assignment05

May 28, 2019

1 Functional Programming SS19

2 Assignment 05 Solutions

2.0.1 Exercise 1 (Fixpoints)

Consider the function ee : $(\mathbb{Z}_{\perp} \to \mathbb{B}_{\perp}) \to (\mathbb{Z}_{\perp} \to \mathbb{B}_{\perp})$, which is defined as follows:

$$(ee(g))(x) = \begin{cases} True & \text{if } x = 0\\ \neg g(x-1) & \text{if } x > 0\\ \neg g(x+1) & \text{if } x < 0\\ \bot_{\mathbb{B}_{\perp}} & \text{if } x = \bot_{\mathbb{Z}_{\perp}} \end{cases}$$

The function ee is continuous. Thus, by Kleene's Fixpoint Theorem it has a least fixpoint. This least fixpoint of ee is a well-known function. What is the least fixpoint of ee? Prove your claim!

Hints: * We have $\neg \bot_{\mathbb{B}_{\perp}} = \bot_{\mathbb{B}_{\perp}}$. Thus, the function \neg is monotonic and also continuous. * If the cases x > 0 and x < 0 are analogous, then it sufficies to prove one case and note the analogy.

The least fixpoint of *ee* is the function that returns *True* if *x* is an even number and *False* otherwise, namely the function $f: \mathbb{Z}_{\perp} \to \mathbb{B}_{\perp}$ such that

$$f(x) = \begin{cases} True & \text{if } x = 0\\ \neg f(x-1) & \text{if } x > 0\\ \neg f(x+1) & \text{if } x < 0\\ \bot_{\mathbb{B}_{\perp}} & \text{if } x = \bot_{\mathbb{Z}_{\perp}} \end{cases}$$

To prove this, we can use Kleene's Fixpoint Theorem (Theorem 2.1.17 from the lecture notes), according to which the least fixpoint of a function f is the function $\mathrm{lfp}\, f = \sqcup \{f^i(\bot) \mid i \in \mathbb{N}\}$ provided that \sqsubseteq is a cpo on D and $f:D\to D$ is continuous.

Let us show that $\sqcup \{ee^i(\bot) \mid i \in \mathbb{N}\} = f$ by induction. In the base case, we have $ee^0(\bot) = \bot$ and $ee^1(\bot) = f(\bot)$. Let's now assume that $ee^i(\bot) = f$ and show that $e^{i+1}(\bot) = f$ as well (which is equivalent to ee(f) = f). We have four cases to consider now depending on the value of x:

• x = 0: In this case, we have:

$$ee^{i+1}(\bot)(0) = ee(ee^i(\bot))(0)$$

= $(ee(f))(0)$ – due to the inductive hypothesis
= $True$
= $f(0)$

• x > 0: We now have

$$ee^{i+1}(\bot)(x) = ee(ee^i(\bot))(x)$$

= $(ee(f))(x)$ – due to the inductive hypothesis
= $\neg f(x-1)$

where f(x-1) is *True* if x-1 is even and *False* otherwise. Since odd and even numbers are alternating, we can easily see that $\neg f(x-1)$ gives the correct result for x.

- x < 0: The case for negative integers is analogous to the case for positive integers since negative odd and even numbers are alternating as well. Since f(x+1) is True if x+1 is even and False otherwise, we can see that (ee(f))(x) gives the correct result for negative x as well.
- $x = \bot$: $(ee(f))(\bot) = \bot = f(\bot)$

We can thus see that $ee^{i+1}(\bot)(x) = f(x)$, which means that f is indeed a fixpoint of ee. In addition, this is the only fixpoint of ee, so it is also its least fixpoint. \Box

2.1 Exercise 2 (Continuity and Fixpoints)

In this exercise, we will prove that for any real number $0 < r \le 1$ *we have*

$$\lim_{n\to\infty} f^n(r) = f(f(...f(r)...)) = 1$$
n times

where $f:[0,\infty)\to\mathbb{R}$, $x\mapsto\sqrt{x}$. In this exercise you can use that f is topological–continuous (cf. Exercise Sheet 4, Ex. 4), i.e., for any converging sequence $(x_n)_{n\in\mathbb{N}}$ in $[0,\infty)$ we have $\lim_{n\to\infty}f(x_n)=f(\lim_{n\to\infty}x_n)$. In each of the following parts you can use the results of Exercise Sheet 4, Ex. a)-c).

a) Prove that f is monotonic w.r.t. \leq , i.e. if $0 \leq x \leq y$, then $f(x) \leq f(y)$.

Hints: * You can use that if $0 \le x \le y$ then $x^2 \le y^2$ * You can also use that for every $0 \le z$ we have $(\sqrt{z})^2 = z$ and $(\sqrt{z^2}) = z$.

Let us take two arbitrary values x and y, such that $x, y \in [0, \infty), x \le y$ and suppose that f is not monotonic, i.e. f(x) > f(y).

We now have

$$0 \le x \le y \implies x^2 \le y^2$$
 $\implies \sqrt{x^2} > \sqrt{y^2}$ (due to the non-monotonicity assumption)
 $\implies x > y$ (due to the fact that $\sqrt{x^2} = x$ and $\sqrt{y^2} = y$)

This however contradicts our initial assumption that $x \leq y$; thus, f must be monotonic. \square

b) Let $0 < r \le 1$. Prove that $f_r : [r,1] \to [r,1]$, $x \mapsto \sqrt{x}$ is well-defined, i.e. if $r \le x \le 1$, then $r \le \sqrt{x} \le 1$

Let us take an x such that $r \le x \le 1$:

$$\implies \sqrt{r} \le \sqrt{x} \le \sqrt{1}$$
 (due to monotonicity)
 $\implies \sqrt{r} \le \sqrt{x} \le 1$

Let us now show that $r \leq \sqrt{r}$. In particular, let us assume that $\sqrt{r} < r$:

$$\implies 1 < \frac{r}{\sqrt{r}}$$

$$\implies \sqrt{r} \le \sqrt{x} \le 1 < \frac{r}{\sqrt{r}}$$

$$\implies (\sqrt{r})^2 \le \sqrt{x}\sqrt{r} \le \sqrt{r} < r \text{ (multiplying through by } \sqrt{r}\text{)}$$

$$\implies r < \sqrt{x}\sqrt{r} < \sqrt{r} < r$$

This implies that r < r, which clearly cannot hold. As a result, it must hold that $r \le \sqrt{r}$ and consequently

$$r \le \sqrt{r} \le \sqrt{x} \le 1$$
$$\implies r \le \sqrt{x} \le 1$$

which is what we wanted to show. \square

c) Let $0 < r \le 1$. Use a), b) and Ex. 4 a)-c) from Exercise Sheet 4 to conclude that f_r is Scott-continuous for any $0 < r \le 1$. You can use that if $(x_n)_{n \in \mathbb{N}}$ is a converging sequence in [r,1], then $\lim_{n \to \infty} x_n \in [r,1]$, too.

From part b), we know that f_r is a monotonic function and, furthermore, since f is topologically-continuous, f_r is topologically-continuous as well. From Ex. 4c) in Exercise Sheet 4, we know that every monotonic and topologically-continuous function is also Scott-continuous; thus, it follows that f_r is Scott-continuous.

We can also prove this directly by taking an arbitrary subset $[i,j] \subseteq [r,1]$ and creating a sequence $S = (x_n)_{n \in \mathbb{N}}$, $x_n \in [i,j]$. S is bounded above by r, so we have that

$$\lim_{n\to\infty} x_n = \sup S = j \implies f_r(\sup S) = f_r(j)$$

Let us now also construct a sequence $S' = f_r(x_n)_{n \in \mathbb{N}}$ from S. Due to the monotonicity of f_r , we know that $f(x_n) \le f(x_{n+1})$ for every $x_n \in S$; thus

$$\lim_{n\to\infty} f(x_n) = \sup S' = f_r(j)$$

where the last equality follows from the fact that f_r is continuous. Thus, $f_r(\sqcup S) = \sqcup S'$ for any S, which means that f_r is Scott-continuous. \square

d) Use Kleene's Fixpoint theorem and Ex. 4 a) from Exercise Sheet 4 to conclude that for each $0 < r \le 1$, $\lim_{n \to \infty} f^n(r) = 1$.

From part c), we know that f_r is Scott-continuous on [r,1], and thus f is Scott-continuous on [r,1]. From Ex. 4a in Exercise Sheet 4, we also know that \leq is complete for any closed interval [a,b]; thus, \leq is complete on [r,1].

The conditions of Kleene's Fixpoint theorem are fulfilled and, as a result, lfp $f = \sqcup \{f^i(\bot) \mid i \in \mathbb{N}\}$. In our case, r is the smallest element, so we have that lfp $f = \sqcup \{f^i(r) \mid i \in \mathbb{N}\}$.

But since f_r maps values from [r,1] to [r,1] and due to the completeness of [r,1], we have that for the chain $S = \{f^i(r) \mid i \in \mathbb{N}\}, \sqcup S = 1$.

Since f_r is monotonic, we also have that $f_r^i, i \in \mathbb{N}$ is monotonic (short proof: based on the definition of monotonicity, we know that if $x \leq y$, then $f_r(x) \leq f_r(y)$, but then it also follows that $f_r(f_r(x)) \leq f_r(f_r(y))$).

But based on part c), due to the monotonicity, we have $\sup S = \lim_{n \to \infty} f^n(r) = 1$, which is what we wanted to show. \square

2.2 Exercise 3 (Fixpoints and Higher Order Functions)

Consider the following Haskell functions:

```
fact :: Int -> Int
fact = \x -> if x <= 0 then 1 else fact (x-1) * x

true :: Bool -> Bool
true = \x -> True

neg_inf :: Int -> Int
neg_inf = \x -> neg_inf (x-3)

fib :: Int -> Int
fib = \n -> if n <= 1 then 1 else fib (n - 1) + fib (n - 2)</pre>
```

The higher-order Haskell function $f_{\underline{}}$ fact corresponding to fact is

$$f_fact = \g -> \x -> if x <= 0 then 1 else g (x-1) * x$$

The semantics ϕ_{f_fact} of f_fact is:

$$(\varphi_{f_fact}(g))(x) = \begin{cases} 1 & \text{if } x \le 0 \\ g(x-1) \cdot x & \text{otherwise} \end{cases}$$

The semantics ϕ_{fact} of fact is the least fixpoint of ϕ_{f_fact} (where for all $x \leq 0$ we define x! = 1):

$$\varphi_{fact}(x) = \begin{cases} x! & \text{if } x \in \mathbb{Z} \\ \bot & \text{otherwise} \end{cases}$$

a) Give the Haskell definitions for the higher-order functions f_{true} , f_{neg_inf} , and f_{fib} corresponding to true, neg_{inf} , and fib.

The definitions of the functions are given below:

$$f_{true} = \g -> \x -> True$$

$$f_{neg_inf} = \g \rightarrow \x \rightarrow \g (x - 3)$$

$$f_fib = \g -> \n -> if n <= 1 then 1 else g(n - 1) + g(n - 2)$$

b) Give the semantics ϕ_{f_true} , $\phi_{f_neg_inf}$, and ϕ_{f_fib} of the functions f_true , f_neg_inf , and f_fib .

The functions have the following semantics:

$$(\phi_{f_true}(g))(x) = True$$

$$(\phi_{f_neg_inf}(g))(x) = \begin{cases} g(x-3) & \text{if } x \in \mathbb{Z} \\ \bot & \text{otherwise} \end{cases}$$

$$(\phi_{f_fib}(g))(n) = \begin{cases} 1 & \text{if } x \le 1 \\ g(n-1) + g(n-2) & \text{if } x > 1 \\ \bot & \text{otherwise} \end{cases}$$

c) What does the function $\phi_f^n(\bot)$ compute for $n \in \mathbb{N}$, $f \in \{f_true, f_neg_inf, f_fib\}$? Here, $\phi_f^n(\bot)$ denotes n applications of ϕ_f to the undefined function \bot .

Since $(\phi_{f_true}(g))(x)$ returns *True* for every argument, the result of $\phi_{f_true}^n(\bot)$ is *True* for any $n \in \mathbb{N}$.

For $\phi_{f neg inf}^n(\perp)$, we have

$$\phi^0_{f_neg_inf}(\bot)(x) = \bot$$

$$\phi^1_{f_neg_inf}(\bot)(x) = \begin{cases} \bot(x-3) & \text{if } x \in \mathbb{Z} \\ \bot & \text{otherwise} \end{cases} = \begin{cases} \bot & \text{if } x \in \mathbb{Z} \\ \bot & \text{otherwise} \end{cases} = \bot$$

namely $\phi^n_{f_neg_inf}(\bot) = \bot$ for any $n \in \mathbb{N}$. For $\phi^n_{f_fib}(\bot)$, we have

$$g_{0}(n) = \phi_{f_{-}fib}^{0}(\bot)(x) = \bot$$

$$g_{1}(n) = \phi_{f_{-}fib}^{1}(\bot)(x) = \begin{cases} 1 & \text{if } x \leq 1 \\ \bot(n-1) + \bot(n-2) & \text{if } x > 1 \\ \bot & \text{otherwise} \end{cases} = \begin{cases} 1 & \text{if } x \leq 1 \\ \bot & \text{if } x > 1 \\ \bot & \text{otherwise} \end{cases}$$

$$g_{2}(n) = \phi_{f_{-}fib}^{2}(g_{1}) = \begin{cases} 1 & \text{if } x \leq 1 \\ g_{1}(n-1) + g_{1}(n-2) & \text{if } x \leq 1 \\ \bot & \text{otherwise} \end{cases} = \begin{cases} 1 & \text{if } x \leq 1 \\ 2 & \text{if } x = 2 \\ \bot & \text{if } x > 2 \\ \bot & \text{otherwise} \end{cases}$$

$$g_{3}(n) = \phi_{f_{-}fib}^{3}(g_{2}) = \begin{cases} 1 & \text{if } x \leq 1 \\ g_{2}(n-1) + g_{2}(n-2) & \text{if } x \leq 1 \\ \bot & \text{otherwise} \end{cases} = \begin{cases} 1 & \text{if } x \leq 1 \\ 2 & \text{if } x = 2 \\ 3 & \text{if } x = 3 \\ \bot & \text{otherwise} \end{cases}$$

namely $\phi^n_{f_fib}(\bot)$ produces a sequence of increasingly better approximations to the Fibonacci sequence.

d) Give all fixpoints of the semantic functions ϕ_{f_true} , $\phi_{f_neg_inf}$, and ϕ_{f_fib} from b). Which ones are the least fixpoints?

 ϕ_{f_true} has a single fixpoint, namely the constant function that always returns *True*; this is thus also the least fixpoint of ϕ_{f_true} .

 $\phi_{f_neg_inf}$ has as fixpoints all constant functions $f: \mathbb{Z}_{\perp} \to \mathbb{Z}_{\perp}$; the least fixpoint is the constant function $\bot_{\mathbb{Z} \to \mathbb{Z}}$.

 ϕ_{f_-fib} has a single fixpoint, namely the function that computes the Fibonacci sequence for any $n \in \mathbb{N}$ and returns \perp otherwise; this function is therefore the least fixpoint of ϕ_{f_-fib} .

2.3 Exercise 4 (Domain Lifts)

Let $D_1, ..., D_n$ be domains with complete partial orders $\sqsubseteq_{D_1}, ..., \sqsubseteq_{D_n}$.

a) Prove that $\sqsubseteq_{D_1 \oplus ... \oplus D_n}$ is a complete partial order on $D_1 \oplus ... \oplus D_n$.

According to definition 2.1.12, $\sqsubseteq_{D_1 \oplus ... \oplus D_n}$ is complete if $D = D_1 \oplus ... \oplus D_n$ has a smallest element $\bot_{D_1 \oplus ... \oplus D_n}$ and there is a least upper bound $\sqcup S \in D_1 \oplus ... \oplus D_n$ for every chain in $D_1 \oplus ... \oplus D_n$. The existence of a $\bot_{D_1 \oplus ... \oplus D_n}$ is guaranteed by the definition of a coalesced sum (definition 2.2.2). Furthermore, as a consequence of definition 2.2.2, a chain $S \in D$ can contain \bot_D and elements of only one domain D_i , $1 \le i \le n$; hence, provided that every D_i is a complete partial order, D will also be a complete partial order. \Box

b) Prove that for any $1 \le k \le n$ the embedding

$$l_k: D_k \to D_1 \bigoplus ... \bigoplus D_n, \ x \mapsto \left\{ \begin{array}{ll} \bot_{D_1 \oplus ... \oplus D_n}, & x = \bot_{D_k} \\ x^{D_k}, & \text{otherwise} \end{array} \right.$$

is continuous.

Based on definition 2.1.14, $l_k : D_k \to D_1 \oplus ... \oplus D_n$ is continuous if and only if, for every chain S of D_k , we have $l_k(\sqcup S) = \sqcup \{l_k(d) \mid d \in S\}$, provided that D_k and $D_1 \oplus ... \oplus D_n$ is complete, such that the completeness of $D_1 \oplus ... \oplus D_n$ was established in part a).

Since D_k is complete, we know that it has a smallest element \bot_{D_k} and every chain S has a least upper bound $\sqcup S \in D_k$ (definition 2.1.12). The given l_k acts as an identity operator based on which each element $x \in D_k$ is mapped to itself, except that the result lies in the domain of the coalesced sum instead of in D_k ; in particular, for every chain $S = d_{k,1}, d_{k,2}...$, we have

$$l_k(S) = \{l_k(d_{k,1}), l_k(d_{k,2}), ...\}_{D_k} = \{d_{k,1}, d_{k_2}, ...\}_{D_1 \oplus ... \oplus D_n}$$

Since the identity operator is continuous, it follows that l_k is also continuous for every $1 \le k \le n$. \square

c) Let $f: D_1 \oplus ... \oplus D_n \to D$ be a monotonic function, where D is some domain with cpo \sqsubseteq_D . Prove that f is continuous if $f \circ l_k : D_k \to D$ is continuous for every $1 \le k \le n$.

For any chain $S \in D_k$, we have

$$l_k(S) = \{l_k(d_{k,1}), l_k(d_{k,2}), \dots\}_{D_1 \oplus \dots \oplus D_n}$$

$$\implies (f(l_k))(S) = \{f(l_k(d_{k,1})), f(l_k(d_{k,2})), \dots\}_D$$

Since $f \circ l_k : D_k \to D = f(l_k)$ is continuous for every $1 \le k \le n$, we have $(f(l_k))(\sqcup S) = \sqcup \{(f(l_k))(d) \mid d \in S\}$ for every chain S in D_k . But since $l_k(d) = d^{D_k}$, we can define a chain $S' = \{d_{k,1}, d_{k,2}, ...\}_{D_1 \oplus ... \oplus D_n}$ and

$$f(S') = \{f(d_{k,1}), f(d_{k,2}), ...\}$$

As f is monotonic, we have that $f(d_{k,1}) \sqsubseteq f(d_{k,2}) \sqsubseteq \dots$ But l_k is continuous (and also monotonic since it does not change the definedness of the arguments) and we have that $\sqcup S_{D_k} = \sqcup S'_{D_1 \oplus \dots \oplus D_{n'}}$ so

$$f(\sqcup S) = \sqcup \{ (f(l_k))(d) \mid d \in S \} = \sqcup \{ f(d) \mid d \in S' \} = f(\sqcup S')$$

which is what we wanted to show. \square

2.4 Exercise 5 (Domain Construction)

Consider the following data type declaration for natural numbers:

```
data Nats = Zero | Succ Nats
```

A graphical representation of the first four levels of the domain for Nats could look like this: Now consider the following data type declarations:

```
data Unit = U ()
data Foo = A Unit Unit | B Bool
```

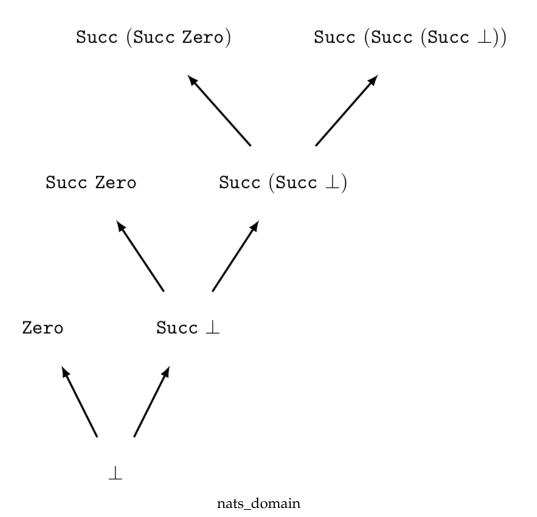
a) Give a graphical representation of the whole domain for the type Foo. The graphical representation must be a directed graph, as in the example, and must contain all elements of Foo.

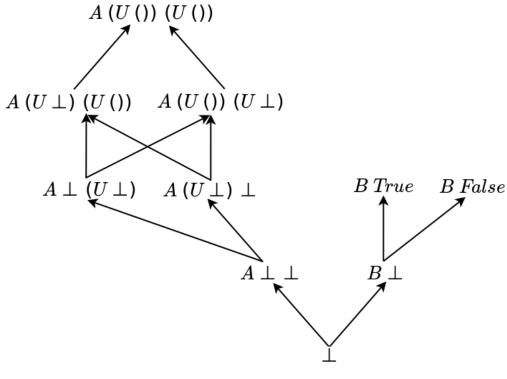
The domain of the type Foo is depicted below:

- b) Give Haskell expressions that correspond to the following elements of the domains for the type Foo and the type Unit respectively, i.e., for each of these elements, give a Haskell expression that has this element as its semantics:
- $A \perp \perp$
- U ⊥

Let us define a bottom element as bot = bot as in the lecture. Then, the following two expressions have the semantics of the above two elements of the domains of Foo and Unit respectively:

- a = A bot bot has the semantics $A \perp \perp$
- b = U bot has the semantics $U \perp$





foo_domain

c) Consider the following Haskell functions:

```
a :: (Integer, Integer) -> Integer
a (k, n) = if k <= 0 then n+1 else if n <= 0 then a(k-1,1) else a(k-1, a(k, n-1))
u :: Bool -> Unit
u b = if (not b) then U () else u b
f :: Bool -> Bool
f b = f b
```

What is the semantics of the following Haskell expressions?

• B (f False)

Let $exp = B(f \ False) = B \ exp'$. We then have $Val[[exp]]_{\rho} = Val[[B \ exp']]_{\rho} = g$ in Functions in Dom, where g(exp') = (B, exp') in Constructions₁ in Dom.

Since exp' is an expression, we need to find the semantics d of exp' to fully determine the semantics of exp. In this case, we have $d = Val[exp']_{\rho} = Val[f \ False]_{\rho} = h(Val[False]_{\rho})$ for h in Functions in Dom, where $h(x) = \bot$, $x \in \mathbb{B}_{\bot}$.

As *False* is a constructor of zero arity, we know that $Val[False]_{\rho} = False$ in Constructions₀ in Dom.

The semantics of exp is thus finally $Val[[exp]]_{\rho} = g(h(False)) = (B, h(False)) = (B, \bot)$.

• A (u (f False)) (u False)

As above, let exp = A (u (f False)) (u False) = A exp' exp''. Thus, $Val[exp]_{\rho} = g$ in Functions in Dom such that g(exp', exp'') = (A, exp', exp'') in Constructions₂ in Dom.

We can now find the semantics of exp' and exp'' as follows:

 $Val[[exp']]_{\rho} = Val[[u \ (f \ False)]]_{\rho} = l(Val[[f \ False]]_{\rho})$ for l in Functions in Dom (where $d = Val[[f \ False]]_{\rho} = \bot$ as in part a)), such that

$$l(x) = \begin{cases} U() & \text{if } x = False \\ \bot & \text{otherwise} \end{cases}$$

Using the semantics d from part a), we have that $Val[[exp']]_{\rho} = l(\bot) = \bot$.

On the other hand, $Val[[exp'']]_{\rho} = \mathcal{V} \dashv \updownarrow [[u \ False]]_{\rho} = l(Val[[False]]_{\rho}) = l(False) = U$ (), where l is as defined above.

The semantics of *exp* is thus $Val[[exp]]_{\rho} = g(\bot, U()) = (A, \bot, U())$.

• let
$$g = \n \rightarrow a (3, n) < 3 in A (U ()) (u (g 2))$$

In this case, we have

$$Val[[let \ g = \ n - > a \ (3, \ n) < 3 \ in \ A \ (U \ ()) \ (u \ (g \ 2))]]_{\rho}$$

= $Val[[A \ (U \ ()) \ (u \ (g \ 2))]]_{\rho} \ (\rho + \{g/\ lfp \ f\})$
= (A, exp', exp'') in Constructions₁ in Dom

where

$$f(d) = Val[\n - > a (3, n) < 3] (\rho + \{g/d\}) = Val[\n - > a (3, n) < 3]]_{\rho}$$

 $Val[[exp']] = Val[[U()]] = (U, ())$ in Constructions₁ in Dom
 $Val[[exp'']] = Val[[U(g2)]] = l(Val[[g2)])$ in Functions₁ in Dom

We can subsequently find that a(3,2) = 29; thus Val[g 2] = False and Val[u (g 2)] = U (), so

$$\mathit{Val}[\![A\ (U\ ())\ (u\ (g\ 2))]\!]_{\rho}\ (\rho + \{g/\ lfp\ f\}) = (A,U\ (),U\ ())$$