

Chapter 11: Rings

11.1: Definition of a Ring

- **Ring:** A *ring* R is a set with two laws of composition $+$ and \times , called addition and multiplication, that satisfy these axioms:
 - (a) With the law of composition $+$, R is an abelian group that we denote by R^+ ; its identity is denoted by 0.
 - (b) Multiplication is commutative and associative, and has an identity denoted by 1.
 - (c) *Distributive law:* For all a, b and c in R , $(a + b)c = ac + bc$.
- **Subring:** Subset which is closed under addition, subtraction, multiplication and which contains 1.
- **Non-commutative Ring:** Satisfies all of the above axioms, except for the commutative law for multiplication.
- **Gauss integers:** The complex numbers of the form $a + bi$ where a and b are integers form a subring of \mathbb{C} that we denote by $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$. Its elements are points of a square lattice in the complex plane.
 - $\mathbb{Z}[\alpha]$ **subring:** Contains every complex number $\beta = a_n\alpha^n + \dots + a_1\alpha + a_0$ where a_i are in \mathbb{Z} and α is a complex number.
 - * Analogous to the ring of Gauss integers.
 - * Subring generated by α
 - * Usually not represented as a lattice in the complex plane
- A complex number α is **algebraic** if it is a root of a (nonzero) polynomial with integer coefficients (i.e. if some expression of the form $a_n\alpha^n + \dots + a_1\alpha + a_0$ evaluates to 0)
 - When α is algebraic there will be many polynomial expressions that represent the same complex number.
- If there is no polynomial with integer coefficients having α as a root, α is **transcendental**
 - When α is transcendental, two distinct polynomial expressions represent distinct complex numbers, and the elements of the ring $\mathbb{Z}[\alpha]$ correspond bijectively to polynomials $p(x)$ with integer coefficients.
- A polynomial in x with coefficients in a ring R is an expression of the form

$$a_n x^n + \dots + a_1 x + a_0$$

with a_i in R .

- **Zero Ring:** A ring containing only the element 0.
 - A ring R in which the elements 1 and 0 are equal is the zero ring.
- **Unit:** A *unit* of a ring is an element that has a multiplicative inverse (if it exists, it is unique)
 - Units in the ring of integers are 1 and -1
 - Units in the ring of Gauss integers are ± 1 and $\pm i$
 - Units in the ring $\mathbb{R}[x]$ of real polynomials are the nonzero constant polynomials
 - The identity element 1 of a ring is always a unit

11.2: Polynomial Rings

- **Formal Polynomial:** A polynomial with coefficients in a ring R is a (finite) linear combination of powers of the variable: $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$ where the coefficients a_i are elements of R .
 - The set of polynomials with coefficients in a ring R will be denoted $R[x]$
 - Thus $\mathbb{Z}[x]$ is the set of *integer polynomials*
- The *monomials* x^i are considered independent, so if \exists another polynomial with coefficients in R , then $f(x) = g(x)$ only if $a_i = b_i$ for all $i = 0, 1, 2, \dots$
- **Degree:** The *degree* of a nonzero polynomial (denoted $\deg f$) is the largest integer n such that the coefficient a_n of x_n is not zero
 - A polynomial of degree zero is called a *constant* polynomial
 - The zero polynomial is also a constant polynomial, but its degree will not be defined
- **Leading Coefficient:** The nonzero coefficient of highest degree of a polynomial
 - **Monic Polynomial:** Polynomial with a leading coefficient of 1
- A polynomial is determined by its vector of coefficients a_i : $a = (a_0, a_1, \dots)$ where a_i are elements of R , all but a finite number zero.
- When R is a field, these infinite vectors form the vector space Z with the infinite basis e_i . The vector e_i corresponds to the monomial x_i , and the monomials form a basis of the space of all polynomials.

- **Addition of polynomials:** $f(x) + g(x) = (a_0 + b_0) + (a_1 + b_1)x + \dots$ where $(a_i + b_i)$ is addition in R
- **Multiplication of polynomials:** $f(x)g(x) = (a_0 + a_1x + \dots)(b_0 + b_1x + \dots)$ where a_ib_j are to be evaluated in the ring R .
- There is a unique commutative ring structure on the set of polynomials $R[x]$ having these properties:
 - Additions of polynomials as defined above
 - Multiplication of polynomials as defined above
 - The ring R becomes a subring of $R[x]$ when the elements of R are identified with the constant polynomials
- **Division with Remainder:** Let R be a ring, f is a monic polynomial, and g is any polynomial, both with coefficients in R . There are uniquely determined polynomials q and r in $R[x]$ s.t. $g(x) = f(x)q(x) + r(x)$ where r has degree ≥ 0 and $\leq \deg f$
 - Division with remainder can be done whenever the leading coefficient of f is a unit
 - If $g(x)$ is a polynomial in $R[x]$ and α is an element of R , the remainder of division of $g(x)$ by $x - \alpha$ is $g(\alpha)$. Thus $x - \alpha$ divides g in $R[x]$ iff $g(\alpha) = 0$
- **Monomial:** a formal product of some variables x_1, \dots, x_n of the form

$$x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$$

where i_v are non-negative integers.

- **Degree:** the sum $i_1 + \dots + i_n$, sometimes called *total degree*
- **Multi-index:** an n -tuple that can be represented with vector notation e.g. $i = (i_1, \dots, i_n)$.
- A monomial can be written as $x^i = x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$ using multi-index form
- The monomial x^0 is denoted by 1
- With multi-index notation, a polynomial $f(x) = f(x_1, \dots, x_n)$ can be written in exactly one way in the form

$$f(x) = \sum_i a_i x^i$$

where i runs through all multi-indices (i_1, \dots, i_n) , the coefficients a_i are in R and only finitely many of these coefficients are not 0.

- **Homogeneous Polynomial:** A polynomial in which all monomials with nonzero coefficients have degree d

11.3: Homomorphisms and Ideals

- **Ring Homomorphism:** A *ring homomorphism* $\phi : R \rightarrow R'$ is a map from one ring to another which is compatible with the laws of composition and which carries the unit element 1 of R to the unit element 1 of R' - a map such that for all a and b in R ,

$$\phi(a + b) = \phi(a) + \phi(b), \quad \phi(ab) = \phi(a)\phi(b), \quad \text{and} \quad \phi(1) = 1$$

- The map $\phi : \mathbb{Z} \rightarrow \mathbb{F}_p$ that send an integer to its congruence class modulo p is a ring homomorphism.

- **Isomorphism:** An *isomorphism* of rings is a bijective homomorphism, denoted $R \approx R'$
- Evaluation of real polynomials at a real number a defines a homomorphism

$$\mathbb{R}[x] \rightarrow \mathbb{R}, \quad \text{that sends} \quad p(x) \rightsquigarrow p(a)$$

- **Substitution Principle:** Let $\phi : R \rightarrow R'$ be a ring homomorphism, and let $R[x]$ be the ring of polynomials with coefficients in R .
 - (a) Let α be an element of R' . There is a unique homomorphism $\Phi : R[x] \rightarrow R'$ that agrees with the map ϕ on constant polynomials, and that send $x \rightsquigarrow \alpha$
 - (b) Given elements $\alpha_1, \dots, \alpha_n$ of R' , there is a unique homomorphism $\Phi : R[x_1, \dots, x_n] \rightarrow R'$, from the polynomial ring in n variables to R' , that agrees with ϕ on constant polynomials and that send $x_v \rightsquigarrow \alpha_v$, for $v = 1, \dots, n$.
- Let R be any ring, and let P be the polynomial ring $R[x]$. One can use the substitution principle to construct an isomorphism

$$R[x, y] \rightarrow P[y] = (R[x])[y]$$

This statement is a formalization of the procedure of collecting terms of like degree in y in a polynomial $f(x, y)$. For example:

$$x^4y + x^3 - 3x^2y + y^2 + 2 = y^2 + (x^4 - 3x^2)y + (x^3 + 2)$$

- Let $x = (x_1, \dots, x_m)$ and $y = (y_1, \dots, y_n)$ denote sets of variables. There is a unique isomorphism $R[x, y] \rightarrow R[x][y]$, which is the identity on R and sends the variables to themselves.
- Let $f(x, y)$ and $g(x, y)$ be polynomials in two variables, elements of $R[x, y]$. Suppose that f is a monic polynomial of degree m (grouped by y). There are uniquely determined polynomials $q(x, y)$ and $r(x, y)$ such that $g = fq + r$ and $0 \leq r(x, y) < m$

- There is exactly one homomorphism $\phi : \mathbb{Z} \rightarrow R$, defined for $n \geq 0$ where $\phi(n) = 1 + \dots + 1$ (for n terms) and $\phi(-n) = -\phi(n)$
- **Kernel:** The *kernel* of ϕ is the set of elements R that map to zero:

$$\ker\phi = \{s \in R \mid \phi(s) = 0\}$$

– If s is in $\ker\phi$, then for every element r of R , rs is in $\ker\phi$

- **Ideal:** An *ideal* I of a ring R is a nonempty subset of R with these properties:
 - (a) I is closed under addition, and
 - (b) If s is in I and r is in R , then rs is in I
- **Principal Ideal:** The ideal formed by multiples of a particular element a , also defined as:

$$(a) = aR = Ra = \{ra \mid r \in R\}$$

- **Unit Ideal:** The ring R is the principal ideal (1) , and is called the *unit ideal*
- **Zero Ideal:** The principal ideal (0)
- **Proper Ideal:** An ideal that is neither the unit or zero ideal
- The kernel of a ring homomorphism is an ideal
- An ideal is not a subring unless the ideal I is equal to the whole ring R
- The ideal *generated by a set of elements* $\{a_1, \dots, a_n\}$ of a ring R is the smallest ideal that contains those elements. This ideal is often denoted as (a_1, \dots, a_n) :

$$(a_1, \dots, a_n) = \{r_1a_1 + \dots + r_na_n \mid r_i \in R\}$$

- The only ideals of a field are the zero ideal and the unit ideal
- A ring that has exactly two ideals is a field
- Every homomorphism $\phi : F \rightarrow R$ from a field F to a nonzero ring R is injective
- The ideals in the ring of integers are the subgroups of \mathbb{Z}^+ , and they are principal ideals
- Every ideal in the ring $F[x]$ of polynomials in one variable x over a field F is a principal ideal. A nonzero ideal I in $F[x]$ is generated by the unique monic polynomial of lower degree that it contains.
- Let f be a monic integer polynomial, and let g be another integer polynomial. If $f \mid g$ in $\mathbb{Q}[x]$, $f \mid g$ in $\mathbb{Z}[x]$

- **Greatest Common Divisor:** Let R denote the polynomial ring $F[x]$ in one variable over a field F , and let f and g be elements of R , not both zero. Their *greatest common divisor* $d(x)$ is the unique monic polynomial that generates the ideal (f, g) . It has these properties:

- (a) $Rd = Rf + Rg$
- (b) d divides f and g
- (c) If a polynomial $e = e(x)$ divides both f and g , it also divides d
- (d) There are polynomials p and q such that $d = pf + qg$

- **Characteristic:** The non-negative integer n that generates the kernel of the homomorphism $\phi : \mathbb{Z} \rightarrow R$

1. If $n = 0$, this means that no positive multiple of 1 in R is equal to zero. Otherwise n is the smallest positive integer s.t. " n times 1" is zero in R

11.4: Quotient Rings

Chapter 11 Exercises

Problem 11.1.1: Prove that $7 + \sqrt[3]{2}$ and $\sqrt{3} + \sqrt{-5}$ are algebraic numbers

Proof. We need to show that they are roots of a nonzero polynomial with integer coefficients. We can show that $(7 + \sqrt[3]{2})^3 - 21(7 + \sqrt[3]{2})^2 + 147(7 + \sqrt[3]{2}) - 345 = 0$. This means it can be represented as the root of a polynomial, namely, $x^3 - 21x^2 + 147x - 345$. For $\sqrt{3} + \sqrt{-5}$, let $x = \sqrt{3} + \sqrt{-5}$.

$$\begin{aligned}
 x^2 &= (\sqrt{3} + \sqrt{-5})(\sqrt{3} + \sqrt{-5}) \\
 x^2 &= 3 + 2\sqrt{-15} - 5 \\
 x^2 &= 2\sqrt{-15} - 2 \\
 x^2 + 2 &= 2\sqrt{-15} \\
 (x^2 + 2)^2 &= -60 \\
 (x^2 + 2)^2 + 60 &= 0
 \end{aligned}$$

This means that $\sqrt{3} + \sqrt{-5}$ can be represented as the root of a polynomial. □

Problem 11.1.3: Let $\mathbb{Q}[\alpha, \beta]$ denote the smallest subring of \mathbb{C} containing the rational numbers \mathbb{Q} and the elements $\alpha = \sqrt{2}$ and $\beta = \sqrt{2}$. Let $\gamma = \alpha + \beta$. Is $\mathbb{Q}[\alpha, \beta] = \mathbb{Q}[\gamma]$? Is $\mathbb{Z}[\alpha, \beta] = \mathbb{Z}[\gamma]$?

Proof. $\mathbb{Q}[\alpha, \beta] = \mathbb{Q}[\gamma]$. To show this, we need to show that $\mathbb{Q}[\alpha, \beta] \subseteq \mathbb{Q}[\gamma]$ and $\mathbb{Q}[\gamma] \subseteq \mathbb{Q}[\alpha, \beta]$. By definition of a subring, we know that $(\alpha + \beta) \in \mathbb{Q}[\alpha, \beta]$, so we know that

$\mathbb{Q}[\gamma] \subseteq \mathbb{Q}[\alpha, \beta]$. Now we need to show that α and β are in $\mathbb{Q}[\gamma]$. Since $\gamma = \alpha + \beta$, we know that $\gamma^3 = 11\alpha + 9\beta$ is also in $\mathbb{Q}[\gamma]$.

$$\begin{aligned}\gamma^3 - 9\gamma &= 2\alpha \\ \frac{1}{2}[\gamma^3 - 9\gamma] &= \alpha\end{aligned}$$

Since $\frac{1}{2}$ is in \mathbb{Q} , we know that α is in $\mathbb{Q}[\gamma]$. A similar argument can be made to show that β is in $\mathbb{Q}[\gamma]$. Since we have shown that α and β are in $\mathbb{Q}[\gamma]$, we know that $\mathbb{Q}[\gamma] \subseteq \mathbb{Q}[\alpha, \beta]$, $\therefore \mathbb{Q}[\alpha, \beta] = \mathbb{Q}[\gamma]$.

$\mathbb{Z}[\alpha, \beta] \neq \mathbb{Z}[\gamma]$, but I don't know how to prove it. My intuition is that the difference between the two coefficients in a $x\alpha + y\beta$ term will never be 1, and we aren't able to use fractions, so we'll never be able to get α or β on its own. \square

Problem 11.1.6: Decide whether or not S is a subring of R , when

- (a) S is the set of all rational numbers a/b , where b is not divisible by 2, and $R = \mathbb{Q}$

Proof. S is closed under multiplication because if we multiply $\frac{a}{b} \frac{c}{d}$, we get $\frac{ac}{bd}$, and we know there is no 3 to factor out of the denominator by definition. S is closed under addition because $\frac{a}{b} + \frac{c}{d} = \frac{ad+cb}{bd}$, where again, a 3 cannot be factored out of the denominator. A similar argument can be made for subtraction (since the denominator is the same). S obviously contains 1 ($\frac{1}{1}$), so S is a subring of \mathbb{Q} . \square

- (b) S is the set of functions which are linear combinations with integer coefficients of the functions $1, \cos nt, \sin nt$, $n \in \mathbb{Z}$ and R is the set of all real valued functions of t .

Proof. S is not a subring of R because it is not closed under multiplication. $\sin(x)\cos(x) = \frac{1}{2}\sin(2x)$. Since you can't write this as a linear combination of the other functions, you know that it is not in R and S is not closed under multiplication. \square

Problem 11.1.7

- (a) *Proof.* \square
 (b) *Proof.* \square

Problem 11.1.8

Proof. \square

Problem 11.2.2

Proof. \square

Problem 11.3.1*Proof.***Problem 11.3.2***Proof.***Problem 11.3.3***Proof.***Problem 11.3.5***Proof.***Problem 11.3.6***Proof.***Problem 11.3.7***Proof.***Problem 11.3.8***Proof.***Problem 11.3.9***Proof.***Problem 11.4.1***Proof.***Problem 11.4.2***Proof.***Problem 11.5.1***Proof.***Problem 11.5.2***Proof.***Problem 11.5.3***Proof.***Problem 11.5.6**

Proof. ☐

Problem 11.5.7

Proof. ☐

Problem 11.6.2

Proof. ☐

Problem 11.6.2

Proof. ☐

Problem 11.6.8

Proof. ☐

Problem 11.7.1

Proof. ☐

Problem 11.7.2

Proof. ☐

Problem 11.7.5

Proof. ☐

Problem 11.8.1

Proof. ☐

Problem 11.8.2

Proof. ☐

Problem 11.8.4

Proof. ☐

Problem 11.9.1

Proof. ☐

Problem 11.9.2

Proof. ☐

Problem 11.9.3

Proof. ☐

Problem 11.9.4*Proof.*☐**Problem 11.9.5***Proof.*☐**Problem 11.9.6***Proof.*☐**Problem 11.9.9***Proof.*☐**Problem 11.9.10***Proof.*☐**Problem 11.9.11***Proof.*☐**Problem 11.9.12***Proof.*☐**Problem 11.9.12***Proof.*☐**Problem 11.M.1***Proof.*☐**Problem 11.M.2***Proof.*☐**Problem 11.M.3***Proof.*☐**Problem 11.M.5***Proof.*☐**Problem 11.M.6***Proof.*☐