

# CATEGORICAL DEFORMATIONS OF HIGHER CATEGORIES

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## Motivation: the curvature problem

Let  $A$  be a dg-algebra over a field  $k$ . It is a well known problem in deformation theory [KL09] that (first order) deformations of  $A$  as a dg-algebra do not suffice to span its whole second Hochschild cohomology. It turns out [Low08; Leh24] that  $\mathrm{HH}^2(A)$  parametrizes first order deformations of  $A$  as a *curved* dg algebra. This is a significant issue, since cdg algebras do not have classical derived categories [Pos10]; in particular, there is no obvious deformation of  $D(A)$  corresponding to a curved deformation of  $A$  [KLN10].

The same problem was observed by Lurie in a different setting: he essentially showed in [Lur11] that the functor

$$\mathrm{Def}_A: \mathrm{dgart}_k \rightarrow \mathrm{Set}$$

which associates to a (dg) local artinian  $k$ -algebra  $R$  the set of  $R$ -deformations of  $A$  as a dg-algebra is not a (derived) deformation functor.

The main question that we aim to answer is the following:

Which deformation of  $D(A)$  corresponds to a curved deformation of  $A$ ?

Behind this one lies a more fundamental question: *which notion* of deformation of a triangulated category allows for the question above to have a positive answer? The usual one – which roughly corresponds to reducing the hom-sets of an opportune resolution – cannot work: to a curved deformation of  $A$  corresponds a curved deformation of the dg-category  $D(A)$ , which does not have an underlying triangulated category. This question is a crucial step towards obtaining a satisfactory deformation theory for noncommutative schemes.

## cdg algebras

A cdg algebra  $\mathcal{A}$  over a commutative ring  $R$  is a triple  $(\mathcal{A}^\#, d_{\mathcal{A}}, c)$  where:

- $\mathcal{A}^\#$  is a graded  $R$ -algebra;
- $d_{\mathcal{A}}: \mathcal{A}^\# \rightarrow \mathcal{A}^\#$  is a degree 1 derivation;
- $c \in \mathcal{A}^\#$  is a degree 2 element such that  $d_{\mathcal{A}}c = 0$  and  $d_{\mathcal{A}}^2 = [c, -]$ .

A cdg module  $M$  over a cdg algebra  $\mathcal{A}$  is a pair  $(M^\#, d_M)$  where  $M^\#$  is a graded  $\mathcal{A}^\#$ -module and

$$d_M: M^\# \rightarrow M^\#$$

is a degree 1 derivation such that  $d_M^2 m = cm$ .

Key point: if  $M$  and  $N$  are cdg  $\mathcal{A}$ -modules, then

$$\mathrm{Hom}_{\mathcal{A}}(M, N)$$

is a *complex*, so  $\mathcal{A}\text{-Mod}$  is a (pretriangulated) dg-category.

However, cdg modules have no cohomology, so there is no obvious notion of a derived category of  $\mathcal{A}$  [Pos10; KLN10].

## The $n$ -derived category

Let  $A_n$  be a cdg deformation of  $A$  over  $k[t]/(t^{n+1})$ . A cdg  $A_n$ -module  $M$  is  $n$ -acyclic if its associated graded with respect to the  $t$ -adic filtration is acyclic; this makes sense since each graded piece is a complex. The  $n$ -derived category  $D^n(A_n)$  is the Verdier quotient  $D^n(A_n) = H^0 A_n\text{-Mod}/n\text{-Ac}(A_n)$ .

**Theorem 1 ([LL24])**

- The category  $D^n(A_n)$  is generated by  $n+1$  explicit compact objects  $\Gamma_0, \dots, \Gamma_n$  and the projection  $H^0 A_n\text{-Mod} \rightarrow D^n(A_n)$  admits both adjoints;
- Calling  $A_i$  the induced deformation of order  $i \leq n$ , the restriction functor  $A_i\text{-Mod} \rightarrow A_n\text{-Mod}$  induces a system of fully faithful embeddings

$$D(A) = D^0(A_0) \xrightarrow{i_1} D^1(A_1) \hookrightarrow \dots \hookrightarrow D^{n-1}(A_{n-1}) \xrightarrow{i_n} D^n(A_n);$$

- The abelian category  $Z^0 A_n\text{-Mod}$  admits a model structure presenting  $D^n(A_n)$ ;

### The classical case

If  $A_n$  has no curvature, one can consider the classical derived category  $D(A_n)$ . One sees that there are strictly more  $n$ -acyclics than acyclics; it turns out that  $D^n(A_n)$  can be seen as a (partial) categorical resolution of  $D(A_n)$ . Indeed:

- There is an embedding  $D(A_n) \hookrightarrow D^n(A_n)$ ;
- The (dg) category  $D^n(A_n)$  is smooth if and only if  $D(A)$  is smooth.

## $D^n(A_n)$ as a categorical extension

The embedding  $D^{n-1}(A_{n-1}) \xrightarrow{i_n} D^n(A_n)$  admits both a left adjoint  $\mathrm{Ker} t^n$  and a right adjoint  $\mathrm{Coker} t^n$ . We also have the functor  $\mathrm{Im} t^n: D^n(A_n) \rightarrow D(A)$ . These functors do not need to be derived, since they preserve  $n$ -acyclics.

**Theorem 2 ([LL24])**

There is a recollement

$$\begin{array}{ccccc} & & \mathrm{Ker} t^n & & \\ & \swarrow & & \searrow & \\ D^{n-1}(A_{n-1}) & \xleftarrow{i_n} & D^n(A_n) & \xrightarrow{\mathrm{Im} t^n} & D(A) \\ & \searrow & & \swarrow & \\ & & \mathrm{Coker} t^n & & \end{array}$$

In particular, the functor

$$\mathrm{Im} t^n: D^n(A_n) \rightarrow D(A)$$

induces an equivalence between the quotient  $D^n(A_n)/D^{n-1}(A_{n-1})$  and  $D(A)$ .

Inductively, we see that  $D^n(A_n)$  is obtained by gluing  $n+1$  copies of  $D(A)$ .

## Categorical deformations

All triangulated categories and functors between them are appropriately enhanced. Let  $\mathcal{T}$  be a triangulated category. A first order categorical deformation of  $\mathcal{T}$  is the datum of a recollement

$$\begin{array}{ccccc} & K & & & \\ & \downarrow i & & & \\ \mathcal{T} & \xleftarrow{Q} & \mathcal{T}_\varepsilon & \xrightarrow{I} & \mathcal{T} \\ & \uparrow & & & \\ & Q & & & \end{array}$$

together with an appropriately defined Yoneda extension of functors

$$0 \rightarrow I \xrightarrow{\delta_1} K \xrightarrow{\alpha} Q \xrightarrow{\delta_2} I \rightarrow 0.$$

Intuitively: the natural transformation  $\alpha$  induces an isomorphism between the fibre of  $\delta_1$  and the cofibre of  $\delta_2$ . Here, the map  $\alpha$  is determined by the semiorthogonal decomposition, while  $\delta_1$  and  $\delta_2$  are extra data. These abstract the exact sequence

$$0 \rightarrow tM \hookrightarrow \mathrm{Ker} t_M \rightarrow \mathrm{Coker} t_M \xrightarrow{t} tM \rightarrow 0$$

defined for any  $k[\varepsilon]$ -module  $M$ . Denote with  $\mathrm{Def}_{k[\varepsilon]}^{\mathrm{cat}}(\mathcal{T})$  the set of first order deformation of  $\mathcal{T}$  up to equivalence.

## Main result: a commutative diagram of deformations

**Theorem 3 ([LL25])**

Let  $\mathcal{T}$  be a triangulated category. There is a bijection

$$\mathrm{Def}_{k[\varepsilon]}^{\mathrm{cat}}(\mathcal{T}) \xleftrightarrow{\kappa} \mathrm{HH}^2(\mathcal{T})$$

between first order deformations of  $\mathcal{T}$  as a triangulated category and its second Hochschild cohomology.

The point of the bijection is that the gluing functor is the cone of the Hochschild cocycle.

**Theorem 4 ([LL25])**

There is a commutative diagram of bijections

$$\begin{array}{ccc} \mathrm{cDef}_{k[\varepsilon]}^{\mathrm{Mor}}(A) & \longrightarrow & \mathrm{HH}^2(A) \\ D^1(-) \downarrow & & \downarrow \chi_A \\ \mathrm{Def}_{k[\varepsilon]}^{\mathrm{cat}}(D(A)) & \xrightarrow{\kappa} & \mathrm{HH}^2(D(A)) \end{array}$$

Where  $\mathrm{cDef}_{k[\varepsilon]}^{\mathrm{Mor}}(A)$  is the set of curved deformations of  $A$  up to equivalence of 1-derived categories; the upper arrow was introduced in [Leh24], while  $\chi_A$  is the characteristic morphism from e.g. [Low08].

## References

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