

## Chapter 11: Rings

### 11.1: Definition of a Ring

- **Ring:** A *ring*  $R$  is a set with two laws of composition  $+$  and  $\times$ , called addition and multiplication, that satisfy these axioms:
  - (a) With the law of composition  $+$ ,  $R$  is an abelian group that we denote by  $R^+$ ; its identity is denoted by 0.
  - (b) Multiplication is commutative and associative, and has an identity denoted by 1.
  - (c) *Distributive law:* For all  $a, b$  and  $c$  in  $R$ ,  $(a + b)c = ac + bc$ .
- **Subring:** Subset which is closed under addition, subtraction, multiplication and which contains 1.
- **Non-commutative Ring:** Satisfies all of the above axioms, except for the commutative law for multiplication.
- **Gauss integers:** The complex numbers of the form  $a + bi$  where  $a$  and  $b$  are integers form a subring of  $\mathbb{C}$  that we denote by  $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$ . Its elements are points of a square lattice in the complex plane.
  - $\mathbb{Z}[\alpha]$  **subring:** Contains every complex number  $\beta = a_n\alpha^n + \dots + a_1\alpha + a_0$  where  $a_i$  are in  $\mathbb{Z}$  and  $\alpha$  is a complex number.
    - \* Analogous to the ring of Gauss integers.
    - \* Subring generated by  $\alpha$
    - \* Usually not represented as a lattice in the complex plane
- A complex number  $\alpha$  is **algebraic** if it is a root of a (nonzero) polynomial with integer coefficients (i.e. if some expression of the form  $a_n\alpha^n + \dots + a_1\alpha + a_0$  evaluates to 0)
  - When  $\alpha$  is algebraic there will be many polynomial expressions that represent the same complex number.
- If there is no polynomial with integer coefficients having  $\alpha$  as a root,  $\alpha$  is **transcendental**
  - When  $\alpha$  is transcendental, two distinct polynomial expressions represent distinct complex numbers, and the elements of the ring  $\mathbb{Z}[\alpha]$  correspond bijectively to polynomials  $p(x)$  with integer coefficients.
- A polynomial in  $x$  with coefficients in a ring  $R$  is an expression of the form

$$a_n x^n + \dots + a_1 x + a_0$$

with  $a_i$  in  $R$ .

- **Zero Ring:** A ring containing only the element 0.
  - A ring  $R$  in which the elements 1 and 0 are equal is the zero ring.
- **Unit:** A *unit* of a ring is an element that has a multiplicative inverse (if it exists, it is unique)
  - Units in the ring of integers are 1 and -1
  - Units in the ring of Gauss integers are  $\pm 1$  and  $\pm i$
  - Units in the ring  $\mathbb{R}[x]$  of real polynomials are the nonzero constant polynomials
  - The identity element 1 of a ring is always a unit

## 11.2: Polynomial Rings

- **Formal Polynomial:** A polynomial with coefficients in a ring  $R$  is a (finite) linear combination of powers of the variable:  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$  where the coefficients  $a_i$  are elements of  $R$ .
  - The set of polynomials with coefficients in a ring  $R$  will be denoted  $R[x]$
  - Thus  $\mathbb{Z}[x]$  is the set of *integer polynomials*
- The *monomials*  $x^i$  are considered independent, so if  $\exists$  another polynomial with coefficients in  $R$ , then  $f(x) = g(x)$  only if  $a_i = b_i$  for all  $i = 0, 1, 2, \dots$
- **Degree:** The *degree* of a nonzero polynomial (denoted  $\deg f$ ) is the largest integer  $n$  such that the coefficient  $a_n$  of  $x_n$  is not zero
  - A polynomial of degree zero is called a *constant* polynomial
  - The zero polynomial is also a constant polynomial, but its degree will not be defined
- **Leading Coefficient:** The nonzero coefficient of highest degree of a polynomial
  - **Monic Polynomial:** Polynomial with a leading coefficient of 1
- A polynomial is determined by its vector of coefficients  $a_i$ :  $a = (a_0, a_1, \dots)$  where  $a_i$  are elements of  $R$ , all but a finite number zero.
- When  $R$  is a field, these infinite vectors form the vector space  $Z$  with the infinite basis  $e_i$ . The vector  $e_i$  corresponds to the monomial  $x_i$ , and the monomials form a basis of the space of all polynomials.

- **Addition of polynomials:**  $f(x) + g(x) = (a_0 + b_0) + (a_1 + b_1)x + \dots$  where  $(a_i + b_i)$  is addition in  $R$
- **Multiplication of polynomials:**  $f(x)g(x) = (a_0 + a_1x + \dots)(b_0 + b_1x + \dots)$  where  $a_ib_j$  are to be evaluated in the ring  $R$ .
- There is a unique commutative ring structure on the set of polynomials  $R[x]$  having these properties:
  - Additions of polynomials as defined above
  - Multiplication of polynomials as defined above
  - The ring  $R$  becomes a subring of  $R[x]$  when the elements of  $R$  are identified with the constant polynomials
- **Division with Remainder:** Let  $R$  be a ring,  $f$  is a monic polynomial, and  $g$  is any polynomial, both with coefficients in  $R$ . There are uniquely determined polynomials  $q$  and  $r$  in  $R[x]$  s.t.  $g(x) = f(x)q(x) + r(x)$  where  $r$  has degree  $\geq 0$  and  $\leq \deg f$ 
  - Division with remainder can be done whenever the leading coefficient of  $f$  is a unit
  - If  $g(x)$  is a polynomial in  $R[x]$  and  $\alpha$  is an element of  $R$ , the remainder of division of  $g(x)$  by  $x - \alpha$  is  $g(\alpha)$ . Thus  $x - \alpha$  divides  $g$  in  $R[x]$  iff  $g(\alpha) = 0$
- **Monomial:** a formal product of some variables  $x_1, \dots, x_n$  of the form

$$x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$$

where  $i_v$  are non-negative integers.

- **Degree:** the sum  $i_1 + \dots + i_n$ , sometimes called *total degree*
- **Multi-index:** an  $n$ -tuple that can be represented with vector notation e.g.  $i = (i_1, \dots, i_n)$ .
- A monomial can be written as  $x^i = x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$  using multi-index form
- The monomial  $x^0$  is denoted by 1
- With multi-index notation, a polynomial  $f(x) = f(x_1, \dots, x_n)$  can be written in exactly one way in the form

$$f(x) = \sum_i a_i x^i$$

where  $i$  runs through all multi-indices  $(i_1, \dots, i_n)$ , the coefficients  $a_i$  are in  $R$  and only finitely many of these coefficients are not 0.

- **Homogeneous Polynomial:** A polynomial in which all monomials with nonzero coefficients have degree  $d$

### 11.3: Homomorphisms and Ideals

- **Ring Homomorphism:** A *ring homomorphism*  $\phi : R \rightarrow R'$  is a map from one ring to another which is compatible with the laws of composition and which carries the unit element 1 of  $R$  to the unit element 1 of  $R'$  - a map such that for all  $a$  and  $b$  in  $R$ ,

$$\phi(a + b) = \phi(a) + \phi(b), \quad \phi(ab) = \phi(a)\phi(b), \quad \text{and} \quad \phi(1) = 1$$

- The map  $\phi : \mathbb{Z} \rightarrow \mathbb{F}_p$  that send an integer to its congruence class modulo  $p$  is a ring homomorphism.

- **Isomorphism:** An *isomorphism* of rings is a bijective homomorphism, denoted  $R \approx R'$
- Evaluation of real polynomials at a real number  $a$  defines a homomorphism

$$\mathbb{R}[x] \rightarrow \mathbb{R}, \quad \text{that sends} \quad p(x) \rightsquigarrow p(a)$$

- **Substitution Principle:** Let  $\phi : R \rightarrow R'$  be a ring homomorphism, and let  $R[x]$  be the ring of polynomials with coefficients in  $R$ .
  - (a) Let  $\alpha$  be an element of  $R'$ . There is a unique homomorphism  $\Phi : R[x] \rightarrow R'$  that agrees with the map  $\phi$  on constant polynomials, and that send  $x \rightsquigarrow \alpha$
  - (b) Given elements  $\alpha_1, \dots, \alpha_n$  of  $R'$ , there is a unique homomorphism  $\Phi : R[x_1, \dots, x_n] \rightarrow R'$ , from the polynomial ring in  $n$  variables to  $R'$ , that agrees with  $\phi$  on constant polynomials and that send  $x_v \rightsquigarrow \alpha_v$ , for  $v = 1, \dots, n$ .
- Let  $R$  be any ring, and let  $P$  be the polynomial ring  $R[x]$ . One can use the substitution principle to construct an isomorphism

$$R[x, y] \rightarrow P[y] = (R[x])[y]$$

This statement is a formalization of the procedure of collecting terms of like degree in  $y$  in a polynomial  $f(x, y)$ . For example:

$$x^4y + x^3 - 3x^2y + y^2 + 2 = y^2 + (x^4 - 3x^2)y + (x^3 + 2)$$

- Let  $x = (x_1, \dots, x_m)$  and  $y = (y_1, \dots, y_n)$  denote sets of variables. There is a unique isomorphism  $R[x, y] \rightarrow R[x][y]$ , which is the identity on  $R$  and sends the variables to themselves.
- Let  $f(x, y)$  and  $g(x, y)$  be polynomials in two variables, elements of  $R[x, y]$ . Suppose that  $f$  is a monic polynomial of degree  $m$  (grouped by  $y$ ). There are uniquely determined polynomials  $q(x, y)$  and  $r(x, y)$  such that  $g = fq + r$  and  $0 \leq r(x, y) < m$

- There is exactly one homomorphism  $\phi : \mathbb{Z} \rightarrow R$ , defined for  $n \geq 0$  where  $\phi(n) = 1 + \dots + 1$  (for  $n$  terms) and  $\phi(-n) = -\phi(n)$
- **Kernel:** The *kernel* of  $\phi$  is the set of elements  $R$  that map to zero:

$$\ker\phi = \{s \in R \mid \phi(s) = 0\}$$

– If  $s$  is in  $\ker\phi$ , then for every element  $r$  of  $R$ ,  $rs$  is in  $\ker\phi$

- **Ideal:** An *ideal*  $I$  of a ring  $R$  is a nonempty subset of  $R$  with these properties:
  - (a)  $I$  is closed under addition, and
  - (b) If  $s$  is in  $I$  and  $r$  is in  $R$ , then  $rs$  is in  $I$
- **Principal Ideal:** The ideal formed by multiples of a particular element  $a$ , also defined as:

$$(a) = aR = Ra = \{ra \mid r \in R\}$$

- **Unit Ideal:** The ring  $R$  is the principal ideal  $(1)$ , and is called the *unit ideal*
- **Zero Ideal:** The principal ideal  $(0)$
- **Proper Ideal:** An ideal that is neither the unit or zero ideal
- The kernel of a ring homomorphism is an ideal
- An ideal is not a subring unless the ideal  $I$  is equal to the whole ring  $R$
- The ideal *generated by a set of elements*  $\{a_1, \dots, a_n\}$  of a ring  $R$  is the smallest ideal that contains those elements. This ideal is often denoted as  $(a_1, \dots, a_n)$ :

$$(a_1, \dots, a_n) = \{r_1a_1 + \dots + r_na_n \mid r_i \in R\}$$

- The only ideals of a field are the zero ideal and the unit ideal
- A ring that has exactly two ideals is a field
- Every homomorphism  $\phi : F \rightarrow R$  from a field  $F$  to a nonzero ring  $R$  is injective
- The ideals in the ring of integers are the subgroups of  $\mathbb{Z}^+$ , and they are principal ideals
- Every ideal in the ring  $F[x]$  of polynomials in one variable  $x$  over a field  $F$  is a principal ideal. A nonzero ideal  $I$  in  $F[x]$  is generated by the unique monic polynomial of lower degree that it contains.
- Let  $f$  be a monic integer polynomial, and let  $g$  be another integer polynomial. If  $f \mid g$  in  $\mathbb{Q}[x]$ ,  $f \mid g$  in  $\mathbb{Z}[x]$

- **Greatest Common Divisor:** Let  $R$  denote the polynomial ring  $F[x]$  in one variable over a field  $F$ , and let  $f$  and  $g$  be elements of  $R$ , not both zero. Their *greatest common divisor*  $d(x)$  is the unique monic polynomial that generates the ideal  $(f, g)$ . It has these properties:
  - (a)  $Rd = Rf + Rg$
  - (b)  $d$  divides  $f$  and  $g$
  - (c) If a polynomial  $e = e(x)$  divides both  $f$  and  $g$ , it also divides  $d$
  - (d) There are polynomials  $p$  and  $q$  such that  $d = pf + qg$
- **Characteristic:** The non-negative integer  $n$  that generates the kernel of the homomorphism  $\phi : \mathbb{Z} \rightarrow R$ 
  1. If  $n = 0$ , this means that no positive multiple of 1 in  $R$  is equal to zero. Otherwise  $n$  is the smallest positive integer s.t. " $n$  times 1" is zero in  $R$

## 11.4: Quotient Rings