet.
Server	Base Info ValueAsText	The *Server* supports the *Property* ValueAsText for enumerated DataTypes.
Server	Base Info Engineering Units	The *Server* supports defining *Variables* that include the Engineering Units *Property*. This property makes use of the EUInformation data structure. This structure by default represents the UN/CEFACT "Codes for Units of Measurement". If a different EU representation is required then the EUInformation.namespaceUri will indicate the alternate namespace.
Server	Base Info FileType Base	The *Server* supports the FileType *Object* (see Part 5). File writing may be restricted.
Server	Base Info FileType Write	The *Server* supports the FileType *Object*, including writing of files. Also included is the support of user access control on FileType *Object*.
Server	Base Info RequestServerStateChange Method	The Server supports the RequestServerStateChange Method.
Client	Base Info Client Basic	The *Client* uses the defined OPC UA *AddressSpace*. Access or provide access to *Server* information like the *Server*'s state, BuildInfo, capabilities, Namespace Table and Type Model.
Client	Base Info Client Honour Operation Limits	The *Client* shall honour *Server* limits described in ServerCapabilites *Object* of *Server*.
Client	Base Info Client System Status	The *Client* makes use of SystemStatusChangeEventType to detect server shutdowns.
Client	Base Info Client System Status Underlying System	The *Client* makes use of SystemStatusChangeEventType to detect changes to an Underlying System (SourceNode = *Server*).
Client	Base Info Client Device Failure	The *Client* makes use of DeviceFailureEventType to detect failed devices in underlying systems
Client	Base Info Client Progress Events	The *Client* makes use of ProgressEvents, including checking for their support.
Client	Base Info Client Diagnostics	The *Client* provides interactive or programmatic access to the *Server*'s diagnostic information.
Client	Base Info Client Type Programming	The *Client* programmatically process instances of *Objects* or *Variables* by using their type definitions. This includes custom DataTypes, *ObjectTypes* and VariableTypes.
Client	Base Info Client Type Pre-Knowledge	The *Client* shall interoperate with *Servers* that do not expose OPC UA Types in *AddressSpace*.
Client	Base Info Client Change Events	The *Client* processes ModelChangeEvents to detect changes in the *Server*'s OPC UA *AddressSpace* and take appropriate action for a given change.

Category	Title	Description
Client	Base Info Event Processing	The Client is able to subscribe for and process base OPC UA Events.
Client	Base Info Client GetMonitoredItems Method	The *Client* makes use of GetMonitoredItems *Method* to recover for communication interruptions and/or to recover subscription information.
Client	Base Info Client FileType Base	The *Client* can access a FileType *Object* to transfer a file from the *Server* to the *Client*. This includes large files.
Client	Base Info Client FileType Write	The *Client* can access a FileType *Object* to transfer a file from the *Client* to the *Server*. This includes large files.
Client	Base Info Client RequestServerStateChange	The Client can invoke the RequestServerStateChange Method.

Table 14 describes Address Space Model information related items that can be profiled. The details of these model items are defined in Part 3 and Part 5. This includes *Server Facets* that describe what a *Server* exposes and *Client Facets* that describe what a *Client* consumes

Table 14 – Address Space model

Category	Title	Description
Server	Address Space Base	Support the *NodeClasses* with their *Attributes* and *References* as defined in Part 3. This includes for instance: *Object*, *ObjectType*, *Variable*, *VariableType*, *References* and DataType.
Server	Address Space Events	Support OPC UA *AddressSpace* elements for generating *Event* notifications. This includes at least one *Node* with an *EventNotifier Attribute* set to True (*Server Node*).
Server	Address Space Complex DataTypes	Support StructuredDataTypes with a Data Dictionary.
Server	Address Space Method	Support *Method Nodes*.
Server	Address Space Notifier Hierarchy	Supports using the HasNotifier reference to build a hierarchy of *Object Nodes* that are notifiers with other notifier *Object Nodes*.
Server	Address Space Source Hierarchy	Supports hierarchies of event sources where each hierarchy roots in an *Object Node* that is a notifier. The HasEventSource *Reference* is used to relate the *Nodes* within a hierarchy. If *Conditions* are supported, the hierarchy shall include HasCondition *References*.
Server	Address Space WriteMask	Supports WriteMask indicating the write access availability for all attributes, including not supported attributes.
Server	Address Space UserWriteMask	Supports UserWriteMask indicating the write access availability for all attributes for the given user, including not supported attributes. Support includes at least two levels of users.
Server	Address Space UserWriteMask Multilevel	Supports UserWriteMask indicating the write access availability for all attributes for the given user, including not supported attributes. This includes supporting multiple levels of access control for all nodes in the system.
Server	Address Space User Access Level Full	Implements User Access Level security, this includes supporting multiple levels of access control for *Variable* nodes in the system. This includes an indication of read, write, Historical read and Historical write access to the Value *Attribute*.
Server	Address Space User Access Level Base	Implements User Access Level Security for *Variable* nodes, this includes at least two users in the system. This includes an indication of read, write, historical read and Historical write access to the value attribute

Category	Title	Description
Client	Address Space Client Base	Uses and understands the *NodeClasses* with their *Attributes* and behaviour as defined in Part 3. This includes for instance: *Object*, *ObjectType*, *Variable*, *VariableType*, *References* and DataType. This includes treating BrowseNames and String NodeIds as case sensitive.
Client	Address Space Client Complex DataTypes	Uses and understands arbitrary StructuredDataTypes via Data Dictionary.
Client	Address Space Client Notifier Hierarchy	Uses hierarchy of *Object Nodes* that are notifiers to detect specific areas where the *Client* can subscribe for Events.
Client	Address Space Client Source Hierarchy	Detect and use the hierarchy of event sources exposed for specific *Object Nodes* that are event notifiers.

Table 15 describes Data Access information model related items that can be profiled. The details of this model are defined in Part 8. *Server* could expose this information model and *Client* could utilize this information model.

Table 15 – Data Access

Category	Title	Description
Server	Data Access DataItems	Provide *Variables* of DataItemType or one of its subtypes. Support the StatusCodes specified in the Part 8. Support of optional Properties (e.g. "InstrumentRange") shall be verified during certification testing and will be shown in the *Certificate*.
Server	Data Access AnalogItems	Support AnalogItemType *Variables* with corresponding Properties. The support of optional properties will be listed.
Server	Data Access PercentDeadband	Support PercentDeadband filter when monitoring AnalogItemType *Variables*.
Server	Data Access Semantic Changes	Support semantic changes of AnalogItemType items (EURange *Property* and/or EngineeringUnits *Property*). Support semantic change StatusCode bits where appropriate.
Server	Data Access TwoState	Support TwoStateDiscreteType *Variables* with corresponding Properties.
Server	Data Access MultiState	Support MultiStateDiscreteType *Variables* with corresponding Properties.
Server	Data Access ArrayItemType	Provide *Variables* of ArrayItemType or one of its subtypes (YArrayItemType, XYArrayItemType, ImageArrayType, CubeArrayType and NDimensionArrayType). The supported subtypes will be listed. Support for this type includes supporting all of the mandatory properties including AxisInformation.
Server	Data Access Complex Number	Supports the Complex Number data type. This data type is available for any variable types that do not have other explicit restrictions.
Server	Data Access DoubleComplex Number	Supports the DoubleComplex Number data type. This data type is available for any variable types that do not have other explicit restrictions.
Client	Data Access Client Basic	Understand the DataAccess *Variable* Types. Make use of the standard Properties if applicable.
Client	Data Access Client Deadband	Use PercentDeadband to filter value changes of AnalogItemType *Variables*.
Client	Data Access Client SemanticChange	Recognize the semantic change bit in the StatusCode while monitoring items and take proper action. Typically, the *Client* has to re-read Properties that define type-specific

Category	Title	Description
		semantic like the EURange and EngineeringUnits Properties.

Table 16 describes *Alarm* and *Conditions* information model related items that can be profiled. The details of this model are defined in Part 9. *Servers* that deal with *Alarm* and *Conditions* would expose this information model and *Clients* that process *Alarms* and *Conditions* would utilize this information model.

Table 16 – Alarms and Conditions

Category	Title	Description
Server	A & C Basic	Supports *Alarm* & *Condition* model ConditionType.
Server	A & C Enable	Supports Enable and Disable Methods.
Server	A & C Refresh	Supports ConditionRefresh *Method* and the concept of a refresh.
Server	A & C Refresh2	Supports ConditionRefresh2 *Method* and the concept of a monitored item based refresh.
Server	A & C Instances	Support exposing of A&C *Condition* instances in the *AddressSpace*.
Server	A & C ConditionClasses	Supports multiple *Condition* classes for grouping and filtering of *Alarms*.
Server	A & C Acknowledge	Supports the Acknowledge concept, *Acknowledge Method*, and *AcknowledgeableCondition Type*.
Server	A & C Confirm	Supports the concept of Confirm and the *Confirm Method*.
Server	A & C Comment	Supports the concept of Comments and the *AddComment Method*.
Server	A & C Alarm	Supports the mandatory features of the *AlarmCondition Type*.
Server	A & C Branch	Support for branching of Condition Types and any subtypes, such as AcknowledgeableConditionType and AlarmConditionType etc.
Server	A & C Shelving	Support the concept of shelving and the TimedShelve, OneShotShelve and Unshelve *Methods*.
Server	A & C Exclusive Level	Supports Exclusive Level *Alarm* type.
Server	A & C Exclusive Limit	Supports Exclusive Limit *Alarms*. A *Server* that supports this must support at least one of the sub-types: Level, Deviation or RateofChange.
Server	A & C Exclusive Deviation	Supports Exclusive Deviation *Alarm* type.
Server	A & C Exclusive RateofChange	Supports Exclusive RateofChange *Alarm* type.
Server	A & C Non-Exclusive Limit	Supports Non-Exclusive Limit *Alarms*. A *Server* that supports this must support at least one of the sub-types: Level, Deviation or RateofChange.
Server	A & C Non-Exclusive Level	Supports Non-Exclusive Level *Alarm* type.
Server	A & C Non-Exclusive Deviation	Supports Non-Exclusive Deviation *Alarm* type.
Server	A & C Non-Exclusive RateofChange	Supports Non-Exclusive RateofChange *Alarm* type.
Server	A & C Discrete	Supports Discrete *Alarm* types.
Server	A & C OffNormal	Supports *OffNormalAlarmType*.

Category	Title	Description
Server	A & C SystemOffNormal	Supports *SystemOffNormalAlarmType*.
Server	A & C Trip	Supports Trip *Alarm* type.
Server	A & C Dialog	Supports DialogConditionType including Respond *Method*.
Server	A & C CertificateExpiration	Supports CertificateExpirationAlarmType.
Server	A & E Wrapper Mapping	The *Server* uses the COM A&E mapping specified in the annex of Part 9 to map OPC-COM Events to A&C Events. This includes *Condition* Class mapping.
Client	A & C Basic Client	Uses the *Alarm* & *Condition* model ConditionType.
Client	A & C Enable Client	Uses Enable and Disable Methods.
Client	A & C Refresh Client	Uses ConditionRefresh *Method* and the concept of a refresh.
Client	A & C Refresh2 Client	Uses ConditionRefresh2 *Method* and the concept of a monitored item based refresh.
Client	A & C Instances Client	Uses A&C *Condition* instances when they are exposed in the *AddressSpace*.
Client	A & C ConditionClasses Client	Uses *Condition* classes to group *Alarms*.
Client	A & C Acknowledge Client	Understands the Acknowledge concept and the *AcknowledgeableCondition Type*, and uses the *Acknowledge Method* if requested.
Client	A & C Confirm Client	Understands the concept of confirming *Conditions* and uses the Confirm *Method*.
Client	A & C Comment Client	Understands the concept of Comments and uses the AddComment *Method*.
Client	A & C Alarm Client	Understands the concept of *Alarms* and uses the mandatory features of the *AlarmCondition Type*,
Client	A & C Branch Client	Can make use of and process *Condition* Branches, including all actions associated with previous *Condition* instances.
Client	A & C Shelving Client	Understand the shelving model and use the TimedShelve, OneShotShelve and Unshelve *Methods*.
Client	A & C Exclusive Level Client	Uses Exclusive Level *Alarms*.
Client	A & C Exclusive Limit Client	Uses Exclusive Limit *Alarms*. Requires that at least one of the sub-types be used.
Client	A & C Exclusive Deviation Client	Uses Exclusive Deviation *Alarms*.
Client	A & C Exclusive RateofChange Client	Uses Exclusive RateofChange *Alarms*.
Client	A & C Non-Exclusive Level Client	Uses Non-Exclusive Level *Alarms*.
Client	A & C Non-Exclusive Limit Client	Uses Non-Exclusive Limit *Alarms*. Requires that at least one of the sub-types be used.
Client	A & C Non-Exclusive Deviation Client	Uses Non-Exclusive Deviation *Alarms*.
Client	A & C Non-Exclusive RateofChange Client	Uses Non-Exclusive RateofChange *Alarms*.

Category	Title	Description
Client	A & C Discrete Client	Uses Discrete *Alarm* types.
Client	A & C OffNormal Client	Uses *OffNormalAlarmtype*.
Client	A & C SystemOffNormal Client	Uses *SystemOffNormalAlarmType*.
Client	A & C Trip Client	Uses *TripAlarmType*.
Client	A & C Dialog Client	Uses *DialogConditionType* including Respond *Method*.
Client	A & C CertificateExpiration Client	Uses *CertificateExpirationAlarmType*.

Table 17 describes Historical Data Access information model related items that can be profiled. The details of this model are defined in Part 11. *Servers* that support some level of historical data would expose this information model and *Clients* that utilize historical data would utilize this information model.

Table 17 – Historical Access

Category	Title	Description
Server	Historical Access Read Raw	General support for basic historical access, reading raw data using the ReadRawModifiedDetails structure. Where the time range is specified using a start time, stop time and number of values (a minimum of two of the three parameters must be provided) and the ReadModified flag is set to False.
Server	Historical Access Data Max Nodes Read Continuation Point	Supports enough continuation points to cover the number of supported points indicated in the MaxNodesPerHistoryReadData *Server* OperationLimits parameter for historical data access.
Server	Historical Access Time Instance	Supports reading historical data at a specified instance in time using the ReadAtTimeDetails structure.
Server	Historical Access Aggregates	Supports reading one or more Aggregates of historical values of *Variables* using the ReadProcessedDetails structure. At least one of the Aggregates described in Part 13 must be supported.
Server	Historical Access Insert Value	Supports inserting historical values of *Variables*.
Server	Historical Access Delete Value	Supports deleting historical values of *Variables*.
Server	Historical Access Update Value	Supports updating historical values of *Variables*.
Server	Historical Access Replace Value	Supports replacing historical values of *Variables*.
Server	Historical Access Modified Values	Supports maintaining old values for historical data that have been updated and the retrieval of these values using the ReadRawModifiedDetails structure (ReadModified flag set to true).
Server	Historical Access Annotations	Supports the entry and retrieval of Annotations for historical data. The retrieval is accomplished using the standard historical read raw functionality (ReadRawModifiedDetails). The entry uses the standard historical update (UpdateStructureDataDetails) functionality.
Server	Historical Access ServerTimestamp	Supports providing a ServerTimestamp (as well as the default SourceTimestamp).

Category	Title	Description
Server	Historical Access Structured Data Read Raw	Supports ReadRawModified historical access for structured data. Supporting the structure for an annotation is not considered supporting generic structured data.
Server	Historical Access Structured Data Time Instance	Supports historical access for structured data. Supporting ReadAtTimeDetails for structured data. Supporting the structure for an annotation is not considered supporting generic structured data.
Server	Historical Access Structured Data Insert	Supports historical access for structured data. Inserting Structured data. Supporting the structure for an annotation is not considered supporting generic structured data.
Server	Historical Access Structured Data Delete	Supports historical access for structured data. Delete of existing data. Supporting the structure for an annotation is not considered supporting generic structured data.
Server	Historical Access Structured Data Update	Supports historical access for structured data. Updates of existing data. Supporting the structure for an annotation is not considered supporting generic structured data.
Server	Historical Access Structured Data Replace	Supports replacing structured historical data. Supporting the structure for an annotation is not considered supporting generic structured data.
Server	Historical Access Structured Data Read Modified	Supports maintaining old values for historical structured data that have been updated and the retrieval of these values. Using the ReadRawModifiedDetails structure (ReadModified flag set to true) for structured data. Supporting the structure for an annotation is not considered supporting generic structured data.
Server	Historical Access Events	Supports the retrieval of historical Events using the ReadEventDetails structure. This includes support for simple filtering of Events. The *Event* fields that are stored are server specific, but at least the mandatory fields of BaseEventType are required.
Server	Historical Access Event Max Events Read Continuation Point	Supports enough continuation points to cover the number of supported *Event* reads indicated in the MaxNodesPerHistoryReadEvents *Server* OperationLimits parameter for Historical *Event* access.
Server	Historical Access Insert Event	Supports inserting historical Events.
Server	Historical Access Update Event	Supports updating historical Events.
Server	Historical Access Replace Event	Supports replacing historical Events.
Server	Historical Access Delete Event	Supports deleting of historical Events.
Client	Historical Access Client Browse	Uses the View *Service* Set to discover *Nodes* with historical data.
Client	Historical Access Client Read Raw	Uses the HistoryRead *Service* to read raw historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to False).
Client	Historical Access Client Read Modified	Uses the HistoryRead *Service* to read modified historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to True).
Client	Historical Access Client Read Aggregates	Uses the HistoryRead *Service* to read Aggregated historical data. This includes using at least one of the Aggregates defined in Part 13.
Client	Historical Access Client Structure Data Raw	Uses the HistoryRead *Service* to read raw historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to False) for structured data.
Client	Historical Access Client Structure	Uses the HistoryRead *Service* to read modified structured historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to True).

Category	Title	Description
	Data Read Modified	
Client	Historical Access Client Structure Data Insert	Uses the HistoryUpdate *Service* to insert historical data values for structured data.
Client	Historical Access Client Structure Data Delete	Uses the HistoryUpdate *Service* to delete historical data values for structured data.
Client	Historical Access Client Structure Data Update	Uses the HistoryUpdate *Service* to update historical data values for structured data.
Client	Historical Access Client Structure Data Replace	Uses the HistoryUpdate *Service* to replace historical data values for structured data.
Client	Historical Access Client Structure Data Time Instance	Reads historical data at a specified instance in time for structured data. Using the ReadAtTimeDetails structure.
Client	Historical Access Client Read Events	Uses the HistoryRead *Service* to read historical *Event* data using the ReadEventDetails Structure.
Client	Historical Access Client Event Inserts	Uses the HistoryUpdate *Service* to insert historical Events.
Client	Historical Access Client Event Updates	Uses the HistoryUpdate *Service* to update historical Events.
Client	Historical Access Client Event Replaces	Uses the HistoryUpdate *Service* to replace historical Events.
Client	Historical Access Client Event Deletes	Uses the HistoryUpdate *Service* to delete historical Events.
Client	Historical Access Client Data Insert	Uses the HistoryUpdate *Service* to insert historical data values.
Client	Historical Access Client Data Delete	Uses the HistoryUpdate *Service* to delete historical data values.
Client	Historical Access Client Data Update	Uses the HistoryUpdate *Service* to update historical data values.
Client	Historical Access Client Data Replace	Uses the HistoryUpdate *Service* to replace historical data values.
Client	Historical Access Client Annotations	Enters and retrieves Annotations of historical data. The retrieval is accomplished using the standard historical read raw functionality (ReadRawModifiedDetails). The entry uses the standard Historical Update (UpdateStructureDataDetails) functionality.
Client	Historical Access Client Time Instance	Reads historical data at a specified instance in time using the ReadAtTimeDetails structure.
Client	Historical Access Client Server Timestamp	Uses the ServerTimestamp (as well as the default SourceTimestamp), if it is provided by the *Server*.

Table 18 describes Aggregate related items that can be profiled. *Servers* that support the Aggregates would expose this functionality and *Clients* that utilize Aggregates would implement some of this functionality.

Table 18 – Aggregates

Category	Title	Description
Server	Aggregate Master Configuration	Supports an AggregateConfigurationType *Object* as part of the HistoricalServerCapabilities (defined in UA Part 11).
Server	Aggregate Historical Configuration	Supports at least one AggregateConfigurationType *Object*. AggregateConfigurationType *Objects* occur as part of an HistoricalConfiguration Object, allowing *Variable* specific configurations.
Server	Aggregate – Interpolative	Supports the Interpolative Aggregate for Historical access.
Server	Aggregate – Average	Supports the Average Aggregate for Historical access.
Server	Aggregate – TimeAverage	Supports the TimeAverage Aggregate for Historical access.
Server	Aggregate – TimeAverage2	Supports the TimeAverage2 Aggregate for Historical access.
Server	Aggregate – Total	Supports the Total Aggregate for Historical access.
Server	Aggregate – Total2	Supports the Total2 Aggregate for Historical access.
Server	Aggregate – Minimum	Supports the Minimum Aggregate for Historical access.
Server	Aggregate – MinimumActualTime	Supports the MinimumActualTime Aggregate for Historical access.
Server	Aggregate – Minimum2	Supports the Minimum2 Aggregate for Historical access.
Server	Aggregate – MinimumActualTime2	Supports the MinimumActualTime2 Aggregate for Historical access.
Server	Aggregate – Maximum	Supports the Maximum Aggregate for Historical access.
Server	Aggregate – MaximumActualTime	Supports the MaximumActualTime Aggregate for Historical access.
Server	Aggregate – Maximum2	Supports the Maximum2 Aggregate for Historical access.
Server	Aggregate – MaximumActualTime2	Supports the MaximumActualTime2 Aggregate for Historical access.
Server	Aggregate – Range	Supports the Range Aggregate for Historical access.
Server	Aggregate – Range2	Supports the Range2 Aggregate for Historical access.
Server	Aggregate – Count	Supports the Count Aggregate for Historical access.
Server	Aggregate – DurationInStateZero	Supports the DurationInStateZero Aggregate for Historical access.
Server	Aggregate – DurationInStateNonZero	Supports the DurationInStateNonZero Aggregate for Historical access.
Server	Aggregate – NumberOfTransitions	Supports the NumberOfTransitions Aggregate for Historical access.
Server	Aggregate – Start	Supports the Start Aggregate for Historical access.
Server	Aggregate – StartBound	Supports the StartBound Aggregate for Historical access.
Server	Aggregate – End	Supports the End Aggregate for Historical access.

Category	Title	Description
Server	Aggregate – EndBound	Supports the EndBound Aggregate for Historical access.
Server	Aggregate – Delta	Supports the Delta Aggregate for Historical access.
Server	Aggregate – DeltaBounds	Supports the DeltaBounds Aggregate for Historical access.
Server	Aggregate – DurationGood	Supports the DurationGood Aggregate for Historical access.
Server	Aggregate – DurationBad	Supports the DurationBad Aggregate for Historical access.
Server	Aggregate – PercentGood	Supports the PercentGood Aggregate for Historical access.
Server	Aggregate – PercentBad	Supports the PercentBad Aggregate for Historical access.
Server	Aggregate – WorstQuality	Supports the WorstQuality Aggregate for Historical access.
Server	Aggregate – WorstQuality2	Supports the WorstQuality2 Aggregate for Historical access.
Server	Aggregate – AnnotationCount	Supports the AnnotationCount Aggregate for Historical access.
Server	Aggregate – StandardDeviationSample	Supports the StandardDeviationSample Aggregate for Historical access.
Server	Aggregate – VarianceSample	Supports the VarianceSample Aggregate for Historical access.
Server	Aggregate – StandardDeviationPopulation	Supports the StandardDeviationPopulation for Historical access.
Server	Aggregate – VariancePopulation	Supports the VariancePopulation for Historical access.
Server	Aggregate – Custom	The *Server* supports custom Aggregates for Historical access that do not have standard tests defined. These Aggregates are list as untested by this *ConformanceUnit*.
Server	Aggregate Subscription – Filter	Supports Aggregate subscription filters which requires at least one of the defined Aggregates is supported as defined in Part 13.
Server	Aggregate Subscription – Interpolative	Supports subscription filter for the Interpolative Aggregate.
Server	Aggregate Subscription – Average	Supports subscription filter for the Average Aggregate.
Server	Aggregate Subscription – TimeAverage	Supports subscription filter for the TimeAverage Aggregate.
Server	Aggregate Subscription – TimeAverage2	Supports subscription filter for the TimeAverage2 Aggregate.
Server	Aggregate Subscription – Total	Supports subscription filter for the Total Aggregate.
Server	Aggregate Subscription – Total2	Supports subscription filter for the Total2 Aggregate.
Server	Aggregate Subscription – Minimum	Supports subscription filter for the Minimum Aggregate.
Server	Aggregate Subscription –	Supports subscription filter for the MinimumActualTime Aggregate.

Category	Title	Description
	MinimumActualTime	
Server	Aggregate Subscription – Minimum2	Supports subscription filter for the Minimum2 Aggregate.
Server	Aggregate Subscription – MinimumActualTime2	Supports subscription filter for the MinimumActualTime2 Aggregate.
Server	Aggregate Subscription – Maximum	Supports subscription filter for the Maximum Aggregate.
Server	Aggregate Subscription – MaximumActualTime	Supports subscription filter for the MaximumActualTime Aggregate.
Server	Aggregate Subscription – Maximum2	Supports subscription filter for the Maximum2 Aggregate.
Server	Aggregate Subscription – MaximumActualTime2	Supports subscription filter for the MaximumActualTime2 Aggregate.
Server	Aggregate Subscription – Range	Supports subscription filter for the Range Aggregate.
Server	Aggregate Subscription – Range2	Supports subscription filter for the Range2 Aggregate.
Server	Aggregate Subscription – Count	Supports subscription filter for the Count Aggregate.
Server	Aggregate Subscription – DurationInStateZero	Supports subscription filter for the DurationInStateZero Aggregate.
Server	Aggregate Subscription – DurationInStateNonZero	Supports subscription filter for the DurationInStateNonZero Aggregate.
Server	Aggregate Subscription – NumberOfTransitions	Supports subscription filter for the NumberOfTransitions Aggregate.
Server	Aggregate Subscription – Start	Supports subscription filter for the Start Aggregate.
Server	Aggregate Subscription – StartBound	Supports subscription filter for the StartBound Aggregate.
Server	Aggregate Subscription – End	Supports subscription filter for the End Aggregate.
Server	Aggregate Subscription – EndBound	Supports subscription filter for the EndBound Aggregate.
Server	Aggregate Subscription – Delta	Supports subscription filter for the Delta Aggregate.

Category	Title	Description
Server	Aggregate Subscription – DeltaBounds	Supports subscription filter for the DeltaBounds Aggregate.
Server	Aggregate Subscription – DurationGood	Supports subscription filter for the DurationGood Aggregate.
Server	Aggregate Subscription – DurationBad	Supports subscription filter for the DurationBad Aggregate.
Server	Aggregate Subscription – PercentGood	Supports subscription filter for the PercentGood Aggregate.
Server	Aggregate Subscription – PercentBad	Supports subscription filter for the PercentBad Aggregate.
Server	Aggregate Subscription – WorstQuality	Supports subscription filter for the WorstQuality Aggregate.
Server	Aggregate Subscription – WorstQuality2	Supports subscription filter for the WorstQuality2 Aggregate.
Server	Aggregate Subscription – AnnotationCount	Supports subscription filter for the AnnotationCount Aggregate.
Server	Aggregate Subscription – StandardDeviationSample	Supports subscription filter for the StandardDeviationSample Aggregate.
Server	Aggregate Subscription – VarianceSample	Supports subscription filter for the VarianceSample Aggregate.
Server	Aggregate Subscription – StandardDeviationPopulation	Supports subscription filter for the StandardDeviationPopulation Aggregate.
Server	Aggregate Subscription – VariancePopulation	Supports subscription filter for the VariancePopulation Aggregate.
Server	Aggregate Subscription – Custom	The *Server* supports subscribing to custom Aggregates that do not have standard tests defined. These Aggregates are listed as untested by this *ConformanceUnit*.
Client	Aggregate – Client Usage	Uses Historical access to Aggregate which requires at least one of the defined Aggregates is supported as defined in Part 13.
Client	Aggregate – Client Interpolative	Uses Historical access to the Interpolative Aggregate.
Client	Aggregate – Client Average	Uses Historical access to the Average Aggregate.
Client	Aggregate – Client TimeAverage	Uses Historical access to the TimeAverage Aggregate.
Client	Aggregate – Client TimeAverage2	Uses Historical access to the TimeAverage2 Aggregate.
Client	Aggregate – Client Total	Uses Historical access to the Total Aggregate.

Category	Title	Description
Client	Aggregate – Client Total2	Uses Historical access to the Total2 Aggregate.
Client	Aggregate – Client Minimum	Uses Historical access to the Minimum Aggregate.
Client	Aggregate – Client MinimumActualTime	Uses Historical access to the MinimumActualTime Aggregate.
Client	Aggregate – Client Minimum2	Uses Historical access to the Minimum2 Aggregate.
Client	Aggregate – Client MinimumActualTime2	Uses Historical access to the MinimumActualTime2 Aggregate.
Client	Aggregate – Client Maximum	Uses Historical access to the Maximum Aggregate.
Client	Aggregate – Client MaximumActualTime	Uses Historical access to the MaximumActualTime Aggregate.
Client	Aggregate – Client Maximum2	Uses Historical access to the Maximum2 Aggregate.
Client	Aggregate – Client MaximumActualTime2	Uses Historical access to the MaximumActualTime2 Aggregate.
Client	Aggregate – Client Range	Uses Historical access to the Range Aggregate.
Client	Aggregate – Client Range2	Uses Historical access to the Range2 Aggregate.
Client	Aggregate – Client Count	Uses Historical access to the Count Aggregate.
Client	Aggregate – Client DurationInStateZero	Uses Historical access to the DurationInStateZero Aggregate.
Client	Aggregate – Client DurationInStateNonZero	Uses Historical access to the DurationInStateNonZero Aggregate.
Client	Aggregate – Client NumberOfTransitions	Uses Historical access to the NumberOfTransitions Aggregate.
Client	Aggregate – Client Start	Uses Historical access to the Start Aggregate.
Client	Aggregate – Client StartBound	Uses Historical access to the StartBound Aggregate.
Client	Aggregate – Client End	Uses Historical access to the End Aggregate.
Client	Aggregate – Client EndBound	Uses Historical access to the EndBound Aggregate.
Client	Aggregate – Client Delta	Uses Historical access to the Delta Aggregate.
Client	Aggregate – Client DeltaBounds	Uses Historical access to the DeltaBounds Aggregate.

Category	Title	Description
Client	Aggregate – Client DurationGood	Uses Historical access to the DurationGood Aggregate.
Client	Aggregate – Client DurationBad	Uses Historical access to the DurationBad Aggregate.
Client	Aggregate – Client PercentGood	Uses Historical access to the PercentGood Aggregate.
Client	Aggregate – Client PercentBad	Uses Historical access to the PercentBad Aggregate.
Client	Aggregate – Client WorstQuality	Uses Historical access to the WorstQuality Aggregate.
Client	Aggregate – Client WorstQuality2	Uses Historical access to the WorstQuality2 Aggregate.
Client	Aggregate – Client AnnotationCount	Uses Historical access to the AnnotationCount Aggregate.
Client	Aggregate – Client StandardDeviationSample	Uses Historical access to the StandardDeviationSample Aggregate.
Client	Aggregate – Client VarianceSample	Uses Historical access to the VarianceSample Aggregate.
Client	Aggregate – Client StandardDeviationPopulation	Uses Historical access to the StandardDeviationPopulation Aggregate.
Client	Aggregate – Client VariancePopulation	Uses Historical access to the VariancePopulation Aggregate.
Client	Aggregate – Client Custom Aggregates	The *Client* can make use of all custom Aggregates in the list of Aggregates, via Historical access, exposed by the *Server*. This includes displaying or utilizing the data in some manner.
Client	Aggregate Subscription – Client Filter	Subscribes for data using Aggregate filters which requires at least one of the Aggregates defined in Part 13 is supported.
Client	Aggregate Subscription – Client Interpolative	Subscribes for data using the Interpolative Aggregate filter.
Client	Aggregate Subscription – Client Average	Subscribes for data using the Average Aggregate filter.
Client	Aggregate Subscription – Client TimeAverage	Subscribes for data using the TimeAverage Aggregate filter.
Client	Aggregate Subscription – Client TimeAverage2	Subscribes for data using the TimeAverage2 Aggregate filter.

Category	Title	Description
Client	Aggregate Subscription – Client Total	Subscribes for data using the Total Aggregate filter.
Client	Aggregate Subscription – Client Total2	Subscribes for data using the Total2 Aggregate filter.
Client	Aggregate Subscription – Client Minimum	Subscribes for data using the Minimum Aggregate filter.
Client	Aggregate Subscription – Client MinimumActualTime	Subscribes for data using the MinimumActualTime Aggregate filter.
Client	Aggregate Subscription – Client Minimum2	Subscribes for data using the Minimum2 Aggregate filter.
Client	Aggregate Subscription – Client MinimumActualTime2	Subscribes for data using the MinimumActualTime2 Aggregate filter.
Client	Aggregate Subscription – Client Maximum	Subscribes for data using the Maximum Aggregate filter.
Client	Aggregate Subscription – Client MaximumActualTime	Subscribes for data using the MaximumActualTime Aggregate filter.
Client	Aggregate Subscription – Client MaximumActualTime2	Subscribes for data using the MaximumActualTime2 Aggregate filter.
Client	Aggregate Subscription – Client Maximum2	Subscribes for data using the Maximum2 Aggregate filter.
Client	Aggregate Subscription – Client Range	Subscribes for data using the Range Aggregate filter.
Client	Aggregate Subscription – Client Range2	Subscribes for data using the Range2 Aggregate filter.
Client	Aggregate Subscription – Client Count	Subscribes for data using the Count Aggregate filter.
Client	Aggregate Subscription – Client DurationInStateZero	Subscribes for data using the DurationInStateZero Aggregate filter.
Client	Aggregate Subscription – Client DurationInStateNonZero	Subscribes for data using the DurationInStateNonZero Aggregate filter.
Client	Aggregate Subscription –	Subscribes for data using the NumberOfTransitions Aggregate filter.

Category	Title	Description
	Client NumberOfTransitions	
Client	Aggregate Subscription – Client Start	Subscribes for data using the Start Aggregate filter.
Client	Aggregate Subscription – Client StartBound	Subscribes for data using the StartBound Aggregate filter.
Client	Aggregate Subscription – Client End	Subscribes for data using the End Aggregate filter.
Client	Aggregate Subscription – Client EndBound	Subscribes for data using the EndBound Aggregate filter.
Client	Aggregate Subscription – Client Delta	Subscribes for data using the Delta Aggregate filter.
Client	Aggregate Subscription – Client DeltaBounds	Subscribes for data using the DeltaBounds Aggregate filter.
Client	Aggregate Subscription – Client DurationGood	Subscribes for data using the DurationGood Aggregate filter.
Client	Aggregate Subscription – Client DurationBad	Subscribes for data using the DurationBad Aggregate filter.
Client	Aggregate Subscription – Client PercentGood	Subscribes for data using the PercentGood Aggregate filter.
Client	Aggregate Subscription – Client PercentBad	Subscribes for data using the PercentBad Aggregate filter.
Client	Aggregate Subscription – Client WorstQuality	Subscribes for data using the WorstQuality Aggregate filter.
Client	Aggregate Subscription – Client WorstQuality2	Subscribes for data using the WorstQuality2 Aggregate filter.
Client	Aggregate Subscription – Client AnnotationCount	Subscribes for data using the AnnotationCount Aggregate filter.
Client	Aggregate Subscription – Client StandardDeviationSample	Subscribes for data using the StandardDeviationSample Aggregate filter.
Client	Aggregate Subscription – Client VarianceSample	Subscribes for data using the VarianceSample Aggregate filter.

Category	Title	Description
Client	Aggregate Subscription – Client StandardDeviationPopulation	Subscribes for data using the StandardDeviationPopulation Aggregate filter.
Client	Aggregate Subscription – Client VariancePopulation	Subscribes for data using the VariancePopulation Aggregate filter.
Client	Aggregate Subscription – Client Custom Aggregates	The *Client* supports subscribing to all custom Aggregates in the list of Aggregates exposed by the *Server*. This includes displaying or utilizing the data in some manner.

Table 19 describes auditing related items that can be profiled. Most full function *Servers* would support these features, although some resource constrained *Servers* may not provide this functionality. *Clients* that are security aware or are used to support security logging would support these features

Table 19 – Auditing

Category	Title	Description
Server	Auditing Base	Support AuditEvents. The list of supported AuditEvents shall be verified during certification testing and will be shown in the certification test result. Base AuditEvents are defined in Part 3 and in Part 5.
Client	Auditing Client Audit ID	*Client* supports generating AuditEvents ids and providing them to *Servers*.
Client	Auditing Client Subscribes	The *Client* supports subscribing for AuditEvents and storing / processing them in a secure manner.

Table 20 describes Redundancy related items that are profiled. *Servers* that support redundancy would support appropriate *ConformanceUnits* based on the type of redundancy they support. *Clients* that are capable of handling redundancy would support the appropriate *ConformanceUnits* based of the type of redundancy they support.

Table 20 – Redundancy

Category	Title	Description
Server	Redundancy Server	Supports *Server* based redundancy.
Server	Redundancy Server Transparent	Supports transparent *Server* redundancy.
Client	Redundancy Client	*Client* supports *Client* redundancy. *Clients* that support *Client* redundancy can failover to another *Client* (requires some out of band communication).
Client	Redundancy Client Switch	*Clients* supporting this *ConformanceUnit* monitor the redundancy status for non-transparent redundancy *Servers* and switch to the backup *Server* when they recognize a change in server status.

Table 21 describes items for a Global Discovery Server (GDS). *Servers* that act as a GDS would support these *ConformanceUnits*.

Table 21 – Global Discovery Server

Category	Title	Description
GDS	GDS Application Directory	Supports the Directory Object with all *Methods* like *RegisterApplication* and QueryServers.
GDS	GDS LDS-ME Connectivity	The GDS can be configured to use specific LDS-ME installations for semi-automatic application registration for all *Servers* on a subnet.
GDS	GDS Certificate Manager Pull Model	This Conformance Unit requires support of the complete *Information Model* and *Services* for *Certificate* management including the Pull Model as specified in Part 12.
GDS	GDS Certificate Manager Push Model	This Conformance Unit requires use of the complete *Information model* and *Services* for the *Certificate* management Push Model as specified in UA Part 12.

5.5 Miscellaneous

The following table describes miscellaneous *ConformanceUnits.*

Each table includes a listing of the *Profile Category* to which a *ConformanceUnit* belongs, the title and description of the *ConformanceUnit* and a column that indicates if the *ConformanceUnit* is derived from another *ConformanceUnit*. A *ConformanceUnit* that is derived from another *ConformanceUnit* includes all of the same tests as its parent plus one or more additional TestCases. These TestCases can only further restrict the existing TestCases.

Table 22 – Miscellaneous

Category	Title	Description
Client, Server	Documentation – Supported Profiles	The documentation includes a description of the profiles supported by the product. This description includes the level of Certification testing the product has passed.
Client, Server	Documentation – Multiple Languages	The documentation is available in multiple languages. The results of this conformance unit include the list of supported languages.
Client, Server	Documentation – Users Guide	The application includes documentation that describes the available functionality provided by the application. For Servers it includes a summary of all functionality provided by the Server.
Client, Server	Documentation – On-line	The documentation provided by the application is available in electronic format as part of the application. The electronic documentation could be a WEB page, installed document or CD/DVD, but in all case it can be accessed from the application or from a link installed with the application.
Client, Server	Documentation – Installation	The application includes installation instructions that are sufficient to easily install the application. This includes descriptions of any and all possible configuration items. Instructions for loading or configuring security related items such as Application Instance Certificates.
Client, Server	Documentation – Trouble Shooting Guide	The application includes documentation that describes typical problems a user may encounter and actions that the user could perform to resolve the problem. It could also describe tip, tricks or other actions that could help a user diagnose or fix a problem. It could also describe tools or other items that can be used in diagnosing or repairing problems. The actual Trouble Shooting Guide can be part of other documentation, but should be complete enough to provide useful information to a novice user.

6 Profiles

6.1 Overview

This section includes a listing of the categories that a *Profile* can be grouped into, a list of named *Profiles* and the detailed listing of each *Profile* including directly defined *ConformanceUnits* and any sub *Profiles* that are included in the *Profile*.

6.2 Profile list

Table 23 lists *Profiles*. The *Profile* table is ordered by *Profile* category and then alphabetically by the name of the *Profile*. The table includes a list of categories the *Profile* is associated with and a URI. The URI is used to uniquely identify a *Profile*. The URI shall be able to be used to access the information provided in this document with regard to the given *Profile* in an on-line display.

An application (*Client* or *Server*) shall implement all of the *ConformanceUnits* in a *Profile* in order to be compliant with the *Profile*. Some *Profiles* contain optional *ConformanceUnits*. An optional *ConformanceUnit* means that an application has the option to not support the *ConformanceUnit*. However, if supported, the application shall pass all tests associated with the *ConformanceUnit*. For example, some *ConformanceUnits* require specific information model items to be available. They are, therefore, listed as optional in order to allow for the information model items to be omitted. If a *Server* desires to be listed as supporting the optional *ConformanceUnit* then it shall include any required information model items in the configuration provided for certification testing. The test result that is generated by the certification testing lists all optional *ConformanceUnits* and whether they are supported or not by the tested UA application. Some *ConformanceUnits* also include lists of supported DataTypes or optional Subtypes, the list are handled in the same manner as optional *ConformanceUnits*. All reporting requirements for optional *ConformanceUnits* also apply to these lists of supported DataTypes or Subtypes.

Table 23 – Profile list

Profile	Related Category	URI
Core Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/CoreFacet
Global Certificate Management Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/GlobalCertificateManagement
Subnet Discovery Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/SubnetDiscovery
Base Server Behaviour Facet	Server	http://opcfoundation.org/UA-Profile/Server/Behaviour
Request State Change Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/RequestStateChange
Attribute WriteMask Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AttributeWriteMask
File Access Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/FileAccess
Documentation – Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/Documentation
Embedded DataChange Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/EmbeddedDataChangeSubscription
Standard DataChange Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/StandardDataChangeSubscription
Enhanced DataChange Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/EnhancedDataChangeSubscription
Durable Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/DurableSubscription
Data Access Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/DataAccess
ComplexType Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ComplexTypes
Standard Event Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/StandardEventSubscription
Address Space Notifier Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AddressSpaceNotifier
A & C Base Condition Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACBaseCondition

Profile	Related Category	URI
A & C Address Space Instance Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACAddressSpaceInstance
A & C Refresh2 Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACRefresh2
A & C Enable Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACEnable
A & C Alarm Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACAlarm
A & C Acknowledgeable Alarm Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACAckAlarm
A & C Exclusive Alarming Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACExclusiveAlarming
A & C Non-Exclusive Alarming Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACNon-ExclusiveAlarming
A & C Previous Instances Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACPreviousInstances
A & C Dialog Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACDialog
A & C CertificateExpiration Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACCertificateExpiration
A & E Wrapper Facet	Server	http://opcfoundation.org/UA-Profile/Server/AEWrapper
Method Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/Methods
Auditing Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/Auditing
Node Management Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/NodeManagement
Client Redundancy Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ClientRedundancy
Redundancy Transparent Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/TransparentRedundancy
Redundancy Visible Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/VisibleRedundancy
Historical Raw Data Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalRawData
Historical Aggregate Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AggregateHistorical
Historical Access Structured Data Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalStructuredData
Historical Data AtTime Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataAtTime
Historical Access Modified Data Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalModifiedData
Historical Annotation Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalAnnotation
Historical Data Update Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataUpdate
Historical Data Replace Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataReplace
Historical Data Insert Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataInsert
Historical Data Delete Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataDelete
Base Historical Event Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/BaseHistoricalEvent
Historical Event Update Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalEventUpdate
Historical Event Replace Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalEventReplace
Historical Event Insert Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalEventInsert
Historical Event Delete Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalEventDelete

Profile	Related Category	URI
Aggregate Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AggregateSubscription
Nano Embedded Device Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/NanoEmbeddedDevice
Micro Embedded Device Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/MicroEmbeddedDevice
Embedded UA Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/EmbeddedUA
Standard UA Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/StandardUA
Global Discovery Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/GlobalDiscovery
Global Discovery and Certificate Management Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/GlobalDiscoveryAndCertificateManagement
Core Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Core
Request State Change Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/RequestStateChange
Global Certificate Management Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/GlobalCertificateManagement
Base Client Behaviour Facet	Client	http://opcfoundation.org/UA-Profile/Client/Behaviour
Discovery Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Discovery
Subnet Discovery Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/SubnetDiscovery
Global Discovery Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/GlobalDiscovery
AddressSpace Lookup Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AddressSpaceLookup
Entry-Level Support 2015 Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Entry-LevelSupport2015
Multi-Server Client Connection Facet	Client	http://opcfoundation.org/UA-Profile/Client/MultiServer
File Access Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/FileAccess
Documentation – Client	Client	http://opcfoundation.org/UA-Profile/Client/Documentation
Attribute Read Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AttributeRead
Attribute Write Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AttributeWrite
DataChange Subscriber Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/DataChangeSubscriber
Durable Subscription Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/DurableSubscription
DataAccess Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/DataAccess
Event Subscriber Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/EventSubscriber
Base Event Processing Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/BaseEventProcessing
Notifier and Source Hierarchy Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/NotifierAndSourceHierarchy
A & C Base Condition Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACBaseCondition
A & C Refresh2 Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACRefresh2
A & C Address Space Instance Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACAddressSpaceInstance
A & C Enable Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACEnable
A & C Alarm Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACAlarm
A & C Exclusive Alarming Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACExclusiveAlarming
A & C Non-Exclusive Alarming Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACNon-ExclusiveAlarming
A & C Previous Instances Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACPreviousInstances
A & C Dialog Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACDialog
A & C CertificateExpiration Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACCertificateExpiration
A & E Proxy Facet	Client	http://opcfoundation.org/UA-Profile/Client/AEProxy
Method Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Method
Auditing Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Auditing

Profile	Related Category	URI
Node Management Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/NodeManagement
Advanced Type Programming Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/TypeProgramming
Diagnostic Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Diagnostic
Redundant Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Redundancy
Redundancy Switch Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/RedundancySwitch
Historical Access Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccess
Historical Annotation Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAnnotation
Historical Data AtTime Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessAtTime
Historical Aggregate Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessAggregate
Historical Data Update Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalUpdateData
Historical Data Replace Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalReplaceData
Historical Data Insert Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalInsertData
Historical Data Delete Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalDeleteData
Historical Access Client Server Timestamp Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalServerTimeStamp
Historical Access Modified Data Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessModifiedData
Historical Structured Data AtTime Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAtTimeStructuredData
Historical Structured Data Access Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessStructuredData
Historical Structured Data Modified Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalModifiedStructuredData
Historical Structured Data Delete Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalDeleteStructuredData
Historical Structured Data Update Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalUpdateStructuredData
Historical Structured Data Replace Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalReplaceStructuredData
Historical Structured Data Insert Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalInsertStructuredData
Historical Events Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalEvents
Historical Event Update Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalUpdateEvents
Historical Event Replace Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalReplaceEvents
Historical Event Delete Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalDeleteEvents
Historical Event Insert Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalInsertEvents
Aggregate Subscriber Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AggregateSubscriber
Global Certificate Management Client Profile	Client	http://opcfoundation.org/UA-Profile/Client/GlobalCertificateManagement
Standard UA Client Profile	Client	http://opcfoundation.org/UA-Profile/Client/StandardUA
User Token – Anonymous Facet	Security	http://opcfoundation.org/UA-Profile/Security/UserToken/Anonymous
User Token – User Name Password Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/ Security/UserToken-Server/UserNamePassword

Profile	Related Category	URI
User Token – X509 Certificate Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Server/X509Certificate
User Token – Issued Token Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Server/IssuedToken
User Token – Issued Token Windows Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Server/IssuedTokenWindows
User Token – User Name Password Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/UserNamePassword
User Token – X509 Certificate Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/X509Certificate
User Token – Issued Token Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/IssuedToken
User Token – Issued Token Windows Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/IssuedTokenWindows
UA-TCP UA-SC UA Binary	Transport	http://opcfoundation.org/UA-Profile/Transport/uatcp-uasc-uabinary
HTTPS UA Binary	Transport	http://opcfoundation.org/UA-Profile/Transport/https-uabinary
HTTPS UA XML	Transport	http://opcfoundation.org/UA-Profile/Transport/https-uasoapxml
Security User Access Control Full	Security, Server	http://opcfoundation.org/UA-Profile/Security/UserAccessFull
Security User Access Control Base	Security, Server	http://opcfoundation.org/UA-Profile/Security/UserAccessBase
Security Time Synchronization	Security	http://opcfoundation.org/UA-Profile/Security/TimeSync
Best Practice – Audit Events	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeAuditEvents
Best Practice – Alarm Handling	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeAlarmHandling
Best Practice – Program Access	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeProgramAccess
Best Practice – Random Numbers	Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeRandomNumbers
Best Practice – Timeouts	Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeTimeouts
Best Practice – Administrative Access	Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeAdministrativeAccess
Best Practice – Strict Message Handling	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeStrictMessage
Best Practice – Alarm Handling Client	Client, Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeAlarmHandlingClient
Best Practice – Audit Events Client	Client, Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeAuditEventsClient
SecurityPolicy – None	Security	http://opcfoundation.org/UA/SecurityPolicy#None
SecurityPolicy – Basic128Rsa15	Security	http://opcfoundation.org/UA/SecurityPolicy#Basic128Rsa15
SecurityPolicy – Basic256	Security	http://opcfoundation.org/UA/SecurityPolicy#Basic256
SecurityPolicy – Basic256Sha256	Security	http://opcfoundation.org/UA/SecurityPolicy#Basic256Sha256
TransportSecurity – TLS 1.2	Security	http://opcfoundation.org/UA-Profile/TransportSecurity/TLS-1-2
TransportSecurity – TLS 1.2 with PFS	Security	http://opcfoundation.org/UA-Profile/TransportSecurity/TLS-1-2-PFS

The contents of each of the listed *Profiles* will be described in a tabular form in a separate section. Each table may contain references to additional *Profiles* and or *ConformanceUnits*. If a *Profile* is referenced it means that it is completely included. The *ConformanceUnits* are referenced using their name and conformance group. For the details of the *ConformanceUnit* the reader should examine the *ConformanceUnit* details in the appropriate conformance group section.

6.3 Conventions for Profile definitions

Profiles have the following naming conventions:

- *Profiles* intended for OPC UA *Servers* contain the term *Server* in their titles,
- *Profiles* intended for OPC UA *Clients* contain the term *Client* in their titles
- The term Facet in the title of a *Profile* indicates that this *Profile* is expected to be part of another larger *Profile* or concerns a specific aspect of OPC UA. *Profiles* with the term Facet in their title are expected to be combined with other *Profiles* to define the complete functionality of an OPC UA *Server* or *Client*.

6.4 Applications

A vendor that is developing a UA application, whether it is a *Server* application or a *Client* application, shall review the list of available *Profiles*. From this list the vendor shall select the *Profiles* that include the functionality required by the application. Typically this will be multiple *Profiles*. Conformance to a single *Profile* may not yield a complete application. In most cases multiple *Profiles* are needed to yield a useful application. All *Servers* and *Clients* shall support at least a core *Profile* (Core *Server Facet* or Core *Client Facet*) and at least one Transport *Profile*

For example an HMI *Client* application may choose to support the “Core *Client Facet*”, the “UA-TCP UA-SC UA Binary” *Profile*, the “Data Access *Client Facet*”, the “DataChange Subscriber *Client* Facet“ and the “*Attribute* Write *Client Facet*”. If the *Client* is to be *TestLab* tested then it would also support “Base *Client* Behaviour” *Profile*. This list of *Profiles* would allow the *Client* to communicate with an OPC UA *Server* using UA-TCP/UA Security/UA binary. It would be able to subscribe for data, write to data and would support the DA data model. It would also follow the best practice guideline for behaviour.

Figure 2 illustrates the *Profile* hierarchy that this application may contain: This figure is only an illustration and the represented *Profiles* may change.

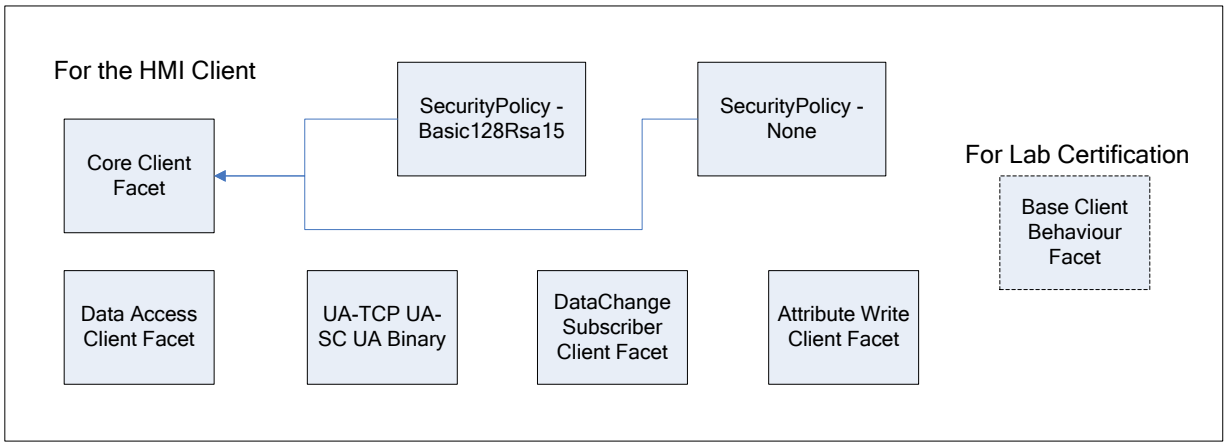

Figure 2 – HMI Client sample

All *Clients* should take into account the types of *Servers* and *Server Profiles* that they are targeted to support. Some *Servers* might not support *Subscriptions* and *Clients* should be able to fall back to Read *Services.*

A special case is a generic *Client* that is designed to communicate with a large number of *Servers* and therefore able to perform a broad range of functionality. ”Standard UA *Client Profile”* has been defined for this kind of *Clients*.

Many *Clients*, however, will be specialized and do not need all of the functionality in the ”Standard UA *Client Profile”* and thus would only support the limited set of functionality they require. A trend *Client*, for example, would only need functionality to subscribe to or read data.

Another example is an embedded device OPC UA *Server* application that may choose to support "Embedded UA *Server*" *Profile* and the "DataAccess *Server* Facet" *Profile*. This device would be a resource constrained device that would support UA-TCP, UA-Security, UA Binary encoding, data subscriptions and the DA data model. It may not support the optional attribute write. Figure 3 illustrates the hierarchy that this application may contain: This figure is just an illustration and the represented *Profiles* may change.

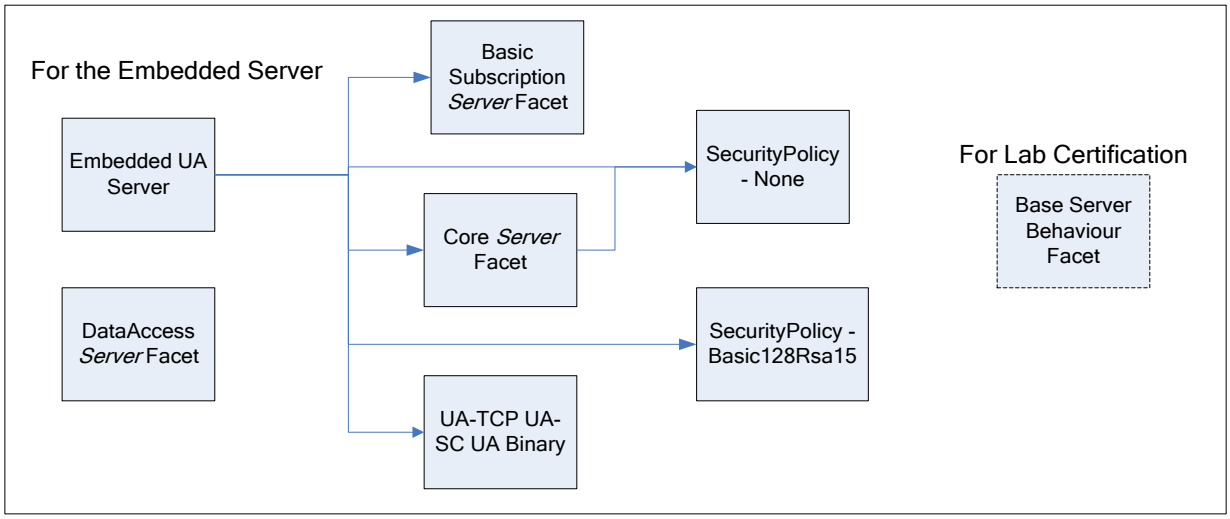

Figure 3 – Embedded Server sample

Another simple system *Server* application may choose to support: "Standard UA *Server*" *Profile* and the "DataAccess *Server* Facet" *Profile*. If the *Server* is to be lab tested then it would also support "Base *Server* Behaviour" *Profile*. This device would be a mid-level OPC UA *Server* that would support all that the embedded *Server* in the previous example supported and it would add support for an enhance level of the subscription service and support for writes. Figure 4 illustrates the hierarchy that this application may contain: This figure is just an illustration and the represented *Profile* may change.

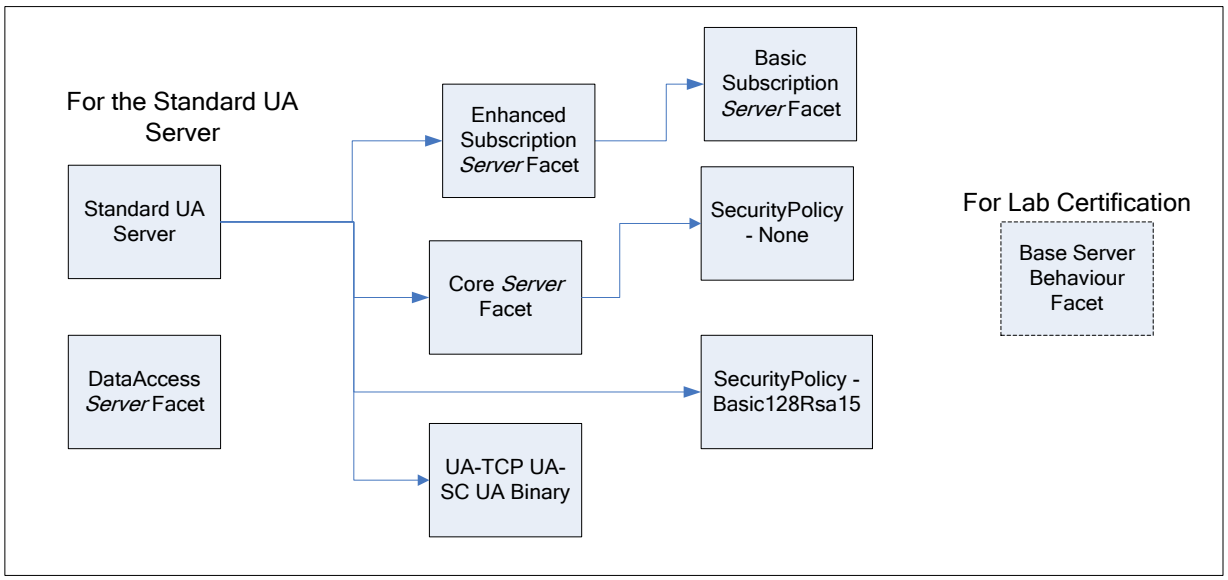

Figure 4 – Standard UA Server sample

If the example HMI *Client* were to connect to either of the example *Servers*, it may have to adjust its behaviour based on the *Profile* reported by the respective *Servers*. If the HMI *Client* were communicating with the embedded device it would not be able to perform any write

operations. It may also have to limit the number of subscriptions or sessions based on the performance limits of the *Server*. If the HMI *Client* is connected to the Standard *Server* it would be able to open additional windows, have higher limits on performance related items and it would be able to allow writes.

6.5 Profile tables

6.5.1 Introduction

All subclauses in 6.5 starting with 6.5.2 describe *Profiles* in a tabular format.

Each table contains three columns. The first column is a description of the conformance group that the *ConformanceUnit* is part of. This allows the reader to easily find the *ConformanceUnit*. This column may also state "*Profile*" in which case the listed item is not a *ConformanceUnit*, but an included *Profile.* The second column is a brief description of the *ConformanceUnit* or included *Profile*. The last column indicates if the *ConformanceUnit* is optional or required.

6.5.2 Core Server Facet

Table 24 describes the details of the Core *Server* Facet. This Facet defines the core functionality required for any UA *Server* implementation. The core functionality includes the ability to discover endpoints, establish secure communication channels, create sessions, browse the *AddressSpace* and read and/or write to attributes of nodes.

The key requirements are: Support for a single session, support for the *Server* and *Server Capabilities Object*, all mandatory *Attributes* for *Nodes* in the *AddressSpace*, and authentication with UserName and Password.

This Facet has been extended with additional Base Information *ConformanceUnits*. They are optional to provide backward compatibility. In the future the *ConformanceUnit* "Base Info Server Capabilities" will become required, and so it is highly recommended that all *Servers* support it. For broad applicability, it is recommended that *Servers* support multiple transport and security *Profiles*.

Table 24 – Core Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	SecurityPolicy – None	False
Profile	User Token – User Name Password Server Facet	False
Address Space Model	Address Space Base	False
Attribute Services	Attribute Read	False
Attribute Services	Attribute Write Index	True
Attribute Services	Attribute Write Values	True
Base Information	Base Info Core Structure	False
Base Information	Base Info OptionSet	True
Base Information	Base Info Placeholder Modelling Rules	True
Base Information	Base Info Server Capabilities	True
Base Information	Base Info ValueAsText	True
Discovery Services	Discovery Find Servers Self	False
Discovery Services	Discovery Get Endpoints	False
Security	Security – No Application Authentication	True
Security	Security Administration	True
Session Services	Session Base	False
Session Services	Session General Service Behaviour	False
Session Services	Session Minimum 1	False
View Services	View Basic	False
View Services	View Minimum Continuation Point 01	False
View Services	View RegisterNodes	False
View Services	View TranslateBrowsePath	False

6.5.3 Global Certificate Management Server Facet

Table 25 describes the details of the Global Certificate Management *Server* Facet. This Facet defines the capability to interact with a *Global Certificate Management Server* to obtain an initial or renewed *Certificate* and *Trust Lists*.

Table 25 – Global Certificate Management Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Pull Model for Global Certificate and TrustList Management	True
Security	Push Model for Global Certificate and TrustList Management	True
Security	Pull or Push Model	False

6.5.4 Subnet Discovery Server Facet

Table 26 describes the details of the Subnet Discovery *Server* Facet. Support of this Facet enables discovery of the *Server* on a subnet using mDNS. This functionality is only applicable when *Servers* do not register with an LDS.

Table 26 – Subnet Discovery Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Discovery Services	Discovery Server Announcement using mDNS	False

6.5.5 Base Server Behaviour Facet

Table 27 describes the details of the Base *Server* Behaviour Facet. This Facet defines best practices for the configuration and management of *Servers* when they are deployed in a production environment. It provides the ability to enable or disable certain protocols, to set the security level and to configure the *Discovery Server* and specify where this *Server* shall be registered.

Table 27 – Base Server Behaviour Facet

Group	Conformance Unit / *Profile* Title	Optional
Discovery Services	Discovery Configuration	False
Protocol and Encoding	Protocol Configuration	False
Security	Security Administration	False
Security	Security Administration – XML Schema	False
Security	Security Certificate Administration	False

6.5.6 Request State Change Server Facet

Table 28 describes the details of the Request State Change Server Facet. This Facet specifies the support of the RequestServerStateChange Method.

Table 28 – Request State Change Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Base Information	Base Info RequestServerStateChange	False

6.5.7 Attribute WriteMask Server Facet

Table 29 describes the details of the Attribute WriteMask Server Facet. This Facet defines the capability to update characteristics of individual *Nodes* in the *AddressSpace* by allowing writing to *Node Attributes*. It requires support for authenticating user access as well as providing information related to access rights in the *AddressSpace* and actually restricting the access rights as described.

Table 29 – Attribute WriteMask Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Security User Access Control Base	False
Address Space Model	Address Space UserWriteMask	False
Address Space Model	Address Space UserWriteMask Multilevel	True
Address Space Model	Address Space WriteMask	False

6.5.8 File Access Server Facet

Table 30 describes the details of the File Access Server Facet. This Facet specifies the support of exposing File information via the defined FileType. This includes reading of file as well as optionally writing of file data.

Table 30 –File Access Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Base Information	Base Info FileType Base	False
Base Information	Base Info FileType Write	True

6.5.9 Documentation Server Facet

Table 31 describes the details of the Documentation *Server* Facet. This Facet defines a list of user documentation that a server application should provide.

Table 31 – Documentation Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Documentation – Installation	False
Miscellaneous	Documentation – Multiple Languages	True
Miscellaneous	Documentation – On-line	True
Miscellaneous	Documentation – Supported *Profiles*	True
Miscellaneous	Documentation – Trouble Shooting Guide	True
Miscellaneous	Documentation – Users Guide	False

6.5.10 Embedded DataChange Subscription Server Facet

Table 32 describes the details of the Embedded DataChange *Subscription Server* Facet. This Facet specifies the minimum level of support for data change notifications within subscriptions. It includes limits which minimize memory and processing overhead required to implement the Facet. This Facet includes functionality to create, modify and delete Subscriptions and to add, modify and remove Monitored Items. As a minimum for each *Session*, *Servers* shall support one *Subscription* with up to two items. In addition, support for two parallel Publish requests is required. This Facet is geared for a platform such as the one provided by the Micro Embedded Device *Server Profile* in which memory is limited and needs to be managed.

Table 32 – Embedded DataChange Subscription Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Items 2	False
Monitored Item Services	Monitor QueueSize_1	False
Monitored Item Services	Monitor Value Change	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 1	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 02	False

6.5.11 Standard DataChange Subscription Server Facet

Table 33 describes the details of the Standard DataChange *Subscription Server* Facet. This Facet specifies the standard support of subscribing to data changes. This Facet extends

features and limits defined by the Embedded Data Change *Subscription* Facet. As a minimum, *Servers* shall support 2 Subscriptions with at least 100 items for at least half of the required Sessions. The 100 items shall be supported for at least half of the required Subscriptions. Queuing with up to two queued entries is required. Support of five parallel Publish requests per *Session* is required. This Facet also requires the support of the triggering service. This Facet has been updated to include optional *ConformanceUnits* to allow for backward compatibility. These optional *ConformanceUnits* are highly recommended, in that in a future release they will be made mandatory.

Table 33 – Standard DataChange Subscription Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Embedded DataChange Subscription Server Facet	False
Base Information	Base Info GetMonitoredItems Method	True
Method Services	Method Call	True
Monitored Item Services	Monitor Items 10	False
Monitored Item Services	Monitor Items 100	False
Monitored Item Services	Monitor MinQueueSize_02	False
Monitored Item Services	Monitor Triggering	False
Monitored Item Services	Monitored Items Deadband Filter	False
Subscription Services	Subscription Minimum 02	False
Subscription Services	Subscription Publish Min 05	False

6.5.12 Enhanced DataChange Subscription Server Facet

Table 34 describes the details of the Enhanced DataChange *Subscription Server* Facet. This Facet specifies an enhanced support of subscribing to data changes. It is part of the Standard UA *Server Profile*. This Facet increases the limits defined by the Standard Data Change *Subscription* Facet.

Table 34 – Enhanced DataChange Subscription Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Standard DataChange Subscription Server Facet	False
Monitored Item Services	Monitor Items 500	False
Monitored Item Services	Monitor MinQueueSize_05	False
Subscription Services	Subscription Minimum 05	False
Subscription Services	Subscription Publish Min 10	False

6.5.13 Durable Subscription Server Facet

Table 35 describes the details of the Durable *Subscription Server* Facet. This Facet specifies support of durable storage of data and events even when *Clients* are disconnected. This Facet implies support of any of the DataChange or Event Subscription Facets.

Table 35 – Durable Subscription Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Subscription Services	Subscription Durable	False

6.5.14 Data Access Server Facet

Table 36 describes the details of the Data Access *Server* Facet. This Facet specifies the support for an *Information Model* used to provide industrial automation data. This model defines standard structures for analog and discrete data items and their quality of service. This Facet extends the Core *Server* Facet which includes support of the basic *AddressSpace* behaviour.

Table 36 – Data Access Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Data Access	Data Access AnalogItems	True
Data Access	Data Access ArrayItemType	True
Data Access	Data Access Complex Number	True
Data Access	Data Access DataItems	False
Data Access	Data Access DoubleComplex Number	True
Data Access	Data Access MultiState	True
Data Access	Data Access PercentDeadband	True
Data Access	Data Access Semantic Changes	True
Data Access	Data Access TwoState	True

6.5.15 ComplexType Server Facet

Table 37 describes the details of the ComplexType *Server* Facet. This Facet extends the Core *Server* Facet to include *Variables* with *Complex Data*, i.e. data that are composed of multiple elements such as a structure and where the individual elements are exposed as component variables. Support of this Facet requires the implementation of StructuredDataTypes and *Variables* that make use of these DataTypes. The Read, Write and Subscriptions service set shall support the encoding and decoding of these StructuredDataTypes. As an option the *Server* can also support alternate encodings, such as an XML encoding when the binary protocol is currently used and vice-versa.

Table 37 – ComplexType Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Complex DataTypes	False
Attribute Services	Attribute Alternate Encoding	True
Attribute Services	Attribute Read Complex	False
Attribute Services	Attribute Write Complex	False
Monitored Item Services	Monitor Alternate Encoding	True

6.5.16 Standard Event Subscription Server Facet

Table 38 describes the details of the Standard *Event Subscription Server* Facet. This Facet specifies the standard support for subscribing to events and is intended to supplement any of the *FullFeatured Profiles*. Support of this Facet requires the implementation of *Event* Types representing the Events that the *Server* can report and their specific fields. It also requires at least the *Server Object* to have the *EventNotifier Attribute* set. It includes the *Services* to Create, Modify and Delete *Subscriptions* and to Add, Modify and Remove Monitored Items for *Object Nodes* with an "*EventNotifier Attribute*". Creating a monitoring item may include a filter that includes SimpleAttribute FilterOperands and a select list of Operators. The operators include: Equals, IsNull, GreaterThan, LessThan, GreaterThanOrEqual, LessThanOrEqual, Like, Not, Between, InList, And, Or, Cast, BitwiseAnd, BitwiseOr and TypeOf. Support of more complex filters is optional.

This Facet has been updated to include several optional Base Information *ConformanceUnits*. These *ConformanceUnits* are optional to allow for backward compatibility, in the future these optional *ConformanceUnits* will become required, and so it is highly recommended that all servers support them.

Table 38 – Standard Event Subscription Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Events	False
Base Information	Base Info EventQueueOverflowEventType	True
Base Information	Base Info Progress Events	True
Base Information	Base Info SemanticChange	True
Base Information	Base Info System Status	True
Base Information	Base Info System Status underlying system	True
Base Information	Base Info Device Failure	True
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Complex Event Filter	True
Monitored Item Services	Monitor Events	False
Monitored Item Services	Monitor Items 10	False
Monitored Item Services	Monitor QueueSize_ServerMax	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 02	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 05	False

6.5.17 Address Space Notifier Server Facet

Table 39 describes the details of the Address Space Notifier *Server* Facet. This Facet requires the support of a hierarchy of *Object Nodes* that are notifiers and *Nodes* that are event sources. The hierarchy is commonly used as a way to organize a plant into areas that can be managed by different operators.

Table 39 – Address Space Notifier Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Notifier Hierarchy	False
Address Space Model	Address Space Source Hierarchy	False

6.5.18 A & C Base Condition Server Facet

Table 40 describes the details of the A & C Base Condition Server Facet. This Facet requires basic support for *Conditions*. Information about *Conditions* is provided through *Event* notifications and thus this Facet builds upon the Standard *Event Subscription Server* Facet. *Conditions* that are in an "interesting" state (as defined by the *Server*) can be refreshed using the Refresh *Method*, which requires support for the *Method Server* Facet. Optionally the server may also provide support for *Condition* classes

Table 40 – A & C Base Condition Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Method Server Facet	False
Profile	Standard Event Subscription Server Facet	False
Alarms and Conditions	A & C Basic	False
Alarms and Conditions	A & C ConditionClasses	True
Alarms and Conditions	A & C Refresh	False

6.5.19 A & C Refresh2 Server Facet

Table 41 describes the details of the A & C Refresh2 Server Facet. This Facet enhances the A & C Base Condition Server Facet with support of the ConditionRefresh2 *Method*.

Table 41 – A & C Refresh2 Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Refresh2	False

6.5.20 A & C Address Space Instance Server Facet

Table 42 describes the details of the A & C Address Space Instance *Server* Facet. This Facet specifies the support required for a *Server* to expose *Alarms* and *Conditions* in its *AddressSpace*. This includes the A & C *AddressSpace* information model.

Table 42 – A & C Address Space Instance Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Alarms and Conditions	A & C Instances	False

6.5.21 A & C Enable Server Facet

Table 43 describes the details of the A & C Enable *Server* Facet. This Facet requires the enabling and disabling of *Conditions*. This facet builds upon the A&C Base Condition Server Facet. Enabling and disabling also requires that instances of these ConditionTypes exist in the *AddressSpace* since the enable *Method* can only be invoked on an instance of the *Condition*

Table 43 – A & C Enable Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Enable	False
Alarms and Conditions	A & C Instances	False

6.5.22 A & C Alarm Server Facet

Table 44 describes the details of the A & C *Alarm Server* Facet. This Facet requires support for *Alarms*. *Alarms* extend the ConditionType by adding an Active state which indicates when something in the system requires attention by an Operator. This Facet builds upon the A&C Base Condition Server Facet. This facet requires that discrete AlarmTypes be supported, it also allows for optional support of shelving, alarm comments and other discrete AlarmTypes such as Trip or Off-Normal.

Table 44 – A & C Alarm Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C *Alarm*	False
Alarms and Conditions	A & C Comment	True
Alarms and Conditions	A & C Discrete	False
Alarms and Conditions	A & C OffNormal	True

Group	Conformance Unit / *Profile* Title	Optional
Alarms and Conditions	A & C SystemOffNormal	True
Alarms and Conditions	A & C Shelving	True
Alarms and Conditions	A & C Trip	True

6.5.23 A & C Acknowledgeable Alarm Server Facet

Table 45 describes the details of the A & C Acknowledgeable *Alarm Server* Facet. This Facet requires support for Acknowledgement of active *Alarms*. This Facet builds upon the A & C *Alarm Server* Facet. Acknowledgement requires support of the Acknowledge *Method* and the Acknowledged state. Support of the Confirmed state and the Confirm *Method* is optional.

Table 45 – A & C Acknowledgeable Alarm Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Alarm Server Facet	False
Alarms and Conditions	A & C Acknowledge	False
Alarms and Conditions	A & C Confirm	True

6.5.24 A & C Exclusive Alarming Server Facet

Table 46 describes the details of the A & C Exclusive Alarming *Server* Facet. This Facet requires support for *Alarms* with multiple sub-states that identify different limit *Conditions*. This facet builds upon the A&C *Alarm Server* Facet. The term exclusive means only one sub-state can be active at a time. For example, a temperature exceeds the HighHigh limit the associated exclusive LevelAlarm will be in the HighHigh sub-state and not in the High sub-state. This Facet requires that a *Server* support at least one of the optional *Alarm* models: Limit, RateOfChange or Deviation.

Table 46 – A & C Exclusive Alarming Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C *Alarm Server* Facet	False
Alarms and Conditions	A & C Exclusive Deviation	True
Alarms and Conditions	A & C Exclusive Level	True
Alarms and Conditions	A & C Exclusive Limit	False
Alarms and Conditions	A & C Exclusive RateOfChange	True

6.5.25 A & C Non-Exclusive Alarming Server Facet

Table 47 describes the details of the A & C Non-Exclusive Alarming *Server* Facet. This Facet requires support for *Alarms* with multiple sub-states that identify different limit *Conditions*. This Facet builds upon the A&C *Alarm Server* Facet. The term non-exclusive means more than one sub-state can be active at a time. For example, if a temperature exceeds the HighHigh limit the associated non-exclusive LevelAlarm will be in both the High and the HighHigh sub-state. This Facet requires that a server support at least one of the optional alarm models: Limit, RateOfChange or Deviation.

Table 47 – A & C Non-Exclusive Alarming Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Alarm Server Facet	False
Alarms and Conditions	A & C Non-Exclusive Deviation	True
Alarms and Conditions	A & C Non-Exclusive Level	True
Alarms and Conditions	A & C Non-Exclusive Limit	False
Alarms and Conditions	A & C Non-Exclusive RateOfChange	True

6.5.26 A & C Previous Instances Server Facet

Table 48 describes the details of the A & C Previous Instances *Server* Facet. This Facet requires support for *Conditions* with previous states that still require action on the part of the operator. This facet builds upon the A&C Base Condition Server Facet. A common use case for this Facet

is a safety critical system that requires that all *Alarms* be acknowledged even if it the original problem goes away and the *Alarm* returns to the inactive state. In these cases, the previous state with active *Alarm* is still reported by the *Server* until the Operator acknowledges it. When a *Condition* has previous states it will produce events with different Branch identifiers. When previous state no longer needs attention the branch will disappear.

Table 48 – A & C Previous Instances Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Branch	False

6.5.27 A & C Dialog Server Facet

Table 49 describes the details of the A & C Dialog *Server* Facet. This Facet requires support of Dialog *Conditions*. This Facet builds upon the A & C Base Condition Server Facet Dialogs are ConditionTypes used to request user input. They are typically used when a *Server* has entered some state that requires intervention by a *Client*. For example, a *Server* monitoring a paper machine indicates that a roll of paper has been wound and is ready for inspection. The *Server* would activate a Dialog *Condition* indicating to the user that an inspection is required. Once the inspection has taken place the user responds by informing the *Server* of an accepted or unaccepted inspection allowing the process to continue.

Table 49 – A & C Dialog Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Dialog	False

6.5.28 A & C CertificateExpiration Server Facet

Table 50 describes the details of the A & C CertificateExpiration *Server* Facet. This Facet requires support of the *CertificateExpirationAlarmType*. It is used to inform Clients when the *Server's* Certificate is within the defined expiration period.

Table 50 – A & C CertificateExpiration Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Alarm	False
Alarms and Conditions	A & C Comment	True
Alarms and Conditions	A & C Shelving	True
Alarms and Conditions	A & C Acknowledge	True
Alarms and Conditions	A & C Confirm	True
Alarms and Conditions	A & C CertificateExpiration	False

6.5.29 A & E Wrapper Facet

Table 51 describes the details of the A & E Wrapper Facet. This Facet specifies the requirements for a UA *Server* that wraps an OPC *Alarm* & *Event* (AE) *Server* (COM). This *Profile* identifies the sub-set of the UA *Alarm* & *Condition* model which is provided by the COM OPC AE specification. It is intended to provide guidance to developers who are creating servers that front-end existing applications. It is important to note that some OPC A&E COM *Servers* may not support all of the functionality provided by an OPC UA A&C server, in these cases similar functionality maybe available via some non-OPC interface. For example if an A&E COM server does not support sending *Alarm* Acknowledgement messages to the system that it is obtaining alarm information from, this functionality may be available via some out of scope features in the underlying *Alarm* system. Another possibility is that the underlying system does not require acknowledgements or automatically acknowledges the alarm.

Table 51 – A & E Wrapper Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Events	False
Address Space Model	Address Space Notifier Hierarchy	False
Address Space Model	Address Space Source Hierarchy	False
Alarms and Conditions	A & C Acknowledge	False
Alarms and Conditions	A & C Alarm	False
Alarms and Conditions	A & C Basic	False
Alarms and Conditions	A & C ConditionClasses	False
Alarms and Conditions	A & C Refresh	False
Alarms and Conditions	A & E Wrapper Mapping	False
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Complex Event Filter	False
Monitored Item Services	Monitor Events	False
Monitored Item Services	Monitor Items 2	False
Monitored Item Services	Monitor QueueSize_ServerMax	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 1	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 02	False

6.5.30 Method Server Facet

Table 52 describes the details of the *Method Server* Facet. This Facet specifies the support of *Method* invocation via the Call service. Methods are “lightweight” functions which are similar to the methods of a class found in any object-oriented programming language. A *Method* can have its scope bounded by an owning *Object* or an owning *ObjectType*. Methods with an *ObjectType* as their scope are similar to static methods in a class.

Table 52 – Method Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Method	False
Method Services	Method Call	False

6.5.31 Auditing Server Facet

Table 53 describes the details of the Auditing *Server* Facet. This Facet requires the support of Auditing which includes the Standard *Event Subscription Server* Facet. Support of this Facet requires that Audit Events be produced when a client performs some action to change the state of the server, such as changing the *AddressSpace*, inserting or updating a value etc. The auditEntryId passed by the *Client* is a field contained in every Audit *Event* and allows actions to be traced across multiple systems. The Audit *Event* Types and their fields must be exposed in the *Server*'s *AddressSpace*

Table 53 – Auditing Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Standard Event Subscription Server Facet	False
Auditing	Auditing Base	False

6.5.32 Node Management Server Facet

Table 54 describes the details of the *Node* Management *Server* Facet. This Facet requires the support of the *Services* that allow the *Client* to add, modify and delete *Nodes* in the *AddressSpace*. These *Services* provide an interface which can be used to configure *Servers*. This means all changes to the *AddressSpace* are expected to persist even after the *Client* has disconnected from the *Server*

Table 54 – Node Management Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Base	False
Base Information	Base Info Model Change	False
Base Information	Base Info Type System	False
Node Management Services	Node Management Add Node	False
Node Management Services	Node Management Add Ref	False
Node Management Services	Node Management Delete Node	False
Node Management Services	Node Management Delete Ref	False

6.5.33 Client Redundancy Server Facet

Table 55 describes the details of the *Client* Redundancy Server Facet. This Facet defines the *Server* actions that are required for support of redundant *Clients*. Support of this Facet requires the implementation of the TransferSubscriptions *Service* which allows the transfer of Subscriptions from one *Client*'s *Session* to another *Client*'s *Session*.

Table 55 – Client Redundancy Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Subscription Services	Subscription Transfer	False

6.5.34 Redundancy Transparent Server Facet

Table 56 describes the details of the Redundancy Transparent *Server* Facet. This Facet requires support for transparent redundancy. If *Servers* implement transparent redundancy then the failover from one *Server* to another is transparent to the *Client* such that the *Client* is

unaware that a failover has occurred; the *Client* does not need to do anything at all to keep data flowing. This type of redundancy is usually a hardware solution.

Table 56 – Redundancy Transparent Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Redundancy	Redundancy Server Transparent	False

6.5.35 Redundancy Visible Server Facet

Table 57 describes the details of the Redundancy Visible *Server* Facet. This Facet specifies the support for non-transparent redundancy. Failover for this type of redundancy requires the *Client* to monitor *Server* status and to switch to a backup *Server* if it detects a failure. The *Server* shall expose the methods of failover it supports (cold, warm or hot). The failover method tells the *Client* what it must do when connecting to a *Server* and when a failure occurs. Cold redundancy requires a *Client* to reconnect to a backup *Server* after the initial *Server* has failed. Warm redundancy allows a *Client* to connect to multiple *Servers*, but only one *Server* will be providing values. In hot redundancy multiple *Servers* are able to provide data and a *Client* can connect to multiple *Servers* for the data.

Table 57 – Redundancy Visible Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Redundancy	Redundancy Server	False

6.5.36 Historical Raw Data Server Facet

Table 58 describes the details of the Historical Raw Data *Server* Facet. This Facet defines the basic functionality when supporting historical data access for raw data.

Table 58 – Historical Raw Data Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Data Max Nodes Read Continuation Point	False
Historical Access	Historical Access Read Raw	False
Historical Access	Historical Access ServerTimestamp	True

6.5.37 Historical Aggregate Server Facet

Table 59 describes the details of the Historical Aggregate *Server* Facet. This Facet indicates that the server supports aggregate processing to produce derived values from raw historical data.

Table 59 – Historical Aggregate Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Aggregates	Aggregate – AnnotationCount	True
Aggregates	Aggregate – Average	True
Aggregates	Aggregate – Count	True
Aggregates	Aggregate – Custom	True
Aggregates	Aggregate – Delta	True
Aggregates	Aggregate – DeltaBounds	True
Aggregates	Aggregate – DurationBad	True
Aggregates	Aggregate – DurationGood	True
Aggregates	Aggregate – DurationInStateNonZero	True
Aggregates	Aggregate – DurationInStateZero	True
Aggregates	Aggregate – End	True
Aggregates	Aggregate – EndBound	True
Aggregates	Aggregate – Interpolative	True
Aggregates	Aggregate – Maximum	True

Group	Conformance Unit / *Profile* Title	Optional
Aggregates	Aggregate – Maximum2	True
Aggregates	Aggregate – MaximumActualTime	True
Aggregates	Aggregate – MaximumActualTime2	True
Aggregates	Aggregate – Minimum	True
Aggregates	Aggregate – Minimum2	True
Aggregates	Aggregate – MinimumActualTime	True
Aggregates	Aggregate – MinimumActualTime2	True
Aggregates	Aggregate – NumberOfTransitions	True
Aggregates	Aggregate – PercentBad	True
Aggregates	Aggregate – PercentGood	True
Aggregates	Aggregate – Range	True
Aggregates	Aggregate – Range2	True
Aggregates	Aggregate – StandardDeviationPopulation	True
Aggregates	Aggregate – StandardDeviationSample	True
Aggregates	Aggregate – Start	True
Aggregates	Aggregate – StartBound	True
Aggregates	Aggregate – TimeAverage	True
Aggregates	Aggregate – TimeAverage2	True
Aggregates	Aggregate – Total	True
Aggregates	Aggregate – Total2	True
Aggregates	Aggregate – VariancePopulation	True
Aggregates	Aggregate – VarianceSample	True
Aggregates	Aggregate – WorstQuality	True
Aggregates	Aggregate – WorstQuality2	True
Aggregates	Aggregate master configuration	False
Aggregates	Aggregate optional configuration	True
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Aggregates	False
Historical Access	Historical Access Data Max Nodes Read Continuation Point	False

6.5.38 Historical Access Structured Data Server Facet

Table 60 describes the details of the Historical Access Structured Data *Server* Facet. This Facet indicates that the *Server* supports storage and retrieval of structured values for all supported access types. If a listed access type is supported then the corresponding optional *ConformanceUnit* shall be supported.

Table 60 – Historical Access Structured Data Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Historical Access	Historical Access Structured Data Delete	True
Historical Access	Historical Access Structured Data Insert	True
Historical Access	Historical Access Structured Data Read Modified	True
Historical Access	Historical Access Structured Data Read Raw	False
Historical Access	Historical Access Structured Data Time Instance	True
Historical Access	Historical Access Structured Data Update	True
Historical Access	Historical Access Structured Data Replace	True

6.5.39 Historical Data AtTime Server Facet

Table 61 describes the details of the Historical Data AtTime *Server* Facet. This Facet indicates that the historical *Server* supports reading data by specifying specific timestamps.

Table 61 – Historical Data AtTime Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Read	False

Group	Conformance Unit / *Profile* Title	Optional
Historical Access	Historical Access Data Max Nodes Read Continuation Point	False
Historical Access	Historical Access Time Instance	False

6.5.40 Historical Access Modified Data Server Facet

Table 62 describes the details of the Historical Access Modified Data *Server* Facet. This Facet defines support of reading modified historical values (values that where modified or inserted).

Table 62 – Historical Access Modified Data Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Modified Values	False

6.5.41 Historical Annotation Server Facet

Table 63 describes the details of the Historical Annotation *Server* Facet. This Facet defines support for the storage and retrieval of annotations for historical data.

Table 63 – Historical Annotation Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Read	False
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Annotations	False

6.5.42 Historical Data Update Server Facet

Table 64 describes the details of the Historical Data Update *Server* Facet. This Facet includes Historical Data Update functionality.

Table 64 – Historical Data Update Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access ServerTimestamp	True
Historical Access	Historical Access Update Value	False

6.5.43 Historical Data Replace Server Facet

Table 65 describes the details of the Historical Data Replace *Server* Facet. This Facet includes Historical Data Replace functionality.

Table 65 – Historical Data Replace Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access ServerTimestamp	True
Historical Access	Historical Access Replace Value	False

6.5.44 Historical Data Insert Server Facet

Table 66 describes the details of the Historical Data Insert *Server* Facet. This Facet includes Historical Data Insert functionality.

Table 66 – Historical Data Insert Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Insert Value	False
Historical Access	Historical Access ServerTimestamp	True

6.5.45 Historical Data Delete Server Facet

Table 67 describes the details of the Historical Data Delete *Server* Facet. This Facet includes Historical Data Delete functionality.

Table 67 – Historical Data Delete Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Delete Value	False

6.5.46 Base Historical Event Server Facet

Table 68 describes the details of the Base Historical *Event Server* Facet. This Facet defines the server requirements to support basic Historical *Event* functionality, including simple filtering and general access.

Table 68 – Base Historical Event Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Event Max Events Read Continuation Point	False
Historical Access	Historical Access Events	False

6.5.47 Historical Event Update Server Facet

Table 69 describes the details of the Historical *Event* Update *Server* Facet. This Facet includes Historical *Event* update access functionality.

Table 69 – Historical Event Update Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Update Event	False

6.5.48 Historical Event Replace Server Facet

Table 69 describes the details of the Historical *Event* Replace *Server* Facet. This Facet includes Historical *Event* replace access functionality.

Table 70 – Historical Event Replace Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Replace Event	False

6.5.49 Historical Event Insert Server Facet

Table 71 describes the details of the Historical *Event* Insert *Server* Facet. This Facet includes Historical *Event* insert access functionality.

Table 71 – Historical Event Insert Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Insert Event	False

6.5.50 Historical Event Delete Server Facet

Table 72 describes the details of the Historical *Event* Delete *Server* Facet. This Facet includes Historical *Event* delete access functionality

Table 72 – Historical Event Delete Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Delete Event	False

6.5.51 Aggregate Subscription Server Facet

Table 73 describes the details of the Aggregate *Subscription Server* Facet. This Facet defines the handling of the aggregate filter when subscribing for *Attribute* values.

Table 73 – Aggregate Subscription Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	*Standard DataChange Subscription Server Facet*	False
Aggregates	Aggregate Subscription – AnnotationCount	True
Aggregates	Aggregate Subscription – Average	True
Aggregates	Aggregate Subscription – Count	True
Aggregates	Aggregate Subscription – Custom	True
Aggregates	Aggregate Subscription – Delta	True
Aggregates	Aggregate Subscription – DeltaBounds	True
Aggregates	Aggregate Subscription – DurationBad	True
Aggregates	Aggregate Subscription – DurationGood	True
Aggregates	Aggregate Subscription – DurationInStateNonZero	True
Aggregates	Aggregate Subscription – DurationInStateZero	True
Aggregates	Aggregate Subscription – End	True
Aggregates	Aggregate Subscription – EndBound	True
Aggregates	Aggregate Subscription – Filter	False
Aggregates	Aggregate Subscription – Interpolative	True
Aggregates	Aggregate Subscription – Maximum	True
Aggregates	Aggregate Subscription – Maximum2	True
Aggregates	Aggregate Subscription – MaximumActualTime	True
Aggregates	Aggregate Subscription – MaximumActualTime2	True
Aggregates	Aggregate Subscription – Minimum	True
Aggregates	Aggregate Subscription – Minimum2	True
Aggregates	Aggregate Subscription – MinimumActualTime	True
Aggregates	Aggregate Subscription – MinimumActualTime2	True
Aggregates	Aggregate Subscription – NumberOfTransitions	True

Group	Conformance Unit / *Profile* Title	Optional
Aggregates	Aggregate Subscription – PercentBad	True
Aggregates	Aggregate Subscription – PercentGood	True
Aggregates	Aggregate Subscription – Range	True
Aggregates	Aggregate Subscription – Range2	True
Aggregates	Aggregate Subscription – StandardDeviationPopulation	True
Aggregates	Aggregate Subscription – StandardDeviationSample	True
Aggregates	Aggregate Subscription – Start	True
Aggregates	Aggregate Subscription – StartBound	True
Aggregates	Aggregate Subscription – TimeAverage	True
Aggregates	Aggregate Subscription – TimeAverage2	True
Aggregates	Aggregate Subscription – Total	True
Aggregates	Aggregate Subscription – Total2	True
Aggregates	Aggregate Subscription – VariancePopulation	True
Aggregates	Aggregate Subscription – VarianceSample	True
Aggregates	Aggregate Subscription – WorstQuality	True
Aggregates	Aggregate Subscription – WorstQuality2	True
Monitored Item Services	Monitor Aggregate Filter	False

6.5.52 Nano Embedded Device Server Profile

Table 74 describes the details of the Nano Embedded Device *Server Profile*. This *Profile* is a *FullFeatured Profile* intended for chip level devices with limited resources. This *Profile* is functionally equivalent to the Core *Server* Facet and defines the OPC UA TCP binary protocol as the required transport profile.

Exposing types in the AddressSpace is optional for this Profile except if custom types (i.e. types that are derived from well-known ObjectTypes, VariableTypes, ReferenceType or DataTypes) are used. Exposing all supported types in the AddressSpace is mandatory in some higher level Profiles.

Table 74 – Nano Embedded Device Server Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Core Server Facet	False
Profile	UA-TCP UA-SC UA Binary	False
Base Information	Base Info Diagnostics	True
Base Information	Base Info Custom Type System	True

6.5.53 Micro Embedded Device Server Profile

Table 75 describes the details of the Micro Embedded Device *Server Profile*. This *Profile* is a *FullFeatured Profile* intended for small devices with limited resources. This *Profile* builds upon the Nano Embedded Device *Server Profile*. The most important additions are: support for subscriptions via the Embedded Data Change *Subscription Server* Facet and support for at least two sessions. A complete Type System is not required; however, if the *Server* implements any non-UA types then these types and their super-types must be exposed.

Table 75 – Micro Embedded Device Server Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Embedded DataChange Subscription Server Facet	False
Profile	Nano Embedded Device Server Profile	False
Session Services	Session Minimum 2 Parallel	False

6.5.54 Embedded UA Server Profile

Table 76 describes the details of the Embedded UA *Server Profile*. This *Profile* is a *FullFeatured Profile* that is intended for devices with more than 50 MBs of memory and a more powerful processor. This *Profile* builds upon the Micro Embedded Device *Server Profile*. The most important additions are: support for security via the Security Policy – Basic128Rsa15 Facet, and support for the Standard DataChange *Subscription Server* Facet. This *Profile* also requires that servers expose all OPC-UA types that are used by the *Server* including their components and their super-types.

Table 76 – Embedded UA Server Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Micro Embedded Device Server Profile	False
Profile	SecurityPolicy – Basic128Rsa15	False
Profile	Standard DataChange Subscription Server Facet	False
Profile	User Token – X509 Certificate Server Facet	False
Base Information	Base Info Engineering Units	True
Base Information	Base Info Placeholder Modelling Rules	True
Base Information	Base Info Type System	False
Security	Security Default ApplicationInstanceCertificate	False

6.5.55 Standard UA Server Profile

Table 77 describes the details of the Standard UA *Server Profile*. This *Profile* is a *FullFeatured Profile* that defines a minimum set of functionality required for PC based OPC UA servers. Such a server must provide the base *AddressSpace* structure with type nodes, instance nodes and diagnostic information. The *Server* must provide connection establishment through the OPC UA TCP binary protocol with security and the creation of at least 50 parallel sessions. It includes view services like browsing and the attribute services for reading and writing of current values. In addition, the monitoring of data changes is included with a minimum of 5 subscriptions for half of the required sessions (total 225) and a minimum of 500 monitored items for half of the subscriptions (total 56250).

Table 77 – Standard UA Server Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Embedded UA Server Profile	False
Profile	Enhanced DataChange Subscription Server Facet	False
Attribute Services	Attribute Write StatusCode & Timestamp	True
Base Information	Base Info Diagnostics	False
Discovery Services	Discovery Register	False
Discovery Services	Discovery Register2	True
Session Services	Session Cancel	False
Session Services	Session Minimum 50 Parallel	False
View Services	View Minimum Continuation Point 05	False
Session Services	*Session* Change User	True

6.5.56 Global Discovery Server Profile

Table 78 describes the details of the Global Discovery *Server* (GDS) *Profile*. This *Profile* is a *FullFeatured Profile* that covers the necessary Services and Information Model of a UA Server that acts as a GDS.

Table 78 – Global Discovery Server Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Core Server Facet	False
Profile	UA-TCP UA-SC UA Binary	False
Profile	SecurityPolicy – Basic128Rsa15	False

Group	Conformance Unit / *Profile* Title	Optional
Profile	SecurityPolicy – Basic256	False
Profile	Standard DataChange Subscription Server Facet	False
Profile	User Token – X509 Certificate Server Facet	False
Profile	Method Server Facet	False
Security	Security Default ApplicationInstanceCertificate	False
Session Services	Session Minimum 50 Parallel	False
GDS	GDS Application Directory	False
GDS	GDS LDS-ME Connectivity	False

6.5.57 Global Discovery and Certificate Management Server Profile

Table 79 describes the details of the Global Discovery and Certificate Management *Server Profile*. This *Profile* is a *FullFeatured Profile* that covers the necessary Services and Information Model of a UA Server that acts as a global Certificate Manager. The Certificate Manager can but does not have to be integrated with the GDS.

Table 79 – Global Discovery and Certificate Management Server Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Global Discovery Server Profile	False
Profile	SecurityPolicy – Basic256Sha256	False
Profile	Standard Event Subscription Server Facet	False
Profile	Auditing Server Facet	False
Profile	File Access Server Facet	False
GDS	GDS Certificate Manager Pull Model	False
GDS	GDS Certificate Manager Push Model	False

6.5.58 Core Client Facet

Table 80 describes the details of the Core *Client* Facet. This Facet defines the core functionality required for any *Client*. This Facet includes the core functions for Security and *Session* handling.

Table 80 – Core Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	SecurityPolicy – Basic128Rsa15	False
Profile	SecurityPolicy – None	False
Profile	User Token – User Name Password Client Facet	False
Profile	User Token – X509 Certificate Client Facet	False
Security	Security Administration	False
Session Services	Session Client Base	False
Session Services	Session Client Cancel	True
Session Services	Session Client Detect Shutdown	False
Session Services	Session Client General Service Behaviour	False
Session Services	Session Client Impersonate	True
Session Services	Session Client KeepAlive	False
Session Services	Session Client Renew NodeIds	True

6.5.59 Request State Change Client Facet

Table 81 describes the details of the Request State Change Client Facet. This Facet specifies the ability to invoke the RequestServerStateChange Method.

Table 81 – Request State Change Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Base Information	Base Info Client RequestServerStateChange	False

6.5.60 Global Certificate Management Client Facet

Table 82 describes the details of the Global Certificate Management *Client* Facet. This Facet defines the capability to interact with a *Global Certificate Management Server* to obtain an initial or renewed *Certificate* and *Trust Lists*.

Table 82 – Global Certificate Management Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Pull Model for Global Certificate and TrustList Management	True
Security	Push Model for Global Certificate and TrustList Management	True
Security	Pull or Push Model	False

6.5.61 Base Client Behaviour Facet

Table 83 describes the details of the Base *Client* Behaviour Facet. This Facet indicates that the *Client* supports behaviour that *Clients* shall follow for best use by operators and administrators. They include allowing configuration of an endpoint for a server without using the discovery service set; Support for manual security setting configuration and behaviour with regard to security issues; support for Automatic reconnection to a disconnected server. These behaviours can only be tested in a test lab. They are best practice guidelines.

Table 83 – Base Client Behaviour Facet

Group	Conformance Unit / *Profile* Title	Optional
Discovery Services	Discovery Client Configure Endpoint	False
Security	Security Administration	False
Security	Security Administration – XML Schema	False
Security	Security Certificate Administration	False
Session Services	Session Client Auto Reconnect	True
Subscription Services	Subscription Client Multiple	False
Subscription Services	Subscription Client Publish Configurable	False

6.5.62 Discovery Client Facet

Table 84 describes the details of the *Discovery Client* Facet. This Facet defines the ability to discover *Servers* and their Endpoints.

Table 84 – Discovery Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Discovery Services	Discovery Client Configure Endpoint	False
Discovery Services	Discovery Client Find Servers Basic	False
Discovery Services	Discovery Client Find Servers Dynamic	False
Discovery Services	Discovery Client Find Servers with URI	True
Discovery Services	Discovery Client Get Endpoints Basic	False
Discovery Services	Discovery Client Get Endpoints Dynamic	False

6.5.63 Subnet Discovery Client Facet

Table 85 describes the details of the Subnet Discovery *Client* Facet. Support of this Facet enables discovery of the *Server* on a subnet.

Table 85 – Subnet Discovery Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Discovery Services	Discovery Client Find Servers on Network using LDS-ME	True
Discovery Services	Discovery Client Find Servers on Network using mDNS	True
Discovery Services	Discovery Client Find Servers on Network	False

6.5.64 Global Discovery Client Facet

Table 86 describes the details of the Global Discovery *Client* Facet. Support of this Facet enables system-wide discovery of *Servers* using a Global Discovery Server (GDS).

Table 86 – Global Discovery Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Discovery Services	Discovery Client Find Servers in GDS	False

6.5.65 AddressSpace Lookup Client Facet

Table 87 describes the details of the *AddressSpace* Lookup *Client* Facet. This Facet defines the ability to navigate through the *AddressSpace* and includes basic AddressS*pace* concepts, view and browse functionality and simple attribute read functionality.

Table 87 – AddressSpace Lookup Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Client Base	False
Attribute Services	Attribute Client Read Base	False
Attribute Services	Attribute Client Remote Nodes Attribute Access	True
Base Information	Base Info Client Basic	False
Base Information	Base Info Client GetMonitoredItems Method	True
View Services	View Client Basic Browse	False
View Services	View Client Basic ResultSet Filtering	False
View Services	View Client RegisterNodes	True
View Services	View Client TranslateBrowsePath	True
View Services	View Client Remote Nodes Browse	True
View Services	View Client Remote Nodes Translate Browse	True

6.5.66 Entry-Level Support Client Facet

Note: This facet has been deprecated with the OPC UA Specification Version 1.03. It has been replaced by the Entry Level Support 2015 Client Facet.

6.5.67 Entry Level Support 2015 Client Facet

Table 88 describes the details of the Entry-Level Support *Client* Facet. This Facet defines the ability to interoperate with low-end Servers, in particular Servers that support the Nano Embedded Profile but in general Servers with defined limits.

Table 88 – Entry Level Support 2015 Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Base Information	Base Info Honour Server Operation Limits	False
Base Information	Base Info Client Type Pre-Knowledge	False
Session Services	Session Client Single Session	False
Subscription Services	Subscription Client Fallback	False

6.5.68 Multi-Server Client Connection Facet

Table 89 describes the details of the Multi-*Server Client* Connection Facet. This Facet defines the ability for simultaneous access to multiple *Servers*.

Table 89 – Multi-Server Client Connection Facet

Group	Conformance Unit / *Profile* Title	Optional
Session Services	Session Client Multiple Connections	False

6.5.69 File Access Client Facet

Table 90 describes the details of the File Access *Client* Facet. This Facet defines the ability to use File transfer via the defined FileType. This includes reading and optionally writing.

Table 90 – File Access Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Base Information	Base Info Client FileType Base	False
Base Information	Base Info Client FileType Write	True

6.5.70 Documentation – Client

Table 91 describes the details of the Documentation – *Client*. This Facet provides a list of user documentation that a *Client* application should provide.

Table 91 – Documentation – Client

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Documentation Client – Installation	False
Miscellaneous	Documentation Client – Multiple Languages	True
Miscellaneous	Documentation Client – On-line	True
Miscellaneous	Documentation Client – Supported Profiles	True
Miscellaneous	Documentation Client – Trouble Shooting Guide	True
Miscellaneous	Documentation Client – Users Guide	False

6.5.71 Attribute Read Client Facet

Table 92 describes the details of the *Attribute* Read *Client* Facet. This Facet defines the ability to read *Attribute* values of *Nodes*.

Table 92 – Attribute Read Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Read Base	False
Attribute Services	Attribute Client Read Complex	True
Attribute Services	Attribute Client Read with proper Encoding	True

6.5.72 Attribute Write Client Facet

Table 93 describes the details of the *Attribute* Write *Client* Facet. This Facet defines the ability to write *Attribute* values of *Nodes*.

Table 93 – Attribute Write Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Write Base	False
Attribute Services	Attribute Client Write Complex	True
Attribute Services	Attribute Client Write Quality & Timestamp	True

6.5.73 DataChange Subscriber Client Facet

Table 94 describes the details of the DataChange Subscriber *Client* Facet. This Facet defines the ability to monitor *Attribute* values for data change.

Table 94 – DataChange Subscriber Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Monitored Item Services	Monitor Client by Index	False
Monitored Item Services	Monitor Client Deadband Filter	True
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Trigger	True
Monitored Item Services	Monitor Client Value Change	False
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	False

6.5.74 Durable Subscription Client Facet

Table 95 describes the details of the Durable *Subscription Client* Facet. This Facet specifies use of durable *Subscriptions*. It implies support of any of the DataChange or Event Subscriber Facets.

Table 95 – Durable Subscription Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Subscription Services	Subscription Client Durable	False

6.5.75 DataAccess Client Facet

Table 96 describes the details of the DataAccess *Client* Facet. This Facet defines the ability to utilize the DataAccess Information Model, i.e., industrial automation data like analog and discrete data items and their quality of service.

Table 96 – DataAccess Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Client Base	False
Address Space Model	Address Space Client Complex DataTypes	True
Attribute Services	Attribute Client Read Base	False
Attribute Services	Attribute Client Read Complex	True
Attribute Services	Attribute Client Read with proper Encoding	True
Data Access	Data Access Client Basic	False
Data Access	Data Access Client Deadband	True
Data Access	Data Access Client SemanticChange	True

6.5.76 Event Subscriber Client Facet

Table 97 describes the details of the *Event* Subscriber *Client* Facet. This Facet defines the ability to subscribe for *Event Notifications*. This includes basic AddressS*pace* concept and the browsing of it, adding events and event filters as monitored items and adding subscriptions.

Table 97 – Event Subscriber Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Client Base	False
Monitored Item Services	Monitor Client Complex Event Filter	True
Monitored Item Services	Monitor Client Event Filter	False
Monitored Item Services	Monitor Client Events	False
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Trigger	True

Group	Conformance Unit / *Profile* Title	Optional
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	False
View Services	View Client Basic Browse	True
View Services	View Client TranslateBrowsePath	True

6.5.77 Base Event Processing Client Facet

Table 98 describes the details of the Base *Event* Processing *Client* Facet. This Facet defines the ability to subscribe for and process basic OPC UA *Event*s. The Client has to support at least one of the *Event*s in the Facet.

Table 98 – Base Event Processing Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Event Subscriber Client Facet	False
Base Information	Base Info Client System Status	True
Base Information	Base Info Client System Status Underlying System	True
Base Information	Base Info Client Device Failure	True
Base Information	Base Info Client Progress Events	True
Base Information	Base Info Client Change Events	True
Base Information	Base Info Event Processing	False

6.5.78 Notifier and Source Hierarchy Client Facet

Table 99 describes the details of the Notifier and Source Hierarchy *Client* Facet. This Facet defines the ability to find and use a hierarchy of *Objects* that are event notifier and *Nodes* that are event sources in the *Server AddressSpace*.

Table 99 – Notifier and Source Hierarchy Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Event Subscriber Client Facet	False
Address Space Model	Address Space Client Notifier Hierarchy	False
Address Space Model	Address Space Client Source Hierarchy	False
Subscription Services	Subscription Client Publish Configurable	False

6.5.79 A & C Base ConditionClient Facet

Table 100 describes the details of the A & C Base Condition Client Facet. This Facet defines the ability to use the *Alarm* and *Condition* basic model. This includes the ability to subscribe for Events and to initiate a Refresh *Method*.

Table 100 – A & C Base Condition Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Event Subscriber Client Facet	False
Profile	Method Client Facet	False
Alarms and Conditions	A & C Basic Client	False
Alarms and Conditions	A & C ConditionClasses Client	False
Alarms and Conditions	A & C Refresh Client	False

6.5.80 A & C Refresh2 Client Facet

Table 101 describes the details of the A & C Refresh2 Client Facet. This Facet enhances the A & C Base Condition Server Facet with the ability to initiate a ConditionRefresh2 *Method*.

Table 101 – A & C Reresh2 Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Refresh2 Client	False

6.5.81 A & C Address Space Instance Client Facet

Table 102 describes the details of the A & C Address Space Instance *Client* Facet. This Facet defines the ability to use *Condition* instances in the *AddressSpace*.

Table 102 – A & C Address Space Instance Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Alarms and Conditions	A & C Instances Client	False

6.5.82 A & C Enable Client Facet

Table 103 describes the details of the A & C Enable *Client* Facet. This Facet defines the ability to enable and disable *Alarms*,

Table 103 – A & C Enable Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Enable Client	False

6.5.83 A & C Alarm Client Facet

Table 104 describes the details of the A & C *Alarm Client* Facet. This Facet defines the ability to use the alarming model (the AlarmType or any of the sub-types).

Table 104 – A & C Alarm Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Acknowledge Client	False
Alarms and Conditions	A & C Alarm Client	False
Alarms and Conditions	A & C Comment Client	True
Alarms and Conditions	A & C Confirm Client	True
Alarms and Conditions	A & C Discrete Client	False
Alarms and Conditions	A & C OffNormal Client	True
Alarms and Conditions	A & C SystemOffNormal Client	True
Alarms and Conditions	A & C Shelving Client	True
Alarms and Conditions	A & C Trip Client	True

6.5.84 A & C Exclusive Alarming Client Facet

Table 105 describes the details of the A & C Exclusive Alarming *Client* Facet. This Facet defines the ability to use the exclusive *Alarm* model. This includes understanding the various subtypes such as ExclusiveRateOfChangeAlarm, ExclusiveLevelAlarm and ExclusiveDeviationAlarm.

Table 105 – A & C Exclusive Alarming Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Alarm Client Facet	False
Alarms and Conditions	A & C Exclusive Deviation Client	True
Alarms and Conditions	A & C Exclusive Level Client	True
Alarms and Conditions	A & C Exclusive Limit Client	False
Alarms and Conditions	A & C Exclusive RateOfChange Client	True

6.5.85 A & C Non-Exclusive Alarming Client Facet

Table 106 describes the details of the A & C Non-Exclusive Alarming *Client* Facet. This Facet defines the ability to use the non-exclusive *Alarm* model. This includes understanding the various subtypes such as NonExclusiveRateOfChangeAlarm, NonExclusiveLevelAlarm and NonExclusiveDeviationAlarm.

Table 106 – A & C Non-Exclusive Alarming Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Alarm Client Facet	False
Alarms and Conditions	A & C Non-Exclusive Deviation Client	True
Alarms and Conditions	A & C Non-Exclusive Level Client	True
Alarms and Conditions	A & C Non-Exclusive Limit Client	False
Alarms and Conditions	A & C Non-Exclusive RateOfChange Client	True

6.5.86 A & C Previous Instances Client Facet

Table 107 describes the details of the A & C Previous Instances *Client* Facet. This Facet defines the ability to use previous instances of *Alarms*. This implies the ability to understand branchIds.

Table 107 – A & C Previous Instances Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Branch Client	False

6.5.87 A & C Dialog Client Facet

Table 108 describes the details of the A & C Dialog *Client* Facet. This Facet defines the ability to use the dialog model. This implies the support of *Method* invocation to respond to dialog messages.

Table 108 – A & C Dialog Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Dialog Client	False

6.5.88 A & C CertificateExpiration Client Facet

Table 109 describes the details of the A & C CertificateExpiration *Client* Facet. This Facet defines the ability to use the *CertificateExpirationAlarmType*.

Table 109 – A & C CertificateExpiration Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Alarm Client	False
Alarms and Conditions	A & C Acknowledge Client	True
Alarms and Conditions	A & C Comment Client	True
Alarms and Conditions	A & C Confirm Client	True
Alarms and Conditions	A & C Shelving Client	True
Alarms and Conditions	A & C CertificateExpiration Client	False

6.5.89 A & E Proxy Facet

Table 110 describes the details of the A & E Proxy Facet. This Facet describes the functionality used by a default A & E *Client* proxy. A *Client* exposes this Facet so that a *Server* may be able to better understand the commands that are being issued by the *Client*, since this Facet indicates that the *Client* is an A&E Com *Client*.

Table 110 – A & E Proxy Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Client Base	False
Alarms and Conditions	A & C Acknowledge Client	False
Alarms and Conditions	A & C Alarm Client	False
Alarms and Conditions	A & C Basic Client	False
Alarms and Conditions	A & C ConditionClasses Client	False
Alarms and Conditions	A & C Discrete Client	False
Alarms and Conditions	A & C Exclusive Deviation Client	False
Alarms and Conditions	A & C Exclusive Level Client	False
Alarms and Conditions	A & C Exclusive Limit Client	False
Alarms and Conditions	A & C Exclusive RateOfChange Client	False
Alarms and Conditions	A & C Instances Client	False
Alarms and Conditions	A & C Non-Exclusive Deviation Client	False
Alarms and Conditions	A & C Non-Exclusive Level Client	False
Alarms and Conditions	A & C Non-Exclusive Limit Client	False
Alarms and Conditions	A & C Non-Exclusive RateOfChange Client	False
Alarms and Conditions	A & C OffNormal Client	False
Alarms and Conditions	A & C SystemOffNormal Client	True
Alarms and Conditions	A & C Refresh Client	False
Alarms and Conditions	A & C Trip Client	False
Attribute Services	Attribute Client Read Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Change Events	False
Discovery Services	Discovery Client Configure Endpoint	False
Discovery Services	Discovery Client Find Servers Basic	False
Discovery Services	Discovery Client Find Servers Dynamic	False
Discovery Services	Discovery Client Find Servers with URI	False
Discovery Services	Discovery Client Get Endpoints Basic	False
Discovery Services	Discovery Client Get Endpoints Dynamic	False
Method Services	Method Client Call	False
Monitored Item Services	Monitor Client Complex Event Filter	False
Monitored Item Services	Monitor Client Event Filter	False
Monitored Item Services	Monitor Client Events	False
Security	Security Administration	False
Security	Security Administration – XML Schema	False
Security	Security Certificate Administration	False
Session Services	Session Client Auto Reconnect	False
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Multiple	False
Subscription Services	Subscription Client Publish Configurable	False
Subscription Services	Subscription Client Republish	False
View Services	View Client Basic Browse	False
View Services	View Client Basic ResultSet Filtering	False
View Services	View Client TranslateBrowsePath	False

6.5.90 Method Client Facet

Table 111 describes the details of the *Method Client* Facet. This Facet defines the ability to call arbitrary *Method*s.

Table 111 – Method Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Method Services	Method Client Call	False

6.5.91 Auditing Client Facet

Table 112 describes the details of the Auditing *Client* Facet. This Facet defines the ability to monitor *AuditEvents*.

Table 112 – Auditing Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Event Subscriber Client Facet	False
Auditing	Auditing Client Audit ID	False
Auditing	Auditing Client Subscribes	False

6.5.92 Node Management Client Facet

Table 113 describes the details of the *Node* Management *Client* Facet. This Facet defines the ability to configure the *AddressSpace* of an OPC UA *Server* through OPC UA *Node* Management *Service* Set.

Table 113 – Node Management Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Client Base	False
Node Management Services	Node Management Client	False

6.5.93 Advanced Type Programming Client Facet

Table 114 describes the details of the Advanced Type Programming *Client* Facet. This Facet defines the ability to use the type model and process the instance *AddressSpace* based on the type model. For example a client may contain generic displays that are based on a type, in that they contain a relative path from some main type. On call up this main type is matched to an instance and all of display items are resolved based on the provided type model.

Table 114 – Advanced Type Programming Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Client Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Type Programming	False
View Services	View Client TranslateBrowsePath	False

6.5.94 Diagnostic Client Facet

Table 115 describes the details of the Diagnostic *Client* Facet. This Facet defines the ability to read and process diagnostic information that is part of the OPC UA information model.

Table 115 – Diagnostic Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space Client Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Diagnostics	False

6.5.95 Redundant Client Facet

Table 116 describes the details of the Redundant *Client* Facet. This Facet defines the ability to use the redundancy feature available for redundant *Clients*.

Table 116 – Redundant Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Redundancy	Redundancy Client	False

Group	Conformance Unit / *Profile* Title	Optional
Subscription Services	Subscription Client TransferSubscriptions	True

6.5.96 Redundancy Switch Client Facet

Table 117 describes the details of the Redundancy Switch *Client* Facet. A *Client* that supports this Facet supports monitoring the redundancy status for non-transparent redundant *Servers* and switching to the backup *Server* when they recognize a change.

Table 117 – Redundancy Switch Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Redundancy	Redundancy Client Switch	False

6.5.97 Historical Access Client Facet

Table 118 describes the details of the Historical Access *Client* Facet. This Facet defines the ability to read, process, and update historical data.

Table 118 – Historical Access Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Read	False
Historical Access	Historical Access Client Browse	False
Historical Access	Historical Access Client Read Raw	False

6.5.98 Historical Annotation Client Facet

Table 119 describes the details of the Historical Annotation *Client* Facet. This Facet defines the ability to retrieve and write annotations for historical data.

Table 119 – Historical Annotation Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Access Client Facet	False
Profile	Historical Data Update Client Facet	False
Historical Access	Historical Access Client Annotations	False

6.5.99 Historical Data AtTime Client Facet

Table 120 describes the details of the Historical Data AtTime *Client* Facet. This Facet defines the ability to access data at specific instances in time.

Table 120 – Historical Data AtTime Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Access Client Facet	False
Historical Access	Historical Access Client Time Instance	False

6.5.100 Historical Aggregate Client Facet

Table 121 describes the details of the Historical Aggregate *Client* Facet. This Facet defines the ability to read historical data by specifying the needed aggregate. This implies consideration of the list of aggregates supported by the *Server*.

Table 121 – Historical Aggregate Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Aggregates	Aggregate – Client AnnotationCount	True
Aggregates	Aggregate – Client Average	True
Aggregates	Aggregate – Client Count	True
Aggregates	Aggregate – Client Custom Aggregates	True

Group	Conformance Unit / *Profile* Title	Optional
Aggregates	Aggregate – Client Delta	True
Aggregates	Aggregate – Client DeltaBounds	True
Aggregates	Aggregate – Client DurationBad	True
Aggregates	Aggregate – Client DurationGood	True
Aggregates	Aggregate – Client DurationInStateNonZero	True
Aggregates	Aggregate – Client DurationInStateZero	True
Aggregates	Aggregate – Client End	True
Aggregates	Aggregate – Client EndBound	True
Aggregates	Aggregate – Client Interpolative	True
Aggregates	Aggregate – Client Maximum	True
Aggregates	Aggregate – Client Maximum2	True
Aggregates	Aggregate – Client MaximumActualTime	True
Aggregates	Aggregate – Client MaximumActualTime2	True
Aggregates	Aggregate – Client Minimum	True
Aggregates	Aggregate – Client Minimum2	True
Aggregates	Aggregate – Client MinimumActualTime	True
Aggregates	Aggregate – Client MinimumActualTime2	True
Aggregates	Aggregate – Client NumberOfTransitions	True
Aggregates	Aggregate – Client PercentBad	True
Aggregates	Aggregate – Client PercentGood	True
Aggregates	Aggregate – Client Range	True
Aggregates	Aggregate – Client Range2	True
Aggregates	Aggregate – Client StandardDeviationPopulation	True
Aggregates	Aggregate – Client StandardDeviationSample	True
Aggregates	Aggregate – Client Start	True
Aggregates	Aggregate – Client StartBound	True
Aggregates	Aggregate – Client TimeAverage	True
Aggregates	Aggregate – Client TimeAverage2	True
Aggregates	Aggregate – Client Total	True
Aggregates	Aggregate – Client Total2	True
Aggregates	Aggregate – Client Usage	False
Aggregates	Aggregate – Client VariancePopulation	True
Aggregates	Aggregate – Client VarianceSample	True
Aggregates	Aggregate – Client WorstQuality	True
Aggregates	Aggregate – Client WorstQuality2	True
Historical Access	Historical Access Client Read Aggregates	False

6.5.101 Historical Data Update Client Facet

Table 122 describes the details of the Historical Data Update *Client* Facet. This Facet defines the ability to update historical data.

Table 122 – Historical Data Update Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Data Update	False

6.5.102 Historical Data Replace Client Facet

Table 122 describes the details of the Historical Data Replace *Client* Facet. This Facet defines the ability to replace historical data.

Table 123 – Historical Data Replace Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Data Replace	False

6.5.103 Historical Data Insert Client Facet

Table 124 describes the details of the Historical Data Insert *Client* Facet. This Facet defines the ability to insert historical data.

Table 124 – Historical Data Insert Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Data Insert	False

6.5.104 Historical Data Delete Client Facet

Table 125 describes the details of the Historical Data Delete *Client* Facet. This Facet defines the ability to delete historical data.

Table 125 – Historical Data Delete Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Data Delete	False

6.5.105 Historical Access Client Server Timestamp Facet

Table 126 describes the details of the Historical Access *Client Server* Timestamp Facet. This Facet defines the ability to request and process *Server* timestamps, in addition to source timestamps.

Table 126 – Historical Access Client Server Timestamp Facet

Group	Conformance Unit / *Profile* Title	Optional
Historical Access	Historical Access Client Server Timestamp	False

6.5.106 Historical Access Modified Data Client Facet

Table 127 describes the details of the Historical Access Modified Data *Client* Facet. This Facet defines the ability to access prior historical data (values that were modified or inserted).

Table 127 – Historical Access Modified Data Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Access Client Facet	False
Historical Access	Historical Access Client Read Modified	False

6.5.107 Structured Data AtTime Client Facet

Table 128 describes the details of the Historical Structured Data AtTime *Client* Facet. This Facet defines the ability to read structured values for historical nodes at specific instances in time.

Table 128 – Historical Structured Data AtTime Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Data AtTime Client Facet	False
Historical Access	Historical Access Client Structure Data Time Instance	False

6.5.108 Historical Structured Data Access Client Facet

Table 129 describes the details of the Historical Structured Data Access *Client* Facet. This Facet defines the ability to read structured values for historical nodes.

Table 129 – Historical Structured Data Access Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Access Client Facet	False
Historical Access	Historical Access Client Structure Data Raw	False

6.5.109 Historical Structured Data Modified Client Facet

Table 130 describes the details of the Historical Structured Data Modified *Client* Facet. This Facet defines the ability to read structured values for prior historical data (values that were modified or inserted).

Table 130 – Historical Structured Data Modified Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Access Modified Data Client Facet	False
Historical Access	Historical Access Client Structure Data Read Modified	False

6.5.110 Historical Structured Data Delete Client Facet

Table 131 describes the details of the Historical Structured Data Delete *Client* Facet. This Facet defines the ability to remove structured historical data.

Table 131 – Historical Structured Data Delete Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Data Delete Client Facet	False
Historical Access	Historical Access Client Structure Data Delete	False

6.5.111 Historical Structured Data Update Client Facet

Table 132 describes the details of the Historical Structure Data Update *Client* Facet. This Facet defines the ability to update structured historical data.

Table 132 – Historical Structured Data Update Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Data Update Client Facet	False
Historical Access	Historical Access Client Structure Data Update	False

6.5.112 Historical Structured Data Replace Client Facet

Table 132 describes the details of the Historical Structure Data Replace *Client* Facet. This Facet defines the ability to replace structured historical data.

Table 133 – Historical Structured Data Replace Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Data Update Client Facet	False
Historical Access	Historical Access Client Structure Data Replace	False

6.5.113 Historical Structured Data Insert Client Facet

Table 134 describes the details of the Historical Structured Data Insert *Client* Facet. This Facet defines the ability to insert structured historical data.

Table 134 – Historical Structured Data Insert Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	Historical Data Insert Client Facet	False
Historical Access	Historical Access Client Structure Data Insert	False

6.5.114 Historical Events Client Facet

Table 135 describes the details of the Historical Events *Client* Facet. This Facet defines the ability to read Historical Events, including simple filtering.

Table 135 – Historical Events Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Read	False
Historical Access	Historical Access Client Read Events	False

6.5.115 Historical Event Update Client Facet

Table 136 describes the details of the Historical *Event* Update *Client* Facet. This Facet defines the ability to update historical events.

Table 136 – Historical Event Update Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Event Updates	False

6.5.116 Historical Event Replace Client Facet

Table 136 describes the details of the Historical *Event* Replace *Client* Facet. This Facet defines the ability to replace historical events.

Table 137 – Historical Event Replace Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Event Replaces	False

6.5.117 Historical Event Delete Client Facet

Table 138 describes the details of the Historical *Event* Delete *Client* Facet. This Facet defines the ability to delete Historical events.

Table 138 – Historical Event Delete Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Event Deletes	False

6.5.118 Historical Event Insert Client Facet

Table 139 describes the details of the Historical *Event* Insert *Client* Facet. This Facet defines the ability to insert historical events.

Table 139 – Historical Event Insert Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Event Inserts	False

6.5.119 Aggregate Subscriber Client Facet

Table 140 describes the details of the Aggregate Subscriber *Client* Facet. This Facet defines the ability to use the aggregate filter when subscribing for *Attribute* values.

Table 140 – Aggregate Subscriber Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Aggregates	Aggregate Subscription – Client DeltaBounds	True
Aggregates	Aggregate Subscription – Client AnnotationCount	True
Aggregates	Aggregate Subscription – Client Average	True
Aggregates	Aggregate Subscription – Client Count	True
Aggregates	Aggregate Subscription – Client Custom Aggregates	True
Aggregates	Aggregate Subscription – Client Delta	True
Aggregates	Aggregate Subscription – Client DurationBad	True
Aggregates	Aggregate Subscription – Client DurationGood	True
Aggregates	Aggregate Subscription – Client DurationInStateNonZero	True
Aggregates	Aggregate Subscription – Client DurationInStateZero	True
Aggregates	Aggregate Subscription – Client End	True
Aggregates	Aggregate Subscription – Client EndBound	True
Aggregates	Aggregate Subscription – Client Filter	False
Aggregates	Aggregate Subscription – Client Interpolative	True
Aggregates	Aggregate Subscription – Client Maximum	True
Aggregates	Aggregate Subscription – Client Maximum2	True
Aggregates	Aggregate Subscription – Client MaximumActualTime	True
Aggregates	Aggregate Subscription – Client MaximumActualTime2	True
Aggregates	Aggregate Subscription – Client Minimum	True
Aggregates	Aggregate Subscription – Client Minimum2	True
Aggregates	Aggregate Subscription – Client MinimumActualTime	True
Aggregates	Aggregate Subscription – Client MinimumActualTime2	True
Aggregates	Aggregate Subscription – Client NumberOfTransitions	True
Aggregates	Aggregate Subscription – Client PercentBad	True
Aggregates	Aggregate Subscription – Client PercentGood	True
Aggregates	Aggregate Subscription – Client Range	True
Aggregates	Aggregate Subscription – Client Range2	True
Aggregates	Aggregate Subscription – Client StandardDeviationPopulation	True
Aggregates	Aggregate Subscription – Client StandardDeviationSample	True
Aggregates	Aggregate Subscription – Client Start	True
Aggregates	Aggregate Subscription – Client StartBound	True
Aggregates	Aggregate Subscription – Client TimeAverage	True
Aggregates	Aggregate Subscription – Client TimeAverage2	True
Aggregates	Aggregate Subscription – Client Total	True
Aggregates	Aggregate Subscription – Client Total2	True

Group	Conformance Unit / *Profile* Title	Optional
Aggregates	Aggregate Subscription – Client VariancePopulation	True
Aggregates	Aggregate Subscription – Client VarianceSample	True
Aggregates	Aggregate Subscription – Client WorstQuality	True
Aggregates	Aggregate Subscription – Client WorstQuality2	True
Monitored Item Services	Monitor Client Aggregate Filter	False
Monitored Item Services	Monitor Client by Index	False
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Value Change	False
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	True

6.5.120 Global Certificate Management Client Profile

Table 141 describes the details of the Global Certificate Management *Client Profile*. This *Profile* is a *FullFeatured Profile* that uses the Push Model for the management of *Certificates* and *Trust Lists*.

Table 141 – Global Certificate Management Client Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Core Client Facet	False
Profile	UA-TCP UA-SC UA Binary	False
Profile	SecurityPolicy – Basic256	False
Profile	SecurityPolicy – Basic256Sha256	False
Profile	Discovery Client Facet	False
Profile	Entry-Level Support Client Facet	False
Profile	Method Client Facet	False
Profile	File Access Client Facet	False
Security	Security Default ApplicationInstanceCertificate	False
GDS	Certificate Manager Push Model	False

6.5.121 Standard UA Client Profile

Table 142 describes the details of the Standard UA *Client Profile*. This *Profile* is a *FullFeatured Profile* that defines a minimum set of functionality required for generic OPC UA *Clients*. Such a *Client* shall be able to use local, subnet and global discovery. It shall be able to maintain a connection with a single *Session* (as required for nano embedded *Servers*). If *Subscriptions* are used, the *Client* shall respect the limits of *Servers* with limited resources. If a *Server* does not support *Subscriptions*, the *Client* shall provide read access as fallback. The *Client* must provide connection establishment through the OPC UA TCP binary protocol with and without security.

Table 142 – Standard UA Client Profile

Group	Conformance Unit / *Profile* Title	Optional
Profile	Core Client Facet	False
Profile	Base Client Behaviour Facet	False
Profile	Discovery Client Facet	False
Profile	Subnet Discovery Client Facet	False
Profile	Global Discovery Client Facet	False
Profile	Global Certificate Management Client Facet	False
Profile	AddressSpace Lookup Client Facet	False
Profile	Entry Level Support 2015 Client Facet	False
Profile	Attribute Read Client Facet	False
Profile	Attribute Write Client Facet	False
Profile	Method Client Facet	False
Profile	DataChange Subscriber Client Facet	False

Group	Conformance Unit / *Profile* Title	Optional
Profile	SecurityPolicy = Basic256	False
Profile	SecurityPolicy = Basic256Sha256	False
Profile	UA-TCP UA-SC UA Binary	False
Profile	User Token – Anonymous Facet	False

6.5.122 User Token – Anonymous Facet

Table 143 describes the details of the User Token – Anonymous Facet. This Facet indicates that anonymous User Tokens are supported.

Table 143 – User Token – Anonymous Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User Anonymous	False

6.5.123 User Token – User Name Password Server Facet

Table 144 describes the details of the User Token – User Name Password *Server* Facet. This Facet indicates that a user token that is comprised of a username and password is supported. This User Token can affect the behaviour of the Activate *Session Service*.

Table 144 – User Token – User Name Password Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User Name Password	False

6.5.124 User Token – X509 Certificate Server Facet

Table 145 describes the details of the User Token – X509 *Certificate Server* Facet. This Facet indicates that the use of an X509 certificates to identify users is supported.

Table 145 – User Token – X509 Certificate Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User X509	False

6.5.125 User Token – Issued Token Server Facet

Table 146 describes the details of the User Token – Issued Token *Server* Facet. This Facet indicates that a User Token that is comprised of an issued token is supported.

Table 146 – User Token – Issued Token Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User IssuedToken Kerberos	False

6.5.126 User Token – Issued Token Windows Server Facet

Table 147 describes the details of the User Token – Issued Token Windows *Server* Facet. This Facet further refines the User Token – Issued Token to indicate a windows implementation of Kerberos.

Table 147 – User Token – Issued Token Windows Server Facet

Group	Conformance Unit / *Profile* Title	Optional
Profile	User Token – Issued Token Facet	False
Security	Security User IssuedToken Kerberos Windows	False

6.5.127 User Token – User Name Password Client Facet

Table 148 describes the details of the User Token – User Name Password *Client* Facet. This Facet defines the ability to use a user token that is comprised of a username and password.

Table 148 – User Token – User Name Password Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User Name Password Client	False

6.5.128 User Token – X509 Certificate Client Facet

Table 149 describes the details of the User Token – X509 *Certificate Client* Facet. This Facet defines the ability to use an X509 certificates to identify users.

Table 149 – User Token – X509 Certificate Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User X509 Client	False

6.5.129 User Token – Issued Token Client Facet

Table 150 describes the details of the User Token – Issued Token *Client* Facet. This Facet defines the ability to use the User Token – Issued Token (Kerberos) to connect to a *Server*.

Table 150 – User Token – Issued Token Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User IssuedToken Kerberos *Client*	False

6.5.130 User Token – Issued Token Windows Client Facet

Table 151 describes the details of the User Token – Issued Token Windows *Client* Facet. This Facet defines the ability to use the User Token – Issued Token (Windows implementation of Kerberos) to connect to a *Server*.

Table 151 – User Token – Issued Token Windows Client Facet

Group	Conformance Unit / *Profile* Title	Optional
Security	Security User IssuedToken Kerberos Windows Client	False

6.5.131 UA-TCP UA-SC UA Binary

Table 152 describes the details of the UA-TCP UA-SC UA Binary. This transport Facet defines a combination of network protocol, security protocol and message encoding that is optimized for low resource consumption and high performance. It combines the simple TCP based network protocol UA TCP 1.0 with the binary security protocol UA SecureConversation 1.0 and the binary message encoding UA Binary 1.0.

Table 152 – UA-TCP UA-SC UA Binary

Group	Conformance Unit / *Profile* Title	Optional
Protocol and Encoding	Protocol TCP Binary UA Security	False

6.5.132 SOAP-HTTP WS-SC UA XML

Note: Deprecated in Version 1.03 because WS-SecureConversation has not been widely adopted by industry……

6.5.133 SOAP-HTTP WS-SC UA Binary

Note: Deprecated in Version 1.03 because WS-SecureConversation has not been widely adopted by industry……

6.5.134 SOAP-HTTP WS-SC UA XML-UA Binary

Note: Deprecated in Version 1.03 because WS-SecureConversation has not been widely adopted by industry……

6.5.135 HTTPS UA Binary

Table 153 describes the details of the HTTPS UA Binary. This transport Facet defines a combination of network protocol, security protocol and message encoding that balances compatibility with widely used HTTPS transport and a compact UA binary encoded message for added performance. It is expected that this transport will be used to support installations where firewalls only permit HTTPS or where a WEB browser is used as *Client*. This transport requires that one of the TransportSecurity Profiles for TLS be provided.

Table 153 – HTTPS UA Binary

Group	Conformance Unit / *Profile* Title	Optional
Protocol and Encoding	Protocol HTTPS with UA Binary	False
Security	Security TLS General	False

6.5.136 HTTPS UA XML

Table 154 describes the details of the HTTPS UA XML. This transport Facet defines a combination of network protocol, security protocol and message encoding that uses HTTPS transport and a SOAP XML encoded message for use with standard SOAP toolkits. This transport requires that one of the TransportSecurity Profiles for TLS be provided.

Table 154 – HTTPS UA XML

Group	Conformance Unit / *Profile* Title	Optional
Protocol and Encoding	Protocol HTTPS with Soap	False
Security	Security TLS General	False

6.5.137 Security User Access Control Full

Table 155 describes the details of the Security User Access Control Full. A *Server* that supports this profile supports restricting multiple levels of access to all *Nodes* in the *AddressSpace* based on the validated user.

Table 155 – Security User Access Control Full

Group	Conformance Unit / *Profile* Title	Optional
Profile	Security User Access Control Base	False
Address Space Model	Address Space User Access Level Full	False

6.5.138 Security User Access Control Base

Table 156 describes the details of the Security User Access Control Base. A *Server* that supports this profile supports restricting some level of access to some *Nodes* in the *AddressSpace* based on the validated user.

Table 156 – Security User Access Control Base

Group	Conformance Unit / *Profile* Title	Optional
Address Space Model	Address Space User Access Level Base	False
Security	Security User IssuedToken Kerberos	True
Security	Security User IssuedToken Kerberos Windows	True
Security	Security User Name Password	False
Security	Security User X509	True

6.5.139 Security Time Synchronization

Table 157 describes the details of the Security Time Synchronization. This Facet indicates that the application supports the minimum required level of time synchronization to ensure secure communication. One of the optional time synchronization conformance units must be supported.

Table 157 – Security Time Synchronization

Group	Conformance Unit / *Profile* Title	Optional
Security	Security Time Synch – Configuration	False
Security	Security Time Synch – NTP / OS Based support	True
Security	Security Time Synch – UA based support	True

6.5.140 Best Practice – Audit Events

Table 158 describes the details of the Best Practice – Audit Events. Subscriptions for Audit Events shall be restricted to authorized personnel.

Table 158 – Best Practice – Audit Events

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Best Practice – Audit Events	False

6.5.141 Best Practice – Alarm Handling

Table 159 describes the details of the Best Practice – *Alarm* Handling. A *Server* should restrict critical alarm handling functionality to users that have the appropriate rights to perform these actions

Table 159 – Best Practice – Alarm Handling

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Best Practice – Alarm Handling	False

6.5.142 Best Practice – Random Numbers

Table 160 describes the details of the Best Practice – Random Numbers. All random numbers that are required for security should use appropriate cryptographic library based random number generators.

Table 160 – Best Practice – Random Numbers

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Best Practice – Random Numbers	False

6.5.143 Best Practice – Timeouts

Table 161 describes the details of the Best Practice – Timeouts. The administrator should be able to configure reasonable timeouts for Secure Channels, *Sessions* and *Subscriptions*. Setting these timeouts allows limiting Denial of Service attacks and overload issues.

Table 161 – Best Practice – Timeouts

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Best Practice – Timeouts	False

6.5.144 Best Practice – Administrative Access

Table 162 describes the details of the Best Practice – Administrative Access. The *Server* and *Client* allow restricting the use of certain *Services* and access to parts of the *AddressSpace* to administrative personnel. This includes multiple level of administrative access on platforms that support multiple administrative roles (such as Windows or Linux).

Table 162 – Best Practice – Administrative Access

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Best Practice – Administrative Access	False

6.5.145 Best Practice – Strict Message Handling

Table 163 describes the details of the Best Practice – Strict *Message* Handling. *Server* and *Client* reject messages that are incorrectly formed as specified in Part 4 and Part 6.

Table 163 – Best Practice – Strict Message Handling

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Best Practice – Strict Message Handling	False

6.5.146 Best Practice – Audit Events Client

Table 164 describes the details of the Best Practice – Audit Events *Client*. Audit Tracking system connect to a *Server* using a secure channel and under the appropriate authorization to allow access to Audit events.

Table 164 – Best Practice – Audit Events Client

Group	Conformance Unit / *Profile* Title	Optional
Miscellaneous	Best Practice – Audit Events Client	False

6.5.147 SecurityPolicy – None

Table 165 describes the details of the SecurityPolicy – None. This security Facet defines a SecurityPolicy used for configurations with the lowest security needs. This SecurityPolicy can affect the behaviour of the CreateSession and Activate *Session* services. It also results in a SecureChannel which has no Channel Security. By default this SecurityPolicy should be disabled if any other SecurityPolicies are available.

Table 165 – SecurityPolicy – None

Group	Conformance Unit / *Profile* Title	Optional
Security	Security None	False
Security	Security None CreateSession ActivateSession	False
Security	Security None CreateSession ActivateSession 1.0	True

6.5.148 SecurityPolicy – Basic128Rsa15

Table 166 describes the details of the SecurityPolicy – Basic128Rsa15. This security Facet defines a Security Policy for configurations with medium security. It requires a PKI infrastructure.

As computing power increases, SecurityPolicies are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provided recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST recommends users of this SecurityPolicy should consider upgrading it for key lengths less than 2048 in 2010. NIST also recommends that this SecurityPolicy should be deprecated in 2012 for key lengths less than 2048. It is recommended that *Servers* and *Client* support all security profiles and developers provide the recommended profile as a default. It is up to an administrator to configure the actual exposed SecurityPolicies.

Table 166 – SecurityPolicy – Basic128Rsa15

Group	Conformance Unit / *Profile* Title	Optional
Security	Security Basic 128Rsa15	False
Security	Security Certificate Validation	False
Security	Security Encryption Required	False
Security	Security Signing Required	False

6.5.149 SecurityPolicy – Basic256

Table 167 describes the details of the SecurityPolicy – Basic256. This security Facet defines a Security Policy for configurations with medium to high security needs. It requires a PKI infrastructure.

As computing power increases, SecurityPolicies are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provided recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST recommends users of this SecurityPolicy should consider upgrading it for key sizes less than 2048 in 2010. NIST also recommends that this SecurityPolicy should be deprecated in 2012 for key sizes less than 2048. It is recommended that *Servers* and *Client* support all security profiles and developers provide the recommended profile as a default. It is up to an administrator to configure the actual exposed SecurityPolicies.

Table 167 – SecurityPolicy – Basic256

Group	Conformance Unit / *Profile* Title	Optional
Security	Security Basic 256	False
Security	Security Certificate Validation	False
Security	Security Encryption Required	False
Security	Security Signing Required	False

6.5.150 SecurityPolicy – Basic256Sha256

Table 168 describes the details of the SecurityPolicy – Basic256Sha256. This security Facet defines a Security Policy for configurations with high security needs. It requires a PKI infrastructure.

As computing power increases, SecurityPolicies are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provided recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. This security Policy has no published end dates as of this time. It is recommended that *Servers* and *Client* support all security profiles and developers provide the recommended profile as a default. It is up to an administrator to configure the actual exposed SecurityPolicies.

Table 168 – SecurityPolicy – Basic256Sha256

Group	Conformance Unit / *Profile* Title	Optional
Security	Security Basic 256 Sha256	False

6.5.151 TransportSecurity – TLS 1.0

Note: Deprecated in Version 1.03 because the RC4 algorithm is not considered secure anymore.

6.5.152 TransportSecurity – TLS 1.1

Note: Deprecated in Version 1.03 because the RC4 algorithm is not considered secure anymore.

6.5.153 TransportSecurity – TLS 1.2

Table 169 describes the details of the SecurityPolicy – TLS 1.2. This Facet defines a transport security for configurations with high security needs. It makes use of TLS 1.2 and uses TLS_RSA_WITH_AES_256_CBC_SHA256.

As computing power increases, security algorithms are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provide recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST has no recommendations for this TransportSecurity. It is recommended that *Servers* and *Client* support all security profiles

and developers provide the recommended profile as a default. It is up to an administrator to configure the actual exposed TransportSecurity Profiles.

Table 169 – TransportSecurity – TLS 1.2

Group	Conformance Unit / *Profile* Title	Optional
Security	Security TLS_RSA_WITH_AES_256_CBC_SHA256	False

6.5.154 TransportSecurity – TLS 1.2 with PFS

Table 170 describes the details of the SecurityPolicy – TLS 1.2 with PFS. This Facet defines a transport security for configurations with high security needs and perfect forward security (PFS). It makes use of TLS 1.2 and uses TLS_DHE_RSA_WITH_AES_128_CBC_SHA256 or TLS_DHE_RSA_WITH_AES_256_CBC_SHA256. As computing power increases, security algorithms are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provide recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST has no recommendations for this TransportSecurity. It is recommended that Servers and Clients support all security profiles and developers provide the recommended profile as a default. It is up to an administrator to configure the actual exposed TransportSecurity Profiles.

Table 170 – TransportSecurity – TLS 1.2 with PFS

Group	Conformance Unit / *Profile* Title	Optional
Security	Security TLS_DHE_RSA_WITH_AES_nnn_CBC_SHA256	False

Bibliography

Test Specifications

Compliance Part 8 UA Server: *OPC Test Lab Specification – Part 8 – UA Server*

Compliance Part 9 UA Client: *OPC Test Lab Specification – Part 9 – UA Client*

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