

**Question 3. (15 points)**

Consider the following recurrence:

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 2 * T(n-1) + n + 1 & \text{if } n > 1 \end{cases}$$

- a) (10 points) Obtain an explicit formula for the following recurrence using one of the techniques seen in class. In the process of doing so, you will possibly come across the summation  $\sum_{i=1..n} (i * 2^i)$ , which can be simplified as  $2 * (1 + 2^n * (n-1))$ .

Let's start by computing the first few values of  $T(n)$

$$\begin{aligned} T(1) &= 1 \\ T(2) &= 2 * 1 + 2 + 1 = 5 \\ T(3) &= 2 * 5 + 3 + 1 = 14 \\ T(4) &= 2 * 14 + 4 + 1 = 33 \\ T(5) &= 2 * 33 + 5 + 1 = 72 \end{aligned}$$

$$\begin{aligned} T(n) &= 2 * T(n-1) + n + 1 \\ &= 2 * (2 * T(n-2) + (n-1) + 1) + n + 1 = 4 T(n-2) + 2(n-1) + n + 3 \\ &= 4 (2 * T(n-3) + (n-2) + 1) + 2(n-1) + 2 + n + 1 = 8 T(n-3) + 4(n-2) + 2(n-1) + n + 7 \\ &= 8 (2 T(n-4) + (n-3) + 1) + 4(n-2) + 2(n-1) + n + 7 = 16 T(n-4) + 8(n-3) + 4(n-2) + 2(n-1) + n + 15 \\ &\dots \\ &\text{(after } k \text{ substitutions)} \\ &= 2^k T(n-k) + (\sum_{i=0..k-1} (n-i) * 2^i) + (2^k - 1) \\ &= 2^k T(n-k) + n (\sum_{i=0..k-1} 2^i) - (\sum_{i=0..k-1} i * 2^i) + (2^k - 1) \\ &= 2^k T(n-k) + n (2^k - 1) - 2 * (1 + 2^{k-1} (n-2)) + (2^k - 1) \end{aligned}$$

Recursion stops when  $n-k=1$ , i.e.  $k=n-1$ . We then get

$$\begin{aligned} T(n) &= 2^{n-1} T(1) + n (2^{n-1} - 1) - 2 * (1 + 2^{n-2} (n-3)) + (2^{n-1} - 1) \\ &= 2^{n-1} + n 2^{n-1} - n - 2 - 2^{n-1} (n-3) + 2^{n-1} - 1 \\ &= 2^n + 3 * 2^{n-1} - n - 3 \end{aligned}$$

**Conclusion:**  $T_{\text{explicit}}(n) = 2^n + 3 * 2^{n-1} - n - 3$

Checking the explicit formula:

$$\begin{aligned} T(1) &= 2^1 + 3 * 1 - 1 - 3 = 1 \\ T(2) &= 2^2 + 3 * 2 - 2 - 3 = 5 \\ T(3) &= 2^3 + 3 * 4 - 3 - 3 = 14 \\ T(4) &= 2^4 + 3 * 8 - 4 - 3 = 33 \\ T(5) &= 2^5 + 3 * 16 - 5 - 3 = 72 \end{aligned}$$

- b) (5 points) Using induction, prove that your explicit formula always takes the same values as the recurrence, for all values of  $n \geq 1$ .

We need to prove that  $T(n) = 2^n + 3 * 2^{n-1} - n - 3$  for all  $n \geq 1$ . We prove this by induction on  $n$ .

Base case: For  $n = 1$ , the recurrence defines  $T(1) = 1$ , which is equal to  $2^1 + 3 * 2^0 - 1 - 3 = 1$

Induction step: Assume  $T(k) = 2^k + 3 * 2^{k-1} - k - 3$  for some  $k \geq 1$   
 We want to prove that this implies that  $T(k+1) = 2^{(k+1)} + 3 * 2^{(k+1)-1} - (k+1) - 3$   
 $T(k+1) = 2 * T(k) + (k+1) + 1$   
 $= 2 * ( 2^k + 3 * 2^{k-1} - k - 3 ) + (k+1) + 1$  (because of IH)  
 $= 2^{k+1} + 3 * 2^k - k - 4$   
 $= 2^{k+1} + 3 * 2^{(k+1)-1} - (k+1) - 3$

Conclusion: The explicit formula holds for all values of  $n \geq 1$ .

## Question 4. (10 points)

Prove that  $\log(n!) \in \Theta(n \log(n))$  (that big Theta, not big O)

First, we show that  $\log(n!) \in O(n \log n)$ . For this, we need to find  $c$  and  $N$  such that for all  $n \geq n_0$ ,  $\log(n!) \leq c n \log(n)$ . This is the easy part, as  $c=1$  and  $n_0 = 1$  will work:

$$\begin{aligned} \log(n!) &= \log(n * (n-1) * (n-2) * \dots * 2 * 1) \\ &= \log(n) + \log(n-1) + \log(n-2) + \dots + \log(2) + \log(1) \\ &\leq \log(n) + \log(n) + \log(n) + \dots + \log(n) + \log(n) \\ &= n \log(n) \\ &= c n \log(n) \end{aligned}$$

Thus,  $\log(n!) \in O(n \log n)$ .

Now, to prove that  $n \log n \in O(\log(n!))$ , we need to find  $c$  and  $n_0$  such that for all  $n \geq n_0$ ,  $n \log n \leq c \log(n!)$ . This time, it will be easier to start with the right side of the inequality.

$$\begin{aligned} \log(n!) &= \underbrace{\log(n) + \log(n-1) + \log(n-2) + \dots + \log(n/2 + 1)}_{\geq n/2 \log(n/2)} + \underbrace{\log(n/2) + \dots + \log(2) + \log(1)}_{n/2 \log(1)} \\ &\geq n/2 \log(n/2) + n/2 \log(1) \\ &= n/2 (\log(n) - 1) \\ &\geq n/2 (\log(n) - 1/2 \log(n)) \quad \text{if } n \geq 4 \\ &= 1/4 n \log(n) \end{aligned}$$

Thus, for  $n_0=4$ ,  $c = 4$ , we get that  $n \log(n) \leq 4 n \log(n)$  for all  $n \geq n_0$