## **Project Plan Description**

## Introduction

Define: - quantitative transaction database - minutil - TWU

## Algorithms

Two-Phase

## **FHM**

FHM is a one-phase algorithm for high-utility itemset mining. The main algorithm takes a quantitative transaction database and the *minutil* threshold as input. Then, FHM scans the database to calculate the TWU of each item and creates the set  $I^*$ , which contains all items having a TWU no less than *minutil*. We define a total order  $\succ$  as the order of ascending TWU values. Another database scan is performed, where items in transactions are reordered according to  $\succ$ , the utility-list of each item in  $I^*$  is built, and a structure named EUCS (Estimated Utility Co-occurrence Structure) is created.

A utility-list for an itemset is a set of tuples for each transaction. Each tuple is of the form (tid, iutil, rutil), where tid is the transaction ID, iutil is the utility of the itemset in the transaction, and rutil is the total utility of all the items in the transaction that have a TWU greater than those in the itemset. As we will seen, utility-lists allow us to quickly calculate the utility of an itemset and upper-bounds on the utility of its supersets. Additionally, utility-lists for itemsets with size greater than 1 can be quickly created by joining utility-lists of smaller itemsets. The EUCS is defined as a set of triples of the form  $(a,b,c) \in I^* \times I^* \times \mathbb{R}$  such that TWU( $\{a,b\}$ ) = c. The EUCS is the main novelty in FHM which allows for the pruning mechanism named EUCP (Estimated Utility Co-occurrence pruning) that will be mentioned later.

After the EUCS is created, a recursive depth-first search of the itemsets is performed. The search algorithm, called FHMSearch, takes as input (1) an itemset P, (2) a set of extensions of P with the form Pz where Pz was created by appending item z to P, (3) minutil, and (4) the EUCS. The first call to FHMSearch gives an empty set for the itemset,  $I^*$  for the set of extensions of the itemset, minutil, and the EUCS. FHMSearch executes as follows. For each extension Px of P, if the sum of the iutil values for Px's utility-list (which is equal to the utility of Px) is no less than minutil, then Px is a high-utility itemset and it is output. We do not consider extensions of P that have utilities less than minutil because these extensions are by definition low-utility itemsets. Next, if the sum of iutil and rutil values in Px's utility-list are no less than minutil, then the extensions of Px are explored. We do not explore extensions of Px if the sum of iutil and rutil values in Px's utility-list is less than minutil because the extensions of Px and their supersets are low-utility itemsets. We then explore the extensions of Px by considering all extensions Py of P such

that  $y \succ x$ . If there exists (x,y,c) in the EUCS (i.e., TWU( $\{x,y\}$ ) = c) such that  $c \ge minutil$ , then we merge Px with Py to generate extensions of the form  $Pxy = P \cup \{x,y\}$ . We do not generate Pxy if c is less than minutil because this would mean that Pxy and all its supersets are low-utility itemsets. This step is key to EUCP as it avoids the costly join operation to calculate the utility-list of an itemset that is detailed below.

To construct the utility-list of Pxy the Construct algorithm is called to join the utility-lists of P, Px, and Py. Construct takes P, Px, and Py as input an executes as follows. The utility-list of Pxy is initialized as an empty set. Next, for each tuple ex in the utility-list of Px, if there exists a tuple ey in the utility-list of Py such that ex.tid = ey.tid, and the utility-list of P is empty, then exy the tuple for Pxy's utility-list – is formed as (ex.tid, ex.iutil + ey.iutil, ey.rutil). Note that P being empty implies that  $Px = \{x\}$  and  $Py = \{y\}$ . If the utility-list of P is non-empty, then we search for the tuple e in the utility-list of P such that e.tid = ex.tid and create exy as (ex.tid, ex.iutil + ey.iutil - e.iutil, ey.rutil). After exy is created, it is appended to the utility-list for Pxy. Once we have considered all the tuples in the utility-list of Px, we return the utility-list of Pxy, which terminates the execution of Construct.

After we have created the utility-list of Pxy, a recursive call to FHMSearch with Pxy is done to calculate its utility and explore its extension(s). Starting with single items, FHMSearch recursively explores the search space of itemsets by appending single items until all high-utility itemsets are discovered.

**Experiments** 

Work

Plan

Logistics