# Chapter 10

# **Process Creation and Management**

#### 10.1 Introduction

MPI is primarily concerned with communication rather than process or resource management. However, it is necessary to address these issues to some degree in order to define a useful framework for communication. This chapter presents a set of MPI interfaces that allows for a variety of approaches to process management while placing minimal restrictions on the execution environment.

The MPI model for process creation allows both the creation of an intial set of processes related by their membership in a common MPI\_COMM\_WORLD and the creation and management of processes after an MPI application has been started. A major impetus for the latter form of process creation comes from the PVM [24] research effort. This work has provided a wealth of experience with process management and resource control that illustrates their benefits and potential pitfalls.

The MPI Forum decided not to address resource control because it was not able to design a portable interface that would be appropriate for the broad spectrum of existing and potential resource and process controllers. Resource control can encompass a wide range of abilities, including adding and deleting nodes from a virtual parallel machine, reserving and scheduling resources, managing compute partitions of an MPP, and returning information about available resources. MPI assumes that resource control is provided externally — probably by computer vendors, in the case of tightly coupled systems, or by a third party software package when the environment is a cluster of workstations.

The reasons for including process management in MPI are both technical and practical. Important classes of message-passing applications require process control. These include task farms, serial applications with parallel modules, and problems that require a run-time assessment of the number and type of processes that should be started. On the practical side, users of workstation clusters who are migrating from PVM to MPI may be accustomed to using PVM's capabilities for process and resource management. The lack of these features would be a practical stumbling block to migration.

The following goals are central to the design of MPI process management:

- The MPI process model must apply to the vast majority of current parallel environments. These include everything from tightly integrated MPPs to heterogeneous networks of workstations.
- MPI must not take over operating system responsibilities. It should instead provide a

3

4

5 6 7

8 9 10

13 14 15

16 17

18

19

22 23

24 25

26

27

28

29

30

11

12

20 21

31 32 33

34

35

36

37

42

43

44

45

46 47 clean interface between an application and system software.

- MPI must guarantee communication determinism in the presense of dynamic processes, i.e., dynamic process management must not introduce unavoidable race conditions.
- MPI must not contain features that compromise performance.

The process management model addresses these issues in two ways. First, MPI remains primarily a communication library. It does not manage the parallel environment in which a parallel program executes, though it provides a minimal interface between an application and external resource and process managers.

Second, MPI maintains a consistent concept of a communicator, regardless of how its members came into existence. A communicator is never changed once created, and it is always created using deterministic collective operations.

#### 10.2 The Dynamic Process Model

The dynamic process model allows for the creation and cooperative termination of processes after an MPI application has started. It provides a mechanism to establish communication between the newly created processes and the existing MPI application. It also provides a mechanism to establish communication between two existing MPI applications, even when one did not "start" the other.

#### 10.2.1 Starting Processes

MPI applications may start new processes through an interface to an external process manager.

MPI\_COMM\_SPAWN starts MPI processes and establishes communication with them, returning an intercommunicator. MPI\_COMM\_SPAWN\_MULTIPLE starts several different binaries (or the same binary with different arguments), placing them in the same MPI\_COMM\_WORLD and returning an intercommunicator.

MPI uses the group abstraction to represent processes. A process is identified by a (group, rank) pair.

#### 10.2.2 The Runtime Environment

The MPI\_COMM\_SPAWN and MPI\_COMM\_SPAWN\_MULTIPLE routines provide an interface between MPI and the runtime environment of an MPI application. The difficulty is that there is an enormous range of runtime environments and application requirements, and MPI must not be tailored to any particular one. Examples of such environments are:

• MPP managed by a batch queueing system. Batch queueing systems generally allocate resources before an application begins, enforce limits on resource use (CPU time, memory use, etc.), and do not allow a change in resource allocation after a job begins. Moreover, many MPPs have special limitations or extensions, such as a limit on the number of processes that may run on one processor, or the ability to gang-schedule processes of a parallel application.

- Network of workstations with PVM. PVM (Parallel Virtual Machine) allows a user to create a "virtual machine" out of a network of workstations. An application may extend the virtual machine or manage processes (create, kill, redirect output, etc.) through the PVM library. Requests to manage the machine or processes may be intercepted and handled by an external resource manager.
- Network of workstations managed by a load balancing system. A load balancing system may choose the location of spawned processes based on dynamic quantities, such as load average. It may transparently migrate processes from one machine to another when a resource becomes unavailable.
- Large SMP with Unix. Applications are run directly by the user. They are scheduled at a low level by the operating system. Processes may have special scheduling characteristics (gang-scheduling, processor affinity, deadline scheduling, processor locking, etc.) and be subject to OS resource limits (number of processes, amount of memory, etc.).

MPI assumes, implicitly, the existence of an environment in which an application runs. It does not provide "operating system" services, such as a general ability to query what processes are running, to kill arbitrary processes, to find out properties of the runtime environment (how many processors, how much memory, etc.).

Complex interaction of an MPI application with its runtime environment should be done through an environment-specific API. An example of such an API would be the PVM task and machine management routines — pvm\_addhosts, pvm\_config, pvm\_tasks, etc., possibly modified to return an MPI (group, rank) when possible. A Condor or PBS API would be another possibility.

At some low level, obviously, MPI must be able to interact with the runtime system, but the interaction is not visible at the application level and the details of the interaction are not specified by the MPI standard.

In many cases, it is impossible to keep environment-specific information out of the MPI interface without seriously compromising MPI functionality. To permit applications to take advantage of environment-specific functionality, many MPI routines take an info argument that allows an application to specify environment-specific information. There is a tradeoff between functionality and portability: applications that make use of info are not portable.

MPI does not require the existence of an underlying "virtual machine" model, in which there is a consistent global view of an MPI application and an implicit "operating system" managing resources and processes. For instance, processes spawned by one task may not be visible to another; additional hosts added to the runtime environment by one process may not be visible in another process; tasks spawned by different processes may not be automatically distributed over available resources.

Interaction between MPI and the runtime environment is limited to the following areas:

- A process may start new processes with MPI\_COMM\_SPAWN and MPI\_COMM\_SPAWN\_MULTIPLE.
- When a process spawns a child process, it may optionally use an info argument to tell the runtime environment where or how to start the process. This extra information may be opaque to MPI.

#### Process Manager Interface 10.3

those already running.

#### 10.3.1 Processes in MPI

A process is represented in MPI by a (group, rank) pair. A (group, rank) pair specifies a unique process but a process does not determine a unique (group, rank) pair, since a process may belong to several groups.

• An attribute MPI\_UNIVERSE\_SIZE (See Section 10.5.1) on MPI\_COMM\_WORLD tells a

program how "large" the initial runtime environment is, namely how many processes

can usefully be started in all. One can subtract the size of MPI\_COMM\_WORLD from

this value to find out how many processes might usefully be started in addition to

# 10.3.2 Starting Processes and Establishing Communication

The following routine starts a number of MPI processes and establishes communication with them, returning an intercommunicator.

Advice to users. It is possible in MPI to start a static SPMD or MPMD application by first starting one process and having that process start its siblings with MPI\_COMM\_SPAWN. This practice is discouraged primarily for reasons of performance. If possible, it is preferable to start all processes at once, as a single MPI application. (End of advice to users.)

MPI\_COMM\_SPAWN(command, argv, maxprocs, info, root, comm, intercomm, array\_of\_errcodes)

IN	command	name of program to be spawned (string, significant only at root)
IN	argv	arguments to $\operatorname{command}$ (array of strings, significant only at root)
IN	maxprocs	maximum number of processes to start (integer, significant only at root) $$
IN	info	a set of key-value pairs telling the runtime system where and how to start the processes (handle, significant only at root)
IN	root	rank of process in which previous arguments are examined (integer)
IN	comm	intra communicator containing group of spawning processes (handle) $$
OUT	intercomm	intercommunicator between original group and the newly spawned group (handle)
OUT	array_of_errcodes	one code per process (array of integer)

12

13 14

15

16

17

18

19

20

21

22

24

27

28

29

30

31

32

34

35

36

37

38 39

40

42

43

 $\frac{44}{45}$ 

46

47

```
int MPI_Comm_spawn(const char *command, char *argv[], int maxprocs,
             MPI_Info info, int root, MPI_Comm comm, MPI_Comm *intercomm,
             int array_of_errcodes[])
MPI_Comm_spawn(command, argv, maxprocs, info, root, comm, intercomm,
             array_of_errcodes, ierror)
    CHARACTER(LEN=*), INTENT(IN) :: command, argv(*)
    INTEGER, INTENT(IN) :: maxprocs, root
    TYPE(MPI_Info), INTENT(IN) ::
    TYPE(MPI_Comm), INTENT(IN) ::
    TYPE(MPI_Comm), INTENT(OUT) ::
                                    intercomm
    INTEGER :: array_of_errcodes(*)
    INTEGER, OPTIONAL, INTENT(OUT) :: ierror
MPI_COMM_SPAWN(COMMAND, ARGV, MAXPROCS, INFO, ROOT, COMM, INTERCOMM,
             ARRAY_OF_ERRCODES, IERROR)
    CHARACTER*(*) COMMAND, ARGV(*)
    INTEGER INFO, MAXPROCS, ROOT, COMM, INTERCOMM, ARRAY_OF_ERRCODES(*),
    IERROR
```

MPI\_COMM\_SPAWN tries to start maxprocs identical copies of the MPI program specified by command, establishing communication with them and returning an intercommunicator. The spawned processes are referred to as children. The children have their own MPI\_COMM\_WORLD, which is separate from that of the parents. MPI\_COMM\_SPAWN is collective over comm, and also may not return until MPI\_INIT has been called in the children. Similarly, MPI\_INIT in the children may not return until all parents have called MPI\_COMM\_SPAWN. In this sense, MPI\_COMM\_SPAWN in the parents and MPI\_INIT in the children form a collective operation over the union of parent and child processes. The intercommunicator returned by MPI\_COMM\_SPAWN contains the parent processes in the local group and the child processes in the remote group. The ordering of processes in the local and remote groups is the same as the ordering of the group of the comm in the parents and of MPI\_COMM\_WORLD of the children, respectively. This intercommunicator can be obtained in the children through the function MPI\_COMM\_GET\_PARENT.

Advice to users. An implementation may automatically establish communication before MPI\_INIT is called by the children. Thus, completion of MPI\_COMM\_SPAWN in the parent does not necessarily mean that MPI\_INIT has been called in the children (although the returned intercommunicator can be used immediately). (End of advice to users.)

The command argument The command argument is a string containing the name of a program to be spawned. The string is null-terminated in C. In Fortran, leading and trailing spaces are stripped. MPI does not specify how to find the executable or how the working directory is determined. These rules are implementation-dependent and should be appropriate for the runtime environment.

Advice to implementors. The implementation should use a natural rule for finding executables and determining working directories. For instance, a homogeneous system with a global file system might look first in the working directory of the spawning

process, or might search the directories in a PATH environment variable as do Unix shells. An implementation on top of PVM would use PVM's rules for finding executables (usually in \$HOME/pvm3/bin/\$PVM\_ARCH). An MPI implementation running under POE on an IBM SP would use POE's method of finding executables. An implementation should document its rules for finding executables and determining working directories, and a high-quality implementation should give the user some control over these rules. (End of advice to implementors.)

If the program named in command does not call MPI\_INIT, but instead forks a process that calls MPI\_INIT, the results are undefined. Implementations may allow this case to work but are not required to.

Advice to users. MPI does not say what happens if the program you start is a shell script and that shell script starts a program that calls MPI\_INIT. Though some implementations may allow you to do this, they may also have restrictions, such as requiring that arguments supplied to the shell script be supplied to the program, or requiring that certain parts of the environment not be changed. (End of advice to users.)

The argv argument argv is an array of strings containing arguments that are passed to the program. The first element of argv is the first argument passed to command, not, as is conventional in some contexts, the command itself. The argument list is terminated by NULL in C and an empty string in Fortran. In Fortran, leading and trailing spaces are always stripped, so that a string consisting of all spaces is considered an empty string. The constant MPI\_ARGV\_NULL may be used in C and Fortran to indicate an empty argument list. In C this constant is the same as NULL.

#### **Example 10.1** Examples of argv in C and Fortran

To run the program "ocean" with arguments "-gridfile" and "ocean1.grd" in C:

```
char command[] = "ocean";
char *argv[] = {"-gridfile", "ocean1.grd", NULL};
MPI_Comm_spawn(command, argv, ...);
```

or, if not everything is known at compile time:

```
35
             char *command;
36
             char **argv;
37
             command = "ocean";
38
             argv=(char **)malloc(3 * sizeof(char *));
39
             argv[0] = "-gridfile";
             argv[1] = "ocean1.grd";
41
             argv[2] = NULL;
42
            MPI_Comm_spawn(command, argv, ...);
43
44
```

In Fortran:

 $\frac{44}{45}$ 

```
CHARACTER*25 command, argv(3)
command = ' ocean '
argv(1) = ' -gridfile '
argv(2) = ' ocean1.grd'
argv(3) = ' '
call MPI_COMM_SPAWN(command, argv, ...)
```

Arguments are supplied to the program if this is allowed by the operating system. In C, the MPI\_COMM\_SPAWN argument argv differs from the argv argument of main in two respects. First, it is shifted by one element. Specifically, argv[0] of main is provided by the implementation and conventionally contains the name of the program (given by command). argv[1] of main corresponds to argv[0] in MPI\_COMM\_SPAWN, argv[2] of main to argv[1] of MPI\_COMM\_SPAWN, etc. Passing an argv of MPI\_ARGV\_NULL to MPI\_COMM\_SPAWN results in main receiving argc of 1 and an argv whose element 0 is (conventionally) the name of the program. Second, argv of MPI\_COMM\_SPAWN must be null-terminated, so that its length can be determined.

If a Fortran implementation supplies routines that allow a program to obtain its arguments, the arguments may be available through that mechanism. In C, if the operating system does not support arguments appearing in argv of main(), the MPI implementation may add the arguments to the argv that is passed to MPI\_INIT.

The maxprocs argument MPI tries to spawn maxprocs processes. If it is unable to spawn maxprocs processes, it raises an error of class MPI\_ERR\_SPAWN.

An implementation may allow the info argument to change the default behavior, such that if the implementation is unable to spawn all maxprocs processes, it may spawn a smaller number of processes instead of raising an error. In principle, the info argument may specify an arbitrary set  $\{m_i : 0 \le m_i \le \text{maxprocs}\}$  of allowed values for the number of processes spawned. The set  $\{m_i\}$  does not necessarily include the value maxprocs. If an implementation is able to spawn one of these allowed numbers of processes,

MPI\_COMM\_SPAWN returns successfully and the number of spawned processes, m, is given by the size of the remote group of intercomm. If m is less than maxproc, reasons why the other processes were not spawned are given in array\_of\_errcodes as described below. If it is not possible to spawn one of the allowed numbers of processes, MPI\_COMM\_SPAWN raises an error of class MPI\_ERR\_SPAWN.

A spawn call with the default behavior is called *hard*. A spawn call for which fewer than maxprocs processes may be returned is called soft. See Section 10.3.4 for more information on the soft key for info.

Advice to users. By default, requests are hard and MPI errors are fatal. This means that by default there will be a fatal error if MPI cannot spawn all the requested processes. If you want the behavior "spawn as many processes as possible, up to N," you should do a soft spawn, where the set of allowed values  $\{m_i\}$  is  $\{0...N\}$ . However, this is not completely portable, as implementations are not required to support soft spawning. (End of advice to users.)

The info argument The info argument to all of the routines in this chapter is an opaque handle of type MPI\_Info in C and Fortran with the mpi\_f08 module and INTEGER in Fortran with the mpi module or the include file mpif.h. It is a container for a

number of user-specified (key,value) pairs. key and value are strings (null-terminated char\* in C, character\*(\*) in Fortran). Routines to create and manipulate the info argument are described in Chapter 9.

For the SPAWN calls, info provides additional (and possibly implementation-dependent) instructions to MPI and the runtime system on how to start processes. An application may pass MPI\_INFO\_NULL in C or Fortran. Portable programs not requiring detailed control over process locations should use MPI\_INFO\_NULL.

MPI does not specify the content of the info argument, except to reserve a number of special key values (see Section 10.3.4). The info argument is quite flexible and could even be used, for example, to specify the executable and its command-line arguments. In this case the command argument to MPI\_COMM\_SPAWN could be empty. The ability to do this follows from the fact that MPI does not specify how an executable is found, and the info argument can tell the runtime system where to "find" the executable "" (empty string). Of course a program that does this will not be portable across MPI implementations.

The root argument All arguments before the root argument are examined only on the process whose rank in comm is equal to root. The value of these arguments on other processes is ignored.

The array\_of\_errcodes argument The array\_of\_errcodes is an array of length maxprocs in which MPI reports the status of each process that MPI was requested to start. If all maxprocs processes were spawned, array\_of\_errcodes is filled in with the value MPI\_SUCCESS. If only m ( $0 \le m < \text{maxprocs}$ ) processes are spawned, m of the entries will contain MPI\_SUCCESS and the rest will contain an implementation-specific error code indicating the reason MPI could not start the process. MPI does not specify which entries correspond to failed processes. An implementation may, for instance, fill in error codes in one-to-one correspondence with a detailed specification in the info argument. These error codes all belong to the error class MPI\_ERR\_SPAWN if there was no error in the argument list. In C or Fortran, an application may pass MPI\_ERRCODES\_IGNORE if it is not interested in the error codes.

Advice to implementors. MPI\_ERRCODES\_IGNORE in Fortran is a special type of constant, like MPI\_BOTTOM. See the discussion in Section 2.5.4. (End of advice to implementors.)

```
MPI_COMM_GET_PARENT(parent)
OUT parent the parent communicator (handle)
int MPI_Comm_get_parent(MPI_Comm *parent)
MPI_Comm_get_parent(parent, ierror)
    TYPE(MPI_Comm), INTENT(OUT) :: parent
    INTEGER, OPTIONAL, INTENT(OUT) :: ierror
MPI_COMM_GET_PARENT(PARENT, IERROR)
    INTEGER PARENT, IERROR
```

If a process was started with MPI\_COMM\_SPAWN or MPI\_COMM\_SPAWN\_MULTIPLE, MPI\_COMM\_GET\_PARENT returns the "parent" intercommunicator of the current process.

This parent intercommunicator is created implicitly inside of MPI\_INIT and is the same intercommunicator returned by SPAWN in the parents.

If the process was not spawned, MPI\_COMM\_GET\_PARENT returns MPI\_COMM\_NULL. After the parent communicator is freed or disconnected, MPI\_COMM\_GET\_PARENT returns MPI\_COMM\_NULL.

Advice to users. MPI\_COMM\_GET\_PARENT returns a handle to a single intercommunicator. Calling MPI\_COMM\_GET\_PARENT a second time returns a handle to the same intercommunicator. Freeing the handle with MPI\_COMM\_DISCONNECT or MPI\_COMM\_FREE will cause other references to the intercommunicator to become invalid (dangling). Note that calling MPI\_COMM\_FREE on the parent communicator is not useful. (End of advice to users.)

Rationale. The desire of the Forum was to create a constant MPI\_COMM\_PARENT similar to MPI\_COMM\_WORLD. Unfortunately such a constant cannot be used (syntactically) as an argument to MPI\_COMM\_DISCONNECT, which is explicitly allowed. (End of rationale.)

#### 10.3.3 Starting Multiple Executables and Establishing Communication

While MPI\_COMM\_SPAWN is sufficient for most cases, it does not allow the spawning of multiple binaries, or of the same binary with multiple sets of arguments. The following routine spawns multiple binaries or the same binary with multiple sets of arguments, establishing communication with them and placing them in the same MPI\_COMM\_WORLD.

```
1
     MPI_COMM_SPAWN_MULTIPLE(count, array_of_commands, array_of_argv,
2
                    array_of_maxprocs, array_of_info, root, comm, intercomm, array_of_errcodes)
3
4
                                             number of commands (positive integer, significant to
       IN
                 count
5
                                             MPI only at root — see advice to users)
6
       IN
                 array_of_commands
                                             programs to be executed (array of strings, significant
                                             only at root)
9
       IN
                 array_of_argv
                                             arguments for commands (array of array of strings,
10
                                             significant only at root)
11
       IN
                 array_of_maxprocs
                                             maximum number of processes to start for each com-
12
                                             mand (array of integer, significant only at root)
13
       IN
                 array_of_info
                                             info objects telling the runtime system where and how
14
                                             to start processes (array of handles, significant only at
15
                                             root)
16
17
       IN
                                             rank of process in which previous arguments are ex-
                 root
18
                                             amined (integer)
19
       IN
                                             intracommunicator containing group of spawning pro-
                 comm
20
                                             cesses (handle)
21
       OUT
                 intercomm
                                             intercommunicator between original group and newly
22
                                             spawned group (handle)
23
24
       OUT
                 array_of_errcodes
                                             one error code per process (array of integer)
26
     int MPI_Comm_spawn_multiple(int count, char *array_of_commands[],
27
                    char **array_of_argv[], const int array_of_maxprocs[], const
28
                    MPI_Info array_of_info[], int root, MPI_Comm comm,
29
                    MPI_Comm *intercomm, int array_of_errcodes[])
30
     MPI_Comm_spawn_multiple(count, array_of_commands, array_of_argv,
31
                    array_of_maxprocs, array_of_info, root, comm, intercomm,
32
                    array_of_errcodes, ierror)
33
          INTEGER, INTENT(IN) :: count, array_of_maxprocs(*), root
34
          CHARACTER(LEN=*), INTENT(IN) :: array_of_commands(*)
35
          CHARACTER(LEN=*), INTENT(IN) :: array_of_argv(count, *)
36
          TYPE(MPI_Info), INTENT(IN) :: array_of_info(*)
37
          TYPE(MPI_Comm), INTENT(IN) :: comm
38
          TYPE(MPI_Comm), INTENT(OUT) ::
                                              intercomm
39
          INTEGER :: array_of_errcodes(*)
          INTEGER, OPTIONAL, INTENT(OUT) ::
41
42
     MPI_COMM_SPAWN_MULTIPLE(COUNT, ARRAY_OF_COMMANDS, ARRAY_OF_ARGV,
43
                    ARRAY_OF_MAXPROCS, ARRAY_OF_INFO, ROOT, COMM, INTERCOMM,
44
                    ARRAY_OF_ERRCODES, IERROR)
45
          INTEGER COUNT, ARRAY_OF_INFO(*), ARRAY_OF_MAXPROCS(*), ROOT, COMM,
46
          INTERCOMM, ARRAY_OF_ERRCODES(*), IERROR
47
          CHARACTER*(*) ARRAY_OF_COMMANDS(*), ARRAY_OF_ARGV(COUNT, *)
```

MPI\_COMM\_SPAWN\_MULTIPLE is identical to MPI\_COMM\_SPAWN except that there are multiple executable specifications. The first argument, count, gives the number of specifications. Each of the next four arguments are simply arrays of the corresponding arguments in MPI\_COMM\_SPAWN. For the Fortran version of array\_of\_argv, the element array\_of\_argv(i,j) is the j-th argument to command number i.

Rationale. This may seem backwards to Fortran programmers who are familiar with Fortran's column-major ordering. However, it is necessary to do it this way to allow MPI\_COMM\_SPAWN to sort out arguments. Note that the leading dimension of array\_of\_argv must be the same as count. Also note that Fortran rules for sequence association allow a different value in the first dimension; in this case, the sequence of array elements is interpreted by MPI\_COMM\_SPAWN\_MULTIPLE as if the sequence is stored in an array defined with the first dimension set to count. This Fortran feature allows an implementor to define MPI\_ARGVS\_NULL (see below) with fixed dimensions, e.g., (1,1), or only with one dimension, e.g., (1). (End of rationale.)

Advice to users. The argument count is interpreted by MPI only at the root, as is array\_of\_argv. Since the leading dimension of array\_of\_argv is count, a non-positive value of count at a non-root node could theoretically cause a runtime bounds check error, even though array\_of\_argv should be ignored by the subroutine. If this happens, you should explicitly supply a reasonable value of count on the non-root nodes. (End of advice to users.)

In any language, an application may use the constant MPI\_ARGVS\_NULL (which is likely to be (char \*\*\*)0 in C) to specify that no arguments should be passed to any commands. The effect of setting individual elements of array\_of\_argv to MPI\_ARGV\_NULL is not defined. To specify arguments for some commands but not others, the commands without arguments should have a corresponding argv whose first element is null ((char \*)0 in C and empty string in Fortran). In Fortran at non-root processes, the count argument must be set to a value that is consistent with the provided array\_of\_argv although the content of these arguments has no meaning for this operation.

All of the spawned processes have the same MPI\_COMM\_WORLD. Their ranks in MPI\_COMM\_WORLD correspond directly to the order in which the commands are specified in MPI\_COMM\_SPAWN\_MULTIPLE. Assume that  $m_1$  processes are generated by the first command,  $m_2$  by the second, etc. The processes corresponding to the first command have ranks  $0, 1, \ldots, m_1 - 1$ . The processes in the second command have ranks  $m_1, m_1 + 1, \ldots, m_1 + m_2 - 1$ . The processes in the third have ranks  $m_1 + m_2 + 1, \ldots, m_1 + m_2 + m_3 - 1$ , etc.

Advice to users. Calling MPI\_COMM\_SPAWN multiple times would create many sets of children with different MPI\_COMM\_WORLDs whereas

MPI\_COMM\_SPAWN\_MULTIPLE creates children with a single MPI\_COMM\_WORLD, so the two methods are not completely equivalent. There are also two performance-related reasons why, if you need to spawn multiple executables, you may want to use MPI\_COMM\_SPAWN\_MULTIPLE instead of calling MPI\_COMM\_SPAWN several times. First, spawning several things at once may be faster than spawning them sequentially. Second, in some implementations, communication between processes spawned at the same time may be faster than communication between processes spawned separately. (End of advice to users.)

 $\frac{45}{46}$ 

The array\_of\_errcodes argument is a 1-dimensional array of size  $\sum_{i=1}^{count} n_i$ , where  $n_i$  is the *i*-th element of array\_of\_maxprocs. Command number *i* corresponds to the  $n_i$  contiguous slots in this array from element  $\sum_{j=1}^{i-1} n_j$  to  $\left[\sum_{j=1}^{i} n_j\right] - 1$ . Error codes are treated as for MPI\_COMM\_SPAWN.

#### Example 10.2 Examples of array\_of\_argv in C and Fortran

To run the program "ocean" with arguments "-gridfile" and "ocean1.grd" and the program "atmos" with argument "atmos.grd" in C:

```
char *array_of_commands[2] = {"ocean", "atmos"};
char **array_of_argv[2];
char *argv0[] = {"-gridfile", "ocean1.grd", (char *)0};
char *argv1[] = {"atmos.grd", (char *)0};
array_of_argv[0] = argv0;
array_of_argv[1] = argv1;
MPI_Comm_spawn_multiple(2, array_of_commands, array_of_argv, ...);
```

Here is how you do it in Fortran:

```
CHARACTER*25 commands(2), array_of_argv(2, 3)
commands(1) = ' ocean '
array_of_argv(1, 1) = ' -gridfile '
array_of_argv(1, 2) = ' ocean1.grd'
array_of_argv(1, 3) = ' '

commands(2) = ' atmos '
array_of_argv(2, 1) = ' atmos.grd '
array_of_argv(2, 2) = ' '
call MPI_COMM_SPAWN_MULTIPLE(2, commands, array_of_argv, ...)
```

#### 10.3.4 Reserved Keys

The following keys are reserved. An implementation is not required to interpret these keys, but if it does interpret the key, it must provide the functionality described.

host Value is a hostname. The format of the hostname is determined by the implementation.

- arch Value is an architecture name. Valid architecture names and what they mean are determined by the implementation.
- wdir Value is the name of a directory on a machine on which the spawned process(es) execute(s). This directory is made the working directory of the executing process(es). The format of the directory name is determined by the implementation.
- path Value is a directory or set of directories where the implementation should look for the executable. The format of path is determined by the implementation.
- file Value is the name of a file in which additional information is specified. The format of the filename and internal format of the file are determined by the implementation.

5 6

11

12

13

14

15 16

18

19 20

21

22

23

2425

26 27

28 29

30 31

33

34

35

36 37

38

39

41 42

43 44

45 46

47

soft Value specifies a set of numbers which are allowed values for the number of processes that MPI\_COMM\_SPAWN (et al.) may create. The format of the value is a comma-separated list of Fortran-90 triplets each of which specifies a set of integers and which together specify the set formed by the union of these sets. Negative values in this set and values greater than maxprocs are ignored. MPI will spawn the largest number of processes it can, consistent with some number in the set. The order in which triplets are given is not significant.

By Fortran-90 triplets, we mean:

- 1.  $\mathbf{a}$  means a
- 2. **a:b** means a, a + 1, a + 2, ..., b
- 3. a:b:c means  $a, a+c, a+2c, \ldots, a+ck$ , where for c>0, k is the largest integer for which  $a+ck \leq b$  and for c<0, k is the largest integer for which  $a+ck \geq b$ . If b>a then c must be positive. If b<a then c must be negative.

#### Examples:

- 1. a:b gives a range between a and b
- 2. 0:N gives full "soft" functionality
- 3. 1,2,4,8,16,32,64,128,256,512,1024,2048,4096 allows a power-of-two number of processes.
- 4. 2:10000:2 allows an even number of processes.
- 5. 2:10:2,7 allows 2, 4, 6, 7, 8, or 10 processes.

### 10.3.5 Spawn Example

Manager-worker Example Using MPI\_COMM\_SPAWN

} else universe\_size = \*universe\_sizep;

```
/* manager */
#include "mpi.h"
int main(int argc, char *argv[])
   int world_size, universe_size, *universe_sizep, flag;
  MPI_Comm everyone;
                                /* intercommunicator */
   char worker_program[100];
  MPI_Init(&argc, &argv);
  MPI_Comm_size(MPI_COMM_WORLD, &world_size);
   if (world_size != 1)
                           error("Top heavy with management");
  MPI_Comm_get_attr(MPI_COMM_WORLD, MPI_UNIVERSE_SIZE,
                     &universe_sizep, &flag);
   if (!flag) {
        printf("This MPI does not support UNIVERSE_SIZE. How many\n\
processes total?");
        scanf("%d", &universe_size);
```

```
1
        if (universe_size == 1) error("No room to start workers");
2
3
        /*
         * Now spawn the workers. Note that there is a run-time determination
5
         * of what type of worker to spawn, and presumably this calculation must
6
         * be done at run time and cannot be calculated before starting
7
         * the program. If everything is known when the application is
8
         * first started, it is generally better to start them all at once
         * in a single MPI_COMM_WORLD.
10
         */
11
12
        choose_worker_program(worker_program);
13
        MPI_Comm_spawn(worker_program, MPI_ARGV_NULL, universe_size-1,
14
                  MPI_INFO_NULL, O, MPI_COMM_SELF, &everyone,
15
                  MPI_ERRCODES_IGNORE);
16
        /*
         * Parallel code here. The communicator "everyone" can be used
18
         * to communicate with the spawned processes, which have ranks 0,...
19
         * MPI_UNIVERSE_SIZE-1 in the remote group of the intercommunicator
20
         * "everyone".
21
         */
22
23
        MPI_Finalize();
^{24}
        return 0;
     }
26
     /* worker */
27
28
     #include "mpi.h"
29
     int main(int argc, char *argv[])
30
31
     {
32
        int size;
33
        MPI_Comm parent;
34
        MPI_Init(&argc, &argv);
        MPI_Comm_get_parent(&parent);
35
        if (parent == MPI_COMM_NULL) error("No parent!");
36
        MPI_Comm_remote_size(parent, &size);
37
        if (size != 1) error("Something's wrong with the parent");
        /*
41
         * Parallel code here.
         st The manager is represented as the process with rank 0 in (the remote
42
         * group of) the parent communicator. If the workers need to communicate
43
         * among themselves, they can use MPI_COMM_WORLD.
44
         */
45
46
47
        MPI_Finalize();
        return 0;
```

 $\frac{44}{45}$ 

}

# 10.4 Establishing Communication

This section provides functions that establish communication between two sets of MPI processes that do not share a communicator.

Some situations in which these functions are useful are:

- 1. Two parts of an application that are started independently need to communicate.
- 2. A visualization tool wants to attach to a running process.
- 3. A server wants to accept connections from multiple clients. Both clients and server may be parallel programs.

In each of these situations, MPI must establish communication channels where none existed before, and there is no parent/child relationship. The routines described in this section establish communication between the two sets of processes by creating an MPI intercommunicator, where the two groups of the intercommunicator are the original sets of processes.

Establishing contact between two groups of processes that do not share an existing communicator is a collective but asymmetric process. One group of processes indicates its willingness to accept connections from other groups of processes. We will call this group the (parallel) *server*, even if this is not a client/server type of application. The other group connects to the server; we will call it the *client*.

Advice to users. While the names client and server are used throughout this section, MPI does not guarantee the traditional robustness of client/server systems. The functionality described in this section is intended to allow two cooperating parts of the same application to communicate with one another. For instance, a client that gets a segmentation fault and dies, or one that does not participate in a collective operation may cause a server to crash or hang. (End of advice to users.)

#### 10.4.1 Names, Addresses, Ports, and All That

Almost all of the complexity in MPI client/server routines addresses the question "how does the client find out how to contact the server?" The difficulty, of course, is that there is no existing communication channel between them, yet they must somehow agree on a rendezvous point where they will establish communication.

Agreeing on a rendezvous point always involves a third party. The third party may itself provide the rendezvous point or may communicate rendezvous information from server to client. Complicating matters might be the fact that a client does not really care what server it contacts, only that it be able to get in touch with one that can handle its request.

Ideally, MPI can accommodate a wide variety of run-time systems while retaining the ability to write simple, portable code. The following should be compatible with MPI:

- The server resides at a well-known internet address host:port.
- The server prints out an address to the terminal; the user gives this address to the client program.

 • The server places the address information on a nameserver, where it can be retrieved with an agreed-upon name.

• The server to which the client connects is actually a broker, acting as a middleman between the client and the real server.

MPI does not require a nameserver, so not all implementations will be able to support all of the above scenarios. However, MPI provides an optional nameserver interface, and is compatible with external name servers.

A port\_name is a *system-supplied* string that encodes a low-level network address at which a server can be contacted. Typically this is an IP address and a port number, but an implementation is free to use any protocol. The server establishes a port\_name with the MPI\_OPEN\_PORT routine. It accepts a connection to a given port with MPI\_COMM\_ACCEPT. A client uses port\_name to connect to the server.

By itself, the port\_name mechanism is completely portable, but it may be clumsy to use because of the necessity to communicate port\_name to the client. It would be more convenient if a server could specify that it be known by an *application-supplied* service\_name so that the client could connect to that service\_name without knowing the port\_name.

An MPI implementation may allow the server to publish a (port\_name, service\_name) pair with MPI\_PUBLISH\_NAME and the client to retrieve the port name from the service name with MPI\_LOOKUP\_NAME. This allows three levels of portability, with increasing levels of functionality.

- 1. Applications that do not rely on the ability to publish names are the most portable. Typically the port\_name must be transferred "by hand" from server to client.
- 2. Applications that use the MPI\_PUBLISH\_NAME mechanism are completely portable among implementations that provide this service. To be portable among all implementations, these applications should have a fall-back mechanism that can be used when names are not published.
- 3. Applications may ignore MPI's name publishing functionality and use their own mechanism (possibly system-supplied) to publish names. This allows arbitrary flexibility but is not portable.

#### 10.4.2 Server Routines

A server makes itself available with two routines. First it must call MPI\_OPEN\_PORT to establish a port at which it may be contacted. Secondly it must call MPI\_COMM\_ACCEPT to accept connections from clients.

```
MPI_OPEN_PORT(info, port_name)

IN info implementation-specific information on how to establish an address (handle)

OUT port_name newly established port (string)
```

```
int MPI_Open_port(MPI_Info info, char *port_name)
MPI_Open_port(info, port_name, ierror)
```

```
TYPE(MPI_Info), INTENT(IN) :: info
CHARACTER(LEN=MPI_MAX_PORT_NAME), INTENT(OUT) :: port_name
INTEGER, OPTIONAL, INTENT(OUT) :: ierror

MPI_OPEN_PORT(INFO, PORT_NAME, IERROR)
CHARACTER*(*) PORT_NAME
INTEGER INFO, IERROR
```

This function establishes a network address, encoded in the port\_name string, at which the server will be able to accept connections from clients. port\_name is supplied by the system, possibly using information in the info argument.

MPI copies a system-supplied port name into port\_name. port\_name identifies the newly opened port and can be used by a client to contact the server. The maximum size string that may be supplied by the system is MPI\_MAX\_PORT\_NAME.

Advice to users. The system copies the port name into port\_name. The application must pass a buffer of sufficient size to hold this value. (End of advice to users.)

port\_name is essentially a network address. It is unique within the communication universe to which it belongs (determined by the implementation), and may be used by any client within that communication universe. For instance, if it is an internet (host:port) address, it will be unique on the internet. If it is a low level switch address on an IBM SP, it will be unique to that SP.

Advice to implementors. These examples are not meant to constrain implementations. A port\_name could, for instance, contain a user name or the name of a batch job, as long as it is unique within some well-defined communication domain. The larger the communication domain, the more useful MPI's client/server functionality will be. (End of advice to implementors.)

The precise form of the address is implementation-defined. For instance, an internet address may be a host name or IP address, or anything that the implementation can decode into an IP address. A port name may be reused after it is freed with MPI\_CLOSE\_PORT and released by the system.

Advice to implementors. Since the user may type in port\_name by hand, it is useful to choose a form that is easily readable and does not have embedded spaces. (End of advice to implementors.)

info may be used to tell the implementation how to establish the address. It may, and usually will, be MPI\_INFO\_NULL in order to get the implementation defaults.

```
1
     MPI_CLOSE_PORT(PORT_NAME, IERROR)
2
          CHARACTER*(*) PORT_NAME
3
          INTEGER IERROR
4
     This function releases the network address represented by port_name.
5
6
7
     MPI_COMM_ACCEPT(port_name, info, root, comm, newcomm)
8
       IN
                 port_name
                                             port name (string, used only on root)
9
10
       IN
                 info
                                            implementation-dependent information (handle, used
11
                                             only on root)
12
       IN
                 root
                                            rank in comm of root node (integer)
13
       IN
                 comm
                                            intracommunicator over which call is collective (han-
14
                                             dle)
15
16
       OUT
                 newcomm
                                            intercommunicator with client as remote group (han-
17
                                             dle)
18
19
     int MPI_Comm_accept(const char *port_name, MPI_Info info, int root,
20
                    MPI_Comm comm, MPI_Comm *newcomm)
21
     MPI_Comm_accept(port_name, info, root, comm, newcomm, ierror)
22
          CHARACTER(LEN=*), INTENT(IN) :: port_name
23
          TYPE(MPI_Info), INTENT(IN) :: info
24
          INTEGER, INTENT(IN) :: root
25
          TYPE(MPI_Comm), INTENT(IN) ::
26
          TYPE(MPI_Comm), INTENT(OUT) :: newcomm
27
          INTEGER, OPTIONAL, INTENT(OUT) :: ierror
28
29
     MPI_COMM_ACCEPT(PORT_NAME, INFO, ROOT, COMM, NEWCOMM, IERROR)
30
          CHARACTER*(*) PORT_NAME
31
          INTEGER INFO, ROOT, COMM, NEWCOMM, IERROR
32
          MPI_COMM_ACCEPT establishes communication with a client. It is collective over the
33
     calling communicator. It returns an intercommunicator that allows communication with the
34
     client.
35
         The port_name must have been established through a call to MPI_OPEN_PORT.
36
```

info can be used to provide directives that may influence the behavior of the ACCEPT

## 10.4.3 Client Routines

37

38 39

40 41

call.

There is only one routine on the client side.

6

10

11 12

13

14 15

16

18

19

20

21

22

23

24

26

27

28

29

30

31

34

35 36

37

38

39

41

42

43 44

45 46

47

```
MPI_COMM_CONNECT(port_name, info, root, comm, newcomm)
  IN
                                      network address (string, used only on root)
           port_name
  IN
           info
                                      implementation-dependent information (handle, used
                                      only on root)
  IN
           root
                                      rank in comm of root node (integer)
  IN
                                      intracommunicator over which call is collective (han-
           comm
                                      dle)
  OUT
           newcomm
                                      intercommunicator with server as remote group (han-
                                      dle)
int MPI_Comm_connect(const char *port_name, MPI_Info info, int root,
              MPI_Comm comm, MPI_Comm *newcomm)
MPI_Comm_connect(port_name, info, root, comm, newcomm, ierror)
    CHARACTER(LEN=*), INTENT(IN) :: port_name
    TYPE(MPI_Info), INTENT(IN) :: info
    INTEGER, INTENT(IN) :: root
    TYPE(MPI_Comm), INTENT(IN) ::
    TYPE(MPI_Comm), INTENT(OUT) ::
    INTEGER, OPTIONAL, INTENT(OUT) :: ierror
MPI_COMM_CONNECT(PORT_NAME, INFO, ROOT, COMM, NEWCOMM, IERROR)
    CHARACTER*(*) PORT_NAME
    INTEGER INFO, ROOT, COMM, NEWCOMM, IERROR
```

This routine establishes communication with a server specified by port\_name. It is collective over the calling communicator and returns an intercommunicator in which the remote group participated in an MPI\_COMM\_ACCEPT.

If the named port does not exist (or has been closed), MPI\_COMM\_CONNECT raises an error of class MPI\_ERR\_PORT.

If the port exists, but does not have a pending MPI\_COMM\_ACCEPT, the connection attempt will eventually time out after an implementation-defined time, or succeed when the server calls MPI\_COMM\_ACCEPT. In the case of a time out, MPI\_COMM\_CONNECT raises an error of class MPI\_ERR\_PORT.

Advice to implementors. The time out period may be arbitrarily short or long. However, a high-quality implementation will try to queue connection attempts so that a server can handle simultaneous requests from several clients. A high-quality implementation may also provide a mechanism, through the info arguments to MPI\_OPEN\_PORT, MPI\_COMM\_ACCEPT, and/or MPI\_COMM\_CONNECT, for the user to control timeout and queuing behavior. (End of advice to implementors.)

MPI provides no guarantee of fairness in servicing connection attempts. That is, connection attempts are not necessarily satisfied in the order they were initiated and competition from other connection attempts may prevent a particular connection attempt from being satisfied.

port\_name is the address of the server. It must be the same as the name returned by MPI\_OPEN\_PORT on the server. Some freedom is allowed here. If there are equivalent

forms of port\_name, an implementation may accept them as well. For instance, if port\_name is (hostname:port), an implementation may accept (ip\_address:port) as well.

### 10.4.4 Name Publishing

The routines in this section provide a mechanism for publishing names. A (service\_name, port\_name) pair is published by the server, and may be retrieved by a client using the service\_name only. An MPI implementation defines the *scope* of the service\_name, that is, the domain over which the service\_name can be retrieved. If the domain is the empty set, that is, if no client can retrieve the information, then we say that name publishing is not supported. Implementations should document how the scope is determined. High-quality implementations will give some control to users through the info arguments to name publishing functions. Examples are given in the descriptions of individual functions.

#### MPI\_PUBLISH\_NAME(service\_name, info, port\_name)

```
IN service_name a service name to associate with the port (string)

IN info implementation-specific information (handle)

IN port_name a port name (string)
```

```
MPI_Publish_name(service_name, info, port_name, ierror)
    TYPE(MPI_Info), INTENT(IN) :: info
    CHARACTER(LEN=*), INTENT(IN) :: service_name, port_name
    INTEGER, OPTIONAL, INTENT(OUT) :: ierror

MPI_PUBLISH_NAME(SERVICE_NAME, INFO, PORT_NAME, IERROR)
    INTEGER INFO, IERROR
    CHARACTER*(*) SERVICE_NAME, PORT_NAME
```

This routine publishes the pair (port\_name, service\_name) so that an application may retrieve a system-supplied port\_name using a well-known service\_name.

The implementation must define the *scope* of a published service name, that is, the domain over which the service name is unique, and conversely, the domain over which the (port name, service name) pair may be retrieved. For instance, a service name may be unique to a job (where job is defined by a distributed operating system or batch scheduler), unique to a machine, or unique to a Kerberos realm. The scope may depend on the info argument to MPI\_PUBLISH\_NAME.

MPI permits publishing more than one service\_name for a single port\_name. On the other hand, if service\_name has already been published within the scope determined by info, the behavior of MPI\_PUBLISH\_NAME is undefined. An MPI implementation may, through a mechanism in the info argument to MPI\_PUBLISH\_NAME, provide a way to allow multiple servers with the same service in the same scope. In this case, an implementation-defined policy will determine which of several port names is returned by MPI\_LOOKUP\_NAME.

Note that while service\_name has a limited scope, determined by the implementation, port\_name always has global scope within the communication universe used by the imple-

mentation (i.e., it is globally unique).

port\_name should be the name of a port established by MPI\_OPEN\_PORT and not yet released by MPI\_CLOSE\_PORT. If it is not, the result is undefined.

Advice to implementors. In some cases, an MPI implementation may use a name service that a user can also access directly. In this case, a name published by MPI could easily conflict with a name published by a user. In order to avoid such conflicts, MPI implementations should mangle service names so that they are unlikely to conflict with user code that makes use of the same service. Such name mangling will of course be completely transparent to the user.

The following situation is problematic but unavoidable, if we want to allow implementations to use nameservers. Suppose there are multiple instances of "ocean" running on a machine. If the scope of a service name is confined to a job, then multiple oceans can coexist. If an implementation provides site-wide scope, however, multiple instances are not possible as all calls to MPI\_PUBLISH\_NAME after the first may fail. There is no universal solution to this.

To handle these situations, a high-quality implementation should make it possible to limit the domain over which names are published. (*End of advice to implementors.*)

#### MPI\_UNPUBLISH\_NAME(service\_name, info, port\_name)

```
IN service_name a service name (string)IN info implementation-specific information (handle)IN port_name a port name (string)
```

```
MPI_Unpublish_name(service_name, info, port_name, ierror)
    CHARACTER(LEN=*), INTENT(IN) :: service_name, port_name
    TYPE(MPI_Info), INTENT(IN) :: info
    INTEGER, OPTIONAL, INTENT(OUT) :: ierror
```

```
MPI_UNPUBLISH_NAME(SERVICE_NAME, INFO, PORT_NAME, IERROR)
INTEGER INFO, IERROR
CHARACTER*(*) SERVICE_NAME, PORT_NAME
```

This routine unpublishes a service name that has been previously published. Attempting to unpublish a name that has not been published or has already been unpublished is erroneous and is indicated by the error class MPI\_ERR\_SERVICE.

All published names must be unpublished before the corresponding port is closed and before the publishing process exits. The behavior of MPI\_UNPUBLISH\_NAME is implementation dependent when a process tries to unpublish a name that it did not publish.

If the info argument was used with MPI\_PUBLISH\_NAME to tell the implementation how to publish names, the implementation may require that info passed to MPI\_UNPUBLISH\_NAME contain information to tell the implementation how to unpublish a name.

20

21

22

23

24

25 26

27

28 29

30

31 32

33

34 35

36

37

38 39

40

41 42

43

44

45 46 47

48

```
1
     MPI_LOOKUP_NAME(service_name, info, port_name)
2
       IN
                service_name
                                           a service name (string)
3
       IN
                info
                                           implementation-specific information (handle)
4
5
       OUT
                port_name
                                           a port name (string)
6
7
     int MPI_Lookup_name(const char *service_name, MPI_Info info,
8
                    char *port_name)
9
     MPI_Lookup_name(service_name, info, port_name, ierror)
10
         CHARACTER(LEN=*), INTENT(IN) :: service_name
11
         TYPE(MPI_Info), INTENT(IN) :: info
12
         CHARACTER(LEN=MPI_MAX_PORT_NAME), INTENT(OUT) :: port_name
13
         INTEGER, OPTIONAL, INTENT(OUT) :: ierror
14
15
     MPI_LOOKUP_NAME(SERVICE_NAME, INFO, PORT_NAME, IERROR)
16
         CHARACTER*(*) SERVICE_NAME, PORT_NAME
17
         INTEGER INFO, IERROR
18
```

This function retrieves a port\_name published by MPI\_PUBLISH\_NAME with service\_name. If service\_name has not been published, it raises an error in the error class MPI\_ERR\_NAME. The application must supply a port\_name buffer large enough to hold the largest possible port name (see discussion above under MPI\_OPEN\_PORT).

If an implementation allows multiple entries with the same service\_name within the same scope, a particular port\_name is chosen in a way determined by the implementation.

If the info argument was used with MPI\_PUBLISH\_NAME to tell the implementation how to publish names, a similar info argument may be required for MPI\_LOOKUP\_NAME.

#### 10.4.5 Reserved Key Values

The following key values are reserved. An implementation is not required to interpret these key values, but if it does interpret the key value, it must provide the functionality described.

```
ip_port Value contains IP port number at which to establish a port. (Reserved for MPI_OPEN_PORT only).
```

ip\_address Value contains IP address at which to establish a port. If the address is not a valid IP address of the host on which the MPI\_OPEN\_PORT call is made, the results are undefined. (Reserved for MPI\_OPEN\_PORT only).

#### 10.4.6 Client/Server Examples

Simplest Example — Completely Portable.

The following example shows the simplest way to use the client/server interface. It does not use service names at all.

On the server side:

```
char myport[MPI_MAX_PORT_NAME];
MPI_Comm intercomm;
```

```
/* ... */
MPI_Open_port(MPI_INFO_NULL, myport);
printf("port name is: %s\n", myport);

MPI_Comm_accept(myport, MPI_INFO_NULL, 0, MPI_COMM_SELF, &intercomm);
/* do something with intercomm */
```

The server prints out the port name to the terminal and the user must type it in when starting up the client (assuming the MPI implementation supports stdin such that this works). On the client side:

```
MPI_Comm intercomm;
char name[MPI_MAX_PORT_NAME];
printf("enter port name: ");
gets(name);
MPI_Comm_connect(name, MPI_INFO_NULL, 0, MPI_COMM_SELF, &intercomm);
```

#### Ocean/Atmosphere — Relies on Name Publishing

In this example, the "ocean" application is the "server" side of a coupled ocean-atmosphere climate model. It assumes that the MPI implementation publishes names.

```
MPI_Open_port(MPI_INFO_NULL, port_name);
MPI_Publish_name("ocean", MPI_INFO_NULL, port_name);

MPI_Comm_accept(port_name, MPI_INFO_NULL, 0, MPI_COMM_SELF, &intercomm);
/* do something with intercomm */
MPI_Unpublish_name("ocean", MPI_INFO_NULL, port_name);
```

On the client side:

#### Simple Client-Server Example

This is a simple example; the server accepts only a single connection at a time and serves that connection until the client requests to be disconnected. The server is a single process.

Here is the server. It accepts a single connection and then processes data until it receives a message with tag 1. A message with tag 0 tells the server to exit.

```
#include "mpi.h"

int main(int argc, char *argv[])

{

    MPI_Comm client;
    MPI_Status status;
    char port_name[MPI_MAX_PORT_NAME];

    43

44

45

46

47

48
```

```
1
         double buf[MAX_DATA];
2
                 size, again;
         int
         MPI_Init(&argc, &argv);
5
         MPI_Comm_size(MPI_COMM_WORLD, &size);
6
         if (size != 1) error(FATAL, "Server too big");
7
         MPI_Open_port(MPI_INFO_NULL, port_name);
         printf("server available at %s\n", port_name);
         while (1) {
10
             MPI_Comm_accept(port_name, MPI_INFO_NULL, 0, MPI_COMM_WORLD,
11
                                &client);
12
              again = 1;
13
              while (again) {
14
                  MPI_Recv(buf, MAX_DATA, MPI_DOUBLE,
15
                            MPI_ANY_SOURCE, MPI_ANY_TAG, client, &status);
                  switch (status.MPI_TAG) {
16
                      case 0: MPI_Comm_free(&client);
                               MPI_Close_port(port_name);
19
                               MPI_Finalize();
20
                               return 0;
21
                      case 1: MPI_Comm_disconnect(&client);
22
                               again = 0;
23
                               break;
24
                      case 2: /* do something */
26
                      default:
27
                               /* Unexpected message type */
28
                               MPI_Abort(MPI_COMM_WORLD, 1);
29
                      }
30
                  }
31
             }
     }
33
         Here is the client.
34
35
     #include "mpi.h"
36
     int main( int argc, char **argv )
37
38
         MPI_Comm server;
39
         double buf[MAX_DATA];
         char port_name[MPI_MAX_PORT_NAME];
41
42
         MPI_Init( &argc, &argv );
43
         strcpy( port_name, argv[1] );/* assume server's name is cmd-line arg */
44
45
         MPI_Comm_connect( port_name, MPI_INFO_NULL, 0, MPI_COMM_WORLD,
46
                             &server );
47
```

```
while (!done) {
    tag = 2; /* Action to perform */
    MPI_Send( buf, n, MPI_DOUBLE, 0, tag, server );
    /* etc */
    }
MPI_Send( buf, 0, MPI_DOUBLE, 0, 1, server );
MPI_Comm_disconnect( &server );
MPI_Finalize();
return 0;
}
```

## 10.5 Other Functionality

#### 10.5.1 Universe Size

Many "dynamic" MPI applications are expected to exist in a static runtime environment, in which resources have been allocated before the application is run. When a user (or possibly a batch system) runs one of these quasi-static applications, she will usually specify a number of processes to start and a total number of processes that are expected. An application simply needs to know how many slots there are, i.e., how many processes it should spawn.

MPI provides an attribute on MPI\_COMM\_WORLD, MPI\_UNIVERSE\_SIZE, that allows the application to obtain this information in a portable manner. This attribute indicates the total number of processes that are expected. In Fortran, the attribute is the integer value. In C, the attribute is a pointer to the integer value. An application typically subtracts the size of MPI\_COMM\_WORLD from MPI\_UNIVERSE\_SIZE to find out how many processes it should spawn. MPI\_UNIVERSE\_SIZE is initialized in MPI\_INIT and is not changed by MPI. If defined, it has the same value on all processes of MPI\_COMM\_WORLD. MPI\_UNIVERSE\_SIZE is determined by the application startup mechanism in a way not specified by MPI. (The size of MPI\_COMM\_WORLD is another example of such a parameter.)

Possibilities for how MPI\_UNIVERSE\_SIZE might be set include

- A -universe\_size argument to a program that starts MPI processes.
- Automatic interaction with a batch scheduler to figure out how many processors have been allocated to an application.
- An environment variable set by the user.
- Extra information passed to MPI\_COMM\_SPAWN through the info argument.

An implementation must document how MPI\_UNIVERSE\_SIZE is set. An implementation may not support the ability to set MPI\_UNIVERSE\_SIZE, in which case the attribute MPI\_UNIVERSE\_SIZE is not set.

MPI\_UNIVERSE\_SIZE is a recommendation, not necessarily a hard limit. For instance, some implementations may allow an application to spawn 50 processes per processor, if they are requested. However, it is likely that the user only wants to spawn one process per processor.

MPI\_UNIVERSE\_SIZE is assumed to have been specified when an application was started, and is in essence a portable mechanism to allow the user to pass to the application (through

the MPI process startup mechanism, such as mpiexec) a piece of critical runtime information. Note that no interaction with the runtime environment is required. If the runtime environment changes size while an application is running, MPI\_UNIVERSE\_SIZE is not updated, and the application must find out about the change through direct communication with the runtime system.

#### 10.5.2 Singleton MPI\_INIT

A high-quality implementation will allow any process (including those not started with a "parallel application" mechanism) to become an MPI process by calling MPI\_INIT. Such a process can then connect to other MPI processes using the MPI\_COMM\_ACCEPT and MPI\_COMM\_CONNECT routines, or spawn other MPI processes. MPI does not mandate this behavior, but strongly encourages it where technically feasible.

Advice to implementors. To start MPI processes belonging to the same MPI\_COMM\_WORLD requires some special coordination. The processes must be started at the "same" time, they must have a mechanism to establish communication, etc. Either the user or the operating system must take special steps beyond simply starting processes.

When an application enters MPI\_INIT, clearly it must be able to determine if these special steps were taken. If a process enters MPI\_INIT and determines that no special steps were taken (i.e., it has not been given the information to form an MPI\_COMM\_WORLD with other processes) it succeeds and forms a singleton MPI program, that is, one in which MPI\_COMM\_WORLD has size 1.

In some implementations, MPI may not be able to function without an "MPI environment." For example, MPI may require that daemons be running or MPI may not be able to work at all on the front-end of an MPP. In this case, an MPI implementation may either

1. Create the environment (e.g., start a daemon) or

2. Raise an error if it cannot create the environment and the environment has not been started independently.

A high-quality implementation will try to create a singleton MPI process and not raise an error.

(End of advice to implementors.)

#### 10.5.3 MPI\_APPNUM

There is a predefined attribute MPI\_APPNUM of MPI\_COMM\_WORLD. In Fortran, the attribute is an integer value. In C, the attribute is a pointer to an integer value. If a process was spawned with MPI\_COMM\_SPAWN\_MULTIPLE, MPI\_APPNUM is the command number that generated the current process. Numbering starts from zero. If a process was spawned with MPI\_COMM\_SPAWN, it will have MPI\_APPNUM equal to zero.

Additionally, if the process was not started by a spawn call, but by an implementation-specific startup mechanism that can handle multiple process specifications, MPI\_APPNUM should be set to the number of the corresponding process specification. In particular, if it is started with

 $\frac{44}{45}$ 

```
mpiexec spec0 [: spec1 : spec2 : ...]
```

MPI\_APPNUM should be set to the number of the corresponding specification.

If an application was not spawned with MPI\_COMM\_SPAWN or

MPI\_COMM\_SPAWN\_MULTIPLE, and MPI\_APPNUM does not make sense in the context of the implementation-specific startup mechanism, MPI\_APPNUM is not set.

MPI implementations may optionally provide a mechanism to override the value of MPI\_APPNUM through the info argument. MPI reserves the following key for all SPAWN calls.

appnum Value contains an integer that overrides the default value for MPI\_APPNUM in the child.

Rationale. When a single application is started, it is able to figure out how many processes there are by looking at the size of MPI\_COMM\_WORLD. An application consisting of multiple SPMD sub-applications has no way to find out how many sub-applications there are and to which sub-application the process belongs. While there are ways to figure it out in special cases, there is no general mechanism. MPI\_APPNUM provides such a general mechanism. (End of rationale.)

#### 10.5.4 Releasing Connections

Before a client and server connect, they are independent MPI applications. An error in one does not affect the other. After establishing a connection with MPI\_COMM\_CONNECT and MPI\_COMM\_ACCEPT, an error in one may affect the other. It is desirable for a client and server to be able to disconnect, so that an error in one will not affect the other. Similarly, it might be desirable for a parent and child to disconnect, so that errors in the child do not affect the parent, or vice-versa.

- Two processes are *connected* if there is a communication path (direct or indirect) between them. More precisely:
  - 1. Two processes are connected if
    - (a) they both belong to the same communicator (inter- or intra-, including MPI\_COMM\_WORLD) or
    - (b) they have previously belonged to a communicator that was freed with MPI\_COMM\_FREE instead of MPI\_COMM\_DISCONNECT or
    - (c) they both belong to the group of the same window or filehandle.
  - 2. If A is connected to B and B to C, then A is connected to C.
- Two processes are disconnected (also independent) if they are not connected.
- By the above definitions, connectivity is a transitive property, and divides the universe of MPI processes into disconnected (independent) sets (equivalence classes) of processes.
- Processes which are connected, but do not share the same MPI\_COMM\_WORLD, may become disconnected (independent) if the communication path between them is broken by using MPI\_COMM\_DISCONNECT.

The following additional rules apply to MPI routines in other chapters:

• MPI\_FINALIZE is collective over a set of connected processes.

- MPI\_ABORT does not abort independent processes. It may abort all processes in the caller's MPI\_COMM\_WORLD (ignoring its comm argument). Additionally, it may abort connected processes as well, though it makes a "best attempt" to abort only the processes in comm.
- If a process terminates without calling MPI\_FINALIZE, independent processes are not affected but the effect on connected processes is not defined.

```
MPI_COMM_DISCONNECT(comm)
```

INOUT comm

communicator (handle)

int MPI\_Comm\_disconnect(MPI\_Comm \*comm)

```
MPI_Comm_disconnect(comm, ierror)
```

TYPE(MPI\_Comm), INTENT(INOUT) :: comm
INTEGER, OPTIONAL, INTENT(OUT) :: ierror

MPI\_COMM\_DISCONNECT(COMM, IERROR)

INTEGER COMM, IERROR

This function waits for all pending communication on comm to complete internally, deallocates the communicator object, and sets the handle to MPI\_COMM\_NULL. It is a collective operation.

It may not be called with the communicator MPI\_COMM\_WORLD or MPI\_COMM\_SELF. MPI\_COMM\_DISCONNECT may be called only if all communication is complete and matched, so that buffered data can be delivered to its destination. This requirement is the same as for MPI\_FINALIZE.

MPI\_COMM\_DISCONNECT has the same action as MPI\_COMM\_FREE, except that it waits for pending communication to finish internally and enables the guarantee about the behavior of disconnected processes.

Advice to users. To disconnect two processes you may need to call MPI\_COMM\_DISCONNECT, MPI\_WIN\_FREE, and MPI\_FILE\_CLOSE to remove all communication paths between the two processes. Note that it may be necessary to disconnect several communicators (or to free several windows or files) before two processes are completely independent. (End of advice to users.)

Rationale. It would be nice to be able to use MPI\_COMM\_FREE instead, but that function explicitly does not wait for pending communication to complete. (End of rationale.)

12

13

14 15

16

19

20

21

22

23

24

27

28

29

30 31

34

35

36 37

38

41

42

43

44

45

46

47

#### 10.5.5 Another Way to Establish MPI Communication

```
MPI_COMM_JOIN(fd, intercomm)
  IN
           fd
                                     socket file descriptor
  OUT
           intercomm
                                     new intercommunicator (handle)
int MPI_Comm_join(int fd, MPI_Comm *intercomm)
MPI_Comm_join(fd, intercomm, ierror)
    INTEGER, INTENT(IN) ::
    TYPE(MPI_Comm), INTENT(OUT) ::
                                      intercomm
    INTEGER, OPTIONAL, INTENT(OUT) ::
                                         ierror
MPI_COMM_JOIN(FD, INTERCOMM, IERROR)
    INTEGER FD, INTERCOMM, IERROR
```

MPI\_COMM\_JOIN is intended for MPI implementations that exist in an environment supporting the Berkeley Socket interface [45, 49]. Implementations that exist in an environment not supporting Berkeley Sockets should provide the entry point for MPI\_COMM\_JOIN and should return MPI\_COMM\_NULL.

This call creates an intercommunicator from the union of two MPI processes which are connected by a socket. MPI\_COMM\_JOIN should normally succeed if the local and remote processes have access to the same implementation-defined MPI communication universe.

Advice to users. An MPI implementation may require a specific communication medium for MPI communication, such as a shared memory segment or a special switch. In this case, it may not be possible for two processes to successfully join even if there is a socket connecting them and they are using the same MPI implementation. (End of advice to users.)

Advice to implementors. A high-quality implementation will attempt to establish communication over a slow medium if its preferred one is not available. If implementations do not do this, they must document why they cannot do MPI communication over the medium used by the socket (especially if the socket is a TCP connection). (End of advice to implementors.)

fd is a file descriptor representing a socket of type SOCK\_STREAM (a two-way reliable byte-stream connection). Nonblocking I/O and asynchronous notification via SIGIO must not be enabled for the socket. The socket must be in a connected state. The socket must be quiescent when MPI\_COMM\_JOIN is called (see below). It is the responsibility of the application to create the socket using standard socket API calls.

MPI\_COMM\_JOIN must be called by the process at each end of the socket. It does not return until both processes have called MPI\_COMM\_JOIN. The two processes are referred to as the local and remote processes.

MPI uses the socket to bootstrap creation of the intercommunicator, and for nothing else. Upon return from MPI\_COMM\_JOIN, the file descriptor will be open and quiescent (see below).

If MPI is unable to create an intercommunicator, but is able to leave the socket in its original state, with no pending communication, it succeeds and sets intercomm to MPI\_COMM\_NULL.

The socket must be quiescent before MPI\_COMM\_JOIN is called and after MPI\_COMM\_JOIN returns. More specifically, on entry to MPI\_COMM\_JOIN, a read on the socket will not read any data that was written to the socket before the remote process called MPI\_COMM\_JOIN. On exit from MPI\_COMM\_JOIN, a read will not read any data that was written to the socket before the remote process returned from MPI\_COMM\_JOIN. It is the responsibility of the application to ensure the first condition, and the responsibility of the MPI implementation to ensure the second. In a multithreaded application, the application must ensure that one thread does not access the socket while another is calling MPI\_COMM\_JOIN, or call MPI\_COMM\_JOIN concurrently.

Advice to implementors. MPI is free to use any available communication path(s) for MPI messages in the new communicator; the socket is only used for the initial handshaking. (End of advice to implementors.)

MPI\_COMM\_JOIN uses non-MPI communication to do its work. The interaction of non-MPI communication with pending MPI communication is not defined. Therefore, the result of calling MPI\_COMM\_JOIN on two connected processes (see Section 10.5.4 for the definition of connected) is undefined.

The returned communicator may be used to establish MPI communication with additional processes, through the usual MPI communicator creation mechanisms.