

# Digital Signal Processing for Music

## Part 15: Digital Filters I

alexander lerch

# filters

## introduction 1/2

### filter — broad description

system that amplifies or attenuates certain components/aspects of a signal

### filter — narrow description

linear time-invariant system for changing the magnitude and phase of specific frequency regions

- example for other type of filters:
  - adaptive and time-variant (e.g., denoising)
- examples for “real-world” filters
  - reverberation
  - absorption
  - echo

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# filters

## introduction 2/2

### ■ audio equalization

- parametric and graphic EQs

### ■ removal of unwanted components

- remove DC, rumble
- remove hum
- remove hiss

### ■ pre-emphasis/de-emphasis

- Vinyl
- old Dolby noise reduction systems

### ■ weighting function

- dBA, dBC, ...



# filters

## introduction 2/2



## ■ audio equalization

- parametric and graphic EQs

- **removal** of unwanted components

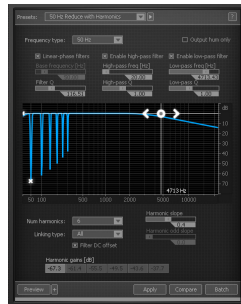
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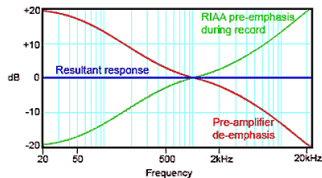
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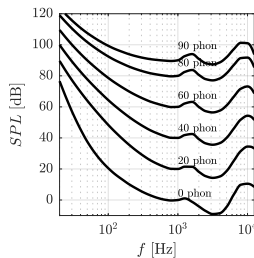
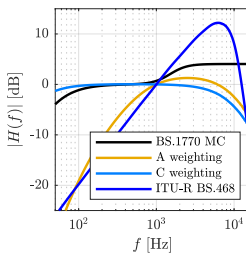
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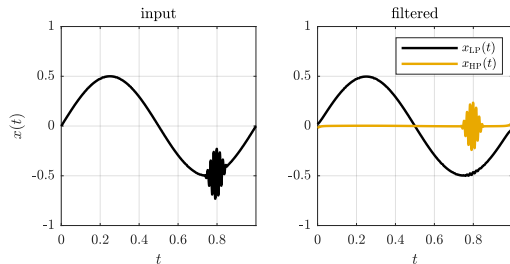
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## filters

reminder: system theory

- output of a system (filter)  $y$  computed by **convolution** of input  $x$  and impulse response  $h$

$$y(t) = x(t) * h(t)$$

- this is equivalent to a frequency domain multiplication

$$Y(j\omega) = X(j\omega) \cdot H(j\omega)$$

$$H(j\omega) = \frac{Y(j\omega)}{X(j\omega)}$$

- **transfer function**  $H(j\omega)$  is complex, often represented as
  - magnitude  $|H(j\omega)|$  and
  - phase  $\Phi_H(j\omega)$

## filters

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# filters

## common transfer function shapes

**what are typical filters/spectral filter shapes**



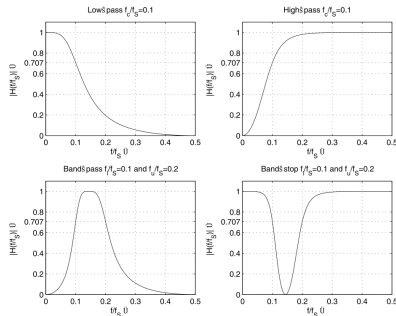
# filters

## common transfer function shapes

### what are typical filters/spectral filter shapes



- very common:
  - low/high pass



<sup>1</sup>U. Zölzer, *Digital Audio Signal Processing*, 2nd Edition. Stuttgart: John Wiley & Sons Ltd, 2008, ISBN: 978-0-470-99785-7.

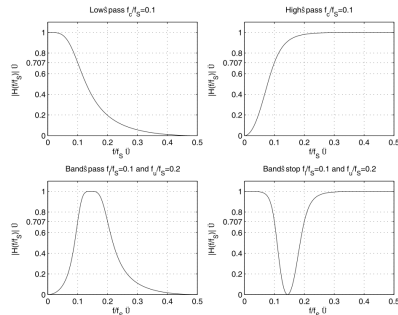
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- very common:
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- common for non-audio/non-parametric:
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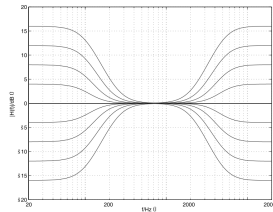
# filters

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- also common in audio apps:
  - low/high shelving



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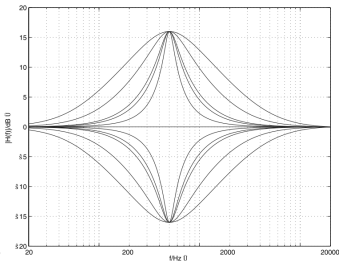
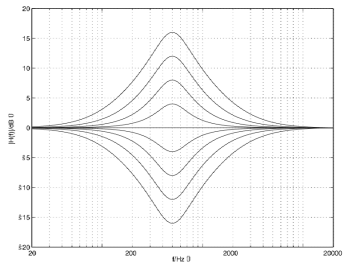
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  - peak filter



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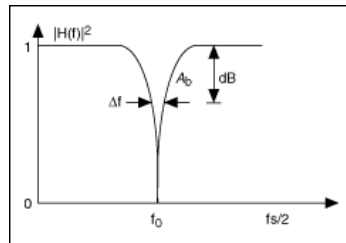
# filters

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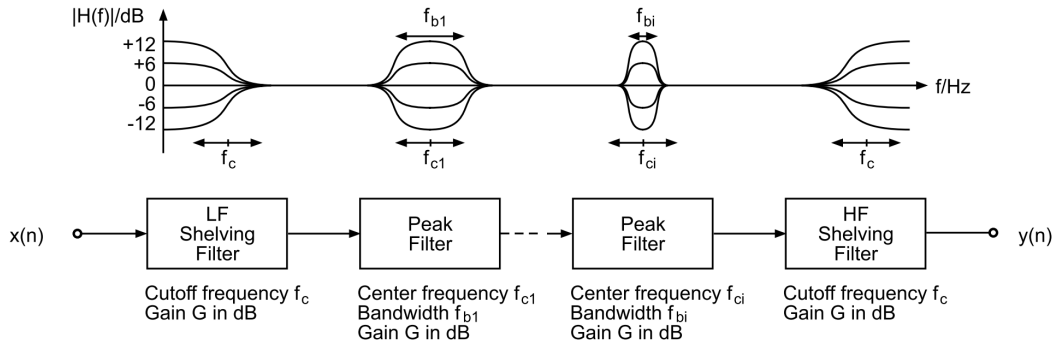


- very common:
  - low/high pass
- common for non-audio/non-parametric:
  - band pass/band stop
- also common in audio apps:
  - low/high shelving
  - peak filter
  - resonance/notch



# filters

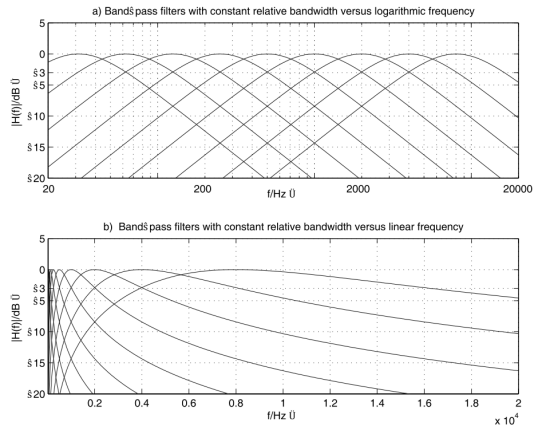
## filters in series



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# filters

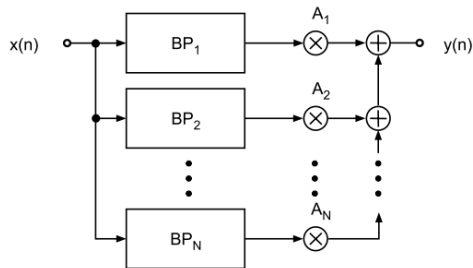
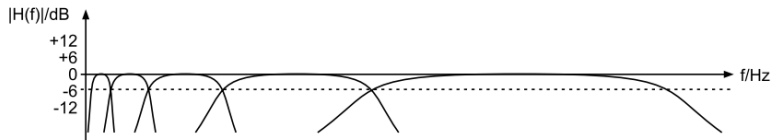
## filter banks — parallel connections



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# filters

## filter banks — parallel connections



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# filters

## filter parameters — lowpass/highpass

### ■ cut-off frequency $f_c$

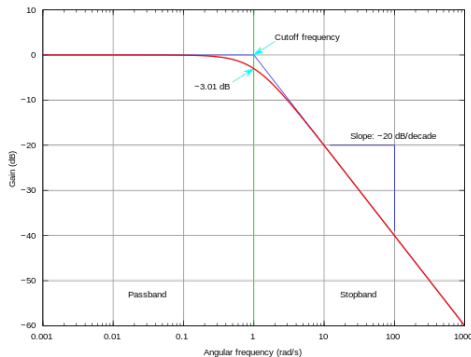
- frequency marking the transition of pass to stop band
- -3 dB of pass band level

### ■ slope/steepness

- measured in dB/Octave or dB/Decade
- typically directly related to filter order

### ■ sometimes: **resonance**

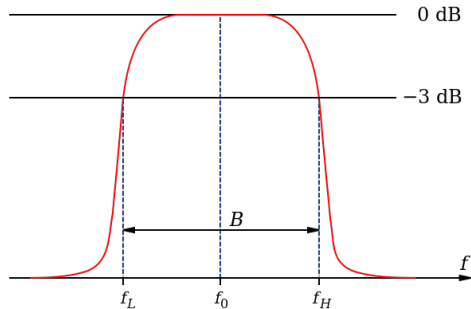
- level increase in narrow band around cut-off frequency



# filters

## filter parameters — bandpass/bandstop

- **center frequency**  $f_c$ 
  - frequency marking the center of the pass or stop band
- **bandwidth**  $\Delta B$ 
  - width of the pass band
  - at -3 dB of max pass band level
- possibly: **slope**
  - typically directly related to filter order



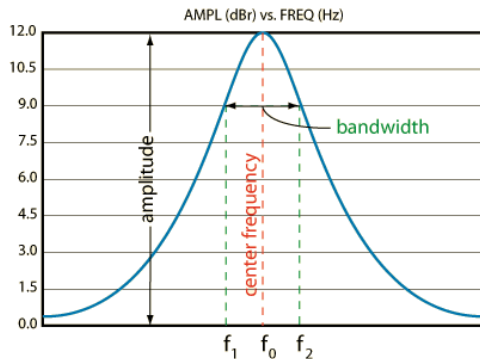
# filters

## filter parameters — peak

- **center frequency**  $f_c$ 
  - frequency marking the center of the peak
- **Q factor** or **bandwidth**  $\Delta B$ 
  - width of the bell
  - at -3 dB of max gain

$$Q = \frac{f_c}{\Delta B}$$

- **gain**
  - amplification/attenuation in dB





# filters

## filter parameters — overview

<i>parameter</i>	<b>lowpass</b>	<b>low shelving</b>	<b>band pass</b>	<b>peak</b>	<b>resonance</b>
<i>frequency</i>	cut-off	cut-off	center	center	center
<i>bandwidth/Q</i>	res. gain	—	$\Delta B$	$Q$	—
<i>gain</i>	—	yes	—	yes	—

## filters

## digital filter description

$$H(j\omega) = \mathfrak{F}\{h(t)\}$$

filter is defined by its

- complex transfer function  $H(j\omega)$ , or its
- impulse response  $h(t)$ , or its
- *list of pole and zero positions in the Z-plane*

## filters

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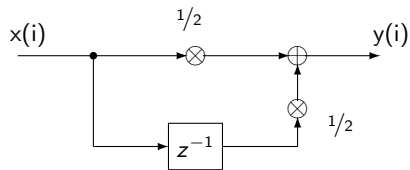
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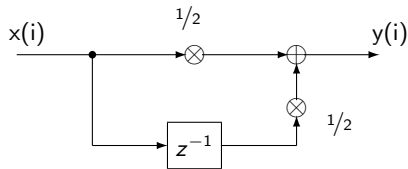
# filters

## example 1



# filters

## example 1



$$y(i) = 0.5 \cdot x(i) + 0.5 \cdot x(i - 1)$$

## filters

## example 1: transfer function 1/2

$$y(i) = 0.5 \cdot x(i) + 0.5 \cdot x(i-1)$$

$$H(z) = 0.5 + 0.5 \cdot z^{-1}$$

$$H(j\omega) = 0.5 + 0.5 \cdot e^{-j\omega}$$

$$\begin{aligned} |H(j\omega)| &= 0.5 \cdot \left| e^{-j\frac{\omega}{2}} \cdot \left( e^{j\frac{\omega}{2}} + e^{-j\frac{\omega}{2}} \right) \right| \\ &= 0.5 \cdot \underbrace{\left| e^{-j\frac{\omega}{2}} \right|}_1 \cdot \underbrace{\left| \left( e^{j\frac{\omega}{2}} + e^{-j\frac{\omega}{2}} \right) \right|}_{\left| 2 \cos\left(\frac{\omega}{2}\right) \right|} \end{aligned}$$

$$= \left| \cos\left(\frac{\omega}{2}\right) \right|$$

## filters

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## filters

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$$\begin{aligned}y(i) &= 0.5 \cdot x(i) + 0.5 \cdot x(i-1) \\H(z) &= 0.5 + 0.5 \cdot z^{-1} \\H(j\omega) &= 0.5 + 0.5 \cdot e^{-j\omega} \\|H(j\omega)| &= 0.5 \cdot \left| e^{-j\frac{\omega}{2}} \cdot \left( e^{j\frac{\omega}{2}} + e^{-j\frac{\omega}{2}} \right) \right| \\&= 0.5 \cdot \underbrace{\left| e^{-j\frac{\omega}{2}} \right|}_1 \cdot \underbrace{\left| \left( e^{j\frac{\omega}{2}} + e^{-j\frac{\omega}{2}} \right) \right|}_{\left| 2 \cos\left(\frac{\omega}{2}\right) \right|} \\&= \left| \cos\left(\frac{\omega}{2}\right) \right|\end{aligned}$$

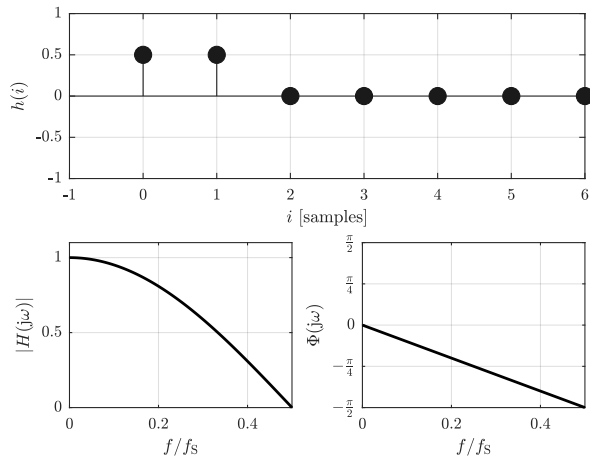
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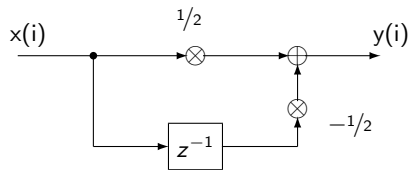
## filters

## example 1: transfer function 2/2



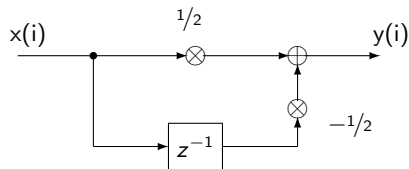
# filters

## example 2



# filters

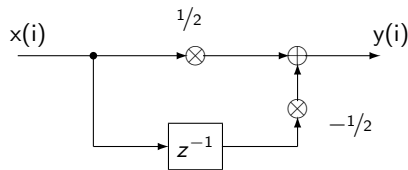
## example 2



$$y(i) = 0.5 \cdot x(i) - 0.5 \cdot x(i-1)$$
$$H(z) = 0.5 - 0.5 \cdot z^{-1}$$

# filters

## example 2



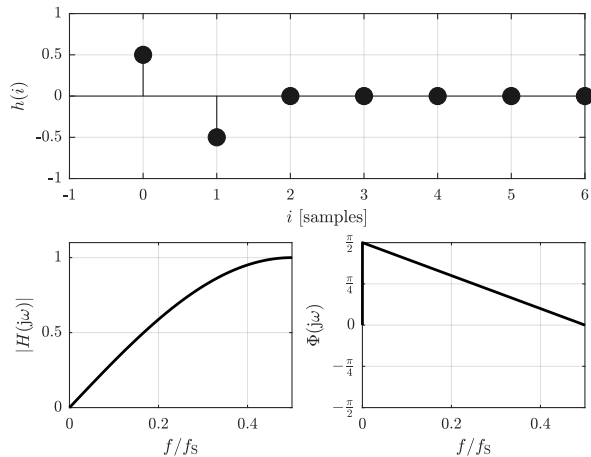
$$y(i) = 0.5 \cdot x(i) - 0.5 \cdot x(i-1)$$

$$H(z) = 0.5 - 0.5 \cdot z^{-1}$$

$$|H(j\omega)| = \left| \sin\left(\frac{\omega}{2}\right) \right|$$

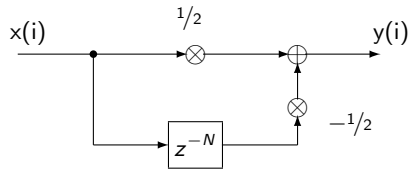
## filters

## example 2: transfer function



# filters

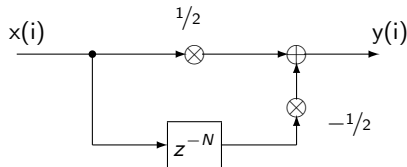
## example 3





# filters

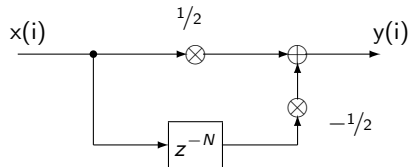
## example 3



$$y(i) = 0.5 \cdot x(i) - 0.5 \cdot x(i - N)$$
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## filters

## example 3



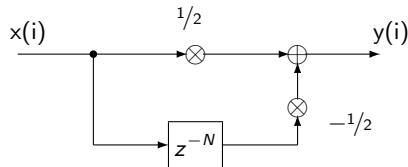
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$$|H(j\omega)| = 0.5 \cdot \left| e^{-j\frac{N\omega}{2}} \cdot \left( e^{j\frac{N\omega}{2}} - e^{-j\frac{N\omega}{2}} \right) \right|$$

# filters

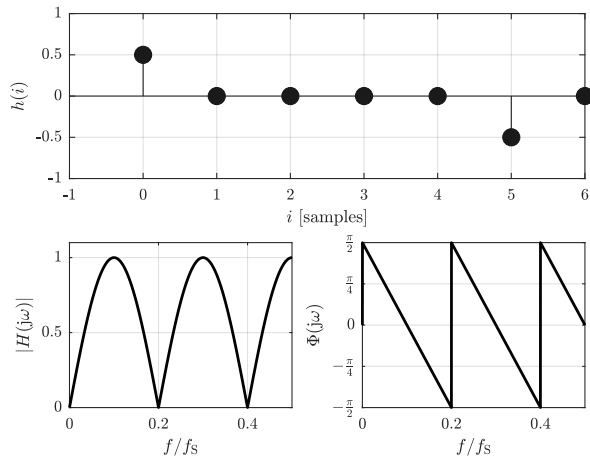
## example 3



$$\begin{aligned}y(i) &= 0.5 \cdot x(i) - 0.5 \cdot x(i - N) \\H(z) &= 0.5 - 0.5 \cdot z^{-N} \\|H(j\omega)| &= 0.5 \cdot \left| e^{-j\frac{N\omega}{2}} \cdot \left( e^{j\frac{N\omega}{2}} - e^{-j\frac{N\omega}{2}} \right) \right| \\&= \left| \sin \left( \frac{N\omega}{2} \right) \right|\end{aligned}$$

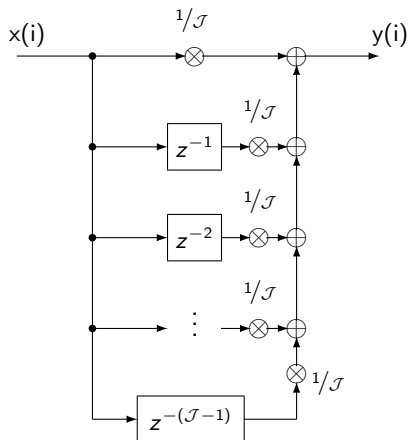
## filters

## example 3: transfer function



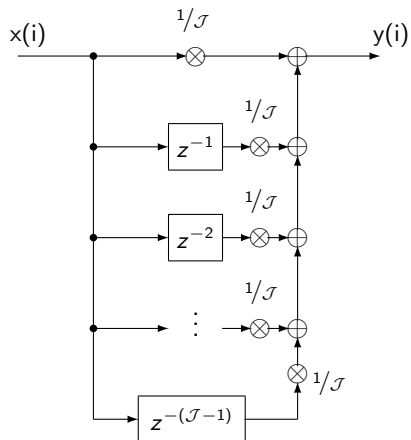
# filters

## example 4



# filters

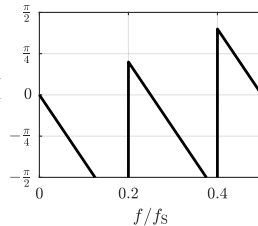
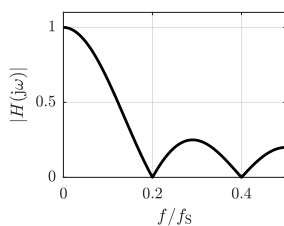
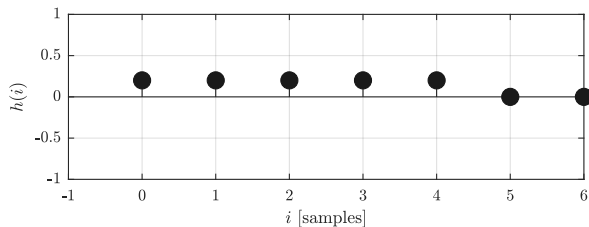
## example 4



$$y(i) = \frac{1}{J} \sum_{j=0}^{J-1} x(i-j)$$

## filters

## example 4: transfer function



$$H(j\omega) = e^{-j\mathcal{J}\frac{\omega}{2}} \frac{\sin\left(\mathcal{J} \cdot \frac{\omega}{2}\right)}{\mathcal{J} \cdot \sin\left(\frac{\omega}{2}\right)}$$

## filters

## example 4: recursive implementation

$$\begin{aligned}y(i) &= \sum_{j=0}^{\mathcal{J}-1} \frac{1}{\mathcal{J}} \cdot x(i-j) \\&= \frac{1}{\mathcal{J}} \cdot (x(i) - x(i - \mathcal{J})) + \underbrace{\sum_{j=1}^{\mathcal{J}} \frac{1}{\mathcal{J}} \cdot x(i-j)}_{y(i-1)} \\&= \frac{1}{\mathcal{J}} \cdot (x(i) - x(i - \mathcal{J})) + y(i-1)\end{aligned}$$

not applicable with windowed coefficients!



## filters

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## filters

## example 4: recursive implementation

$$\begin{aligned}y(i) &= \sum_{j=0}^{\mathcal{J}-1} \frac{1}{\mathcal{J}} \cdot x(i-j) \\&= \frac{1}{\mathcal{J}} \cdot (x(i) - x(i - \mathcal{J})) + \underbrace{\sum_{j=1}^{\mathcal{J}} \frac{1}{\mathcal{J}} \cdot x(i-j)}_{y(i-1)} \\&= \frac{1}{\mathcal{J}} \cdot (x(i) - x(i - \mathcal{J})) + y(i-1)\end{aligned}$$

not applicable with windowed coefficients!

## filters

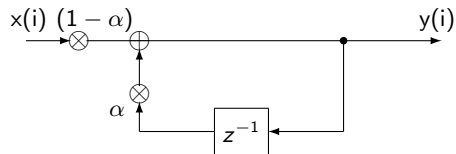
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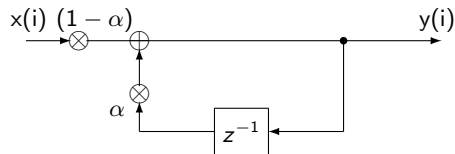
# filters

## example 5



# filters

## example 5



$$\begin{aligned}y(i) &= (1 - \alpha) \cdot x(i) + \alpha \cdot y(i - 1) \\ &= x(i) + \alpha \cdot (y(i - 1) - x(i))\end{aligned}$$

# Example 5: transfer function 1/2

$$y(i) = (1 - \alpha) \cdot x(i) + \alpha \cdot y(i - 1)$$

$$H(z) = \frac{1 - \alpha}{1 - \alpha z^{-1}}$$

$$H(j\omega) = \frac{1 - \alpha}{1 - \alpha e^{-j\omega}}$$

$$|H(j\omega)| = \left| \frac{1 - \alpha}{1 - \alpha e^{-j\omega}} \right|$$

$$= \frac{1 - \alpha}{\sqrt{(1 + \alpha^2 - 2\alpha \cos(\omega))}}$$

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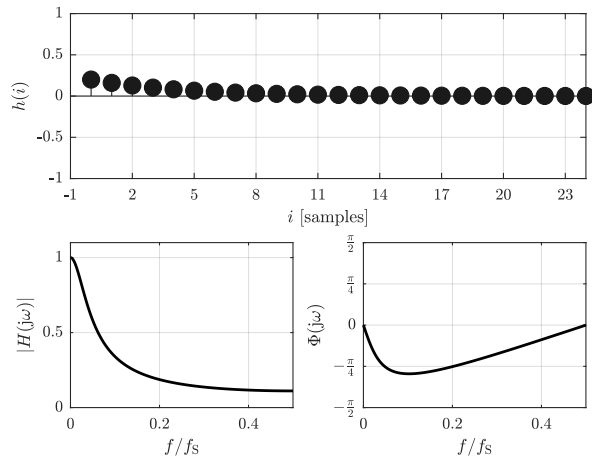
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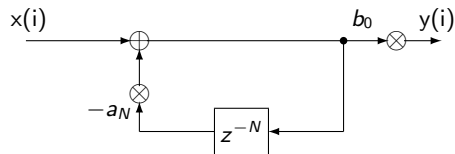
## filters

## example 5: transfer function 2/2



# filters

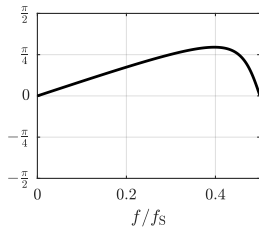
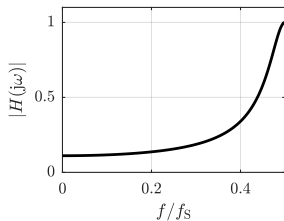
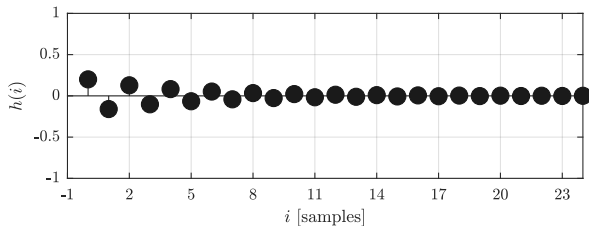
## example 6



$$y(i) = b_0 \cdot x(i) - a_N \cdot y(i - N)$$

## filters

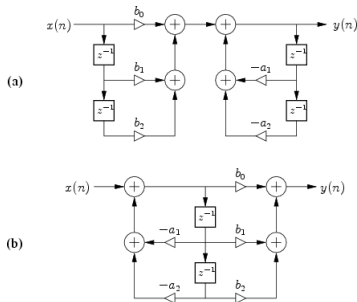
## example 6: transfer function



$$H(j\omega) = \frac{b_0}{1 - a_N \cdot e^{-j\omega N}}$$

## filters

## biquad: structure

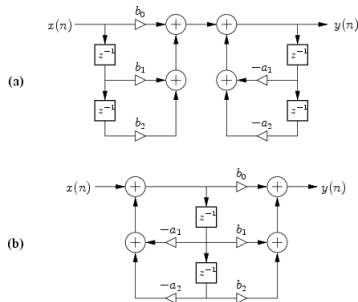


$$\text{diff eq : } y(i) = \sum_{k=0}^{K_1} b_k \cdot x(i-k) + \sum_{k=1}^{K_2} -a_k \cdot y(i-k)$$

$$\text{trans. fct : } H(z) = \frac{Y(z)}{X(z)} = \frac{\sum_{k=0}^{K_1} b_k \cdot z^{-k}}{1 + \sum_{k=1}^{K_2} a_k \cdot z^{-k}}$$

## filters

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# filters

## summary

- filter (equalization) can be used for various tasks
  - changing the sound quality of a signal
  - hiding unwanted frequency components
  - smoothing
  - processing for measurement and transmission
- most common audio filter types are
  - low/high pass
  - peak
  - shelving
- filter parameters include
  - frequency (mid, cutoff)
  - bandwidth or Q
  - gain
- filter orders
  - typical orders are 1st, 2nd, maybe 4th
  - higher order give more flexibility wrt transfer function
  - higher orders are difficult to design and control

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