# Collision of Two Stellar Associations in the Nearby Gum Nebula

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## **ABSTRACT**

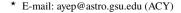
Based on *Gaia* DR2 data and new CHIRON radial velocities, we have discovered that two nearby stellar **associations UPK 535** (318.08  $\pm$  0.29 pc,  $25^{+15}_{-10}$  Myr, 174 stars) and Yep 3 (339.54  $\pm$  0.25 pc,  $45^{+55}_{-20}$  Myr, 297 stars) in the Gum Nebula have recently collided. Projecting stars' current positions, motions, and measurement uncertainties backward and forward through time, we simulate the **association** collision using the Monte Carlo method. On average, the **associations**' centres of mass come within  $18.89 \pm 0.73$  pc of each other  $0.84 \pm 0.03$  Myr ago. On average,  $54 \pm 7$  close (<1 pc) stellar encounters occur during the collision. We cannot predict specific star-star close encounters with our current ~7.6 pc distance precision and 21.5-per-cent-complete radial velocity sample. Never the less, we find that two stars in UPK 535 and two stars in Yep 3 undergo a nonspecific close encounter in >70 per cent of trials and multiple close encounters in ~30 per cent. On average, the closest approach of any two stars is  $0.13 \pm 0.06$  pc, or  $27,000 \pm 12,000$  au. With impulses up to  $2.7^{+3.1}_{-1.1}$  M $_{\odot}$  pc<sup>-2</sup> km<sup>-1</sup> s, such close encounters could perturb stars' Oort cloud comets (if present) and cause a heavy bombardment event for exoplanets (if present). Finally, an expansion of our simulation reveals other associations in the region are also interacting. Association collisions may be commonplace, at least in the Gum Nebula straddling the Galactic plane, and may play a more disruptive role in solar system evolution than previously recognized.

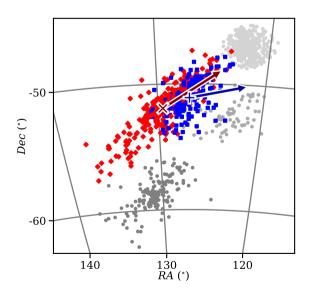
**Key words:** Galaxy: open clusters and **associations**: general – stars: kinematics and dynamics – stars: fundamental parameters – comets: general

# 1 INTRODUCTION

Because a cloud of molecular gas fragments as it collapses, most stars form in clusters or associations (Lada & Lada 2003: Krumholz et al. 2019). Modern clusters and associations consist of hundreds or thousands of stars of similar age and composition, all in close proximity to each other and moving through space together. Clusters are gravitationally bound and can remain coherent for >100 Myr, while associations, sparser than clusters, are gravitationally unbound and naturally drift apart in 10 - 100 Myr (Mathieu 1985; Lada & Lada 2003; Krumholz et al. 2019; Kounkel & Covey 2019). With the advent of Gaia DR2 (Gaia Collaboration et al. 2016, 2018) and supervised and unsupervised machine learning efforts from Kounkel & Covey (2019), Cantat-Gaudin et al. (2018), Castro-Ginard et al. (2020), and others, two to three thousand open clusters and associations are known, and the census is far from complete (Moraux 2016; Castro-Ginard et al. 2020).

In regions where many clusters and associations form, like the Gum Nebula in the Galactic plane (Gum 1952), clusters and associations may dynamically interact and possibly collide. Cluster and association collisions have not been widely considered in astronomy. However, any discovered colliding clusters or associations could serve as ideal laboratories for studying close stellar encounters and their potential effects on solar systems.





**Figure 1.** The sky positions of five **associations** in the Gum Nebula. **Associations** UPK 535 (blue squares) at  $318.08 \pm 0.29$  pc and **Yep 3** (red diamonds) at  $339.54 \pm 0.25$  pc have elongated shapes and spatially overlap. Their centres of mass are marked by a dark blue + and a dark red x, respectively. Centre-of-mass motions over 1 Myr are marked by dark blue and dark red arrows. UPK 535 and **Yep 3** are near other **associations** UPK 545 at  $326.80 \pm 0.24$  pc (dark grey circles, south), UPK 533 at  $344.08 \pm 0.46$  pc (grey circles, west), and Pozzo 1 at  $346.75 \pm 0.23$  pc (light grey circles, northwest).

**Table 1.** Position and motion cuts for isolating the **associations** UPK 535 and **Yep 3** from *Gaia* DR2 data. We also impose error cuts < 0.1 mas in parallax and < 0.16 mas yr<sup>-1</sup> in proper motion.

Assn. Name	<i>RA</i> (°)	Dec (°)	d (pc)	$\mu_{\alpha}$ (mas yr <sup>-1</sup> )	$\mu_{\delta}$ (mas yr <sup>-1</sup> )
UPK 535 <b>Yep 3</b>		-55.047.5 -55.049.5			1.1 – 4.1 9.0 – 12.0

Short of collisions, spatially overlapping clusters and associations have been detected, including NGC 1750 and NGC 1758 (Galadi-Enriquez et al. 1998), two components of  $\sigma$  Ori (Jeffries et al. 2006), two components of R136 (Sabbi et al. 2012), and two components of  $\gamma$  Vel OB2 (Jeffries et al. 2014). Using Gaia DR2 data, Wright & Parker (2019) found two mass-separated components of NGC 6530, where the motions of high-mass stars differ from those of low-mass stars.

Approaching this topic from another angle, astronomers have searched for and identified stars that have or will pass close to the Sun. These have the potential to perturb Oort cloud comets into inner orbits, potentially resulting in heavy-bombardment or mass-extinction events (Weissman & Lowry 2006; Yeomans & Chamberlin 2013; Feng & Bailer-Jones 2015; Bailer-Jones 2015). Stellar density within clusters, meanwhile, may be a cause of hot jupiters (Winter et al. 2020; Longmore et al. 2021), although this has yet to be disentangled from other factors such as cluster age (Adibekyan et al. 2021). In short, despite the vast emptiness of space, clusters and associations can and do pass near each other, and stars in close proximity to other stars can affect each other's planets.

Our paper introduces a first-ever kinematic case study of two distinct stellar associations in the process of colliding (see Figure 1). The associations are UPK 535 and Yep 3 in the Gum Nebula (Gum 1952; Sim et al. 2019; Cantat-Gaudin & Anders 2019; Kounkel & Covey 2019). Whereas the overlapping populations of  $\sigma$  Ori, R136,  $\gamma$  Vel OB2, and NGC 6530 are each believed to be evolving components of a single cluster (Jeffries et al. 2006; Sabbi et al. 2012; Jeffries et al. 2014; Wright & Parker 2019), with distinguishable but similar space motions, UPK 535 and Yep 3 exhibit disparate space motions and spatially overlap as a result of a chance encounter. Their collision could shed light on how association interactions affect association structure, association dispersal, and even heavy bombardment of exoplanets.

In §2, we identify **association** members. In §3, we derive spectral types, masses, and radial velocities from spectra of 95 members and *Gaia* DR2 data of all members. In §4, we analyse kinematics, a linear-motion Monte Carlo simulation, other **associations** in the vicinity of UPK 535 and **Yep 3**, and **association kinematic and potential** energies. Finally, in §5, we summarize our results.

# 2 IDENTIFICATION OF STELLAR ASSOCIATIONS

We independently identified the stellar **associations** UPK 535 and **Yep 3** as part of an ongoing study of stars associated with cometary globules in the Gum Nebula (e.g. Yep & White 2020). We developed a PYTHON code called Cluster Finder that facilitates empirical detection of spatially compact groups of stars with consistent distances and motions. All our searches begin with a  $2 - 4^{\circ}$ -radius *Gaia* 

DR2 field within the Gum Nebula. We then administer parallax- and proper-motion-cuts. Based on error analysis by Luri et al. (2018), we impose parallax measurement error < 0.1 mas and proper motion measurement error < 0.16 mas  $yr^{-1}$  to eliminate sources with poor astrometry. We fine-tune cuts to favor probable **association** membership over comprehensiveness, narrowing the cuts until the **association** is clearly visible and the roughly uniform distribution of stars surrounding the **association** dwindles to near zero. Lastly, we crop right ascension and declination to the **association** edges. Final distances are from Bailer-Jones et al. (2018) (see Table 4). Using this technique, we found eight **associations** throughout the Gum Nebula, two of which spatially overlap each other (see Figs. 1 and 4).

These two associations have been previously identified as UPK 535 in Sim et al. (2019) and Cantat-Gaudin & Anders (2019) and, loosely, as Theia 120 in Kounkel & Covey (2019). Cantat-Gaudin & Anders (2019) and Kounkel & Covey (2019) utilize primarily Gaia DR2 parallaxes and proper motions to identify association members. We combine our membership lists with these other catalogues', imposing our listed parameter cuts (see Table 4) with slight expansions of  $\pm 5$  pc in distance range and  $\pm 0.1$  mas yr<sup>-1</sup> in proper motion ranges. We reject stars with distances farther than 500 pc and distance uncertainties >50 pc. Thus for UPK 535 in Cantat-Gaudin & Anders (2019) we include 86 stars that appear in both our membership lists, 30 stars that appear in only their list, and 58 stars that appear in only our list, for a total of 174 members of UPK 535. Theia 120 in Kounkel & Covey (2019) appears spuriously large, with 1633 members extending from RA 120 $^{\circ}$  to 240 $^{\circ}$ . We consider only the clustered portion west of RA 148°, with our cuts applied as aforementioned. We include 111 stars that appear in both our membership lists, 153 that appear in only their list, and 33 that appear in only our list, for a total of 297 members of Yep 3. The Yep 3 association may include as many as 227 additional members of Theia 120, but these fall outside our membershipprobability-favoring cuts, are thus less likely to all be members, and are not considered in this study.

#### 3 OPTICAL SPECTRA AND STELLAR PROPERTIES

## 3.1 Observations and Data Reduction

From 2018 October 22 to 2020 March 10, we observed 36 stars in UPK 535 and 59 stars in **Yep 3** for at least 1 epoch each with the CHIRON spectrograph in queue-scheduled fibre mode (Tokovinin et al. 2013; Paredes et al. 2021). The instrument covers 4500 - 8500 Å at a resolving power  $R \approx 25,000$ , corresponding to a velocity resolution of  $12 \, \mathrm{km \, s^{-1}}$ . Guided by **association candidate members**' absolute *Gaia* blue magnitudes  $M_{BP}$  vs. *Gaia* colours BP - RP (see Figure 2), we avoided stars above the apparent single-star main sequences and prioritized photometrically single F-, G-, and K-type **stars** to measure radial velocities. We aimed for a signal-to-noise ratio of 10 - 30, which is sufficient to determine spectral types and radial velocities. We limited exposure times for magnitudes V > 9.3 mag to  $1200 \, \mathrm{s}$  in the interest of surveying all cool single V < 13.5 mag stars in a timely manner.

CHIRON echelle spectra are reduced by the CHIRON instrumentation team using an IDL script (Paredes et al. 2021). Because the instrument's temperature, fibre illumination, and order position on the CCD are very stable (Tokovinin et al. 2013), we are able to normalize all spectra by dividing out a blaze function derived order-by-order from the fairly featureless, slow-rotating A3V-type star HD 11753. We focus on 30 orders that are mostly free of telluric features and strongly pressure-broadened lines.

https://github.com/alexandrayep/Cluster\_Finder

A comprehensive analysis of these spectra is being assembled as part of a larger population study of stars and **associations** in the Gum Nebula. Here we present a summary of the methods used to determine the spectral types, extinctions, masses, and radial velocities for members of the UPK 535 and **Yep 3 associations**. A more thorough methodology will be presented in Yep et al., in preparation.

#### 3.2 Spectral Types, Colour Excesses, and Extinctions

Spectral types of the 95 spectroscopically observed stars are determined via visual comparison to our CHIRON catalogue of well-measured, slow-rotating spectral standards. We estimate a spectral type uncertainty of 1 subclass for most stars, up to 2-3 for faint and fast-rotating stars. Spectral types range from K3.5 to B9 in UPK 535 and from K2.5 to B3 in **Yep 3**.

We measure the Gaia colour excess E(BP - RP) of each association by comparing spectroscopically observed stars' apparent Gaia colours  $BP - RP_{obs}$  with their spectral types' intrinsic colours BP - RP<sub>int,M</sub> according to the dwarf colours of Pecaut & Mamajek (2013). To avoid biasing results with anomalous red or blue outliers, possibly caused by a variation in local extinction, or skewed by dim or fast-rotating stars that are more difficult to classify, we measure overall association colour excesses  $E(BP - RP)_{assn}$  by taking the flux-error-weighted mean of the middle quartiles of E(BP - RP)values. This yields a colour excess of  $0.059 \pm 0.022$  mag for UPK 535 and  $0.036 \pm 0.027$  mag for **Yep 3**. Uncertainties are the flux standard deviations of the middle quartiles of E(BP - RP) values. Since these stars are old enough (>10 Myr) to have lost the majority of their circumstellar material (Haisch et al. 2001), we assume that  $E(BP - RP)_{assn}$  represents reddening along line of sight. We determine all stars' intrinsic colours  $(BP - RP)_{int}$  by correcting for asso**ciation** reddening:  $(BP - RP)_{int} = (BP - RP)_{obs} - E(BP - RP)_{assn}$ . Values for  $(BP - RP)_{int}$  are -0.122 - 3.286 mag for UPK 535 and -0.189 - 3.202 mag for **Yep 3** (see Table 3).

There is no one-to-one relation between colour and extinction in BP or RP (Andrae et al. 2018). There is, however, an approximate relation between extinction in Gaia magnitude G and E(BP-RP) that follows from the PARSEC models:  $A_G \approx 2 \cdot E(BP-RP)$  (Andrae et al. 2018). We adopt this approximation to calculate association extinction  $A_G \approx 2 \cdot E(BP-RP)_{assn} \approx 0.120 \pm 0.043$  mag for UPK 535 and  $0.072 \pm 0.053$  for Yep 3 (see Table 2). Overlapping at similar distances from Earth, these associations can be expected to have the same level of extinction. Their values are within  $1\sigma$  of each other. From these extinctions and Bailer-Jones et al. (2018) distances, we calculate corrected absolute magnitude  $M_G$  for each star. Values for  $M_G$  are 0.612-11.657 mag for UPK 535 and -1.094 -11.834 mag for Yep 3.

#### 3.3 Radial Velocities

We measure stars' radial velocities  $v_{\rm T}$  via cross-correlation with our catalogue of CHIRON standards. The velocity of each star relative to a standard star is determined from the Doppler-uncertainty-weighted-mean average of the 30 orders used in the analysis. Uncertainties  $\sigma_{v_{\rm T}}$  are determined from the sample standard deviation of the orders' relative velocities, added in quadrature with the uncertainty in the

standard's radial velocity. Barycentric corrections are calculated using the PyAstronomy function helcorr (Czesla et al. 2019).<sup>4</sup> Six rapidly rotating stars  $(v_{\rm rot}\sin(i)\gtrsim 100~{\rm km~s^{-1}})$  have velocity dispersions >10 km s<sup>-1</sup> across their orders and yield spurious results; we consider their  $v_{\rm r}$  undetermined. For three **Yep 3** stars we did not spectroscopically observe, we adopt Gaia DR2  $v_{\rm r}$ . The median  $\sigma_{v_{\rm r}}$  of the two-**association** sample is ~0.33 km s<sup>-1</sup>.

All stars in this study were chosen for their **association**-consistent properties. Inconsistent  $v_r$  may therefore be a symptom of binarity rather than nonmembership. We mark all stars with  $v_r > 5$  km s<sup>-1</sup> divergent from each **association**'s median as possible single-line binaries. Based on this criterion, our spectroscopically observed UPK 535 sample has five possible single-lined binaries, one of which is confirmed based on multiple  $v_r$  measurements. In our spectroscopically observed **Yep 3** sample, twelve stars are identified as possible single-lined binaries, and one star is visually suspected to be a double-lined spectroscopic binary.

The mean radial velocity of each **association** is calculated using the measured  $\nu_r$  of candidate members in this study, excluding stars identified as possible binaries. From twenty-four stars' radial velocities, UPK 535 has an error-weighted mean radial velocity of 10.14  $\pm$  0.06 km s $^{-1}$  with a standard deviation of 1.6 km s $^{-1}$ . From thirty-eight stars' radial velocities, **Yep 3** has an error-weighted mean radial velocity of 19.40  $\pm$  0.04 km s $^{-1}$  with a standard deviation of 1.6 km s $^{-1}$ 

#### 3.4 Masses

We can estimate stars' masses using the dwarf colour relations of Pecaut & Mamajek (2013). For the 95 spectroscopically observed stars, we interpolate masses from spectral types. Uncertainties are propagated from spectral type uncertainties and limited to ≥5 per cent of the stars' masses to account for uncertainties in choice of stellar model. For stars without spectra, we can interpolate masses from  $(BP - RP)_{int}$  or, if BP or RP are unavailable, from  $M_G$ . We test the three methods on stars with measured spectral types,  $(BP-RP)_{int}$ , and  $M_G$ : Spectral-type-derived masses and intrinsic-colour-derived masses differ by ±8 per cent on average, whereas spectral-typederived masses and  $M_G$ -derived masses differ by  $\pm 11$  per cent on average. Accordingly, 366 stars without spectra are assigned intrinsiccolour-derived masses, with uncertainties propagated from colour uncertainties and limited to ≥8 per cent of their masses. Finally, 4 stars in UPK 535 and 6 stars in Yep 3 that lack spectral types and colours are assigned  $M_G$ -derived masses, with mass uncertainties propagated from G- and distance uncertainties and limited to  $\geq 11$ per cent of their masses. Stellar masses range from 0.17 to 2.75  $M_{\odot}$ in UPK 535 and from 0.18 to 5.40  $M_{\odot}$  in Yep 3 (see Table 3).

Our stellar mass uncertainties are statistical. Systematic uncertainties, especially because the stars are pre-main sequence, are likely larger.

#### 4 DISCUSSION

# 4.1 **Association Properties**

We estimate **association** ages by fitting MESA isochrones to the extinction-corrected (see §3.2) single-star main sequences, as illustrated in Figure 2 (Paxton et al. 2011, 2013, 2015; Choi et al. 2016; Dotter 2016). We adopt supersolar metallicities of 0.1 dex for UPK

https://github.com/alexandrayep/CHIRON\_Standards

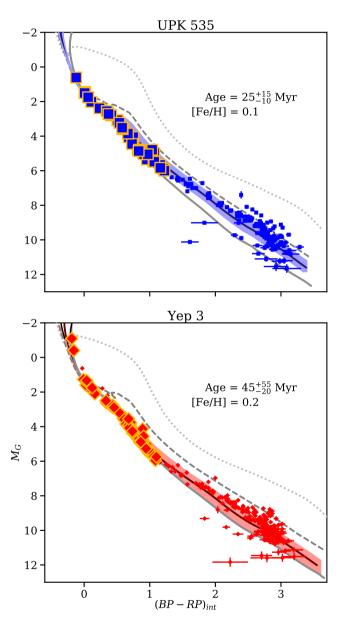
<sup>3</sup> http://www.pas.rochester.edu/~emamajek/EEM\_dwarf\_ UBVIJHK\_colors\_Teff.txt, version 2021.03.02

<sup>4</sup> https://github.com/sczesla/PyAstronomy

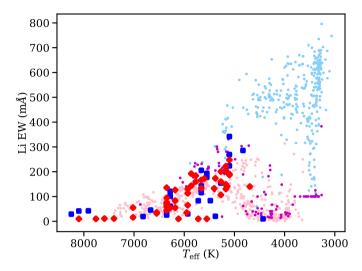
# 4 Yep & White

Table 2. Association properties derived from corrected colour-magnitude diagrams, spectral types, spectroscopically measured radial velocities, and ellipsoid fits

Assn. Name	No. Stars	Age (Myr)	Adopted [Fe/H]	E(BP - RP) (mag)	$A_G$ (mag)	Infrared Excess (mag)	$M_{ m tot}$ $({ m M}_{\odot})$	$(\text{km s}^{-1})$	$\rho_h$ (M <sub><math>\odot</math></sub> pc <sup>-3</sup> )	t <sub>cr</sub> (Myr)
UPK 535	174	$25^{+15}_{-10}$ . $45^{+55}_{-20}$ .	0.1	$0.059 \pm 0.022$	$0.120 \pm 0.043$	$0.053 \pm 0.016$	$145 \pm 13$	$10.1 \pm 1.6$	0.008	82
<b>Yep 3</b>	297		0.2	$0.036 \pm 0.027$	$0.072 \pm 0.053$	$0.024 \pm 0.022$	$252 \pm 27$	$19.4 \pm 1.6$	0.006	92



**Figure 2.** Colour-magnitude diagrams for the **associations** UPK 535 (top panel) and **Yep 3** (bottom panel). *Gaia* colours are corrected for redenning, and absolute G magnitudes are calculated using distances from (Bailer-Jones et al. 2018) and corrected for extinction. Isochrones are from MESA: dotted gray is 1 Myr, dashed gray is 10 Myr, and solid gray is 100 Myr. Spectroscopically observed stars are outlined in gold. **Associations** UPK 535 (blue squares) and **Yep 3** (red diamonds) have pre-main sequence slopes consistent with supersolar metallicities [Fe/H] = 0.1 dex and 0.2 dex, respectively, and lie roughly along isochrones of ages  $25^{+15}_{-10}$  Myr (dark blue line with light blue range) and  $45^{+55}_{-20}$  Myr (dark red line with light red range), respectively.



**Figure 3.** Lithium absorption equivalent width vs. effective temperature. Light blue dots are for clusters and associations aged 1-20 Myr, purple dots are for clusters and associations aged  $\sim 35$  Myr, and pink dots are for clusters and associations aged 100-500 Myr. UPK 535 (blue squares) is consistent with age  $\sim 35$  Myr, and **Yep 3** (red diamonds) likely falls somewhere between 35 Myr and 100 Myr. The magnitude limit V < 13.5 mag for our observations of UPK 535 and **Yep 3** limits our sample's  $T_{\rm eff}$  to  $\gtrsim 4500$  K.

535 and 0.2 dex for Yep 3 because these values yield more consistent ages for stars spanning from the main sequence turnoffs to the low-mass ends. We find that both associations are young, with UPK 535  $(25^{+15}_{-10} \text{ Myr})$  younger than Yep 3  $(45^{+55}_{-20} \text{ Myr})$  (see Table 2). Age uncertainties stem from the discrepancies in fitting each main sequence turnoff vs. bright-for-their-colour cool stars. This discrepancy between ages of early-type and late-type stars is a common problem when fitting model isochrones, perhaps due to magnetism, star spots, or other difficult-to-quantify phenomena of cool stars (Herczeg & Hillenbrand 2015; Asensio-Torres et al. 2019), and perhaps due to blue stragglers (Beasor et al. 2019).

We buttress our isochrone results with measurements of cool stars' lithium absorption lines, present only at young ages. Li I  $\lambda 6708$  is visible in both associations' spectra. The feature is slightly stronger in UPK 535 (lithium equivalent width (Li EW) up to 342 mÅ) than in Yep 3 (Li EW up to 248 mÅ). The associations' positions in Li EW vs. effective temperature  $T_{\rm eff}$  space are consistent with ages near 35 Myr (see Figure 3; comparison data from Gutiérrez Albarrán et al. 2020), with UPK 535 appearing slightly younger than Yep 3.

Total stellar mass of each **association** can be calculated by adding individual stellar masses, adding the estimated mass of unobserved cool stars according to an initial mass function (IMF), and adding estimated unresolved binary companion masses until reaching a total binarity of 50 per cent. Single stellar masses sum to 95.9  $\pm$  7.2  $M_{\odot}$  for

UPK 535 and 175  $\pm$  19  $M_{\odot}$  for **Yep 3**. Uncertainties are worst-case uncertainties, summed directly.

Our samples extend down to apparent  $G \sim 20$  mag and are reasonably complete down to  $\sim 0.2 \ M_{\odot}$ , or spectral type  $\sim$ M4V. According to the IMF of Kroupa (2001), 48 per cent of stars are M-type stars and contribute 28 per cent of the total **association** mass, and 38 per cent are brown dwarfs that contribute 4.3 per cent of the total **association** mass. Counting all stars up to each **association**'s largest stellar mass, we calculate that we are missing about 20 per cent of the single-star mass of **UPK 535 and 12 per cent of the single-star mass of Yep 3**. Completing the IMF thus adds  $19.6 \pm 3.9 \ M_{\odot}$  to UPK 535 and  $20.3 \pm 4.0 \ M_{\odot}$  to **Yep 3**, with assigned uncertainties of 20 per cent to account for uncertainties in choice of IMF and the edge of our samples' spectral type completeness.

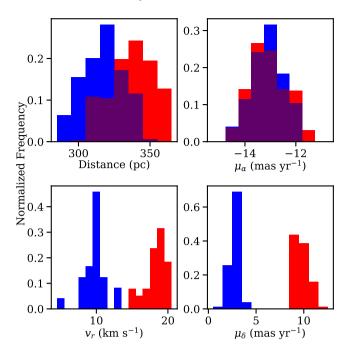
To account for binaries, we double the mass of the one double-line binary star in **Yep 3**, and we approximate each confirmed or suspected single-line binary star's companion mass as half the mass of the primary (see §3.3 for binary criteria). This adds  $6.7 \pm 0.4$   $M_{\odot}$  to UPK 535 from five companion stars and  $17.9 \pm 1.4$   $M_{\odot}$  to **Yep 3** from thirteen companion stars. If the **associations** have 50 per cent binarity and companion stars each possess half the mass of their primaries, randomly assigned unresolved binarity over 10,000 trials adds  $22.3 \pm 1.5$   $M_{\odot}$  to UPK 535 and  $39.2 \pm 2.2$   $M_{\odot}$  to **Yep 3**.

Summing all masses and uncertainties, the **association total stellar mass**  $M_{tot}$  with worst-case uncertainty is  $145 \pm 13$  M $_{\odot}$  for UPK 535 and  $252 \pm 27$  M $_{\odot}$  for **Yep 3** (see Table 2). Both **associations** are older than 5 Myr, so we assume their natal molecular gas has fully dispersed (Lada & Lada 2003). Thus the **associations**' total masses are assumed equal to their **association** stellar masses. Total stellar mass uncertainties are statistical; systematic uncertainties are likely higher.

Both associations are distinctly nonspherical (see Figure 1). They are elongated in the southeast-northwest direction, especially Yep 3. Because the elongation stretches roughly along the Galactic plane, tidal disruption could be at least partly responsible (Chen et al. 2004). To examine the spatial distribution of each association, we project association stars' 3-D positions and velocities into xyz space, with  $RA \sim y$ ,  $Dec \sim z$ , and distance  $\sim x$ . We set the origin at the median position of UPK 535. Median distance uncertainties  $\sigma_{d} \sim 7.6$  pc are significantly larger than median spatial uncertainties  $\sigma_{RA} \approx \sigma_{Dec} \sim 0.01$  au, and median radial velocity uncertainties (0.56 km s<sup>-1</sup>) are 2.4 times larger than median proper motion uncertainties (0.22 km s<sup>-1</sup>). This anisotropy in uncertainties artificially stretches the associations in the radial direction. The stretch is hidden when distance is projected into the depth direction x but is revealed in other projections (see rotating 3-D views in online resources).

Referencing stars' xyz positions and estimated stellar masses, including binary companion masses for identified potential binary stars (see §3.4), we determine each **association**'s centre of mass. As an approximation of **association** size, we take the median of stars' distances from each **association**'s centre of mass. These median radial extents are 14.6 pc for UPK 535 and 16.3 pc for **Yep 3**.

For volume, density, and stellar crossing time, we fit an ellipsoid to each **association** using the PYTHON code ELLIPSOID, <sup>5</sup> with fit tolerance set to **0.15**. This captures the overall morphology of each **association**. The initial ellipsoid for UPK 535 contains 89.1 per cent of stars, for **Yep 3**, 91.9 per cent. We define a half-mass ellipsoid by dilating the initial ellipsoid until it contains half the measured mass



**Figure 4.** Distributions of distances and kinematics for UPK 535 (blue) and **Yep 3** (red). The spatially overlapping **associations** share distances and proper motions in right ascension (purple overlap), but their proper motions in declination and radial velocities are divergent, indicating they did not form together. Rather, they recently encountered each other.

of the **association** (i.e. accounting for identified possible binaries, but excluding unidentified binaries and IMF additions).

To account for anisotropically large uncertainties in distance, we subtract out each association's projected median distance uncertainty in quadrature from its three ellipsoidal axes. We then compute the volume of the half-mass ellipsoid and calculate stellar density  $\rho_h$ , equal to  $0.008~\rm M_\odot~pc^{-3}$  for UPK 535 and  $0.006~\rm M_\odot~pc^{-3}$  for Yep 3. These densities are an order of magnitude lower than the local field star density of  $0.09~\rm pc^{-3}$  (Henry et al. 2018) but are reasonable for stellar associations (Moraux 2016). The densities imply stellar crossing times  $t_{\rm cr} \approx 1/2~(G~\rho_h)^{-1/2} \approx 82~\rm Myr$  for UPK 535 and 92 Myr for Yep 3. Adjusting volumes for the median distance uncertainty has raised densities and lowered crossing times by about 3 – 10 per cent each.

We can also approximate crossing times as how long it takes to cross each half-mass ellipsoid axis at the speed of the 1-dimensional velocity dispersions:  $t_{\rm cr} \approx 2r/\sigma_{v,\rm 1D}$  (Kuhn et al. 2019; see §4.5), where r is half-mass radial extent of the ellipsoidal association. Results are 44 – 100 Myr for UPK 535 and 22 – 84 Myr for Yep 3, less than or similar to the density-derived crossing times. All these crossing times are reasonable for unbound associations. Furthermore, velocity-dispersion-derived crossing times being roughly equal to association ages imply the associations have expanded since their formation (Kuhn et al. 2019), perhaps partly due to shear forces from the Galactic plane (see Figure 1).

# 4.2 Colliding Associations

The distributions of distances and kinematics for UPK 535 and **Yep 3** are shown in Figure 4. Their distance distributions overlap, and their proper motions in right ascension  $\mu_{\alpha}$  are indistinguishable (see Table

<sup>&</sup>lt;sup>5</sup> Dr. Imelfort, https://github.com/minillinim/ellipsoid

4). Their motions diverge, however, in proper motion in declination  $\mu_{\delta}$  and radial velocity  $v_{\rm r}$ . The farther **association** is moving north and away faster than the nearer **association** at a relative space velocity of  $16.2 \pm 1.4$  km s<sup>-1</sup>. **Associations** UPK 535 and **Yep 3** have recently collided.

With median radial extents of 14.6 pc and 16.3 pc, the **associations**' current centre-of-mass separation of  $22.7 \pm 3.8$  pc corresponds to 8.2 pc of median radial overlap. To estimate **association** volume overlap, we scale the **associations**' initial ellipsoids to contain 75 per cent of each **association**'s stars. By a cubic parsec grid calculation, the overlap region includes 26.1 per cent of UPK 535's 75-per-cent-stars ellipsoid volume and 19.3 per cent of **Yep 3**'s. This **association** overlap is considerable, holding 50 UPK 535 stars and 43 **Yep 3** stars (see 3-D rotating view of overlapping ellipsoids in online resources).

To explore the **association** collision, we run a 10,000-trial Monte Carlo simulation of the **association** stars' current xyz-transformed positions, motions, and uncertainties, linearly extrapolated forward and backward in time (see Figure 5 and projected collision animations in online resources). We ignore stars' close-encounter path deflections (extremely unlikely; see Bailer-Jones 2015), accelerations in the gravitational potential of the two **associations**, and accelerations in the gravitational potential of the Galaxy (see below for effects). Potential binary stars and stars lacking  $v_r$  measurements are assigned their **association**'s error weighted mean  $v_r$ , with uncertainty set to the standard deviation of **association** stars'  $v_r$  values (see §3.4 and Table 2). Based on the associations' centre-of-mass relative velocity of  $16.2 \pm 1.4 \text{ km s}^{-1}$  and time-step 0.01 Myr, the collision simulation's spatial resolution is essentially  $\sim 0.166 \pm 0.014$  pc per time-step. We find that using a finer time-step does not significantly alter our results. Though we do not integrate through gravitational potentials, stellar masses do affect centre-of-mass calculations, so we account for the masses of known potential binaries (see §4.1) and impose a stellar mass lower limit of 0.08  $M_{\odot}$  on all stars.

In the simulation, the **associations**' centres of mass experience a mean closest approach of  $18.89 \pm 0.73$  pc at time  $0.84 \pm 0.03$  Myr ago (see Figure 6 and the middle panel of Figure 5). Holding the 75 per cent-stars ellipsoids constant and shifting them by their centre-of-mass velocities for 0.84 Myr into the past, we recalculate **association** volume overlap at time of closest centres-of-mass approach: 23.4 per cent of the volume of UPK 535 and 17.3 per cent of the volume of **Yep 3** fall within the overlap region (see 3-D rotating view of UPK 535 and **Yep 3** at 0.84 Myr ago, available in online resources). The overlap region at closest centres-of-mass approach encompasses 37 UPK 535 stars and 44 **Yep 3** stars.

We track stellar close encounters <1 pc within each trial. Measurement uncertainties in distances ( $\sim$ 7.6 pc) and a **limited number of radial velocities (21.5 per cent of our sample)** prevent us from predicting specific star-star encounters. However, we can track which stars are most likely to undergo a close encounter with any otherassociation star. In our Monte Carlo simulation, over the course of  $3.15 \pm 0.50$  Myr  $\ll t_{\rm cr}$  from the first close stellar encounter to the last, a mode of  $54 \pm 7$  close stellar encounters occur (see Figure 6). The closest encounter of any two stars is on average  $0.13 \pm 0.06$  pc  $\approx 27,000 \pm 12,000$  au, a distance well within the estimated extent of the Solar Oort cloud ( $\sim$ 50,000 au).

A star's chance of close encounter is affected by its location within the **association** (see Figure 7). Stars in UPK 535 have a median 16.9 per cent chance of undergoing a close encounter with a star in **Yep 3**. The chance of close encounter is stronger for stars in the eastern half of UPK 535 than the western half. Stars in **Yep 3** have a median 14.2 per cent chance of close encounter with a star in UPK 535, with chance of close encounter higher in the western two thirds of the

Table 3: Samples of nominal data for UPK 535 and Yep 3. Star names are available for stars we spectroscopically observed. Full machine-readable tables containing all UPK 535 and Yep 3 stars are available online.

Gaia DR2 Source	Star	RA (°)	Dec	Parallax (mas)	$\begin{array}{ccc} \mu_{\alpha} & \mu_{\delta} & \nu_{\rm r} \\ ({\rm mas~vr}^{-1}) & ({\rm mas~vr}^{-1}) & ({\rm km~s}^{-1}) \end{array}$	$\mu_{\delta}$ (mas vr <sup>-1</sup> )	$v_{\rm r}$ (km s <sup>-1</sup> )	G (mao)	ВР	RP (mao)	V (mao)	Spectral Class Binary Type Uncert Flag	Spectral Class Binary Type Uncert Flag	Binary Flao	(Ju)	Mass (Me)
				(0)	( .f)		UPK 535	(9,)	(9,)	(Same)	(Same)	24.6		٥		
5515311888720004096	i	126.3425	-48.8964	3.041 ± 0.062	126.3425 -48.8964 $3.041 \pm 0.062$ -12.44 $\pm 0.10$	2.77 ± 0.10	:	16.325 ± 0.002	$16.325 \pm 0.002 + 17.575 \pm 0.013 + 15.183 \pm 0.004 + 17.314 \pm 0.012$	15.183 ± 0.004	17.314 ± 0.012	:	:	:	326.0+6.8	$326.0^{+6.8}_{2.5}$ 0.414 ± 0.033
5323045375612718080	5323045375612718080 2MASS J08280595-4957545 127.0248 -49.9652 3.062 ± 0.016 -12.913 ± 0.031	127.0248	-49.9652	$3.062 \pm 0.016$	$-12.913 \pm 0.031$	$2.989 \pm 0.029$	$5.7 \pm 1.7$	$13.334 \pm 0.002$	$13.334 \pm 0.002 \ 13.872 \pm 0.008 \ 12.651 \pm 0.006 \ 13.639 \pm 0.007$	$12.651 \pm 0.006$	$13.639 \pm 0.007$	K3.5V	1.5	:	$323.5 \pm 1.7$	$323.5 \pm 1.7 \ 0.798 \pm 0.064$
5321523750292691968	TYC 8162-956-1	127.5683	-51.8880	$3.218 \pm 0.030$	127.5683 -51.8880 3.218 $\pm$ 0.030 -13.607 $\pm$ 0.054	$3.268 \pm 0.049$	$10.20 \pm 0.30$	$11.930 \pm 0.002$	$11.930 \pm 0.002 \ 12.262 \pm 0.006 \ 11.450 \pm 0.004 \ 12.067 \pm 0.020$	$11.450 \pm 0.004$	$12.067 \pm 0.020$	F7V	1.0	:	$308.0 \pm 2.9$	$308.0 \pm 2.9 \ 1.210 \pm 0.060$
5322663157872667648	:	126.4354	-50.2127	$2.994 \pm 0.096$	$26.4354 - 50.2127 \ 2.994 \pm 0.096 \ -12.71 \pm 0.18$	$2.79 \pm 0.19$	:	$17.428 \pm 0.002$	$17.428 \pm 0.002 \ 18.997 \pm 0.029 \ 16.141 \pm 0.004 \ 18.724 \pm 0.029$	$16.141 \pm 0.004$	$18.724 \pm 0.029$	:	:	:	$331^{+11}_{-10}$	$0.266 \pm 0.021$
5515843571310261248	:	123.8186	-48.8694	$3.057 \pm 0.083$	$-23.8186 - 48.8694 \ 3.057 \pm 0.083 \ -12.22 \pm 0.15$	$3.87\pm0.13$	:	$16.874 \pm 0.002$	$16.874 \pm 0.002 \ 18.322 \pm 0.020 \ 15.653 \pm 0.004 \ 18.053 \pm 0.019$	$15.653 \pm 0.004$	$18.053 \pm 0.019$	÷	÷	÷	$324.4^{+9.1}_{-8.6}$	$324.4^{+9.1}_{-8.6}$ $0.331 \pm 0.026$
							Yep 3									
5321688200309650432	TYC 8163-2131-1	129.9593	-51.5401	2.876 ± 0.026	$129.9593 - 31.5401 - 2.876 \pm 0.026 - 12.625 \pm 0.050 - 9.199 \pm 0.053 - 10.15 \pm 0.95 \ 11.888 \pm 0.001 \ 12.273 \pm 0.004 \ 11.346 \pm 0.003 \ 12.061 \pm 0.013$	$9.199 \pm 0.053$	$-10.15 \pm 0.95$	11.888 ± 0.001	12.273 ± 0.004	$11.346 \pm 0.003$	$12.061 \pm 0.013$	F6V	3.0	SB1?	344.3+3.2	1.25 ± 0.16
5321625901794892800	TYC 8163-1809-1	129.9754	-51.9476	$2.814 \pm 0.028$	129.9754 -51.9476 2.814 ± 0.028 -12.101 ± 0.050 10.556 ± 0.053 12.06 ± 0.16 11.876 ± 0.000 12.163 ± 0.002 11.439 ± 0.001 11.990 ± 0.005	$10.556 \pm 0.053$	$12.06 \pm 0.16$	$11.876 \pm 0.000$	$12.163 \pm 0.002$	$11.439 \pm 0.001$	$11.990 \pm 0.005$	F8V	1.0	SB1?	SB1? 351.8 <sup>+3.5</sup>	$1.180 \pm 0.059$
5321634732250617856	5321634732250617856 2MASS J08405718-5145010 130.2383 -51.7503 2.813 ± 0.059 -12.91 ± 0.11 9.83 ± 0.13	130.2383	-51.7503	$2.813 \pm 0.059$	$-12.91 \pm 0.11$	$9.83 \pm 0.13$	:	$16.323 \pm 0.002$	$16.323 \pm 0.002 \ 17.515 \pm 0.012 \ 15.201 \pm 0.004 \ 17.255 \pm 0.012$	$15.201 \pm 0.004$	$17.255 \pm 0.012$	:	:	:	352.1+7.3	$0.428 \pm 0.034$
5324773773536320512	CD-50 3593	132.3128	-51.0401	$2.928 \pm 0.043$	132.3128 -51.0401 2.928 $\pm$ 0.043 -12.992 $\pm$ 0.077	$11.482 \pm 0.059$	:	$9.913 \pm 0.000$	$9.913 \pm 0.000  10.069 \pm 0.001  9.678 \pm 0.002$	$9.678 \pm 0.002$	$9.960 \pm 0.004$	:	:	:	338.3+5.0	$1.67 \pm 0.13$
5317163813045331968	:	132.4527	-54.9563	$2.789 \pm 0.074$	$132.4527 -54.9563 \ 2.789 \pm 0.074 \ -12.07 \pm 0.15$	$9.69 \pm 0.14$	:	$15.803 \pm 0.001$	$15.803 \pm 0.001 \ \ 16.916 \pm 0.004 \ \ 14.695 \pm 0.002 \ \ 16.659 \pm 0.004$	$14.695 \pm 0.002$	$16.659 \pm 0.004$	:	:	:	$355.3^{+9.6}_{-9.1}$	$0.454 \pm 0.036$

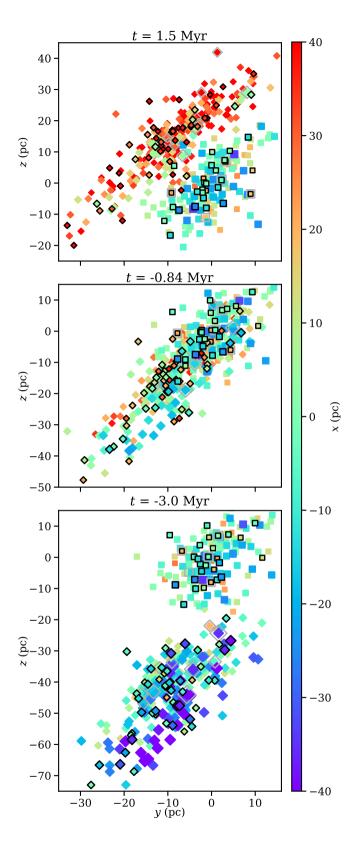
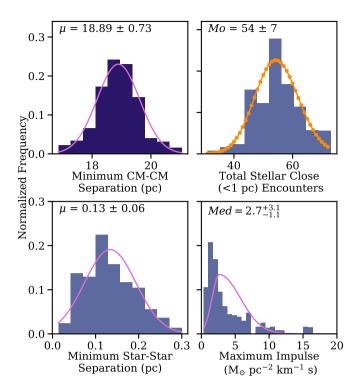


Figure 5. Projected positions of UPK 535 (squares) and Yep 3 (diamonds) at three points in time: 3.0 Myr ago (bottom panel), 0.84 Myr ago (middle panel), and 1.5 Myr from now (top panel). RA corresponds roughly with y, Dec with z, and distance with x, all in pc. The coordinates are zeroed on UPK 535. Dark outlines mark stars with spectroscopically measured  $v_r$ . Yep 3 moves north and away into UPK 535, reaching closest approach  $0.84 \pm 0.03$  Myr ago and receding thereafter. The apparent expansion back in time results from a combination of proper motion uncertainties  $\sim$ 0.22 mas  $yr^{-1}$  and linear extrapolation.



**Figure 6.** Distributions of four parameters across 10,000 trials in our Monte Carlo simulation. Each parameter is fitted with a Gaussian (lavender line) based on mean and standard deviation or a Poisson distribution (orange line) based on mode. The centres of mass of the two **associations** (top left) experience a closest approach of  $18.89 \pm 0.73$  pc about  $0.84 \pm 0.03$  Myr ago. During the collision,  $54 \pm 7$  close (<1 pc) stellar encounters occur (top right). The most likely closest approach of any two stars (bottom left) is  $0.13 \pm 0.06$  pc, or  $27,000 \pm 12,000$  au, well within the estimated radial size of our Solar Oort cloud. So close an encounter could disrupt debris in a stars' Oort cloud with an impulse of  $2.7^{+3.1}_{-1.1}$  M $_{\odot}$  pc $^{-2}$  km $^{-1}$  s (bottom right) and initiate a heavy bombardment event for any exoplanets the stars may harbour.

association. Two stars in UPK 535 and two in **Yep 3** undergo a close encounter in >70 per cent of trials. These stars undergo multiple close encounters in ~30 per cent of trials. 93.7 per cent of stars in UPK 535 and 84.2 per cent of stars in **Yep 3** experience a close encounter in at least one trial. Improved parallaxes with  $\sigma_d \sim 1$  pc plus additional high-resolution spectroscopic observations with resolution  $\sigma_{\nu_r} \sim 0.1$  km s $^{-1}$  could enable us to predict specific star-star close encounters within 1-2 pc and their effects on the stars' theoretical solar systems.

Ideally we would integrate positions and motions through the gravitational potential of the Galaxy and, if strong enough, the gravitational potentials of the **associations** themselves. According to Bailer-Jones (2015), who ran both linear and integrated-through-gravitational-potential simulations, our simple linear-motion approach can introduce star-star distance errors  $\geq 0.5$  pc for up to 17 per cent of stars, with underestimation about twice more frequent than overestimation. This systematic underestimation of star-star distances will systematically inflate the number of close stellar encounters.

# 4.3 Impulse

It has been theoretically demonstrated that a star passing close to our Sun could dislodge comets from the Oort cloud and send sev-

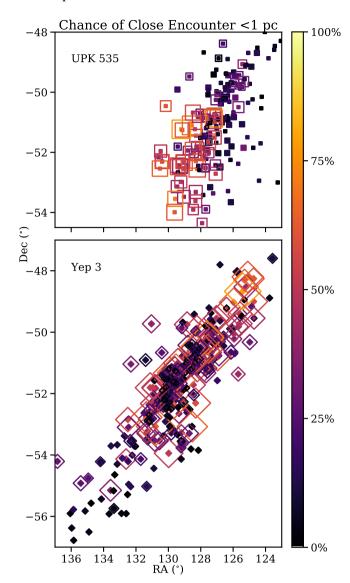


Figure 7. Symbols' colours represent each star's chance of undergoing a close (<1 pc) encounter, and symbols' outlines are scaled to chance of undergoing more than one close encounter. In UPK 535 (top panel, square symbols), each star's chance of close encounter is strongly dependent on location. Stars in the eastern half of UPK 535 are more likely to encounter Yep 3 stars than are stars in the western half of UPK 535. Chance of close encounter is more spread out in Yep 3 (bottom panel, diamond symbols).

eral comets into the inner Solar System (e.g. Weissman & Lowry 2006; Yeomans & Chamberlin 2013; Feng & Bailer-Jones 2015; Bailer-Jones 2015). Long-period comets, because larger and faster-moving than common near-Earth asteroids, may be more likely to inflict extinction-level impacts (Weissman & Lowry 2006; Yeomans & Chamberlin 2013). Heightened comet fluxes during a close stellar encounter would raise the probability of a catastrophic cometary impact.

Origins of the Sun's Oort Cloud remain mysterious. The Oort Cloud may have evolved over 100 – 1000 Myr, shaped by planetary migrations, Galactic tidal forces, debris capture, and, in fact, close stellar encounters (Higuchi & Kokubo 2015; Portegies Zwart et al. 2021). The Oort Cloud as we know it could be a unique structure (Portegies Zwart et al. 2021), although the

evolution of Oort-like structures around other stars is certainly possible over timescales of 10 – 200 Myr (Portegies Zwart 2021; Portegies Zwart et al. 2021).

If the stars in UPK 535 and Yep 3 do possess ~50,000 au Oort clouds similar to our Sun's, an encounter as close as  $27,000 \pm 12,000$ au could perturb Oort cloud objects significantly. We estimate stellar influence on cometary motions and induced comet flux through a simple impulse-tracing parameter  $Md^{-2}v^{-1}$  (Feng & Bailer-Jones 2015; Bailer-Jones 2015), where M is the mass of the encountering star, d is the star-star separation, and v is the stars' relative space velocity. In the close encounters of our Monte Carlo simulation, where the closest encounter induces the highest impulse just over half of the time, the median maximum impulse parameter any star imparts to another star's Oort cloud comets is  $2.7^{+3.1}_{-1.1}\,M_{\odot}\,pc^{-2}\,km^{-1}$ s. Uncertainties are from the first and third quartiles of the asymmetric impulse parameter distribution (see Figure 6). Extrapolating from the Solar-System-based model of Feng & Bailer-Jones (2015), we find the impulse is strong enough to inject a median of  $410^{+560}_{-190}$  of every 1 million Oort Cloud comets into a star's inner solar system. For reference, our own Solar System has an estimated  $10^{11} - 10^{12}$  Oort Cloud comets, which would entail up to 400 million comets injected (Feng & Bailer-Jones 2015). Because travel from the Oort cloud into inner orbits takes time (Feng & Bailer-Jones 2015), comet showers may plague the close-encountering stars' exoplanets in a few million years.

A passing star's Oort cloud itself could also sweep through another star's solar system. Additionally, a passing star's tidal tails of asteroids could sweep through another star's solar system millions of years before or after the stars' closest approach (Portegies Zwart 2021).

If the stars in UPK 535 and Yep 3 do not possess Oort Clouds, it is possible the perturbations from close stellar encounters could spur their creation; a combination of within-cluster stellar interactions and a strong close stellar encounter may have shaped the Sun's Oort Cloud (Portegies Zwart et al. 2021). Perturbation of a Kuiper-belt-like structure or asteroids is also possible during close stellar encounters (Portegies Zwart 2021). Perturbation of planets is less likely.

We compare interassociation impulses to intra-associationand field-star-induced impulses. Because the associations are sparse and moving through space together, the separation between stars within each cluster may not change drastically over the course of a few Myr and should hover around  $(0.01 \text{ per pc}^3)^{-1/3} \approx 5 \text{ pc}$ , which, squared, should dominate the effect of slower velocities and render intrassociation impulses overall weaker than the strongest interassociation impulses. However, the field star population (especially in the plane of the Galaxy) is likely denser than each association by at least an order of magnitude. The local field star density near our Sun, for example, is 0.09 stars pc<sup>-3</sup> (Henry et al. 2018), for typical stellar separation ~2.2 pc. Based on the initial cone search of Gaia DR2 stars in the vicinity of UPK 535, the field stars' spread in relative space velocities  $\sim$ 33 km s<sup>-1</sup> is larger than the relative space velocity  $16.2 \pm 1.4 \text{ km s}^{-1}$  between UPK 535 and Theia 120, partly offsetting the higher field-star density. For close encounters 1.0 – 0.1 pc and median stellar mass 0.37  $M_{\odot}$ , the impulse parameter ranges from  $0.01 - 1.1 \text{ M}_{\odot} \text{ pc}^{-2} \text{ km}^{-1} \text{ s, comparable to the}$ interassociation maximum impulse parameter value.

Table 4. Energies and energy ratios of Gum Nebula clusters.

Assn. Name	No. Stars	$T$ $(M_{\odot} \text{ km}^2 \text{ s}^{-2})$	$U$ (M <sub><math>\odot</math></sub> km <sup>2</sup> s <sup>-2</sup> )	$\frac{\log(T/ U )}{(\text{dex})}$
CG 4 Assn.	34	$26 \pm 31$	$-0.162 \pm 0.062$	$2.21 \pm 0.54$
CG 22 Assn.	102	$70 \pm 130$	$-1.28 \pm 0.20$	$1.74 \pm 0.84$
CG 30 Assn.	29	$200 \pm 2800$	$-0.0082 \pm 0.0039$	$4.4 \pm 6.3$
Yep 1	534	$300 \pm 1100$	$-30.1 \pm 2.3$	$1.0 \pm 1.5$
Yep 2	443	$260 \pm 890$	$-13.8 \pm 1.0$	$1.3 \pm 1.5$
Yep 3	297	$350 \pm 730$	$-7.63 \pm 0.32$	$1.66 \pm 0.90$
UPK 535	174	$90 \pm 140$	$-3.250 \pm 0.099$	$1.43 \pm 0.69$
Alessi 3	260	$560 \pm 880$	$-11.57 \pm 0.27$	$1.68 \pm 0.69$

#### 4.4 Other Colliding Associations

In the vicinity of UPK 535 and Yep 3 are three other associations, UPK 533, UPK 545, and Pozzo 1. When we simulate their Gaia DR2 positions and motions through time with the same linear approach described above, we find that these associations could also interact with UPK 535, Yep 3, and each other. The centres of mass of UPK 535 and UPK 533 on average reach a closest approach of 23.6  $\pm$  4.3 pc about  $0.94 \pm 0.65$  Myr ago, possibly constituting a triple collision for UPK 535 during that time. In the UPK 535-UPK 533 interaction, a mean of  $5 \pm 2$  stellar close encounters occur. The centres of mass of Yep 3 and UPK 545 on average reach a closest approach of 9.8  $\pm$ 1.7 pc about  $0.52 \pm 0.07$  Myr from now, during which time a mean of  $9 \pm 3$  stellar close encounters occur. UPK 533 and Pozzo 1 have an encounter of similar significance to that of UPK 535 and Yep 3. The centres of mass of UPK 533 and Pozzo 1 reach an average closest approach of just  $3.4 \pm 2.1$  pc about  $0.03 \pm 0.14$  Myr from now, and a mean of  $50 \pm 7$  close stellar encounters occur. Minimum stellar separation of any two of their stars is on average  $0.13 \pm 0.05$  pc  $\approx$  $27,000 \pm 10,000$  au.

These results for UPK 533, UPK 545, and Pozzo 1 are preliminary, with association radial velocities based only on a handful of available *Gaia* DR2 radial velocities. The stellar membership list of Pozzo 1 is also incomplete. None the less, our tests imply that **association** interactions are commonplace, at least in the Gum Nebula, located in the plane of the Galaxy. Though star-star interactions may have only a limited impact within **associations** (Winter et al. 2018), we may have to consider how increased close stellar encounters during **association** collisions affect **association** evolution, stellar and binary evolution, and planetary evolution through instigated episodes of heavy bombardment.

# 4.5 Kinetic Energy vs. Gravitational Potential Energy

An **association**'s ratio of kinetic energy T to absolute value of gravitational energy |U| describes how bound the **association** is and how quickly it may dissolve (Shu et al. 1987; Krumholz et al. 2019). Systems that are gravitationally bound are expected to obey the virial theorem, T=-1/2 U. A log energy ratio of  $\log(T/|U|)=-0.3$  dex therefore represents a virial, bound, stable star cluster, whereas  $\log(T/|U|)>-0.3$  dex represents a supervirial, unbound, expanding **stellar association**.

To assess the bound or unbound nature of UPK 535 and Yep 3, we compute  $\log(T/|U|)$  for them and nine other associations throughout the Gum Nebula, namely the other six associations from our cometary globule search (see §2) and the three associations UPK 533, UPK 545, and Pozzo 1 in the vicinity of UPK 535 and Yep 3 (see Figure 1). The kinetic energy T of each association is

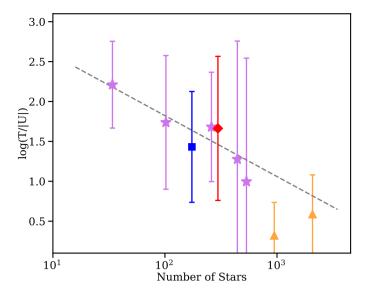


Figure 8. Log ratios of associations' kinetic to potential energies are plotted vs. the number of stars in each association. We have spectroscopically derived radial velocities for stars in UPK 535 (blue square), Yep 3 (red diamond), and five other associations (lavender stars) throughout the Gum Nebula. For comparison, we include the two subclusters of Cep OB3b from outside the Gum Nebula (orange triangles, Karnath et al. 2019). Association energy ratios in the Gum Nebula are consistent with the energy ratios of Cep OB3b. The grey correlation line is an error-weighted fit to the Gum Nebula data.

calculated from stars' velocity dispersion from the **association** mean velocity:

$$T = \frac{3}{2} M_{\text{tot}} \sigma_{\nu, \text{1D}}^2. \tag{1}$$

Here,  $M_{\text{tot}}$  is the total stellar mass, including masses from binary companions and mass from completing the IMF (see §3.4). Because the aberrant radial velocities of single-epoch binary stars can skew kinetic energy towards high values (Mathieu 1985; Karnath et al. 2019), the radial velocities of all identified binaries are set to each association's error-weighted mean. With binaries thus controlled,  $\sigma_{v,1D}$  is the 1-dimensional velocity dispersion of the association, derived from the three orthogonal dispersions as  $\sigma_{v,1D}^2 = (\sigma_{v_x}^2 + \sigma_{v_y}^2 + \sigma_{v_z}^2 - \sigma_{v_{r,med}}^2 - \sigma_{v_{\sigma,med}}^2 - \sigma_{v_{\delta,med}}^2)/3$ . Here  $\sigma_{V_{r} \, mod}$  is the median radial velocity uncertainty of the association or, if the following is smaller, the median radial velocity uncertainty of our whole sample of spectroscopically measured radial velocities in the Gum Nebula, equal to  $0.56 \text{ km s}^{-1}$ . The values  $\sigma_{{\nu_\alpha},{\rm med}}$  and  $\sigma_{{\nu_\delta},{\rm med}}$  are the associations' median proper motion uncertainties in km s<sup>-1</sup>. These three median uncertainties are subtracted in quadrature from the velocity dispersion to avoid artificially inflating T with scatter in motion measurements (see

The gravitational potential energy U is the sum of each star's potential gravitational energy derived from total stellar mass interior to that star:

$$U = -G \frac{\sum_{i} \sum_{j,r_j < r_i} m_i m_j}{r_i}.$$
 (2)

Here, G is the gravitational constant. Quantities  $m_i$  and  $m_j$  are measured stellar masses, including companion masses of identified potential binaries, times  $M_{\rm tot}/M_{\rm b}$ , where  $M_{\rm b}$  is the sum of all single-star masses and identified binary masses. Multiplying by this

factor lets us include masses from unidentified binaries and IMF completion, without altering the identified mass distribution. The value r is a given star's distance from the centre of mass. From this distance, we in quadrature subtract  $\sigma_{d_{\rm med}}$ , which is the association's median distance uncertainty or, if the following is smaller, the median distance uncertainty of our whole sample of Gum Nebula stars, equal to 8.5 pc.

The log energy ratio for UPK 535 is  $1.43 \pm 0.69$  dex, and for Yep 3,  $1.66 \pm 0.90$  dex. Both associations are unbound. Results for five of the nine other associations in the Gum Nebula range from 1.0 to 2.21 dex, also unbound. The remaining four associations (UPK 533, UPK 545, and Pozzo 1, which lack spectroscopic observations, and the CG 30 Association) have errors >3 dex, so we consider their  $\log(T/|U|)$  unmeasured. When we calculate  $\log(T/|U|)$  in two dimensions instead of three, omitting  $x \sim d$ , log energy ratios differ by -0.58 – -0.03 dex from the 3-D values. These are mostly within uncertainties but always lower, suggesting artificial radial elongation and general radial uncertainty systematically elevate our 3-D log energy ratios.

All seven of our measured **associations** have fewer than 1000 members. The sparser **associations** tend to have lower |U| than T, whereas the more populous **associations** tend to have more balanced |U| and T. An **association**'s  $\log(T/|U|)$  appears to be correlated with its star count (see Figure 8).

We compare these results to the two populous subclusters of Cep OB3b from outside the Gum Nebula, studied by Karnath et al. (2019). The mean 50-per-cent-binarity  $\log(T/|U|)$  for Cep OB3b are 0.58  $\pm$ 0.49 dex for the east subcluster and  $0.32 \pm 0.41$  dex for the west. Cep OB3b is overall unbound and expanding, but portions of the western subcluster may be bound (Karnath et al. 2019). The Gum Nebula **associations**' median  $\log(T/|U|)$  is 1.66 dex, over 1 dex greater than Cep OB3b's values. However, the Gum Nebula associations' energy vs. star count trend remains consistent with Cep OB3b, within  $2\sigma$ . Even UPK 535 and Yep 3, despite their recent collision, have energy ratios in line with the other associations and Cep OB3b's. Association collisions may not significantly affect association energies, perhaps due to their brevity or the low densities of the associations in our sample. More comparisons with Gaia-observed associations and clusters outside the Gum Nebula are needed to verify this result.

# 5 CONCLUSIONS

UPK 535 and **Yep 3** in the Gum Nebula are the first observed colliding open **associations** close enough to Earth (318.08  $\pm$  0.29 pc and 339.54  $\pm$  0.25 pc, respectively) that their dynamical interaction can be investigated in detail. Our 10,000-trial Monte Carlo simulation reveals the following averaged results:

- The **associations** attain a closest centres-of-mass approach of  $18.89 \pm 0.73$  pc about  $0.84 \pm 0.03$  Myr ago.
- $54 \pm 7$  close encounters <1 pc occur between stars of UPK 535 and Yep 3.
- The closest encounter is  $0.13 \pm 0.06$  pc  $\approx 27,000 \pm 12,000$  au, close enough for stars to sweep through each other's Oort clouds, if the stars possess Oort clouds similar to our Sun's.
- Two stars in UPK 535 and two in **Yep 3** undergo a close encounter in >70 per cent of trials, and multiple close encounters in ~30 per cent of trials.
- The maximum impulse parameter of  $2.7^{+3.1}_{-1.1}~\rm M_{\odot}~pc^{-2}~km^{-1}$  s is strong enough to potentially inject 410<sup>+560</sup> of every 1 million

**Oort Cloud comets** into inner orbits and cause heavy bombardment of any exoplanets there, **if these relatively young stars possess Oort Clouds.** 

- Other Gum Nebula **associations** (UPK 533, UPK 545, and Pozzo 1) may also be interacting with UPK 535, **Yep 3**, and each other. Association collisions may be commonplace, at least in the Gum Nebula in the plane of the Galaxy.
- $\bullet$  Gum Nebula association log-ratios of kinetic energy to gravitational potential energy (1.00 2.21 dex) vs. star count are consistent with Cep OB3b's, suggesting association collisions may not significantly affect cluster energies. More robust comparisons with *Gaia*-observed associations are needed to verify this implication.

The relatively young, nearby **associations** UPK 535 and **Yep 3** provide a case study for **association** interactions and their possible effects on the evolution of solar systems.

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## DATA AVAILABILITY

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The data underlying this article are available in the article, its online supplementary material, and CHIRON Standards at https://github.com/alexandrayep/CHIRON\_Standards.

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