Citation File Format (CFF)

0.9 - RC1

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Abstract

The Citation File Format (CFF) is a human- and machine-readable format for CITATION files. These files provide citation metadata for (research and scientific) software. The format aims to support all use cases for software citation described in 1. CFF is serialized in YAML 1.2, and is therefore Unicode-based and cross-language (in terms of both natural language scripts and programming languages). This specification, together with the Unicode standard for characters, aims to provide all the information necessary to understand CFF, and to use (i.e., write) and re-use (i.e., read, validate, convert from) it. These specifications are maintained openly at https://github.com/sdruskat/citation-file-format.

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Introduction

Status of this document

This document reflects the version 0.9-RC1 of the Citation File Format (CFF). CFF has been developed in the context of the Workshop on Sustainable Software for Science: Practice and Experiences (WSSSPE5.1), which was held on 6 September 2017 in Manchester, UK. More specifically, the constraints for CFF has been developed in the discusion and speed blogging group "Development and implementation of a standard format for CITATION files", whose members were Stephan Druskat (Humboldt-Universität zu Berlin, Germany), Neil Chue Hong (Software Sustainability Institute, University of Edinburgh, UK), Raniere Silva (Software Sustainability Institute, University of Manchester, UK), Radovan Bast (University of Tromsø, Norway), Andrew Rowley (University of Manchester, UK), and Alexander Konovalov (University of St. Andrews, UK).

CFF Version 0.9-RC1 has been developed by Stephan Druskat with contributions from the following.

- Radovan Bast (@bast): Reporter
- Raniere Silva (@rgaiacs): Reporter

CFF has been developed to provide the first iteration of a format for CITATION files which could be recommended to readers of the blog post which has been produced by the group during the workshop and shortly after, and which will be published on the blog page of the Software Sustainability Institute.

Rationale

The rationale for a standardized, machine- and human-readable format for CITATION files is discussed in more detail in 2. CFF has been developed to support all use cases for the citation of software, as discussed in 1, and thus promote attribution and credit for software in general, and research software in particular.

In a blog post 3, Robin Wilson has introduced CITATION files as a means to make citation information for software easily accessible. This accessibility is important, because in order to receive deserved credit for research software in the academic system - where credit is still mainly measured based on citations -, the citation information for software must be made visible; Authors will only cite software if the citation information is readily available, as there is no standard, easily deducible way (yet) to cite software, such as there is for journals for example.

Some have followed the advice, and have uploaded CITATION (or CITATION.md, or CITATION.txt) files to the root of the source code repository holding their software. While this practice has made for a good start, plain text, unstandardized CITATION files are not machine-readable, and machine- readability is a precondition for re-use of the citation information in different contexts which could further support a fair distribution of credit for research software.

Goals

The goal of CFF is to provide an all-purpose citation format (similar to BibTeX or RIS), and specifically provide optimized means of citation for software via the provision of software-specific reference keys and types, e.g., a dedicated type for source code and one for executables, and a reference key for versions, cf. Reference types.

The ultimate goal of CFF as a project is comprehensive uptake and re-use of the format by Research Software Engineers and software developers as well as by vendors and services, such as software repositories, reference managers, etc., in order to boost the visibility of citation information for research software, and empower the fair distribution of credit for software development, maintenance, etc., in academia.

Concepts

For users of other reference formats, such as BibTeX or RIS, it is important to note that in CFF, all available keys can be used for all reference types. CFF leaves reasonability of use with format users and providers of tooling, such as conversion software for CFF and other formats. In other words, the use of keys should follow common sense. If not, it will confuse the user of the CITATION file, and some of the information will probably be lost in re-use scenarios such as conversion or display. If you feel that CFF does not offer a solution for your specific use case, please consider contributing to the format as described in section Contributions.

Furthermore please note that if a section of a work is referenced, this is not supported by a dedicated reference type. Instead, the section key in the parent type (i.e., book for a section of a book, etc.) should be used.

Format

CFF CITATION files must be named CITATION.cff.

CFF is implemented in YAML 1.2, as the language provides optimal human-readability and the required core data types. For details, see the YAML 1.2 Specifications 4.

File structure

CFF CITATION files are YAML 1.2 dictionaries ("maps") with three mandatory keys: cff-version, message, references.

cff-version must specify the exact version of the Citation File Format that is used for the file.

message must specify instructions to users on how to cite the software the CITATION.cff file is associated with.

references must specify a list of references.

Example:

```
cff-version: 1.0.0
message: "Please cite the following works when using this software."
references:
    - ...
    - ...
```

Reference structure

A reference item, i.e., an item in the list under references, must at least specify values for the following mandatory keys: type, authors, title.

type must specify the type of the referenced work. For a list of available values, cf. reference types.

authors must specify a list of person objects.

title must specify the title of the referenced work.

Additionally, it can contain any further reference keys. In version 0.9-RC1, CFF does not specify a strict schema where specific reference types can only contain specific reference keys, although this may be implemented in future versions.

Notable reference keys

conference, database-provider, institution, publisher

These keys take an entity object as value. Entity objects reference named entities and provide a fixed set of keys, such as name and contact information.

Example:

```
references:
    - type: book
    publisher:
        - name: PeerJ
        city: London
        country: GB
        website: https://peerj.com/
```

authors, contact, editors, editors-series, recipients, senders, translators

These keys take a collection of person objects as value. Person objects provide a fixed set of keys to reference individuals, including a detailed set for specifying personal names, an affiliation, a role, etc.

Example:

```
references:
```

```
- type: software
 authors:
   - family-names: Druskat
     given-names: Stephan
     orcid: 0000-0003-4925-7248
     affiliation: "Humboldt-Universität zu Berlin"
     email: "mail@sdruskat.net"
     website: http://sdruskat.net
     role: main-author
   - family-names: Beethoven
     name-particle: van
     given-names: Ludwig
     role: artist
   - family-names: Fernández de Córdoba
     given-names: Gonzalo
     name-suffix: Jr.
     role: tester
```

$type,\ languages,\ programming-languages,\ status$

These keys only take values from a defined set, cf. the respective sections:

• Reference types

- Language strings
- Programming language strings
- Status strings

license-url, repository, repository-code, repository-artifact, url

These keys take URL strings as values.

keywords

This key takes a collection of strings.

Example:

references:

```
- type: software
  keywords:
    - linguistics
    - "multi-layer annotation"
    - web service
```

scope

A reference item can specify a more detailed scope for the reference, via the reference key scope. This key can be useful if certatin references should only be cited under specific circumstances, e.g., only when a specific package of the software is used. In such a case, the package would ideally have its own CFF file, but if this is not possible for whatever reason, the scope key my come in handy.

Example:

```
references:
```

```
- scope: "Cite this paper when you run software X with flag --xy"
  type: article
  ...
```

Formatting

CFF follows the formatting rules of YAML 1.2, of which one of the most important ones is that the colon (:) after a key should always be followed by a whitespace.

Structure is determined by indentation, i.e., lines containing nested elements must be indented by at least one whitespace character, although using at least two whitespaces as a standard for indentation preserves readability.

Value strings can (and sometimes should) be double-quoted, e.g. "string", especially when they contain YAML special characters, or special characters in general. These include:

```
: { } [ ] , & * # ? | - < > = ! % @ \
```

Reference keys

CFF defines the following reference keys.

of the database where a work was accessed/is stored | | date-accessed | Date | The date the work has been last accessed | | date-downloaded | Date | The date the work has been downloaded | | date-published | Date | The date the work has been published | | date-released | Date | The date the work has been released | | department String | The department where a work has been produced | | doi | String | The DOI of the work | | edition String | The edition of the work | | editors | Collection of entity or person objects | The editors of a work | | editors-series | Collection of entity or person objects | The editors of a series in which a work has been published | end | Integer | The end page of the work | entry | String | An entry in the collection that constitutes the work | filename | String | The name of the electronic file containing the work | | format | String | The format in which a work is represented | | institution | Entity object | The institution where a work has been produced or published | | isbn | String | The ISBN of the work | | issn | String | The ISSN of the work | | issue | Integer | The issue of a periodical in which a work appeared | | issue-date | String | The publication date of the issue of a periodical in which a work appeared | | issue-title | String | The name of the issue of a periodical in which the work appeared | | journal | String | The name of the journal/magazine/newspaper/periodical where the work was published | | keywords | Collection of strings | Keywords pertaining to the work | | languages | Collection of ISO 639 language strings | The language of the work | | license | String | The license under which a work is licensed | | license-url String (URL) | The URL of the license text under which a work is licensed | | location | Entity object | The location of the work | | loc-start | Integer | The line of code in the file where the work starts | | loc-end | Integer The line of code in the file where the work ends | | medium | String | The medium of the work | | month | Integer The month in which a work has been published | | nihmsid | String | The NIHMSID of a work | | notes | String Notes pertaining to the work | | number | String | The accession number for a work | | number-volumes | Integer The number of volumes making up the collection in which the work has been published | | pages | Integer | The number of pages of the work | | patent-states | String | The states for which a patent is granted | | pmcid | String | The PMCID of a work | | programming-languages | Collection of programming language strings | The programming language of the work | | publisher | Entity object | The publisher who has published the work | recipients | Collection of entity or person objects | The recipient of a personal communication | | repository String (URL) | The repository where the work is stored | | repository-code | String (URL) | The version control system where the source code of the work is stored | repository-artifact | String (URL) | The repository where the (executable/binary) artifact of the work is stored | | scope | String | The scope of the reference, e.g., the section of the work it adheres to | | section | String | The section of a work that is referenced | | senders | Collection of person objects | The sender of a personal communication | | status | Status string | The publication status of the work | | start | Integer | The start page of the work | | thesis-type | String | The type of the thesis that is the work | | title | String | The title of the work | | translators | Collection of entity or person objects | The translator of a work | | type | Reference types string | The type of the work | | url | String (URL) | The URL of the work | | version | String | The version of the work | | volume | Integer | The volume of the periodical in which a work appeared | | volume-title | String | The title of the volume in which the work appeared | | year | Integer The year in which a work has been published | | year-original | Integer | The year of the original publication | Table: Complete list of CFF keys.

Exemplary uses

This section details exemplary use cases for some of the keys to avoid ambiguity/misuse.

abstract

- If the work is a journal paper or other academic work: The abstract of the work.
- If the work is a film, broadcast or similar: The synopsis of the work.

department

- If the work is a thesis: The academic department where the thesis has been produced.
- If the work is a government document: The governmental department which has issued the document.

format

- If the work is a music file: The digital format in which a musical piece is saved, e.g., MP3.
- If the work is a data set: The digital format in which the data set is saved.
- If the work is a painting: The format of the painting, e.g., the width and height of the canvas.

institution

- If the work is a report: The institution where the report has been produced.
- If the work is a case: The court where a case has been held.
- If the work is a blog post: The institution responsible for running the blog.
- If the work is a patent, legal rule or similar: The issuing institution of the patent/rule.
- If the work is a grant: The funding agency sponsoring the grant.
- If the work is a thesis: The university where a thesis has been produced.
- If the work is a statute: The institution or geographical unit which the statute adheres to.
- If the work is a conference: The organisation which held the conference.

languages

• If the work is a book: The language in which the book is written.

location

- If the work is an artwork: E.g., the museum holding the work.
- If the work is a historical work, illuminated manuscript or similar: The library or archive where the work is held.

medium

- If the work is an artwork: The medium of the artwork, e.g., "photograph", "painting", "oil on canvas", etc.
- If the work is a book or similar: Whether it is a printed book or an ebook.

month

- If the work is a conference: The month in which the conference has been held.
- If the work is a magazine article: The month in which the magazine issue containing the article has been published.

number

- If the work is a conference paper: E.g., the submission number of the paper
- If the work is a grant: The grant number provided by the funding agency.
- If the work is a work of art: E.g., the catalogue number provided by a museum holding the artwork.
- If the work is a report: The report number of a report.
- If the work is a patent: The patent number of the work.
- If the work is a historical work, illuminated manuscript or similar: The codex or folio number of a manuscript, or the library identifier for a manuscript.

term

• If the work is a dictionary or encyclopedia: The term in the dictionary or encyclopedia that is being referenced.

title

• If the work is a case: The name of the case (e.g., Name v. Name).

version

• If the work is a software: The version of the referenced software.

Reference types

Reference type string	Description
art	A work of art, e.g., a painting
article	
audiovisual	
bill	A legal bill
blog	A blog post

Reference type string	Description	
book	A book or e-book	
catalogue		
conference		
conference-paper		
data	A data set	
database	An aggregated or online database	
dictionary		
edited-work	An edited work, e.g., a book	
encyclopedia		
film-broadcast	A film or broadcast	
generic	The fallback type	
government-document		
grant	A research or other grant	
hearing		
historical-work	A historical work, e.g., a medieval manuscript	
legal-case		
legal-rule		
magazine-article		
manual	A manual	
map	A geographical map	
multimedia	A multimedia file	
music	A music file or sheet music	
newspaper-article		
pamphlet		
patent		
personal-communication		
proceedings	Conference proceedings	
report		
serial		
slides	Slides, i.e., a published slide deck	
software	Software	
software-code	Software source code	
software-container	A software container (e.g., a docker container)	
software-executable	An executable software, i.e., a binary/artifact	
software-virtual-machine	A virtual machine/vm image	
sound-recording		
standard		
statute		

Reference type string	Description
thesis	An academic thesis
unpublished	
video	A video recording
website	

Table 1: Complete list of CFF reference types.

Objects

Entity objects

Entity objects can represent different types of entities, e.g., a publishing company, or conference. In CFF, they are realized as collections with a defined set of keys. Only the key name is mandatory.

Entity key	Entity Data Type	optional
name	String	
address	String	•
city	String	•
region	String	•
post-code	String	•
country	String	•
orcid	String	•
email	String	•
tel	String	•
fax	String	•
website	String (URL)	•
date-start	Date	•
date-end	Date	•
location	String	•

Table 2: Complete list of keys for entity objects.

Exemplary uses

address

• To be used for street names and house numbers, etc.

region

• To be used for, e.g., states (as in US states or German federal states).

post-code

• The post code or zip code of an address.

country

• The ISO 3166-1 alpha-2 country code for a country. A list of ISO 3166-1 alpha-2 codes can be found at Wikipedia:ISO 3166-1.

Example:

references:

```
- type: book
  publisher:
    - name: PeerJ
      city: London
      country: GB
```

date-start and date-end

• The start and end date of, e.g., a conference. This must be formatted according to ISO 8601, e.g., YYYY-MM-DD, or 2017-10-04T16:20:57+00:00.

Person objects

A person object represents a person. In CFF, person objects are realized as collections with a defined set of keys, of which only family-names and given-names are mandatory.

Entity key	Entity Data Type	optional
family-names	String	
given-names	String	
name-particle	String	•
name-suffix	String	•
affiliation	String	•
address	String	•
city	String	•
region	String	•
post-code	String	•
country	String	•
orcid	String	•
email	String	•
tel	String	•
fax	String	•
website	String (URL)	•
role	Person roles string	•

Table 3: Complete list of keys for person objects.

Exemplary uses

Name keys

CFF aims at implementing a culturally neutral model for personal names, according to the suggestions on splitting personal names by the W3C and the implementation of personal name splitting in BibTeX 5.

To this end, CFF provides four generic keys to specify personal names:

- 1. Values for family-names specify family names, including combinations of given and patronymic forms, such as Guðmundsdóttir or bin Osman; double names with or without hyphen, such as Leutheusser-Schnarrenberger or Sánchez Vicario. It can potentially also specify names that include prepositions or (nobiliary) particles, especially if they occur in between family names such as in Spanish- or Portuguese-origin names, such as Fernández de Córdoba.
- 2. Values for given-names specify given and any other names.
- 3. Values for name-particle specify nobiliary particles and prepositions, such as in Ludwig van Beethoven or Rafael van der Vaart.
- 4. Values for name-suffix specify suffixes such as Jr. or III (as in Frank Edwin Wright III).

Note that these keys may still not be optimal for, e.g., Icelandic names which do not have the concept of family names, or Chinese generation names, but the alternative is highly localized customization, which would be counterintuitive as to CFF's goal to be easily accessible. Thus, it is ultimately the task of CFF file authors to find the optimal name split in any given case.

affiliation

• To specify the affiliation of a person, e.g., a university, research centre, etc.

Address keys

• Cf. Entity objects for details.

orcid

• To specify an ORCID identifier in the format dddd-dddd-dddd, e.g., 0000-0003-4925-7248.

Person roles

A person object can be assigned a role for the purposes of specifying authorship status, e.g., to distinguish main authors of a software from contributors who have provided a small patch. The defined roles are:

Table: Defined roles for person objects.

Specified value strings

The keys status, languages and programming-languages can only take values from a fixed set of strings. These are specified below.

Status strings

Works can have a different status of publication, e.g., journal papers. CFF specifies the following value strings for the key status.

Status (String)	Description
in-preparation	A work in preparation, e.g., a manuscript
abstract	The abstract of a work

Status (String)	Description
submitted	A work that has been submitted for publication
in-press	A work that has been accepted for publication but has not yet been published
advance-online	A work that has been published online in advance of publication in the target medium

Table 4: Defined statuses for works.

Language strings

Natural languages as a value for the key languages are specified via their respective 3-character ISO 639-3 code. A list of ISO 639-3 codes in maintained at Wikipedia:List of ISO 639-3 codes. Alternatively, a language's 2-character ISO 639-1 code may be used. A list of ISO 639-1 codes is maintained at Wikipedia:List of ISO 639-1 codes.

Example for a work in both English and Daakaka:

```
references:
```

```
- type: book
...
languages:
    - en
    - bpa
```

Programming language strings

CFF specifies the following value strings for the key programming-languages. If a language is not included, please use the string other with a lower-case, hyphenated string argument, and do not include the version of the programming language used, e.g., for My Fancy Language v4.2.1, use other=my-fancy-language. Additionally, please create an issue on the GitHub repository for CFF, asking to include the programming language in the list.

CFF key	Language name	Language type
1c-enterprise	1C Enterprise	programming
programming		abnf
programming		ada
Adobe Font Metrics	data	
ags-script	AGS Script	programming
programming		alpine-abuild
AMPL	programming	
antlr	ANTLR	programming
apex	Apex	programming
	apl	APL
Guidance Computer	programming	
programming		arc
programming		asciidoc
	asp	ASP
assembly	Assembly	programming
augeas	Augeas	programming

CFF key	Language name	Language type
programming		autoit
programming		ballerina
Batchfile	programming	
bison	Bison	programming
blade	Blade	markup
blitzmax	BlitzMax	programming
programming		boo
programming		brightscript
Bro	programming	
programming		С
	c2hs-haskell	C2hs Haskell
Cap'n Proto	programming	
ceylon	Ceylon	programming
charity	Charity	programming
cirru	Cirru	programming
clean	Clean	programming
clips	CLIPS	programming
closure-templates	Closure Templates	markup
programming		cobol
CoffeeScript	programming	
coldfusion-cfc	ColdFusion CFC	programming
COLLADA	data	
component-pascal	Component Pascal	programming
programming		coq
Cpp-ObjDump	data	
programming		cson
programming		csound-document
csound-score	Csound Score	programming
CSV	CSV	data
programming		cycript
programming		d
data		darcs-patch
programming		dataweave
desktop	data	
DIGITAL Command Language	programming	
dns-zone	DNS Zone	data
dogescript	Dogescript	programming
programming		dylan

CFF key	Language name	Language type
	eagle	Eagle
EBNF	data	
Projects	data	
programming		edn
ejs	EJS	markup
Elm	programming	
emberscript	EmberScript	programming
erlang	Erlang	programming
factor	Factor	programming
fantom	Fantom	programming
programming		filterscript
fish	programming	
Formatted	data	
Fortran	programming	
frege	Frege	programming
game-maker-language	Game Maker Language	programming
GAMS	programming	
gcc-machine-description	GCC Machine Description	programming
gdb	GDB	programming
genie	Genie	programming
gentoo-ebuild	Gentoo Ebuild	programming
Gentoo Eclass	programming	
gettext-catalog	Gettext Catalog	prose
programming		glsl
programming		gn
go	Go	programming
Gosu	programming	
Gradle	data	
programming		graph-modeling-language
data		graphql
data		groovy
Groovy Server Pages	programming	
haml	Haml	markup
harbour	Harbour	programming
	haxe	Haxe
HLSL	programming	
html+ecr	HTML+ECR	markup
html+erb	HTML+ERB	markup

CFF key	Language name	Language type
html	HTML	markup
programming		hyphy
programming		idris
programming		inform-7
data		inno-setup
programming		ioke
data		isabelle
Isabelle ROOT	programming	
Jasmin	programming	
java-server-pages	Java Server Pages	programming
JavaScript	programming	
Jison	programming	
jolie	Jolie	programming
JSON5	data	
data		jsx
jupyter-notebook	Jupyter Notebook	markup
KiCad Layout	data	
data		kicad-schematic
markup		kotlin
	labview	LabVIEW
	latte	Latte
Less	markup	
programming		lilypond
programming		linker-script
linux-kernel-module	Linux Kernel Module	data
markup		literate-agda
literate-coffeescript	Literate CoffeeScript	programming
literate-haskell	Literate Haskell	programming
LiveScript	programming	
Logos	programming	
LOLCODE	programming	
loomscript	LoomScript	programming
lua	Lua	programming
programming		m4sugar
Makefile	programming	
Markdown	prose	
	mathematica	Mathematica
programming		maven-pom

CFF key	Language name	Language type
programming		maxscript
MediaWiki	prose	
Meson	programming	
MiniD	programming	
Modelica	programming	
module-management-system	Module Management System	programming
monkey	Monkey	programming
moonscript	MoonScript	programming
programming		mq15
muf	MUF	programming
myghty	Myghty	programming
nearley	Nearley	programming
	nesc	nesC
programming		netlinx
NetLogo	programming	
Nginx	data	
	nit	Nit
NL	data	
numpy	NumPy	programming
objective-c++	Objective-C++	programming
Objective-C	programming	
	ocaml	OCaml
	00C	ooc
Opal	programming	
openedge-abl	OpenEdge ABL	programming
OpenRC runscript	programming	
opentype-feature-file	OpenType Feature File	data
prose		other
Oxygene	programming	
programming		pan
programming		parrot
Parrot Assembly	programming	
Parrot Internal Representation	programming	
programming		pawn
programming		perl
programming		php
pickle	Pickle	data
piglatin	PigLatin	programming

CFF key	Language name	Language type
plpgsql	PLpgSQL	programming
pod	Pod	prose
pony	Pony	programming
pov-ray-sdl	POV-Ray SDL	programming
PowerBuilder	programming	
processing	Processing	programming
programming		propeller-spin
protocol-buffer	Protocol Buffer	data
data		pug
pure-data	Pure Data	data
	purescript	PureScript
programming		python-console
python-traceback	Python traceback	data
programming		qml
racket	Racket	programming
raml	RAML	markup
raw-token-data	Raw token data	data
realbasic	REALbasic	programming
programming		rebol
programming		redcode
regular-expression	Regular Expression	data
programming		renderscript
restructuredtext	${\bf reStructuredText}$	prose
programming		rhtml
	rmarkdown	RMarkdown
RobotFramework	programming	
Rouge	programming	
programming		runoff
programming		sage
programming		sas
scala	Scala	programming
scheme	Scheme	programming
scss	SCSS	markup
ShaderLab	programming	
shellsession	ShellSession	programming
programming		slash
	smali	Smali

CFF key	Language name	Language type
programming		sourcepawn
SPARQL	data	
sqf	SQF	programming
programming		squirrel
srecode-template	SRecode Template	markup
programming		standard-ml
Stata	programming	
markup		sublime-text-config
subrip-text	SubRip Text	data
programming		svg
systemverilog	SystemVerilog	programming
programming		tcsh
terra	Terra	programming
prose		textile
programming		ti-program
programming		toml
programming		turtle
txl	TXL	programming
typescript	TypeScript	programming
Unified Parallel C	programming	
data		unix-assembly
programming		unrealscript
UrWeb	programming	
programming		verilog
programming		vim-script
visual-basic	Visual Basic	programming
programming		vue
Wavefront Material	data	
data		web-ontology-language
webassembly	WebAssembly	programming
programming		wisp
world-of-warcraft-addon-data	World of Warcraft Addon Data	data
x10	X10	programming
XC	programming	
	xojo	Xojo
XPM	data	
programming		xs
	xtend	Xtend

CFF key	Language name	Language type
yaml	YAML	data
programming		zimpl

Table 5: List of programming language names available in CFF. Table based on the languages available on GitHub (via https://github.com/github/linguist/blob/master/lib/linguist/languages.yml, MIT license, Copyright (c) 2017 GitHub, Inc.).

Schema

Work is still in progress to provide a schema for CFF, against which CFF files can be validated.

Examples

references:

Software examples

One of the main foci of CFF is to comprehensively cover the provision of citation metadata for software. To this end, it should always be used based on the Software Citation Principles 1! Please make sure you follow the best practices wherever possible. Two typical scenarios for software citation metadata include the existence and respectively lack of a DOI for the software for which citation metadata is provided, for both of which examples follow.

A software with a DOI

Note that [1, p. 12] recommend

[...] the use of DOIs as the unique identifier due to their common usage and acceptance, particularly as they are the standard for other digital products such as publications.

Furthermore, DOIs should point to a "unique, specific software version" [1, p. 12]. Also it is recommended [1, p. 13] that:

the [DOI] should resolve to a persistent landing page that contains metadata and a link to the software itself, rather than directly to the source code files, repository, or executable.

Therefore, a minimal CITATION.cff file in such a case would look similar to the following.

```
cff-version: 1.0.0
message: If you use this software, please cite it as below.
references:
    - type: software
    authors:
        - family-names: Druskat
            given-names: Stephan
            orcid: 0000-0003-4925-7248
    title: My Research Tool
    version: 1.0.4
    doi: 10043/zenodo.1234
A more comprehensive version could look similar to the following.
cff-version: 1.0.0
message: If you use this software, please cite it as below.
```

```
- type: software
 authors:
   - family-names: Druskat
     given-names: Stephan
     orcid: 0000-0003-4925-7248
     affiliation: "Humboldt-Universität zu Berlin, Dept. of German Studies
     and Linguistics"
     email: mail@sdruskat.net
     website: https://hu.berlin/sdruskat
 title: My Research Tool
 version: 1.0.4
 doi: 10043/zenodo.1234
 repository-code: https://github.com/sdruskat/my-research-tool
 repository-artifact: https://hu.berlin/nexus/mrt
 date-published: 2017-09-23
 keywords:
   - "McAuthor's algorithm"
   - linguistics
   - nlp
   - parser
   - deep convolutional neural network
 programming-languages:
    - java
   - python
   - c
   - haskell
   - pascal
   - rust
 license: Apache License, Version 2.0
 license-url: http://www.apache.org/licenses/LICENSE-2.0
 url: https://sdruskat.github.io/my-research-tool
```

A software without a DOI

For software without a DOI, it is recommended that "the metadata should still provide information on how to access the specific software, but this may be a company's product number or a link to a website that allows the software be purchased." [1, p. 13]. Furthermore, "if the version number and release date are not available, the download date can be used. Similarly, the contact name/email is an alternative to the location/repository." [1, p. 7]

Hence, for a closed source software without a DOI for which the version number and release date cannot be determined, a CITATION.cff file could look like this.

```
tel: +850 (0)123-45-666
software (with two references)
cff-version: 1.0.0
message: "If you use My Research Tool, please cite both the software and the
outline paper."
references:
  - type: software
    authors:
      - family-names: Doe
        given-names: Jane
        role: main-author
      - family-names: Bielefeld
        name-particle: von
        given-names: Arthur
        role: tester
      - family-names: McAuthor
        given-names: Juniper
        name-suffix: Jr.
        role: maintainer
    title: My Research Tool
    doi: 10043/zenodo.1234
  - type: article
    authors:
      - family-names: Doe
        given-names: Jane
        role: main-author
      - family-names: Bielefeld
        name-particle: von
        given-names: Arthur
        role: author
    title: "My Research Tool: A 100% accuracy syntax parser for all languages"
    vear: 2099
    journal: Journal of Hard Science Fiction
```

software-code (without a DOI: code repository + commit)

doi: 10.9999/hardscifi-lang.42132

volume: 42 issue: 13

We recognize that there are certain situations where it may not be possible to follow the recommended best-practice. For example, if (1) the software authors did not register a DOI and/or release a specific version, or (2) the version of the software used does not match what is available to cite. In those cases, falling back on a combination of the repository URL and version number/commit hash would be an appropriate way to cite the software used. [1, p. 12]

```
commit: 160d54f9e935c914df38c1ffda752112b5c979a8
software-container
cff-version: 1.0.0
message: "If you use the MRT Docker container, please cite the following."
references:
  - type: software-container
    authors:
      - name: "Humboldt-Universität zu Berlin"
        website: https://www.linguistik.hu-berlin.de/
        role: maintainer
      - family-names: Doe
        given-names: Jane
        role: main-author
    title: mrt-iain-m-banks
    version: 1.0.4 (Iain M. Banks)
    url: https://github.com/doe/docker-brew-mrt-core/blob/160d54f9e935/iain/Dockerfile
    repository: https://hub.docker.hu-berlin.de/_/mrt-iain-m-banks/
software-executable
cff-version: 1.0.0
message: "If you use MRT, please cite the following."
references:
  - type: software-executable
    authors:
      - family-names: Doe
        given-names: Jane
        role: main-author
    title: My Research Tool Kickstarter
    version: 2.0.0
    doi: 10043/zenodo.1234
    repository-artifact: https://hu.berlin/nexus/mrt-kickstarter
    filename: mrt2-kickstarter.exe
Other examples
art
cff-version: 1.0.0
message: "If you use this software, please cite the following."
references:
  - type: art
    authors:
      - family-names: Picasso
        given-names: Pablo
    title: Guernica
    year: 1937
   medium: Oil on canvas
    format: 349.3cm x 776.6cm
    location:
      - name: Museo Reina Sofia
```

repository-code: https://github.com/doe/mrt

```
city: Madrid
        country: ES
article
cff-version: 1.0.0
message: "If you use this software, please cite the following paper."
references:
  - type: article
    authors:
      - family-names: Smith
        given-names: Arfon M.
        role: main-author
      - family-names: Katz
        given-names: Daniel S.
        affiliation: "National Center for Supercomputing Applications &
        Electrical and Computer Engineering Department & School of Information
        Sciences, University of Illinois at Urbana-Champaign, Urbana, Illinois,
        United States"
        orcid: 0000-0001-5934-7525
        role: main-author
      - family-names: Niemeyer
        given-names: Kyle E.
        role: main-author
      - name: "FORCE11 Software Citation Working Group"
        website: https://www.force11.org/group/software-citation-working-group
    title: "Software citation principles"
    year: 2016
    journal: PeerJ Computer Science
    volume: 2
    issue: e86
    doi: 10.7717/peerj-cs.86
    url: https://doi.org/10.7717/peerj-cs.86
blog
cff-version: 1.0.0
message: "If you use MRT in your research, please cite the following blog article."
references:
  - type: blog
    authors:
      - family-names: Doe
        given-names: Jane
    title: "Implement a 100% accuracy syntax parser for all languages? No probs!"
    date-published: 2017-09-23
    url: https://hu-berlin.de/blogs/jdoe/2017/09/23/if-only
    institution:
      - name: "Humboldt-Universität zu Berlin"
        city: Berlin
        country: DE
book
cff-version: 1.0.0
message: "If you use MRT for your research, please cite the following book."
```

```
references:
  - type: book
    authors:
      - family-names: Doe
        given-names: Jane
        role: main-author
    title: "The future of syntax parsing"
    year: 2017
   publisher:
      - name: Far Out Publications
        city: Bielefeld
   medium: print
conference-paper
cff-version: 1.0.0
message: "If you use MRT for your research, please cite the following."
references:
  - type: conference-paper
   authors:
      - family-names: Doe
        given-names: Jane
    title: "Ultimate-accuracy syntax parsing with My Research Tool"
   year: 2017
   collection-title: "Proceedings of the 1st Conference on Wishful Thinking"
    collection-doi: 10043.zenodo.4321
    editors:
      - family-names: Kirk
        given-names: James T.
    conference:
      - name: 1st Conference on Wishful Thinking
        location: Spock's Inn Hotel and Bar
        address: 123 Main St
        city: Bielefeld
        region: Jarvis Island
        post-code: 12345
        country: UM
        date-start: 2017-04-01
        date-end: 2017-04-01
    start: 42
    end: 45
    doi: 10043/zenodo.1234
```

edited-work

Note that the editors of the edited work must be specified under the authors key. Specific citation styles may or may not attach a suffix to the authors, such as ", eds." or similar.

```
year: 2017
    publisher:
      - name: Far Out Publications
        city: Bielefeld
        country: DE
report
cff-version: 1.0.0
message: "If you use MRT in your research, please cite the following."
references:
  - type: report
    authors:
      - name: Fictional Parsing Interest Group, ACME Inc.
    title: "100% accuracy syntax parsing at ACME"
    url: http://www.acme.com/sigs/fp/reports/hpsp.pdf
    year: 2017
    date-accessed: 2017-09-23
thesis
cff-version: 1.0.0
message: "If you use MRT in your research, please cite the following."
references:
  - type: thesis
    authors:
      - family-names: Doe
        given-names: Jane
    title: "A high accuracy syntax parser in Visual Basic"
    thesis-type: PhD
    year: 2017
    department: Dept. of Universal Language Philosophy
    institution:
      - name: "Humboldt-Universität zu Berlin"
        city: Berlin
        country: DE
    database: Thesiserver
    date-accessed: 2017-09-23
    date-published: 2017-03-21
    url: http://thesiserver.hu-berlin.de/2017/march/phd/doe-12345
```

Infrastructure

The roadmap for CFF plans for the provision of further infrastructure (e.g., software packages and web services), to support the following use cases for CFF:

- Creating CFF files
- Reading CFF files
- Validating CFF files
- Converting CFF files

Contributions

Contributions to the format specifications are welcome! For details on how to contribute, please refer to the GitHub repository for CFF at http://github.com/sdruskat/citation-file-format.

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References

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